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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation Roth Family Foundation		A Employer identification number 88-0352682	
Number and street (or P O box number if mail is not delivered to street address) 12021 Wilshire Boulevard Room 505		B Telephone number (see instructions) (213) 880-8528	
City or town, state or province, country, and ZIP or foreign postal code Los Angeles, CA 90025		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 13,386,702		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	126	126		
	4 Dividends and interest from securities	505,612	505,612		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10  38,187				
	b Gross sales price for all assets on line 6a 499,195				
	7 Capital gain net income (from Part IV, line 2)		38,187		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total.Add lines 1 through 11	543,925	543,925		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).  185	185			185
	b Accounting fees (attach schedule).  1,200	1,200	480		720
	c Other professional fees (attach schedule)  22,268	22,268	668		21,600
	17 Interest				
	18 Taxes (attach schedule) (see instructions)  7,120	7,120	1,120		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings.	135			135
	22 Printing and publications				
	23 Other expenses (attach schedule).  4,406	4,406	152		4,254
	24 Total operating and administrative expenses. Add lines 13 through 23	35,314	2,420		26,894
	25 Contributions, gifts, grants paid	757,290			757,290
	26 Total expenses and disbursements.Add lines 24 and 25	792,604	2,420		784,184
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-248,679			
	b Net investment income (if negative, enter -0-)		541,505		
	c Adjusted net income(if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	23,782	121,067	121,067
	2	Savings and temporary cash investments	157,518	314,804	314,804
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	13,174,821	12,642,914	12,642,914
	c	Investments—corporate bonds (attach schedule)	371,394	307,917	307,917
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans.			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	13,727,515	13,386,702	13,386,702	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	13,727,515	13,386,702	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances(see instructions)	13,727,515	13,386,702	
	31	Total liabilities and net assets/fund balances(see instructions)	13,727,515	13,386,702	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	13,727,515
2	Enter amount from Part I, line 27a	2	-248,679
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	13,478,836
5	Decreases not included in line 2 (itemize) ▶ _____	5	92,134
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	13,386,702

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	38,187
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	1,721

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	780,774	13,424,648	000 058160
2013	626,517	12,496,342	000 050136
2012	558,783	11,393,270	000 049045
2011	508,834	10,743,904	000 047360
2010	457,758	9,605,885	000 047654

2	Total of line 1, column (d).	2	000 252355
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	000 050471
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	13,347,400
5	Multiply line 4 by line 3.	5	673,657
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	5,415
7	Add lines 5 and 6.	7	679,072
8	Enter qualifying distributions from Part XII, line 4.	8	784,184

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter _____
(attach copy of letter if necessary—see instructions)

b

Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c

All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2

3

Add lines 1 and 2.

3

5,415

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

4

5

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

5

5,415

6

Credits/Payments

a

2015 estimated tax payments and 2014 overpayment credited to 2015

6a

6,909

b

Exempt foreign organizations—tax withheld at source

6b

c

Tax paid with application for extension of time to file (Form 8868).

6c

d

Backup withholding erroneously withheld

6d

7

Total credits and payments. Add lines 6a through 6d.

7

6,909

8

Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.

8

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter **amount owed**

9

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**

10

1,494

11

Enter the amount of line 10 to be **Credited to 2015 estimated tax** ☒ 1,494 **Refunded** ☒

11

Part VII-A

Statements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1b

No

c

Did the foundation file **Form 1120-POL** for this year?

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities.

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

No

b

If "Yes," has it filed a tax return on **Form 990-T** for this year?

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T.

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6

Yes

7

Did the foundation have at least \$5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions):
☒ CA _____

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation.*

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)?
If "Yes," complete Part XIV

9

No

10

Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*

10

No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address	13	Yes	
14	The books are in care of <u>Sukey Garcetti</u> Telephone no <u>(213) 880-8528</u> Located at <u>12021 Wilshire Boulevard Los Angeles CA</u> ZIP+4 <u>90025</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

☐ Yes

☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☐

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes

☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☐ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances

Total

number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3. ▶	

Part X

Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	13,097,735
b	Average of monthly cash balances.	1b	452,925
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	13,550,660
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	13,550,660
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	203,260
5	Net value of noncharitable-use assets.Subtract line 4 from line 3 Enter here and on Part V, line 4	5	13,347,400
6	Minimum investment return.Enter 5% of line 5.	6	667,370

Part XI

Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	667,370
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	5,415
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	5,415
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	661,955
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	661,955
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amountas adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	661,955

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	784,184
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions.Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	784,184
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	5,415
6	Adjusted qualifying distributions.Subtract line 5 from line 4.	6	778,769

Note:The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				661,955
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2015				
a From 2010.				
b From 2011.				
c From 2012.				3,611
d From 2013.				11,086
e From 2014.				122,538
f Total of lines 3a through e.	137,235			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 784,184				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2015 distributable amount.				661,955
e Remaining amount distributed out of corpus	122,229			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	259,464			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a.	259,464			
10 Analysis of line 9				
a Excess from 2011.				
b Excess from 2012.				3,611
c Excess from 2013.				11,086
d Excess from 2014.				122,538
e Excess from 2015.				122,229

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b

85% of line 2a

c

Qualifying distributions from Part XII, line 4 for each year listed

d

Amounts included in line 2c not used directly for active conduct of exempt activities

e

Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3

Complete 3a, b, or c for the alternative test relied upon

a

"Assets" alternative test—enter

(1)

Value of all assets

(2)

Value of assets qualifying under section 4942(j)(3)(B)(i)

b

"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c

"Support" alternative test—enter

(1)

Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).

(2)

Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3)

Largest amount of support from an exempt organization

(4)

Gross investment income

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

None

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or email address of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	757,290
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2015)

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

(1) Cash.

(2) Other assets.

(1) Sales of assets to a noncharitable exempt organization.

(2) Purchases of assets from a noncharitable exempt organization.

(3) Rental of facilities, equipment, or other assets. . . .

(4) Reimbursement arrangements.

(5) Loans or loan guarantees. . . .

(6) Performance of services or membership or fundraising solicitations.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

b If "Yes," complete the following schedule

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

***** _____ Signature of officer or trustee	2016-03-10 _____ Date	***** _____ Title
---	-----------------------------	-------------------------

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Form **990-PF** (2015)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
410 Harris Corp	P	2015-06-01	2015-12-16
18 RMR Group	P	2015-12-15	2015-12-15
362 99 CenturyLink Inc	P	1993-03-09	2015-12-16
7,500 Enerplus	P	2008-08-08	2015-12-16
4,000 Exelis	P	1980-03-10	2015-06-01
1,600 IDA Corp	P	1983-04-14	2015-12-16
2,475 Integrys Energy	P	1979-11-27	2015-06-30
1,666 Kraft Foods Group	P	2008-11-19	2015-07-06
222 Vectrus Inc	P	1980-03-10	2015-11-09
2,791 8 WEC Energy Group	P	1979-11-27	2015-12-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
34,201		32,480	1,721
2		2	
9,423		945	8,478
24,144		290,705	-266,561
98,980		1,980	97,000
110,526		25,497	85,029
45,986		31,223	14,763
27,489		46,812	-19,323
5,778		141	5,637
142,666		31,223	111,443

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,721
			8,478
			-266,561
			97,000
			85,029
			14,763
			-19,323
			5,637
			111,443

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation(If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Michael P Roth	President 001 00	0		
12021 Wilshire Boulevard Los Angeles, CA 90025				
Sukey Garcetti	Treasurer 020 00	0		
12021 Wilshire Boulevard Los Angeles, CA 90025				
Gil Garcetti	Vice President 001 00	0		
12021 Wilshire Boulevard Los Angeles, CA 90025				
Dana Boldt	Secretary 001 00	0		
12021 Wilshire Boulevard Los Angeles, CA 90025				
Andrea Roth	Vice President 001 00	0		
12021 Wilshire Boulevard Los Angeles, CA 90025				
Sarah Roth	Director 001 00	0		
12021 Wilshire Boulevard Los Angeles, CA 90025				
Rachael Roth	Director 030 00	0		
12021 Wilshire Boulevard Los Angeles, CA 90025				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
A Place Called Home 2830 S Central Ave Los Angeles, CA 90011	None	PC	Music Department at APCH	10,000
A Place Called Home 2830 S Central Ave Los Angeles, CA 90011	None	PC	Dance Program	1,500
A Purposeful Rescue PO Box 351901 Los Angeles, CA 90035	None	PC	Rescue Support	1,500
Advocates for Youth 2000 M St NW Suite 750 Washington, DC 20036	None	PC	Engaging Youth to Support Abortion Care in LA	10,000
AfriPads Werfkelder Oudegracht 344 3511 PK Utrecht NL	None	PC	General Operating Support	2,500
AFS Greater Los Angeles 1200 Wilshire Boulevard Suite 615 Los Angeles, CA 90017	None	PC	Scholarship Fund	5,000
AFS USA 16101 Royal Oak Road Encino, CA 91436	None	PC	General Operating Support	2,000
Audubon Center at Debs Park 4700 N Griffin Ave Los Angeles, CA 90031	None	PC	General Operating Support	15,000
Bark Avenue Foundation 3940 Laurel Canyon Suite 1506 Studio City, CA 91604	None	PC	Shelter Me	1,500
Bellingham Festival of Music PO Box 818 Bellingham, WA 98227	None	PC	Festival Support 2015	3,500
Bellingham Festival of Music PO Box 818 Bellingham, WA 98227	None	PC	Festival Support 2015	5,000
Best Friends Animal Society 1845 Pontius Avenue Los Angeles, CA 90025	None	PC	General Operating Support- No Kill Los Angeles	3,000
California Dance Institute 3131 Olympic Blvd Suite 202 Santa Monica, CA 90404	None	PC	Arts Education Program	10,000
California Science Center 700 Exposition Park Drive Los Angeles, CA 90037	None	PC	68th Street School Program	10,000
CARECEN 2845 W 7th Street Los Angeles, CA 90005	None	PC	Unaccompanied Minors Programs	15,000
Total				757,290

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Chimp Haven 13600 Chimpanezee Pl Keithville, LA 71047	None	PC	General Operating Support	5,000
Chinatown Service Center 767 N Hill St Suite 400 Los Angeles, CA 90012	None	PC	Academic Mentoring Program	10,000
City Year Los Angeles 606 S Olive St 2nd Floor Los Angeles, CA 90014	None	PC	General Operating Support	25,000
Coalition for Responsible Community 3101 S Grand Ave Los Angeles, CA 90007	None	PC	CRCD Academy	15,000
Colburn School 200 S Grand Ave Los Angeles, CA 90012	None	PC	Musical Encounter	10,000
Communities in Schools Los Angeles 2000 Avenue of the Stars Los Angeles, CA 90067	None	PC	Backpack Drive	5,000
Communities in Schools Los Angeles 2000 Avenue of the Stars Los Angeles, CA 90291	None	PC	General Operating Support	25,000
Community Partners 1000 N Alameda St Suite 340 Los Angeles, CA 90012	None	PC	Big Citizen Hub Program Support	10,000
Council on Foundations 2121 Crystal Drive Suite 700 Arlington, VA 22202	None	PC	General Operating Support	790
Crossroads Community Outreach Found 1714 21st Street Santa Monica, CA 90404	None	PC	PS Science	5,000
EdBoost 3300 Overland Avenue Suite 202 Los Angeles, CA 90034	None	PC	General Operating Support	2,000
Education Through Music Los Angeles 2501 W Burbank Blvd Suite 301 Burbank, CA 91505	None	PC	Casterlar Elementary School Music Program	5,000
Foundation Center 79 Fifth Avenue New York, NY 10003	None	PC	General Operating Support	500
Fowler Museum at UCLA Box 951549 Los Angeles, CA 90095	None	PC	General Operating Support	5,000
Friends of McIntyre Hall 2501 E College Way Mount Vernon, WA 98273	None	PC	General Operating Support	1,500
Total  3a				757,290

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Friends of the Open School 5540 W 77th Street Los Angeles, CA 90045	None	PC	General Operating Support	1,000
Garment Worker Center 1250 S Los Angeles St Suite 213 Los Angeles, CA 90015	None	PC	General Operating Support	10,000
Gentle Barn 15825 Sierra Highway Santa Clarita, CA 91390	None	PC	General Operating Support	1,500
Global Green USA 2218 Main Street 2nd Floor Santa Monica, CA 90405	None	PC	Green Schools General Operating Support	2,000
Global Green USA 2218 Main Street 2nd Floor Santa Monica, CA 90405	None	PC	General Operating Support	1,000
Grand Circle Foundation 347 Congress Street Boston, MA 02210	None	PC	Elementary School in Tanzania	1,500
Grand Performances 350 S Grand Avenue Suite A-4 Los Angeles, CA 90071	None	PC	General Operating Support	2,000
GRID Alternatives Greater Los Angel 1338 S Flower St Los Angeles, CA 90015	None	PC	Workforce Development Project	15,000
Guttmacher Institute 125 Maiden Ln 7th Floor New York, NY 10038	None	PC	Supporting Targeted Advocacy Through Evidence	10,000
Harvard Westlake School 700 North Faring Road Los Angeles, CA 90077	None	PC	General Operating Support	5,000
Heidi Duckler Dance Theater 2934 1/2 N Beverly Glen Circle Los Angeles, CA 90077	None	PC	General Operating Support	5,000
Human Rights Watch 350 Fifth Avenue 34th Floor New York, NY 10118	None	PC	General Operating Support	1,000
Immigration Center for Women and Ch 63 S Spring Street Los Angeles, CA 90014	None	PC	General Operating Support	2,500
Inner City Struggle 124 N Townsend Ave Los Angeles, CA 90063	None	PC	General Operating Support	10,000
International Medical Corps 1919 Santa Monica Blvd Suite 400 Santa Monica, CA 90404	None	PC	Midwifery Training Program in Afghanistan	5,000
Total 3a				757,290

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Japanese American Cultural and Comm 244 S San Pedro Street Los Angeles, CA 90012	None	PC	General Operating Support	2,500
Japanese American National Museum 100 N Central Avenue Los Angeles, CA 90012	None	PC	Acquisition/Exhibition of Eaton Collection	5,000
Le Lycee Francais de Los Angeles 3261 Overland Avenue Los Angeles, CA 90034	None	PC	General Operating Support	5,000
Legacy LA PO Box 31322 Los Angeles, CA 90031	None	PC	Drem Big Student Success Program	10,000
Liberty Hill Foundation 6420 Wilshire Blvd Suite 700 Los Angeles, CA 90048	None	PC	Los Angeles Environmental Health Justice Collaborative	15,000
Liberty Hill Foundation 6420 Wilshire Blvd Suite 700 Los Angeles, CA 90048	None	PC	General Operating Support-OUT Fund	1,000
LIFT Los Angeles 1910 Magnolia Ave Los Angeles, CA 90039	None	PC	General Operating Support	20,000
Los Angeles Allianec for a New Econ 464 Lucas Ave Suite 202 Los Angeles, CA 90017	None	PC	Zero Waste Long Beach	20,000
Los Angeles Education Partnership 202 W 1st Street Suite 6-0410 Los Angeles, CA 90012	None	PC	Community School Coordinator	25,000
Los Angeles Team Mentoring 145 S Spring St Suite 725 Los Angeles, CA 90012	None	PC	General Operating Support	10,000
Medical Students for Choice PO Box 40188 Philadelphia, PA 19106	None	PC	Abortion Training and Advocacy	20,000
Motivating Our Students Through Exp 645 W 9th Street Suite 110-376 Los Angeles, CA 90015	None	PC	General Operating Support	10,000
Mt Baker Planned Parenthood 1509 Cornwall Ave Bellingham, WA 98225	None	PC	General Operating Support	2,500
Museum of Northwest Art 121 1st Street La Connor, WA 98257	None	PC	General Operating Support	2,500
My Friend's Place PO Box 3867 Hollywood, CA 90078	None	PC	General Operating Support	10,000
Total  3a				757,290

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
National Center for Family Philanth 1667 K Street NW Suite 550 Washington, DC 20006	None	PC	General Operating Support	1,000
National Public Radio 1111 N Capitol Street NW Washington, DC 20002	None	PC	NPR West Interns	16,000
National Public Radio 1111 N Capitol Street NW Washington, DC 20002	None	PC	Investigative Reporting Unit	25,000
Network for Teaching Entrepreneursh 350 S Bixel Street Suite 280 Los Angeles, CA 90017	None	PC	General Operating Support	10,000
Noteables PO Box 744 Los Alamitos, CA 90720	None	PC	2 Scholarships for Choir Students	3,000
PATH 340 N Madison Avenue Los Angeles, CA 90004	None	PC	Gramercy Court	10,000
PATH 340 N Madison Avenue Los Angeles, CA 90004	None	PC	PATH Ventures	6,500
Planned Parenthood Los Angeles 400 W 30th Street Los Angeles, CA 90007	None	PC	General Operating Support	30,000
Skirball Cultural Center 2701 N Sepulveda Blvd Los Angeles, CA 90049	None	PC	Summer Sunset Concerts	5,000
Social and Environmental Entreprene 313 N Genesee Ave 7 Los Angeles, CA 90036	None	PC	DIY Girls Program Support	10,000
Sole Brothers 874 E 57th Street Los Angeles, CA 90011	None	PC	General Operating Support	1,500
South Central Scholars 29000 S Western Ave Suite 207 Rancho Palos Verdes, CA 90275	None	PC	Early Outreach and College Prep	15,000
Southern California Counseling Cent 5615 W Pico Blvd Los Angeles, CA 90019	None	PC	Southern California Counseling Center	500
Southern California Public Radio 474 South Raymond Avenue Pasadena, CA 91105	None	PC	Early Childhood Development Correspondent	30,000
Strive Foundation 9124 S Main St Los Angeles, CA 90003	None	PC	General Operating Support	15,000
Total  3a				757,290

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Sustainable Conservation 98 Battery St Suite 302 San Francisco, CA 94577	None	PC	General Operating Support	10,000
Taking the Reins 3919 Rigali Avenue Los Angeles, CA 90039	None	PC	General Operating Support	2,500
The Foundation Center 32 Old Slip 24th Floor New York, NY 10005	None	PC	General Operating Support	500
The Friends of Expo Center 3980 Bill Robertson Ln Suite 203 Los Angeles, CA 90037	None	PC	General Operating Support	7,500
The Gabriella Axelrod Education Fou 639 S Commonwealth Ave Suite B Los Angeles, CA 90005	None	PC	General Operating Support	10,000
UCLA Foundation 10290 Wilshire Blvd Suite 1400 Los Angeles, CA 90024	None	PC	Dean Discretionary Fund Law School Fowler Fund	2,500
UNESCO - IHE for Water Education PO Box 3015 2601 DA Delft NL	None	PC	Scholarships for International Water Institute Students	15,000
UNHCR 1775 K Street NW Suite 580 Washington, DC 20006	None	PC	Syria Emergency Support for Refugees	6,500
Vistamar School 737 Hawaii Street El Segundo, CA 90245	None	PC	General Operating Support	3,000
Wells Bring Hope 16563 Park Lane Cir Los Angeles, CA 90049	None	PC	General Operating Support	2,500
Wells Bring Hope 16563 Park Lane Cir Los Angeles, CA 90049	None	PC	General Operating Support	10,000
Westside Family Health Center 1711 Ocean Park Blvd Santa Monica, CA 90405	None	PC	Mobile Creating Awareess N Teens	20,000
Wolf Connection PO Box 504 Acton, CA 93510	None	PC	General Operating Support	2,500
Women's Reproductive Rights Assista 2934 1/2 Beverly Glen Cir 169 Los Angeles, CA 90077	None	PC	General Operating Support	15,000
Youth Mentoring Connection 1818 S Western Avenue Suite 505 Los Angeles, CA 90006	None	PC	Capacity Building Grant	10,000
Total			3a	757,290

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Youth Mentoring Connection 1818 S Western Avenue Suite 505 Los Angeles, CA 90006	None	PC	Mentoring High Risk Youth	10,000
Total  3a				757,290

TY 2015 Accounting Fees Schedule

Name: Roth Family Foundation

EIN: 88-0352682

Software ID: 15000290

Software Version: 15.3.0.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Tax return preparation	1,200	480		720

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Gain/Loss from Sale of Other Assets Schedule

Name: Roth Family Foundation

EIN: 88-0352682

Software ID: 15000290

Software Version: 15.3.0.0

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
410 Harris Corp	2015-06	P	2015-12		34,201	32,480			1,721	
18 RMR Group	2015-12	P	2015-12		2	2				
362 99 CenturyLink Inc	1993-03	P	2015-12		9,423	945			8,478	
7,500 Enerplus	2008-08	P	2015-12		24,144	290,705			-266,561	
4,000 Exelis	1980-03	P	2015-06		98,980	1,980			97,000	
1,600 IDA Corp	1983-04	P	2015-12		110,526	25,497			85,029	
2,475 Integrys Energy	1979-11	P	2015-06		45,986	31,223			14,763	
1,666 Kraft Foods Group	2008-11	P	2015-07		27,489	46,812			-19,323	
222 Vectrus Inc	1980-03	P	2015-11		5,778	141			5,637	
2,791 8 WEC Energy Group	1979-11	P	2015-12		142,666	31,223			111,443	

TY 2015 General Explanation Attachment

Name: Roth Family Foundation

EIN: 88-0352682

Software ID: 15000290

Software Version: 15.3.0.0

TY 2015 Investments Corporate Bonds Schedule

Name: Roth Family Foundation

EIN: 88-0352682

Software ID: 15000290

Software Version: 15.3.0.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Publicly traded mutual funds	307,917	307,917

TY 2015 Investments Corporate Stock Schedule

Name: Roth Family Foundation

EIN: 88-0352682

Software ID: 15000290

Software Version: 15.3.0.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Publicly traded securities	12,642,914	12,642,914

TY 2015 Legal Fees Schedule

Name: Roth Family Foundation

EIN: 88-0352682

Software ID: 15000290

Software Version: 15.3.0.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Attorney	185			185

TY 2015 Other Decreases Schedule

Name: Roth Family Foundation

EIN: 88-0352682

Software ID: 15000290

Software Version: 15.3.0.0

Description	Amount
Unrealized losses on investments	92,134

TY 2015 Other Expenses Schedule**Name:** Roth Family Foundation**EIN:** 88-0352682**Software ID:** 15000290**Software Version:** 15.3.0.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Filing fees	360			360
Bank charges	215			215
Insurance	381	152		229
Dues and subscriptions	500			500
Office expenses	1,867			1,867
Postage	336			336
Telephone	747			747

TY 2015 Other Professional Fees Schedule**Name:** Roth Family Foundation**EIN:** 88-0352682**Software ID:** 15000290**Software Version:** 15.3.0.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Grants manager	22,100	500		21,600
Broker fees	168	168		

TY 2015 Taxes Schedule**Name:** Roth Family Foundation**EIN:** 88-0352682**Software ID:** 15000290**Software Version:** 15.3.0.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Federal excise tax	6,000			
Foreign excise tax	1,120	1,120		