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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation MARGOES FOUNDATION		A Employer identification number  94-2955164	
Number and street (or P O box number if mail is not delivered to street address) 1660 BUSH STREET NO 300		B Telephone number (see instructions)  (415) 561-6540	
City or town, state or province, country, and ZIP or foreign postal code SAN FRANCISCO, CA 94109		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change		Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$</b> 4,394,118		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</i>		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule) . . . . .				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . . . .	100,390	100,390		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	19,588			
	b Gross sales price for all assets on line 6a 481,635				
	7 Capital gain net income (from Part IV, line 2) . . .		19,588		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	119,978	119,978		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule). . . . .				
	b Accounting fees (attach schedule). . . . .	4,600	2,300		2,300
	c Other professional fees (attach schedule) . . . . .	76,548	31,849		44,530
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . .	4,550	0		60
	19 Depreciation (attach schedule) and depletion . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings. . . . .	890	0		890
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule). . . . .	3,047	1,267		0
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	89,635	35,416		47,780
	25 Contributions, gifts, grants paid . . . . .	142,000			142,000
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	231,635	35,416		189,780
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	-111,657			
	b <b>Net investment income</b> (if negative, enter -0-)		84,562		
	c <b>Adjusted net income</b> (if negative, enter -0-) . . .				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	50	74	74
	2	Savings and temporary cash investments . . . . .	281,671	271,930	271,931
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions). . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)	58,586	42,621	42,621
	b	Investments—corporate stock (attach schedule) . . . . .	59,638	0	0
	c	Investments—corporate bonds (attach schedule) . . . . .			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans. . . . .			
	13	Investments—other (attach schedule) . . . . .	4,408,129	4,079,492	4,079,492
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	4,808,074	4,394,117	4,394,118	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule). . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22) . . . . .	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	5,044,256	5,044,256	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	-236,182	-650,139	
30	Total net assets or fund balances(see instructions) . . . . .	4,808,074	4,394,117		
31	Total liabilities and net assets/fund balances(see instructions) . . . . .	4,808,074	4,394,117		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	4,808,074
2	Enter amount from Part I, line 27a . . . . .	2	-111,657
3	Other increases not included in line 2 (itemize) ▶ _____	3	226,341
4	Add lines 1, 2, and 3 . . . . .	4	4,922,758
5	Decreases not included in line 2 (itemize) ▶ _____	5	528,641
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	4,394,117

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co )		How acquired P—Purchase <b>(b)</b> D—Donation	Date acquired <b>(c)</b> (mo , day, yr )	Date sold <b>(d)</b> (mo , day, yr )
<b>1 a</b>	US BANK			
<b>b</b>	CAPITAL GAINS DIVIDENDS	P		
<b>c</b>				
<b>d</b>				
<b>e</b>				

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 457,781		462,047	-4,266
<b>b</b> 23,854			23,854
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) <b>or</b> Losses (from col (h)) <b>(l)</b>
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
<b>a</b>			-4,266
<b>b</b>			23,854
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b>	Capital gain net income or (net capital loss) <div>⎧ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ⎫</div>	<b>2</b>	19,588
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) <div>⎧ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . ⎫</div>	<b>3</b>	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )  
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

<b>(a)</b> Base period years Calendar year (or tax year beginning in)	<b>(b)</b> Adjusted qualifying distributions	<b>(c)</b> Net value of noncharitable-use assets	<b>(d)</b> Distribution ratio (col (b) divided by col (c))
2014	238,584	4,742,558	0 050307
2013	251,706	4,734,972	0 053159
2012	263,556	4,679,175	0 056325
2011	274,260	5,048,113	0 054329
2010	239,005	5,109,315	0 046778

<b>2</b>	Total of line 1, column (d). . . . .	<b>2</b>	0 260898
<b>3</b>	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0 052180
<b>4</b>	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5. . . . .	<b>4</b>	4,664,632
<b>5</b>	Multiply line 4 by line 3. . . . .	<b>5</b>	243,400
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	<b>6</b>	846
<b>7</b>	Add lines 5 and 6. . . . .	<b>7</b>	244,246
<b>8</b>	Enter qualifying distributions from Part XII, line 4. . . . .	<b>8</b>	189,780

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1  
Date of ruling or determination letter \_\_\_\_\_  
(attach copy of letter if necessary—see instructions)

b

Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b . . . . .

c

All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3

Add lines 1 and 2. . . . .

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5

**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- . . . . .

6

Credits/Payments

a

2015 estimated tax payments and 2014 overpayment credited to 2015

6a

3,300

b

Exempt foreign organizations—tax withheld at source . . . . .

6b

c

Tax paid with application for extension of time to file (Form 8868). . . . .

6c

d

Backup withholding erroneously withheld . . . . .

6d

7

Total credits and payments. Add lines 6a through 6d. . . . .

8

Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.

9

**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . .

10

**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**. . . . .

11

Enter the amount of line 10 to be **Credited to 2015 estimated tax** 1,609 **Refunded**

1

1,691

2

0

3

1,691

4

0

5

1,691

7

3,300

8

9

10

1,609

11

0

Part VII-A

Statements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . .  
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1b

No

c

Did the foundation file **Form 1120-POL** for this year? . . . . .

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year  
(1) On the foundation \$ 0 (2) On foundation managers \$ 0

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0

2

Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .  
If "Yes," attach a detailed description of the activities.

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .

4a

No

b

If "Yes," has it filed a tax return on **Form 990-T** for this year? . . . . .

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .  
If "Yes," attach the statement required by General Instruction T.

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either  
• By language in the governing instrument, or  
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .

6

Yes

7

Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. . . . .

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions)  
CA

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)?  
If "Yes," complete Part XIV . . . . .

9

No

10

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. . . . .

10

No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>WWW PFS-LLC NET/FOUNDATIONS/MARGOES-FOUNDATION</b>	13	Yes	
14	The books are in care of <b>PACIFIC FOUNDATION SERVICES LLC</b> Telephone no <b>(415) 561-6540</b> Located at <b>1660 BUSH STREET 300 SAN FRANCISCO CA</b> ZIP+4 <b>94109</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/>	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country <input type="checkbox"/>	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?. <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>20</b> ____, <b>20</b> ____, <b>20</b> ____, <b>20</b> ____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). <input type="checkbox"/>	2b		
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>20</b> ____, <b>20</b> ____, <b>20</b> ____, <b>20</b> ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.</i> ). <input type="checkbox"/>	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A )? (see instructions).

☐ Yes

☒ No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☐

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes

☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total

number of other employees paid over \$50,000.

0

## Part VIII

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

<b>Total</b> number of others receiving over \$50,000 for professional services. . . . .	0
--	---

## Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments See instructions	
<b>3</b>	

[illegible]



**Part X**

**Minimum Investment Return**  
(All domestic foundations must complete this part. Foreign foundations,see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	4,460,490
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	275,177
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	4,735,667
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	4,735,667
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	71,035
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	4,664,632
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	233,232

**Part XI**

**Distributable Amount**  
(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	233,232
<b>2a</b>	Tax on investment income for 2015 from Part VI, line 5. . . . .	<b>2a</b>	1,691
<b>b</b>	Income tax for 2015 (This does not include the tax from Part VI ). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	1,691
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	<b>3</b>	231,541
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	0
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	231,541
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	<b>7</b>	231,541

**Part XII**

**Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
<b>a</b>	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	<b>1a</b>	189,780
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	189,780
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	189,780

**Note:**The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				231,541
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010.				
b From 2011.				
c From 2012.				
d From 2013.				13,732
e From 2014.				43,066
f Total of lines 3a through e.	56,798			
4 Qualifying distributions for 2015 from Part XII, line 4				
a Applied to 2014, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				189,780
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	41,761			41,761
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	15,037			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions.		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions.			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a.	15,037			
10 Analysis of line 9				
a Excess from 2011.				
b Excess from 2012.				
c Excess from 2013.				
d Excess from 2014.				15,037
e Excess from 2015.				

## Part XIV

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section 14942(j)(3) or 14942(j)(5)

		Prior 3 years				(e) Total
		(a) 2015	(b) 2014	(c) 2013	(d) 2012	
<b>2a</b>	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b>	85% of line 2a . . . . .					
<b>c</b>	Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b>	Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b>	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b>	Complete 3a, b, or c for the alternative test relied upon					
<b>a</b>	"Assets" alternative test—enter					
	(1) Value of all assets . . . . .					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b>	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b>	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

**Part XV** **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

### 1 Information Regarding Foundation Managers:

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

AMY FREEMAN PACIFIC FOUNDATION SERV  
1660 BUSH STREET 300  
SAN FRANCISCO, CA 94109  
(415) 561-6540

**b** The form in which applications should be submitted and information and materials they should include  
SEE EXHIBIT 1

c Any submission deadlines  
SEE EXHIBIT 1

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE EXHIBIT 1

### 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2015)

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
<b>1</b> Program service revenue						
<b>a</b> _____						
<b>b</b> _____						
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>f</b> _____						
<b>g</b> Fees and contracts from government agencies						
<b>2</b> Membership dues and assessments. . . . .						
<b>3</b> Interest on savings and temporary cash investments . . . . .						
<b>4</b> Dividends and interest from securities. . . .			14	100,390		
<b>5</b> Net rental income or (loss) from real estate						
<b>a</b> Debt-financed property. . . . .						
<b>b</b> Not debt-financed property. . . . .						
<b>6</b> Net rental income or (loss) from personal property . . . . .						
<b>7</b> Other investment income. . . . .						
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	19,588		
<b>9</b> Net income or (loss) from special events						
<b>10</b> Gross profit or (loss) from sales of inventory . . .						
<b>11</b> Other revenue <b>a</b> _____						
<b>b</b> _____						
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>12</b> Subtotal Add columns (b), (d), and (e). . .		0		119,978		0
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . . (See worksheet in line 13 instructions to verify calculations )			<b>13</b>		119,978	

[illegible]



Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation(If not paid, enter -0-)	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
DOUGLAS W JOHNSON	CHAIRMAN/DIRECTOR 1 00	0	0	0
1660 BUSH STREET SUITE 300 SAN FRANCISCO,CA 94109				
NEAL L PETERSEN	SECRETARY/DIRECTOR 1 00	0	0	0
1660 BUSH STREET SUITE 300 SAN FRANCISCO,CA 94109				
CAL C HARLING	DIRECTOR 1 00	0	0	0
1660 BUSH STREET SUITE 300 SAN FRANCISCO,CA 94109				
HOLLY WILLIAMSON	DIRECTOR 1 00	0	0	0
1660 BUSH STREET SUITE 300 SAN FRANCISCO,CA 94109				
MARY ANNE SCHUETT	DIRECTOR 1 00	0	0	0
1660 BUSH STREET SUITE 300 SAN FRANCISCO,CA 94109				
MARK MOSKOWITZ	DIRECTOR 1 00	0	0	0
1660 BUSH STREET SUITE 300 SAN FRANCISCO,CA 94109				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
GRADGEARS 4368 23RD STREET SAN FRANCISCO,CA 94114	N/A	PC	GRADGURU PROGRAM	50,000
SCHOLARMATCH INC 849 VALENCIA STREET SAN FRANCISCO,CA 94110	N/A	PC	GENERAL SUPPORT FOR AGENCY PROVIDING COLLEGE ACCESS SERVICES, CAREER MENTORING, AND SCHOLARSHIPS IN SAN FRANCISCO	25,000
UASPIRE INC 1904 FRANKLIN STREET 250 OAKLAND,CA 94612	N/A	PC	TO SUPPORT THE UASPIRE AFFORD PROGRAM, PROVIDING FINANCIAL AID EDUCATION AND SUPPORT TO 1,265 BAY AREA HIGH SCHOOL SENIORS	25,000
WATERSIDE WORKSHOPS 84 BOLIVAR DRIVE BERKELEY,CA 94710	N/A	PC	UNIQUE HANDS-ON VOCATIONAL TRAINING WITH THERAPEUTIC SERVICES FOR HIGH-RISK MENTALLY ILL YOUTH, EXPOSURE TO WORK AND SKILLS-BUILDING	20,000
MOMENTUM FOR MENTAL HEALTH 438 NORTH WHITE ROAD SAN JOSE,CA 95127	N/A	PC	TRANSITION AGE YOUTH PROGRAM, PROVIDING OUTPATIENT MENTAL HEALTH SERVICES TO YOUTH AGES 14-24 IN SANTA CLARA COUNTY	20,000
THE FOUNDATION CENTER 312 SUTTER STREET SAN FRANCISCO,CA 94108	N/A	PC	GENERAL SUPPORT	2,000
<b>Total . . . . .</b>				142,000



TY 2015 Accounting Fees Schedule

**Name:** MARGOES FOUNDATION

**EIN:** 94-2955164

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	4,600	2,300		2,300

TY 2015 Investments Corporate Stock Schedule

**Name:** MARGOES FOUNDATION

**EIN:** 94-2955164

Name of Stock	End of Year Book Value	End of Year Fair Market Value
STOCK-EXHIBIT 2	0	0

# TY 2015 Investments Government Obligations Schedule

**Name:** MARGOES FOUNDATION

**EIN:** 94-2955164

**US Government Securities - End of  
Year Book Value:**

42,621

**US Government Securities - End of  
Year Fair Market Value:**

42,621

**State & Local Government  
Securities - End of Year Book  
Value:**

0

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

0

TY 2015 Investments - Other Schedule

**Name:** MARGOES FOUNDATION

**EIN:** 94-2955164

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
EQUITY FUNDS-EXHIBIT 2	FMV	4,079,492	4,079,492

TY 2015 Other Decreases Schedule

**Name:** MARGOES FOUNDATION

**EIN:** 94-2955164

Description	Amount
UNREALIZED APPRECIATION - SECURITIES (PRIOR YEAR)	528,641

# TY 2015 Other Expenses Schedule

**Name:** MARGOES FOUNDATION

**EIN:** 94-2955164

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES	1,267	1,267		0
INSURANCE	1,780	0		0

TY 2015 Other Increases Schedule

**Name:** MARGOES FOUNDATION

**EIN:** 94-2955164

Description	Amount
UNREALIZED APPRECIATION - SECURITIES (TOTAL)	226,341

**TY 2015 Other Professional Fees Schedule****Name:** MARGOES FOUNDATION**EIN:** 94-2955164

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	31,849	31,849		0
PACIFIC FOUNDATION SERVICES	44,699	0		44,530



# TY 2015 Taxes Schedule

**Name:** MARGOES FOUNDATION

**EIN:** 94-2955164

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CA FILING FEES	60	0		60
FEDERAL TAXES	4,490	0		0



MARGOES FOUNDATION 94-2955164

MARGOES FNDN

**Taxable Bonds**

**US Government Issues**

F N M A Partn Cert - 31371KXT3  
Pool #254590  
5 000 01/01/2018

4.83

F N M A Partn Cert - 31403FXJ8  
Pool #747781  
4 500 10/01/2018

4.34

G N M A Pass Thru Cert - 36212CUE9  
Pool #529881  
6 000 03/15/2031

5.35

G N M A Pass Thru Cert - 36212R6Z6  
Pool #541888  
6 000 05/15/2031

5.35

# MARGOES FOUNDATION 94-2955164



MARGOES FNDN

December 1, 2015 to December 31, 2015

## ASSET DETAIL (continued)

Security Description	Shares/Face Amt	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Percent of Total Portfolio	Estimated Annual Income	Estimated Current Yield
G N M A Pass Thru Cert - 36213UHY9 Pool #564647 6 000 06/15/2031	1,351 660	115 3140	1,558 65	1,354 76	203 89	0 0	81 10	5 20
G N M A Pass Thru Cert - 36213LNG1 Pool #557591 6 000 07/15/2031	2,653 620	112 1930	2,977 18	2,660 02	317 16	0 1	159 22	5 35
G N M A Pass Thru Cert - 36200RVF6 Pool #570414 6 000 10/15/2031	3,068 510	112 1770	3,442 16	3,075 68	366 48	0 1	184 11	5 35
<b>Total US Government Issues</b>			<b>\$42,621.15</b>	<b>\$38,819.91</b>	<b>\$3,801.24</b>	<b>1.0</b>	<b>\$2,184.67</b>	

## Stocks

### Equity Funds

Ishares Dow Jones Select Dividend - DVY Index Etf	2,731 000	75 1500	205,234 65	147,450 98	57,783 67	4 7	7,073 29	3 45
Ishares Dow Jones US Oil & Gas Ex - IEO Index Etf	1,350 000	52 9500	71,482 50	98,999 62	-27,517 12	1 6	1,452 60	2 03
Ishares Msci Eafe Growth Index Etf - EFG	5,750 000	67 1400	386,055 00	378,058 58	7,996 42	8 8	6,773 50	1 75
Spdr S & P Oil Gas Exp & Prodtn - XOP	1,950 000	30 2200	58,929 00	99,488 96	-40,559 96	1 3	1,302 60	2 21



MARGOES FOUNDATION 94-2955164

MARGOES FNDN

December 1, 2015 to December 31, 2015

ASSET DETAIL (continued)							
Security Description	Shares/Face Amt	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Percent of Total Portfolio	Estimated Annual Income Estimated Current Yield
Spdr S P Emerging Small Cap - EWX	2,294 000	37 7100	86,506 74	90,061 29	-3,554 55	2 0	2,633.51 3 04
Spdr S&p Biotech Etf - XBI	934 000	70 2000	65,566 80	67,557 62	-1,990 82	1 5	397 88 0 61
Spdr S&p International Telecom - IST Sector Etf	3,000 000	25 0800	75,240 00	81,060 00	-5,820 00	1 7	2,484 00 3 30
Vanguard Dividend Growth Fund IV - VDIGX #57	13,399 397	22 4300	300,548 47	203,373 53	97,174 94	6 8	5,788 54 1 93
Vanguard Energy Etf - VDE	709 000	83 1200	58,932 08	67,454 19	-8,522 11	1 3	3,740 68 6 35
Vanguard Ftse Emerging Markets Etf - VWO	2,777 000	32 7100	90,835 67	118,007 71	-27,172 04	2 1	2,960 28 3 26
Vanguard Growth Vipers Fund - VUG	3,359 000	106 3900	357,364 01	209,429 37	147,934 64	8 1	4,662 29 1 30
Vanguard Industrials Etf - VIS	643 000	101 0300	64,962 29	67,494 17	-2,531 88	1 5	2,520 56 3 88
Vanguard Info Tech Etf - VGT	605 000	108 2900	65,515 45	67,540 39	-2,024 94	1 5	1,679 48 2 56
Vanguard International Explore Fund - VINEX #126	20,334 154	16.9500	344,663 91	350,000 00	-5,336 09	7.8	5,347.88 1 55
Vanguard Mid Cap Growth Index Viper - VOT	3,020 000	99 7100	301,124 20	199,494 61	101,629 59	6 9	4,789 72 1 59
Vanguard Mid Cap Value Index - VOE	460 000	85 9500	39,537 00	22,221 70	17,315 30	0 9	1,592 52 4 03
Vanguard S&p 500 Growth Etf - VOOG	1,450 000	103 7800	150,481 00	100,868 24	49,612 76	3 4	2,349 00 1 56

# MARGOES FOUNDATION 94-2955164



MARGOES FNDN

December 1, 2015 to December 31, 2015

ASSET DETAIL (continued)						
Security Description	Shares/Face Amt	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Percent of Total Portfolio
Vanguard Small Cap Growth Etf - VBK	2,170 000	121 4400	263,524 80	184,841 43	78,683 37	6 0
Vanguard Small Cap Value Etf - VBR	222 000	98 7700	21,926 94	13,643 79	8,283 15	0 5
Vanguard Specialized Portfolios - VGPMX Gold & Precious Metals Portfolio #53	22,106 835	6 3600	140,599 47	391,996 55	-251,397 08	3 2
Vanguard Value Etf - VTV	400 000	81 5200	32,608 00	19,707 92	12,900 08	0 7
Wisdom Tree International Dividend - DOO Ex-Financials Fund	9,113 000	38 0400	346,658 52	381,304 93	-34,646 41	7 9
Wisdomtree Dividend Ex Fin Fund - DTN	2,993 000	70 2901	210,378 27	147,253 18	63,125 09	4 8
Wisdomtree Em Small Cap - DGS	4,450 000	35 0600	156,017 00	199,493 50	-43,476 50	3 6
Wisdomtree EUR S/C Dividend Fund - DFE	3,300 000	56 0000	184,800 00	150,150 00	34,650 00	4 2
<b>Total Equity Funds</b>			<b>\$4,079,491.77</b>	<b>\$3,856,952.26</b>	<b>\$222,539.51</b>	<b>92.8</b>
						<b>\$99,649.61</b>

**Total Equity Funds**

**\$99,649.61**

# **MARGOES FOUNDATION**

## ***ANNUAL REPORT*** ***FOR THE YEAR ENDED DECEMBER 31, 2015***

### ***GENERAL INFORMATION*** ***CURRENT PROGRAM PRIORITIES*** ***GRANT PROPOSAL & REPORTING GUIDELINES*** ***GRANT AWARDS AND PAYMENTS***

#### **OFFICERS AND DIRECTORS**

Douglas W. Johnson  
*Chairman & Director*

Neal L. Petersen  
*Secretary & Director*

Cal C. Harling  
*Director*

Mark Moskowitz  
*Director*

Mary Anne Schuett  
*Director*

Holly Williamson  
*Director*

#### **ADVISORS**

Pacific Foundation Services, LLC  
Amy Freeman, Managing Director

**1660 BUSH STREET, SUITE 300, SAN FRANCISCO, CA 94109**

**(415) 561-6540    FAX: (415) 561-6477**

**E-MAIL: [afreeman@pfs-llc.net](mailto:afreeman@pfs-llc.net)**

## **GENERAL INFORMATION**

The Margoës Foundation was established in 1984 by the will of John A. Margoës and operates as a private foundation incorporated under California law.

### **GRANT PROGRAM HISTORY**

John Margoës envisioned that the foundation would be a resource and catalyst for supporting imaginative and creative program opportunities that enabled:

- Minority and other disadvantaged youth to obtain a college education.
- People with mental disabilities to live in non-institutional environments and lead productive lives.

### **ELIGIBLE APPLICANTS**

The foundation accepts grant requests only from tax-exempt, charitable organizations that are not defined as private foundations under Section 501(c)(3) of the Internal Revenue Code.

### **GEOGRAPHICAL FOCUS**

The foundation prefers to fund eligible non-profit organizations located in the San Francisco Bay Area to facilitate interactions with grantees and oversight of the grant.

### **TERM OF GRANT**

Grants are awarded for a one-year period and may be extended based on grantee performance and program effectiveness.

### **PROGRAM LIMITATIONS**

The foundation does not:

- Accept requests from individuals or award loans, scholarships, or grants to individuals.
- Award grants for annual fund campaigns, fundraising events, operating deficits, endowments, sectarian religious activities or sectarian religious facilities.
- Assume any obligation to provide continuing support to grantees.

## ***CURRENT GRANTMAKING FOCUS AREAS***

<b>PRIMARY FOCUS AREAS</b>
----------------------------

- 1) The foundation places a high priority on funding college access and success programs that help prepare low-income, and other disadvantaged students to qualify for college and graduate with a degree. Programs include:

### ***COLLEGE ACCESS PROGRAMS***

- After-school and academically focused summer college access programs that help students improve competencies in secondary school subjects and better qualify for college admissions, including: tutoring, mentoring, computer literacy, supplemental math and science, arts, and music.

### ***COLLEGE SCHOLARSHIP AND FINANCIAL AID PROGRAMS***

- Institutional college scholarship and financial aid programs, and student support programs for promising low-income, minority, and disadvantaged students.

- 2) The foundation places a high priority on programs that help people with mental illness live in non-institutional environments and lead productive lives. Programs include:

- Support to community-based programs that empower people with mental illness to live independently and lead productive lives.
- Support to programs that improve delivery of mental health services for young people turning 18 and transitioning from residential therapeutic care to independent living.

- 3) The foundation is interested in proposals that integrate the above listed primary focus areas such as:

- Programs that assist mentally ill youth or young adults to attend or complete college.
- Programs that encourage low-income, first generation, and other underserved students to pursue an education in the fields of mental health or social work.



## **GRANT PROPOSAL GUIDELINES**

The Margoes Foundation accepts and reviews grant proposals twice a year. Applicants should contact the foundation if they have questions about the current grantmaking priorities and application procedures.

The Foundation utilizes an online grants management portal where applicants can submit requests, file reports, and review their grant history, in order to streamline the application process. Applicants should visit the foundation's website for application instructions.

### **Narrative Questions**

**The proposal narrative should answer the following questions:**

- Concise description of the organization, including relevant history, mission, geography and population(s) served, and type(s) of programs and/or services provided (limit 1,000 words).
- Concise description of the program/project (if applicable) including target population, need, purpose, specific goals/objectives, timeline, evaluation, and lead staff qualifications (limit 1,500 words).

### **Documents to Upload**

**The following documents must be uploaded when submitting a proposal online:**

- Organization budget detailing all proposed expenditures and projected sources of funding (required).
- Program/project budget (if applicable) detailing all proposed expenditures and projected sources of funding.
- A list of other funders (including amounts, whether single or multi-year, and whether pending or committed) for the program/project and for the organization as a whole.
- Financial statement showing actual revenue and expenses for the agency's most recently completed fiscal year (required).
- List of board members and their affiliations (required).

## **GRANT REPORTING GUIDELINES**

All grantees are required to submit an online report at the completion of the grant period, as specified in the grant agreement.

### **Narrative Questions**

**The grant report narrative should answer the following questions:**

- What were the specific objectives of the funded project/program?
- In what specific ways were these objectives met?
- What objectives were not met and why?
- What future plans do you have for the funded project/program?
- With 20/20 hindsight, is there anything you might have done differently, or is there anything you learned that will change the program in the future?

### **Documents to Upload**

**The following documents must be uploaded when submitting a report online:**

- A financial statement for the project (or if not a project, then for the organization) that shows how the grant funds were spent. (You can annotate the financial statement if that is a convenient way to explain the expenditures to us). Please include any pertinent invoices when applicable.

### **RENEWAL PROPOSAL GUIDELINES**

Grantees who wish to be considered for subsequent grant funding should submit a renewal request, which will fulfill the grant report requirements and serve as a new application for renewed funding.

#### **Narrative Questions**

The renewal proposal narrative should answer the following questions:

- Briefly describe the programs offered, demographics, and numbers of participants served by the whole agency and by each program, including the program funds are being requested for.
- Are you requesting funding for the same program? If not, please describe the new program here.
- What are the objectives for this year's request? Are they different from last year's? How and why? If there are changes in the amount of the grant request for this round, please describe them here.

#### **Documents to Upload**

The following documents must be uploaded when submitting a renewal proposal online:

- Financial statement showing actual revenue and expenses for the agency's most recently completed fiscal year (required).
- Organization budget detailing all proposed expenditures and projected sources of funding (required).
- Program/project budget (if applicable) detailing all proposed expenditures and projected sources of funding.
- A list of other funders (including amounts, whether single or multi-year, and whether pending or committed) for the program/project and for the organization as a whole (required).

### **VENTURE PHILANTHROPY AWARD 2017**

The Margo Foundation is looking for new and innovative college access and success programs that prepare low-income and under-represented students to qualify for and succeed at four year universities. Candidates will be San Francisco Bay Area based organizations:

- At early stages of development seeking funds to launch a program or service that has the potential to be scaled at a statewide or national level
- With a revenue generation model for long-term sustainability.

In 2017, the Margo Foundation will award one Venture Philanthropy (VP) grant to the most promising program presented. The successful applicant will be awarded a three-year grant of \$50,000 per year, pending achievement of agreed upon benchmarks.