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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning

, and ending

Name of foundation

THE KIMBALL FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)

1660 BUSH STREET

Room/suite

300

City or town, state or province, country, and ZIP or foreign postal code

SAN FRANCISCO, CA 94109

G Check all that apply:

☐ Initial return☐ Final return☐ Address change☐ Initial return of a former public charity☐ Amended return☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 71,891,124.

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

1 Contributions, gifts, grants, etc., received

555,796.

N/A

2 Check ☐ if the foundation is not required to attach Sch. B3 Interest on savings and temporary
cash investments

275,945.

275,945.

STATEMENT 1

4 Dividends and interest from securities

1,451,296.

1,451,296.

STATEMENT 2

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

3,826,970.

b Gross sales price for all
assets on line 6a 24,549,507.

7 Capital gain net income (from Part IV, line 2)

3,826,970.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns
and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

25,553.

25,553.

STATEMENT 3

12 Total Add lines 1 through 11

6,135,560.

5,579,764.

13 Compensation of officers, directors, trustees, etc

0.

0.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

STMT 4

125,460.

79,974.

45,486.

c Other professional fees

STMT 5

808,752.

468,639.

340,113.

17 Interest

18 Taxes

STMT 6

79,846.

79,846.

0.

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

STMT 7

8,876.

1,946.

6,930.

24 Total operating and administrative

expenses Add lines 13 through 23

1,022,934.

630,405.

392,529.

25 Contributions, gifts, grants paid

3,458,895.

3,458,895.

26 Total expenses and disbursements.

Add lines 24 and 25

4,481,829.

630,405.

3,851,424.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

1,653,731.

b Net investment income (if negative, enter -0-)

4,949,359.

c Adjusted net income (if negative, enter -0-)

N/A

SCANNED NOV 18 2016

Operating and Administrative Expenses
Received in
Batching Ogden

NOV 16 2016

523501
1-24-15

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2015)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	324,645.	248,066.	248,066.
	2 Savings and temporary cash investments	1,863,243.	1,507,369.	1,507,369.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 8	61,351,156.	63,437,987.	70,119,701.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ STATEMENT 9)	222,394.	15,988.	15,988.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	63,761,438.	65,209,410.	71,891,124.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
22 Other liabilities (describe ▶ STATEMENT 10)	205,759.	0.		
23 Total liabilities (add lines 17 through 22)	205,759.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31 ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31 ▶ ☒			
	27 Capital stock, trust principal, or current funds	10,339,364.	10,339,364.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	53,216,315.	54,870,046.	
30 Total net assets or fund balances	63,555,679.	65,209,410.		
31 Total liabilities and net assets/fund balances	63,761,438.	65,209,410.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	63,555,679.
2 Enter amount from Part I, line 27a	2	1,653,731.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	65,209,410.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	65,209,410.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a LONG TERM CAPITAL GAINS (SEE ATTACHED SCHEDULE)	P		
c SHORT TERM CAPITAL GAINS (SEE ATTACHED SCHEDULE)	P		
e CAPITAL GAINS DISTRIBUTIONS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b 17,601,568.		13,551,994.	4,049,574.
c			
d 6,528,717.		6,895,322.	-366,605.
e 144,001.			144,001.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			4,049,574.
c			
d			-366,605.
e			144,001.

2 Capital gain net income or (net capital loss)	2	3,826,970.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	3,914,997.	77,365,338.	.050604
2013	3,695,843.	72,715,661.	.050826
2012	3,357,762.	67,325,106.	.049874
2011	3,386,389.	68,001,130.	.049799
2010	3,216,182.	63,885,473.	.050343

2 Total of line 1, column (d)	2	.251446
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.050289
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	75,546,287.
5 Multiply line 4 by line 3	5	3,799,147.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	49,494.
7 Add lines 5 and 6	7	3,848,641.
8 Enter qualifying distributions from Part XII, line 4	8	3,851,424.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	49,494.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	49,494.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	49,494.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	60,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	25,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	85,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	35,506.	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax ☒ 35,506. Refunded ☒ 0.	11		0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.PFS-LLC.NET/KIMBALL/KIMBALL.HTML	X	
14 The books are in care of DAVID A. GOLDFARB, CPA Telephone no. (415) 397-2858 Located at 1 POST STREET, SUITE 2650, SAN FRANCISCO, CA ZIP+4 94104		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years N/A b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GRETCHEN B KIMBALL 12 EUCALYPTUS ROAD BELVEDERE, CA 94920	PRESIDENT 0.00	0.	0.	0.
DONALD J MCCUBBIN 425 CALIFORNIA STREET, 25TH FLOOR SAN FRANCISCO, CA 94104	SECRETARY 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
PACIFIC FOUNDATION SERVICES - 1660 BUSH STREET, SUITE 300, SAN FRANCISCO, CA 94109	MANAGEMENT SERVICES	398,074.
WELLS FARGO INVESTMENT - 420 MONTGOMERY STREET, SAN FRANCISCO, CA 94104	MANAGEMENT FEES	126,761.
DAVID A GOLDFARB, CPA - 1 POST ST., SUITE 2650, SAN FRANCISCO, CA 94104	ACCOUNTING	125,460.
OSTERWEIS CAPITAL MANAGEMENT - ONE MARITIME PLAZA, #800, SAN FRANCISCO, CA 94105	MANAGEMENT FEES	111,588.
DODGE & COX - 555 CALIFORNIA STREET, FL 40, SAN FRANCISCO, CA 94104	MANAGEMENT FEES	45,310.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
3	All other program-related investments. See instructions.	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	74,011,712.
b	Average of monthly cash balances	1b	2,685,026.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	76,696,738.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	76,696,738.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,150,451.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	75,546,287.
6	Minimum investment return. Enter 5% of line 5	6	3,777,314.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,777,314.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	49,494.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	49,494.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,727,820.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	3,727,820.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,727,820.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,851,424.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,851,424.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	49,494.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	3,801,930.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				3,727,820.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010	62,028.			
b From 2011	10,164.			
c From 2012	19,423.			
d From 2013	117,190.			
e From 2014	135,868.			
f Total of lines 3a through e	344,673.			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$	3,851,424.			
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				3,727,820.
e Remaining amount distributed out of corpus	123,604.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	468,277.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014 Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	62,028.			
9 Excess distributions carryover to 2016 Subtract lines 7 and 8 from line 6a	406,249.			
10 Analysis of line 9:				
a Excess from 2011	10,164.			
b Excess from 2012	19,423.			
c Excess from 2013	117,190.			
d Excess from 2014	135,868.			
e Excess from 2015	123,604.			

N/A

- ☐ 4942(1)(3) or ☐ 4942(1)(5)

- (4) Gross investment income

[illegible]

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

PACIFIC FOUNDATION SERVICES, 415-561-6540
1660 BUSH STREET, SUITE 300, SAN FRANCISCO, CA 94109

- b The form in which applications should be submitted and information and materials they should include:**

NONE

- c Any submission deadlines:

NONE

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
SEE ATTACHED SCHEDULE SEE ATTACHED SCHEDULE SAN FRANCISCO, CA 94104	N/A	PUBLIC	GENERAL	3,458,895.
Total			3a	3,458,895.
b <i>Approved for future payment</i>				
SEE ATTACHED SCHEDULE SEE ATTACHED SCHEDULE SAN FRANCISCO, CA 94104	N/A	PUBLIC	GENERAL	515,000.
Total			3b	515,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	275,945.		
4 Dividends and interest from securities			14	1,451,296.		
5 Net rental income or (loss) from real estate.						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income			14	25,553.		
8 Gain or (loss) from sales of assets other than inventory						3,826,970.
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		1,752,794.		3,826,970.
13 Total Add line 12, columns (b), (d), and (e)					13	5,579,764.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | |
|--|-------------------|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <p>Yes</p> |
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	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)?

☒ Yes ☐ No

Signature of officer or trustee

Date: _____

Title

PRESIDENT

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

InDate

Check ☒ self-employed

PTIN

DAVID A. GOLDFARB

Firm's name ▶ DAVID A. GOLDFARB, CPA

Firm's address ► ONE POST STREET SUITE 2650
SAN FRANCISCO, CA 94104

Phone no. (415) 397-2858

Form **990-PF** (2015)

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Name of the organization

THE KIMBALL FOUNDATION

Employer identification number

94-3263448

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Name of organization THE KIMBALL FOUNDATION	Employer identification number 94-3263448
---	---

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	WRK ADMINISTRATIVE TRUST 425 CALIFORNIA AVENUE, 25TH FLOOR SAN FRANCISCO, CA 94104	\$ 555,796.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

94-3263448

[illegible]

Name of organization

Employer identification number

THE KIMBALL FOUNDATION**94-3263448**

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info. once) ► \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ATLANTIC TRUST	25.	25.	
CHARLES SCHWAB	3.	3.	
NEUBERGER BERMAN #3091	58,063.	58,063.	
NEUBERGER BERMAN #3091	12,312.	12,312.	
NEWPORT ASIA	201.	201.	
WELLS FARGO BANK ENDOWMENT #64613	40.	40.	
WELLS FARGO BANK MAIN #45899	408.	408.	
WELLS FARGO FIXED 2 #216853	16,606.	16,606.	
WELLS FARGO FIXED 2 #216853	188,287.	188,287.	
TOTAL TO PART I, LINE 3	275,945.	275,945.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ATLANTIC TRUST	24,220.	0.	24,220.	24,220.	
CHARLES SCHWAB	61,562.	0.	61,562.	61,562.	
CHARLES SCHWAB D&C	481,646.	0.	481,646.	481,646.	
CITIGROUP	538,784.	0.	538,784.	538,784.	
NB#2	0.	0.	0.	0.	
NEUBERGER BERMAN #3090	38,563.	0.	38,563.	38,563.	
NEWPORT ASIA	23,941.	0.	23,941.	23,941.	
WELLS FARGO #216853 FIXED	98,483.	0.	98,483.	98,483.	
WELLS FARGO #72505600 IMA	184,097.	0.	184,097.	184,097.	
TO PART I, LINE 4	1,451,296.	0.	1,451,296.	1,451,296.	

FORM 990-PF	OTHER INCOME		STATEMENT	3
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
FOREIGN EXCHANGE INCOME	-530.	-530.		
MASTER LIMITED PARTNER INCOME	28,637.	28,637.		
OTHER INCOME	-2,554.	-2,554.		
TOTAL TO FORM 990-PF, PART I, LINE 11	25,553.	25,553.		

FORM 990-PF	ACCOUNTING FEES		STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	125,460.	79,974.		45,486.
AUDIT FEES	0.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	125,460.	79,974.		45,486.

FORM 990-PF	OTHER PROFESSIONAL FEES		STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES - D&C	45,311.	45,311.		0.
OSTERWEIS CUSTODIAN FEES	7,848.	7,848.		0.
OSTERWEIS FEES	111,588.	111,588.		0.
CHARLES SCHWAB FEES	38,802.	38,802.		0.
NEWPORT ASIA FEES	23,851.	23,851.		0.
WELLS FARGO #2 FIXED	37,251.	37,251.		0.
WELLS FARGO IMA	89,510.	89,510.		0.
NEUBERGER BERMAN #3090	27,989.	27,989.		0.
NEUBERGER BERMAN #3091	12,340.	12,340.		0.
MANAGEMENT FEES	398,074.	59,711.		338,363.
DUES	1,750.	0.		1,750.
ATLANTIC TRUST FEES	14,438.	14,438.		0.
TO FORM 990-PF, PG 1, LN 16C	808,752.	468,639.		340,113.

FORM 990-PF	TAXES		STATEMENT		6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX CITI	4,048.	4,048.		0.	
FOREIGN TAX IMA	7,074.	7,074.		0.	
FOREIGN TAX D&C	5,935.	5,935.		0.	
FOREIGN TAX SCHWAB	567.	567.		0.	
FOREIGN TAX NEWPORT ASIA	1,385.	1,385.		0.	
FOREIGN TAX NEWBERGER #1	500.	500.		0.	
FOREIGN TAX WELLS FARGO					
FIXED	524.	524.		0.	
EXCISE TAX	59,813.	59,813.		0.	
TOTAL TO FORM 990-PF, PG 1, LN 18	79,846.	79,846.		0.	

FORM 990-PF	OTHER EXPENSES		STATEMENT		7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BANK AND ADR FEES	1,627.	1,627.		0.	
INSURANCE	6,383.	319.		6,064.	
MISCELLANEOUS	866.	0.		866.	
TOTAL TO FORM 990-PF, PG 1, LN 23	8,876.	1,946.		6,930.	

FORM 990-PF	OTHER INVESTMENTS		STATEMENT		8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE		
MARKETABLE SECURITIES	FMV	63,437,987.	70,119,701.		
TOTAL TO FORM 990-PF, PART II, LINE 13		63,437,987.	70,119,701.		

FORM 990-PF	OTHER ASSETS	STATEMENT	9
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
INTEREST & DIVIDEND RECEIVABLE	222,394.	15,988.	15,988.
TOTAL TO FORM 990-PF, PART II, LINE 15	222,394.	15,988.	15,988.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	10
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
INVESTMENT PURCHASES PAYABLE	205,759.	0.
TOTAL TO FORM 990-PF, PART II, LINE 22	205,759.	0.

The Kimball Foundation
GRANTS PAID WORKSHEET
12/31/2015

GRANTS PAID ON A CASH BASIS DURING 2015

Recipient Name	Street Address	City	State	ZIP Code	Specific purpose of Grant	Amount
1 10,000 Degrees	1650 Los Gatos, Suite 110	San Rafael	CA	94903	10,000 Degrees Institute and Fellowship Program	\$40,000
2 826 Valencia	826 Valencia St.	San Francisco	CA	94110	In-school Program in San Francisco	\$25,000
3 Achievement Rewards for College Scientists Foundation Inc.	P. O. Box 29405	San Francisco	CA	94129	Stanford University Scholars	\$50,000
4 American Bach Soloists	44 Page Street, Ste. 504	San Francisco	CA	94920	Annual Fund	\$500
5 American Conservatory Theatre Foundation	30 Grant Avenue, 7th Floor	San Francisco	CA	94108	Theater Arts Education Programs	\$30,000
6 Asian Art Museum Foundation of San Francisco	200 Larkin Street	San Francisco	CA	94102	Jade Circle - Bronze	\$6,000
7 Asian Neighborhood Design Inc.	1245 Howard Street	San Francisco	CA	94103	Employment Training Center program	\$25,000
8 Audubon Canyon Ranch Inc.	4900 Shoreline Highway One	Stinson Beach	CA	94970	In honor of Dr. Martin Griffin	\$1,000
9 BASIC Fund	1301 Clay Street, No. 70450	San Francisco	CA	94612	General support	\$40,000
10 Bay Area Community Resources (BACR - CHALK)	271 Austin Street	San Francisco	CA	94109	Employment Training and Placement Program	\$25,000
11 Bay Area Video Coalition Inc.	2727 Mariposa Street, 2nd Floor	San Francisco	CA	94110	Next Generation Tracks program	\$30,000
12 Bay Org	The Embarcadero at Beach Street, c/o Aquarium of the Bay Admin	San Francisco	CA	94133	K-12 Environmental Education at Aquarium of the Bay	\$20,000
13 Bayview Hunters Point Center for Arts & Technology	2415 3rd Street, Suite 230	San Francisco	CA	94107	Digital Media Pathways Program	\$30,000
14 Belvedere Community Foundation	P.O. Box 484	Belvedere	CA	94920	Annual Fund	\$1,000
15 Belvedere-Tiburon Library Foundation	P.O. Box 483	Tiburon	CA	94920	Annual Fund - Founder	\$1,000
16 Boys & Girls Clubs of the Peninsula	401 Pierce Road	Menlo Park	CA	94025	iLife at Menlo-Atherton High School	\$30,000
17 Bridge the Gap College Prep	P.O. Box 1390	Sausalito	CA	94965	High School Extended Learning Day Program	\$25,000
18 Buildon Inc.	PO Box 16741	Stamford	CT	06905	Youth Engagement Zone at International Studies Academy	\$40,000
19 Businesses United In Investing Lending and Development (BUILD)	2385 Bay Road	Redwood City	CA	94063	Peninsula College Access and Readiness Program	\$60,000

GRANTS PAID ON A CASH BASIS DURING 2015

Recipient Name	Street Address	City	State	ZIP Code	Specific purpose of Grant	Amount
20 California Academy of Sciences	55 Music Concourse Drive, Golden Gate Park	San Francisco	CA	94118	Big Bang Gala	\$5,000
21 California Academy of Sciences	55 Music Concourse Drive, Golden Gate Park	San Francisco	CA	94118	Careers in Science program	\$50,000
22 California Academy of Sciences	55 Music Concourse Drive, Golden Gate Park	San Francisco	CA	94118	Honorarium Grant	\$2,500
23 California Academy of Sciences	55 Music Concourse Drive, Golden Gate Park	San Francisco	CA	94118	Annual Fund, Platinum Circle	\$10,000
24 California Pacific Medical Center Foundation	2015 Steiner Street	San Francisco	CA	94115	Kalmanovitz Child Development Center	\$10,000
26 California Pacific Medical Center Foundation	2015 Steiner Street	San Francisco	CA	94115	Annual Fund	\$1,000
27 Canopy	3921 East Bayshore Road	Palo Alto	CA	94303	Healthy Trees, Healthy Kids! program in East Palo Alto	\$20,000
28 Center for Climate Protection	PO Box 3785	Santa Rosa	CA	95402	ECO2school program	\$15,000
29 Center for Urban Education about Sustainable Agriculture	One Ferry Building, Suite 50	San Francisco	CA	94111	School Yard to Market Program	\$15,000
30 Ceres Community Project	7351 Bodega Avenue	Sebastopol	CA	95472	Healing Meals Youth Development Programs in Sonoma and Marin	\$30,000
31 Chronicle Season of Sharing Fund	P.O. Box 44740	San Francisco	CA	94119	General support	\$50,000
32 The Churchill Centre	131 South Dearborn Street, Suite 1700	Chicago	IL	60606	To honor Hon. Madeleine Albright	\$9,000
33 The Churchill Centre	131 South Dearborn Street, Suite 1700	Chicago	IL	60606	Campaign for The National Churchill Library & Center at George	\$10,000
34 City of Belvedere	450 San Rafael Avenue	Belvedere	CA	94920	Concerts-in-the-Park	\$5,000
35 College Track	111 Broadway, Ste 101	Oakland	CA	94607	Support students in San Francisco's Bayview Hunters Point	\$40,000
36 Colonial Williamsburg Foundation	P.O. Box 1776	Williamsburg	VA	23185	Raleigh Tavern Society	\$5,000
37 Colonial Williamsburg Foundation	P.O. Box 1776	Williamsburg	VA	23185	Participation of Bay Area teachers in the Teacher's Institute	\$25,000
38 Common Counsel Foundation	405 14th Street, Suite 809	Oakland	CA	94612	Environmental Action Fund	\$10,000
39 Common Counsel Foundation	405 14th Street, Suite 809	Oakland	CA	94612	Environmental Action Fund	\$10,000
40 Common Counsel Foundation	405 14th Street, Suite 809	Oakland	CA	94612	Environmental Action Fund	\$10,000
41 Common Counsel Foundation	405 14th Street, Suite 809	Oakland	CA	94612	Environmental Action Fund	\$5,000

GRANTS PAID ON A CASH BASIS DURING 2015

Recipient Name	Street Address	City	State	ZIP Code	Specific purpose of Grant	Amount
42) Common Counsel Foundation	405 14th Street, Suite 809	Oakland	CA	94612	Environmental Action Fund	\$10,000
43) Common Counsel Foundation	405 14th Street, Suite 809	Oakland	CA	94612	Environmental Action Fund	\$15,000
44) Common Counsel Foundation	405 14th Street, Suite 809	Oakland	CA	94612	Environmental Action Fund	\$10,000
45) Community Music Center	544 Capp Street	San Francisco	CA	94110	Young Musicians Program	\$25,000
46) Community Music Center	544 Capp Street	San Francisco	CA	94110	Spring Gala, in honor of Richard Stolzman	\$1,000
47) Conservation Corps North Bay Inc.	27 Larkspur Street	San Rafael	CA	94901	Corpsmember Development Program	\$30,000
48) Cool the Earth Inc.	P.O. Box 694	Kentfield	CA	94914	School program and Koda Quest	\$30,000
49) Corporation of the Fine Arts Museums	50 Hagiwara Tea Garden Drive	San Francisco	CA	94118	Museum Ambassador Program	\$20,000
50) Corporation of the Fine Arts Museums	50 Hagiwara Tea Garden Drive	San Francisco	CA	94118	Annual Fund	\$50,000
51) Council on Foundations	2121 Crystal Drive, Suite 700	Arlington	VA	22202	2015 Membership dues and grant	\$7,500
52) The Dance Brigade A New Group From the Wallflower Order	3316 24th Street	San Francisco	CA	94110	Grrrl Brigade	\$25,000
53) Dominican University of California	50 Acacia Avenue	San Rafael	CA	94901	Annual Fund	\$1,000
54) Each One Reach One	146 South Spruce	South San Francisco	CA	94080	Playwriting and Dancing OUT Jazz Dance Programs	\$20,000
55) Education Outside	135 Van Ness Avenue, Suite 408	San Francisco	CA	94102	School gardens and Corps for Education Outside program	\$30,000
56) Enterprise for High School Students	200 Pine Street, 6th Floor	San Francisco	CA	94104	Pathways program	\$35,000
57) Envision Education Inc.	111 Myrtle Street, Suite 203	Oakland	CA	94607	College Advising Program	\$30,000
58) Episcopal Church In The Diocese Of California	3 Bayview	Belvedere	CA	94920	St. Stephen's Episcopal Church 2013 Capital Campaign	\$11,000
59) Episcopal Church In The Diocese Of California	3 Bayview	Belvedere	CA	94920	Camp Create at St. Stephen's Church	\$10,000
60) The Episcopal Diocese of California (Grace Cathedral)	1100 California Street	San Francisco	CA	94608	Grace Cathedral's Annual Fund	\$1,000
61) The Exploratorium	Piers 15/17	San Francisco	CA	94111	High School Explainer Program	\$35,000
62) Farallones Marine Sanctuary Association	PO Box 29386	San Francisco	CA	94129	LIMPETS program	\$25,000

GRANTS PAID ON A CASH BASIS DURING 2015

	Recipient Name	Street Address	City	State	ZIP Code	Specific purpose of Grant	Amount
63	First Graduate	3130 20th Street, Suite 275	San Francisco	CA	94110	General support of First Graduate's 2013-2017 growth plan	\$60,000
64	Forget Me Not Childrens Services	P.O. Box 1296	Santa Rosa	CA	95402	Mentored Foster Teens program	\$25,000
65	Foundation Center	312 Sutter Street, Suite 606	San Francisco	CA	94108	General support	\$6,000
66	Foundation for a College Education	P.O. Box 50518	East Palo Alto	CA	94303	General support	\$40,000
67	Foundation for Students Rising Above	P.O. Box 29174	San Francisco	CA	94129	College and Workforce Success Program	\$40,000
68	Foundation for Sustainability & Peacemaking in Mesoamerica	1501 Lisa Rae Drive	Round Rock	TX	78665	General support	\$7,000
69	Ghiberti Foundation	1100 California Street	San Francisco	CA	94108	Community Preschool scholarships for underserved youth in the	\$10,000
70	Girl Scouts of Northern California	1650 Harbor Bay Parkway, Suite 100	Alameda	CA	94502	Eco Choices program	\$15,000
71	Global AIDS Interfaith Alliance	700 Larkspur Landing Circle, Suite 250	Larkspur	CA	94939	15th Anniversary Celebration	\$1,000
72	The Good Tidings Foundation	1469 Rollins Road	Burlingame	CA	94010	General support	\$15,000
73	Grantmakers for Education	851 SW 6th Avenue, Suite 350	Portland	OR	97204	Membership and general support	\$1,000
74	Grantmakers in the Arts	4055 21st Avenue West, Suite 100	Seattle	WA	98199	Membership and general support	\$500
75	Greater Williamsburg Community Tr Inc. (Williamsburg Community Foundation)	1323 Jamestown Road, Suite 103	Williamsburg	VA	23185	Peter and the Wolf Fund	\$5,000
76	The Guardsmen	1016 Lincoln Boulevard, Suite 205	San Francisco	CA	94129	Scholarship Awards Program	\$25,000
77	The Heritage	3400 Laguna Street	San Francisco	CA	94123	Annual Fund	\$300
78	Huckleberry Youth Programs Inc.	3310 Geary Blvd.	San Francisco	CA	94118	Huckleberry Wellness Academy	\$50,000
79	Imagine Bus Project	1012 Torney Avenue	San Francisco	CA	94129	Youth Studio program	\$25,000
80	International Center for Transitional Justice	5 Hanover Square, Floor 24	New York	NY	100024	General support	\$5,000
81	Jewish Vocational & Career Counseling Service	225 Bush Street, Suite 400	San Francisco	CA	94104	School Partner Program	\$30,000
82	JobTrain Inc.	1200 O' Brien Drive	Menlo Park	CA	94022	Youth Services program	\$40,000
83	Katherine Delmar Burke School	7070 California Street	San Francisco	CA	94121	Support students in need of financial assistance	\$5,000

GRANTS PAID ON A CASH BASIS DURING 2015

Recipient Name	Street Address	City	State	ZIP Code	Specific purpose of Grant	Amount
84 KIPP Bay Area Schools	1404 Franklin Street, Suite 500	Oakland	CA	94612	KIPP Through College programs	\$50,000
85 KQED Inc.	2601 Mariposa St.	San Francisco	CA	94110	Producer's Circle	\$10,000
86 KRCB North Bay Public Media	5850 Labath Avenue	Rohnert Park	CA	94928	Annual Fund	\$1,000
87 Laguna de Santa Rosa Foundation	900 Sanford Road	Santa Rosa	CA	95401	Learning Laguna education program	\$25,000
88 Leap	562 Mission St. 4th Floor	San Francisco	CA	94105	General operating support	\$25,000
89 Life Learning Academy	651 8th Street, Treasure Island	San Francisco	CA	94130	Graduation and Transition Program / Outreach Program	\$30,000
90 Literacy for Environmental Justice	607 Anderson Street	San Francisco	CA	94110	Candlestick Point Eco-Stewards	\$35,000
91 Marine Mammal Center	2000 Bunker Road, Fort Cronkhite	Sausalito	CA	94965	Marine Science Education programs	\$25,000
92 Marine Mammal Center	2000 Bunker Road, Fort Cronkhite	Sausalito	CA	94965	Protected Resources Circle	\$4,000
93 Meritus College Fund	P.O. Box 29024	San Francisco	CA	94129	General support	\$40,000
94 Meritus College Fund	P.O. Box 29024	San Francisco	CA	94129	General support	\$5,000
95 Mission Graduates	3040 16th St.	San Francisco	CA	94103	College Connect Program	\$30,000
96 Mt. Tamalpais Primary School	100 Harvard Avenue	Mill Valley	CA	94941	Financial aid program for low-income students	\$10,000
97 National Audubon Society Inc. (Richardson Bay Audubon)	376 Greenwood Beach Road	Tiburon	CA	94920	Audubon Youth Leaders Program at Richardson Bay Audubon Center &	\$15,000
98 New Conservatory	25 Van Ness Avenue, LL	San Francisco	CA	94102	Theater education programming for disadvantaged youth in San	\$35,000
99 New Door Ventures	3221 20th Street	San Francisco	CA	94110	Youth Employment Program	\$50,000
100 New York City Audubon Society Inc.	71 W. 23rd Street	New York	NY	10010	Unrestricted support	\$10,000
101 Next Generation Scholars Inc.	1018 E Street	San Rafael	CA	94901	General operating support	\$30,000
102 Northern California Grantmakers	625 Market Street, 15th Floor	San Francisco	CA	94105	Membership and general support	\$4,250
103 Old Skool Cafe	1429 Mendell Street	San Francisco	CA	94124	General operating support	\$30,000
104 Parallele Ensemble Corporation (Opera Parallele)	50 Oak Street	San Francisco	CA	94102	Hands-on-Opera and Invitation-to-Opera educational programs	\$30,000

GRANTS PAID ON A CASH BASIS DURING 2015						
	Recipient Name	Street Address	City	State	ZIP Code	Specific purpose of Grant
105	Pepperwood Foundation	2130 Pepperwood Preserve Road	Santa Rosa	CA	95404	SCENIQ program
106	Pepperwood Foundation	2130 Pepperwood Preserve Road	Santa Rosa	CA	95404	Honorarium Grant
107	Philbrook Museum of Art Inc.	2727 Rockford Road	Tulsa	OK	74114	Bus transportation for underserved school children to visit the museum
108	Pie Ranch	P.O. Box 363	Pescadero	CA	94060	Youth Education Program
109	Point Reyes Bird Observatory (Point Blue)	3820 Cypress Drive Suite 11	Petaluma	CA	94954	STRAW program
110	Point Reyes Bird Observatory (Point Blue)	3820 Cypress Drive Suite 11	Petaluma	CA	94954	General operating support
111	Point Reyes National Seashore Association	1 Bear Valley Road, Building 70	Point Reyes Station	CA	94956	Young Stewards Youth Scholarship Fund
112	Ranchin Vets	PO Box 6074	San Rafael	CA	94903	General support
113	San Francisco Achievers	244 Kearny Street, 6th floor	San Francisco	CA	94108	General support for scholarship and college preparation program
114	San Francisco Achievers	244 Kearny Street, 6th floor	San Francisco	CA	94108	General support
115	San Francisco Arts Commission	25 Van Ness Avenue, Suite 345	San Francisco	CA	94102	San Francisco WritersCorps
116	San Francisco Ballet Association	455 Franklin Street	San Francisco	CA	94102	Chairman's Council
117	San Francisco Botanical Garden Society at Strybing Arboretum	1199 Ninth Avenue	San Francisco	CA	94122	Youth Education Program
118	San Francisco Boys Chorus	333 Hayes Street Suite 116	San Francisco	CA	94102	Tuition Assistance Program
119	San Francisco Classical Voice	2342 Shattuck Avenue, #323	Berkeley	CA	94704	General support
120	San Francisco Conservatory of Music	50 Oak Street	San Francisco	CA	94102	Annual Fund
121	San Francisco Garden Club	640 Sutter Street	San Francisco	CA	94102	89th Anniversary Luncheon
122	San Francisco Girls Chorus	44 Page Street.	San Francisco	CA	94102	Need-Based Scholarship Program
123	San Francisco Host Committee	601 Van Ness Avenue, Suite E240	San Francisco	CA	94102	General support
124	San Francisco Jazz Organization	201 Franklin Street	San Francisco	CA	94102	Youth educational programs
125	San Francisco Opera Association	301 Van Ness Avenue	San Francisco	CA	94102	Opera ARIA program

GRANTS PAID ON A CASH BASIS DURING 2015

Recipient Name	Street Address	City	State	ZIP Code	Specific purpose of Grant	Amount
126 San Francisco Opera Association	301 Van Ness Avenue	San Francisco	CA	94102	Opera in the Park	\$50,000
127 San Francisco Parks Alliance (Kids in Parks)	314 Rutledge Street	San Francisco	CA	94110	Kids in Parks	\$30,000
128 San Francisco Symphony	201 Van Ness Avenue, Davies Symphony Hall	San Francisco	CA	94102	Instrument Acquisition Fund	\$9,645
129 San Francisco Symphony	201 Van Ness Avenue, Davies Symphony Hall	San Francisco	CA	94102	Annual Fund	\$50,000
130 San Francisco Symphony	201 Van Ness Avenue, Davies Symphony Hall	San Francisco	CA	94102	Instrument Training & Support program	\$25,000
131 San Francisco Waldorf School Association	2938 Washington Street	San Francisco	CA	94115	Kitch SMART Middle School Achievement Program	\$10,000
132 Save the Bay	1330 Broadway, Suite 1800	Oakland	CA	94612	Restoration Education Programs	\$30,000
133 ScholarMatch	849 Valencia Street	San Francisco	CA	94110	College Success Program	\$20,000
134 Schools Mentoring and Resource Team	1663 Mission Street, Ste. 400	San Francisco	CA	94103	College Access Program	\$25,000
135 Senior Access	70 Skyview Terrace	San Rafael	CA	94903	Annual Fund	\$1,000
136 The SF Playhouse	450 Post Street	San Francisco	CA	94102	Rising Star Program	\$20,000
137 Sing for America Foundation	463 Buena Vista Avenue East, #3	San Francisco	CA	94117	Sponsor choral singing performances in Bay Area communities	\$5,000
138 Slide Ranch	2025 Shoreline Highway	Muir Beach	CA	94965	School and Community Group Program	\$15,000
139 Sonoma Plein Air Foundation	19201 Sonoma Highway, Suite 321	Sonoma	CA	95476	General support	\$500
140 Sponsors for Educational Opportunity Inc. (SEP Scholars)	2030 Harrison Street, 3rd Floor	San Francisco	CA	94110	SEO Scholars & Aim High: College Access & Success	\$50,000
141 St. Dominic's Church	2390 Bush Street	San Francisco	CA	94115	Services for the homeless	\$5,000
142 St. Stephens Episcopal Church	66 St Stephens Drive	Orinda	CA	94563	General support	\$15,000
143 Stanford University (Hoover Institution)	434 Galvez Mall, Stanford University	Stanford	CA	94305	Hoover Council	\$10,000
144 Stephens College	1200 East Broadway	Columbia	MO	65215	Annual Fund	\$5,000
145 Stern Grove Festival Association	832 Folsom Street, Suite 1000	San Francisco	CA	94107	General support	\$1,000
146 Student Conservation Association Inc.	1230 Preservation Park Way	Oakland	CA	94612	Youth Stewardship Program	\$25,000

GRANTS PAID ON A CASH BASIS DURING 2015

Recipient Name	Street Address	City	State	ZIP Code	Specific purpose of Grant	Amount
147 Success Center San Francisco	375 Woodside Avenue, Building W-2	San Francisco	CA	94127	New Directions Employment Program	\$20,000
148 Summer Search	500 Sansome Street, Suite 350	San Francisco	CA	94111	General support, including college access and completion programming	\$50,000
149 Support for Families of Children with Disabilities	1663 Mission Street, 7th Floor	San Francisco	CA	94103	General support	\$15,000
150 That Man May See Inc.	10 Koret Way, Box 0352	San Francisco	CA	94143	Pediatric Glaucoma Faculty Recruitment	\$25,000
151 Tides Center (CommunityGrows)	762 Fulton Street	San Francisco	CA	94102	General support for CommunityGrows	\$10,000
152 Tides Center (First Exposures)	P.O. Box 881971	San Francisco	CA	94188	First Exposures Mentorship program	\$20,000
153 Tides Center (Youth Art Exchange)	755 Ocean Avenue	San Francisco	CA	94112	Youth Art Exchange Year-Round Visual and Performing Arts	\$35,000
154 Tulsa Symphony Orchestra Inc.	117 N. Boston Avenue, Suite 201	Tulsa	OK	74103	Annual Fund, Musician's Circle	\$10,000
155 U.S. Department of State (The Diplomatic Reception Rooms)	U.S. Department of State, M/FA Room 8213	Washington	DC	20502	Diplomatic Reception Rooms	\$1,000
156 UCSF Foundation	UCSF Box 45339	San Francisco	CA	94145	Unrestricted grant under the discretion of the new Director Alan Petaluma Watershed Habitat Assessment & Restoration Project	\$10,000
157 United Anglers of Casa Grande Inc.	333 Casa Grande Road	Petaluma	CA	94954	President's Associates	\$25,000
158 University of Oklahoma Foundation, Inc.	339 West Boyd, Room 235	Norman	OK	73019		\$1,000
159 Vida Verde Nature Education	3540 La Honda Road (Highway 84)	San Gregorio	CA	94074	Residential environmental education programs for low-income youth	\$30,000
160 Vida Verde Nature Education	3540 La Honda Road (Highway 84)	San Gregorio	CA	94074	Hidden Creek Capital Campaign	\$25,000
161 Voice of Witness - A Nonprofit Public Benefit Corporation	849 Valencia Street	San Francisco	CA	94110	Education Program in San Francisco	\$25,000
162 Weber State University	Development Office, 1265 Village Drive, Dept. 4018	Ogden	UT	84408	Sponsorship of Exhibitions, Artist's Lectures and Films at the Ethel	\$5,000
163 Weber State University	Development Office, 1265 Village Drive, Dept. 4018	Ogden	UT	84408	Ethel Wattis Kimball Visual Arts Center	\$5,000
164 Weber State University	Development Office, 1265 Village Drive, Dept. 4018	Ogden	UT	84408	Ethel Wattis Kimball Visual Arts Center	\$5,000
165 Weber State University	Development Office, 1265 Village Drive, Dept. 4018	Ogden	UT	84408	Ethel Wattis Kimball Visual Arts Center	\$5,000
166 Wildcare	76 Albert Park Lane	San Rafael	CA	94939	Welcome lobby of new facility	\$50,000
167 Wildcare	76 Albert Park Lane	San Rafael	CA	94939	Capital Campaign - Matching Grant	\$10,000

GRANTS PAID ON A CASH BASIS DURING 2015							
	Recipient Name	Street Address	City	State	ZIP Code	Specific purpose of Grant	Amount
168	Wildcare	76 Albert Park Lane	San Rafael	CA	94939	Capital Campaign - Matching Grant	\$25,000
169	Wildlife Media	1208 Bay Street, Suite 202	Bellingham	WA	98225	Bear Trek film-related distribution and projects	\$8,000
170	Year Up Inc.	80 Sutter Street	San Francisco	CA	94104	Year Up Technical Training Program	\$30,000
171	Young Audiences Inc.	171 Madison Avenue, Suite 200	New York	NY	10016	Annual Fund	\$10,000
172	Young Audiences of Northern California	465 California Street, Ste. 433	San Francisco	CA	94104	General operating support	\$50,000
173	Youth in Arts	917 C Street	San Rafael	CA	94901	Intensive Arts Mentorship for Teens program	\$20,000
174	Youth Speaks Inc.	1663 Mission Street, Ste. 604	San Francisco	CA	94103	Bay Area Integrated Arts-in-Education programs	\$25,000

	Recipient Name	Street Address	City	State	Zip Code	Specific purpose of Grant	Amount
168	Wildcare	76 Albert Park Lane	San Rafael	CA	94939	Capital Campaign - Matching Grant	\$25,000
169	Wildlife Media	1208 Bay Street, Suite 202	Bellingham	WA	98225	Bear Trek film-related distribution and projects	\$8,000
170	Year Up Inc.	80 Sutter Street	San Francisco	CA	94104	Year Up Technical Training Program	\$30,000
171	Young Audiences Inc.	171 Madison Avenue, Suite 200	New York	NY	10016	Annual Fund	\$10,000
172	Young Audiences of Northern California	465 California Street, Ste. 433	San Francisco	CA	94104	General operating support	\$50,000
173	Youth in Arts	917 C Street	San Rafael	CA	94901	Intensive Arts Mentorship for Teens program	\$20,000
174	Youth Speaks Inc.	1663 Mission Street, Ste. 604	San Francisco	CA	94103	Bay Area Integrated Arts-in-Education programs	\$25,000

GRANTS APPROVED FOR FUTURE PAYMENT

	Recipient Name	Street Address	City	State	ZIP Code	Specific purpose of Grant or Contributions	Amount
1	American Conservatory Theatre Foundation	30 Grant Avenue, 7th Floor	San Francisco	CA	94108	Theater Arts Education Programs	\$30,000
2	Businesses United In Investing Lending and Development (BUILD)	2385 Bay Road	Redwood City	CA	94063	Peninsula College Access and Readiness Program	\$60,000
3	California Academy of Sciences	55 Music Concourse Drive, Golden Gate Park	San Francisco	CA	94118	Careers in Science program	\$50,000
4	Corporation of the Fine Arts Museums	50 Hagiwara Tea Garden Drive	San Francisco	CA	94118	Museum Ambassador Program	\$20,000
5	Foundation for a College Education	P.O. Box 50518	East Palo Alto	CA	94303	General support	\$40,000
6	Imagine Bus Project	1012 Torney Avenue	San Francisco	CA	94129	Youth Studio program	\$25,000
7	JobTrain Inc.	1200 O' Brien Drive	Menlo Park	CA	94022	Youth Services program	\$40,000
8	KIPP Bay Area Schools	1404 Franklin Street, Suite 500	Oakland	CA	94612	KIPP Through College programs	\$50,000
9	Meritus College Fund	P.O. Box 29024	San Francisco	CA	94129	General support	\$40,000
10	Mission Graduates	3040 16th St.	San Francisco	CA	94103	College Connect Program	\$30,000
11	San Francisco Girls Chorus	44 Page Street.	San Francisco	CA	94102	Need-Based Scholarship Program	\$25,000
12	San Francisco Symphony	201 Van Ness Avenue, Davies Symphony Hall	San Francisco	CA	94102	Instrument Training & Support program	\$25,000
13	Student Conservation Association Inc.	1230 Preservation Park Way	Oakland	CA	94612	Youth Stewardship Program	\$25,000
14	Vida Verde Nature Education	3540 La Honda Road (Highway 84)	San Gregorio	CA	94074	Residential environmental education programs for low-income youth	\$30,000
15	Youth Speaks Inc.	1663 Mission Street, Ste. 604	San Francisco	CA	94103	Bay Area Integrated Arts-in-Education programs	\$25,000
							\$515,000

The Kimball Foundation
Profit & Loss Prev Year Comparison
January through December 2015

Kimball Foundation

Jan - Dec 15

Capital Gain

Capital Gain Short Term

706 · Charles Schwab -ST	18,864.90	616592.71	597727.81
705.5 · Atlantic Short Term	-36,485.06	1108102.34	1144587.4
701 · Citibank-Cap Gain ST	-241,513.18	861430.55	1,102,943.75
700 · Neuberger Berman #3090	29,835.39	680645.61	650810.22
710 · Neuberger Berman #3091	812.11	1166149.49	1165337.38
707 · WFB -Fixed #216853-Cap Gain ST	2,263.34	788580.14	786316.8
709 · Wells Fargo Equity IMA-CGST	-148,769.45	1179910.47	1,328,679.92
705 · WFB - D&C #214533-Cap Gain ST	8,386.90	127305.19	118,918.29
Total Capital Gain Short Term	-366,605.05	6,528,716.50	6,895,321.57

2015 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method: First In First Out (FIFO)

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
BOISE CASCADE CO: BCC	1,700.0000	01/15/15	08/12/15	\$53,210.50	\$68,178.88	(\$14,968.38)
Security Subtotal				\$53,210.50	\$68,178.88	(\$14,968.38)
JOHNSON & JOHNSON: JNJ	700.0000	11/06/14	03/17/15	\$69,880.70	\$76,203.25	(\$6,322.55)
Security Subtotal				\$69,880.70	\$76,203.25	(\$6,322.55)
LIFELock INC: LOCK	5,600.0000	06/17/14	03/17/15	\$74,391.38	\$71,546.04	\$2,845.34
Security Subtotal				\$74,391.38	\$71,546.04	\$2,845.34
MALLINCKRODT PUB F: MNK	700.0000	10/24/14	03/26/15	\$89,003.97	\$63,458.80	\$25,545.17
Security Subtotal				\$89,003.97	\$63,458.80	\$25,545.17
MYLAN N V F: MYL	48.0000	03/02/15	07/15/15	\$3,401.17	\$2,769.72	\$631.45
MYLAN N V F: MYL	100.0000	03/02/15	07/15/15	\$7,085.07	\$5,770.24	\$1,314.83
MYLAN N V F: MYL	452.0000	03/02/15	07/15/15	\$32,021.51	\$26,081.48	\$5,940.03
MYLAN N V F: MYL	500.0000	03/02/15	07/15/15	\$35,420.28	\$28,851.20	\$6,569.08
Security Subtotal				\$77,928.03	\$63,472.64	\$14,455.39



Schwab One® Account of
THE KIMBALL FOUNDATION

Account Number
6863-4013

Report Period
January 1 - December 31,
2015

2015 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
NORDIC AMERN TANKR LTD F: NAT	6,500.0000	02/25/15	03/17/15	\$66,478.77	\$66,355.30	\$123.47
Security Subtotal				\$66,478.77	\$66,355.30	\$123.47
SCRIPPS NTKW INTERACTIV CLASS A: SNI	900.0000	06/17/14	04/15/15	\$62,620.60	\$71,511.72	(\$8,891.12)
Security Subtotal				\$62,620.60	\$71,511.72	(\$8,891.12)
THERMO FISHER SCIENTIFIC: TMO	150.0000	10/07/14	03/17/15	\$19,755.44	\$17,949.89	\$1,805.55
Security Subtotal				\$19,755.44	\$17,949.89	\$1,805.55
VF CORPORATION: VFC	18.0000	09/24/15	12/09/15	\$1,127.63	\$1,259.78	(\$132.15)
VF CORPORATION: VFC	32.0000	09/24/15	12/09/15	\$2,004.67	\$2,239.61	(\$234.94)
VF CORPORATION: VFC	45.0000	09/24/15	12/09/15	\$2,819.48	\$3,149.45	(\$329.97)
VF CORPORATION: VFC	50.0000	09/24/15	12/09/15	\$3,132.30	\$3,499.39	(\$367.09)
VF CORPORATION: VFC	80.0000	09/24/15	12/09/15	\$5,011.61	\$5,599.03	(\$587.42)
VF CORPORATION: VFC	100.0000	09/24/15	12/09/15	\$6,264.92	\$6,998.79	(\$733.87)
VF CORPORATION: VFC	100.0000	09/24/15	12/09/15	\$6,264.92	\$6,998.79	(\$733.87)
VF CORPORATION: VFC	100.0000	09/24/15	12/09/15	\$6,264.60	\$6,998.79	(\$734.19)

2015 Year-End Schwab Gain/Loss Report
Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
VF CORPORATION: VFC	125.0000	09/24/15	12/09/15	\$7,831.18	\$8,748.49	(\$917.31)
Security Subtotal				\$40,721.31	\$45,492.12	(\$4,770.81)
WALT DISNEY CO: DIS	300.0000	10/03/14	07/15/15	\$35,565.45	\$26,663.78	\$8,901.67
Security Subtotal				\$35,565.45	\$26,663.78	\$8,901.67
WHOLE FOODS MARKET INC: WFM	24.0000	03/24/14	03/17/15	\$1,297.71	\$1,290.98	\$6.73
WHOLE FOODS MARKET INC: WFM	100.0000	03/24/14	03/17/15	\$5,407.11	\$5,379.08	\$28.03
WHOLE FOODS MARKET INC: WFM	100.0000	03/24/14	03/17/15	\$5,408.11	\$5,379.08	\$29.03
WHOLE FOODS MARKET INC: WFM	276.0000	03/24/14	03/17/15	\$14,923.63	\$14,846.25	\$77.38
Security Subtotal				\$27,036.56	\$26,895.39	\$141.17
Total Short-Term				\$616,592.71	\$597,727.81	\$18,864.90
Long-Term						
AMGEN INCORPORATED: AMGN	150.0000	07/11/13	03/17/15	\$24,361.78	\$15,456.92	\$8,904.86
Security Subtotal				\$24,361.78	\$15,456.92	\$8,904.86

Account No: 541-20018
 Account Name: THE KIMBALL FOUNDATION
 Recipient's Identification Number: XX-XXX3448
 Account Executive No: Z70
 ORIGINAL: 12/31/15

NEUBERGER BERMAN LLC
 OH4-RM00
 P.O. BOX 183211
 COLUMBUS, OH 43218
 FOR UNDELIVERABLE MAIL ONLY
 Telephone Number: (212) 476-5505

2015 Supplemental Gain or (Loss) Information
 Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN/(LOSS)
09/25/15	S 01/30/15	FNMA GTD PASS THRU POOL#AS3467	3138WVCZ97	221.39000	221.39	237.30	(15.91)
12/28/15	S 01/30/15	FNMA GTD PASS THRU POOL#AS3467	3138WVCZ97	352.11000	352.11	377.42	(25.31)
08/25/15	S 01/30/15	FNMA GTD PASS THRU POOL#AS3467	3138WVCZ97	399.99000	399.99	428.74	(28.75)
10/26/15	S 01/30/15	FNMA GTD PASS THRU POOL#AS3467	3138WVCZ97	403.73000	403.73	432.75	(29.02)
06/25/15	S 01/30/15	FNMA GTD PASS THRU POOL#AS3467	3138WVCZ97	464.63000	464.63	498.02	(33.39)
07/27/15	S 01/30/15	FNMA GTD PASS THRU POOL#AS3467	3138WVCZ97	538.40000	538.40	577.10	(38.70)
11/25/15	S 01/30/15	FNMA GTD PASS THRU POOL#AS3467	3138WVCZ97	571.69000	571.69	612.78	(41.09)
03/25/15	S 01/30/15	FNMA GTD PASS THRU POOL#AS3467	3138WVCZ97	731.13000	731.13	783.69	(52.56)
05/26/15	S 01/30/15	FNMA GTD PASS THRU POOL#AS3467	3138WVCZ97	767.16000	767.16	822.30	(55.14)
04/27/15	S 01/30/15	FNMA GTD PASS THRU POOL#AS3467	3138WVCZ97	1,416.91000	1,416.91	1,518.75	(101.84)
03/25/15	S 01/30/15	FNMA GTD PASS THRU POOL#AT7277	3138WVCP4	185.82000	185.82	199.06	(13.24)
09/25/15	S 01/30/15	FNMA GTD PASS THRU POOL#AT7277	3138WVCP4	185.89000	185.89	199.13	(13.24)
12/28/15	S 01/30/15	FNMA GTD PASS THRU POOL#AT7277	3138WVCP4	262.10000	262.10	280.78	(18.68)
11/25/15	S 01/30/15	FNMA GTD PASS THRU POOL#AT7277	3138WVCP4	317.01000	317.01	339.60	(22.59)
10/26/15	S 01/30/15	FNMA GTD PASS THRU POOL#AT7277	3138WVCP4	388.21000	388.21	415.87	(27.66)
06/25/15	S 01/30/15	FNMA GTD PASS THRU POOL#AT7277	3138WVCP4	419.10000	419.10	448.96	(29.86)
05/26/15	S 01/30/15	FNMA GTD PASS THRU POOL#AT7277	3138WVCP4	481.94000	481.94	516.27	(34.33)
07/27/15	S 01/30/15	FNMA GTD PASS THRU POOL#AT7277	3138WVCP4	506.22000	506.22	542.29	(36.07)
08/25/15	S 01/30/15	FNMA GTD PASS THRU POOL#AT7277	3138WVCP4	508.85000	508.85	545.11	(36.26)

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Account Name:
THE KIMBALL FOUNDATION

Recipient's Identification Number:
XX-XXX3448

Account Executive No:
Z70

ORIGINAL: 12/31/15

Telephone Number: (212) 476-5505

2015 Supplemental Gain or (Loss) Information (continued)
Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN/(LOSS)
04/27/15	S	01/30/15 FNMA GTD PASS THRU POOL#AT7277	3138WVCP4	563.27000	563.27	603.40	(40.13)
10/26/15	S	01/30/15 FNMA GTD PASS THRU POOL#AU3753	3138X3E32	258.17000	258.17	276.57	(18.40)
08/25/15	S	01/30/15 FNMA GTD PASS THRU POOL#AU3753	3138X3E32	481.10000	481.10	515.38	(34.28)
12/28/15	S	01/30/15 FNMA GTD PASS THRU POOL#AU3753	3138X3E32	532.05000	532.05	569.96	(37.91)
11/25/15	S	01/30/15 FNMA GTD PASS THRU POOL#AU3753	3138X3E32	562.51000	562.51	602.59	(40.08)
09/25/15	S	01/30/15 FNMA GTD PASS THRU POOL#AU3753	3138X3E32	640.09000	640.09	685.70	(45.61)
07/27/15	S	01/30/15 FNMA GTD PASS THRU POOL#AU3753	3138X3E32	679.92000	679.92	728.37	(48.45)
06/25/15	S	01/30/15 FNMA GTD PASS THRU POOL#AU3753	3138X3E32	770.12000	770.12	825.00	(54.88)
05/26/15	S	01/30/15 FNMA GTD PASS THRU POOL#AU3753	3138X3E32	1,088.28000	1,088.28	1,165.82	(77.54)
03/25/15	S	01/30/15 FNMA GTD PASS THRU POOL#AU3753	3138X3E32	1,108.53000	1,108.53	1,187.52	(78.99)
04/27/15	S	01/30/15 FNMA GTD PASS THRU POOL#AU3753	3138X3E32	1,337.86000	1,337.88	1,433.21	(95.33)
12/04/15	H	08/03/15 CELGENE CORP SENIOR NOTES	151020AS3	10,000.00000	9,930.10	9,903.40	26.70
03/05/15	H	11/04/14 NOBLE ENERGY INC SENIOR NOTES	655044AH8	10,000.00000	10,188.20	9,969.50	218.70
09/28/15	H	08/17/15 FEDERAL NATIONAL MTG ASSN	3135G0NV1	85,000.00000	85,000.00	85,000.00	0.00
12/09/15	H	06/23/15 VERIZON COMMUNICATIONS INC SR UNSECURED	92343VBR4	10,000.00000	11,103.50	10,898.29	205.21
02/25/15	H	05/13/14 L-3 COMMUNICATIONS CORP SENIOR UNSECURED NOTES	502413BD8	10,000.00000	10,285.20	9,944.50	340.70
01/23/15	H	11/06/14 WALGREENS BOOTS ALLIANCE INC SR UNSECURED	931427AH1	15,000.00000	15,671.70	14,965.35	706.35

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Account No: 541-20018
Account Name: THE KIMBALL FOUNDATION
Recipient's Identification Number: XX-XXX3448
Account Executive No: Z70
ORIGINAL: 12/31/15

NEUBERGER BERMAN LLC
OH4-RM00
P.O. BOX 183211
COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY
Telephone Number: (212) 476-5505

2015 Supplemental Gain or (Loss) Information (continued)
Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	Realized Gain/(Loss)
			CUSIP				
07/23/15	H	05/13/15 QUALCOMM INC SENIOR NOTES	747525AD5	25,000.00000	24,381.25	24,980.00	(598.75)
12/10/15	H	10/06/15 CITIGROUP INC	172967HD6	40,000.00000	41,517.60	41,494.33	23.27
03/11/15	H	05/22/14 ***DEUTSCHE BANK AG	25152RXA6	20,000.00000	20,675.00	19,923.80	751.20
03/24/15	H	06/26/14 MONSANTO CO NEW	61166WAU5	10,000.00000	10,430.80	9,994.00	436.80
03/24/15	H	12/04/14 BECTON DICKINSON & CO	075887BE8	5,000.00000	5,110.30	5,000.00	110.30
12/09/15	H	02/24/15 ELI LILLY & CO	532457BH0	15,000.00000	14,881.20	14,971.05	(89.85)
08/03/15	H	03/03/15 ***ACTAVIS FDG SCS GTD NT	00507UAS0	15,000.00000	14,636.85	14,946.75	(309.90)
12/04/15	H	12/02/15 MCDONALDS CORP SENIOR NOTES	58013MEX8	5,000.00000	5,006.25	4,990.75	15.50
03/24/15	H	08/28/14 UNITED STATES TREASURY NOTE	912828WJ5	5,000.00000	5,273.22	5,067.54	205.68
01/15/15	H	08/28/14 UNITED STATES TREASURY NOTE	912828WJ5	10,000.00000	10,698.40	10,137.55	560.85
03/12/15	H	08/28/14 UNITED STATES TREASURY NOTE	912828WJ5	10,000.00000	10,357.38	10,135.50	221.88
03/24/15	H	07/31/14 UNITED STATES TREASURY NOTE	912828WJ5	10,000.00000	10,546.45	9,944.96	601.49
02/17/15	H	04/30/14 UNITED STATES TREASURY NOTE	912828SE1	60,000.00000	60,000.00	60,000.00	0.00
08/17/15	H	02/27/15 UNITED STATES TREASURY NOTE	912828TK6	250,000.00000	250,000.00	250,000.00	0.00
06/30/15	H	12/30/14 UNITED STATES TREASURY NOTE	912828VH0	95,000.00000	95,000.00	95,000.00	0.00
11/30/15	H	08/28/15 UNITED STATES TREASURY NOTE	912828A26	25,000.00000	25,000.00	25,000.00	0.00
10/06/15	H	08/03/15 UNITED STATES TREASURY NOTE	912828D56	5,000.00000	5,154.67	5,102.86	51.81
03/24/15	H	09/30/14 UNITED STATES TREASURY NOTE	912828D56	10,000.00000	10,438.24	9,899.65	538.59

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Telephone Number: (212) 476-5505

ORIGINAL:

12/31/15

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FOR UNDELIVERABLE MAIL ONLY

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THE KIMBALL FOUNDATION

XX-XXX3448

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NEUBERGER BERMAN

2015 Supplemental Gain or (Loss) Information (continued)

Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN/(LOSS)
06/10/15	H	UNITED STATES TREASURY NOTE	912828D56	10,000.00000	9,925.39	9,899.65	25.74
03/03/15	H	UNITED STATES TREASURY NOTE	912828D56	15,000.00000	15,353.26	15,732.48	(379.22)
07/16/15	H	UNITED STATES TREASURY NOTE	912828D56	15,000.00000	15,073.18	14,849.47	223.71
02/09/15	H	UNITED STATES TREASURY NOTE	912828G95	30,000.00000	30,175.68	30,485.89	(310.21)
05/13/15	H	UNITED STATES TREASURY NOTE	912828H52	5,000.00000	4,934.55	4,929.92	4.63
05/05/15	H	UNITED STATES TREASURY NOTE	912828H52	25,000.00000	24,687.42	25,072.39	(384.97)
05/06/15	H	UNITED STATES TREASURY NOTE	912828H52	30,000.00000	29,558.10	29,567.68	(9.58)
05/06/15	H	UNITED STATES TREASURY NOTE	912828H52	35,000.00000	34,484.46	35,101.31	(616.85)
09/10/15	H	UNITED STATES TREASURY NOTE	912828XM7	15,000.00000	15,049.75	15,002.91	46.84
12/09/15	H	UNITED STATES TREASURY NOTE	912828K74	35,000.00000	34,306.70	34,732.17	(425.47)
02/05/15	H	UNITED STATES TREASURY NOTE	912828F62	10,000.00000	10,100.75	9,978.16	122.59
07/20/15	H	UNITED STATES TREASURY NOTE	912828J84	15,000.00000	14,800.73	14,995.95	(195.22)
05/19/15	H	UNITED STATES TREASURY NOTE	912828J27	5,000.00000	4,872.25	4,963.50	(91.25)
05/19/15	H	UNITED STATES TREASURY NOTE	912828J27	10,000.00000	9,744.49	10,013.04	(268.55)
10/06/15	H	UNITED STATES TREASURY NOTE	912828J27	15,000.00000	14,958.34	14,890.49	67.85
11/16/15	H	UNITED STATES TREASURY NOTE	912828XH8	5,000.00000	4,998.81	4,985.56	13.25
07/13/15	H	UNITED STATES TREASURY NOTE	912828XH8	15,000.00000	14,937.84	14,991.26	(53.42)
09/09/15	H	UNITED STATES TREASURY NOTE	912828XH8	15,000.00000	15,068.50	14,991.26	77.24
09/09/15	H	UNITED STATES TREASURY NOTE	912828XH8	20,000.00000	20,091.34	19,942.26	149.08
07/14/15	H	UNITED STATES TREASURY NOTE	912828XH8	35,000.00000	34,939.73	34,979.61	(39.88)

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NEUBERGER BERMAN

NEUBERGER BERMAN

Account No: 541-20018

Account Name: THE KIMBALL FOUNDATION

Recipient's Identification Number: XX-XXX3448

Account Executive No. Z70

ORIGINAL: 12/31/15

NEUBERGER BERMAN LLC

OH4-RM00

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COLUMBUS, OH 43218

FOR UNDELIVERABLE MAIL ONLY

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2015 Supplemental Gain or (Loss) Information (continued)

Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN/(LOSS)
12/11/15	H	UNITED STATES TREASURY NOTE	912828L99	30,000.00000	29,657.71	29,593.20	64.51
75 ITEMS - Total Short-Term Not Reported Transactions Gain or (Loss)					1,166,149.49	1,165,337.38	812.11

2015 Supplemental Gain or (Loss) Information

Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN/(LOSS)
07/13/15	H	CVS CAREMARK CORP SR NT	126650BH2	15,000.00000	16,149.60	14,761.27	1,388.33
05/19/15	H	COMCAST CORP NT	20030NAU5	10,000.00000	11,230.40	10,870.00	360.40
05/19/15	H	COMCAST CORP NT	20030NAU5	30,000.00000	33,691.20	30,221.41	3,469.79
07/16/15	H	**WESTPAC BKG CORP	961214BP7	35,000.00000	35,309.40	35,018.05	291.35
12/09/15	H	**WESTPAC BKG CORP	961214BP7	40,000.00000	40,000.00	40,018.61	(18.61)
07/16/15	H	MCKESSON CORP NEW SENIOR NOTES	581557BE4	15,000.00000	15,149.65	15,000.00	149.55
03/24/15	H	GOLDMAN SACHS GROUP INC NT	38141GFG4	5,000.00000	5,557.60	5,173.07	384.53
04/28/15	H	VENTAS RLT LTD PARTNERSHIP	92277GAD9	10,000.00000	10,301.50	9,930.40	371.10
03/24/15	H	GOLDMAN SACHS GROUP INC	38145XAA1	40,000.00000	40,775.20	39,873.60	901.60
03/06/15	H	HEWLETT PACKARD CO	428236BV4	20,000.00000	21,821.80	20,462.75	1,359.05

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Capital Gains - Last year

1/1/2015 through 12/31/2015

5/23/2016

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
SHORT TERM							
Neuberger Berman #1	VMware Inc	1,000,000	11/26/2014	4/27/2015	90,005.54	85,299.20	4,706.34
Neuberger Berman #1	Activis PLC	250,000	12/31/2014	6/17/2015	65,165.95	65,165.95	0.00
Neuberger Berman #1	Alibaba Group Holdings Ltd	600,000	9/24/2014	6/22/2015	52,193.09	40,800.00	11,393.09
Neuberger Berman #1	Allergan Inc	250,000	12/31/2014	6/22/2015	74,648.62	65,165.95	9,482.67
Neuberger Berman #1	Bristol-Myers Squibb Co	1,500,000	7/2/2014	6/22/2015	99,493.16	73,816.20	25,676.96
Neuberger Berman #1	Diamond Foods Inc	3,000,000	12/31/2014	6/22/2015	97,091.11	86,653.20	10,437.91
Neuberger Berman #1	Occidental Petroleum Corp	300,000	7/2/2014	6/22/2015	23,638.91	29,244.04	-5,605.13
Neuberger Berman #1	Outfront Media Inc.	2,000,000	10/3/2014	6/22/2015	52,426.03	59,401.80	-6,975.77
Neuberger Berman #1	Outfront Media Inc.	2,000,000	12/31/2014	6/22/2015	52,426.03	53,863.75	-1,437.72
Neuberger Berman #1	Outfront Media Inc.	243,000	12/31/2014	6/22/2015	6,369.76	6,439.63	-69.87
Neuberger Berman #1	Ralph Lauren Corp CL A	500,000	8/27/2014	6/22/2015	67,187.41	84,960.50	-17,773.09
TOTAL SHORT TERM					680,645.61	650,810.22	29,835.39
LONG TERM							
Neuberger Berman #1	Amc Entertainment Holdings	2,200,000	12/23/2013	6/22/2015	61,870.78	39,600.00	22,270.78
Neuberger Berman #1	American Express Co	500,000	6/5/2009	6/22/2015	40,346.76	12,625.00	27,721.76
Neuberger Berman #1	American Express Co	500,000	1/23/2012	6/22/2015	40,346.76	25,388.60	14,958.16
Neuberger Berman #1	Apple Inc	1,400,000	6/20/2007	6/22/2015	178,538.71	24,534.00	154,004.71
Neuberger Berman #1	Apple Inc	350,000	4/22/2010	6/22/2015	44,634.68	12,314.21	32,320.47
Neuberger Berman #1	Blackrock Inc	200,000	4/19/2011	6/22/2015	71,882.69	38,583.80	33,298.89
Neuberger Berman #1	Celgene Corp	1,000,000	8/27/2013	6/22/2015	112,537.62	68,781.15	43,756.47
Neuberger Berman #1	Church & Dwight Co. Inc	500,000	10/3/2012	6/22/2015	41,676.28	26,891.11	14,785.17
Neuberger Berman #1	Church & Dwight Co. Inc	500,000	10/31/2012	6/22/2015	41,676.28	25,082.20	16,594.08
Neuberger Berman #1	Walt Disney Co	800,000	6/20/2007	6/22/2015	89,418.35	27,440.00	61,978.35
Neuberger Berman #1	Walt Disney Co	800,000	8/3/2007	6/22/2015	89,418.35	27,280.00	62,138.35
Neuberger Berman #1	Walt Disney Co	200,000	5/3/2010	6/22/2015	22,354.59	7,235.16	15,119.43
Neuberger Berman #1	EOG Resources Inc	400,000	11/18/2013	6/22/2015	35,695.34	33,625.00	2,070.34
Neuberger Berman #1	Exxon Mobil Corp	68,000	6/20/2007	6/22/2015	5,779.90	5,682.76	97.14
Neuberger Berman #1	Exxon Mobil Corp	310,000	6/20/2007	6/22/2015	26,349.55	21,752.50	4,597.05
Neuberger Berman #1	Exxon Mobil Corp	222,000	7/10/2007	6/22/2015	18,869.67	14,987.50	3,882.17
Neuberger Berman #1	Ecolab Inc	500,000	7/10/2007	6/22/2015	57,563.99	21,146.45	36,417.54
Neuberger Berman #1	Ecolab Inc	500,000	11/20/2008	6/22/2015	57,563.99	16,373.35	41,190.64
Neuberger Berman #1	Goldman Sachs Group Inc	350,000	9/20/2013	6/22/2015	74,968.16	58,324.28	16,643.88
Neuberger Berman #1	Goldman Sachs Group Inc	150,000	4/3/2014	6/22/2015	32,129.21	24,586.76	7,542.45
Neuberger Berman #1	Alphabet inc A	50,000	6/20/2007	6/22/2015	27,358.99	12,837.76	14,521.23
Neuberger Berman #1	Alphabet inc A	25,000	7/10/2007	6/22/2015	13,679.50	6,793.35	6,886.15

Capital Gains - Last year

1/1/2015 through 12/31/2015

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Account	Security	Symbol	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
SHORT TERM								
Atlantic Trust	Marathon Oil Corp.	MRO	1,010.000	7/6/2015	8/18/2015	18,186.88	26,769.55	-8,582.67
Atlantic Trust	Express Scripts Inc	ESRX	200.000	7/13/2015	11/2/2015	16,568.74	17,546.00	-977.26
Atlantic Trust	TJX Companies Inc	TJX	150.000	7/6/2015	11/2/2015	10,796.85	9,954.09	842.76
Atlantic Trust	Walt Disney Co	DIS	140.000	7/22/2015	11/2/2015	15,922.76	16,610.72	-687.96
Atlantic Trust	Mylan Inc	MYL	201.000	7/9/2015	11/5/2015	9,183.31	14,194.42	-5,011.11
Atlantic Trust	Allergan Inc	AGN	95.000	7/17/2015	11/19/2015	28,223.11	30,586.72	-2,363.61
Atlantic Trust	Medtronic Inc	mdt	375.000	7/13/2015	11/19/2015	28,191.99	27,372.75	819.24
Atlantic Trust	Aetna, Inc.	AET	180.000	7/17/2015	11/19/2015	18,800.72	20,792.88	-1,992.16
Atlantic Trust	Alliance data System	ADS	45.000	7/6/2015	11/19/2015	12,895.33	13,143.02	-247.69
Atlantic Trust	Amazon Com Inc	AMZN	60.000	7/13/2015	11/19/2015	38,071.09	25,757.40	12,313.69
Atlantic Trust	American Express Co	AXP	175.000	7/6/2015	11/19/2015	12,468.02	13,635.83	-1,167.81
Atlantic Trust	Anadarko Petroleum Corp	APC	315.000	7/6/2015	11/19/2015	19,069.62	24,606.23	-5,536.61
Atlantic Trust	Apple Inc	AAPL	295.000	7/13/2015	11/19/2015	33,462.61	36,237.51	-2,774.90
Atlantic Trust	Automatic Data Processing Inc	ADP	135.000	7/17/2015	11/19/2015	11,572.43	11,113.07	459.36
Atlantic Trust	Automatic Data Processing Inc	ADP	200.000	8/18/2015	11/19/2015	17,144.34	16,504.99	639.35
Atlantic Trust	Bank of America Corp	BAC	510.000	11/2/2015	11/19/2015	8,765.95	8,392.04	373.91
Atlantic Trust	Blackrock Inc	BLK	35.000	7/6/2015	11/19/2015	12,106.87	12,087.67	19.20
Atlantic Trust	Boeing Co	BA	125.000	7/6/2015	11/19/2015	17,964.48	17,406.13	558.35
Atlantic Trust	CVS Caremark Corp	CVS	210.000	7/17/2015	11/19/2015	19,385.24	23,142.84	-3,757.60
Atlantic Trust	Capital One Financial Corp	COF	520.000	7/17/2015	11/19/2015	40,159.37	46,750.91	-6,591.54
Atlantic Trust	Cisco Systems Inc	CSCO	705.000	7/17/2015	11/19/2015	18,778.95	19,802.75	-1,023.80
Atlantic Trust	Citigroup Inc	C	275.000	7/6/2015	11/19/2015	14,575.16	15,172.55	-597.39
Atlantic Trust	Citrix Systems Incorporated	CTXS	160.000	7/6/2015	11/19/2015	12,678.50	11,161.58	1,516.92
Atlantic Trust	Colgate-Palmolive Co	CL	165.000	7/6/2015	11/19/2015	10,762.74	10,836.03	-73.29
Atlantic Trust	Comcast Corp	CMCSA	230.000	7/17/2015	11/19/2015	13,953.17	14,766.92	-813.75
Atlantic Trust	Danaher Corp	DHR	195.000	7/17/2015	11/19/2015	18,471.39	17,247.32	1,224.07
Atlantic Trust	Walt Disney Co	DIS	160.000	7/22/2015	11/19/2015	18,421.43	18,983.68	-562.25
Atlantic Trust	Dollar General Corp	DG	230.000	7/17/2015	11/19/2015	13,910.85	18,311.22	-4,400.37
Atlantic Trust	EMC Corp MASS	EMC	705.000	7/17/2015	11/19/2015	17,756.79	17,751.20	5.59
Atlantic Trust	EOG Resources Inc	EOG	95.000	7/6/2015	11/19/2015	7,913.33	8,237.07	-323.74
Atlantic Trust	EQT Corp	EQT	105.000	7/6/2015	11/19/2015	6,567.08	8,532.93	-1,965.85
Atlantic Trust	Express Scripts Inc	ESRX	225.000	7/13/2015	11/19/2015	18,908.72	19,739.25	-830.53
Atlantic Trust	Fidelity National Financial Inc.	FNF	185.000	7/6/2015	11/19/2015	12,064.67	11,434.85	629.82
Atlantic Trust	Fiserv Inc.	FISV	290.000	7/29/2015	11/19/2015	27,516.85	25,007.89	2,508.96
Atlantic Trust	General Electric Co	GE	1,385.000	7/13/2015	11/19/2015	42,171.69	35,910.42	6,261.27
Atlantic Trust	Home Depot Inc	HD	170.000	7/22/2015	11/19/2015	20,272.36	19,479.79	792.57

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Atlantic Trust	JPMorgan Chase & Co	jpm	355.000	7/17/2015	11/19/2015	23,346.07	24,507.07	-1,161.00
Atlantic Trust	Johnson & Johnson	JNJ	190.000	7/17/2015	11/19/2015	19,080.02	18,901.18	178.84
Atlantic Trust	Macy's Inc	M	80.000	7/17/2015	11/19/2015	3,047.19	5,340.32	-2,293.13
Atlantic Trust	Merck & Co Inc	MRK	290.000	7/6/2015	11/19/2015	15,432.11	16,558.71	-1,126.60
Atlantic Trust	Microsoft Corp	MSFT	250.000	7/17/2015	11/19/2015	13,366.83	11,447.25	1,919.58
Atlantic Trust	Nextera Energy Inc	NEE	140.000	8/18/2015	11/19/2015	14,165.79	15,225.64	-1,059.85
Atlantic Trust	Omicron Group Inc	OMC	315.000	7/6/2015	11/19/2015	22,787.94	21,842.73	945.21
Atlantic Trust	Oracle Corporation	ORCL	600.000	7/17/2015	11/19/2015	22,793.09	24,506.40	-1,713.31
Atlantic Trust	Panera Bread Company	PNRA	45.000	7/9/2015	11/19/2015	7,572.65	7,851.60	-278.95
Atlantic Trust	Pepsico Inc	PEP	140.000	7/6/2015	11/19/2015	13,854.18	13,130.46	723.72
Atlantic Trust	Praxair Inc	PX	140.000	7/6/2015	11/19/2015	16,162.16	16,701.69	-539.53
Atlantic Trust	Prudential Financial Inc	pru	265.000	7/17/2015	11/19/2015	22,397.72	23,552.94	-1,155.22
Atlantic Trust	Qualcomm Inc	QCOM	125.000	7/6/2015	11/19/2015	6,555.94	7,863.63	-1,307.69
Atlantic Trust	Simon Property Group Inc.	SPG	75.000	7/6/2015	11/19/2015	13,953.54	13,003.28	950.26
Atlantic Trust	Stryker Corp	SYK	185.000	7/6/2015	11/19/2015	17,776.25	17,674.16	102.09
Atlantic Trust	TJX Companies Inc	TJX	225.000	7/6/2015	11/19/2015	14,425.66	14,931.14	-505.48
Atlantic Trust	Thermo Fisher Scientific Inc	TMO	40.000	7/17/2015	11/19/2015	5,377.39	5,401.08	-23.69
Atlantic Trust	US Bancorp	USB	445.000	7/22/2015	11/19/2015	19,008.22	20,351.63	-1,343.41
Atlantic Trust	United Technologies Corp	UTX	150.000	7/6/2015	11/19/2015	14,564.18	16,745.82	-2,181.64
Atlantic Trust	UnitedHealth Group Inc	UNH	280.000	7/13/2015	11/19/2015	31,764.39	33,274.75	-1,510.36
Atlantic Trust	VF Corp	VFC	180.000	7/6/2015	11/19/2015	11,154.80	12,525.15	-1,370.35
Atlantic Trust	Verizon Communication	VZ	375.000	7/6/2015	11/19/2015	16,818.87	17,557.13	-738.26
Atlantic Trust	Visa Inc	V	195.000	7/6/2015	11/19/2015	15,221.44	13,090.33	2,131.11
Atlantic Trust	Wells Fargo & Co	WFC	400.000	7/22/2015	11/19/2015	21,906.69	23,093.60	-1,186.91
Atlantic Trust	Williams Cos Inc	wmb	330.000	7/29/2015	11/19/2015	12,175.09	17,449.84	-5,274.75
Atlantic Trust	Bank of America Corp	BAC	1,290.000	11/2/2015	12/4/2015	22,892.74	21,226.91	1,665.83
Atlantic Trust	Altera Corporation	ALTR	242.000	7/9/2015	12/28/2015	13,068.00	12,436.14	631.86
Atlantic Trust	Altera Corporation	ALTR	255.000	11/19/2015	12/28/2015	13,770.00	13,416.60	353.40
TOTAL SHORT TERM						1,108,102.34	1,144,587.40	-36,485.06
OVERALL TOTAL						1,108,102.34	1,144,587.40	-36,485.06

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Security

Symbol

Shares

Bought

plus

Gross Proceeds

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Wells Fargo E...National Grid PLC	14.000	12/24/2014	17/2015	987.12	996.26	-9.14
Wells Fargo E...Merck & Co Inc	65.000	5/8/2014	1/8/2015	4,072.82	3,770.67	302.15
Wells Fargo E...Merck & Co Inc	27.000	9/9/2014	1/8/2015	1,691.79	1,642.46	49.33
Wells Fargo E...Indivior PLC	0.600	12/29/2014	1/13/2015	6.77	5.64	1.13
Wells Fargo E...Monster Beverage Corp	1,000.000	12/16/2014	1/15/2015	114,095.48	108,984.10	5,111.38
Wells Fargo E...Indivior PLC	13.000	12/29/2014	1/20/2015	147.80	122.25	25.55
Wells Fargo E...BHP Billiton Ltd	35.000	5/6/2014	1/28/2015	1,595.27	2,480.84	-885.57
Wells Fargo E...Cisco Systems Inc	170.000	3/5/2014	1/28/2015	4,787.65	3,733.51	1,054.14
Wells Fargo E...Cisco Systems Inc	55.000	11/19/2014	1/28/2015	1,548.95	1,431.58	117.37
Wells Fargo E...Dominion Resources Inc	8.000	8/18/2014	1/28/2015	633.67	542.00	91.67
Wells Fargo E...Exxon Mobil Corp	33.000	8/1/2014	1/28/2015	3,011.53	3,442.91	-431.38
Wells Fargo E...Johnson & Johnson	25.000	5/8/2014	1/28/2015	2,557.21	2,483.83	73.38
Wells Fargo E...Johnson & Johnson	6.000	8/18/2014	1/28/2015	613.73	609.72	4.01
Wells Fargo E...LVMH Moet Hennessy Louis V...LVMUY	65.000	3/5/2014	1/28/2015	2,066.96	2,416.92	-349.96
Wells Fargo E...LVMH Moet Hennessy Louis V...LVMUY	41.000	9/9/2014	1/28/2015	1,303.77	1,461.65	-157.88
Wells Fargo E...LyondellBasell Industries NV	26.000	8/1/2014	1/28/2015	2,106.48	2,854.34	-747.86
Wells Fargo E...LyondellBasell Industries NV	23.000	11/19/2014	1/28/2015	1,863.42	2,032.66	-169.24
Wells Fargo E...Compagnie Generale des Eta...MGDDY	58.000	12/24/2014	1/28/2015	1,099.08	1,053.11	45.97
Wells Fargo E...Nestle SA	20.000	3/5/2014	1/28/2015	1,549.17	1,510.35	38.82
Wells Fargo E...Nestle SA	7.000	8/18/2014	1/28/2015	542.21	532.21	10.00
Wells Fargo E...Novartis AG	21.000	11/19/2014	1/28/2015	2,033.39	1,967.32	66.07
Wells Fargo E...Pfizer Inc	41.000	11/19/2014	1/28/2015	1,333.35	1,245.61	87.74
Wells Fargo E...Koninklijke Philips Electronics ...PHG	36.000	9/9/2014	1/28/2015	1,081.42	1,103.50	-22.08
Wells Fargo E...Koninklijke Philips Electronics ...PHG	45.000	12/24/2014	1/28/2015	1,351.78	1,300.81	50.97
Wells Fargo E...Rio Tinto Fin USA PLC	49.000	9/9/2014	1/28/2015	2,116.75	2,609.45	-492.70
Wells Fargo E...Roche Holdings LTD	15.000	8/18/2014	1/28/2015	528.89	539.10	-10.21
Wells Fargo E...Royal Dutch Shell PLC	7.000	8/18/2014	1/28/2015	460.17	553.24	-93.07
Wells Fargo E...Royal Dutch Shell PLC	27.000	12/24/2014	1/28/2015	1,774.95	1,820.29	-45.34
Wells Fargo E...Sanofi Aventis SA	29.000	9/9/2014	1/28/2015	1,366.74	1,617.27	-250.53
Wells Fargo E...Siemens Aktien ADR	15.000	9/9/2014	1/28/2015	1,656.72	1,911.81	-255.09
Wells Fargo E...Toyota Motor Corp	41.000	8/1/2014	1/28/2015	5,311.03	4,968.52	342.51
Wells Fargo E...Diageo PLC	6.000	9/9/2014	1/28/2015	706.64	729.00	-22.36
Wells Fargo E...Hermes Intl	1.034	3/1/2015	3/4/2015	365.58	377.33	-11.75
Wells Fargo E...Parametric Emerging Markets ...EIEMX	2,359.109	4/15/2014	3/5/2015	33,404.98	36,000.00	-2,595.02
Wells Fargo E...WF Advantage Small Compan...WSCGX	2,164.502	11/28/2014	3/6/2015	98,701.29	95,000.00	3,701.29
Wells Fargo E...WF Advantage Small Compan...WSCGX	1,393.728	12/3/2014	3/6/2015	63,554.00	60,000.00	3,554.00

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Wells Fargo E...	Delaware Pooled Trust Interna...	DPIEX	4,663.558	11/4/2014	3/12/2015	67,341.78	70,000.00	-2,658.22
Wells Fargo E...	Delaware Pooled Trust Interna...	DPIEX	9,127.789	2/6/2015	3/12/2015	131,805.27	135,000.00	-3,194.73
Wells Fargo E...	Westcore Small-Cap Value Di...	WISVX	3,963.012	11/28/2014	3/12/2015	51,519.16	60,000.00	-8,480.84
Wells Fargo E...	Westcore Small-Cap Value Di...	WISVX	6,483.957	12/3/2014	3/12/2015	84,291.44	97,000.00	-12,708.56
Wells Fargo E...	Credit Suisse Commodity Ret...	crsox	11,094.675	11/4/2014	3/23/2015	63,572.49	75,000.00	-11,427.51
Wells Fargo E...	Las Vegas Sands Corp	LVS	700.000	12/16/2014	6/2/2015	35,647.75	39,305.63	-3,657.88
Wells Fargo E...	National Oilwell Varco Inc	NOV	2,100.000	12/18/2014	6/2/2015	104,498.07	142,629.19	-38,131.12
Wells Fargo E...	Apache Corp	APA	400.000	12/26/2014	7/13/2015	21,368.44	29,710.33	-8,341.89
Wells Fargo E...	Apache Corp	APA	1,400.000	12/26/2014	8/3/2015	65,447.11	103,986.14	-38,539.03
Wells Fargo E...	Apache Corp	APA	900.000	6/2/2015	8/3/2015	42,073.15	53,919.63	-11,846.48
Wells Fargo E...	Vanguard FTSE Emerging Ma...	VWO	2,650.000	11/6/2014	10/15/2015	95,663.24	112,492.24	-16,829.00
Wells Fargo E...	EMC Corp MASS	EMC	2,100.000	6/2/2015	10/30/2015	54,654.01	55,786.50	-1,132.49
TOTAL SHORT TERM						1,179,910.47	1,328,679.92	-148,769.45

LONG TERM

Wells Fargo E...	National Grid PLC	NGG	35.000	9/4/2013	1/7/2015	2,467.80	2,025.85	441.95
Wells Fargo E...	British American Tobacco PLC	BTI	60.000	9/4/2013	1/28/2015	6,808.65	6,103.80	704.85
Wells Fargo E...	Chevron Corp	CVX	60.000	9/4/2013	1/28/2015	6,463.20	7,230.57	-767.37
Wells Fargo E...	Deutsche Boerse AG	DBOEY	370.000	9/4/2013	1/28/2015	2,712.04	2,574.46	137.58
Wells Fargo E...	Dominion Resources Inc	D	55.000	9/4/2013	1/28/2015	4,356.45	3,226.24	1,130.21
Wells Fargo E...	Duke Energy Corp	DUK	37.000	9/4/2013	1/28/2015	3,284.78	2,433.11	851.67
Wells Fargo E...	Eaton Corporation	ETN	16.189	2/5/2013	1/28/2015	1,085.26	917.40	167.86
Wells Fargo E...	Eaton Corporation	ETN	52.812	9/4/2013	1/28/2015	3,540.41	3,357.95	182.46
Wells Fargo E...	Eli Lilly and Co	LLY	55.000	9/4/2013	1/28/2015	3,968.17	2,833.60	1,134.57
Wells Fargo E...	Eni SpA	E	77.000	9/4/2013	1/28/2015	2,591.76	3,579.02	-987.26
Wells Fargo E...	GlaxoSmithkline PLC	GSK	85.000	9/4/2013	1/28/2015	3,824.08	4,394.33	-570.25
Wells Fargo E...	Harris Corporation	HRS	45.000	9/4/2013	1/28/2015	3,138.23	2,548.79	589.44
Wells Fargo E...	HSBC Holdings PLC	HBC	115.000	9/4/2013	1/28/2015	5,679.59	6,144.33	-464.74
Wells Fargo E...	Johnson & Johnson	JNJ	60.000	9/4/2013	1/28/2015	6,137.29	5,207.34	929.95
Wells Fargo E...	Kimberly-Clark Corp	KMB	25.000	9/4/2013	1/28/2015	2,796.94	2,233.01	563.93
Wells Fargo E...	Las Vegas Sands Corp	LVS	60.000	9/4/2013	1/28/2015	3,230.34	3,388.28	-157.94
Wells Fargo E...	Lockheed Martin Corp	LMT	27.000	9/4/2013	1/28/2015	5,338.32	3,370.47	2,027.85
Wells Fargo E...	McDonald's Corp	MCD	30.000	9/4/2013	1/28/2015	2,693.04	2,650.29	-157.25
Wells Fargo E...	Compagnie Generale des Eta...	MGDDY	135.000	9/4/2013	1/28/2015	2,558.19	2,643.17	-84.98
Wells Fargo E...	Microsoft Corp	MSFT	120.000	9/4/2013	1/28/2015	5,650.68	4,015.15	1,635.53
Wells Fargo E...	Nestle SA	NSRGY	90.000	9/4/2013	1/28/2015	6,971.25	5,871.77	1,099.48
Wells Fargo E...	Novartis AG	NVS	55.000	9/4/2013	1/28/2015	5,325.54	4,002.73	1,322.81
Wells Fargo E...	Pfizer Inc	PFE	235.000	9/4/2013	1/28/2015	7,642.39	6,623.47	1,018.92

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SHORT TERM								
DODGE & COX Medtronic Inc	mdt		200.000	2/5/2015	4/2/2015	15,668.73	15,390.00	278.73
DODGE & COX Alphabet Inc C	GOOG		0.098	6/10/2014	5/5/2015	54.67	53.92	0.75
DODGE & COX Time Inc	TIME		400.000	6/19/2014	6/16/2015	9,385.64	9,259.72	125.92
DODGE & COX Paypal	PYPL		200.000	8/8/2014	8/5/2015	7,742.69	6,435.40	1,307.29
DODGE & COX Chevron Corp	CVX		200.000	10/15/2014	9/17/2015	15,103.23	23,192.32	-8,089.09
DODGE & COX Juniper Networks Inc	JNPR		200.000	4/2/2015	12/1/2015	6,079.92	4,508.50	1,571.42
DODGE & COX Juniper Networks Inc	JNPR		200.000	4/2/2015	12/3/2015	6,071.68	4,508.50	1,563.18
DODGE & COX Juniper Networks Inc	JNPR		200.000	4/2/2015	12/7/2015	5,948.99	4,508.50	1,440.49
DODGE & COX Juniper Networks Inc	JNPR		1,400.000	4/2/2015	12/15/2015	40,833.09	31,559.50	9,273.59
DODGE & COX Juniper Networks Inc	JNPR		700.000	6/16/2015	12/15/2015	20,416.55	19,501.93	914.62
TOTAL SHORT TERM						127,305.19	118,918.29	8,386.90
LONG TERM								
DODGE & COX Medtronic Inc	mdt		400.000	10/6/2009	2/4/2015	30,780.00	14,379.52	16,400.48
DODGE & COX Medtronic Inc	mdt		200.000	10/9/2009	2/4/2015	15,390.00	7,812.72	7,577.28
DODGE & COX Medtronic Inc	mdt		300.000	12/2/2011	2/4/2015	23,085.00	10,526.19	12,558.81
DODGE & COX Medtronic Inc	mdt		300.000	4/4/2012	2/4/2015	23,085.00	11,725.50	11,359.50
DODGE & COX Merck & Co Inc	MRK		100.000	4/30/2009	2/10/2015	5,884.19	2,439.45	3,444.74
DODGE & COX Merck & Co Inc	MRK		100.000	5/1/2009	2/10/2015	5,884.19	2,405.49	3,478.70
DODGE & COX Merck & Co Inc	MRK		100.000	5/1/2009	2/11/2015	5,871.91	2,405.49	3,466.42
DODGE & COX Merck & Co Inc	MRK		100.000	5/4/2009	2/11/2015	5,871.91	2,447.97	3,423.94
DODGE & COX Merck & Co Inc	MRK		100.000	5/4/2009	2/12/2015	5,885.30	2,447.97	3,437.33
DODGE & COX Merck & Co Inc	MRK		100.000	5/4/2009	2/12/2015	5,885.30	2,456.08	3,429.22
DODGE & COX Merck & Co Inc	MRK		100.000	5/4/2009	2/13/2015	5,875.15	2,456.08	3,419.07
DODGE & COX Merck & Co Inc	MRK		100.000	5/5/2009	2/13/2015	5,875.15	2,459.03	3,416.12
DODGE & COX Merck & Co Inc	MRK		200.000	5/5/2009	2/16/2015	11,753.94	4,918.06	6,835.88
DODGE & COX Fedex Corp	fdx		100.000	4/27/1998	3/1/2015	17,637.56	3,470.75	14,166.81
DODGE & COX Liberty Ventures	lvnta		3,490	1/24/2008	3/1/2015	140.95	48.37	92.58
DODGE & COX Liberty Ventures	lvnta		186.510	12/8/2008	3/1/2015	7,937.14	422.62	7,514.52
DODGE & COX Liberty Ventures	lvnta		230.000	12/8/2008	3/1/2015	9,353.41	494.65	8,858.76
DODGE & COX Merck & Co Inc	MRK		200.000	5/12/2009	3/1/2015	11,778.06	4,979.16	6,798.90
DODGE & COX Novartis AG	NVS		150.000	6/5/2007	3/23/2015	14,718.12	8,458.40	6,259.72
DODGE & COX Novartis AG	NVS		250.000	6/18/2007	3/23/2015	24,530.20	13,906.25	10,623.95
DODGE & COX Koninklijke Philips Electronics ..	PHG		400.000	10/24/2011	4/2/2015	11,502.59	8,325.32	3,177.27
DODGE & COX Pfizer Inc	PFE		261.000	3/1/2009	4/2/2015	9,151.17	3,344.77	5,806.40
DODGE & COX Pfizer Inc	PFE		139.000	3/19/2009	4/2/2015	4,873.61	1,886.09	2,987.52

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SHORT TERM								
Citibank	NewCastle Invnt. Corp.	NCT	2,843.167	8/13/2014	3/9/2015	12,947.54	54,344.86	-41,397.32
Citibank	NewCastle Invnt. Corp.	NCT	286.667	8/13/2014	3/9/2015	1,305.46	5,441.23	-4,135.77
Citibank	Activis PLC	ACT	765.000	11/18/2014	6/17/2015	198,910.79	198,910.79	0.00
Citibank	Activis PLC	ACT	220.000	11/26/2014	6/17/2015	59,393.75	59,393.75	0.00
Citibank	Activis PLC	ACT	215.000	1/15/2015	6/17/2015	57,239.39	57,239.39	0.00
Citibank	Liberty Ventures	lvnta	70.000	12/30/2014	6/26/2015	2,900.36	2,620.74	279.62
Citibank	Liberty Ventures	lvnta	5.000	12/31/2014	6/26/2015	207.17	189.47	17.70
Citibank	Liberty Ventures	lvnta	180.000	12/31/2014	6/26/2015	7,357.40	6,821.06	536.34
Citibank	Liberty Ventures	lvnta	85.000	12/31/2014	6/29/2015	3,483.18	3,221.06	262.12
Citibank	Liberty Ventures	lvnta	55.000	12/31/2014	6/29/2015	2,168.24	2,084.21	84.03
Citibank	Liberty Ventures	lvnta	25.000	1/2/2015	6/29/2015	985.56	929.87	55.69
Citibank	Liberty Ventures	lvnta	70.000	1/2/2015	6/30/2015	2,863.07	2,603.64	259.43
Citibank	Liberty Ventures	lvnta	350.000	1/2/2015	6/30/2015	13,750.44	13,018.18	732.26
Citibank	Liberty Ventures	lvnta	55.000	1/2/2015	7/1/2015	2,148.83	2,045.71	103.12
Citibank	Liberty Ventures	lvnta	209.000	1/5/2015	7/1/2015	8,165.54	7,673.46	492.08
Citibank	Liberty Ventures	lvnta	226.000	1/5/2015	7/8/2015	8,805.97	8,297.61	508.36
Citibank	Paypal	PYPL	5.000	10/17/2014	8/25/2015	174.20	146.68	27.52
Citibank	Paypal	PYPL	1,285.000	10/17/2014	8/27/2015	40,285.41	37,697.78	2,587.63
Citibank	Plains GP Holdings LP	PAGP	865.000	11/11/2014	1/4/2015	10,910.82	22,629.44	-11,718.62
Citibank	Plains GP Holdings LP	PAGP	9,565.000	11/11/2014	1/4/2015	120,649.72	239,125.00	-118,475.28
Citibank	Plains GP Holdings LP	PAGP	1,575.000	11/11/2014	1/4/2015	19,866.53	40,761.00	-20,894.47
Citibank	New Residential Invnt. Corp.	NRZ	1,080.000	3/13/2015	11/10/2015	13,917.38	16,013.91	-2,096.53
Citibank	New Residential Invnt. Corp.	NRZ	680.000	3/13/2015	11/11/2015	8,695.13	10,082.84	-1,387.71
Citibank	New Residential Invnt. Corp.	NRZ	120.000	4/13/2015	11/11/2015	1,534.44	1,894.98	-360.54
Citibank	New Residential Invnt. Corp.	NRZ	555.000	4/13/2015	11/12/2015	6,834.08	8,764.28	-1,930.20
Citibank	New Residential Invnt. Corp.	NRZ	100.000	4/13/2015	11/13/2015	1,207.00	1,579.15	-372.15
Citibank	New Residential Invnt. Corp.	NRZ	660.000	4/13/2015	11/13/2015	7,966.18	10,065.00	-2,098.82
Citibank	New Residential Invnt. Corp.	NRZ	815.000	4/13/2015	11/16/2015	9,915.02	12,428.75	-2,513.73
Citibank	New Residential Invnt. Corp.	NRZ	245.000	4/13/2015	11/17/2015	2,950.16	3,736.25	-786.09
Citibank	New Sr. Invnt. Group Inc.	snr	299.000	8/21/2015	12/14/2015	2,629.78	3,572.75	-942.97
Citibank	New Sr. Invnt. Group Inc.	snr	2,555.000	8/21/2015	12/15/2015	22,489.71	30,529.70	-8,039.99
Citibank	New Sr. Invnt. Group Inc.	snr	326.000	8/21/2015	12/16/2015	2,976.84	3,895.37	-918.53
Citibank	cabelas	CAB	565.000	1/8/2015	12/17/2015	26,284.78	32,022.11	-5,737.33
Citibank	cabelas	CAB	85.000	1/9/2015	12/17/2015	3,954.35	4,763.95	-809.60
Citibank	cabelas	CAB	290.000	1/9/2015	12/18/2015	13,376.55	16,253.48	-2,876.93
Citibank	cabelas	CAB	250.000	1/9/2015	12/21/2015	11,490.04	14,011.62	-2,521.58

Capital Gains - Last year

1/1/2015 through 12/31/2015

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Account	Security	Symbol	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
Citibank	cabelas	CAB	230.000	1/12/2015	12/21/2015	10,570.83	12,897.94	-2,327.11
Citibank	cabelas	CAB	430.000	1/12/2015	12/22/2015	19,855.96	24,113.54	-4,257.58
Citibank	cabelas	CAB	235.000	1/13/2015	12/22/2015	10,851.51	13,124.25	-2,272.74
Citibank	cabelas	CAB	230.000	1/13/2015	12/28/2015	10,677.71	12,845.02	-2,167.31
Citibank	cabelas	CAB	275.000	2/12/2015	12/28/2015	12,766.83	14,238.15	-1,471.32
Citibank	cabelas	CAB	365.000	2/12/2015	12/29/2015	17,070.51	18,897.91	-1,827.40
Citibank	cabelas	CAB	335.000	2/24/2015	12/29/2015	15,667.46	17,552.12	-1,884.66
Citibank	cabelas	CAB	125.000	2/25/2015	12/29/2015	5,846.07	6,668.32	-822.25
Citibank	cabelas	CAB	65.000	2/25/2015	12/30/2015	3,031.19	3,467.53	-436.34
Citibank	cabelas	CAB	90.000	2/26/2015	12/30/2015	4,197.03	4,888.56	-691.53
Citibank	cabelas	CAB	250.000	7/28/2015	12/30/2015	11,658.41	11,465.50	192.91
Citibank	cabelas	CAB	610.000	7/28/2015	12/31/2015	28,496.23	27,975.82	520.41
TOTAL SHORT TERM						861,430.55	1,102,943.73	-241,513.18

LONG TERM

Citibank	Directv Group Holdings CL A	DTV	380.000	4/1/2013	1/5/2015	32,927.45	21,537.03	11,390.42
Citibank	Directv Group Holdings CL A	DTV	995.000	4/1/2013	1/8/2015	85,553.09	56,393.02	29,160.07
Citibank	Directv Group Holdings CL A	DTV	100.000	4/2/2013	1/8/2015	8,598.30	5,717.84	2,880.46
Citibank	Directv Group Holdings CL A	DTV	780.000	4/2/2013	1/9/2015	66,737.58	44,599.15	22,138.43
Citibank	Directv Group Holdings CL A	DTV	680.000	4/2/2013	1/12/2015	57,755.88	38,881.31	18,874.57
Citibank	Directv Group Holdings CL A	DTV	530.000	4/2/2013	1/13/2015	45,056.42	30,304.55	14,751.87
Citibank	Directv Group Holdings CL A	DTV	450.000	8/22/2013	1/13/2015	38,255.46	26,171.82	12,083.64
Citibank	Directv Group Holdings CL A	DTV	530.000	8/22/2013	1/14/2015	44,640.96	30,824.59	13,816.37
Citibank	Directv Group Holdings CL A	DTV	65.000	8/23/2013	1/14/2015	5,474.84	3,784.92	1,689.92
Citibank	Unilever PLC	un	4,405.000	12/30/2009	1/29/2015	192,195.14	143,530.32	48,664.82
Citibank	Digital Realty Trust Inc	DLR	335.000	12/23/2013	2/12/2015	23,617.67	16,213.10	7,404.57
Citibank	Digital Realty Trust Inc	DLR	465.000	12/24/2013	2/12/2015	32,782.73	22,722.83	10,059.90
Citibank	Digital Realty Trust Inc	DLR	270.000	12/26/2013	2/12/2015	19,035.14	13,248.25	5,786.89
Citibank	Digital Realty Trust Inc	DLR	275.000	12/26/2013	2/13/2015	19,249.09	13,493.59	5,755.50
Citibank	Digital Realty Trust Inc	DLR	140.000	12/27/2013	2/13/2015	9,799.54	6,863.60	2,935.94
Citibank	Cinemark Holdings Inc	CNK	1,055.000	8/9/2011	2/13/2015	41,012.89	19,549.68	21,463.21
Citibank	Cinemark Holdings Inc	CNK	35.000	8/10/2011	2/13/2015	1,360.62	656.17	704.45
Citibank	Cinemark Holdings Inc	CNK	290.000	8/10/2011	2/17/2015	11,320.14	5,436.83	5,883.31
Citibank	Cinemark Holdings Inc	CNK	465.000	8/16/2011	2/17/2015	18,151.26	9,012.35	9,138.91
Citibank	Cinemark Holdings Inc	CNK	340.000	8/16/2011	2/18/2015	14,030.52	6,589.68	7,440.84
Citibank	Cinemark Holdings Inc	CNK	710.000	8/17/2011	2/18/2015	29,299.03	14,150.58	15,148.45
Citibank	Cinemark Holdings Inc	CNK	510.000	8/18/2011	2/18/2015	21,045.78	9,850.19	11,195.59
Citibank	Cinemark Holdings Inc	CNK	595.000	8/19/2011	2/18/2015	24,553.41	11,680.09	12,873.32

The Kimball Foundation
Profit & Loss Prev Year Comparison
January through December 2015

Kimball Foundation	<u>Jan - Dec 15</u>		
Capital Gain Long Term			
716 · Charles Schwab-LT	291,331.86	1878397.36	1587065.5
711 · Citibank-Cap Gain LT	1,180,994.40	5290451.7	4,109,457.32
719 · Neuberger Berman #3091	13,629.43	1117345.63	1103716.2
720 · Neuberger Berman #3090 - LTCG	1,456,815.53	3579115.08	2,122,299.55
717 · WFB - Fixed #216853-Cap Gain LT	-88,371.60	477680.57	566,052.17
717 · WFB - Fixed #216853-Cap Gain LT	-17,343.20	752735.88	770,079.08
718 · Wells Fargo Equity IMA-CGLT	455,784.54	2293107.76	1,837,323.21
715 · WFB - D&C #214533-Cap Gain LT	756,733.16	2212733.89	1,456,000.62
Total Capital Gain Long Term	4,049,574.12	17,601,567.87	13,551,993.65

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Schwab One® Account of
THE KIMBALL FOUNDATION

2015 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out (FIFO)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
VF CORPORATION: VFC	125.0000	09/24/15	12/09/15	\$7,831.18	\$8,748.49	(\$917.31)
Security Subtotal				\$40,721.31	\$45,492.12	(\$4,770.81)
WALT DISNEY CO: DIS	300.0000	10/03/14	07/15/15	\$35,565.45	\$26,663.78	\$8,901.67
Security Subtotal				\$35,565.45	\$26,663.78	\$8,901.67
WHOLE FOODS MARKET INC: WFM	24.0000	03/24/14	03/17/15	\$1,297.71	\$1,290.98	\$6.73
WHOLE FOODS MARKET INC: WFM	100.0000	03/24/14	03/17/15	\$5,407.11	\$5,379.08	\$28.03
WHOLE FOODS MARKET INC: WFM	100.0000	03/24/14	03/17/15	\$5,408.11	\$5,379.08	\$29.03
WHOLE FOODS MARKET INC: WFM	276.0000	03/24/14	03/17/15	\$14,923.63	\$14,846.25	\$77.38
Security Subtotal				\$27,036.56	\$26,895.39	\$141.17
Total Short-Term				\$616,592.71	\$597,727.81	\$18,864.90
Long-Term						
AMGEN INCORPORATED: AMGN	150.0000	07/11/13	03/17/15	\$24,361.78	\$15,456.92	\$8,904.86
Security Subtotal				\$24,361.78	\$15,456.92	\$8,904.86

2015 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out (FIFO)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ATMEL CORP: ATML	8,000.0000	09/08/14	12/16/15	\$68,982.12	\$71,055.00	(\$2,072.88)
Security Subtotal				\$68,982.12	\$71,055.00	(\$2,072.88)
BAKER HUGHES INC: BHI	100.0000	02/23/12	03/17/15	\$5,922.00	\$5,234.25	\$687.75
BAKER HUGHES INC: BHI	100.0000	02/23/12	03/17/15	\$5,920.01	\$5,234.25	\$685.76
BAKER HUGHES INC: BHI	300.0000	02/23/12	03/17/15	\$17,758.03	\$15,702.75	\$2,055.28
BAKER HUGHES INC: BHI	500.0000	02/23/12	03/17/15	\$29,604.98	\$26,171.26	\$3,433.72
Security Subtotal				\$59,205.02	\$52,342.51	\$6,862.51
BANK OF AMERICA CORP: BAC	6,000.0000	08/14/12	04/15/15	\$94,063.26	\$46,869.99	\$47,193.27
Security Subtotal				\$94,063.26	\$46,869.99	\$47,193.27
BOEING CO: BA	200.0000	04/20/12	04/15/15	\$30,436.97	\$14,736.89	\$15,700.08
Security Subtotal				\$30,436.97	\$14,736.89	\$15,700.08
BRISTOL-MYERS SQUIBB: BMY	800.0000	02/23/12	09/24/15	\$48,968.09	\$25,900.72	\$23,067.37
Security Subtotal				\$48,968.09	\$25,900.72	\$23,067.37
CATERPILLAR INC: CAT	400.0000	01/18/13	01/23/15	\$34,138.95	\$39,016.77	(\$4,877.82)



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2015 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
CATERPILLAR INC: CAT	300.0000	06/11/13	01/23/15	\$25,604.21	\$25,009.15	\$595.06
Security Subtotal				\$59,743.16	\$64,025.92	(\$4,282.76)
CBRE GROUP INC: CBG	1,000.0000	03/25/13	03/17/15	\$34,753.91	\$24,725.56	\$10,028.35
Security Subtotal				\$34,753.91	\$24,725.56	\$10,028.35
CHEMOURS COMPANY: CC	160.0000	06/07/13	07/15/15	\$2,116.21	\$2,249.50	(\$133.29)
Security Subtotal				\$2,116.21	\$2,249.50	(\$133.29)
CISCO SYSTEMS INC: CSC	1,000.0000	02/27/13	03/17/15	\$28,193.83	\$21,034.39	\$7,159.44
Security Subtotal				\$28,193.83	\$21,034.39	\$7,159.44
CUMMINS INC: CMI	200.0000	01/14/13	03/17/15	\$27,776.56	\$22,320.50	\$5,456.06
CUMMINS INC: CMI	400.0000	01/14/13	08/26/15	\$46,662.10	\$44,641.01	\$2,021.09
Security Subtotal				\$74,438.66	\$66,961.51	\$7,477.15
DU PONT E I DE NEMOUR&CO: DD	400.0000	06/07/13	03/17/15	\$29,875.14	\$22,119.00	\$7,756.14
Security Subtotal				\$29,875.14	\$22,119.00	\$7,756.14
EXPEDIA INC: EXPE	0.3000	10/28/13	12/22/15	\$38.21	\$38.66	(\$0.45)
Security Subtotal				\$38.21	\$38.66	(\$0.45)

2015 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
FIRST REPUBLIC BANK: FRC	400.0000	06/07/13	03/17/15	\$22,747.43	\$15,333.01	\$7,414.42
Security Subtotal				\$22,747.43	\$15,333.01	\$7,414.42
FORD MOTOR COMPANY: F	4,200.0000	06/27/13	09/24/15	\$56,767.95	\$65,383.80	(\$8,615.85)
Security Subtotal				\$56,767.95	\$65,383.80	(\$8,615.85)
GOOGLE INC CLASS C: GOOG	0.2745	04/17/09	05/04/15	\$152.84	\$53.78	\$99.06
Security Subtotal				\$152.84	\$53.78	\$99.06
HOME DEPOT INC: HD	400.0000	06/21/12	04/15/15	\$45,511.17	\$20,782.40	\$24,728.77
Security Subtotal				\$45,511.17	\$20,782.40	\$24,728.77
HOMEAWAY INC XXXMANDATORY MERGER EFF: 12/16/11: AWAY	2,200.0000	10/28/13	12/21/15	\$22,330.00	\$7,684.37	\$14,645.63
Security Subtotal				\$22,330.00	\$7,684.37	\$14,645.63
HONEYWELL INTERNATIONAL: HON	200.0000	10/23/09	04/15/15	\$20,769.87	\$7,673.74	\$13,096.13
Security Subtotal				\$20,769.87	\$7,673.74	\$13,096.13
INTUIT INC: INTU	400.0000	04/20/12	07/15/15	\$42,563.87	\$22,901.04	\$19,662.83
Security Subtotal				\$42,563.87	\$22,901.04	\$19,662.83



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2015 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ISHARES MSCI MEXICO ETF CAPPED: EWW	1,900.0000	05/16/13	01/28/15	\$111,572.19	\$135,803.82	(\$24,231.63)
Security Subtotal				\$111,572.19	\$135,803.82	(\$24,231.63)
MANULIFE FINANCIAL CORP: MFC	4,000.0000	08/05/13	01/29/15	\$64,713.16	\$71,322.20	(\$6,609.04)
Security Subtotal				\$64,713.16	\$71,322.20	(\$6,609.04)
MATTHEWS CHINA FD INV: MCHFX	13,502.1380	multiple	03/17/15	\$295,831.84	\$310,505.93	(\$14,674.09)
Security Subtotal				\$295,831.84	\$310,505.93	(\$14,674.09)
MYLAN INC XXXINC CHG TO NL EFF 03/02/15: 628530107	2,200.0000	05/31/13	03/02/15	\$126,945.28	\$68,157.80	\$58,787.48
Security Subtotal				\$126,945.28	\$68,157.80	\$58,787.48
NETAPP INC: NTAP	1,500.0000	08/23/13	03/04/15	\$56,558.70	\$63,002.95	(\$6,444.25)
Security Subtotal				\$56,558.70	\$63,002.95	(\$6,444.25)
NIKE INC CLASS B: NKE	200.0000	06/21/12	03/17/15	\$19,182.90	\$10,048.01	\$9,134.89
Security Subtotal				\$19,182.90	\$10,048.01	\$9,134.89
PARKER-HANNIFIN CORP: PH	200.0000	06/26/13	03/17/15	\$23,706.65	\$19,144.73	\$4,561.92

Capital Gains - Last year

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Account	Security	Symbol	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
Wells Fargo E... Delaware Pooled Trust Interna...	DPIEX		4,663.558	11/4/2014	3/12/2015	67,341.78	70,000.00	-2,658.22
Wells Fargo E... Delaware Pooled Trust Interna...	DPIEX		9,127.789	2/6/2015	3/12/2015	131,805.27	135,000.00	-3,194.73
Wells Fargo E... Westcore Small-Cap Value Di...	WISVX		3,963.012	11/28/2014	3/12/2015	51,519.16	60,000.00	-8,480.84
Wells Fargo E... Westcore Small-Cap Value Di...	WISVX		6,483.957	12/3/2014	3/12/2015	84,291.44	97,000.00	-12,708.56
Wells Fargo E... Credit Suisse Commodity Ref...	crsox		11,094.675	11/4/2014	3/23/2015	63,572.49	75,000.00	-11,427.51
Wells Fargo E... Las Vegas Sands Corp	LVS		700.000	12/16/2014	6/2/2015	35,647.75	39,305.63	-3,657.88
Wells Fargo E... National Oilwell Varco Inc	NOV		2,100.000	12/18/2014	6/2/2015	104,498.07	142,629.19	-38,131.12
Wells Fargo E... Apache Corp	APA		400.000	12/26/2014	7/13/2015	21,368.44	29,710.33	-8,341.89
Wells Fargo E... Apache Corp	APA		1,400.000	12/26/2014	8/3/2015	65,447.11	103,986.14	-38,539.03
Wells Fargo E... Apache Corp	APA		900.000	6/2/2015	8/3/2015	42,073.15	53,919.63	-11,846.48
Wells Fargo E... Vanguard FTSE Emerging Ma...	VWO		2,650.000	11/6/2014	10/15/2015	95,663.24	112,492.24	-16,829.00
Wells Fargo E... EMC Corp MASS	EMC		2,100.000	6/2/2015	10/30/2015	54,654.01	55,786.50	-1,132.49
TOTAL SHORT TERM						1,179,910.47	1,328,679.92	-148,769.45

LONG TERM

Wells Fargo E... National Grid PLC	NGG		35.000	9/4/2013	1/7/2015	2,467.80	2,025.85	441.95
Wells Fargo E... British American Tobacco PLC	BTI		60.000	9/4/2013	1/28/2015	6,808.65	6,103.80	704.85
Wells Fargo E... Chevron Corp	CVX		60.000	9/4/2013	1/28/2015	6,463.20	7,230.57	-767.37
Wells Fargo E... Deutsche Boerse AG	DBOEY		370.000	9/4/2013	1/28/2015	2,712.04	2,574.46	137.58
Wells Fargo E... Dominion Resources Inc	D		55.000	9/4/2013	1/28/2015	4,356.45	3,226.24	1,130.21
Wells Fargo E... Duke Energy Corp	DUK		37.000	9/4/2013	1/28/2015	3,284.78	2,433.11	851.67
Wells Fargo E... Eaton Corporation	ETN		16.189	2/5/2013	1/28/2015	1,085.26	917.40	167.86
Wells Fargo E... Eaton Corporation	ETN		52.812	9/4/2013	1/28/2015	3,540.41	3,357.95	182.46
Wells Fargo E... Eli Lilly and Co	LLY		55.000	9/4/2013	1/28/2015	3,968.17	2,833.60	1,134.57
Wells Fargo E... Eni SpA	E		77.000	9/4/2013	1/28/2015	2,591.76	3,579.02	-987.26
Wells Fargo E... Glaxosmithkline PLC	GSK		85.000	9/4/2013	1/28/2015	3,824.08	4,394.33	-570.25
Wells Fargo E... Harris Corporation	HRS		45.000	9/4/2013	1/28/2015	3,138.23	2,548.79	589.44
Wells Fargo E... HSBC Holdings PLC	HBC		115.000	9/4/2013	1/28/2015	5,379.59	6,144.33	-764.74
Wells Fargo E... Johnson & Johnson	JNJ		60.000	9/4/2013	1/28/2015	6,137.29	5,207.34	929.95
Wells Fargo E... Kimberly-Clark Corp	KMB		25.000	9/4/2013	1/28/2015	2,796.94	2,233.01	563.93
Wells Fargo E... Las Vegas Sands Corp	LVS		60.000	9/4/2013	1/28/2015	3,230.34	3,388.28	-157.94
Wells Fargo E... Lockheed Martin Corp	LMT		27.000	9/4/2013	1/28/2015	5,338.32	3,310.47	2,027.85
Wells Fargo E... McDonald's Corp	MCD		30.000	9/4/2013	1/28/2015	2,693.04	2,850.29	-157.25
Wells Fargo E... Compagnie Generale des Eta...	MGDOY		135.000	9/4/2013	1/28/2015	2,558.19	2,643.17	-84.98
Wells Fargo E... Microsoft Corp	MSFT		120.000	9/4/2013	1/28/2015	5,650.68	4,015.15	1,635.53
Wells Fargo E... Nestle SA	NSRGY		90.000	9/4/2013	1/28/2015	6,971.25	5,871.77	1,099.48
Wells Fargo E... Novartis AG	NVS		55.000	9/4/2013	1/28/2015	5,325.54	4,002.73	1,322.81
Wells Fargo E... Pfizer Inc	PFE		235.000	9/4/2013	1/28/2015	7,642.39	6,623.47	1,018.92

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Wells Fargo E...	Philip Morris International Inc	PM	75.000	9/4/2013	1/28/2015	6,216.64	6,315.74	-99.10
Wells Fargo E...	Koninklijke Philips Electronics ...	PHG	104.000	11/5/2013	1/28/2015	3,124.10	3,689.82	-565.72
Wells Fargo E...	PPL Corp	PPL	86.000	9/4/2013	1/28/2015	3,077.02	2,653.07	423.95
Wells Fargo E...	Prudential PLC	PUK	130.000	9/4/2013	1/28/2015	6,329.57	4,459.20	1,870.37
Wells Fargo E...	Public Service Enterprise Gro...	PEG	70.000	9/4/2013	1/28/2015	3,079.94	2,270.20	809.74
Wells Fargo E...	Reckitt Benckiser Group PLC	RBGLY	340.000	9/4/2013	1/28/2015	5,674.48	4,533.51	1,140.97
Wells Fargo E...	Roche Holdings LTD	RHHBY	170.000	9/4/2013	1/28/2015	5,994.07	5,364.35	629.72
Wells Fargo E...	Royal Dutch Shell PLC	RDSA	85.000	9/4/2013	1/28/2015	5,587.81	5,563.22	24.59
Wells Fargo E...	Sanofi Aventis SA	SNY	50.000	9/4/2013	1/28/2015	2,356.46	2,468.41	-111.95
Wells Fargo E...	Siemens Aktien ADR	si	28.000	11/5/2013	1/28/2015	3,092.53	3,581.35	-488.82
Wells Fargo E...	Singapore Telecommunication...	SGAPY	118.000	9/4/2013	1/28/2015	3,496.27	3,248.54	247.73
Wells Fargo E...	Sumitomo Mitsui Financial Gr...	SMFG	435.000	9/4/2013	1/28/2015	3,010.18	3,949.67	-939.49
Wells Fargo E...	Telenor ASA	TELNY	45.000	9/4/2013	1/28/2015	2,959.59	2,844.90	114.69
Wells Fargo E...	Telstra Corporation Ltd	TLSYY	130.000	9/4/2013	1/28/2015	3,272.03	2,820.98	451.05
Wells Fargo E...	Total SA	TOT	85.000	9/4/2013	1/28/2015	4,374.02	4,762.43	-388.41
Wells Fargo E...	Unilever PLC	un	130.000	9/4/2013	1/28/2015	5,379.38	4,934.73	444.65
Wells Fargo E...	Zurich Insurance Group AG	ZURVY	150.000	9/4/2013	1/28/2015	5,027.89	3,658.19	1,369.70
Wells Fargo E...	Diageo PLC	deo	20.000	9/4/2013	1/28/2015	2,355.46	2,482.20	-126.74
Wells Fargo E...	Basf SE	BASFY	70.000	9/4/2013	1/28/2015	6,031.77	6,157.20	-125.43
Wells Fargo E...	Bank of Montreal	BMO	70.000	9/4/2013	1/28/2015	4,432.33	4,442.72	-10.39
Wells Fargo E...	Banco Bilbao Vizcaya Argenta...	BBVA	290.000	9/4/2013	1/28/2015	2,580.97	2,830.11	-249.14
Wells Fargo E...	AstraZeneca PLC	AZN	40.000	9/4/2013	1/28/2015	2,831.54	1,988.40	843.14
Wells Fargo E...	Verizon Communication	VZ	66.000	9/4/2013	1/28/2015	3,110.51	3,158.76	-48.25
Wells Fargo E...	Bank of Nova Scotia	BNS	75.000	9/4/2013	1/28/2015	3,877.43	4,157.99	-280.56
Wells Fargo E...	Exxon Mobil Corp	XOM	350.000	6/21/2011	3/5/2015	30,698.11	27,720.00	2,978.11
Wells Fargo E...	Parametric Emerging Markets ...	EIEMX	5,233.775	7/16/2013	3/5/2015	74,110.25	74,950.91	-840.66
Wells Fargo E...	Parametric Emerging Markets ...	EIEMX	312.717	8/2/2013	3/5/2015	4,428.07	4,497.05	-68.98
Wells Fargo E...	Parametric Emerging Markets ...	EIEMX	2,517.986	9/4/2013	3/5/2015	35,654.68	34,977.09	677.59
Wells Fargo E...	Parametric Emerging Markets ..	EIEMX	2,067.540	2/24/2014	3/5/2015	29,276.37	30,000.00	-723.63
Wells Fargo E...	UnitedHealth Group Inc	UNH	500.000	11/14/2013	3/5/2015	57,084.00	35,122.85	21,961.15
Wells Fargo E...	Artisan Small Cap Fund	ARTSX	1,930.036	8/12/2011	3/6/2015	57,302.77	32,000.00	25,302.77
Wells Fargo E...	Artisan Small Cap Fund	ARTSX	615.684	8/23/2011	3/6/2015	18,279.66	9,500.00	8,779.66
Wells Fargo E...	Artisan Small Cap Fund	ARTSX	4,479.976	10/17/2011	3/6/2015	133,010.48	79,429.98	53,580.50
Wells Fargo E...	HSBC Frontier Markets Fund ...	HSFIX	3,252.033	4/25/2013	3/11/2015	41,333.34	40,000.00	1,333.34
Wells Fargo E...	HSBC Frontier Markets Fund ...	HSFIX	3,482.972	7/16/2013	3/11/2015	44,268.57	45,000.00	-731.43
Wells Fargo E...	HSBC Frontier Markets Fund ...	HSFIX	1,998.401	9/4/2013	3/11/2015	25,399.68	25,000.00	399.68
Wells Fargo E...	Artisan International Small Ca ..	ARTJX	2,610.346	10/29/2012	3/12/2015	58,863.30	55,000.00	3,863.30
Wells Fargo E...	Artisan International Small Ca...	ARTJX	834.106	11/30/2012	3/12/2015	18,809.09	18,000.00	809.09

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Wells Fargo E...Artisan International Small Ca...	ARTJX		1,603.298	12/12/2012	3/12/2015	36,154.37	35,000.00	1,154.37
Wells Fargo E...Artisan Mid Cap Fund	APHMX		4,105.933	12/23/2011	3/12/2015	200,000.00	139,765.95	60,234.05
Wells Fargo E...US Bancorp	USB		100.000	9/26/2012	4/27/2015	4,282.01	3,401.56	880.45
Wells Fargo E...US Bancorp	USB		1,300.000	2/27/2014	4/27/2015	55,666.14	52,819.00	2,847.14
Wells Fargo E...Qualcomm Inc	QCOM		125.000	6/21/2011	4/27/2015	8,629.90	6,641.23	1,988.67
Wells Fargo E...Qualcomm Inc	QCOM		375.000	9/1/2011	4/27/2015	25,889.71	19,083.68	6,806.03
Wells Fargo E...Alphabet Inc C	GOOG		0.714	2/26/2014	5/6/2015	397.51	429.04	-31.53
Wells Fargo E...Artisan International Fund	ARTIX		6,832.601	10/17/2011	5/15/2015	140,000.00	140,000.00	0.00
Wells Fargo E...US Bancorp	USB		2,300.000	12/28/2011	6/2/2015	100,414.08	62,583.00	37,831.08
Wells Fargo E...US Bancorp	USB		1,000.000	7/18/2012	6/2/2015	43,658.30	32,589.90	11,068.40
Wells Fargo E...US Bancorp	USB		300.000	9/26/2012	6/2/2015	13,097.49	10,204.68	2,892.81
Wells Fargo E...Baxter International Inc	BAX		1,100.000	9/19/2013	6/23/2015	76,201.87	79,705.89	-3,504.02
Wells Fargo E...Coca-Cola Co	ko		100.000	7/11/2011	7/13/2015	3,990.30	3,430.49	559.81
Wells Fargo E...Coca-Cola Co	ko		1,500.000	8/16/2011	7/13/2015	59,854.44	49,642.35	10,212.09
Wells Fargo E...Gilead Sciences Inc	gild		400.000	10/31/2012	8/3/2015	46,457.07	13,343.68	33,113.39
Wells Fargo E...McKesson Corp	mck		450.000	9/19/2013	8/14/2015	96,952.83	58,530.65	38,422.18
Wells Fargo E...McKesson Corp	mck		250.000	2/26/2014	8/14/2015	53,862.68	44,397.48	9,465.20
Wells Fargo E...Oppenheimer Developing Mar...	odvix		1,645.126	9/14/2012	9/2/2015	47,692.20	55,000.00	-7,307.80
Wells Fargo E...Oppenheimer Developing Mar...	odvix		1,874.536	11/30/2012	9/2/2015	54,342.80	63,777.04	-9,434.24
Wells Fargo E...Gilead Sciences Inc	gild		400.000	10/31/2012	9/17/2015	43,731.60	13,343.68	30,387.92
Wells Fargo E...EMC Corp MASS	EMC		1,800.000	10/1/2013	10/30/2015	46,846.30	47,519.64	-673.34
Wells Fargo E...3M Co	mmm		300.000	10/31/2012	10/30/2015	46,793.14	26,357.97	20,435.17
Wells Fargo E...3M Co	mmm		300.000	1/28/2013	10/30/2015	46,793.14	29,846.55	16,946.59
Wells Fargo E...Anheuser-Busch InBev SA	BUD		600.000	12/16/2014	12/28/2015	74,632.57	67,787.94	6,844.63
Wells Fargo E...Celgene Corp	CELG		750.000	11/14/2013	12/28/2015	83,324.21	55,827.94	27,496.27
Wells Fargo E...3M Co	mmm		400.000	10/31/2012	12/28/2015	59,688.34	35,143.96	24,544.38
TOTAL LONG TERM						2,293,107.76	1,837,222.00	455,885.76
OVERALL TOTAL						3,473,048.23	1,011.21	-101.21
						3,473,048.23	3,466,904.92	307,116.31
							10,732.21	455,784.55

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SHORT TERM								
DODGE & COX Medtronic Inc	mdt		200.000	2/5/2015	4/2/2015	15,668.73	15,390.00	278.73
DODGE & COX Alphabet Inc C	GOOG		0.098	6/10/2014	5/5/2015	54.67	53.92	0.75
DODGE & COX Time Inc	TIME		400.000	6/19/2014	6/16/2015	9,385.64	9,259.72	125.92
DODGE & COX Paypal	PYPL		200.000	8/8/2014	8/5/2015	7,742.69	6,435.40	1,307.29
DODGE & COX Chevron Corp	CVX		200.000	10/15/2014	9/17/2015	15,103.23	23,192.32	-8,089.09
DODGE & COX Juniper Networks Inc	JNPR		200.000	4/2/2015	12/1/2015	6,079.92	4,508.60	1,571.42
DODGE & COX Juniper Networks Inc	JNPR		200.000	4/2/2015	12/3/2015	6,071.68	4,508.50	1,563.18
DODGE & COX Juniper Networks Inc	JNPR		200.000	4/2/2015	12/7/2015	5,948.99	4,508.50	1,440.49
DODGE & COX Juniper Networks Inc	JNPR		1,400.000	4/2/2015	12/15/2015	40,833.09	31,559.50	9,273.59
DODGE & COX Juniper Networks Inc	JNPR		700.000	6/16/2015	12/15/2015	20,416.55	19,501.93	914.62
TOTAL SHORT TERM						127,305.19	118,918.29	8,386.90
LONG TERM								
DODGE & COX Medtronic Inc	mdt		400.000	10/6/2009	2/4/2015	30,780.00	14,379.52	16,400.48
DODGE & COX Medtronic Inc	mdt		200.000	10/9/2009	2/4/2015	15,390.00	7,812.72	7,577.28
DODGE & COX Medtronic Inc	mdt		300.000	12/2/2011	2/4/2015	23,085.00	10,526.19	12,558.81
DODGE & COX Medtronic Inc	mdt		300.000	4/4/2012	2/4/2015	23,085.00	11,725.50	11,359.50
DODGE & COX Merck & Co Inc	MRK		100.000	4/30/2009	2/10/2015	5,884.19	2,439.45	3,444.74
DODGE & COX Merck & Co Inc	MRK		100.000	5/1/2009	2/10/2015	5,884.19	2,405.49	3,478.70
DODGE & COX Merck & Co Inc	MRK		100.000	5/1/2009	2/11/2015	5,871.91	2,405.49	3,466.42
DODGE & COX Merck & Co Inc	MRK		100.000	5/4/2009	2/11/2015	5,871.91	2,447.97	3,423.94
DODGE & COX Merck & Co Inc	MRK		100.000	5/4/2009	2/12/2015	5,885.30	2,447.97	3,437.33
DODGE & COX Merck & Co Inc	MRK		100.000	5/4/2009	2/12/2015	5,885.30	2,456.08	3,429.22
DODGE & COX Merck & Co Inc	MRK		100.000	5/4/2009	2/13/2015	5,875.15	2,456.08	3,419.07
DODGE & COX Merck & Co Inc	MRK		100.000	5/5/2009	2/13/2015	5,875.15	2,459.03	3,416.12
DODGE & COX Merck & Co Inc	MRK		200.000	5/5/2009	2/16/2015	11,753.94	4,918.06	6,835.88
DODGE & COX Fedex Corp	fdx		100.000	4/27/1998	3/1/2015	17,637.56	3,470.75	14,166.81
DODGE & COX Liberty Ventures	lvnta		3.490	1/24/2008	3/1/2015	140.95	48.37	92.58
DODGE & COX Liberty Ventures	lvnta		196.510	12/8/2008	3/1/2015	7,937.14	422.62	7,514.52
DODGE & COX Liberty Ventures	lvnta		230.000	12/8/2008	3/1/2015	9,353.41	494.65	8,858.76
DODGE & COX Merck & Co Inc	MRK		200.000	5/12/2009	3/1/2015	11,778.06	4,979.16	6,798.90
DODGE & COX Novartis AG	NVS		150.000	6/5/2007	3/23/2015	14,718.12	8,458.40	6,259.72
DODGE & COX Novartis AG	NVS		250.000	6/18/2007	3/23/2015	24,530.20	13,906.25	10,623.95
DODGE & COX Koninklijke Philips Electronics	.PHG		400.000	10/24/2011	4/2/2015	11,502.59	8,325.32	3,177.27
DODGE & COX Pfizer Inc	PFE		261.000	3/1/2009	4/2/2015	9,151.17	3,344.77	5,806.40
DODGE & COX Pfizer Inc	PFE		139.000	3/19/2009	4/2/2015	4,873.61	1,886.09	2,987.52

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DODGE & COX Roche Holdings LTD	RHHBY		300.000	12/22/2010	4/2/2015	10,259.26	5,414.70	4,844.56
DODGE & COX ADT Corp	ADT		319.205	10/2/2012	4/7/2015	13,257.45	7,598.57	5,658.88
DODGE & COX ADT Corp	ADT		80.795	6/5/2013	4/7/2015	3,355.64	3,218.23	137.41
DODGE & COX Corning Inc	GLW		200.000	12/20/2011	4/7/2015	4,455.83	2,639.70	1,816.13
DODGE & COX Corning Inc	GLW		200.000	12/20/2011	4/8/2015	4,458.01	2,639.70	1,818.31
DODGE & COX Corning Inc	GLW		100.000	12/20/2011	4/9/2015	2,226.07	1,319.85	906.22
DODGE & COX Corning Inc	GLW		100.000	12/20/2011	4/9/2015	2,226.07	1,321.50	904.57
DODGE & COX Corning Inc	GLW		200.000	12/20/2011	4/10/2015	4,418.69	2,643.00	1,775.69
DODGE & COX Corning Inc	GLW		300.000	12/20/2011	4/13/2015	6,627.61	3,964.50	2,663.11
DODGE & COX Carmax Inc	KMX		200.000	10/31/2007	4/20/2015	14,528.55	2,391.48	12,137.07
DODGE & COX Carmax Inc	KMX		200.000	7/31/2012	4/21/2015	14,427.27	5,405.50	9,021.77
DODGE & COX Nokia Corporation	NOK		100.000	5/14/2013	4/22/2015	761.93	367.04	394.89
DODGE & COX Nokia Corporation	NOK		700.000	5/14/2013	4/22/2015	5,333.51	2,552.69	2,780.82
DODGE & COX Carmax Inc	KMX		200.000	8/1/2012	4/23/2015	14,191.61	5,494.56	8,697.05
DODGE & COX Nokia Corporation	NOK		1,500.000	5/14/2013	4/23/2015	11,377.78	5,470.05	5,907.73
DODGE & COX Nokia Corporation	NOK		800.000	5/14/2013	4/23/2015	6,082.40	2,917.36	3,165.04
DODGE & COX Carmax Inc	KMX		300.000	8/2/2012	4/24/2015	21,283.60	8,353.35	12,930.25
DODGE & COX AOL Inc	AOL		300.000	12/30/2009	5/20/2015	15,181.03	5,538.50	9,642.53
DODGE & COX AOL Inc	AOL		300.000	12/30/2009	5/22/2015	15,095.17	5,538.50	9,556.67
DODGE & COX Glaxosmithkline PLC	GSK		200.000	3/1/2011	6/8/2015	8,789.51	7,605.00	1,184.51
DODGE & COX Glaxosmithkline PLC	GSK		100.000	3/1/2011	6/9/2015	4,349.47	3,802.50	546.97
DODGE & COX Glaxosmithkline PLC	GSK		100.000	4/27/2011	6/9/2015	4,349.47	4,146.50	202.97
DODGE & COX Glaxosmithkline PLC	GSK		200.000	4/27/2011	6/10/2015	8,582.84	8,293.00	289.84
DODGE & COX Time Inc	TIME		16.500	11/20/2003	6/10/2015	387.91	174.09	213.82
DODGE & COX Time Inc	TIME		75.000	3/6/2003	6/10/2015	1,763.22	561.99	1,201.23
DODGE & COX Time Inc	TIME		108.500	12/31/2009	6/10/2015	2,550.79	1,090.94	1,459.85
DODGE & COX Time Inc	TIME		200.000	12/31/2009	6/11/2015	4,692.45	2,010.95	2,681.50
DODGE & COX Bank New York Mellon Corp	BK		100.000	7/30/2009	6/12/2015	4,337.44	2,742.79	1,594.65
DODGE & COX Bank New York Mellon Corp	BK		100.000	7/31/2009	6/12/2015	4,337.44	2,730.00	1,607.44
DODGE & COX Time Inc	TIME		200.000	12/31/2009	6/12/2015	4,694.55	2,010.95	2,683.60
DODGE & COX AOL Inc	AOL		400.000	12/30/2009	6/15/2015	19,986.83	7,384.68	12,602.15
DODGE & COX Bank New York Mellon Corp	BK		100.000	7/31/2009	6/15/2015	4,377.47	2,730.00	1,647.47
DODGE & COX Bank New York Mellon Corp	BK		100.000	8/3/2009	6/15/2015	4,377.47	2,743.29	1,634.18
DODGE & COX Glaxosmithkline PLC	GSK		200.000	4/27/2011	6/15/2015	8,516.12	8,293.00	223.12
DODGE & COX Glaxosmithkline PLC	GSK		100.000	4/27/2011	6/15/2015	4,293.42	4,146.50	146.92
DODGE & COX Glaxosmithkline PLC	GSK		100.000	9/10/2012	6/15/2015	4,293.42	4,533.76	-240.34
DODGE & COX AOL Inc	AOL		33.000	12/30/2009	6/16/2015	1,649.52	609.23	1,040.29
DODGE & COX AOL Inc	AOL		300.000	5/19/2014	6/16/2015	14,995.63	11,004.40	3,991.23

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DODGE & COX Bank New York Mellon Corp	BK		100.000	8/3/2009	6/16/2015	4,391.98	2,743.29	1,648.69
DODGE & COX Bank New York Mellon Corp	BK		100.000	9/14/2009	6/16/2015	4,391.98	2,832.42	1,559.56
DODGE & COX Celanese Corp	CE		100.000	4/19/2011	6/16/2015	7,077.52	4,430.40	2,647.12
DODGE & COX Celanese Corp	CE		100.000	4/21/2011	6/16/2015	7,077.52	4,388.00	2,689.52
DODGE & COX EBAY Inc	EBAY		400.000	2/13/2009	6/16/2015	24,100.56	5,365.40	18,735.16
DODGE & COX Fedex Corp	fdx		100.000	4/27/1998	6/16/2015	18,461.66	3,470.75	14,990.91
DODGE & COX Glaxosmithkline PLC	GSK		100.000	9/10/2012	6/16/2015	4,313.48	4,533.76	-220.28
DODGE & COX Glaxosmithkline PLC	GSK		100.000	9/11/2012	6/16/2015	4,313.48	4,540.90	-227.42
DODGE & COX Koninklijke Philips Electronics ...PHG	PHG		400.000	10/24/2011	6/16/2015	11,188.83	8,325.32	2,863.51
DODGE & COX Target Corp	TGT		300.000	4/23/2014	6/16/2015	24,073.56	17,977.47	6,096.09
DODGE & COX Time Inc	TIME		8.000	12/31/2009	6/16/2015	187.71	80.44	107.27
DODGE & COX Bank New York Mellon Corp	BK		100.000	9/14/2009	6/17/2015	4,362.44	2,832.42	1,530.02
DODGE & COX Bank New York Mellon Corp	BK		100.000	11/5/2009	6/17/2015	4,362.44	2,611.83	1,750.61
DODGE & COX Koninklijke Philips Electronics ...PHG	PHG		200.000	2/29/2012	6/18/2015	5,368.82	4,262.02	1,106.80
DODGE & COX Koninklijke Philips Electronics ...PHG	PHG		200.000	2/29/2012	6/18/2015	5,345.26	4,262.02	1,083.24
DODGE & COX Koninklijke Philips Electronics ...PHG	PHG		200.000	3/2/2012	6/19/2015	5,299.18	4,217.50	1,081.68
DODGE & COX Glaxosmithkline PLC	GSK		300.000	9/11/2012	6/22/2015	12,845.04	13,622.70	-777.66
DODGE & COX Glaxosmithkline PLC	GSK		100.000	10/31/2012	6/22/2015	4,281.68	4,549.50	-267.82
DODGE & COX Koninklijke Philips Electronics ..PHG	PHG		200.000	3/2/2012	6/22/2015	5,280.68	4,217.50	1,063.18
DODGE & COX Koninklijke Philips Electronics ...PHG	PHG		200.000	3/9/2012	6/22/2015	5,280.68	3,927.00	1,353.68
DODGE & COX Glaxosmithkline PLC	GSK		200.000	10/31/2012	6/23/2015	8,582.74	9,099.00	-516.26
DODGE & COX Glaxosmithkline PLC	GSK		100.000	10/31/2012	6/25/2015	4,334.79	4,549.50	-214.71
DODGE & COX Glaxosmithkline PLC	GSK		100.000	11/7/2012	6/25/2015	4,334.79	4,362.50	-27.71
DODGE & COX Koninklijke Philips Electronics ..PHG	PHG		200.000	3/9/2012	6/25/2015	5,375.58	3,927.00	1,448.58
DODGE & COX Glaxosmithkline PLC	GSK		400.000	11/7/2012	6/26/2015	17,408.27	17,450.00	-41.73
DODGE & COX Koninklijke Philips Electronics ...PHG	PHG		200.000	4/13/2012	6/26/2015	5,364.42	3,603.00	1,761.42
DODGE & COX General Electric Co	GE		700.000	2/1/2011	7/20/2015	18,689.73	14,214.76	4,474.97
DODGE & COX Roche Holdings LTD	RHHBY		500.000	12/22/2010	7/20/2015	18,311.96	9,024.50	9,287.46
DODGE & COX TE Connectivity LTD	TEL		300.000	4/25/2008	7/20/2015	18,922.68	11,138.25	7,784.43
DODGE & COX UnitedHealth Group Inc	UNH		200.000	7/1/2013	7/24/2015	24,199.89	13,097.66	11,102.23
DODGE & COX UnitedHealth Group Inc	UNH		100.000	7/2/2013	7/24/2015	12,099.94	6,551.07	5,548.87
DODGE & COX NVR Inc	NVR		20.000	10/24/2011	7/27/2015	28,928.63	13,165.80	15,762.83
DODGE & COX Paypal	PYPL		200.000	2/13/2009	7/27/2015	7,813.44	1,629.19	6,184.25
DODGE & COX Paypal	PYPL		200.000	12/20/2013	7/27/2015	7,813.44	6,556.76	1,256.68
DODGE & COX Paypal	PYPL		200.000	12/20/2013	7/27/2015	7,718.81	6,620.38	1,098.43
DODGE & COX Apache Corp	APA		200.000	6/13/2013	7/31/2015	9,323.02	16,855.00	-7,531.98
DODGE & COX Chevron Corp	CVX		200.000	12/30/2009	7/31/2015	18,486.65	11,182.01	7,304.64
DODGE & COX Apache Corp	APA		200.000	6/14/2013	8/3/2015	9,355.66	16,989.78	-7,634.12

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DODGE & COX Chevron Corp		CVX	200.000	12/30/2009	8/3/2015	18,561.23	11,182.01	7,379.22
DODGE & COX Paypal		PYPL	200.000	12/23/2013	8/3/2015	7,594.70	6,655.74	938.96
DODGE & COX Paypal		PYPL	200.000	12/24/2013	8/3/2015	7,594.70	6,690.16	904.54
DODGE & COX Paypal		PYPL	200.000	12/26/2013	8/4/2015	7,728.39	6,574.30	1,154.09
DODGE & COX Apache Corp		APA	300.000	6/17/2013	8/5/2015	13,946.49	25,904.70	-11,958.21
DODGE & COX Paypal		PYPL	100.000	12/26/2013	8/5/2015	3,871.34	3,287.15	584.19
DODGE & COX Dodge & Cox Income Fund		DODIX	7,380.074	4/28/1998	9/2/2015	99,975.00	88,708.49	11,266.51
DODGE & COX UnitedHealth Group Inc		UNH	100.000	7/2/2013	9/3/2015	11,586.62	6,551.07	5,035.55
DODGE & COX UnitedHealth Group Inc		UNH	200.000	7/3/2013	9/3/2015	23,173.25	13,159.16	10,014.09
DODGE & COX Merck & Co Inc		MRK	200.000	5/12/2009	9/4/2015	10,552.81	4,975.50	5,577.31
DODGE & COX Microsoft Corp		MSFT	400.000	3/25/2011	9/4/2015	16,916.69	10,121.64	6,795.05
DODGE & COX Suntrust Banks Incorporated		STI	200.000	10/30/2009	9/4/2015	7,716.23	3,816.88	3,899.35
DODGE & COX Target Corp		TGT	100.000	4/23/2014	9/4/2015	7,656.36	5,992.49	1,663.87
DODGE & COX Target Corp		TGT	100.000	4/24/2014	9/4/2015	7,656.36	5,980.10	1,676.26
DODGE & COX Target Corp		TGT	100.000	4/24/2014	9/4/2015	7,541.01	5,980.10	1,560.91
DODGE & COX Target Corp		TGT	100.000	4/25/2014	9/4/2015	7,541.01	6,043.53	1,497.48
DODGE & COX Chevron Corp		CVX	136.000	12/30/2009	9/8/2015	10,607.12	7,603.77	3,003.35
DODGE & COX Chevron Corp		CVX	64.000	3/4/2013	9/8/2015	4,991.59	7,388.76	-2,397.17
DODGE & COX Roche Holdings LTD		RHHBY	200.000	12/22/2010	9/8/2015	6,626.87	3,609.80	3,017.07
DODGE & COX Roche Holdings LTD		RHHBY	200.000	12/22/2010	9/8/2015	6,641.05	3,609.80	3,031.25
DODGE & COX Suntrust Banks Incorporated		STI	200.000	10/30/2009	9/8/2015	7,725.13	3,816.88	3,908.25
DODGE & COX Synopsys Inc		SNPS	400.000	12/30/2009	9/8/2015	18,484.29	9,357.89	9,126.40
DODGE & COX Target Corp		TGT	100.000	4/25/2014	9/8/2015	7,675.38	6,043.53	1,631.85
DODGE & COX Target Corp		TGT	200.000	4/28/2014	9/8/2015	15,350.77	12,138.58	3,212.19
DODGE & COX Bank New York Mellon Corp		BK	200.000	11/5/2009	9/9/2015	7,879.21	5,223.66	2,655.55
DODGE & COX Bank New York Mellon Corp		BK	100.000	11/6/2009	9/9/2015	3,939.60	2,667.12	1,272.48
DODGE & COX Celanese Corp		CE	100.000	4/21/2011	9/9/2015	6,007.39	4,388.00	1,619.39
DODGE & COX Celanese Corp		CE	100.000	4/21/2011	9/9/2015	6,007.39	4,400.50	1,606.89
DODGE & COX Chevron Corp		CVX	200.000	3/4/2013	9/9/2015	15,831.54	23,089.86	-7,258.32
DODGE & COX Novartis AG		NVS	200.000	6/18/2007	9/9/2015	19,128.65	11,125.00	8,003.65
DODGE & COX Roche Holdings LTD		RHHBY	200.000	12/22/2010	9/9/2015	6,708.55	3,609.80	3,098.75
DODGE & COX Suntrust Banks Incorporated		STI	200.000	10/30/2009	9/9/2015	7,886.85	3,816.88	4,069.97
DODGE & COX Chevron Corp		CVX	200.000	3/4/2013	9/10/2015	15,462.83	23,089.86	-7,627.03
DODGE & COX Roche Holdings LTD		RHHBY	200.000	12/22/2010	9/10/2015	6,648.47	3,609.80	3,038.67
DODGE & COX Suntrust Banks Incorporated		STI	100.000	10/30/2009	9/10/2015	3,878.15	1,914.23	1,963.92
DODGE & COX Suntrust Banks Incorporated		STI	100.000	8/24/2011	9/10/2015	3,878.15	1,702.13	2,176.02
DODGE & COX Chevron Corp		CVX	36.000	3/4/2013	9/11/2015	2,764.56	4,156.17	-1,391.61
DODGE & COX Chevron Corp		CVX	164.000	4/12/2013	9/11/2015	12,594.13	19,465.18	-6,871.05

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DODGE & COX Roche Holdings LTD	RHHBY	RHHBY	200.000	12/22/2010	9/11/2015	6,704.59	3,609.80	3,094.79
DODGE & COX Suntrust Banks Incorporated	STI	STI	200.000	8/24/2011	9/11/2015	7,964.23	3,404.26	4,559.97
DODGE & COX Chevron Corp	CVX	CVX	36.000	4/12/2013	9/14/2015	2,808.41	4,272.84	-1,464.43
DODGE & COX Chevron Corp	CVX	CVX	164.000	4/15/2013	9/14/2015	12,793.88	19,574.97	-6,781.09
DODGE & COX Suntrust Banks Incorporated	STI	STI	200.000	8/24/2011	9/14/2015	7,997.31	3,404.26	4,593.05
DODGE & COX Suntrust Banks Incorporated	STI	STI	200.000	8/24/2011	9/15/2015	7,915.37	3,404.26	4,511.11
DODGE & COX Microsoft Corp	MSFT	MSFT	200.000	3/25/2011	9/15/2015	8,660.56	5,060.82	3,599.74
DODGE & COX Suntrust Banks Incorporated	STI	STI	200.000	9/6/2011	9/16/2015	7,861.03	3,988.96	3,872.07
DODGE & COX Synopsys Inc	SNPS	SNPS	200.000	12/30/2009	9/16/2015	9,564.66	4,678.94	4,885.72
DODGE & COX Chevron Corp	CVX	CVX	36.000	4/15/2013	9/17/2015	2,718.58	4,296.95	-1,578.37
DODGE & COX Microsoft Corp	MSFT	MSFT	200.000	3/25/2011	9/17/2015	8,591.60	5,060.82	3,530.78
DODGE & COX Suntrust Banks Incorporated	STI	STI	200.000	9/6/2011	9/17/2015	7,905.29	3,988.96	3,916.33
DODGE & COX Suntrust Banks Incorporated	STI	STI	200.000	12/30/2009	9/17/2015	9,533.36	4,678.94	4,854.42
DODGE & COX Synopsys Inc	SNPS	SNPS	200.000	3/25/2011	9/18/2015	8,742.15	5,060.82	3,681.33
DODGE & COX Microsoft Corp	MSFT	MSFT	200.000	3/25/2011	9/18/2015	4,003.78	1,994.48	2,009.30
DODGE & COX Suntrust Banks Incorporated	STI	STI	100.000	9/6/2011	9/18/2015	8,007.56	3,848.04	4,159.52
DODGE & COX Suntrust Banks Incorporated	STI	STI	200.000	9/19/2011	9/18/2015	7,917.67	2,739.32	5,178.35
DODGE & COX Maxim Integrated Products Inc	MXIM	MXIM	200.000	12/30/2009	10/23/2015	11,948.88	4,108.99	7,839.89
DODGE & COX Maxim Integrated Products Inc	MXIM	MXIM	300.000	12/30/2009	10/26/2015	8,832.12	6,092.04	2,740.08
DODGE & COX General Electric Co	GE	GE	300.000	2/1/2011	10/30/2015	26,470.33	18,193.50	8,276.83
DODGE & COX General Electric Co	GE	GE	900.000	2/3/2011	11/1/2015	20,499.54	14,150.50	6,349.04
DODGE & COX General Electric Co	GE	GE	700.000	2/3/2011	11/1/2015	17,489.75	12,129.00	5,360.75
DODGE & COX General Electric Co	GE	GE	600.000	2/3/2011	11/1/2015	23,387.51	16,172.00	7,215.51
DODGE & COX General Electric Co	GE	GE	800.000	2/3/2011	11/2/2015	20,464.08	13,877.50	6,586.58
DODGE & COX General Electric Co	GE	GE	700.000	4/9/2012	11/2/2015	8,873.61	5,947.50	2,926.11
DODGE & COX General Electric Co	GE	GE	300.000	4/9/2012	11/3/2015	6,907.44	7,116.76	-209.32
DODGE & COX Netapp Inc	NTAP	NTAP	200.000	12/2/2011	11/3/2015	3,453.72	3,754.68	-300.96
DODGE & COX Netapp Inc	NTAP	NTAP	100.000	1/30/2012	11/3/2015	7,575.62	6,435.40	1,140.22
DODGE & COX Paypal	PYPL	PYPL	200.000	8/8/2014	11/4/2015	7,454.78	6,435.40	1,019.38
DODGE & COX Paypal	PYPL	PYPL	200.000	8/8/2014	11/5/2015	7,371.12	6,492.71	878.41
DODGE & COX Paypal	PYPL	PYPL	200.000	8/15/2014	11/10/2015	7,417.00	6,492.71	924.29
DODGE & COX Paypal	PYPL	PYPL	200.000	8/15/2014	11/11/2015	3,550.65	3,246.36	304.29
DODGE & COX Paypal	PYPL	PYPL	100.000	8/15/2014	11/16/2015	3,550.65	3,208.33	342.32
DODGE & COX Paypal	PYPL	PYPL	100.000	8/18/2014	11/16/2015	7,244.76	6,416.67	828.09
DODGE & COX Pfizer Inc	PFE	PFE	200.000	3/19/2009	11/17/2015	6,594.91	2,713.80	3,881.11
DODGE & COX Pfizer Inc	PFE	PFE	461.000	3/19/2009	11/18/2015	15,284.79	6,255.31	9,029.48
DODGE & COX Pfizer Inc	PFE	PFE	639.000	3/30/2009	11/18/2015	21,186.50	8,699.67	12,486.83
DODGE & COX Paypal	PYPL	PYPL	200.000	8/18/2014	11/18/2015	7,341.60	6,416.67	924.93

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DODGE & COX Pfizer Inc	PFE	PFE	161.000	3/30/2009	11/19/2015	5,213.63	2,191.93	3,021.70
DODGE & COX Pfizer Inc	PFE	PFE	400.000	12/30/2009	11/19/2015	12,953.13	5,419.32	7,533.81
DODGE & COX Pfizer Inc	PFE	PFE	739.000	4/16/2010	11/19/2015	23,930.90	12,705.77	11,225.13
DODGE & COX Pfizer Inc	PFE	PFE	900.000	4/16/2010	11/20/2015	29,107.64	15,473.88	13,633.76
DODGE & COX Paypal	PYPL	PYPL	200.000	8/18/2014	11/20/2015	7,268.24	6,416.67	851.57
DODGE & COX Pfizer Inc	PFE	PFE	900.000	4/16/2010	11/24/2015	28,783.10	15,473.88	13,309.22
DODGE & COX Bank of America Corp	BAC	BAC	500.000	7/1/2011	12/1/2015	8,737.96	5,401.00	3,336.96
DODGE & COX Bank of America Corp	BAC	BAC	300.000	7/6/2011	12/1/2015	5,242.78	3,275.94	1,966.84
DODGE & COX Bank New York Mellon Corp	BK	BK	100.000	11/6/2009	12/1/2015	4,368.08	2,667.12	1,700.96
DODGE & COX Bank New York Mellon Corp	BK	BK	200.000	11/10/2009	12/1/2015	8,736.17	5,390.74	3,345.43
DODGE & COX B B & T Corporation	BBT	BBT	400.000	12/30/2009	12/1/2015	15,398.71	8,114.17	7,284.54
DODGE & COX Cadence Design Systems Inc	CDNS	CDNS	400.000	5/21/2008	12/1/2015	8,698.28	4,459.64	4,238.64
DODGE & COX Cadence Design Systems Inc	CDNS	CDNS	100.000	5/22/2008	12/1/2015	2,174.57	1,112.07	1,062.50
DODGE & COX Danaher Corp	DHR	DHR	300.000	10/28/2014	12/1/2015	29,005.91	23,213.43	5,792.48
DODGE & COX EBAY Inc	EBAY	EBAY	200.000	2/13/2009	12/1/2015	5,758.60	1,053.51	4,705.09
DODGE & COX EBAY Inc	EBAY	EBAY	200.000	12/20/2013	12/1/2015	5,758.60	4,239.91	1,518.69
DODGE & COX Express Scripts Inc	ESRX	ESRX	200.000	11/20/2013	12/1/2015	17,239.12	13,042.08	4,197.04
DODGE & COX Microsoft Corp	MSFT	MSFT	300.000	3/25/2011	12/1/2015	16,209.23	7,591.23	8,618.00
DODGE & COX Pfizer Inc	PFE	PFE	461.000	4/16/2010	12/1/2015	15,217.38	7,926.07	7,291.31
DODGE & COX Pfizer Inc	PFE	PFE	1,000.000	4/11/2012	12/1/2015	33,009.49	22,315.00	10,694.49
DODGE & COX Target Corp	TGT	TGT	200.000	5/15/2014	12/1/2015	14,631.73	11,905.17	2,726.56
DODGE & COX NOW Inc	DNOW	DNOW	200.000	1/28/2014	12/2/2015	3,665.37	6,149.60	-2,484.23
DODGE & COX NOW Inc	DNOW	DNOW	50.000	2/4/2014	12/2/2015	916.34	1,479.13	-562.79
DODGE & COX NOW Inc	DNOW	DNOW	100.000	2/5/2014	12/2/2015	1,832.69	3,022.99	-1,190.30
DODGE & COX NOW Inc	DNOW	DNOW	50.000	2/6/2014	12/2/2015	916.34	1,487.89	-571.55
DODGE & COX Danaher Corp	DHR	DHR	100.000	10/28/2014	12/3/2015	9,657.76	7,737.81	1,919.95
DODGE & COX Express Scripts Inc	ESRX	ESRX	200.000	11/20/2013	12/9/2015	17,057.22	13,035.28	4,021.94
DODGE & COX EBAY Inc	EBAY	EBAY	200.000	12/20/2013	12/15/2015	5,623.98	4,281.06	1,342.92
DODGE & COX EBAY Inc	EBAY	EBAY	200.000	12/23/2013	12/15/2015	5,623.98	4,303.92	1,320.06
DODGE & COX EBAY Inc	EBAY	EBAY	200.000	12/24/2013	12/15/2015	5,623.98	4,326.19	1,297.79
DODGE & COX EBAY Inc	EBAY	EBAY	300.000	12/26/2013	12/15/2015	8,435.97	6,376.90	2,059.07
DODGE & COX EBAY Inc	EBAY	EBAY	600.000	8/8/2014	12/15/2015	16,871.94	12,484.35	4,387.59
DODGE & COX EBAY Inc	EBAY	EBAY	500.000	8/15/2014	12/15/2015	14,059.95	10,496.28	3,563.67
DODGE & COX EBAY Inc	EBAY	EBAY	700.000	8/18/2014	12/15/2015	19,683.93	14,522.66	5,161.27
DODGE & COX Microsoft Corp	MSFT	MSFT	200.000	3/25/2011	12/18/2015	10,977.27	5,060.82	5,916.45
DODGE & COX Microsoft Corp	MSFT	MSFT	400.000	3/25/2011	12/21/2015	21,822.29	10,121.64	11,700.65
DODGE & COX Microsoft Corp	MSFT	MSFT	200.000	3/29/2011	12/21/2015	10,911.14	5,152.06	5,759.08
TOTAL LONG TERM						2,212,733.89	1,453,125.35	759,608.54

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 1456000.62
 - 56,732

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Citibank	cabelas	CAB	230.000	1/12/2015	12/21/2015	10,570.83	12,897.94	-2,327.11
Citibank	cabelas	CAB	430.000	1/12/2015	12/22/2015	19,855.96	24,113.54	-4,257.58
Citibank	cabelas	CAB	235.000	1/13/2015	12/22/2015	10,851.51	13,124.25	-2,272.74
Citibank	cabelas	CAB	230.000	1/13/2015	12/28/2015	10,677.71	12,845.02	-2,167.31
Citibank	cabelas	CAB	275.000	2/12/2015	12/28/2015	12,766.83	14,238.15	-1,471.32
Citibank	cabelas	CAB	365.000	2/12/2015	12/29/2015	17,070.51	18,897.91	-1,827.40
Citibank	cabelas	CAB	335.000	2/24/2015	12/29/2015	15,667.46	17,552.12	-1,884.66
Citibank	cabelas	CAB	125.000	2/25/2015	12/29/2015	5,846.07	6,668.32	-822.25
Citibank	cabelas	CAB	65.000	2/25/2015	12/30/2015	3,031.19	3,467.53	-436.34
Citibank	cabelas	CAB	90.000	2/26/2015	12/30/2015	4,197.03	4,888.56	-691.53
Citibank	cabelas	CAB	250.000	7/28/2015	12/30/2015	11,658.41	11,465.50	192.91
Citibank	cabelas	CAB	610.000	7/28/2015	12/31/2015	28,496.23	27,975.82	520.41
TOTAL SHORT TERM						861,430.55	1,102,943.73	-241,513.18

LONG TERM

Citibank	Directv Group Holdings CL A	DTV	380.000	4/1/2013	1/5/2015	32,927.45	21,537.03	11,390.42
Citibank	Directv Group Holdings CL A	DTV	995.000	4/1/2013	1/8/2015	85,553.09	56,393.02	29,160.07
Citibank	Directv Group Holdings CL A	DTV	100.000	4/2/2013	1/8/2015	8,598.30	5,717.84	2,880.46
Citibank	Directv Group Holdings CL A	DTV	780.000	4/2/2013	1/9/2015	66,737.58	44,599.15	22,138.43
Citibank	Directv Group Holdings CL A	DTV	680.000	4/2/2013	1/12/2015	57,755.88	38,881.31	18,874.57
Citibank	Directv Group Holdings CL A	DTV	530.000	4/2/2013	1/13/2015	45,056.42	30,304.55	14,751.87
Citibank	Directv Group Holdings CL A	DTV	450.000	8/22/2013	1/13/2015	38,255.46	26,171.82	12,083.64
Citibank	Directv Group Holdings CL A	DTV	530.000	8/22/2013	1/14/2015	44,640.96	30,824.59	13,816.37
Citibank	Directv Group Holdings CL A	DTV	65.000	8/23/2013	1/14/2015	5,474.84	3,784.92	1,689.92
Citibank	Unilever PLC	un	4,405.000	12/30/2009	1/29/2015	192,195.14	143,530.32	48,664.82
Citibank	Digital Realty Trust Inc	DLR	335.000	12/23/2013	2/12/2015	23,617.67	16,213.10	7,404.57
Citibank	Digital Realty Trust Inc	DLR	465.000	12/24/2013	2/12/2015	32,782.73	22,722.83	10,059.90
Citibank	Digital Realty Trust Inc	DLR	270.000	12/26/2013	2/12/2015	19,035.14	13,248.25	5,786.89
Citibank	Digital Realty Trust Inc	DLR	275.000	12/26/2013	2/13/2015	19,249.09	13,493.59	5,755.50
Citibank	Digital Realty Trust Inc	DLR	140.000	12/27/2013	2/13/2015	9,799.54	6,863.60	2,935.94
Citibank	Cinemark Holdings Inc	CNK	1,055.000	8/9/2011	2/13/2015	41,012.89	19,549.68	21,463.21
Citibank	Cinemark Holdings Inc	CNK	35.000	8/10/2011	2/13/2015	1,360.62	656.17	704.45
Citibank	Cinemark Holdings Inc	CNK	290.000	8/10/2011	2/17/2015	11,320.14	5,436.83	5,883.31
Citibank	Cinemark Holdings Inc	CNK	465.000	8/16/2011	2/17/2015	18,151.26	9,012.35	9,138.91
Citibank	Cinemark Holdings Inc	CNK	340.000	8/16/2011	2/18/2015	14,030.52	6,569.68	7,460.84
Citibank	Cinemark Holdings Inc	CNK	710.000	8/17/2011	2/18/2015	29,299.03	14,150.58	15,148.45
Citibank	Cinemark Holdings Inc	CNK	510.000	8/18/2011	2/18/2015	21,045.78	9,850.19	11,195.59
Citibank	Cinemark Holdings Inc	CNK	595.000	8/19/2011	2/18/2015	24,553.41	11,680.09	12,873.32

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Citibank	Cinemark Holdings Inc	CNK	50.000	8/19/2011	2/19/2015	2,068.06	981.52	1,086.54
Citibank	Cinemark Holdings Inc	CNK	350.000	8/22/2011	2/19/2015	14,476.39	6,800.29	7,676.10
Citibank	Cinemark Holdings Inc	CNK	55.000	8/22/2011	2/20/2015	2,303.04	1,068.62	1,234.42
Citibank	Cinemark Holdings Inc	CNK	375.000	9/23/2011	2/20/2015	15,702.53	7,405.80	8,296.73
Citibank	Cinemark Holdings Inc	CNK	270.000	9/23/2011	2/23/2015	11,286.71	5,332.18	5,954.53
Citibank	Cinemark Holdings Inc	CNK	335.000	9/23/2011	2/24/2015	13,978.79	6,615.85	7,362.94
Citibank	Boeing Co	BA	440.000	12/6/2012	2/24/2015	67,867.96	32,418.19	35,449.77
Citibank	Cinemark Holdings Inc	CNK	265.000	9/23/2011	2/25/2015	10,974.84	5,233.43	5,741.41
Citibank	Cinemark Holdings Inc	CNK	280.000	9/23/2011	2/26/2015	11,543.98	5,529.66	6,014.32
Citibank	NewCastle Inv. Corp.	NCT	648.000	1/8/2013	3/5/2015	2,975.36	7,130.94	-4,155.58
Citibank	NewCastle Inv. Corp.	NCT	570.000	1/8/2013	3/6/2015	2,617.39	6,272.58	-3,655.19
Citibank	NewCastle Inv. Corp.	NCT	562.667	1/8/2013	3/9/2015	2,562.34	6,191.89	-3,629.55
Citibank	NewCastle Inv. Corp.	NCT	326.667	5/7/2013	3/9/2015	1,487.61	6,577.76	-5,090.15
Citibank	NewCastle Inv. Corp.	NCT	907.500	5/8/2013	3/9/2015	4,132.68	18,402.08	-14,269.40
Citibank	NewCastle Inv. Corp.	NCT	491.667	5/9/2013	3/9/2015	2,239.01	10,327.44	-8,088.43
Citibank	NewCastle Inv. Corp.	NCT	58.333	5/9/2013	3/9/2015	265.65	1,232.90	-967.25
Citibank	NewCastle Inv. Corp.	NCT	321.667	5/10/2013	3/9/2015	1,464.84	6,815.39	-5,350.55
Citibank	NewCastle Inv. Corp.	NCT	272.500	5/10/2013	3/9/2015	1,240.94	5,749.18	-4,508.24
Citibank	NewCastle Inv. Corp.	NCT	75.833	5/13/2013	3/9/2015	345.34	1,596.29	-1,250.95
Citibank	NewCastle Inv. Corp.	NCT	129.167	5/13/2013	3/9/2015	588.21	2,730.19	-2,141.98
Citibank	NewCastle Inv. Corp.	NCT	189.167	5/14/2013	3/9/2015	861.45	3,996.71	-3,135.26
Citibank	NewCastle Inv. Corp.	NCT	113.333	5/14/2013	3/9/2015	516.11	2,406.85	-1,890.74
Citibank	NewCastle Inv. Corp.	NCT	728.333	5/22/2013	3/9/2015	3,316.77	15,772.76	-12,455.99
Citibank	NewCastle Inv. Corp.	NCT	1,753.333	2/28/2014	3/9/2015	7,984.53	37,113.72	-29,129.19
Citibank	Bayer AG	bayr	440.000	5/18/2009	3/17/2015	66,885.96	23,156.53	43,729.43
Citibank	Cinemark Holdings Inc	CNK	375.000	9/23/2011	3/31/2015	16,883.01	7,405.80	9,477.21
Citibank	Cinemark Holdings Inc	CNK	398.000	9/23/2011	4/9/2015	18,026.59	7,860.02	10,166.57
Citibank	Alphabet Inc C	GOOG	0.082	2/6/2012	5/8/2015	43.56	24.51	19.05
Citibank	Bayer AG	bayr	1,040.000	5/18/2009	5/15/2015	153,526.34	54,733.62	98,792.72
Citibank	Questar Corp	str	1,680.000	10/14/2008	5/28/2015	38,173.60	16,340.42	21,833.18
Citibank	Questar Corp	str	310.000	6/30/2010	5/28/2015	7,043.94	4,599.85	2,444.09
Citibank	Questar Corp	str	2,685.000	7/8/2010	5/28/2015	61,009.59	43,140.70	17,868.89
Citibank	Questar Corp	str	9,175.000	7/20/2010	5/28/2015	208,477.85	147,162.41	61,315.44
Citibank	Liberty Ventures	lvnta	124.000	9/4/2012	6/5/2015	5,109.25	2,398.68	2,710.57
Citibank	Liberty Ventures	lvnta	220.000	11/9/2012	6/9/2015	8,908.84	4,613.08	4,295.76
Citibank	Liberty Ventures	lvnta	197.000	11/9/2012	6/11/2015	8,052.44	4,130.81	3,921.63
Citibank	Liberty Ventures	lvnta	82.017	11/9/2012	6/15/2015	3,367.92	1,719.78	1,648.14
Citibank	Liberty Ventures	lvnta	87.983	9/26/2012	6/15/2015	3,612.90	1,670.77	1,942.13

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Citibank	Liberty Ventures	lvnta	65.000	9/26/2012	6/16/2015	2,667.92	1,234.33	1,433.59
Citibank	Liberty Ventures	lvnta	94.393	9/26/2012	6/17/2015	3,858.20	1,792.49	2,065.71
Citibank	Liberty Ventures	lvnta	95.607	9/25/2012	6/17/2015	3,907.82	1,821.55	2,086.27
Citibank	Liberty Ventures	lvnta	131.154	9/25/2012	6/18/2015	5,308.34	2,498.82	2,809.52
Citibank	Liberty Ventures	lvnta	18.846	9/10/2012	6/18/2015	762.78	379.46	383.32
Citibank	Liberty Ventures	lvnta	70.010	9/10/2012	6/19/2015	2,835.15	1,409.64	1,425.51
Citibank	Liberty Ventures	lvnta	9.990	9/7/2012	6/19/2015	404.56	202.66	201.90
Citibank	Liberty Ventures	lvnta	200.000	9/7/2012	6/22/2015	8,088.69	4,057.20	4,031.49
Citibank	Liberty Ventures	lvnta	104.917	9/7/2012	6/23/2015	4,315.30	2,128.35	2,186.95
Citibank	Liberty Ventures	lvnta	245.083	9/6/2012	6/23/2015	10,080.42	4,922.48	5,157.94
Citibank	Liberty Ventures	lvnta	12.245	9/6/2012	6/24/2015	505.41	245.94	259.47
Citibank	Liberty Ventures	lvnta	172.755	9/5/2012	6/24/2015	7,130.46	3,380.40	3,750.06
Citibank	Liberty Ventures	lvnta	88.838	9/5/2012	6/25/2015	3,672.48	1,738.34	1,934.14
Citibank	Liberty Ventures	lvnta	46.162	9/4/2012	6/25/2015	1,908.30	892.97	1,015.33
Citibank	Liberty Ventures	lvnta	80.000	9/4/2012	6/26/2015	3,314.70	1,547.54	1,767.16
Citibank	Stonegate Mortgage Com	SGM	2,310.000	10/9/2013	6/26/2015	24,756.73	36,960.00	-12,203.27
Citibank	Boeing Co	BA	505.000	12/6/2012	7/8/2015	70,682.38	37,207.24	33,475.14
Citibank	Viacom Inc.	VIAB	410.000	1/20/2012	7/17/2015	25,283.45	19,687.09	5,596.36
Citibank	Viacom Inc.	VIAB	1,170.000	1/23/2012	7/17/2015	72,150.34	55,937.35	16,212.99
Citibank	Viacom Inc.	VIAB	50.000	1/24/2012	7/17/2015	3,083.35	2,389.97	693.38
Citibank	Unilever PLC	un	840.000	12/30/2009	7/21/2015	37,723.03	27,370.14	10,352.89
Citibank	Unilever PLC	un	910.000	12/30/2009	7/22/2015	40,691.17	29,650.99	11,040.18
Citibank	Viacom Inc.	VIAB	565.000	1/24/2012	7/23/2015	33,971.35	27,006.72	6,964.63
Citibank	Viacom Inc.	VIAB	370.000	2/2/2012	7/23/2015	22,246.73	16,828.45	5,418.28
Citibank	Unilever PLC	un	1,095.000	12/30/2009	7/23/2015	48,858.22	35,678.93	13,179.29
Citibank	Viacom Inc.	VIAB	850.000	2/2/2012	7/24/2015	50,838.75	38,659.95	12,178.80
Citibank	Unilever PLC	un	770.000	12/30/2009	7/24/2015	34,005.02	25,089.30	8,915.72
Citibank	Viacom Inc.	VIAB	125.000	2/2/2012	7/27/2015	7,281.38	5,685.29	1,596.09
Citibank	Viacom Inc.	VIAB	1,345.000	2/27/2012	7/27/2015	78,347.63	63,082.25	15,265.38
Citibank	Unilever PLC	un	1,660.000	12/30/2009	7/27/2015	73,544.76	54,088.61	19,456.15
Citibank	Unilever PLC	un	630.000	12/30/2009	7/28/2015	28,308.20	20,527.61	7,780.59
Citibank	HealthSouth Corp	hls	1,040.000	12/31/2008	7/30/2015	46,598.73	25,104.04	21,494.69
Citibank	HealthSouth Corp	hls	1,395.000	12/31/2008	7/30/2015	62,871.49	33,673.21	29,198.28
Citibank	HealthSouth Corp	hls	575.000	8/11/2011	7/30/2015	25,914.77	10,884.18	15,030.59
Citibank	HealthSouth Corp	hls	1,775.000	8/11/2011	7/31/2015	80,861.30	33,598.98	47,262.32
Citibank	HealthSouth Corp	hls	150.000	8/11/2011	8/6/2015	6,917.24	2,839.35	4,077.89
Citibank	HealthSouth Corp	hls	115.000	1/10/2013	8/6/2015	5,303.22	2,652.14	2,651.08
Citibank	HealthSouth Corp	hls	240.000	1/11/2013	8/6/2015	11,067.59	5,591.78	5,475.81

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Citibank	HealthSouth Corp	HS	60.000	1/14/2013	8/6/2015	2,766.90	1,402.51	1,364.39
Citibank	New Residential Inv. Corp.	NRZ	1,000.000	5/1/2013	8/10/2015	15,252.31	13,780.00	1,472.31
Citibank	PHH Corp	PHH	630.000	7/12/2013	8/11/2015	10,923.68	14,135.37	-3,211.69
Citibank	PHH Corp	PHH	70.000	7/15/2013	8/11/2015	1,213.74	1,568.15	-354.41
Citibank	PHH Corp	PHH	645.000	7/15/2013	8/11/2015	10,932.16	14,449.42	-3,517.26
Citibank	PHH Corp	PHH	405.000	7/16/2013	8/11/2015	6,864.38	8,987.36	-2,122.98
Citibank	New Residential Inv. Corp.	NRZ	1,480.000	5/1/2013	8/11/2015	21,529.46	20,394.40	1,135.06
Citibank	PHH Corp	PHH	455.000	7/16/2013	8/12/2015	7,211.30	10,096.91	-2,885.61
Citibank	PHH Corp	PHH	460.000	7/17/2013	8/12/2015	7,290.54	10,219.22	-2,928.68
Citibank	New Residential Inv. Corp.	NRZ	660.000	5/1/2013	8/12/2015	9,568.63	9,094.80	473.83
Citibank	PHH Corp	PHH	360.000	7/17/2013	8/13/2015	5,744.81	7,997.65	-2,252.84
Citibank	PHH Corp	PHH	575.000	7/18/2013	8/13/2015	9,175.73	12,864.70	-3,688.97
Citibank	New Residential Inv. Corp.	NRZ	670.000	5/1/2013	8/13/2015	9,810.89	9,232.60	578.29
Citibank	PHH Corp	PHH	570.000	7/18/2013	8/13/2015	9,583.06	12,752.84	-3,169.78
Citibank	PHH Corp	PHH	95.000	7/19/2013	8/13/2015	1,597.18	2,118.36	-521.18
Citibank	New Residential Inv. Corp.	NRZ	460.000	5/1/2013	8/14/2015	6,663.57	6,338.80	324.77
Citibank	PHH Corp	PHH	380.000	7/19/2013	8/14/2015	6,395.77	8,473.43	-2,077.66
Citibank	PHH Corp	PHH	250.000	7/22/2013	8/14/2015	4,207.75	5,539.70	-1,331.95
Citibank	Royal Mail Plc	ROYMF	1,020.000	12/6/2013	8/14/2015	7,641.81	9,981.56	-2,339.75
Citibank	Royal Mail Plc	ROYMF	2,150.000	12/10/2013	8/14/2015	16,107.73	21,205.46	-5,097.73
Citibank	Royal Mail Plc	ROYMF	2,030.000	12/11/2013	8/14/2015	15,208.70	19,559.29	-4,350.59
Citibank	Royal Mail Plc	ROYMF	780.000	12/12/2013	8/14/2015	5,843.74	7,492.33	-1,648.59
Citibank	New Residential Inv. Corp.	NRZ	870.000	5/1/2013	8/17/2015	12,514.19	11,988.60	525.59
Citibank	Royal Mail Plc	ROYMF	880.000	12/12/2013	8/17/2015	6,531.28	8,452.89	-1,921.61
Citibank	Royal Mail Plc	ROYMF	2,125.000	12/13/2013	8/17/2015	15,771.57	20,612.39	-4,840.82
Citibank	Royal Mail Plc	ROYMF	885.000	12/16/2013	8/17/2015	6,568.39	8,580.09	-2,011.70
Citibank	Royal Mail Plc	ROYMF	2,170.000	12/17/2013	8/17/2015	16,105.55	21,109.08	-5,003.53
Citibank	Royal Mail Plc	ROYMF	1,110.000	12/18/2013	8/17/2015	8,238.32	10,569.22	-2,330.90
Citibank	PHH Corp	PHH	250.000	7/22/2013	8/18/2015	4,118.55	5,539.70	-1,421.15
Citibank	New Residential Inv. Corp.	NRZ	345.000	5/1/2013	8/18/2015	5,004.50	4,754.10	250.40
Citibank	Royal Mail Plc	ROYMF	500.000	12/18/2013	8/18/2015	3,680.69	4,760.92	-1,080.23
Citibank	Royal Mail Plc	ROYMF	3,045.000	12/19/2013	8/18/2015	22,415.38	29,479.17	-7,063.79
Citibank	Royal Mail Plc	ROYMF	3,585.000	12/20/2013	8/18/2015	26,390.52	35,333.96	-8,943.44
Citibank	Royal Mail Plc	ROYMF	4,925.000	4/14/2014	8/18/2015	36,254.76	40,953.88	-4,699.12
Citibank	Royal Mail Plc	ROYMF	1,615.000	4/15/2014	8/18/2015	11,888.62	13,753.91	-1,865.29
Citibank	PHH Corp	PHH	160.000	7/22/2013	8/19/2015	2,637.42	3,545.41	-907.99
Citibank	PHH Corp	PHH	60.000	7/23/2013	8/19/2015	989.03	1,343.30	-354.27
Citibank	New Residential Inv. Corp.	NRZ	315.000	5/1/2013	8/19/2015	4,589.43	4,340.70	248.73

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Citibank	Royal Mail Plc	ROYMF	1,105.000	4/15/2014	8/19/2015	8,042.46	9,410.57	-1,368.11
Citibank	Royal Mail Plc	ROYMF	3,965.000	6/10/2014	8/19/2015	28,858.24	33,571.52	-4,713.28
Citibank	PHH Corp	PHH	120.000	7/23/2013	8/20/2015	1,954.18	2,686.61	-732.43
Citibank	PHH Corp	PHH	90.000	8/2/2013	8/20/2015	1,465.64	1,890.50	-424.86
Citibank	New Residential Inv. Corp.	NRZ	620.000	5/1/2013	8/20/2015	9,237.57	8,543.60	693.97
Citibank	PHH Corp	PHH	160.000	8/2/2013	8/21/2015	2,556.72	3,360.90	-804.18
Citibank	PHH Corp	PHH	660.000	8/2/2013	8/21/2015	10,526.80	13,863.70	-3,336.90
Citibank	New Residential Inv. Corp.	NRZ	130.000	5/1/2013	8/21/2015	1,932.40	1,791.40	141.00
Citibank	PHH Corp	PHH	75.000	8/2/2013	8/24/2015	1,188.46	1,575.42	-386.96
Citibank	PHH Corp	PHH	285.000	8/6/2013	8/24/2015	4,516.13	6,384.83	-1,868.70
Citibank	PHH Corp	PHH	400.000	8/6/2013	8/25/2015	6,388.00	8,961.16	-2,573.16
Citibank	Paypal	PYPL	1,540.000	7/10/2014	8/25/2015	53,654.15	46,936.15	6,718.00
Citibank	Paypal	PYPL	1,325.000	7/22/2014	8/25/2015	46,163.48	41,402.72	4,760.76
Citibank	Paypal	PYPL	1,255.000	7/24/2014	8/25/2015	43,724.65	40,527.15	3,197.50
Citibank	PHH Corp	PHH	255.000	8/6/2013	8/26/2015	4,156.93	5,712.74	-1,555.81
Citibank	PHH Corp	PHH	220.000	8/7/2013	8/26/2015	3,586.37	4,951.96	-1,365.59
Citibank	CALIFORNIA RESOURCES	CRC	1,280.000	1/1/2009	8/26/2015	4,249.77	7,739.53	-3,489.76
Citibank	CALIFORNIA RESOURCES	CRC	174.000	3/19/2013	8/26/2015	577.70	1,213.66	-635.96
Citibank	PHH Corp	PHH	400.000	8/7/2013	8/27/2015	6,300.92	9,003.56	-2,702.64
Citibank	PHH Corp	PHH	100.000	8/7/2013	8/27/2015	1,640.29	2,250.89	-610.60
Citibank	PHH Corp	PHH	70.000	8/8/2013	8/27/2015	1,148.21	1,566.10	-417.89
Citibank	PHH Corp	PHH	190.000	8/8/2013	8/28/2015	3,038.42	4,250.83	-1,212.41
Citibank	PHH Corp	PHH	125.000	8/8/2013	8/28/2015	2,028.41	2,796.60	-768.19
Citibank	PHH Corp	PHH	280.000	8/8/2013	8/31/2015	4,495.56	6,264.38	-1,768.82
Citibank	PHH Corp	PHH	135.000	8/8/2013	8/31/2015	2,187.77	3,020.33	-832.56
Citibank	PHH Corp	PHH	200.000	8/8/2013	9/4/2015	3,179.43	4,474.56	-1,295.13
Citibank	PHH Corp	PHH	15.000	8/9/2013	9/4/2015	238.46	337.56	-99.10
Citibank	PHH Corp	PHH	330.000	8/9/2013	9/15/2015	5,248.62	7,426.39	-2,177.77
Citibank	PHH Corp	PHH	135.000	8/9/2013	9/16/2015	2,142.96	3,038.07	-895.11
Citibank	PHH Corp	PHH	245.000	8/12/2013	9/16/2015	3,889.08	5,581.66	-1,692.58
Citibank	PHH Corp	PHH	185.000	8/12/2013	9/17/2015	2,951.64	4,214.73	-1,263.09
Citibank	PHH Corp	PHH	15.000	8/13/2013	9/17/2015	239.32	346.45	-107.13
Citibank	Teleflex Inc	tfx	100.000	4/10/2008	9/17/2015	13,624.74	5,027.72	8,597.02
Citibank	Teleflex Inc	tfx	260.000	4/11/2008	9/17/2015	35,424.31	13,007.25	22,417.06
Citibank	Teleflex Inc	tfx	255.000	4/11/2008	9/18/2015	34,937.23	12,757.11	22,180.12
Citibank	PHH Corp	PHH	260.000	8/13/2013	9/18/2015	4,116.45	6,005.19	-1,888.74
Citibank	Teleflex Inc	tfx	215.000	4/11/2008	9/21/2015	29,612.65	10,756.00	18,856.65
Citibank	PHH Corp	PHH	370.000	8/13/2013	9/21/2015	5,913.64	8,545.85	-2,632.21

Capital Gains - Last year

1/1/2015 through 12/31/2015

Account	Security	Symbol	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
Citibank	PHH Corp	PHH	60.000	8/13/2013	9/22/2015	956.15	1,385.81	-429.66
Citibank	PHH Corp	PHH	365.000	6/4/2014	9/22/2015	5,816.56	8,780.04	-2,963.48
Citibank	Liberty Media-Interactive Corp	LINTA	785.000	9/4/2012	9/23/2015	21,433.24	12,358.79	9,074.45
Citibank	Teleflex Inc	tfx	145.000	4/11/2008	9/24/2015	19,707.28	7,254.05	12,453.23
Citibank	Teleflex Inc	tfx	145.000	4/14/2008	9/24/2015	19,707.28	7,244.26	12,463.02
Citibank	Liberty Media-Interactive Corp	LINTA	980.000	9/4/2012	9/24/2015	27,001.83	15,428.80	11,573.03
Citibank	Liberty Media-Interactive Corp	LINTA	585.000	9/5/2012	9/24/2015	16,118.44	9,315.52	6,802.92
Citibank	Teleflex Inc	tfx	480.000	4/14/2008	9/25/2015	63,888.79	23,980.99	39,907.80
Citibank	New Residential Invnt. Corp.	NRZ	655.000	5/1/2013	9/25/2015	9,471.25	9,025.90	445.35
Citibank	Liberty Media-Interactive Corp	LINTA	1,255.000	9/5/2012	9/25/2015	34,232.75	19,984.60	14,248.15
Citibank	Liberty Media-Interactive Corp	LINTA	20.000	9/6/2012	9/25/2015	545.54	326.90	218.64
Citibank	Teleflex Inc	tfx	150.000	4/14/2008	9/28/2015	19,958.53	7,494.06	12,464.47
Citibank	Teleflex Inc	tfx	525.000	4/16/2008	9/28/2015	69,854.85	26,281.76	43,573.09
Citibank	Teleflex Inc	tfx	445.000	4/25/2008	9/28/2015	59,210.30	23,070.45	36,139.85
Citibank	New Residential Invnt. Corp.	NRZ	1,045.000	5/1/2013	9/28/2015	15,292.66	14,400.10	892.56
Citibank	Teleflex Inc	tfx	120.000	4/25/2008	9/29/2015	15,775.15	6,221.24	9,553.91
Citibank	New Residential Invnt. Corp.	NRZ	770.000	5/1/2013	9/29/2015	11,178.50	10,610.60	567.90
Citibank	Liberty Media-Interactive Corp	LINTA	1,790.000	9/6/2012	9/29/2015	47,501.42	29,257.59	18,243.83
Citibank	Liberty Media-Interactive Corp	LINTA	600.000	9/7/2012	9/29/2015	15,922.27	9,905.18	6,017.09
Citibank	New Residential Invnt. Corp.	NRZ	590.000	5/1/2013	9/29/2015	7,676.99	8,130.20	-453.21
Citibank	New Residential Invnt. Corp.	NRZ	610.000	5/1/2013	9/30/2015	8,575.28	8,405.80	169.48
Citibank	New Residential Invnt. Corp.	NRZ	1,290.000	5/1/2013	9/30/2015	16,797.16	17,776.20	-979.04
Citibank	New Residential Invnt. Corp.	NRZ	525.000	5/28/2013	9/30/2015	6,836.06	7,059.89	-223.83
Citibank	New Residential Invnt. Corp.	NRZ	525.000	5/28/2013	10/6/2015	6,541.84	7,059.89	-518.05
Citibank	Alphabet inc A	googl	180.000	2/6/2012	10/21/2015	124,289.26	54,312.34	69,976.92
Citibank	Valeant Pharmaceuticals Inter... vx	vx	1,965.000	12/30/2009	10/27/2015	196,465.92	16,913.41	179,552.51
Citibank	Valeant Pharmaceuticals Inter... vx	vx	1,747.000	12/30/2009	10/27/2015	173,602.30	15,037.02	158,565.28
Citibank	Triumph Group Inc.	TGI	300.000	9/24/2013	10/27/2015	13,079.45	21,264.72	-8,185.27
Citibank	Triumph Group Inc.	TGI	155.000	9/24/2013	10/27/2015	6,748.54	10,986.77	-4,238.23
Citibank	Triumph Group Inc.	TGI	340.000	9/25/2013	10/27/2015	14,803.26	23,969.42	-9,166.16
Citibank	Triumph Group Inc.	TGI	240.000	9/25/2013	10/29/2015	10,343.73	16,919.59	-6,575.86
Citibank	Triumph Group Inc.	TGI	60.000	9/25/2013	10/29/2015	2,610.46	4,229.90	-1,619.44
Citibank	Triumph Group Inc.	TGI	385.000	9/26/2013	10/29/2015	16,750.46	27,092.30	-10,341.84
Citibank	Triumph Group Inc.	TGI	220.000	9/27/2013	10/29/2015	9,571.69	15,477.99	-5,906.30
Citibank	Triumph Group Inc.	TGI	320.000	10/1/2013	10/29/2015	13,922.46	22,720.99	-8,798.53
Citibank	Triumph Group Inc.	TGI	130.000	10/2/2013	10/29/2015	5,656.00	9,226.18	-3,570.18
Citibank	Triumph Group Inc.	TGI	470.000	10/2/2013	10/29/2015	20,147.96	33,356.18	-13,208.22
Citibank	Triumph Group Inc.	TGI	45.000	10/3/2013	10/29/2015	1,929.06	3,180.82	-1,251.76

Capital Gains - Last year

1/1/2015 through 12/31/2015

6/1/2016

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Account	Security	Symbol	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
Citibank	Triumph Group Inc.	TGI	155,000	12/12/2013	10/29/2015	6,644.54	11,220.17	-4,575.63
Citibank	Triumph Group Inc.	TGI	190,000	12/13/2013	10/29/2015	8,144.92	13,727.70	-5,582.78
Citibank	Triumph Group Inc.	TGI	130,000	12/16/2013	10/29/2015	5,572.84	9,519.79	-3,946.95
Citibank	Triumph Group Inc.	TGI	10,000	12/16/2013	10/29/2015	443.65	732.29	-288.64
Citibank	Triumph Group Inc.	TGI	215,000	12/17/2013	10/29/2015	9,538.51	16,033.39	-6,494.88
Citibank	Triumph Group Inc.	TGI	120,000	12/18/2013	10/29/2015	5,323.82	8,894.66	-3,570.84
Citibank	Triumph Group Inc.	TGI	260,000	1/30/2014	10/29/2015	11,534.95	17,686.74	-6,151.79
Citibank	Triumph Group Inc.	TGI	145,000	3/28/2014	10/29/2015	6,432.95	9,235.70	-2,802.75
Citibank	Triumph Group Inc.	TGI	135,000	3/31/2014	10/29/2015	5,989.30	8,669.44	-2,680.14
Citibank	Kinder Morgan Holding Inc.	KMI	5,980,000	2/11/2011	11/2/2015	159,175.10	190,382.87	-31,207.77
Citibank	Kinder Morgan Holding Inc.	KMI	5,285,000	2/11/2011	11/2/2015	140,675.65	158,550.00	-17,874.35
Citibank	New Residential Inv. Corp.	NRZ	922,500	5/28/2013	11/6/2015	11,696.81	12,405.23	-708.42
Citibank	New Residential Inv. Corp.	NRZ	1,220,000	6/13/2013	11/6/2015	15,468.95	15,636.74	-167.79
Citibank	New Residential Inv. Corp.	NRZ	720,000	6/14/2013	11/6/2015	9,129.21	9,595.15	-465.94
Citibank	New Residential Inv. Corp.	NRZ	715,000	6/17/2013	11/6/2015	9,065.82	9,574.99	-509.17
Citibank	New Residential Inv. Corp.	NRZ	322,500	6/18/2013	11/6/2015	4,089.13	4,337.76	-248.63
Citibank	New Residential Inv. Corp.	NRZ	60,000	6/18/2013	11/9/2015	767.72	807.02	-39.30
Citibank	New Residential Inv. Corp.	NRZ	517,500	6/19/2013	11/9/2015	6,621.55	6,883.99	-262.44
Citibank	New Residential Inv. Corp.	NRZ	1,417,500	6/20/2013	11/9/2015	18,137.28	18,415.59	-278.31
Citibank	New Residential Inv. Corp.	NRZ	5,000	6/21/2013	11/9/2015	63.98	64.18	-0.20
Citibank	New Residential Inv. Corp.	NRZ	667,500	6/21/2013	11/10/2015	8,601.71	8,568.16	33.55
Citibank	New Residential Inv. Corp.	NRZ	252,500	6/24/2013	11/10/2015	3,253.83	3,155.69	98.14
Citibank	New Sr. Inv. Group Inc.	snr	643,000	10/27/2014	12/2/2015	6,073.02	11,586.86	-5,513.84
Citibank	New Sr. Inv. Group Inc.	snr	540,000	10/27/2014	12/3/2015	4,978.43	9,730.80	-4,752.37
Citibank	New Sr. Inv. Group Inc.	snr	1,945,000	10/27/2014	12/9/2015	17,814.31	35,048.90	-17,234.59
Citibank	New Sr. Inv. Group Inc.	snr	1,540,000	10/27/2014	12/10/2015	13,881.92	27,750.80	-13,868.88
Citibank	New Sr. Inv. Group Inc.	snr	950,000	10/27/2014	12/10/2015	8,507.57	17,119.00	-8,611.43
Citibank	Occidental Petroleum Corp	OXY	3,200,000	1/1/2009	12/10/2015	215,802.74	214,341.28	1,461.46
Citibank	Occidental Petroleum Corp	OXY	435,000	3/19/2013	12/10/2015	29,335.69	33,611.61	-4,275.92
Citibank	New Sr. Inv. Group Inc.	snr	1,510,000	10/27/2014	12/10/2015	13,490.85	27,210.20	-13,719.35
Citibank	New Sr. Inv. Group Inc.	snr	4,865,000	10/27/2014	12/10/2015	45,317.12	87,667.30	-42,350.18
Citibank	New Sr. Inv. Group Inc.	snr	341,000	10/27/2014	12/11/2015	3,154.05	6,144.82	-2,990.77
Citibank	New Sr. Inv. Group Inc.	snr	864,000	11/7/2014	12/11/2015	7,991.51	16,874.70	-8,883.19
Citibank	New Sr. Inv. Group Inc.	snr	61,000	11/7/2014	12/14/2015	536.51	1,191.38	-654.87
Citibank	New Sr. Inv. Group Inc.	snr	280,000	11/10/2014	12/14/2015	2,462.67	5,322.21	-2,859.54
TOTAL LONG TERM						5,290,451.70	4,109,457.32	1,180,994.38

OVERALL TOTAL

6,151,882.25 5,212,401.05 939,481.20

The Kimball Foundation
Profit & Loss Prev Year Comparison
January through December 2015

LT- Capital Gain Distribution

Litigation Settlements	5,519.69
Charles Shwab Cap Gain Distribution	856.79
K-1 Newport Asia ST Cap Gain	4,735.00
K-1 Deep Blue ST Cap Gain	-6,912.00
K-1 Newport Asia LT Cap Gain	-59,673.00
K-1 Deep Blue LT Cap Gain	2,154.00
Neuberger #1 LT Cap Gain Dist	205.83
Wells Fargo Fixed CG Dist	10,337.43
Wells Fargo Equity IMA-CG Dist	175,193.43
Wells Fargo D&C Capital Gain (L	<u>11,584.44</u>
Total LT- Capital Gain Distribution	144,001.61



Schwab One® Account of
THE KIMBALL FOUNDATION

Account Number
6863-4013

Report Period
**January 1 - December 31,
2015**

2015 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
PARKER-HANNIFIN CORP: PH	500.0000	06/26/13	08/12/15	\$55,880.12	\$47,861.81	\$8,018.31
Security Subtotal				\$79,586.77	\$67,006.54	\$12,580.23
PFIZER INCORPORATED: PFE	1,300.0000	06/12/12	12/09/15	\$42,024.48	\$28,731.41	\$13,293.07
Security Subtotal				\$42,024.48	\$28,731.41	\$13,293.07
QUALCOMM INC: QCOM	1,000.0000	04/19/12	03/17/15	\$69,983.26	\$62,676.70	\$7,306.56
Security Subtotal				\$69,983.26	\$62,676.70	\$7,306.56
QUINTILES TRANSNATL: Q	400.0000	10/03/14	12/09/15	\$26,731.36	\$22,457.56	\$4,273.80
Security Subtotal				\$26,731.36	\$22,457.56	\$4,273.80
RIVERBED TECHNOLOGY INC: RVBD	4,000.0000	07/12/13	01/07/15	\$81,446.79	\$68,946.60	\$12,500.19
Security Subtotal				\$81,446.79	\$68,946.60	\$12,500.19
RYDER SYSTEM INC: R	150.0000	05/31/13	04/15/15	\$14,294.04	\$9,514.15	\$4,779.89
Security Subtotal				\$14,294.04	\$9,514.15	\$4,779.89
SEVENTY SEVEN ENERGY: SSE	228.0000	07/17/13	12/09/15	\$221.21	\$4,003.17	(\$3,781.96)
Security Subtotal				\$221.21	\$4,003.17	(\$3,781.96)

2015 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out (FIFO)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
STANLEY BLACK & DECKER: SWK	200.0000	09/20/12	03/17/15	\$18,929.70	\$15,301.67	\$3,628.03
Security Subtotal				\$18,929.70	\$15,301.67	\$3,628.03
STAPLES INC: SPLS	5,000.0000	04/19/13	02/25/15	\$83,183.96	\$64,565.00	\$18,618.96
Security Subtotal				\$83,183.96	\$64,565.00	\$18,618.96
ZILLOW GROUP INC: Z	0.2000	01/16/14	02/19/15	\$23.97	\$17.68	\$6.29
ZILLOW GROUP INC: Z	200.0000	01/16/14	03/17/15	\$21,148.26	\$17,675.60	\$3,472.66
Security Subtotal				\$21,172.23	\$17,693.28	\$3,478.95
Total Long-Term				\$1,878,397.36	\$1,587,065.50	\$291,331.86
Total Realized Gain or (Loss)				\$2,484,899.07	\$2,184,798.24	\$310,100.83

Schwab has provided realized gain/loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Conditions.

Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options.

Account No: 541-20018
Account Name: THE KIMBALL FOUNDATION
Recipient's Identification Number: XX-XXX3448
Account Executive No: Z70
ORIGINAL: 12/31/15

NEUBERGER BERMAN LLC
OH4-RM00
P.O. BOX 183211
COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY
Telephone Number: (212) 476-5505

2015 Supplemental Gain or (Loss) Information (continued)
Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	Realized Gain/(Loss)
12/11/15	H	UNITED STATES TREASURY NOTE	912828L99	30,000.00000	29,657.71	29,593.20	64.51
75 ITEMS - Total Short-Term Not Reported Transactions Gain or (Loss)					1,166,149.49	1,165,337.38	812.11

2015 Supplemental Gain or (Loss) Information
Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	Realized Gain/(Loss)
07/13/15	H	CVS CAREMARK CORP SR NT	126650BH2	15,000.00000	16,149.60	14,761.27	1,388.33
05/19/15	H	COMCAST CORP NT	20030NAU5	10,000.00000	11,230.40	10,870.00	360.40
05/19/15	H	COMCAST CORP NT	20030NAU5	30,000.00000	33,691.20	30,221.41	3,469.79
07/16/15	H	***WESTPAC BKG CORP	961214BP7	35,000.00000	35,309.40	35,018.05	291.35
12/09/15	H	***WESTPAC BKG CORP	961214BP7	40,000.00000	40,000.00	40,018.61	(18.61)
07/16/15	H	MCKESSON CORP NEW SENIOR NOTES	581557BE4	15,000.00000	15,149.55	15,000.00	149.55
03/24/15	H	GOLDMAN SACHS GROUP INC NT	38141GFG4	5,000.00000	5,557.60	5,173.07	384.53
04/28/15	H	VENTAS RLTY LTD PARTNERSHIP	92277GAD9	10,000.00000	10,301.50	9,930.40	371.10
03/24/15	H	GOLDMAN SACHS GROUP INC	38145XAA1	40,000.00000	40,775.20	39,873.60	901.60
03/06/15	H	HEWLETT PACKARD CO	428236BV4	20,000.00000	21,821.80	20,462.75	1,359.05

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that this income has not been reported.

Account No:

541-3

Account Name:

THE KIMBALL FOUNDATION

Recipient's Identification Number:

XX-XXX3448

Account Executive No:

Z70

Telephone Number: (212) 476-5505

ORIGINAL:

12/31/15

NEUBERGER BERMAN LLC

OH4-RM00

P.O. BOX 183211

COLUMBUS, OH 43218

FOR UNDELIVERABLE MAIL ONLY

NEUBERGER BERMAN

2015 Supplemental Gain or (Loss) Information (continued)

Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol CUSIP	Quantity	Gross Proceeds, Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN/(LOSS)
07/16/15 H	05/03/12	ABB FINANCE USA INC SR UNSECURED	00037BAA0	5,000.00000	5,025.90	4,965.00	60.90
01/22/15 H	04/16/12	LOWES COS INC	548661CW5	15,000.00000	15,577.50	14,993.70	583.80
01/16/15 H	07/18/13	KRAFT FOODS GROUP INC SR NT 3.5%22	50076QAZ9	40,000.00000	40,963.20	40,519.97	443.23
12/02/15 H	11/14/12	***STATOIL ASA SR UNSECURED	85771PAH5	15,000.00000	14,894.40	14,982.75	(88.35)
04/28/15 H	08/13/10	TIME WARNER INC NEW	887317AF2	40,000.00000	44,944.40	42,068.87	2,875.53
12/04/15 H	02/19/14	KINDER MORGAN ENERGY PARTNERS LP	494550BT2	5,000.00000	4,486.00	4,974.70	(488.70)
12/04/15 H	03/06/14	KINDER MORGAN ENERGY PARTNERS LP	494550BT2	35,000.00000	31,402.00	34,958.70	(3,556.70)
01/15/15 H	05/08/13	J P MORGAN CHASE & CO SR UNSECURED	46625HJL5	65,000.00000	64,940.85	64,894.70	46.15
05/13/15 H	11/05/13	PSEG POWER LLC	69362BBA9	15,000.00000	15,675.90	14,991.45	684.45
12/09/15 H	09/12/13	VERIZON COMMUNICATIONS INC SR UNSECURED	92343VBR4	5,000.00000	5,551.75	5,151.55	400.20
09/14/15 H	03/15/11	DIRECTV HLDGS LLC / FING INC	25459HAY1	50,000.00000	50,701.27	50,340.50	360.77
03/17/15 H	03/05/12	***KONINKLIJKE PHILIPS ELECTRS N V SR UNSECURED	500472AF2	35,000.00000	36,649.90	35,037.50	1,612.40
12/01/15 H	03/08/12	XEROX CORPORATION SR UNSECURED	984121CF8	10,000.00000	10,095.90	9,987.50	108.40
11/18/15 H	04/03/13	***SANOFI S A SR UNSECURED	801060AB0	15,000.00000	14,985.60	14,934.15	51.45
04/07/15 H	06/14/13	***RIO TINTO FIN USA PLC	76720AAL0	15,000.00000	15,097.35	14,956.20	141.15

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Account No: 541-20018
Account Name: THE KIMBALL FOUNDATION
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OH4-RM00
P.O. BOX 183211
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Telephone Number: (212) 476-5505

2015 Supplemental Gain or (Loss) Information (continued)
Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	H	L	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds, Less Commission and Option Premium	Cost or Other Basis	Realized Gain/(Loss)
12/10/15	H		06/09/14	CITIGROUP INC	172967HD6	20,000.00000	20,758.80	20,364.87	393.93
12/01/15	H		11/24/14	KINDER MORGAN INC DEL SENIOR NOTES	49456BAE1	15,000.00000	13,500.00	14,969.55	(1,469.55)
10/06/15	H		06/30/11	UNITED STATES TREASURY NOTE	912828QN3	5,000.00000	5,430.64	4,988.50	442.14
02/17/15	H		01/14/14	UNITED STATES TREASURY NOTE	912828SE1	40,000.00000	40,000.00	40,000.00	0.00
09/15/15	H		09/20/12	UNITED STATES TREASURY NOTE	912828TP5	100,000.00000	100,000.00	99,719.09	280.91
02/02/15	H		01/31/13	UNITED STATES TREASURY NOTE	912828UK4	155,000.00000	155,000.00	154,958.14	41.86
03/02/15	H		02/28/13	UNITED STATES TREASURY NOTE	912828UP3	155,000.00000	155,000.00	155,007.68	(7.68)
01/15/15	H		01/06/14	UNITED STATES TREASURY NOTE	912828WE6	15,000.00000	16,368.69	14,722.32	1,646.37
10/06/15	H		09/30/14	UNITED STATES TREASURY NOTE	912828D56	10,000.00000	10,309.33	9,899.65	409.68
34 ITEMS - Total Long-Term Not Reported Transactions Gain or (Loss)								1,117,345.63	13,629.43

FOOTNOTES
A - Position carried at Average Cost.
E - Adjusted for option exercise or assignment.

DISPOSAL METHODS
Blank - FIFO (First In First Out); L - LIFO (Last In First Out); S - Specific Match (Versus Purchase Method); H - High Cost Method; C - Low Cost Method; X - High Cost Long-Term

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SHORT TERM							
Neuberger Berman #1	VMware Inc	1,000.000	11/26/2014	4/27/2015	90,005.54	85,299.20	4,706.34
Neuberger Berman #1	Activis PLC	250.000	12/31/2014	6/17/2015	65,165.95	65,165.95	0.00
Neuberger Berman #1	Alibaba Group Holdings Ltd	600.000	9/24/2014	6/22/2015	52,193.09	40,800.00	11,393.09
Neuberger Berman #1	Allergan Inc	250.000	12/31/2014	6/22/2015	74,648.62	65,165.95	9,482.67
Neuberger Berman #1	Bristol-Myers Squibb Co	1,500.000	7/2/2014	6/22/2015	99,493.16	73,816.20	25,676.96
Neuberger Berman #1	Diamond Foods Inc	3,000.000	12/31/2014	6/22/2015	97,091.11	86,653.20	10,437.91
Neuberger Berman #1	Occidental Petroleum Corp	300.000	7/2/2014	6/22/2015	23,638.91	29,244.04	-5,605.13
Neuberger Berman #1	Outfront Media Inc.	2,000.000	10/3/2014	6/22/2015	52,426.03	59,401.80	-6,975.77
Neuberger Berman #1	Outfront Media Inc.	2,000.000	12/31/2014	6/22/2015	52,426.03	53,863.75	-1,437.72
Neuberger Berman #1	Outfront Media Inc.	243.000	12/31/2014	6/22/2015	6,369.76	6,439.63	-69.87
Neuberger Berman #1	Ralph Lauren Corp CL A	500.000	8/27/2014	6/22/2015	67,187.41	84,960.50	-17,773.09
TOTAL SHORT TERM					680,645.61	650,810.22	29,835.39
LONG TERM							
Neuberger Berman #1	Amc Entertainment Holdings	2,200.000	12/23/2013	6/22/2015	61,870.78	39,600.00	22,270.78
Neuberger Berman #1	American Express Co	500.000	6/5/2009	6/22/2015	40,346.76	12,625.00	27,721.76
Neuberger Berman #1	American Express Co	500.000	1/23/2012	6/22/2015	40,346.76	25,388.60	14,958.16
Neuberger Berman #1	Apple Inc	1,400.000	6/20/2007	6/22/2015	178,538.71	24,534.00	154,004.71
Neuberger Berman #1	Apple Inc	350.000	4/22/2010	6/22/2015	44,634.68	12,314.21	32,320.47
Neuberger Berman #1	Blackrock Inc	200.000	4/19/2011	6/22/2015	71,882.69	38,583.80	33,298.89
Neuberger Berman #1	Celgene Corp	1,000.000	8/27/2013	6/22/2015	112,537.62	68,781.15	43,756.47
Neuberger Berman #1	Church & Dwight Co. Inc	500.000	10/3/2012	6/22/2015	41,676.28	26,891.11	14,785.17
Neuberger Berman #1	Church & Dwight Co. Inc	500.000	10/31/2012	6/22/2015	41,676.28	25,082.20	16,594.08
Neuberger Berman #1	Walt Disney Co	800.000	6/20/2007	6/22/2015	89,418.35	27,440.00	61,978.35
Neuberger Berman #1	Walt Disney Co	800.000	8/3/2007	6/22/2015	89,418.35	27,280.00	62,138.35
Neuberger Berman #1	Walt Disney Co	200.000	5/3/2010	6/22/2015	22,354.59	7,235.16	15,119.43
Neuberger Berman #1	EOG Resources Inc	400.000	11/18/2013	6/22/2015	35,695.34	33,625.00	2,070.34
Neuberger Berman #1	Exxon Mobil Corp	68.000	6/20/2007	6/22/2015	5,779.90	5,682.76	97.14
Neuberger Berman #1	Exxon Mobil Corp	310.000	6/20/2007	6/22/2015	26,349.55	21,752.50	4,597.05
Neuberger Berman #1	Exxon Mobil Corp	222.000	7/10/2007	6/22/2015	18,869.67	14,987.50	3,882.17
Neuberger Berman #1	Exxon Mobil Corp	500.000	7/10/2007	6/22/2015	57,563.99	21,146.45	36,417.54
Neuberger Berman #1	Ecolab Inc	500.000	11/20/2008	6/22/2015	57,563.99	16,373.35	41,190.64
Neuberger Berman #1	Goldman Sachs Group Inc	350.000	9/20/2013	6/22/2015	74,968.16	58,324.28	16,643.88
Neuberger Berman #1	Goldman Sachs Group Inc	150.000	4/3/2014	6/22/2015	32,129.21	24,586.76	7,542.45
Neuberger Berman #1	Alphabet inc A	50.000	6/20/2007	6/22/2015	27,358.99	12,837.76	14,521.23
Neuberger Berman #1	Alphabet inc A	25.000	7/10/2007	6/22/2015	13,679.50	6,793.35	6,886.15

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Neuberger Berman #1	Alphabet inc A	50.000	10/11/2012	6/22/2015	27,358.99	19,281.92	8,077.07
Neuberger Berman #1	Alphabet inc A	50.000	10/31/2012	6/22/2015	27,358.99	16,957.75	10,401.24
Neuberger Berman #1	Genuine Parts Co	600.000	1/8/2013	6/22/2015	54,926.82	39,470.65	15,456.17
Neuberger Berman #1	Honeywell International Inc	500.000	4/11/2013	6/22/2015	52,176.53	36,747.70	15,428.83
Neuberger Berman #1	iShares DJ US Real Estate In...	1,000.000	8/28/2013	6/22/2015	73,713.14	62,952.40	10,760.74
Neuberger Berman #1	International Business Machin...	200.000	6/20/2007	6/22/2015	33,522.28	21,310.00	12,212.28
Neuberger Berman #1	International Business Machin...	100.000	7/10/2007	6/22/2015	16,761.14	10,893.00	5,868.14
Neuberger Berman #1	International Business Machin...	100.000	8/3/2007	6/22/2015	16,761.14	11,263.00	5,498.14
Neuberger Berman #1	JPMorgan Chase & Co	250.000	6/5/2009	6/22/2015	17,147.18	8,812.50	8,334.68
Neuberger Berman #1	JPMorgan Chase & Co	250.000	4/22/2010	6/22/2015	17,147.18	11,319.98	5,827.20
Neuberger Berman #1	JPMorgan Chase & Co	500.000	7/6/2011	6/22/2015	34,294.37	20,460.30	13,834.07
Neuberger Berman #1	JPMorgan Chase & Co	500.000	4/16/2013	6/22/2015	34,294.37	24,683.75	9,610.62
Neuberger Berman #1	Johnson & Johnson	200.000	6/20/2007	6/22/2015	19,802.67	12,434.00	7,368.67
Neuberger Berman #1	Johnson & Johnson	200.000	10/23/2007	6/22/2015	19,802.67	12,862.94	6,939.73
Neuberger Berman #1	Johnson & Johnson	200.000	5/3/2010	6/22/2015	19,802.67	12,910.56	6,892.11
Neuberger Berman #1	Johnson & Johnson	400.000	12/7/2011	6/22/2015	39,605.35	25,527.99	14,077.36
Neuberger Berman #1	Estee Lauder Companies Inc.	1,000.000	7/2/2013	6/22/2015	88,018.88	66,558.00	21,460.88
Neuberger Berman #1	MasterCard Inc.	1,500.000	3/8/2013	6/22/2015	142,257.38	79,200.00	63,057.38
Neuberger Berman #1	Merck & Co Inc	1,401.000	8/16/2010	6/22/2015	81,242.49	49,482.00	31,760.49
Neuberger Berman #1	McDonald's Corp	500.000	6/20/2007	6/22/2015	47,620.42	25,720.00	21,900.42
Neuberger Berman #1	Microsoft Corp	500.000	6/20/2007	6/22/2015	22,959.63	15,070.00	7,889.63
Neuberger Berman #1	Microsoft Corp	925.000	7/28/2009	6/22/2015	42,475.31	23,632.00	18,843.31
Neuberger Berman #1	Microsoft Corp	175.000	5/3/2010	6/22/2015	8,035.87	5,404.68	2,631.19
Neuberger Berman #1	Novartis AG	500.000	6/15/2011	6/22/2015	50,584.07	30,421.10	20,162.97
Neuberger Berman #1	Novartis AG	250.000	7/11/2011	6/22/2015	25,292.03	15,425.00	9,867.03
Neuberger Berman #1	Novartis AG	500.000	9/13/2011	6/22/2015	50,584.07	28,014.00	22,570.07
Neuberger Berman #1	Nextera Energy Inc	600.000	3/15/2013	6/22/2015	60,416.00	43,826.10	16,589.90
Neuberger Berman #1	Norfolk Southern Corp	425.000	4/24/2009	6/22/2015	38,925.20	15,549.77	23,375.43
Neuberger Berman #1	Norfolk Southern Corp	575.000	12/19/2012	6/22/2015	52,663.51	35,359.57	17,303.94
Neuberger Berman #1	Pioneer Natural Resources Co.	350.000	11/29/2013	6/22/2015	51,326.06	63,000.00	-11,673.94
Neuberger Berman #1	Occidental Petroleum Corp	500.000	6/20/2007	6/22/2015	39,398.18	27,671.81	11,726.37
Neuberger Berman #1	Occidental Petroleum Corp	200.000	7/10/2007	6/22/2015	15,759.27	11,598.34	4,160.93
Neuberger Berman #1	Quintiles Transnational Holdin...	900.000	5/14/2013	6/22/2015	63,693.89	36,000.00	27,693.89
Neuberger Berman #1	Quintiles Transnational Holdin...	600.000	7/2/2013	6/22/2015	42,462.60	25,620.00	16,842.60
Neuberger Berman #1	Procter & Gamble Co	300.000	6/20/2007	6/22/2015	23,990.59	18,720.00	5,270.59
Neuberger Berman #1	Procter & Gamble Co	200.000	7/10/2007	6/22/2015	15,993.72	12,296.00	3,697.72
Neuberger Berman #1	Henry Schein Inc	275.000	6/20/2007	6/22/2015	39,312.94	14,852.75	24,460.19
Neuberger Berman #1	Henry Schein Inc	125.000	7/10/2007	6/22/2015	17,869.52	6,867.50	11,002.02

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
Neuberger Berman #1	Henry Schein Inc	100 000	8/3/2007	6/22/2015	14,295.62	5,527.00	8,768.62
Neuberger Berman #1	Henry Schein Inc	500 000	9/13/2011	6/22/2015	71,478.08	31,949.30	39,528.78
Neuberger Berman #1	Simon Property Group Inc	400 000	9/20/2013	6/22/2015	71,654.68	57,144.28	14,510.40
Neuberger Berman #1	Summit Midstream Partners LP	2,000 000	3/17/2014	6/22/2015	66,097.38	77,500.00	-11,402.62
Neuberger Berman #1	Schlumberger LTD NV	300 000	6/20/2007	6/22/2015	27,028.84	25,490.01	1,538.83
Neuberger Berman #1	Schlumberger LTD NV	200 000	7/10/2007	6/22/2015	18,019.23	17,572.00	447.23
Neuberger Berman #1	Schlumberger LTD NV	300 000	4/22/2010	6/22/2015	27,028.84	19,541.97	7,486.87
Neuberger Berman #1	Schlumberger LTD NV	100 000	5/3/2010	6/22/2015	9,009.61	6,972.94	2,036.67
Neuberger Berman #1	Starbucks Corp	2,400 000	10/11/2012	6/22/2015	127,965.88	59,144.04	68,821.84
Neuberger Berman #1	Starbucks Corp	600 000	12/19/2012	6/22/2015	31,991.47	16,000.32	15,991.15
Neuberger Berman #1	TJX Companies Inc	1,000 000	3/8/2013	6/22/2015	65,841.19	45,248.20	20,592.99
Neuberger Berman #1	TJX Companies Inc	1,000 000	6/11/2014	6/22/2015	65,841.19	56,408.10	9,433.09
Neuberger Berman #1	Tiffany & Co	700 000	4/22/2010	6/22/2015	65,060.30	34,488.09	30,572.21
Neuberger Berman #1	United Technologies Corp	200 000	6/20/2007	6/22/2015	23,015.60	14,434.00	8,581.60
Neuberger Berman #1	United Technologies Corp	200 000	12/12/2011	6/22/2015	23,015.60	15,276.96	7,738.64
Neuberger Berman #1	United Technologies Corp	600 000	1/23/2012	6/22/2015	69,046.79	46,654.32	22,392.47
Neuberger Berman #1	Summitt K-1	1 000	3/16/2014	6/22/2015	0.00	0.00	0.00
Neuberger Berman #1	Alphabet Inc C	50 137	6/30/2007	6/22/2015	26,487.86	12,796.74	13,691.12
Neuberger Berman #1	Alphabet Inc C	25 069	7/10/2007	6/22/2015	13,243.93	6,771.65	6,472.28
Neuberger Berman #1	Alphabet Inc C	50 137	10/11/2012	6/22/2015	26,487.86	19,220.32	7,267.54
Neuberger Berman #1	Alphabet Inc C	50 137	10/31/2012	6/22/2015	26,487.86	16,903.56	9,584.30
TOTAL LONG TERM					3,579,115.08	2,135,885.80	1,444,029.28
OVERALL TOTAL					4,259,760.69	2,122,299.55	1,456,515.53
						2,785,896.02	1,473,864.67