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Form 990-PF

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

## or Section 4947(a)(1) Trust Treated as Private Foundation

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- Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2015

Open to Public  
Inspection

For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation THE GREENBAUM FOUNDATION		A Employer identification number 87-6217358			
Number and street (or P O box number if mail is not delivered to street address) PO BOX 9910		B Telephone number (see instructions) (858) 759-8835			
City or town, state or province, country, and ZIP or foreign postal code RANCHO SANTA FE, CA 920674910		C If exemption application is pending, check here ► D 1. Foreign organizations, check here ► 2. Foreign organizations meeting the 85% test, check here and attach computation ► E If private foundation status was terminated under section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ►			
G Check all that apply Initial return      Initial return of a former public charity Final return      Amended return Address change      Name change					
H Check type of organization Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation					
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ 37,081,379		J Accounting method Cash      Accrual Other (specify) (Part I, column (d) must be on cash basis )			
<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
1 Contributions, gifts, grants, etc, received (attach schedule)		2,255,730			
2 Check ► if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		659,385	675,956		
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-660,098			
b Gross sales price for all assets on line 6a 14,784,619					
7 Capital gain net income (from Part IV, line 2)			2,600,087		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)		713	-2,599		
12 Total. Add lines 1 through 11		2,255,730	3,273,444		
13 Compensation of officers, directors, trustees, etc		0	0		0
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule)		15,775	15,775		0
c Other professional fees (attach schedule)		118,467	114,316		0
17 Interest					
18 Taxes (attach schedule) (see instructions)		62,010	2,982		0
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		31,297	0		0
22 Printing and publications					
23 Other expenses (attach schedule)		328,229	0		0
24 Total operating and administrative expenses.					
Add lines 13 through 23		555,778	133,073		0
25 Contributions, gifts, grants paid		2,810,983			2,810,983
26 Total expenses and disbursements. Add lines 24 and 25		3,366,761	133,073		2,810,983
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		-1,111,031			
b Net investment income (if negative, enter -0-)			3,140,371		
c Adjusted net income (if negative, enter -0-)					

	<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash—non-interest-bearing . . . . .			
	2 Savings and temporary cash investments . . . . .	1,250,393	1,682,937	1,682,937
	3 Accounts receivable ► _____			
	Less allowance for doubtful accounts ► _____			
	4 Pledges receivable ► _____			
	Less allowance for doubtful accounts ► _____			
	5 Grants receivable . . . . .			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7 Other notes and loans receivable (attach schedule) ► _____			
	Less allowance for doubtful accounts ► _____			
	8 Inventories for sale or use . . . . .			
	9 Prepaid expenses and deferred charges . . . . .			
	10a Investments—U S and state government obligations (attach schedule)	0	109,512	109,512
	b Investments—corporate stock (attach schedule) . . . . .	37,383,481	22,126,168	22,126,168
	c Investments—corporate bonds (attach schedule) . . . . .	0	633,773	633,773
	11 Investments—land, buildings, and equipment basis ► _____			
Less accumulated depreciation (attach schedule) ► _____				
12 Investments—mortgage loans . . . . .				
13 Investments—other (attach schedule) . . . . .	0	12,503,989	12,503,989	
14 Land, buildings, and equipment basis ► _____				
Less accumulated depreciation (attach schedule) ► _____				
15 Other assets (describe ► _____)	50,000	25,000	25,000	
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	38,683,874	37,081,379	37,081,379	
<b>Liabilities</b>	17 Accounts payable and accrued expenses . . . . .			
	18 Grants payable . . . . .		615,000	
	19 Deferred revenue . . . . .			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule) . . . . .			
	22 Other liabilities (describe ► _____)	422	0	0
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .	422	615,000	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/></b> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24 Unrestricted . . . . .	0	36,466,379	
	25 Temporarily restricted . . . . .			
	26 Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/></b> <b>and complete lines 27 through 31.</b>			
	27 Capital stock, trust principal, or current funds . . . . .	38,683,452		
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	<b>30 Total net assets or fund balances</b> (see instructions) . . . . .	38,683,452	36,466,379	
	<b>31 Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	38,683,874	37,081,379	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	38,683,452
2	Enter amount from Part I, line 27a . . . . .	2	-1,111,031
3	Other increases not included in line 2 (itemize) ► _____	3	0
4	Add lines 1, 2, and 3 . . . . .	4	37,572,421
5	Decreases not included in line 2 (itemize) ► _____	5	1,106,042
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	36,466,379

**Part IV Capital Gains and Losses for Tax on Investment Income**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)

How acquired  
(b) P—Purchase  
D—Donation

Date acquired  
(c) (mo., day, yr.)

Date sold  
(d) (mo., day, yr.)

**1 a** PUBLICLY TRADED SECURITIES

**b**

**c**

**d**

**e**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) (e) plus (f) minus (g)
a 14,784,619		12,184,532	2,600,087
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))

(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) over col (j), if any (k)	(l)
a			2,600,087
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	2,600,087
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)  
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	2,609,548	35,332,149	0 073858
2013	2,160,505	30,723,783	0 070320
2012	2,015,478	28,912,354	0 069710
2011	1,997,893	29,454,867	0 067829
2010	2,021,897	28,246,078	0 071582
<b>2</b> Total of line 1, column (d).			0 353299
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0 070660
<b>4</b> Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.			37,449,147
<b>5</b> Multiply line 4 by line 3.			2,646,157
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b).			31,404
<b>7</b> Add lines 5 and 6.			2,677,561
<b>8</b> Enter qualifying distributions from Part XII, line 4.			2,810,983

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1	1	31,404
	Date of ruling or determination letter _____ <b>(attach copy of letter if necessary—see instructions)</b>		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	2	0
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)	3	31,404
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .	4	0
3	Add lines 1 and 2. . . . .	5	31,404
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .		
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		
6	<b>Credits/Payments</b>		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	38,156
b	Exempt foreign organizations—tax withheld at source . . . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868). . . . .	6c	10,000
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments Add lines 6a through 6d. . . . .	7	48,156
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input checked="" type="checkbox"/> If Form 2220 is attached 	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	16,752
11	Enter the amount of line 10 to be <b>Credited to 2015 estimated tax</b> ►	11	0

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		<b>Yes</b>	<b>No</b>
1a			<b>Yes</b>	<b>No</b>
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . .		<b>Yes</b>	<b>No</b>
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>			
1c	Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .		<b>Yes</b>	<b>No</b>
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year			
(1)	On the foundation ► \$ _____ 0	(2)	On foundation managers ► \$ _____ 0	
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .		<b>Yes</b>	<b>No</b>
	<i>If "Yes," attach a detailed description of the activities</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		<b>Yes</b>	<b>No</b>
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		<b>Yes</b>	<b>No</b>
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .		<b>Yes</b>	<b>No</b>
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .		<b>Yes</b>	<b>No</b>
	<i>If "Yes," attach the statement required by General Instruction T</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either		<b>Yes</b>	
	● By language in the governing instrument, or			
	● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .			
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>		<b>Yes</b>	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)		<b>Yes</b>	
	► CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>		<b>Yes</b>	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		<b>Yes</b>	<b>No</b>
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		<b>Yes</b>	

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . .	11	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12	No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►N/A	13	Yes
14	The books are in care of ►JAMES R GREENBAUM JR Located at ►PO BOX 9910 RANCHO SANTA FE CA	Telephone no ►(858) 759-8835 ZIP+4 ►920674910	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ► <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year. . . . . ► 15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). . . . . ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . ►	1b	
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ►		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? . . . . .	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? . . . . . ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions) . . . . .	2b	
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . ► <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) . . . . .	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? . . . . .	4b	No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)**

**5a** During the year did the foundation pay or incur any amount to

**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .  Yes  No

**(3)** Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

**(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . .  Yes  No

**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ►

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . .  
If "Yes" to Ch. 6, file Form 8870.

6b

No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b. If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1. List all officers, directors, trustees, foundation managers and their compensation (see instructions).

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JAMES R GREENBAUM JR PO BOX 9910 RANCHO SANTA FE, CA 920674910	TRUSTEE 40 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

## **Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1	_____
	_____
	_____
2	_____
	_____
	_____
All other program-related investments See instructions 3	

All other program-related investments. See instructions

3 \_\_\_\_\_  
\_\_\_\_\_

**Total.** Add lines 1 through 3

**Part X Minimum Investment Return**

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities. . . . .	1a	35,855,056
b	Average of monthly cash balances. . . . .	1b	2,164,383
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d	38,019,439
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	38,019,439
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	570,292
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	37,449,147
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	1,872,457

**Part XI Distributable Amount**(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	1,872,457
2a	Tax on investment income for 2015 from Part VI, line 5. . . . .	2a	31,404
b	Income tax for 2015 (This does not include the tax from Part VI). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	31,404
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	1,841,053
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	1,841,053
6	Deduction from distributable amount (see instructions). . . . .	6	0
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	1,841,053

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	2,810,983
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,810,983
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	31,404
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6	2,779,579

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7				1,841,053
<b>2</b> Undistributed income, if any, as of the end of 2015			0	
<b>a</b> Enter amount for 2014 only. . . . .			0	
<b>b</b> Total for prior years 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2015				
<b>a</b> From 2010. . . . .	634,859			
<b>b</b> From 2011. . . . .	536,126			
<b>c</b> From 2012. . . . .	587,046			
<b>d</b> From 2013. . . . .	704,926			
<b>e</b> From 2014. . . . .	889,751			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	3,352,708			
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4 ► \$ 2,810,983			0	
<b>a</b> Applied to 2014, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2015 distributable amount. . . . .				1,841,053
<b>e</b> Remaining amount distributed out of corpus	969,930			
<b>5</b> Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
<b>6</b> <b>Enter the net total of each column as indicated below:</b>	4,322,638			
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5			0	
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015. . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . . . .	634,859			
<b>9</b> <b>Excess distributions carryover to 2016.</b> Subtract lines 7 and 8 from line 6a. . . . .	3,687,779			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2011. . . . .	536,126			
<b>b</b> Excess from 2012. . . . .	587,046			
<b>c</b> Excess from 2013. . . . .	704,926			
<b>d</b> Excess from 2014. . . . .	889,751			
<b>e</b> Excess from 2015. . . . .	969,930			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . . ►				
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)				
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed. . . . .				
<b>b</b> 85% of line 2a. . . . .				
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed. . . . .				
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities. . . . .				
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c. . . . .				
<b>3</b> Complete 3a, b, or c for the alternative test relied upon				
<b>a</b> "Assets" alternative test—enter				
(1) Value of all assets. . . . .				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .				
<b>c</b> "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)****1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

JAMES R GREENBAUM JR

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

JAMES R GREENBAUM JR

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information**(continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			► 3a	2,810,983
<b>b</b> <i>Approved for future payment</i> MULTI-YEAR COMMITMENT - PHYSICIANS COMMITTEE FOR RESPONSIBLE MEDICINE 5100 WISCONSIN AVE NW STE400 WASHINGTON, DC 20016	N/A	PUBLIC	GENERAL FUND	100,000
<b>Total</b> . . . . .			► 3b	100,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments. . . . .					
<b>4</b> Dividends and interest from securities. . . . .			14	659,385	
<b>5</b> Net rental income or (loss) from real estate					
a Debt-financed property. . . . .					
b Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property. . . . .					
<b>7</b> Other investment income. . . . .			14	713	
<b>8</b> Gain or (loss) from sales of assets other than inventory. . . . .			18	-660,098	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
<b>12</b> Subtotal Add columns (b), (d), and (e). . . . .		0		0	0
<b>13</b> Total Add line 12, columns (b), (d), and (e). . . . .					0

**Part XIII-B Relationship of Activities to the Accomplishment of Exempt Purposes**



## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
TRUCKERS AGAINST TRAFFICKING PO BOX 816 ENGLEWOOD, CO 80151	N/A	PUBLIC	GENERAL FUND	44,808
STOP ANIMAL EXPLOITATION NOW 1081-B STATE ROUTE 28 280 MILFORD, OH 45150	N/A	PUBLIC	GENERAL FUND	60,000
CENTER FOR RESPONSIBLE SCIENCE PO BOX 443 PACIFIC PALISADES, CA 90272	N/A	PUBLIC	GENERAL FUND	45,336
A WELL FED WORLD 815 OTIS PLACE NW WASHINGTON, DC 20010	N/A	PUBLIC	GENERAL FUND	60,000
WOMEN MOVING MILLIONS INC 19 FULTON STREET SUITE 301 NEW YORK, NY 10038	N/A	PUBLIC	GENERAL FUND	5,000
LIFT UP AFRICA 801 E 1ST STREET SUITE B 199 CLE ELUM, WA 98922	N/A	PUBLIC	GENERAL FUND	6,000
MOTHERS2MOTHERS 7441 W SUNSET BLVD SUITE 205 LOS ANGELES, CA 90046	N/A	PUBLIC	GENERAL FUND	50,000
ACTASIA FOR ANIMALS PO BOX 130 FISHKILL, NY 12524	N/A	PUBLIC	GENERAL FUND	50,000
NATIONAL MUSEUM OF ANIMALS & SOCIETY 421 COLYTON STREET LOS ANGELES, CA 90013	N/A	PUBLIC	GENERAL FUND	5,000
CLINTON GLOBAL INITIATIVE 1271 AVENUE OF THE AMERICAS 42ND FLOOR NEW YORK, NY 10020	N/A	PUBLIC	GENERAL FUND	19,000
MERCY FOR ANIMALS 8033 SUNSET BLVD SUITE 864 LOS ANGELES, CA 90046	N/A	PUBLIC	GENERAL FUND	2,500
SEAL CONSERVANCY OF SAN DIEGO SEAL CONSERVANCY PO BOX 2016 LA JOLLA, CA 92038	N/A	PUBLIC	GENERAL FUND	10,000
NEW VENTURE FUND FOR THE NEXUS 2200 SAND HILL RD SUITE 230 MENLO PARK, CA 94025	N/A	PUBLIC	GENERAL FUND	25,000
MOBILE MEDICAL TEAM INTE 111 SECOND ST SAUSALITO, CA 94965	N/A	PUBLIC	GENERAL FUND	25,000
REFUGEPOINT 689 MASSACHUSETTS AVENUE 2ND FLOOR CAMBRIDGE, MA 02139	N/A	PUBLIC	GENERAL FUND	25,000
<b>Total . . . . .</b>				2,810,983
			► 3a	

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
LANDESA 1424 4TH AVE - SUITE 300 SEATTLE, WA 98101	N/A	PUBLIC	GENERAL FUND	50,000
D REV DESIGN REVOLUTION 695 MINNESOTA ST SAN FRANCISCO, CA 94107	N/A	PUBLIC	GENERAL FUND	25,000
ONE HEART WORLD WIDE 1818 PACHECO ST SAN FRANCISCO, CA 94116	N/A	PUBLIC	GENERAL FUND	50,000
THINKING ANIMALS INC 311 EAST 72ND ST SUITE 10D NEW YORK, NY 10021	N/A	PUBLIC	GENERAL FUND	10,000
MERCY FOR ANIMALS 8033 SUNSET BLVD SUITE 864 LOS ANGELES, CA 90046	N/A	PUBLIC	GENERAL FUND	100,000
NATIONAL MUSEUM OF ANIMALS & S 421 COLYTON STREET LOS ANGELES, CA 90013	N/A	PUBLIC	GENERAL FUND	5,000
JRR ASSOCIATION 205 EAST 42ND ST 20TH FLOOR NEW YORK, NY 10017	N/A	PUBLIC	GENERAL FUND	50,000
CRITICALINK 1706 S STREET NW UNIT 1 WASHINGTON, DC 20009	N/A	PUBLIC	GENERAL FUND	25,000
PHYSICIANS COMM FOR RESPONSIBL 5100 WISCONSIN AVE NW SUITE 400 WASHINGTON, DC 20016	N/A	PUBLIC	GENERAL FUND	100,000
ANIMAL CHARITY EVALUATORS PO BOX 5482 SAN DIEGO, CA 92165	N/A	PUBLIC	GENERAL FUND	50,000
SEAL CONSERVANCY OF SAN DIEGO SEAL CONSERVANCY PO BOX 2016 LA JOLLA, CA 92038	N/A	PUBLIC	GENERAL FUND	1,821
PHYSICIANS COMM FOR RESPONSIBL 5100 WISCONSIN AVE NW SUITE 400 WASHINGTON, DC 20016	N/A	PUBLIC	GENERAL FUND	5,000
BEYOND THE ROSES EQUINE 11621 VRYCE RD EMMETT, MI 48022	N/A	PUBLIC	GENERAL FUND	1,200
ANIMAL RECOVERY MISSION PO BOX 403344 MIAMI BEACH, FL 33140	N/A	PUBLIC	GENERAL FUND	70,000
FACE FOUNDATION 972 FIFTH AVENUE NEW YORK, NY 10075	N/A	PUBLIC	GENERAL FUND	20,000
<b>Total . . . . .</b>				2,810,983
			► 3a	

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
FACE FOUNDATION 972 FIFTH AVENUE NEW YORK, NY 10075	N/A	PUBLIC	GENERAL FUND	15,000
THE HUMANE LEAGUE 1601 WALNUT ST SUITE 502 PHILADELPHIA, PA 19102	N/A	PUBLIC	GENERAL FUND	30,000
ADVANCING HUMAN RIGHTS ADVANCING HUMAN RIGHTS NEW YORK, NY 10001	N/A	PUBLIC	GENERAL FUND	50,000
NEXUS - THE GIVING BACK 5757 W CENTURY BLVD SUITE 410 LOS ANGELES, CA 90045	N/A	PUBLIC	GENERAL FUND	50,000
MERCY FOR ANIMALS 8033 SUNSET BLVD SUITE 864 LOS ANGELES, CA 90046	N/A	PUBLIC	GENERAL FUND	150,000
CRITICALINK 1706 S STREET NW UNIT 1 WASHINGTON, DC 20009	N/A	PUBLIC	GENERAL FUND	25,000
A WELL FED WORLD 815 OTIS PLACE NW WASHINGTON, DC 20010	N/A	PUBLIC	GENERAL FUND	15,000
ANIMAL WELFARE INSTITUTE 900 PENNSYLVANIA AVE SE WASHINGTON, DC 20003	N/A	PUBLIC	GENERAL FUND	12,000
GLOBAL FEDERATION OF ANIMAL SANCTUARIES PO BOX 32294 WASHINGTON, DC 20007	N/A	PUBLIC	GENERAL FUND	20,000
AIRLINK 1023 15TH ST NW STE 1100 WASHINGTON, DC 20005	N/A	PUBLIC	GENERAL FUND	25,000
SHINING HOPE FOR COMMUNITIES 175 VARICK ST 6TH FL NEW YORK, NY 10014	N/A	PUBLIC	GENERAL FUND	100,000
BOLDER GIVING 25 BROADWAY NEW YORK, NY 10005	N/A	PUBLIC	GENERAL FUND	10,000
SAVE THE CHIMPS PO BOX 12220 FORT PIERCE, FL 34979	N/A	PUBLIC	GENERAL FUND	46,500
WHITE COAT WASTE PROJECT PO BOX 26029 WASHINGTON, DC 20001	N/A	PUBLIC	GENERAL FUND	100,000
LABRADOR RESCUERS PO BOX 221038 SAN DIEGO, CA 92192	N/A	PUBLIC	GENERAL FUND	8,500
<b>Total . . . . .</b>				2,810,983
			► 3a	

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
NEW VENTURE FUND 2200 SAND HILL RD SUITE 230 MENLO PARK, CA 94025	N/A	PUBLIC	GENERAL FUND	35,000
FACE FOUNDATION 972 FIFTH AVENUE NEW YORK, NY 10075	N/A	PUBLIC	GENERAL FUND	2,000
FARM SANCTUARY 3100 AIKENS ROAD WATKINS GLEN, NY 14891	N/A	PUBLIC	GENERAL FUND	10,000
GLOBAL HEALTH CORPS GLOBAL HEALTH CORPS NEW YORK, NY 10001	N/A	PUBLIC	GENERAL FUND	20,000
LAST MILE HEALTH PO BOX 426133 CAMBRIDGE, MA 02142	N/A	PUBLIC	GENERAL FUND	25,000
POLARIS PROJECT PO BOX 53315 WASHINGTON, DC 20009	N/A	PUBLIC	GENERAL FUND	50,000
THORN 200 PARK AVENUE SOUTH 8TH FLOOR NEW YORK, NY 10003	N/A	PUBLIC	GENERAL FUND	25,000
THE END FUND 41 EAST 11TH ST 11TH FLOOR NEW YORK, NY 10003	N/A	PUBLIC	GENERAL FUND	25,000
CENTER FOR GREAT APES PO BOX 488 WAUCHULA, FL 33873	N/A	PUBLIC	GENERAL FUND	8,000
FAUNA SANCTUARY INC 1320 STATE ROUTE 9 CHAMPLIAN, NY 12919	N/A	PUBLIC	GENERAL FUND	2,000
CHIMPANZEE SANCTUARY NORTHWEST PO BOX 952 CLE ELUM, WA 98922	N/A	PUBLIC	GENERAL FUND	5,000
CHIMPS INC PO BOX 6973 BEND, OR 97708	N/A	PUBLIC	GENERAL FUND	1,000
PRIMARILY PRIMATES 26099 DULL KNIFE TRAIL SAN ANTONIO, TX 78255	N/A	PUBLIC	GENERAL FUND	4,000
THE SISTERHOOD IS GLOBAL INSTITUTE THE SISTERHOOD IS GLOBAL INSTITUTE NEW YORK, NY 10001	N/A	PUBLIC	GENERAL FUND	2,500
CRISIS ACTION 708 THIRD AVENUE SUITE 1820 NEW YORK, NY 10017	N/A	PUBLIC	GENERAL FUND	20,000
<b>Total . . . . .</b>				2,810,983
			► 3a	

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
FRIENDS OF BENOBOIS PO BOX 90383 DURHAM, NC 27708	N/A	PUBLIC	GENERAL FUND	10,000
STRAY CAT ALLIANCE 11677 SANTA MONICA BLCD LOS ANGELES, CA 90025	N/A	PUBLIC	GENERAL FUND	2,500
LABRADOR RESCUERS PO BOX 221038 SAN DIEGO, CA 92192	N/A	PUBLIC	GENERAL FUND	5,000
RANCHO COASTAL HUMANE SOCIETY 389 REQUEZA ST ENCINITAS, CA 92024	N/A	PUBLIC	GENERAL FUND	25,000
ZANA AFRICA FOUNDATION 2450 VIRGINIA AVENUE NW SUITE E309 WASHINGTON, DC 20037	N/A	PUBLIC	GENERAL FUND	50,000
MERCY FOR ANIMALS 8033 SUNSET BLVD SUITE 864 LOS ANGELES, CA 90046	N/A	PUBLIC	GENERAL FUND	19,000
RAMOLA BHAR CHARITABLE C 568 JVTS GARDEN CHATTERPUR EXTENSION IN	N/A	PUBLIC	GENERAL FUND	20,000
SHARE (ASIA PACIFIC) LTD PO BOX 23526 WAN CHAI POST OFFICE HK	N/A	PUBLIC	GENERAL FUND	82,500
YOUNG1OVE FOUNDATION PLOT 14446 KAMUSHONGO RD GABORONE WEST INDUSTRIAL BC	N/A	PUBLIC	GENERAL FUND	100,000
SHARE (ASIA PACIFIC) LTD PO BOX 23526 WAN CHAI POST OFFICE HK	N/A	PUBLIC	GENERAL FUND	30,000
LIBERTY ASIA PO BOX 23526 WAN CHAI POST OFFICE HK	N/A	PUBLIC	GENERAL FUND	30,000
LA SOCIETE POUR LA PREVE EQUIVALENCY DETERMINATION ON FILE EQUIVALENCY DETERMINATION OC	N/A	PUBLIC	GENERAL FUND	50,000
CENTRE FOR EFFECTIVE ALTRUISM SUITE 2 LITTLEGATE HOUSE 16-17 ST EBBES ST OXFORD UK	N/A	PUBLIC	GENERAL FUND	110,000
RESCUE FOUNDATION PLOT NO 39 FATIMADEVI RD BEHIND OUR LADY OF REMEDY SCHOOL POSIUR KANDI MUMBAI 400067 IN	N/A	PUBLIC	GENERAL FUND	50,000
LA CONSCIENCE ROUTE DE KPESSEKOPE AHEPE TO	N/A	PUBLIC	GENERAL FUND	100,000
<b>Total . . . . .</b>				2,810,983
► 3a				

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
LA CONSCIENCE ROUTE DE KPESSEKOPE AHEPE TO	N/A	PUBLIC	GENERAL FUND	1,500
LA CONSCIENCE ROUTE DE KPESSEKOPE AHEPE TO	N/A	PUBLIC	GENERAL FUND	33,318
VISAYAN FORUM FOUNDATION 18 12TH AVE CUBAO 1109 RP	N/A	PUBLIC	GENERAL FUND	100,000
PHYSICIANS COMMITTEE FOR RESPONSIBLE MEDICINE 5100 WISCONSIN AVE NW SUITE 400 WASHINGTON, DC 20016	N/A	PUBLIC	GENERAL FUND	100,000
OTHER GRANTS OTHER GRANTS OTHER GRANTS, CA 92067	N/A	PUBLIC	GENERAL FUND	5,000
<b>Total . . . . .</b>			► 3a	2,810,983

## TY 2015 Accounting Fees Schedule

**Name:** THE GREENBAUM FOUNDATION**EIN:** 87-6217358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL FEES	15,775	15,775		0

## TY 2015 Investments Corporate Bonds Schedule

**Name:** THE GREENBAUM FOUNDATION

**EIN:** 87-6217358

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
CORPORATE BONDS	633,773	633,773

## TY 2015 Investments Corporate Stock Schedule

**Name:** THE GREENBAUM FOUNDATION

**EIN:** 87-6217358

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
CORPORATE STOCK	22,126,168	22,126,168

## TY 2015 Investments Government Obligations Schedule

**Name:** THE GREENBAUM FOUNDATION

**EIN:** 87-6217358

**US Government Securities - End of  
Year Book Value:** 109,512

**US Government Securities - End of  
Year Fair Market Value:** 109,512

**State & Local Government  
Securities - End of Year Book  
Value:** 0

**State & Local Government  
Securities - End of Year Fair  
Market Value:** 0

## TY 2015 Investments - Other Schedule

**Name:** THE GREENBAUM FOUNDATION

**EIN:** 87-6217358

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
PARTNERSHIPS AND CORPORATIONS	FMV	8,035,745	8,035,745
MANAGED FUTURES	FMV	4,468,244	4,468,244

## TY 2015 Other Assets Schedule

**Name:** THE GREENBAUM FOUNDATION**EIN:** 87-6217358

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
LOANS TO CHARITIES	50,000	25,000	25,000

## TY 2015 Other Decreases Schedule

**Name:** THE GREENBAUM FOUNDATION

**EIN:** 87-6217358

Description	Amount
PRIOR YEAR UNACCOUNTED LIABILITIES - NO CY/PY TAX EFFECT	1,047,578
PRIOR YEAR NET UNSETTLED PURCHASE/SALES - NO CY/PY TAX EFFECT	58,464

## TY 2015 Other Expenses Schedule

**Name:** THE GREENBAUM FOUNDATION

**EIN:** 87-6217358

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSE	328,229	0		0

## TY 2015 Other Income Schedule

**Name:** THE GREENBAUM FOUNDATION

**EIN:** 87-6217358

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PARTNERSHIP INCOME (LOSS)	496	-2,816	496
MS #022039 - OTHER INCOME	217	217	217

## TY 2015 Other Liabilities Schedule

**Name:** THE GREENBAUM FOUNDATION

**EIN:** 87-6217358

Description	Beginning of Year - Book Value	End of Year - Book Value
OTHER LIABILITIES	422	0

## TY 2015 Other Professional Fees Schedule

**Name:** THE GREENBAUM FOUNDATION

**EIN:** 87-6217358

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
INVESTMENT MANAGEMENT FEES	118,467	114,316		0

## TY 2015 Taxes Schedule

**Name:** THE GREENBAUM FOUNDATION

**EIN:** 87-6217358

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
CALIFORNIA TAX	10	0		0
FEDERAL TAXES	62,000	0		0
FOREIGN TAXES	0	2,982		0

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

► Attach to Form 990, 990-EZ, or 990-PF  
 ► Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at  
[www.irs.gov/form990](http://www.irs.gov/form990)

**2015****Name of the organization**

THE GREENBAUM FOUNDATION

**Employer identification number**

87-6217358

**Organization type (check one)****Filers of:****Section:**

Form 990 or 990-EZ

 501(c)( ) (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year . . . . . ► \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

## Name of organization

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## Employer identification number

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Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JAMES R GREENBAUM JR PO BOX 9910  RANCHO SANTA FE, CA 92067	\$ 2,255,730	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
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		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )

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**Part II Noncash Property** (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—	See Additional Data Table	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$ _____	_____

**Name of organization**

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**Part III** *Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ \_\_\_\_\_*  
 Use duplicate copies of Part III if additional space is needed

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
		(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
		(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
		(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
		(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

## Additional Data

**Software ID:**  
**Software Version:**

**EIN:** 87-6217358

**Name:** THE GREENBAUM FOUNDATION

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

<b>(a)</b> No. from Part I	<b>(b)</b> Description of noncash property given	<b>(c)</b> FMV (or estimate) (see instructions)	<b>(d)</b> Date received
1	300 SHS OF HALYARD HEALTH INC	\$ 13,926	2015-01-22
1	4,900 SHS OF QUALCOMM INC	\$ 352,334	2015-01-22
1	4,350 SHS OF DANAHER CORPORATION	\$ 374,492	2015-03-09
1	900 SHS OF APPLE INC	\$ 103,635	2015-08-13
1	1,000 SHS OF ISHARES S&P MIDE-CAP 400 G ETF	\$ 171,800	2015-08-13
1	600 SHS OF ISHARES S&P MID-CAP 400 V ETF	\$ 75,342	2015-08-13
1	300 SHS OF ISHARES S&P SMLL-CAP 600 V ETF	\$ 33,807	2015-08-13
1	1,000 SHS OF ISHARES SMALL CAP 600 G ETF	\$ 130,230	2015-08-13
1	350 SHS OF THERMO FISHER SCIENTIFIC	\$ 46,802	2015-08-13
1	1,300 SHS OF VISA INC CL A	\$ 96,109	2015-08-13

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	900 SHS OF WALT DISNEY CO HLDG	\$ 96,768	2015-08-13
1	1,500 SHS OF WELLS FARGO & CO NEW	\$ 85,335	2015-08-13
1	30,000 SHS OF SPNEN	\$ 675,150	2015-05-01