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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation Stephen C & Patricia A Schott Foundation		A Employer identification number 94-3192795	
% CITATION HOMES C/O JESTER LI		B Telephone number (see instructions) (408) 985-6000	
Number and street (or P O box number if mail is not delivered to street address) 404 Saratoga Avenue Suite STE 100	Room/suite		
City or town, state or province, country, and ZIP or foreign postal code Santa Clara, CA 95050		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 21,412,157		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	756,874			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	514,845	514,845		
	4 Dividends and interest from securities	223,402	223,402		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	44,377			
	b Gross sales price for all assets on line 6a 5,529,967				
	7 Capital gain net income (from Part IV, line 2) . . .		44,377		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	615	615		
	12 Total. Add lines 1 through 11	1,540,113	783,239		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	3,875	0	0	0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	16,182	16,002		
	19 Depreciation (attach schedule) and depletion . .				
	20 Occupancy				
	21 Travel, conferences, and meetings.				
	22 Printing and publications				
	23 Other expenses (attach schedule).	222,391	222,391		
	24 Total operating and administrative expenses. Add lines 13 through 23	242,448	238,393	0	0
	25 Contributions, gifts, grants paid	814,684			814,684
	26 Total expenses and disbursements. Add lines 24 and 25	1,057,132	238,393	0	814,684
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	482,981			
	b Net investment income (if negative, enter -0-)		544,846		
	c Adjusted net income (if negative, enter -0-) . . .				

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	176,689	288,838	288,838
	2	Savings and temporary cash investments	1,275,779	508,870	508,870
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).	8,490	8,490	8,490
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	4,580,128	5,551,584	5,541,869
	b	Investments—corporate stock (attach schedule)	5,232,019	5,297,648	5,850,023
	c	Investments—corporate bonds (attach schedule)	7,559,603	7,685,032	7,681,747
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans.			
	13	Investments—other (attach schedule)	1,539,236	1,514,463	1,532,320
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	20,371,944	20,854,925	21,412,157	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	20,371,944	20,854,925	
	30	Total net assets or fund balances(see instructions)	20,371,944	20,854,925	
	31	Total liabilities and net assets/fund balances(see instructions)	20,371,944	20,854,925	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	20,371,944
2	Enter amount from Part I, line 27a	2	482,981
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	20,854,925
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	20,854,925

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1 a	DEUTSCHE A&W MGMT - SHORT TERM			
b	DEUTSCHE A&W MGMT - LONG TERM			
c	ENTERPRISE - SHORT TERM			
d	ENTERPRISE - LONG TERM			
e	ENTERPRISE - LONG TERM			

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a 1,819,488		1,801,662	17,826
b 2,133,814		2,101,253	32,561
c 367,725		367,710	15
d 81,091		97,092	-16,001
e 1,127,849		1,117,873	9,976

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a			17,826
b			32,561
c			15
d			-16,001
e			9,976

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	44,377
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	1,501,592	21,706,485	0 069177
2013	3,134,679	11,105,828	0 282255
2012	349,984	5,604,367	0 062448
2011	335,533	4,358,314	0 076987
2010	464,666	4,386,940	0 10592

2 Total of line 1, column (d).	2	0 596787
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 119357
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	21,240,855
5 Multiply line 4 by line 3.	5	2,535,245
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	5,448
7 Add lines 5 and 6.	7	2,540,693
8 Enter qualifying distributions from Part XII, line 4.	8	814,684

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter _____
(attach copy of letter if necessary—see instructions)

b

Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b

c

All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3

Add lines 1 and 2.

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6

Credits/Payments

a

2015 estimated tax payments and 2014 overpayment credited to 2015

6a

8,213

b

Exempt foreign organizations—tax withheld at source

6b

c

Tax paid with application for extension of time to file (Form 8868).

6c

d

Backup withholding erroneously withheld

6d

7

Total credits and payments. Add lines 6a through 6d.

7

8,213

8

Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.

8

34

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter **amount owed**

9

2,718

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**.

10

11

Enter the amount of line 10 to be **Credited to 2015 estimated tax** ☐ **Refunded** ☐

11

Part VII-AStatements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☐

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c

Did the foundation file **Form 1120-POL** for this year?

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities.

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes.* ☐

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

No

b

If "Yes," has it filed a tax return on **Form 990-T** for this year?

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T. ☐

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6

Yes

7

Did the foundation have at least \$5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions):
☐ CA _____

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation.*

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)?
If "Yes," complete Part XIV.

9

No

10

Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*

10

No

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Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶N/A	13	Yes	
14	The books are in care of ▶CITATION HOMES CO JESTER LIAOTelephone no ▶(408) 985-6047 Located at ▶404 SARATOGA AVE STE 100 SANTA CLARA CAZIP+4 ▶95050			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☒ Yes ☐ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.</i>) ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

5b		
----	--	--

6b		No
----	--	----

7b		No
----	--	----

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation(If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
STEPHEN C SCHOTT	PRESIDENT/TREASURER 5 0	0	0	0
404 Saratoga Avenue Santa Clara, CA 95050				
PATRICIA A SCHOTT	VICE PRESIDENT 5 0	0	0	0
404 Saratoga Avenue Santa Clara, CA 95050				
DANIEL M IKEDA	SECRETARY 5 0	0	0	0
404 Saratoga Avenue Santa Clara, CA 95050				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

[illegible]

Total number of other employees paid over \$50,000. ▶

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services.▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3▶

Part X

Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	20,480,212
b	Average of monthly cash balances.	1b	1,084,108
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	21,564,320
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	21,564,320
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	323,465
5	Net value of noncharitable-use assets.Subtract line 4 from line 3 Enter here and on Part V, line 4	5	21,240,855
6	Minimum investment return.Enter 5% of line 5.	6	1,062,043

Part XI

Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,062,043
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	10,897
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	10,897
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,051,146
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,051,146
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amountas adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,051,146

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	814,684
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions.Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	814,684
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions.Subtract line 5 from line 4.	6	814,684

Note:The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				1,051,146
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			0	
b Total for prior years 2013 , 2012 , 2011		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010.	249,087			
b From 2011.	121,114			
c From 2012.	73,300			
d From 2013.	2,592,640			
e From 2014.	430,685			
f Total of lines 3a through e.	3,466,826			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 814,684				
a Applied to 2014, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2015 distributable amount.				814,684
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	236,462			236,462
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,230,364			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .	12,625			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	3,217,739			
10 Analysis of line 9				
a Excess from 2011.	121,114			
b Excess from 2012.	73,300			
c Excess from 2013.	2,592,640			
d Excess from 2014.	430,685			
e Excess from 2015.				

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
b	85% of line 2a				
c	Qualifying distributions from Part XII, line 4 for each year listed				
d	Amounts included in line 2c not used directly for active conduct of exempt activities				
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3	Complete 3a, b, or c for the alternative test relied upon				
a	"Assets" alternative test—enter				
	(1) Value of all assets				
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.				
c	"Support" alternative test—enter				
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).				
	(3) Largest amount of support from an exempt organization				
	(4) Gross investment income				

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2015)

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments.						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities.			14	738,247		
5 Net rental income or (loss) from real estate						
a Debt-financed property.						
b Not debt-financed property.						
6 Net rental income or (loss) from personal property						
7 Other investment income.						
8 Gain or (loss) from sales of assets other than inventory			18	44,377		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a <u>OTHER MISC INCOME</u>		615	18			
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e).		615		782,624		
13 Total. Add line 12, columns (b), (d), and (e).						
(See worksheet in line 13 instructions to verify calculations)						

[illegible]

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

STEPHEN C SCHOTT
PATRICIA A SCHOTT

TY 2015 Accounting Fees Schedule

Name: Stephen C & Patricia A Schott Foundation

EIN: 94-3192795

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	3,875			

TY 2015 All Other Program Related Investments Schedule

Name: Stephen C & Patricia A Schott Foundation

EIN: 94-3192795

Category	Amount
NONE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Depreciation Schedule

Name: Stephen C & Patricia A Schott Foundation

EIN: 94-3192795

TY 2015 Investments Corporate Bonds Schedule**Name:** Stephen C & Patricia A Schott Foundation**EIN:** 94-3192795

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ENTERPRISE TRUST & INVESTMENT	1,168,351	1,166,570
DEUTSCHE A&W MANAGEMENT	6,516,681	6,515,177

TY 2015 Investments Corporate Stock Schedule**Name:** Stephen C & Patricia A Schott Foundation**EIN:** 94-3192795

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ENTERPRISE TRUST & INVESTMENT	1,695,455	1,995,038
DEUTSCHE A&W MANAGEMENT	3,602,193	3,854,985

TY 2015 Investments Government Obligations Schedule

Name: Stephen C & Patricia A Schott Foundation

EIN: 94-3192795

**US Government Securities - End of
Year Book Value:**

**US Government Securities - End of
Year Fair Market Value:**

**State & Local Government
Securities - End of Year Book
Value:**

5,551,584

**State & Local Government
Securities - End of Year Fair
Market Value:**

5,541,869

TY 2015 Investments - Other Schedule**Name:** Stephen C & Patricia A Schott Foundation**EIN:** 94-3192795

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
DEUTSCHE A&W MANAGEMENT		1,514,463	1,360,043
ENTERPRISE TRUST & INVESTMENT			89,590
DEUTSCHE A&W MANAGEMENT			82,687

TY 2015 Other Expenses Schedule**Name:** Stephen C & Patricia A Schott Foundation**EIN:** 94-3192795

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNT MANAGEMENT FEES	117,117	117,117		
BOND PREMIUM AMORTIZATION	104,904	104,904		
OTHER EXPENSES	370	370		

TY 2015 Other Income Schedule

Name: Stephen C & Patricia A Schott Foundation

EIN: 94-3192795

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MISC INCOME	615	615	

**TY 2015 Other
Receivables from Officers
Schedule****Name:** Stephen C & Patricia A Schott Foundation**EIN:** 94-3192795**Travel Advance to Officers:**

Item No.	1
Borrower's Name	STEPHEN C SCHOTT
Borrower's Title	
Original Amount of Loan	8333
Balance Due	8490
Date of Note	
Maturity Date	
Repayment Terms	
Interest Rate	
Security Provided by Borrower	
Purpose of Loan	
Description of Lender Consideration	
Consideration FMV	

TY 2015 Taxes Schedule**Name:** Stephen C & Patricia A Schott Foundation**EIN:** 94-3192795

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CA FRANCHISE TAX BOARD	10			
REGISTRY OF CHARITABLE TRUSTS	150			
INTERNAL REVENUE SERVICE	16,002	16,002		
SECRETARY OF STATE	20			

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.	OMB No 1545-0047
		2015

Name of the organization Stephen C & Patricia A Schott Foundation	Employer identification number 94-3192795
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization Stephen C & Patricia A Schott Foundation	Employer identification number 94-3192795
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	stephen patricia schott	\$ 296,274	Person ☒
	404 saratoga avenue		Payroll ☐
	santa clara, CA 95050		Noncash ☐ (Complete Part II for noncash contributions)
2	stephen patricia schott	\$ 460,600	Person ☐
	404 saratoga avenue		Payroll ☐
	santa clara, CA 95050		Noncash ☒ (Complete Part II for noncash contributions)
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)

Name of organization Stephen C & Patricia A Schott Foundation	Employer identification number 94-3192795
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Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed
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(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	SECURITIES	\$ 460,600	2015-12-09
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Employer identification number

94-3192795

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of **exclusively** religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed

Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

STEPHEN C & PATRICIA A SCHOTT FOUNDATION
EIN# 94-3192795

Form 990 PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

STATEMENT A

<u>Recipient Name and Address</u>	<u>EIN</u>	<u>Foundation Status</u>	<u>Purpose of Grant or Contribution</u>	<u>Amount</u>
The ALS Association Golden West Chapter P O Box 565 Agoura Hills CA 91376	95-4163338	Public Chanty	General	100 00
ALZHEIMER S ASSOCIATION 2065 W EL CAMINO REAL MOUNTAIN VIEW CA 94040	94-2897949	Public Chanty	General	100 00
ARCHBISHOP MITTY HIGH SCHOOL 5000 MITTY AVE SAN JOSE CA 95129	94-2734503	Public Chanty	General	180 200 00
BOB WARREN SCHOLARSHIP FUND AT SCU SANTA CLARA UNIVERSITY SANTA CLARA CA 95053	77-0207099	Public Chanty	General	12 500 00
BRONCO BENCH FOUNDATION SANTA CLARA UNIVERSITY SANTA CLARA CA 95053	94-6121957	Public Chanty	General	1 500 00
CARMELITES NUNS OF SANTA CLARA 1000 LINCOLN SANTA CLARA CA	94-6067263	Public Chanty	General	500 00
CIVIL WAR TRUST 1140 PROFESSIONAL COURT HAGERSTOWN MD 21740	54-1426643	Public Chanty	General	500 00
CATHOLIC CHARITIES OF SANTA CLARA COUNTY 2625 ZANKER RD SAN JOSE CA 95134	94-2762269	Public Chanty	General	2 000 00
Everett Alvarez Jr Endowment Scholarship Fund Santa Clara University 500 El Camino Real Santa Clara CA 95050-4345	94-1156617	Public Chanty	General	200 000 00
Horatio Alger Association Of Distinguished Americans Inc 99 Canal Center Plz Ste 320 Alexandria VA 22314-1588	13-1669975	Public Chanty	General	10 000 00
LOAVES & FISHES FAMILY KITCHEN 51 N 9TH STREET SAN JOSE CA	77-0370874	Public Chanty	General	200 00
MONTALVO ASSOCIATION P O BOX 158 SARATOGA CA 95071	94-1249283	Public Chanty	General	100 00
NOTRE DAME HIGH SCHOOL 596 S 2ND ST SAN JOSE CA 95112	52-1278303	Public Chanty	General	200 00
PALM DESERT ART MUSEUM PALM DESSERT FOUNDERS SOCIETY 101 MUSEUM DR PALM SPRINGS CA 92262	95-1809576	Public Chanty	General	5 000 00
PALO ALTO MEDICAL FOUNDATION 701 E EL CAMINO REAL MOUNTAIN VIEW CA 94040	94-1156581	Public Chanty	General	200 000 00
PANETTA INSTITUTE FOR PUBLIC POLICY 100 CAMPUS CENTER BLDG 86E CSUMB SEASIDE CA 93955	77-0495799	Public Chanty	General	1 000 00
Paul Shendan Financial Aid Endowment Bellarmine College Preparation 960 West Hedding Street San Jose CA 95126	94-1160938	Public Chanty	General	10 000 00
MOTHER BENTIVOGLIO FEDERATION OF POOR CLARE MONASTERIES 1505 MILES RD CINCINNATI OH 45231	62-0963388	Public Chanty	General	200 00
RECOVERY CAFE SAN JOSE INC 1530 34TH STREET OAKLAND CA	45-4496745	Public Chanty	General	200 00
SACRED HEART NATIVITY SCHOOL 310 EDWARDS AVE SAN JOSE CA 95110	95-2206754	Public Chanty	General	9 000 00
Saint Simon Parish Annual Diocean Appeal 1860 GRANT ROAD LOS ALTOS CA 94024-6843	94-2734503	Public Chanty	General	1 000 00
SAN JOSE CATHEDRAL FOUNDATION 80 S MARKET STREET SAN JOSE CA 95113	77-0208232	Public Chanty	General	10 000 00

STEPHEN C & PATRICIA A SCHOTT FOUNDATION
EIN# 94-3192795

Form 990 PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

STATEMENT A

<u>Recipient Name and Address</u>	<u>EIN</u>	<u>Foundation Status</u>	<u>Purpose of Grant or Contribution</u>	<u>Amount</u>
SAN JOSE SPORTS AUTHORITY 345 PARK AVE MSC A9 SAN JOSE CA 95110	77-0269729	Public Charity	General	7 500 00
Santa Clara University Baseball 500 El Camino Real Santa Clara CA 95050-4345	94-1156617	Public Charity	General	6 000 00
SCS Bronco Athletic Investment Fund 500 El Camino Real Santa Clara CA 95050-4345	94-1156617	Public Charity	General	50 000 00
SCU School of Law Justice Edward Panelli Scholarship 500 El Camino Real Santa Clara CA 95050-4345	94-1156617	Public Charity	General	1 000 00
Sisters Servants of the Immaculate Heart of Mary Scranton 2300 ADAMS AVE SCRANTON PA 18509	24-0795454	Public Charity	General	200 00
SPECIAL OLYMPICS 3480 BUSKIRK AVE #340 PLEASANT HILL CA 94523	52-0889518	Public Charity	General	50 00
ST JOSEPH'S ST PATRICK'S COLLEGE ALUMNI ASSOC 320 Middlefield Road Menlo Park CA 94025	94-1156604	Public Charity	General	200 00
St Anthony Foundation 150 GOLDEN GATE AVENUE SAN FRANCISCO CA 94102	94-1513140	Public Charity	General	300 00
ST FRANCIS HIGH SCHOOL 15325 WILDARD RD SAN JOSE CA	94-13337628	Public Charity	General	100 00
ST SIMON CHURCH 1860 GRANT ROAD LOS ALTOS CA 94024-6843	94-2734503	Public Charity	General	500 00
KAM FOUNDATION 6600 SEARS TOWER CHICAGO IL 60606	36-3545268	Public Charity	General	200 00
THE LIVING DESERT 47900 PORTOLA AVENUE PALM DESERT CA 92260	95-3385354	Public Charity	General	250 00
THE TOWER FOUNDATION OF SJSU ONE WASHINGTON SQUARE SAN JOSE CA 95192	83-0403915	Public Charity	General	500 00
U S Olympic and Paralympic Foundation ONE OLYMPIC PLAZA COLORADO SPRINGS CO 80909	80-0939841	Public Charity	General	500 00
VASCULAR CURES 555 PRICE AVE STE 180 REDWOOD CITY CA 94063	94-2825216	Public Charity	General	1 000 00
VILLA SIENA FOUNDATION 1855 MIRA MONTE MOUNTAIN VIEW CA	94-3344872	Public Charity	General	101 000 00
WOUNDED WARRIOR PROJECT 8800 RIO SAN DIEGO SUITE 900 SAN DIEGO CA 92108	20-2370934	Public Charity	General	84 00
FRIENDS OF YOGI INC 8 QUARRY RD LITTLE FALLS NJ 07424	22-3548871	Public Charity	General	1 000 00
Total Grants and Contributions Paid				\$ 814,684 00