See a Social Security Number? Say Something!
Report Privacy Problems to https://public.resource.org/privacy
Or call the IRS Identity Theft Hotline at 1-800-908-4490

Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning , 2015, and ending , 20

Name of foundation: ERION FOUNDATION

A Employer identification number: 84-1358074

Number and street (or P O box number if mail is not delivered to street address): PO BOX 732

Room/suite:

B Telephone number (see instructions): (970) 669-9525

City or town, state or province, country, and ZIP or foreign postal code: LOVELAND, CO 80539

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 10,737,694.

J Accounting method [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	27,500.			
	2 Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments	12.	12.		
	4 Dividends and interest from securities	152,544.	152,544.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	302,690.			
	b Gross sales price for all assets on line 6a 2,712,263.				
	7 Capital gain net income (from Part IV, line 2)		302,690.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) ATCH 1	-59,882.	-71,997.		
	12 **Total.** Add lines 1 through 11	422,864.	383,249.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	329.			329.
	b Accounting fees (attach schedule)	21,287.	9,669.		11,619.
	c Other professional fees (attach schedule) [2]	62,244.	44,771.		17,473.
	17 Interest ATCH 3	13,373.			13,373.
	18 Taxes (attach schedule) (see instructions) [4]	9,546.	3,324.		
	19 Depreciation (attach schedule) and depletion	1,255.			
	20 Occupancy	30,504.			44,867.
	21 Travel, conferences, and meetings	3,043.			3,043.
	22 Printing and publications	938.			938.
	23 Other expenses (attach schedule) ATCH 5	7,829.			7,829.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	150,348.	57,764.		99,471.
	25 Contributions, gifts, grants paid	308,500.			308,500.
	26 **Total expenses and disbursements** Add lines 24 and 25	458,848.	57,764.		407,971.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-35,984.			
	b **Net investment income** (if negative, enter -0-)		325,485.		
	c **Adjusted net income** (if negative, enter -0-)				

RECEIVED NOV 23 2016 OGDEN, UT IRS-OSC B921

SCANNED NOV 29 2016

690 12

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	11,960.	17,187.	17,187.
	2	Savings and temporary cash investments	49,645.	103,507.	103,507.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less. allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)[6]	80,840.	61,748.	61,748.
	b	Investments - corporate stock (attach schedule) ATCH 7	4,631,495.	4,512,697.	4,837,375.
	c	Investments - corporate bonds (attach schedule) ATCH 8	1,799,098.	1,589,762.	1,544,331.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶	100,978.		
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 9	2,234,272.	2,510,248.	2,416,436.
	14	Land, buildings, and equipment basis ▶ 10,827.			
		Less accumulated depreciation (attach schedule) ▶ 8,717.	3,485.	2,110.	2,110.
	15	Other assets (describe ▶ ATCH 10)	1,129,021.	2,025,425.	1,755,000.
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	10,040,794.	10,822,684.	10,737,694.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)	276,830.	1,042,830.	ATCH 11
	22	Other liabilities (describe ▶ ATCH 12)	15,463.	15,431.	
	23	**Total liabilities** (add lines 17 through 22)	292,293.	1,058,261.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [X]			
	27	Capital stock, trust principal, or current funds	9,748,501.	9,764,423.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	9,748,501.	9,764,423.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	10,040,794.	10,822,684.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,748,501.
2	Enter amount from Part I, line 27a	2	-35,984.
3	Other increases not included in line 2 (itemize) ▶ ATCH 13	3	55,794.
4	Add lines 1, 2, and 3	4	9,768,311.
5	Decreases not included in line 2 (itemize) ▶ ATCH 14	5	3,888.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,764,423.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	302,690.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	816,299.	10,100,108.	0.080821
2013	363,552.	9,591,501.	0.037904
2012	1,214,156.	9,192,278.	0.132084
2011	389,752.	9,230,467.	0.042225
2010	502,556.	9,328,409.	0.053874

2	**Total** of line 1, column (d)	2	0.346908
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.069382
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	9,524,935.
5	Multiply line 4 by line 3	5	660,859.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,255.
7	Add lines 5 and 6	7	664,114.
8	Enter qualifying distributions from Part XII, line 4	8	1,268,129.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	3,255.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	3,255.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	3,255.
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015 — 6a: 11,531.		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	11,531.
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	8,276.
11	Enter the amount of line 10 to be **Credited to 2016 estimated tax** ▶ 8,276. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CO,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.ERIONFOUNDATION.ORG

14 The books are in care of ▶ THE ORGANIZATION Telephone no. ▶ 970-669-9525

Located at ▶ P.O. BOX 732 LOVELAND, CO ZIP+4 ▶ 80539

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 15		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 BUILDING LEASE TO ART WORKS IN LOVELAND, A 501(C)(3) TAX EXEMPT ORGANIZATION, FOR THE PURPOSES OF FULFILLING THEIR CHARITABLE PURPOSE.	834,531.
2 BUILDING LEASE TO LOVELAND CREATORSPACE, A 501(C)(3) TAX EXEMPT ORGANIZATION, FOR THE PURPOSES OF FULFILLING THEIR CHARITABLE PURPOSE.	25,627.
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . ▶	860,158.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,546,533.
b	Average of monthly cash balances	1b	130,566.
c	Fair market value of all other assets (see instructions)	1c	1,992,886.
d	**Total** (add lines 1a, b, and c)	1d	9,669,985.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	9,669,985.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	145,050.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,524,935.
6	**Minimum investment return.** Enter 5% of line 5	6	476,247.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	476,247.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	3,255.		
b	Income tax for 2015 (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	3,255.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	472,992.
4	Recoveries of amounts treated as qualifying distributions			4	30,936.
5	Add lines 3 and 4			5	503,928.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	503,928.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	407,971.
b	Program-related investments - total from Part IX-B	1b	860,158.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,268,129.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	3,255.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,264,874.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

ERION FOUNDATION 84-1358074

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				503,928.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only				
b Total for prior years 20 13 ,20 12 ,20 11				
3 Excess distributions carryover, if any, to 2015				
a From 2010				
b From 2011				
c From 2012 246,367.				
d From 2013				
e From 2014 322,632.				
f Total of lines 3a through e	568,999.			
4 Qualifying distributions for 2015 from Part XII, line 4. ▶ $ 1,268,129.				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2015 distributable amount				503,928.
e Remaining amount distributed out of corpus	764,201.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,333,200.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	1,333,200.			
10 Analysis of line 9				
a Excess from 2011				
b Excess from 2012 246,367.				
c Excess from 2013				
d Excess from 2014 322,632.				
e Excess from 2015 764,201.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ATCH 16

b The form in which applications should be submitted and information and materials they should include:

ATCH 17

c Any submission deadlines:

ATCH 18

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 19				
Total . . . . ▶ **3a**				
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	12.	
4 Dividends and interest from securities			14	152,544.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	302,690.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 20		-17,705.		-73,113.	30,936.
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		-17,705.		382,133.	30,936.

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 395,364.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions.			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

DOUG ERION — Signature of officer or trustee | Date: 11/14/16 | Title: PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
DAVID S MASON CPA		11-11-16		P00137279
Firm's name ▶ BKD, LLP			Firm's EIN ▶ 44-0160260	
Firm's address ▶ 1801 CALIFORNIA ST., STE. 2900 DENVER, CO 80202			Phone no 303-861-4545	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		FIRST WESTERN SHORT TERM-SEE ATTACHMENT					VAR	VAR
114,623.		113,836.					787.	
		FIRST WESTERN LONG TERM-SEE ATTACHMENT					VAR	VAR
658,236.		538,244.					119,992.	
		FIRST WESTERN-CAPITAL GAIN DISTRIBUTIONS					VAR	VAR
4,950.							4,950.	
		BNY SHORT TERM - SEE ATTACHED					VAR	VAR
475,108.		493,157.					-18,049.	
		BNY LONG TERM - SEE ATTACHED					VAR	VAR
1,464,296.		1,361,582.					102,714.	
		BNY - CAPITAL GAIN DISTRIBUTIONS					VAR	VAR
92,296.							92,296.	
TOTAL GAIN(LOSS)							302,690.	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047
2015

Name of the organization	**Employer identification number**
ERION FOUNDATION	84-1358074

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization ERION FOUNDATION

Employer identification number 84-1358074

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DOUG ERION P.O. BOX 239 LOVELAND, CO 80539	$ 27,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization ERION FOUNDATION

Employer identification number 84-1358074

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization ERION FOUNDATION

Employer identification number 84-1358074

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ► $ ____________
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
GAIN (LOSS) FROM PARTNERSHIPS	-90,818.	-71,997.
RENT RECEIPTS FROM PROGRAM RELATED INVESTMENT BUILDING	30,936.	
TOTALS	-59,882.	-71,997.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INVESTMENT FEES	44,771.	44,771.	
PROPERTY MANAGEMENT	12,000.		12,000.
OTHER PROFESSIONAL FEES	673.		673.
OFFICE SUPPORT	4,800.		4,800.
TOTALS	62,244.	44,771.	17,473.

FORM 990PF, PART I - INTEREST EXPENSE

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
LINE OF CREDIT	13,373.	13,373.
TOTALS	13,373.	13,373.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	3,324.	3,324.
FEDERAL TAXES	6,222.	
TOTALS	9,546.	3,324.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
COMPUTER/IT	250.	250.
DUES/FEES/MEMBERSHIP	270.	270.
INSURANCE	2,950.	2,950.
MARKETING	2,668.	2,668.
MISCELLANEOUS	550.	550.
POSTAGE	83.	83.
SUPPLIES	639.	639.
TELEPHONE	419.	419.
TOTALS	7,829.	7,829.

Line 10a - U.S. and State Government Obligations

Description	Beginning Book Value	Ending Book Value	Ending FMV
BNY Mellon S-T US Govt Securities Fund	80,840.00	61,748	61,748

Line 10b - Corporate Stock	Beginning Book Value	Ending Book Value	Ending FMV
Accenture	20,081	12,561	20,064
Bunge Limited	-	6,122	4,780
Royal Caribbean Cruises Ltd	8,311	-	-
Lyondellbasell Industries	-	6,815	6,952
AT&T Inc	16,079	1,029	1,032
Abbott Laboratories	23,329	20,361	26,677
Abbvie Inc	3,987	-	-
Affiliated Managers Group Inc	5,335	5,803	4,953
Agilent Technologies	-	5,764	6,690
Air Products & Chemicals Inc	-	1,381	1,301
Allison Transmission Holdings Inc	-	2,535	2,330
Allstate Corp	-	1,361	1,242
Alphabet Inc	-	19,133	27,664
Allergan Inc	7,304	-	-
Altria Group Inc	12,143	7,742	11,642
Amazon.Com Inc	-	2,431	2,704
American Express Company	-	1,524	1,391
Amgen Inc	12,381	8,161	11,850
Analog Devices Inc	-	1,766	1,660
Ansys Inc	2,223	-	-
Anthem Inc	8,952	5,193	7,669
Apple Inc	7,873	10,750	29,789
Archer Daniels Midland Co	8,214	-	-
Baker Hughes Inc	8,177	-	-
Bank Of America Corporation	14,732	8,823	12,454
Bard C R Inc	7,004	-	-
Bed Bath & Beyond Inc	9,767	6,212	4,825
Berkshire Hathaway Inc	16,865	10,860	12,016
Biogen Idec Inc	4,386	2,325	2,144
The Boeing Company	-	8,450	8,242
Boston Scientific Corporation	9,489	-	-
CBS Corp	5,107	-	-
CIGNA Corp	4,493	2,917	4,390

Line 10b - Corporate Stock	Beginning Book Value	Ending Book Value	Ending FMV
CVS Caremark Corporation	11,447	6,974	11,244
Cabot Corp	7,900	-	-
Cameron International Corporation	-	2,423	3,160
Carnival Corp	-	1,548	1,634
Caterpillar Inc	10,798	-	-
Centurytel Inc	3,190	6,345	5,032
Charles Riv Laboratories Intl Inc	-	1,337	1,608
Chevron Corporation	20,167	-	-
Citigroup Inc	13,259	11,972	11,903
Citrix Sys Inc	-	6,134	6,052
Coca-Cola Co	2,044	1,481	1,477
Cognizant Technology Solutions Corp	9,476	-	-
Comcast Corp CI A	8,862	5,445	6,772
Conocophillips	11,793	-	-
Corning Inc	9,679	-	-
Corrections Corp Amer New	6,913	-	-
Covance Inc	5,103	-	-
Crown Holdings Inc	-	2,575	2,535
D S T Sys Inc Del	1,827	1,508	1,825
Darden Restaurants Inc	-	5,315	5,091
Delta Airlines	-	3,310	3,548
Dentsply International Inc	-	4,258	4,868
The Walt Disney Company	10,095	6,262	12,399
Dollar General Corp	-	2,026	2,156
Dow Chemical Co	1,905	2,425	3,089
Duke energy Corporation	7,635	-	-
E O G Res Inc	11,362	-	-
E Q T Corporation	6,588	-	-
Electronic Arts Inc	-	7,121	8,246
Emerson Electric Co	2,370	-	-
Entergy Corp	9,518	-	-
Express Scripts Holdings Inc	-	5,187	5,245
Exxon Mobil Corp	25,371	15,112	17,929

Line 10b - Corporate Stock	Beginning Book Value	Ending Book Value	Ending FMV
Facebook Inc	14,500	1,273	2,093
Fidelity National Information Services Inc	-	1,827	1,818
Firstenergy Corp	-	6,283	6,346
Franklin Res Inc	8,492	5,997	4,934
General Dynamics Corporation	-	7,460	7,417
General Electric Company	5,882	2,149	4,050
Gilead Sciences, Inc	17,788	14,232	30,458
Google Inc - Cl A	10,876	-	-
Google Inc - Cl C	10,905	-	-
H C A Holdings Inc	-	5,895	4,734
Hershey Co	6,905	-	-
Hewlett Packard Co	10,235	-	-
Hologic Inc	-	5,766	5,804
Home Depot Inc	15,119	8,427	13,093
Honeywell Intl Inc	15,395	10,081	22,060
Host Hotels & Resorts Inc	6,847	7,056	5,216
Illinois Tools Wks Inc	-	1,899	1,854
Intel Corp	3,834	2,459	3,445
Intuit	10,178	6,453	12,931
JP Morgan Chase & Co	2,510	12,709	15,583
Jetblue Awys Corp	-	4,899	4,530
Johnson & Johnson	17,441	10,913	16,127
Kirby Corp	7,124	-	-
Kroger Co	5,889	1,581	2,928
Estee Lauder Companies Class A	-	6,916	7,045
Las Vegas Sands Corp	8,103	-	-
Lilly Eli & Co	1,462	-	-
Lockheed Martin Corp	10,261	-	-
Lowes Companies Inc	11,140	6,306	9,885
Macy's Inc	2,406	-	-
Marathon Petroleum Corp	8,974	4,253	6,221
Masco Corp	8,183	-	-
Mastercard Inc	5,958	-	-

Line 10b - Corporate Stock	Beginning Book Value	Ending Book Value	Ending FMV
McKesson Corporation	-	17,988	15,976
Medtronic Inc	10,938	-	-
Merck & Co Inc	13,104	11,692	10,564
Mettler-Toledo Intl Inc	5,979	4,086	6,104
Microsoft Corporation	24,780	17,611	31,901
Micron Technology Inc	9,579	-	-
Mondelez International	12,132	-	-
Monster Beverage Corporation	4,003	-	-
Moodys Corp	1,241	786	1,906
The Mosaic Company	-	6,172	3,863
N C R Corp New	-	5,446	5,137
National Oilwell Varco Inc	3,344	-	-
Navient Corporation	1,597	-	-
Netapp Inc	8,441	-	-
Nextera Energy Inc	5,837	6,343	7,688
Northrop Grumman Corp	8,293	-	-
Occidental Petroleum Corp	8,999	-	-
Old Dominion Fght Line	-	2,149	1,772
Oracle Corp	28,806	10,491	15,525
Oshkosh Corporation	-	-	-
Owens Corning Inc	-	5,660	5,644
P P G Industries Inc	1,908	-	-
Paccar Inc	-	2,598	2,370
Pepsico Inc	21,427	17,063	32,274
Pfizer Inc	12,256	8,227	14,203
Phillips 66	3,295	-	-
Pilgrim's Pride Corp	3,646	-	-
T Rowe Price Group Inc	7,754	4,908	5,719
The Procter & Gamble Company	3,951	9,864	10,323
Prudential Financial Inc	4,037	7,031	7,327
Qualcomm Inc	31,026	10,061	12,396
Raytheon Co	3,869	-	-
Reliance Steel & Aluminum	-	1,764	1,737

Line 10b - Corporate Stock	Beginning Book Value	Ending Book Value	Ending FMV
Rockwell Automation Inc	1,479	2,315	2,463
S P X Corp	5,611	-	-
Sandisk Corp	3,318	-	-
Schlumberger Ltd	18,973	22,867	23,157
Servicemaster Global Holdings, Inc	-	2,678	2,747
Southwest Airls Co	9,253	5,361	8,181
Spirit Aerosystems Holding Inc	-	5,867	5,508
Stryker Corp	14,422	8,335	18,495
Target Corp	-	8,119	7,261
Tesoro Corporation	3,606	4,480	7,165
Texas Instruments Inc	-	12,201	23,952
3M Co	-	5,137	4,820
Textron Inc	7,772	-	-
Time Warner Inc	7,940	7,040	6,467
The Travelers Companies Inc	9,309	5,972	7,674
Twenty-First Century Fox Inc	-	7,980	6,247
Tyson Foods Inc Class A	7,000	-	-
Union Pac Corp	-	9,082	6,491
Valero Energy Corp New	7,305	6,077	8,485
Verisign Inc	-	1,881	2,621
Verizon Communications Inc	20,088	13,927	13,404
Visa Inc Class A Shrs	-	13,191	13,959
Visteon Corp/New	-	3,208	3,321
Waddell & Reed Financial Inc Class A	7,382	4,593	2,293
Wal-Mart Stores Inc	9,272	10,376	9,195
Wells Fargo & Company	9,135	5,058	9,785
Westlake Chemical Corp	8,055	-	-
Wex Inc	-	1,722	1,768
Wyndham Worldwide Corporation	7,921	4,984	5,812
Zoetis Inc	-	1,512	1,438
BNY Mellon Income Stock Fund	121,298	70,443	97,525
BNY Mellon Focused Equity Opportunities Fund	370,217	401,984	387,452
Dreyfus U.S. Equity Fund	269,394	137,416	137,250

Line 10b - Corporate Stock	Beginning Book Value	Ending Book Value	Ending FMV
California Resources Corporation	325	-	-
The Scotts Miracle-Gro Company	2,357	-	-
The BNY Mellon Mid Cap Multi Strategy Fund	189,747	341,807	415,824
Dreyfus Opportunistic Small Cap Fund	68,736	84,988	79,101
Dreyfus Select Managers Small Cap Growth Fund	59,662	78,237	78,558
Dreyfus Select Managers Small Cap Value Fund	62,810	79,346	71,212
Copa Holdings SA Class A	6,386	-	-
BNY Mellon International Equity Income Fund	151,882	151,882	130,933
BNY Mellon International Fund	130,572	242,370	254,467
Dreyfus International Small Cap Fund	-	154,917	159,795
Dreyfus/Newton International Equity Fund	69,663	69,188	76,277
Dreyfus International Stock Fund	132,229	63,033	74,530
BNY Mellon Emerging Markets Fund	339,919	178,127	148,205
Dreyfus Diversified Emerging Markets Fund	61,587	29,860	26,078
Dreyfus Global Real Estate Securities Fund	45,230	75,474	78,763
General Growth Properties	-	6,403	6,530
Post Properties Inc	-	1,753	1,775
Public Storage Inc Reit	8,743	5,696	8,174
Simon Property Group Inc Reit	9,757	1,209	1,556
Taubman Centers Inc	8,212	5,922	6,138
Dodge & Cox Income Fund #147	343,966	216,660	208,251
Third Avenue Focused Credit Fund Ins #450	-	5,399	3,224
Vanguard Short Tem Bond Index ADM #5132	-	474,215	468,836
Pimco Total Return Fund Inst #35	26	-	-
American Tower Corporation	11,901	7,928	18,227
Anheuser Busch	17,376	11,922	21,875
Automatic Data Processing Inc	8,857	5,451	9,489
Baxter International Inc	19,849	-	-
Blackrock Inc Com	15,393	9,409	18,388
Celgene Corp Com	8,238	5,376	19,042
CVS Health Corporation	24,170	15,849	19,554
Danaher Corp	13,604	8,534	20,341
Discovery Communications Inc	21,952	20,171	14,861

Line 10b - Corporate Stock	Beginning Book Value	Ending Book Value	Ending FMV
Ebay Inc	13,361	18,878	20,198
Ecolab Inc	10,266	8,103	21,046
Halliburton Co	17,901	11,204	8,238
International Business Machs Corp	16,247	-	-
Kohls Corp	12,460	-	-
Lauder Estee COS Inc	11,155	8,090	22,191
McDonalds Corp	25,001	19,462	23,864
McGraw Hill Financial Inc	-	9,448	9,267
Monsanto Co	7,820	5,207	7,783
Nike	10,391	7,193	25,125
Paypal Hldgs Inc	-	11,153	11,548
Roper Inds Inc	6,834	-	-
Roper Technologies Inc	-	12,159	20,877
Schwab Charles Corp	15,572	10,056	20,943
State Street Corp	16,051	10,190	15,926
Texus Instruments Inc	13,028	-	-
Thermo Fisher Corp	7,450	5,069	21,136
TJX COS Inc	12,093	17,392	19,784
United Parcel Services	20,183	12,581	16,263
Visa Inc	6,293	10,405	22,490
Williams COS Inc	5,789	5,064	11,128
Causeway International Value Fund	92,051	77,467	65,996
DFA Large Cap International Portfolio	180,501	155,105	133,513
DFA US Small Cap Portfolio	118,385	69,850	67,016
JP Morgan Undiscovered Managers Behavioral Value Fund	-	37,477	37,432
Lazard International Strategic Equity Portfolio Inst	181,143	151,265	131,974
Maingate MLP fund CL		24,326	21,492
Oppenheimer Developing Markets Fund	124,439	100,309	84,605
Pimco Commodity Real Return Strategy	75,004	36,761	21,333
Totals	4,631,495	4,512,696.52	4,837,375.45

Line 10c - Corporate Bonds

	Beginning Book Value	Ending Book Value	Ending FMV
BNY Bond Funds	1,384,661	1,191,357	1,171,227
Dreyfus Bond Funds	132,545	206,476	191,973
TCW Emerging Markets Income fund-I	84,344	-	-
Metropolitan West Total Return Bond	144,682	139,064	134,797
Ishares Iboxx High Yield Corp Bond Fund	52,866	52,866	46,334
Totals	1,799,098	1,589,762	1,544,331

Line 13 - Investments - Other

	Beginning Book Value	Ending Book Value	Ending FMV
Dreyfus Global Real Return Fund	63,941	139,628.11	133,023
Advantage Dynamic Total Return fund	73,637	95,438.12	109,185
Dreyfus BNY Mellon Funds Inc	77,834	133,067.65	135,420
ASG Managed Futures Strategy Fund	77,714	95,694.55	102,259
ASG Global Alternatives Fund - Y	-	275,438.54	274,150
Ishares Core S&P Mid-Cap	80,843	59,563.78	89,304
Aetos Capital, LLC	300,000	300,000.00	388,557
Investment in COBB	1,465,693	1,375,698.00	1,097,162
CCOB Investments LLC	85,447	28,898.00	84,525
NP Development, Inc.	9,163	6,821.00	2,850
Totals	2,234,272	2,510,248	2,416,436

ATTACHMENT 10

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
PROGRAM RELATED INVESTMENT	1,165,267.	2,025,425.	1,755,000.
ACCUMULATED DEPRECATION	-36,246.		
TOTALS	1,129,021.	2,025,425.	1,755,000.

ATTACHMENT 11

FORM 990PF, PART II - MORTGAGES AND OTHER NOTES PAYABLE

LENDER: BNY MELLON
ORIGINAL AMOUNT: 2,000,000.
INTEREST RATE: 2.0000 %
DATE OF NOTE: 09/22/2011
REPAYMENT TERMS: MONTHLY INTEREST PAYMENTS
SECURITY PROVIDED: SECURITIES & FINANCIAL ASSETS
PURPOSE OF LOAN: LINE OF CREDIT

BEGINNING BALANCE DUE	276,830.
ENDING BALANCE DUE	1,042,830.
TOTAL BEGINNING MORTGAGES AND OTHER NOTES PAYABLE	276,830.
TOTAL ENDING MORTGAGES AND OTHER NOTES PAYABLE	1,042,830.

ATTACHMENT 12

FORM 990PF, PART II - OTHER LIABILITIES

DESCRIPTION		BEGINNING BOOK VALUE	ENDING BOOK VALUE
PROPERTY TAXES PAYABLE		14,363.	14,331.
DEPOSIT		1,100.	1,100.
	TOTALS	15,463.	15,431.

ATTACHMENT 13

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
EXPENSE ON RETURN, NOT ON BOOKS	3,238.
CORRECT PROGRAM RELATED INVESTMENT BALANCE	52,556.
TOTAL	55,794.

ATTACHMENT 14

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
INCOME ON RETURN, NOT ON BOOKS		3,888.
	TOTAL	3,888.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 15

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
DOUGLAS J. ERION P.O. BOX 239 LOVELAND, CO 80239	PRESIDENT 1.00	0.	0.	0.
JAN PIERCE ATNIP P.O. BOX 239 LOVELAND, CO 80239	BOARD MEMBER 1.00	0.	0.	0.
ELI SCOTT P.O. BOX 239 LOVELAND, CO 80239	TREASURER 1.00	0.	0.	0.
ROGER E. CLARK P.O. BOX 239 LOVELAND, CO 80239	VICE PRESIDENT 1.00	0.	0.	0.
JUSTIN ERION P.O. BOX 239 LOVELAND, CO 80239	BOARD MEMBER 1.00	0.	0.	0.
TRAVIS ERION P.O. BOX 239 LOVELAND, CO 80239	BOARD MEMBER 1.00	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 15 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
SUMMER ERION SCOTT P.O. BOX 239 LOVELAND, CO 80239	ADMINISTRATOR 1.00	0.	0.	0.
CHRISTINE ERION KLEIN P.O. BOX 239 LOVELAND, CO 80239	BOARD MEMBER 1.00	0.	0.	0.
	GRAND TOTALS	0.	0.	0.

ATTACHMENT 16

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

SUMMER SCOTT
PO BOX 732
LOVELAND, CO 80539

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

AN EXEMPT TAX DETERMINATION LETTER IS REQUIRED. RECIPIENT MUST BE A 501(C)(3) ORGANIZATION, STATE OR MUNICIPALITY. PREFERENCE IS GIVEN TO NEEDS OF LOVELAND, LARIMER COUNTY, OR COLORADO.

ATTACHMENT 18

990PF, PART XV - SUBMISSION DEADLINES

APPLICATIONS RECEIVED DURING CALENDER QUARTER WILL BE CONSIDERED DURING FIRST MONTH OF FOLLOWING QUARTER.

Recipient Name & Address	Relationship to Substantial Contributor & Foundation Status of Recipient	Purpose of Grant of Contribution	Amount
The Warehouse - L D F Account PO Box 864 LOVELAND CO 80539 USA	PC	Help enhance the community and provide opportunity for education	25,000.00
Alliance Bible Church 144 WESTMINSTER STREET, PROVIDENCE, RI 02903	PC	Fund to match volunteer hours contributed by Foundation Family Member	2,350 67
Artworks in Loveland P O BOX 239, LOVELAND, CO 80539	PC	Major Projects	32,500 00
Artspace Projects 250 THIRD AVENUE N, SUITE 400, MINNEAPOLIS, MN 55401	PC	Major Projects	50,000 00
Care-a-Van Saint 333 WEST DRAKE ROAD, #42, FORT COLLINS, CO 80526	PC	Provide transportation to disabled	1,000 00
Child Advocacy Center of Larimer County 5529 TIMBERLINE ROAD FT COLLINS CO 80528	PC	Education	1,000 00
Community Kitchen PO BOX 3033 LOVELAND CO 80539	PC	Operating fund to feed homeless	6,000 00
Door County Land Trust PO BOX 65 STURGEON WI 54235	PC	Fund to match volunteer hours contributed by Foundation Family Member	3,198 46
Ensight Skills Center 3307 SOUTH COLLEGE AVENUE #108, FORT COLLINS CO 80525	PC	Education	2,000 00
House of Neighborly Service 565 NORTH CLEVELAND LOVELAND CO 80537	PC	Legacy Funding	24,000 00
House of Neighborly Service - New Building 565 NORTH CLEVELAND LOVELAND CO 80537	PC	Major Projects	50,000 00
Loveland Creator Space PO BOX 7236, LOVELAND, CO 80537	PC	Education	14,500 00

Recipient Name & Address	Relationship to Substantial Contributor & Foundation Status of Recipient	Purpose of Grant of Contribution	Amount
Loveland Creator Space PO BOX 7236, LOVELAND, CO 80537	PC	Major Projects	2,500 00
Loveland Museum-Gallery 503 NORTH LINCOLN AVENUE LOVELAND CO 80537	PC	Major Projects	28,200 00
Mary Blair Elementary School 860 EAST 29th STREET LOVELAND CO 80538	PC	Fund to match volunteer hours contributed by Foundation Family Member	385 36
Meals on Wheels 437 NORTH GARFIELD LOVELAND CO 80537	PC	Feed homebound	6,000 00
Neighbor to Neighbor 565 NORTH CLEVELAND, LOVELAND, CO 80538	PC	Basic Needs	2,500 00
Project Self-Sufficiency 375 WEST 37TH STREET, #150 LOVELAND CO 80538	PC	Fund to match volunteer hours contributed by Foundation Family Member	2,800 00
Ridges Sanctuary P O BOX 152 BAILEYS HARBOR WI 54202-0152	PC	Fund to match volunteer hours contributed by Foundation Family Member	3,448 94
Sixtus Lodge Trust Board PO BOX 1987 PALMERSTON, NORTH NEW ZEALAND 4440	PC	Fund to match volunteer hours contributed by Foundation Family Member	616 57
ST Matthew's Medical Clinic 1990 THAMES DR LOVELAND CO 80538	PC	Education	8,000 00
Thompson R-2J Lisa Project 800 SOUTH TAFT LOVELAND CO 80537	PC	Education Lisa Program Leader in Me Program	42,500 00
		Total Grants	308,500 00

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 20

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
GAIN (LOSS) FROM PARTNERSHIP	531390	-17,705.	18	-73,113.	
PROGRAM RELATED RENTAL INCOME					30,936.
TOTALS		-17,705.		-73,113.	30,936.

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2015

Name of estate or trust: ERION FOUNDATION

Employer identification number: 84-1358074

Note: *Form 5227 filers need to complete **only** Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b .				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked . . .	589,731.	606,993.		-17,262.
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked . . .				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked . . .				

4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . .	4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . .	5	
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2014 Capital Loss Carryover Worksheet . . .	6	( )
7 **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back . . . ▶	7	-17,262.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b .				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked . . .	2,219,778.	1,899,826.		319,952.
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked . . .				
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked . . .				

11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . .	11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts. . . .	12	
13 Capital gain distributions. . . .	13	
14 Gain from Form 4797, Part I. . . .	14	
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2014 Capital Loss Carryover Worksheet . . .	15	( )
16 **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back . . . ▶	16	319,952.

Part III **Summary of Parts I and II**
Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17	**Net short-term gain or (loss)**	17			-17,262.
18	**Net long-term gain or (loss):**				
a	Total for year	18a			319,952.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	18b			
c	28% rate gain	18c			
19	**Total net gain or (loss).** Combine lines 17 and 18a ▶	19			302,690.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet,** as necessary*

Part IV **Capital Loss Limitation**

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 19, column (3) **or b** $3,000 . . . . 20 ( )

Note: *If the loss on line 19, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover*

Part V **Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero
Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:*
- *Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)		21		
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22			
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23			
24	Add lines 22 and 23	24			
25	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	25			
26	Subtract line 25 from line 24 If zero or less, enter -0-		26		
27	Subtract line 26 from line 21. If zero or less, enter -0-		27		
28	Enter the **smaller** of the amount on line 21 or $2,500		28		
29	Enter the **smaller** of the amount on line 27 or line 28		29		
30	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶				30
31	Enter the **smaller** of line 21 or line 26		31		
32	Subtract line 30 from line 26		32		
33	Enter the **smaller** of line 21 or $12,300		33		
34	Add lines 27 and 30		34		
35	Subtract line 34 from line 33 If zero or less, enter -0-		35		
36	Enter the **smaller** of line 32 or line 35		36		
37	Multiply line 36 by 15% ▶				37
38	Enter the amount from line 31		38		
39	Add lines 30 and 36		39		
40	Subtract line 39 from line 38. If zero or less, enter -0-		40		
41	Multiply line 40 by 20% ▶				41
42	Figure the tax on the amount on line 27 Use the 2015 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)		42		
43	Add lines 37, 41, and 42		43		
44	Figure the tax on the amount on line 21 Use the 2015 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)		44		
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶				45

Income for 2015

This section reports your sales transactions during the year. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for current year's return of capital.

The following items are provided to you to assist in the preparation of your tax returns. We have included the following Codes and Adjustment amounts: "W" for wash sales, "C" for collectibles, and "D" for Market Discount. Typically, collectibles are taxed at 28% rates. For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses and Market Discount in the column titled "Portion Subject to 988 and Market Discount". We have also includes gains on collectibles taxed at 28% rates in the last column. If we have included a dagger symbol (†) next to the sale transaction with a net loss, this indicates that you can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure.

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AT&T INC		00206R102							
04/23/15	08/20/14	10	$342.29	$345.79	$-3.50		$0.00	$0.00	$0.00
05/21/15	08/20/14	20	$699.71	$691.57	$8.14		$0.00	$0.00	$0.00
ABBVIE INC		00287Y109							
08/28/15	02/18/15	50	$3,184.05	$2,958.65	$225.40		$0.00	$0.00	$0.00
08/28/15	03/24/15	50	$3,184.05	$2,991.44	$192.61		$0.00	$0.00	$0.00
09/21/15	02/18/15	30	$1,779.73	$1,775.18	$4.55		$0.00	$0.00	$0.00
09/22/15	02/18/15	20	$1,149.05	$1,183.46	$-34.41		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AFFILIATED MANAGERS GROUP INC		008252108							
08/28/15	03/24/15	19	$3,568.17	$4,133.92	$-565.75		$0.00	$0.00	$0.00
ALCOA INC		013817101							
07/22/15	04/23/15	300	$3,008.34	$3,964.98	$-956.64		$0.00	$0.00	$0.00
08/28/15	04/23/15	70	$649.74	$925.16	$-275.42		$0.00	$0.00	$0.00
12/22/15	04/23/15	100	$938.68	$1,321.66	$-382.98		$0.00	$0.00	$0.00
ALLSTATE CORP COM		020002101							
08/28/15	07/22/15	10	$584.31	$680.70	$-96.39		$0.00	$0.00	$0.00
APPLE COMPUTER INC COM		037833100							
08/28/15	02/18/15	51	$5,739.54	$6,528.55	$-789.01		$0.00	$0.00	$0.00
08/28/15	03/24/15	60	$6,752.40	$7,627.60	$-875.20		$0.00	$0.00	$0.00
08/28/15	04/23/15	19	$2,138.26	$2,468.20	$-329.94		$0.00	$0.00	$0.00
08/28/15	05/21/15	20	$2,250.80	$2,627.61	$-376.81		$0.00	$0.00	$0.00
ARCHER DANIELS MIDLAND CO COM		039483102							
08/28/15	03/24/15	30	$1,330.84	$1,422.02	$-91.18		$0.00	$0.00	$0.00
BNY MELLON INCOME STK FD CL M		05569M301							
09/02/15	06/30/15	41.61	$352.45	$377.00	$-24.55		$0.00	$0.00	$0.00
09/02/15	07/31/15	13.15	$111.41	$119.30	$-7.89		$0.00	$0.00	$0.00
09/02/15	08/31/15	41.79	$353.96	$358.14	$-4.18		$0.00	$0.00	$0.00

Short Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION		**CUSIP**							
BNY MELLON INCOME STK FD CL M		**05569M301**							
09/02/15	04/30/15	9.69	$82.09	$89.17	$-7.08		$0.00	$0.00	$0.00
09/02/15	03/31/15	49.74	$421.27	$454.60	$-33.33		$0.00	$0.00	$0.00
09/02/15	02/27/15	40.34	$341.70	$374.78	$-33.08		$0.00	$0.00	$0.00
09/02/15	01/30/15	13.58	$115.01	$118.27	$-3.26		$0.00	$0.00	$0.00
09/02/15	12/08/14	2022.7	$17,132.23	$18,184.03	$-1,051.80		$0.00	$0.00	$0.00
09/02/15	11/28/14	31.53	$267.07	$317.83	$-50.76		$0.00	$0.00	$0.00
09/02/15	05/29/15	17.11	$144.91	$158.60	$-13.69		$0.00	$0.00	$0.00
09/02/15	09/30/14	48.67	$412.22	$475.00	$-62.78		$0.00	$0.00	$0.00
09/02/15	10/31/14	16.09	$136.25	$158.77	$-22.52		$0.00	$0.00	$0.00
BNY MELLON CORP BOND-M		**05569M368**							
12/22/15	09/02/15	1772.42	$21,942.58	$22,332.52	$-389.94		$0.00	$0.00	$0.00
12/22/15	10/30/15	64.67	$800.63	$815.50	$-14.87		$0.00	$0.00	$0.00
12/22/15	07/31/15	49.84	$617.07	$633.02	$-15.95		$0.00	$0.00	$0.00
12/22/15	06/30/15	49.71	$615.42	$631.33	$-15.91		$0.00	$0.00	$0.00
12/22/15	05/29/15	50.32	$622.97	$648.14	$-25.17		$0.00	$0.00	$0.00
12/22/15	04/30/15	50.03	$619.37	$646.39	$-27.02		$0.00	$0.00	$0.00
12/22/15	03/31/15	51.12	$632.87	$663.02	$-30.15		$0.00	$0.00	$0.00
12/22/15	02/27/15	46.81	$579.53	$606.22	$-26.69		$0.00	$0 00	$0.00

Short Term Transactions

DESCRIPTION / Date sold or disposed	Date acquired	CUSIP / Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
BNY MELLON CORP BOND-M		05569M368							
12/22/15	01/30/15	49.21	$609.26	$641.24	$-31.98		$0.00	$0.00	$0.00
BNY MELLON S/T US GVT SECS FD CL M		05569M780							
09/02/15	07/31/15	6.28	$74.45	$74.52	$-0.07		$0.00	$0.00	$0.00
09/02/15	09/30/14	6.19	$73.30	$73.74	$-0.44		$0.00	$0.00	$0.00
09/02/15	10/31/14	7.87	$93.22	$93.93	$-0.71		$0.00	$0.00	$0.00
09/02/15	11/28/14	6.75	$80.00	$80.61	$-0.61		$0.00	$0.00	$0.00
09/02/15	12/15/14	7.34	$86.97	$87.41	$-0.44		$0.00	$0.00	$0.00
09/02/15	01/30/15	6.77	$80.17	$80.78	$-0.61		$0.00	$0.00	$0.00
09/02/15	06/30/15	7.41	$87.82	$87.97	$-0.15		$0.00	$0.00	$0.00
09/02/15	05/29/15	6.83	$80.91	$81.12	$-0.21		$0.00	$0.00	$0.00
09/02/15	04/30/15	7.38	$87.50	$87.79	$-0.29		$0.00	$0.00	$0.00
09/02/15	03/31/15	7.37	$87.32	$87.69	$-0.37		$0.00	$0.00	$0.00
09/02/15	02/27/15	7.36	$87.23	$87.60	$-0.37		$0.00	$0.00	$0.00
BNY MELLON INTERMEDIATE BOND FD CL M		05569M814							
09/02/15	03/31/15	36	$452.10	$459.30	$-7.20		$0.00	$0.00	$0.00
09/02/15	01/30/15	33.81	$424.59	$432.70	$-8.11		$0.00	$0.00	$0.00
BNY MELLON BOND FD CL M		05569M830							
02/19/15	03/04/14	4622.5	$60,000.00	$59,630.20	$369.80		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
BAKER HUGHES INC		057224107							
08/28/15	09/16/14	120	$6,507.73	$8,177.21	$-1,669.48		$0.00	$0.00	$0.00
BARD C R INCORPORATED		067383109							
06/23/15	09/16/14	9	$1,554.84	$1,325.64	$229.20		$0.00	$0.00	$0.00
BIOGEN IDEC INC		09062X103							
04/23/15	07/24/14	2	$859.50	$674.79	$184.71		$0.00	$0.00	$0.00
CABOT CORPORATION COMMON		127055101							
01/12/15	07/24/14	50	$2,110.63	$2,907.49	$-796.86		$0.00	$0.00	$0.00
01/12/15	09/17/14	40	$1,688.51	$2,223.07	$-534.56		$0.00	$0.00	$0.00
01/13/15	09/16/14	30	$1,261.27	$1,657.67	$-396.40		$0.00	$0.00	$0.00
01/13/15	09/17/14	20	$840.84	$1,111.54	$-270.70		$0.00	$0.00	$0.00
CAMERON INTL CORP		13342B105							
08/28/15	02/18/15	80	$5,189.67	$3,876.36	$1,313.31		$0.00	$0.00	$0.00
09/21/15	02/18/15	12	$768.69	$581.45	$187.24		$0.00	$0.00	$0.00
09/22/15	02/18/15	28	$1,779.88	$1,356.73	$423.15		$0.00	$0.00	$0.00
10/26/15	02/18/15	20	$1,344.01	$969.09	$374.92		$0.00	$0.00	$0.00
11/24/15	02/18/15	10	$690.42	$484.55	$205.87		$0.00	$0.00	$0.00
CATERPILLAR INC		149123101							
08/28/15	10/17/14	19	$1,433.75	$1,802.23	$-368.48		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CELANESE CORP DEL		150870103							
06/23/15	03/25/15	100	$7,173.53	$5,587.93	$1,585.60		$0.00	$0.00	$0.00
06/23/15	03/24/15	30	$2,152.06	$1,671.86	$480.20		$0.00	$0.00	$0.00
07/22/15	03/24/15	30	$1,967.56	$1,671.86	$295.70		$0.00	$0.00	$0.00
CELGENE CORP		151020104							
08/28/15	05/21/15	3	$369.81	$349.06	$20.75		$0.00	$0.00	$0.00
CENTURYTEL INC		156700106							
08/28/15	01/12/15	60	$1,632.09	$2,321.77	$-689.68		$0.00	$0.00	$0.00
08/28/15	04/23/15	70	$1,904.11	$2,570.82	$-666.71		$0.00	$0.00	$0.00
CHEVRONTEXACO CORP		166764100							
11/24/15	04/23/15	15	$1,379.71	$1,658.72	$-279.01		$0.00	$0.00	$0.00
11/24/15	04/23/15	3	$275.86	$331.74	$-55.88		$0.00	$0.00	$0.00
COGNIZANT TECHNOLOGY SOLUTIONS		192446102							
02/18/15	08/20/14	110	$6,693.01	$5,067.76	$1,625.25		$0.00	$0.00	$0.00
02/18/15	06/18/14	90	$5,476.10	$4,408.02	$1,068.08		$0.00	$0.00	$0.00
CONSOL ENERGY INC		20854P109							
08/28/15	06/23/15	60	$835.91	$1,422.70	$-586.79		$0.00	$0.00	$0.00
12/22/15	06/23/15	90	$736.37	$2,134.06	$-1,397.69		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DARDEN RESTAURANTS INC COM		237194105							
08/28/15	07/22/15	60	$4,141.25	$4,493.50	$-352.25		$0.00	$0.00	$0.00
DELTA AIR LINES INC - W/I		247361702							
08/28/15	04/23/15	30	$1,316.15	$1,396.46	$-80.31		$0.00	$0.00	$0.00
DENTSPLY INTL INC NEW COM		249030107							
08/28/15	06/23/15	50	$2,649.56	$2,652.44	$-2.88		$0.00	$0.00	$0.00
DOLLAR GENERAL CORP		256677105							
08/28/15	01/12/15	20	$1,491.01	$1,350.36	$140.65		$0.00	$0.00	$0.00
DREYFUS DIVERSIFIED EM MK-Y		26203E646							
09/02/15	12/30/14	57.06	$983.09	$1,145.69	$-162.60		$0.00	$0.00	$0.00
EOG RES INC		26875P101							
03/24/15	06/18/14	30	$2,669.40	$3,395.34	$-725.94		$0.00	$0.00	$0.00
EATON VANCE CORP COM NON VTG		278265103							
08/28/15	07/22/15	30	$1,048.84	$1,189.49	$-140.65		$0.00	$0.00	$0.00
12/11/15	07/22/15	30	$984.49	$1,189.48	$-204.99		$0.00	$0.00	$0.00
EDWARDS LIFESCIENCES CORP		28176E108							
08/28/15	01/12/15	32	$4,600.94	$4,326.81	$274.13		$0.00	$0.00	$0.00
09/21/15	01/12/15	10	$1,411.65	$1,352.13	$59.52		$0.00	$0.00	$0.00
09/22/15	01/12/15	25	$3,507.53	$3,380.32	$127.21		$0.00	$0.00	$0.00

Short Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION		CUSIP							
EDWARDS LIFESCIENCES CORP		28176E108							
10/26/15	01/12/15	6	$882.24	$811.28	$70.96		$0.00	$0.00	$0.00
ELECTRONIC ARTS		285512109							
08/28/15	02/18/15	80	$5,443.27	$4,596.42	$846.85		$0.00	$0.00	$0.00
EXXON MOBIL CORP		30231G102							
08/28/15	01/12/15	10	$745.71	$901.53	$-155.82		$0.00	$0.00	$0.00
FACEBOOK INC		30303M102							
07/22/15	08/20/14	30	$2,898.12	$2,241.99	$656.13		$0.00	$0.00	$0.00
FOUR CORNERS PROPERTY TRUST		35086T109							
11/19/15	07/22/15	0.33	$6.33	$8.13	$-1.80		$0.00	$0.00	$0.00
11/24/15	07/22/15	23	$451.46	$560.98	$-109.52		$0.00	$0.00	$0.00
GENERAL DYNAMICS CORPORATION COM		369550108							
08/28/15	01/12/15	36	$5,177.85	$4,967.59	$210.26		$0.00	$0.00	$0.00
GENERAL GROWTH PROPERTIES		370023103							
08/28/15	07/22/15	160	$4,150.66	$4,286.69	$-136.03		$0.00	$0.00	$0.00
HCA HOLDINGS INC		40412C101							
08/28/15	06/23/15	50	$4,437.53	$4,210.67	$226.86		$0.00	$0.00	$0.00
HOME DEPOT INC USD 0.05		437076102							
08/28/15	07/22/15	15	$1,760.25	$1,714.92	$45.33		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
HOST MARRIOTT CORP NEW		44107P104							
08/28/15	09/16/14	60	$1,090.91	$1,324.32	$-233.41		$0.00	$0.00	$0.00
08/28/15	09/17/14	160	$2,909.08	$3,536.19	$-627.11		$0.00	$0.00	$0.00
HUNTINGTON INGALLS INDUSTRIES		446413106							
08/28/15	06/23/15	18	$2,040.66	$2,135.78	$-95.12		$0.00	$0.00	$0.00
10/26/15	06/23/15	26	$2,801.92	$3,085.02	$-283 10		$0.00	$0.00	$0.00
IHS INC		451734107							
05/21/15	04/23/15	17	$2,091.30	$2,111.40	$-20.10		$0.00	$0.00	$0.00
06/23/15	04/23/15	14	$1,821.20	$1,738.80	$82.40		$0.00	$0.00	$0.00
ILLINOIS TOOL WORKS INC COM		452308109							
08/28/15	04/23/15	10	$847.30	$949.28	$-101.98		$0.00	$0.00	$0.00
J P MORGAN CHASE & CO		46625H100							
08/28/15	07/22/15	100	$6,389.09	$6,965.98	$-576.89		$0.00	$0.00	$0.00
08/28/15	01/12/15	50	$3,194.55	$2,939.81	$254.74		$0.00	$0.00	$0.00
KIRBY CORP		497266106							
02/18/15	03/04/14	11	$873.94	$1,140.57	$-266.63		$0.00	$0.00	$0.00
ESTEE LAUDER COMPANIES INC CL A		518439104							
08/28/15	05/21/15	10	$801.92	$894.66	$-92.74		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
LINCOLN ELEC HLDGS INC		533900106							
08/28/15	04/23/15	10	$583.21	$676.83	$-93.62		$0.00	$0.00	$0.00
11/24/15	04/23/15	20	$1,127.12	$1,353.65	$-226.53		$0.00	$0.00	$0.00
LOCKHEED MARTIN CORP		539830109							
04/23/15	07/24/14	11	$2,175.64	$1,866.20	$309.44		$0.00	$0.00	$0.00
MASCO CORPORATION		574599106							
01/12/15	03/04/14	10	$251.90	$233.08	$18.82		$0.00	$0.00	$0.00
MERCK & CO INC		58933Y105							
08/28/15	01/12/15	30	$1,649.43	$1,871.11	$-221.68		$0.00	$0.00	$0.00
MICROSOFT CORP COM		594918104							
08/28/15	09/16/14	40	$1,746.45	$1,872.96	$-126.51		$0.00	$0.00	$0.00
08/28/15	01/12/15	50	$2,183.07	$2,335.11	$-152.04		$0.00	$0.00	$0.00
MONDELEZ INTERNATIONAL INC		609207105							
02/18/15	09/16/14	200	$7,384.72	$7,119.22	$265.50		$0.00	$0.00	$0.00
05/21/15	09/16/14	40	$1,615.52	$1,423.84	$191.68		$0.00	$0.00	$0.00
05/21/15	10/17/14	110	$4,442.69	$3,589.19	$853.50		$0.00	$0.00	$0.00
MOSAIC CO/THE		61945C103							
08/28/15	06/23/15	90	$3,653.23	$4,076.45	$-423.22		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION / Date sold or disposed	Date acquired	CUSIP / Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
NATIONAL-OILWELL INC		637071101							
07/22/15	01/12/15	30	$1,274.99	$1,756.71	$-481.72		$0.00	$0.00	$0.00
08/28/15	01/12/15	30	$1,242.64	$1,756.71	$-514.07		$0.00	$0.00	$0.00
09/21/15	01/12/15	10	$373.00	$585.57	$-212.57		$0.00	$0.00	$0.00
09/22/15	01/12/15	40	$1,481.71	$2,342.28	$-860.57		$0.00	$0.00	$0.00
NEXTERA ENERGY INC		65339F101							
08/28/15	02/18/15	43	$4,345.16	$4,517.92	$-172.76		$0.00	$0.00	$0.00
OLD DOMINION FGHT LINES INC		679580100							
08/28/15	05/21/15	20	$1,344.82	$1,432.91	$-88.09		$0.00	$0.00	$0.00
12/11/15	05/21/15	40	$2,366.59	$2,865.81	$-499.22		$0.00	$0.00	$0.00
PEPSICO INC		713448108							
08/28/15	03/24/15	40	$3,723.22	$3,855.85	$-132.63		$0.00	$0.00	$0.00
PHILLIPS 66		718546104							
02/18/15	10/17/14	30	$2,269.82	$2,133.50	$136.32		$0.00	$0.00	$0.00
PILGRIM'S PRIDE CORP		72147K108							
05/21/15	02/18/15	20	$522.16	$571.18	$-49.02		$0.00	$0.00	$0.00
05/21/15	01/12/15	130	$3,394.05	$4,313.91	$-919.86		$0.00	$0.00	$0.00
06/23/15	10/17/14	40	$1,019.27	$1,121.89	$-102.62		$0.00	$0.00	$0.00
06/23/15	02/18/15	40	$1,019.27	$1,142.36	$-123.09		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
PILGRIM'S PRIDE CORP		72147K108							
08/28/15	10/17/14	40	$824.46	$1,121.89	$-297.43		$0.00	$0.00	$0.00
POST PPTYS INC COM		737464107							
08/28/15	03/24/15	20	$1,130.02	$1,166.54	$-36.52		$0.00	$0.00	$0.00
PROCTER & GAMBLE CO COM		742718109							
08/28/15	05/21/15	10	$709.41	$803.79	$-94.38		$0.00	$0.00	$0.00
PROLOGIS INC		74340W103							
07/22/15	03/24/15	230	$9,204.02	$10,251.22	$-1,047.20		$0.00	$0.00	$0.00
PRUDENTIAL FINL INC		744320102							
08/28/15	10/17/14	20	$1,610.81	$1,614.62	$-3.81		$0.00	$0.00	$0.00
PUBLIC SERVICE ENTERPRISE GROUP INC CC		744573106							
08/28/15	04/23/15	30	$1,197.94	$1,260.97	$-63.03		$0.00	$0.00	$0.00
11/24/15	04/23/15	50	$1,946.77	$2,101.61	$-154.84		$0.00	$0.00	$0.00
12/11/15	04/23/15	20	$748.03	$840.65	$-92.62		$0.00	$0.00	$0.00
QUALCOMM INC		747525103							
03/24/15	08/20/14	10	$691.70	$765.39	$-73.69		$0.00	$0.00	$0.00
ROCKWELL INTL CORP NEW		773903109							
08/28/15	01/12/15	17	$1,892.61	$1,805.44	$87.17		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
SPX CORP COM		784635104							
05/21/15	09/16/14	33	$2,546.51	$3,363.07	$-816.56		$0.00	$0.00	$0.00
05/21/15	09/17/14	22	$1,697.67	$2,247.47	$-549.80		$0.00	$0.00	$0.00
SCHLUMBERGER LIMITED COM		806857108							
08/28/15	06/23/15	20	$1,513.01	$1,755.32	$-242.31		$0.00	$0.00	$0.00
08/28/15	05/21/15	40	$3,026.03	$3,703.81	$-677.78		$0 00	$0.00	$0.00
SOUTHWEST AIRLINES CO		844741108							
01/12/15	05/28/14	50	$2,006.64	$1,321.81	$684.83		$0.00	$0.00	$0.00
SPIRIT AEROSYSTEMS HLDGS INC		848574109							
08/28/15	05/21/15	20	$1,036.02	$1,077.73	$-41.71		$0.00	$0.00	$0.00
DREYFUS INTENATION STOCK-Y		86271F537							
09/02/15	12/31/14	155.96	$2,155.42	$2,263.04	$-107.62		$0.00	$0.00	$0.00
DREYFUS US EQUITY FUND-Y		86271F552							
09/02/15	12/31/14	736.24	$13,760.31	$14,533.36	$-773.05		$0.00	$0.00	$0.00
DREYFUS SELECT MGER S/C VL-Y		86271F560							
12/22/15	12/31/14	35.58	$746.07	$809.04	$-62.97		$0.00	$0.00	$0.00
12/22/15	03/25/15	0.42	$8.85	$9.76	$-0.91		$0.00	$0.00	$0.00
TCW EMERGING MARKETS INCOME FUND-I		87234N765							
12/22/15	07/31/15	46.16	$344.35	$359.30 *	$-14.95		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION	CUSIP								
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
TCW EMERGING MARKETS INCOME FUND-I	87234N765								
12/22/15	05/29/15	44.66	$333.19	$355.95 *	$-22.76		$0.00	$0.00	$0.00
12/22/15	09/02/15	1182	$8,817.72	$9,028.25 *	$-210.53		$0.00	$0.00	$0.00
12/22/15	11/02/15	45.24	$337.45	$347.77 *	$-10.32		$0.00	$0.00	$0.00
12/22/15	11/30/15	0.96	$7.17	$7.33	$-0.16		$0.00	$0.00	$0.00
12/22/15	12/30/14	43.26	$322.75	$347.75 *	$-25.00		$0.00	$0.00	$0.00
12/22/15	04/30/15	44.08	$328.86	$354.31 *	$-25.45		$0.00	$0.00	$0.00
12/22/15	08/31/15	47.15	$351.77	$361.02 *	$-9.25		$0.00	$0.00	$0.00
12/22/15	06/30/15	45.84	$341.94	$357.60 *	$-15.66		$0.00	$0.00	$0.00
12/22/15	01/30/15	44.05	$328.64	$349.37 *	$-20.73		$0.00	$0.00	$0.00
12/22/15	02/27/15	44.08	$328.84	$351.01 *	$-22.17		$0.00	$0.00	$0.00
12/22/15	03/31/15	44.55	$332.37	$352.65 *	$-20.28		$0.00	$0.00	$0.00
12/30/15	11/30/15	44.81	$334.72	$341.89	$-7.17		$0.00	$0.00	$0.00
12/30/15	09/30/15	46.2	$345.08	$346.29 *	$-1.21		$0.00	$0.00	$0.00
TARGET CORP	87612E106								
08/28/15	07/22/15	60	$4,698.04	$4,970.10	$-272.06		$0.00	$0.00	$0.00
TAUBMAN CTRS INC COM	876664103								
08/28/15	09/17/14	40	$2,824.03	$2,958.14 *	$-134.11		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
TELEFLEX INC		879369106							
08/28/15	01/12/15	18	$2,377.07	$1,999 60	$377.47		$0.00	$0.00	$0.00
09/21/15	01/12/15	7	$944.49	$777.62	$166.87		$0.00	$0.00	$0.00
09/22/15	01/12/15	16	$2,129.68	$1,777.43	$352.25		$0.00	$0.00	$0.00
TESORO PETROLEUM CORPORATION COMMC		881609101							
07/22/15	03/24/15	20	$1,994.08	$1,805.60	$188.48		$0.00	$0.00	$0.00
08/28/15	03/24/15	50	$4,627.02	$4,513.99	$113.03		$0.00	$0.00	$0.00
11/24/15	03/24/15	10	$1,178.52	$902.80	$275.72		$0.00	$0.00	$0.00
TEXAS INSTRUMENTS INC		882508104							
08/28/15	07/22/15	50	$2,388.56	$2,430.51	$-41.95		$0.00	$0.00	$0.00
TEXTRON INCORPORATED COMMON		883203101							
08/28/15	10/17/14	90	$3,561.42	$3,330.69	$230.73		$0.00	$0.00	$0.00
3M CO		88579Y101							
08/28/15	05/21/15	10	$1,431.69	$1,621.88	$-190.19		$0.00	$0.00	$0.00
TIME WARNER INC.		887317303							
08/28/15	04/23/15	30	$2,167.22	$2,560.07	$-392.85		$0.00	$0.00	$0.00
TIMKEN CO		887389104							
05/21/15	01/13/15	60	$2,430.72	$2,492.24	$-61.52		$0.00	$0.00	$0.00
05/21/15	01/12/15	40	$1,620.48	$1,648.35	$-27.87		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
TIMKEN CO		887389104							
08/28/15	01/12/15	40	$1,247.30	$1,648.35	$-401.05		$0.00	$0.00	$0.00
11/24/15	01/12/15	50	$1,605.93	$2,060.43	$-454.50		$0.00	$0.00	$0.00
TWENTY FIRST CENTURY FOX INC		90130A101							
08/28/15	01/12/15	160	$4,448.24	$5,664.45	$-1,216.21		$0.00	$0.00	$0.00
UNION PACIFIC CORP		907818108							
08/28/15	01/12/15	60	$5,183.44	$6,830.25	$-1,646.81		$0.00	$0.00	$0.00
VERISIGN INC		92343E102							
08/28/15	02/18/15	60	$4,162.25	$3,762.93	$399.32		$0.00	$0.00	$0.00
11/24/15	02/18/15	60	$5,274.51	$3,762.93	$1,511.58		$0.00	$0.00	$0.00
VIACOM INC NEW		92553P201							
04/23/15	01/12/15	40	$2,841.82	$2,902.77	$-60.95		$0.00	$0.00	$0.00
WAL MART STORES INC		931142103							
08/28/15	04/23/15	60	$3,884.46	$4,759.53	$-875.07		$0.00	$0.00	$0.00
WESTLAKE CHEM CORP		960413102							
03/24/15	10/17/14	50	$3,355.18	$3,661.48	$-306.30		$0.00	$0.00	$0.00
04/23/15	10/17/14	60	$4,568.39	$4,393.77	$174.62		$0.00	$0.00	$0.00
WEYERHAEUSER CO COM		962166104							
06/23/15	04/23/15	60	$1,932.30	$1,916.72	$15.58		$0.00	$0.00	$0.00

Short Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ZOETIS INC		**98978V103**							
08/28/15	06/23/15	20	$908.22	$1,008.13	$-99.91		$0.00	$0.00	$0.00
ACTAVIS PLC		**G0083B108**							
03/24/15	03/17/15	29	$8,989.75	$8,892.13	$97.62		$0.00	$0.00	$0.00
04/09/15	03/17/15	0.46	$137.79	$142.27	$-4.48		$0.00	$0.00	$0.00
BUNGE LIMITED		**G16962105**							
08/28/15	05/21/15	20	$1,447.21	$1,837.59	$-390.38		$0.00	$0.00	$0.00
Total Short Term Gain/Loss			*$475,107.51*	*$493,156.88*	*$-18,049.37*		*$0.00*	*$0.00*	*$0.00*

(DESCRIPTION / CUSIP)

Long Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AT&T INC		**00206R102**							
04/23/15	07/18/13	100	$3,422.87	$3,582.79	$-159.92		$0.00	$0.00	$0.00
05/21/15	06/29/10	20	$699.71	$488.80	$210.91		$0.00	$0.00	$0.00
05/21/15	10/14/13	60	$2,099.13	$2,039.88	$59.25		$0.00	$0.00	$0.00
05/21/15	01/15/09	365	$12,769.69	$8,929.98	$3,839.71		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ABBOTT LABORATORIES		002824100							
08/28/15	07/18/13	60	$2,745.68	$2,157.18	$588.50		$0.00	$0.00	$0.00
ABBVIE INC		00287Y109							
09/22/15	10/14/13	10	$574.52	$452.28	$122.24		$0.00	$0.00	$0.00
09/22/15	09/27/13	30	$1,723.57	$1,330.46	$393.11		$0.00	$0.00	$0.00
10/26/15	07/18/13	50	$2,586.22	$2,203.90	$382.32		$0.00	$0.00	$0.00
ALLERGAN INC COM		018490102							
03/20/15	10/14/13	10	$2,421.50	$894.68	$1,526.82		$0.00	$0.00	$0.00
03/20/15	07/18/13	70	$16,950.50	$6,409.76	$10,540.74		$0.00	$0.00	$0.00
ALTRIA GROUP INC		02209S103							
08/28/15	07/18/13	150	$8,103.17	$5,548.18	$2,554.99		$0.00	$0.00	$0.00
AMGEN INC		031162100							
08/28/15	07/18/13	52	$8,040.21	$5,451.32	$2,588.89		$0.00	$0.00	$0.00
08/28/15	10/14/13	2	$309.24	$220.71	$88.53		$0.00	$0.00	$0.00
ANSYS INC		03662Q105							
05/21/15	03/24/14	30	$2,706.77	$2,222.81	$483.96		$0.00	$0.00	$0.00
ANTHEM INC		036752103							
03/24/15	11/19/13	16	$2,517.36	$1,468.49	$1,048.87		$0.00	$0.00	$0.00
08/28/15	12/12/13	31	$4,315.80	$2,757.43	$1,558.37		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ANTHEM INC		036752103							
08/28/15	11/19/13	4	$556.88	$367.12	$189.76		$0.00	$0.00	$0.00
APPLE COMPUTER INC COM		037833100							
08/28/15	02/19/10	23	$2,588.42	$663.29	$1,925.13		$0.00	$0.00	$0.00
ARCHER DANIELS MIDLAND CO COM		039483102							
08/28/15	11/19/13	70	$3,105.29	$2,894.04	$211.25		$0.00	$0.00	$0.00
11/24/15	11/19/13	40	$1,431.21	$1,653.73	$-222.52		$0.00	$0.00	$0.00
11/24/15	12/12/13	90	$3,220.23	$3,666.59	$-446.36		$0.00	$0.00	$0.00
BNY MELLON INCOME STK FD CL M		05569M301							
09/02/15	06/28/13	74.92	$634.61	$619.62	$14.99		$0.00	$0.00	$0.00
09/02/15	08/30/13	22.05	$186.80	$185.03	$1.77		$0.00	$0.00	$0.00
09/02/15	09/30/13	46.95	$397.67	$404.24	$-6.57		$0.00	$0.00	$0.00
09/02/15	12/09/13	497.56	$4,214.35	$4,448.21	$-233.86		$0.00	$0.00	$0.00
09/02/15	01/31/14	5.92	$50.15	$52.16	$-2.01		$0.00	$0.00	$0.00
09/02/15	02/28/14	38.02	$322.03	$347.88	$-25.85		$0.00	$0.00	$0.00
09/02/15	03/31/14	20.46	$173.33	$191.75	$-18.42		$0.00	$0.00	$0.00
09/02/15	04/30/14	9.28	$78.63	$87.26	$-8.63		$0.00	$0.00	$0.00
09/02/15	05/30/14	36.38	$308.12	$349.23	$-41.11		$0.00	$0.00	$0.00
09/02/15	06/30/14	46.38	$392.80	$454.95	$-62.15		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
BNY MELLON INCOME STK FD CL M		05569M301							
09/02/15	07/31/14	18.29	$154.95	$175.44	$-20.49		$0.00	$0.00	$0.00
09/02/15	08/29/14	29.86	$252.91	$296.81	$-43.90		$0.00	$0.00	$0.00
09/02/15	02/18/10	5695.78	$48,243.25	$32,864.64	$15,378.61		$0.00	$0.00	$0.00
09/02/15	03/28/13	45.78	$387.74	$366.22	$21.52		$0.00	$0.00	$0.00
09/02/15	05/31/13	46.22	$391.49	$384.10	$7.39		$0.00	$0.00	$0.00
09/02/15	04/30/13	8.14	$68.97	$66.77	$2.20		$0.00	$0.00	$0.00
BNY MELLON CORP BOND-M		05569M368							
12/22/15	12/15/14	49.88	$617.54	$639.49	$-21.95		$0.00	$0.00	$0.00
12/22/15	10/31/14	49.39	$611.44	$636.13	$-24.69		$0.00	$0.00	$0.00
12/22/15	11/28/14	46.59	$576.72	$601.41	$-24.69		$0.00	$0.00	$0.00
BNY MELLON S/T US GVT SECS FD CL M		05569M780							
09/02/15	06/30/14	6.7	$79.41	$80.21	$-0.80		$0.00	$0.00	$0.00
09/02/15	03/04/14	6665.29	$78,983.70	$80,050.14	$-1,066.44		$0.00	$0.00	$0.00
09/02/15	03/31/14	6.13	$72.58	$73.32	$-0.74		$0.00	$0.00	$0.00
09/02/15	04/30/14	6.68	$79.19	$80.06	$-0.87		$0.00	$0.00	$0.00
09/02/15	08/29/14	5.61	$66.42	$66.98	$-0.56		$0.00	$0.00	$0.00
09/02/15	07/31/14	6.72	$79.68	$80.29	$-0.61		$0.00	$0.00	$0.00
09/02/15	05/30/14	6.13	$72.60	$73.46	$-0.86		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
BNY MELLON INTERMEDIATE BOND FD CL M		05569M814							
09/02/15	03/04/14	1363.95	$17,131.26	$17,404.05	$-272.79		$0.00	$0.00	$0.00
09/02/15	05/30/14	51.03	$640.95	$652.69	$-11.74		$0.00	$0.00	$0.00
09/02/15	06/30/14	51.22	$643.26	$654.02	$-10.76		$0.00	$0.00	$0.00
BNY MELLON BOND FD CL M		05569M830							
09/02/15	03/04/14	9766	$124,418.84	$125,981.40	$-1,562.56		$0.00	$0.00	$0.00
12/22/15	03/04/14	3379	$42,811.93	$43,589.10	$-777.17		$0.00	$0.00	$0.00
BNY MELLON EMERGING MKTS FD CL M SHS		05569M855							
03/12/15	03/04/14	6507.59	$60,000.00	$60,976.14	$-976.14		$0.00	$0.00	$0.00
12/22/15	03/04/14	11619	$90,744.39	$108,870.03	$-18,125.64		$0.00	$0.00	$0.00
BANK AMER CORP		060505104							
08/28/15	09/02/10	30	$487.55	$397.50	$90.05		$0.00	$0.00	$0.00
08/28/15	06/29/10	50	$812.59	$725.49	$87.10		$0.00	$0.00	$0 00
08/28/15	10/14/13	120	$1,950.22	$1,689.36	$260.86		$0.00	$0.00	$0.00
08/28/15	09/13/10	130	$2,112.73	$1,821.07	$291.66		$0.00	$0.00	$0.00
08/28/15	12/21/12	110	$1,787.70	$1,243.15	$544.55		$0.00	$0.00	$0.00
08/28/15	09/13/10	100	$1,625.18	$1,396.18	$229.00		$0.00	$0.00	$0.00
BARD C R INCORPORATED		067383109							
06/23/15	06/18/14	41	$7,083.14	$5,678.29	$1,404.85		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
BED BATH & BEYOND INC		075896100							
08/28/15	03/04/14	30	$1,873.83	$2,049.54	$-175.71		$0.00	$0.00	$0.00
08/28/15	01/15/14	30	$1,873.83	$2,016.93	$-143.10		$0.00	$0.00	$0.00
BERKSHIRE HATHAWAY INC DEL CL B		084670702							
08/28/15	07/18/13	63	$8,510.65	$7,458.44	$1,052.21		$0.00	$0.00	$0.00
BIOGEN IDEC INC		09062X103							
08/28/15	07/24/14	5	$1,504.88	$1,686.96	$-182.08		$0.00	$0.00	$0.00
BOSTON SCIENTIFIC CORP COM		101137107							
06/23/15	05/28/14	460	$8,238.36	$6,062.61	$2,175.75		$0.00	$0.00	$0.00
08/28/15	05/28/14	110	$1,860.29	$1,449.76	$410.53		$0.00	$0.00	$0.00
11/24/15	05/28/14	150	$2,754.87	$1,976.94	$777.93		$0.00	$0.00	$0.00
CBS CORP NEW		124857202							
01/12/15	05/05/11	150	$8,198.63	$4,025.83	$4,172.80		$0.00	$0.00	$0.00
01/12/15	08/04/11	20	$1,093.15	$522.56	$570.59		$0.00	$0.00	$0.00
01/12/15	10/14/13	10	$546.58	$559.08	$-12.50		$0.00	$0.00	$0.00
CIGNA CORP COM		125509109							
08/28/15	05/28/14	24	$3,281.51	$2,156.64	$1,124.87		$0.00	$0.00	$0.00
CVS CORP		126650100							
08/28/15	04/17/13	85	$8,835.00	$4,859.78	$3,975.22		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CVS CORP		126650100							
08/28/15	10/14/13	10	$1,039.41	$591.08	$448.33		$0.00	$0.00	$0.00
CALIFORNIA RESOURCES CORP		13057Q107							
08/28/15	01/15/14	32	$107.90	$257.66	$-149.76		$0.00	$0.00	$0.00
08/28/15	03/04/14	8	$26.97	$67.43	$-40.46		$0.00	$0.00	$0.00
CATERPILLAR INC		149123101							
08/28/15	05/28/14	31	$2,339.28	$3,209.95	$-870.67		$0.00	$0.00	$0.00
10/26/15	10/17/14	61	$4,365.36	$5,786.10	$-1,420.74		$0.00	$0.00	$0.00
CHEVRONTEXACO CORP		166764100							
08/28/15	07/18/13	20	$1,606.81	$2,503.56	$-896.75		$0.00	$0.00	$0.00
11/24/15	10/14/13	5	$459.90	$586.19	$-126.29		$0.00	$0.00	$0.00
11/24/15	07/18/13	8	$735.84	$1,001.42	$-265.58		$0.00	$0.00	$0.00
CITIGROUP INC		172967424							
01/12/15	12/12/13	120	$6,028.43	$6,124.21	$-95.78		$0.00	$0.00	$0.00
01/12/15	11/19/13	70	$3,516.58	$3,584.72	$-68.14		$0.00	$0.00	$0.00
08/28/15	12/12/13	30	$1,589.13	$1,531.06	$58.07		$0.00	$0.00	$0.00
COCA-COLA CO USD		191216100							
01/12/15	07/18/13	50	$2,128.18	$2,043.90	$84.28		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
COMCAST CORP NEW CL A		20030N101							
08/28/15	07/18/13	90	$5,081.50	$3,987.71	$1,093.79		$0.00	$0.00	$0.00
CONOCOPHILLIPS		20825C104							
03/24/15	07/18/13	180	$11,268.56	$11,793.24	$-524.68		$0.00	$0.00	$0.00
CORNING INC COM		219350105							
08/28/15	08/20/14	200	$3,424.35	$4,156.20	$-731.85		$0.00	$0.00	$0.00
10/26/15	08/20/14	170	$2,932.82	$3,532.77	$-599.95		$0.00	$0.00	$0.00
11/24/15	10/17/14	100	$1,896.59	$1,782.68	$113.91		$0.00	$0.00	$0.00
11/24/15	08/20/14	10	$189.66	$207.81	$-18.15		$0.00	$0.00	$0.00
CORRECTIONS CORP AMER NEW		22025Y407							
03/24/15	07/18/13	60	$2,476.64	$1,967.59 *	$509.05		$0.00	$0.00	$0.00
03/25/15	07/18/13	90	$3,675.56	$2,951.38 *	$724.18		$0.00	$0.00	$0.00
05/21/15	07/18/13	60	$2,094.88	$1,959.51 *	$135.37		$0.00	$0.00	$0.00
COVANCE INC		222816100							
02/18/15	12/12/13	20	$2,141.47	$1,694.90	$446.57		$0.00	$0.00	$0.00
02/18/15	11/19/13	40	$4,282.93	$3,407.62	$875.31		$0.00	$0.00	$0.00
DST SYS INC DEL		233326107							
08/28/15	01/15/14	6	$620.52	$548.09	$72.43		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (Included in Net G/L)	Portion Subject to 28% Rates (Included in Net G/L)
DISNEY (WALT) COMPANY HOLDING CO.		254687106							
07/22/15	06/08/12	42	$4,997.48	$1,929.03	$3,068.45		$0.00	$0.00	$0.00
08/28/15	06/08/12	74	$7,577.62	$3,398.78	$4,178.84		$0.00	$0.00	$0.00
DREYFUS FLOATING RATE INC-Y		261949739							
12/22/15	01/02/14	145.96	$1,716.54	$1,839.15	$-122.61		$0.00	$0.00	$0.00
12/22/15	03/04/14	736.04	$8,655.78	$9,296.14	$-640.36		$0.00	$0.00	$0.00
DREYFUS PREM LT TRM HI YLD-R		261980759							
12/22/15	10/14/13	1020.63	$5,909.45	$6,807.60	$-898.15		$0.00	$0.00	$0.00
12/22/15	08/30/12	253.81	$1,469.57	$1,652.32	$-182.75		$0.00	$0.00	$0.00
12/22/15	03/20/13	386.56	$2,238.17	$2,609.27	$-371.10		$0.00	$0.00	$0.00
DREYFUS DIVERSIFIED EM MK-Y		26203E646							
09/02/15	03/04/14	616.94	$10,629.93	$12,227.81	$-1,597.88		$0.00	$0.00	$0.00
12/22/15	03/04/14	926	$16,279.08	$18,353.32	$-2,074 24		$0.00	$0.00	$0.00
DREYFUS/NEWTON INTERN EQTY-Y		26203E695							
09/02/15	03/04/14	429	$8,086.65	$8,696.00	$-609.35		$0.00	$0.00	$0.00
DUKE ENERGY CORP NEW COM		26441C204							
04/23/15	03/24/14	110	$8,681.40	$7,635.00	$1,046.40		$0.00	$0.00	$0.00
EOG RES INC		26875P101							
06/23/15	06/18/14	50	$4,400.89	$5,658.90	$-1,258.01		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
EOG RES INC		26875P101							
06/23/15	03/04/14	6	$528.11	$576.86	$-48.75		$0.00	$0.00	$0.00
06/23/15	01/15/14	20	$1,760.36	$1,731.26	$29.10		$0.00	$0.00	$0.00
EQT CORP COM		26884L109							
01/12/15	11/19/13	78	$5,659.95	$6,588.45	$-928.50		$0.00	$0.00	$0.00
EMERSON ELECTRIC COMPANY		291011104							
08/28/15	07/18/13	10	$478.51	$573.36	$-94.85		$0.00	$0.00	$0.00
08/28/15	10/14/13	10	$478.51	$650.18	$-171.67		$0.00	$0.00	$0.00
11/24/15	07/18/13	20	$1,000.22	$1,146.73	$-146.51		$0.00	$0.00	$0.00
ENTERGY CORP NEW COM		29364G103							
08/28/15	06/18/14	50	$3,271.55	$3,995.53	$-723.98		$0.00	$0.00	$0.00
10/26/15	06/18/14	50	$3,422.17	$3,995.52	$-573.35		$0.00	$0.00	$0.00
10/26/15	07/24/14	20	$1,368.87	$1,526.63	$-157.76		$0.00	$0.00	$0.00
EXXON MOBIL CORP		30231G102							
08/28/15	02/19/10	40	$2,982.83	$2,623.60	$359.23		$0.00	$0.00	$0.00
08/28/15	07/18/13	70	$5,219.96	$6,591.05	$-1,371.09		$0.00	$0.00	$0.00
08/28/15	10/14/13	30	$2,237.12	$2,599.14	$-362.02		$0.00	$0.00	$0.00
FACEBOOK INC		30303M102							
07/22/15	05/28/14	100	$9,660.40	$6,363.94	$3,296.46		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FACEBOOK INC		30303M102							
07/22/15	06/18/14	40	$3,864.16	$2,617.46	$1,246.70		$0.00	$0.00	$0.00
07/22/15	03/04/14	10	$966.04	$682.88	$283.16		$0.00	$0.00	$0.00
07/22/15	02/20/14	10	$966.04	$684.24	$281.80		$0.00	$0.00	$0.00
08/28/15	05/28/14	10	$904.40	$636.39	$268.01		$0.00	$0.00	$0.00
FRANKLIN RES INC COM		354613101							
08/28/15	07/18/13	80	$3,281.71	$3,904.15	$-622.44		$0.00	$0.00	$0.00
GENERAL ELECTRIC CO		369604103							
04/23/15	02/19/10	180	$4,850.82	$2,907.00	$1,943.82		$0.00	$0.00	$0.00
08/28/15	02/19/10	70	$1,748.72	$1,130.50	$618.22		$0.00	$0.00	$0.00
GILEAD SCIENCES INC		375558103							
08/28/15	07/24/14	44	$4,709.76	$3,997.71	$712.05		$0.00	$0.00	$0.00
GOOGLE INC		38259P508							
08/28/15	07/18/13	11	$7,200.82	$5,025.85	$2,174.97		$0.00	$0.00	$0.00
GOOGLE INC		38259P706							
05/22/15	07/18/13	0.07	$35.61	$30.06	$5.55		$0.00	$0.00	$0.00
08/28/15	07/18/13	11	$6,888.38	$5,025.22	$1,863.16		$0.00	$0.00	$0.00
HERSHEY FOODS CORPORATION COM		427866108							
01/12/15	07/18/13	70	$7,387.35	$6,437.05	$950.30		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
HERSHEY FOODS CORPORATION COM		427866108							
01/12/15	10/14/13	5	$527.67	$467.49	$60.18		$0.00	$0.00	$0.00
HEWLETT PACKARD COMPANY		428236103							
01/12/15	07/18/13	80	$3,191.66	$2,111.03	$1,080.63		$0.00	$0.00	$0.00
08/28/15	07/18/13	70	$1,949.62	$1,847.15	$102.47		$0.00	$0.00	$0.00
08/28/15	09/27/13	30	$835.55	$643.20	$192.35		$0.00	$0.00	$0.00
08/28/15	10/14/13	50	$1,392.58	$1,131.40	$261.18		$0.00	$0.00	$0.00
09/21/15	09/27/13	20	$528.15	$428.80	$99.35		$0.00	$0.00	$0.00
09/22/15	09/27/13	50	$1,300.65	$1,072.01	$228.64		$0.00	$0.00	$0.00
10/26/15	09/27/13	140	$3,926.37	$3,001.61	$924.76		$0.00	$0.00	$0.00
HOME DEPOT INC USD 0.05		437076102							
02/18/15	07/18/13	30	$3,335.32	$2,409.69	$925.63		$0.00	$0.00	$0.00
03/24/15	07/18/13	27	$3,145.52	$2,168.72	$976.80		$0.00	$0.00	$0.00
08/28/15	07/18/13	46	$5,398.10	$3,694.86	$1,703.24		$0.00	$0.00	$0.00
HONEYWELL INTL INC		438516106							
08/28/15	09/27/13	10	$996.90	$837.44	$159.46		$0.00	$0.00	$0.00
08/28/15	07/18/13	10	$996.90	$825.97	$170.93		$0.00	$0.00	$0.00
INTEL CORPORATION		458140100							
08/28/15	12/12/13	70	$1,990.91	$1,721.55	$269.36		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
INTUIT INC COM		461202103							
08/28/15	10/11/11	10	$862.01	$511.47	$350.54		$0.00	$0.00	$0.00
08/28/15	10/12/11	10	$862.01	$517.65	$344.36		$0.00	$0.00	$0.00
JOHNSON & JOHNSON COM		478160104							
08/28/15	10/14/13	10	$946.07	$888.08	$57.99		$0.00	$0.00	$0.00
08/28/15	06/15/12	100	$9,460.66	$6,587.69	$2,872.97		$0.00	$0.00	$0.00
08/28/15	03/18/13	10	$946.07	$789.27	$156.80		$0.00	$0.00	$0.00
KIRBY CORP		497266106							
02/18/15	01/15/14	60	$4,766.97	$5,983.18	$-1,216.21		$0.00	$0.00	$0.00
KROGER COMPANY COMMON		501044101							
08/28/15	01/15/14	130	$4,539.79	$2,575.96	$1,963.83		$0.00	$0.00	$0.00
09/21/15	01/15/14	30	$1,096.91	$594.45	$502.46		$0.00	$0.00	$0.00
09/22/15	07/18/13	60	$2,159.98	$1,161.24	$998.74		$0.00	$0.00	$0.00
09/22/15	01/15/14	20	$719.99	$396.30	$323.69		$0.00	$0.00	$0.00
LAS VEGAS SANDS CORP		517834107							
08/28/15	06/18/14	50	$2,346.07	$3,721.03	$-1,374.96		$0.00	$0.00	$0.00
09/21/15	06/18/14	20	$892.06	$1,488.41	$-596.35		$0.00	$0.00	$0.00
09/22/15	08/20/14	10	$433.13	$691.81	$-258.68		$0.00	$0.00	$0.00
09/22/15	07/18/13	40	$1,732.51	$2,201.58	$-469.07		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ELI LILLY & CO COM		532457108							
06/23/15	12/21/12	10	$826.65	$492.20	$334.45		$0.00	$0.00	$0.00
06/23/15	10/14/13	20	$1,653.30	$969.96	$683.34		$0.00	$0.00	$0.00
LOCKHEED MARTIN CORP		539830109							
04/23/15	03/24/14	53	$10,482.63	$8,394.71	$2,087.92		$0.00	$0.00	$0.00
LOWES COMPANIES INC COM		548661107							
01/12/15	09/27/13	10	$679.84	$479.28	$200.56		$0.00	$0.00	$0.00
01/12/15	08/27/13	20	$1,359.68	$928.44	$431.24		$0.00	$0.00	$0.00
08/28/15	08/27/13	90	$6,141.68	$4,178.00	$1,963.68		$0.00	$0.00	$0.00
MACYS INC		55616P104							
01/12/15	07/18/13	40	$2,630.30	$1,980.72	$649.58		$0.00	$0.00	$0.00
01/12/15	10/14/13	10	$657.58	$424.98	$232.60		$0.00	$0.00	$0.00
MARATHON PETROLEUM CORP		56585A102							
08/28/15	07/18/13	110	$5,140.44	$3,846.58	$1,293.86		$0.00	$0.00	$0.00
10/26/15	07/18/13	20	$976.98	$699.38	$277.60		$0.00	$0.00	$0.00
11/24/15	07/18/13	20	$1,144.83	$699.38	$445.45		$0.00	$0.00	$0.00
MASCO CORPORATION		574599106							
01/12/15	12/12/13	260	$6,549.39	$5,609.66	$939.73		$0.00	$0.00	$0.00
01/12/15	11/19/13	110	$2,770.89	$2,340.17	$430.72		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
MASTERCARD INC		57636Q104							
02/18/15	07/18/13	100	$8,743.54	$5,958.47	$2,785.07		$0.00	$0.00	$0.00
MEDTRONIC INC		585055106							
01/12/15	07/18/13	200	$14,915.17	$10,937.60	$3,977.57		$0.00	$0.00	$0.00
MERCK & CO INC		58933Y105							
08/28/15	08/20/14	100	$5,498.11	$5,956.18	$-458.07		$0.00	$0.00	$0.00
METTLER-TOLEDO INTL INC		592688105							
08/28/15	07/18/13	12	$3,615.57	$2,562.33	$1,053.24		$0.00	$0.00	$0.00
MICROSOFT CORP COM		594918104							
08/28/15	07/18/13	40	$1,746.45	$1,426.72	$319.73		$0.00	$0.00	$0.00
MICRON TECHNOLOGY		595112103							
08/28/15	01/15/14	100	$1,561.18	$2,368.80	$-807.62		$0.00	$0.00	$0.00
08/28/15	03/04/14	80	$1,248.95	$1,999.02	$-750.07		$0.00	$0.00	$0.00
09/21/15	01/15/14	70	$1,098.62	$1,658.16	$-559.54		$0.00	$0.00	$0.00
09/22/15	01/15/14	150	$2,276.44	$3,553.20	$-1,276.76		$0.00	$0.00	$0.00
MONSTER BEVERAGE CORP		61174X109							
08/28/15	07/24/14	18	$2,507.02	$1,200.87	$1,306.15		$0.00	$0.00	$0.00
12/22/15	07/24/14	42	$6,132.39	$2,802.04	$3,330.35		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	Units (CUSIP)	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
MOODYS CORP		**615369105**							
08/28/15	04/30/12	11	$1,143.23	$455.30	$687.93		$0.00	$0.00	$0.00
NATIONAL-OILWELL INC		**637071101**							
07/22/15	03/24/14	50	$2,124.98	$3,344.49	$-1,219.51		$0.00	$0.00	$0.00
NAVIENT CORP W/D		**63938C108**							
08/28/15	07/18/13	40	$516.07	$638.66	$-122.59		$0.00	$0.00	$0.00
10/26/15	07/18/13	60	$800.88	$958.00	$-157.12		$0.00	$0.00	$0.00
NETAPP INC		**64110D104**							
02/18/15	08/27/13	40	$1,518.65	$1,661.41	$-142.76		$0.00	$0.00	$0.00
02/18/15	10/18/13	40	$1,518.65	$1,654.33	$-135.68		$0.00	$0.00	$0.00
02/18/15	10/14/13	20	$759.32	$828.41	$-69.09		$0.00	$0.00	$0.00
02/18/15	09/27/13	100	$3,796.62	$4,296.74	$-500.12		$0.00	$0.00	$0.00
NEXTERA ENERGY INC		**65339F101**							
08/28/15	12/12/13	5	$505.25	$416.93	$88.32		$0.00	$0.00	$0.00
NORTHROP GRUMMAN CORP		**666807102**							
01/12/15	07/18/13	90	$13,615.27	$7,903.17	$5,712.10		$0.00	$0.00	$0.00
01/12/15	10/14/13	4	$605.12	$390.19	$214.93		$0.00	$0.00	$0.00
OCCIDENTAL PETROLEUM CORPORATION CO		**674599105**							
08/28/15	03/04/14	20	$1,430.22	$1,866.53	$-436.31		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
OCCIDENTAL PETROLEUM CORPORATION CO		674599105							
08/28/15	01/15/14	80	$5,720.86	$7,132.88	$-1,412.02		$0.00	$0.00	$0.00
ORACLE CORPORATION		68389X105							
01/12/15	02/19/10	290	$12,528.80	$7,084.70	$5,444.10		$0.00	$0.00	$0.00
01/12/15	10/14/13	10	$432.03	$331.18	$100.85		$0.00	$0.00	$0.00
01/12/15	07/18/13	70	$3,024.19	$2,267.85	$756.34		$0.00	$0.00	$0.00
03/24/15	09/02/10	20	$876.96	$450.90	$426.06		$0.00	$0.00	$0.00
03/24/15	06/29/10	20	$876.96	$435.60	$441.36		$0.00	$0.00	$0.00
03/24/15	02/19/10	70	$3,069.35	$1,710.10	$1,359.25		$0.00	$0.00	$0.00
PPG INDUSTRIES INC		693506107							
01/12/15	07/18/13	12	$2,713.83	$1,908.09	$805.74		$0.00	$0.00	$0.00
PEPSICO INC		713448108							
08/28/15	05/06/09	40	$3,723.22	$1,986.70	$1,736.52		$0.00	$0.00	$0.00
PFIZER INC COM		717081103							
08/28/15	03/12/10	280	$9,122.82	$4,790.77	$4,332.05		$0.00	$0.00	$0.00
08/28/15	08/20/14	20	$651.63	$579.13	$72.50		$0.00	$0.00	$0.00
08/28/15	10/14/13	10	$325.81	$286.08	$39.73		$0 00	$0.00	$0.00
PHILLIPS 66		718546104							
07/22/15	07/18/13	20	$1,645.58	$1,161.36	$484.22		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
PILGRIM'S PRIDE CORP		72147K108							
12/11/15	10/17/14	50	$1,073.06	$1,402.37	$-329.31		$0.00	$0.00	$0.00
PRICE T ROWE GROUP INC		74144T108							
08/28/15	07/24/14	20	$1,442.21	$1,609.59	$-167.38		$0.00	$0.00	$0.00
08/28/15	10/14/13	10	$721.11	$741.21	$-20.10		$0.00	$0.00	$0.00
08/28/15	01/20/12	20	$1,442.21	$1,201.26	$240.95		$0.00	$0.00	$0.00
PROCTER & GAMBLE CO COM		742718109							
08/28/15	07/18/13	20	$1,418.81	$1,610.86	$-192.05		$0.00	$0.00	$0.00
PUBLIC STORAGE INC COM		74460D109							
08/28/15	07/18/13	25	$5,169.20	$4,047.94	$1,121.26		$0.00	$0.00	$0.00
QUALCOMM INC		747525103							
03/24/15	03/04/14	90	$6,225.30	$6,886.62	$-661.32		$0.00	$0.00	$0.00
03/24/15	02/20/14	120	$8,300.41	$9,120.36	$-819.95		$0.00	$0.00	$0.00
RAYTHEON CO		755111507							
08/28/15	08/20/14	40	$4,154.40	$3,868.73	$285.67		$0.00	$0.00	$0.00
SANDISK CORP COM		80004C101							
04/23/15	08/27/13	30	$2,067.22	$1,659.06	$408.16		$0.00	$0.00	$0.00
05/21/15	08/27/13	30	$2,023.06	$1,659.06	$364.00		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION / Date sold or disposed	Date acquired	CUSIP / Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
SCOTTS COMPANY		810186106							
08/28/15	11/19/13	40	$2,514.83	$2,356.50	$158.33		$0.00	$0.00	$0.00
SIMON PPTY GROUP INC NEW		828806109							
08/28/15	07/18/13	57	$10,519.28	$8,741.15	$1,778.13		$0.00	$0.00	$0.00
SOUTHWEST AIRLINES CO		844741108							
08/28/15	05/28/14	130	$4,797.17	$3,436.69	$1,360.48		$0.00	$0.00	$0.00
DREYFUS INTENATION STOCK-Y		86271F537							
09/02/15	03/04/14	4329.92	$59,839.43	$65,197.14	$-5,357.71		$0.00	$0.00	$0.00
09/02/15	05/04/10	537.12	$7,423.01	$6,466.92	$956.09		$0.00	$0.00	$0.00
DREYFUS US EQUITY FUND-Y		86271F552							
09/02/15	04/18/13	7087.76	$132,470.25	$117,444.20	$15,026.05		$0.00	$0.00	$0.00
STRYKER CORP		863667101							
08/28/15	07/18/13	20	$1,986.40	$1,393.96	$592.44		$0.00	$0.00	$0.00
TCW EMERGING MARKETS INCOME FUND-I		87234N765							
12/22/15	11/28/14	41.24	$307.67	$346.39 *	$-38.72		$0.00	$0.00	$0.00
12/22/15	11/03/14	40.36	$301.06	$344.98 *	$-43.92		$0.00	$0.00	$0.00
12/22/15	03/04/14	9881.63	$73,716.95	$83,091.12 *	$-9,374.17		$0.00	$0.00	$0.00
TESORO PETROLEUM CORPORATION COMMC		881609101							
11/24/15	10/17/14	1	$117.85	$60.10	$57.75		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
TEXTRON INCORPORATED COMMON		883203101							
11/24/15	10/17/14	70	$3,030.70	$2,590.54	$440.16		$0.00	$0.00	$0.00
11/25/15	10/17/14	50	$2,159.35	$1,850.39	$308.96		$0.00	$0.00	$0.00
TIME WARNER INC.		887317303							
08/28/15	08/20/14	20	$1,444.82	$1,541.55	$-96.73		$0.00	$0.00	$0.00
TRAVELERS COS INC		89417E109							
08/28/15	07/18/13	50	$5,001.02	$4,233.40	$767.62		$0.00	$0.00	$0.00
TYSON FOODS INC CL A		902494103							
08/28/15	07/24/14	140	$5,938.98	$5,559.48	$379.50		$0.00	$0.00	$0.00
08/28/15	10/18/13	50	$2,121.07	$1,440.62	$680.45		$0.00	$0.00	$0.00
VALERO ENERGY CORP NEW		91913Y100							
08/28/15	07/24/14	40	$2,336.83	$1,947.87	$388.96		$0.00	$0.00	$0.00
VERIZON COMMUNICATIONS INC		92343V104							
08/28/15	07/18/13	180	$8,276.61	$8,936.62	$-660.01		$0.00	$0.00	$0.00
WADDELL & REED FINL INC CL A		930059100							
08/28/15	05/28/14	50	$1,952.57	$3,075.65	$-1,123.08		$0.00	$0.00	$0.00
WAL MART STORES INC		931142103							
08/28/15	02/27/13	10	$647.41	$714.11	$-66.70		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
WELLS FARGO & CO NEW		949746101							
08/28/15	09/02/10	20	$1,070.02	$498.48	$571.54		$0.00	$0.00	$0.00
08/28/15	10/14/13	20	$1,070.02	$823.16	$246.86		$0.00	$0.00	$0.00
08/28/15	10/18/13	90	$4,815.09	$3,838.97	$976.12		$0.00	$0.00	$0.00
WYNDHAM WORLDWIDE CORP		98310W108							
08/28/15	07/18/13	60	$4,590.65	$3,655.68	$934.97		$0.00	$0.00	$0.00
ACCENTURE PLC IRELAND SHS CL A		G1151C101							
08/28/15	04/17/13	60	$5,727.61	$4,613.15	$1,114.46		$0.00	$0.00	$0.00
COPA HOLDINGS SA		P31076105							
08/28/15	03/04/14	19	$987.64	$2,615.88	$-1,628.24		$0.00	$0.00	$0.00
08/28/15	02/20/14	27	$1,403.49	$3,770.51	$-2,367.02		$0.00	$0.00	$0.00
ROYAL CARIBBEAN CRUISES LTD		V7780T103							
06/23/15	06/18/14	100	$8,035.46	$5,540.46	$2,495.00		$0.00	$0.00	$0.00
07/22/15	06/18/14	50	$4,221.50	$2,770.23	$1,451.27		$0.00	$0.00	$0.00
Total Long Term Gain/Loss			*$1,464,296.36*	*$1,361,581.97*	*$102,714.39*		*$0.00*	*$0.00*	*$0.00*

ACCT 668N 612336032
FROM 01/01/2015
TO 12/31/2015

FIRST WESTERN TRUST BANK
STATEMENT OF CAPITAL GAINS AND LOSSES
ERION FOUNDATION

RUN 02/27/2016
11:08:56 AM

TRUST YEAR ENDING 12/31/2015

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM	NC	8949
157.0000 ABBOTT LABORATORIES COM - 002824100 - MINOR = 31001									
SOLD		01/06/2015	7012.17						
ACQ	157.0000	04/13/2009	7012.17	3380.20	3631.97	0.00	LT	Y	F
61.0000 ABBOTT LABORATORIES COM - 002824100 - MINOR = 31001									
SOLD		03/25/2015	2845.59						
ACQ	61.0000	04/13/2009	2845.59	1313.33	1532.26	0.00	LT	Y	F
62.0000 AMERICAN TOWER CORPORATION - 03027X100 - MINOR = 428									
SOLD		01/06/2015	6089.72						
ACQ	62.0000	02/17/2010	6089.72	2718.70	3371.02	0.00	LT	Y	F
29.0000 AMERICAN TOWER CORPORATION - 03027X100 - MINOR = 428									
SOLD		03/25/2015	2771.76						
ACQ	12.0000	02/17/2010	1146.94	526.20	620.74	0.00	LT	Y	F
	17.0000	03/08/2010	1624.82	727.77	897.05	0.00	LT	Y	F
52.0000 ANHEUSER BUSCH INBEV SPONSORED ADR - 03524A108 - MINOR = 455									
SOLD		01/06/2015	5622.46						
ACQ	52.0000	04/27/2012	5622.46	3781.49	1840.97	0.00	LT		F
23.0000 ANHEUSER BUSCH INBEV SPONSORED ADR - 03524A108 - MINOR = 455									
SOLD		03/25/2015	2803.64						
ACQ	23.0000	04/27/2012	2803.64	1672.58	1131.06	0.00	LT		F
55.0000 AUTOMATIC DATA PROCESSING INC COM - 053015103 - MINOR = 491									
SOLD		01/06/2015	4574.74						
ACQ	55.0000	03/13/2012	4574.74	2638.55	1936.19	0.00	LT		F
16.0000 AUTOMATIC DATA PROCESSING INC COM - 053015103 - MINOR = 491									
SOLD		03/25/2015	1360.29						
ACQ	16.0000	03/13/2012	1360.29	767.58	592.71	0.00	LT		F
65.0000 BAXTER INTERNATIONAL INC COM - 071813109 - MINOR = 31000									
SOLD		01/06/2015	4635.70						
ACQ	65.0000	02/17/2010	4635.70	3758.49	877.21	0.00	LT	Y	F
42.0000 BAXTER INTERNATIONAL INC COM - 071813109 - MINOR = 31000									
SOLD		03/25/2015	2867.70						
ACQ	42.0000	02/17/2010	2867.70	2428.56	439.14	0.00	LT	Y	F
257.0000 BAXTER INTERNATIONAL INC COM - 071813109 - MINOR = 31000									
SOLD		04/01/2015	17312.49						
ACQ	22.0000	02/17/2010	1482.00	1272.10	209.90	0.00	LT	Y	F
	55.0000	03/08/2010	3705.01	3262.60	442.41	0.00	LT	Y	F
	80.0000	04/16/2010	5389.10	4727.60	661.50	0.00	LT	Y	F
	100.0000	09/03/2010	6736 38	4400.00	2336.38	0.00	LT	Y	F
20.0000 BLACKROCK INC COM - 09247X101 - MINOR = 469									
SOLD		01/06/2015	6879.73						
ACQ	7.0000	02/17/2010	2407.91	1489.88	918.03	0.00	LT	Y	F
	13.0000	03/08/2010	4471.82	2838.55	1633.27	0.00	LT	Y	F
8.0000 BLACKROCK INC COM - 09247X101 - MINOR = 469									
SOLD		03/25/2015	2915.94						
ACQ	2.0000	03/08/2010	728.99	436.70	292.29	0.00	LT	Y	F
	6.0000	04/16/2010	2186.95	1218.41	968.54	0.00	LT	Y	F
78.0000 CVS HEALTH CORPORATION - 126650100 - MINOR = 461									
SOLD		01/06/2015	7383.47						
ACQ	78.0000	10/15/2014	7383.47	6181.08	1202.39	0.00	ST		C
27.0000 CVS HEALTH CORPORATION - 126650100 - MINOR = 461									
SOLD		03/25/2015	2779.05						
ACQ	27.0000	10/15/2014	2779.05	2139.60	639.45	0.00	ST		C
953.5920 CAUSEWAY INTERNATIONAL VALUE FUND INS #1271 - 14949P208 - MINOR = 572									
SOLD		03/25/2015	14885.57						
ACQ	953.5920	06/05/2014	14885.57	15848.70	-963.13	0.00	ST		C
CHANGED 02/24/16 SS									
90.0000 CELGENE CORP COM - 151020104 - MINOR = 477									
SOLD		01/06/2015	10015.08						
ACQ	21.0000	03/08/2010	2336.85	646.48	1690.37	0.00	LT	Y	F
	69.0000	04/16/2010	7678.23	2096.74	5581.49	0.00	LT	Y	F
19.0000 CELGENE CORP COM - 151020104 - MINOR = 477									
SOLD		01/20/2015	2336.56						
ACQ	19.0000	04/16/2010	2336.56	577.36	1759.20	0.00	LT	Y	F
23.0000 CELGENE CORP COM - 151020104 - MINOR = 477									
SOLD		03/25/2015	2702.45						
ACQ	23.0000	04/16/2010	2702.45	698.91	2003.54	0.00	LT	Y	F
16.0000 CHEVRON CORPORATION - 166764100									
SOLD		01/06/2015	1738.68						
ACQ	16.0000	02/17/2010	1738.68	1164.66	574.02	0.00	LT	Y	F
27.0000 CHEVRON CORPORATION - 166764100									
SOLD		03/25/2015	2856.27						
ACQ	27.0000	02/17/2010	2856.27	1965.36	890.91	0.00	LT	Y	F
171.0000 CHEVRON CORPORATION - 166764100									
SOLD		07/08/2015	16018.94						
ACQ	81.0000	02/17/2010	7587.92	5896.08	1691.84	0.00	LT	Y	F
	40.0000	03/08/2010	3747.12	2993.20	753.92	0.00	LT	Y	F
	50.0000	04/16/2010	4683.90	4056.75	627.15	0.00	LT	Y	F
1075.5990 DFA US SMALL CAP PORTFOLIO INSTL #5031 - 233203843 - MINOR = 496									
SOLD		03/25/2015	34268.58						
ACQ	1075.5990	10/02/2013	34268.58	31525.81	2742.77	0.00	LT		F
1202.8770 DFA US SMALL CAP PORTFOLIO INSTL #5031 - 233203843 - MINOR = 496									
SOLD		09/04/2015	35809.65						
ACQ	1202.8770	10/02/2013	35809.65	35256.33	553.32	0.00	LT		F
1356.8520 DFA LARGE CAP INTERNATIONAL PORTFOLIO INSTL #5028 - 233203868 - MINOR = 572									
SOLD		03/25/2015	29823.61						
ACQ	1356.8520	10/02/2013	29823.61	29294.43	529.18	0.00	LT		F

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM	NC	
102.0000 DANAHER CORP COM - 235851102 - MINOR = 31000									
SOLD		01/06/2015	8617.89						
ACQ	102.0000	02/17/2010	8617.89	3830.41	4787.48	0.00	LT	Y	F
33.0000 DANAHER CORP COM - 235851102 - MINOR = 31000									
SOLD		03/25/2015	2795.37						
ACQ	33.0000	02/17/2010	2795.37	1239.25	1556.12	0.00	LT	Y	F
88.0000 DISCOVERY COMMUNICATIONS INC NEW COM SER A - 25470F104 - MINOR = 435									
SOLD		03/25/2015	2768.86						
ACQ	88.0000	01/10/2011	2768.86	1780.90	987.96	0.00	LT		F
10806.9160 DODGE & COX INCOME FUND #147 - 256210105 - MINOR = 495									
SOLD		04/13/2015	150216.13						
ACQ	10806.9160	10/03/2012	150216.13	149675.79	540.34	0.00	LT		F
50.0000 EBAY INC COM - 278642103 - MINOR = 487									
SOLD		01/06/2015	2773.76						
ACQ	50.0000	03/27/2014	2773.76	2760.54	13.22	0.00	ST		C
24.0000 EBAY INC COM - 278642103 - MINOR = 487									
SOLD		03/25/2015	1392.93						
ACQ	24.0000	03/27/2014	1392.93	1325.06	67.87	0.00	ST		C
26.0000 ECOLAB INC COM - 278865100 - MINOR = 3101									
SOLD		01/06/2015	2591.59						
ACQ	26.0000	02/17/2010	2591.59	1102.66	1488.93	0.00	LT	Y	F
25.0000 ECOLAB INC COM - 278865100 - MINOR = 3101									
SOLD		03/25/2015	2857.44						
ACQ	25.0000	02/17/2010	2857.44	1060.25	1797.19	0.00	LT	Y	F
24.0000 GILEAD SCIENCES INC COM - 375558103 - MINOR = 477									
SOLD		01/06/2015	2364.43						
ACQ	24.0000	09/03/2010	2364.43	407.47	1956.96	0.00	LT	Y	F
13.0000 GILEAD SCIENCES INC COM - 375558103 - MINOR = 477									
SOLD		01/20/2015	1346.64						
ACQ	13.0000	09/03/2010	1346.64	220.71	1125.93	0.00	LT	Y	F
28.0000 GILEAD SCIENCES INC COM - 375558103 - MINOR = 477									
SOLD		03/25/2015	2803.86						
ACQ	28.0000	09/03/2010	2803.86	475.38	2328.48	0.00	LT	Y	F
153.0000 HALLIBURTON CO COM - 406216101 - MINOR = 466									
SOLD		01/06/2015	5879.66						
ACQ	153.0000	11/12/2010	5879.66	5478.83	400.83	0.00	LT	Y	F
34.0000 HALLIBURTON CO COM - 406216101 - MINOR = 466									
SOLD		03/25/2015	1482.03						
ACQ	34.0000	11/12/2010	1482.03	1217.52	264.51	0.00	LT	Y	F
74.0000 HONEYWELL INTERNATIONAL INC COM - 438516106 - MINOR = 410									
SOLD		01/06/2015	7295.28						
ACQ	74.0000	02/17/2010	7295.28	2901.54	4393.74	0.00	LT	Y	F
27.0000 HONEYWELL INTERNATIONAL INC COM - 438516106 - MINOR = 410									
SOLD		03/25/2015	2758.53						
ACQ	27.0000	02/17/2010	2758.53	1058.67	1699.86	0.00	LT	Y	F
18.0000 INTERNATIONAL BUSINESS MACHS CORP COM - 459200101 - MINOR = 31001									
SOLD		03/25/2015	2870.94						
ACQ	18.0000	02/17/2010	2870.94	2275.92	595.02	0.00	LT	Y	F
116.0000 INTERNATIONAL BUSINESS MACHS CORP COM - 459200101 - MINOR = 31001									
SOLD		09/01/2015	16572.14						
ACQ	39.0000	02/17/2010	5571.67	4931.16	640.51	0.00	LT	Y	F
	30.0000	03/08/2010	4285.90	3796.20	489.70	0.00	LT	Y	F
	40.0000	04/16/2010	5714.53	5244.20	470.33	0.00	LT	Y	F
	7.0000	01/06/2015	1000.04	1096.51	-96.47	0.00	ST		C
CHANGED 02/24/16 SS									
43.0000 INTUIT COM - 461202103 - MINOR = 483									
SOLD		01/06/2015	3819.77						
ACQ	43.0000	09/06/2011	3819.77	2033.64	1786.13	0.00	LT		F
14.0000 INTUIT COM - 461202103 - MINOR = 483									
SOLD		03/25/2015	1368.96						
ACQ	14.0000	09/06/2011	1368.96	662.11	706.85	0.00	LT		F
229.0000 ISHARES CORE S&P MID-CAP ETF - 464287507 - MINOR = 541									
SOLD		03/25/2015	34463.86						
ACQ	229.0000	05/24/2012	34463.86	21279.41	13184.45	0.00	LT		F
52.0000 KOHLS CORP COM - 500255104 - MINOR = 3101									
SOLD		01/06/2015	3088.43						
ACQ	52.0000	02/17/2010	3088.43	2667.10	421.33	0.00	LT	Y	F
19.0000 KOHLS CORP COM - 500255104 - MINOR = 3101									
SOLD		03/25/2015	1424.40						
ACQ	15.0000	02/17/2010	1124.53	769.35	355.18	0.00	LT	Y	F
	4.0000	03/08/2010	299.87	218.28	81.59	0.00	LT	Y	F
161.0000 KOHLS CORP COM - 500255104 - MINOR = 3101									
SOLD		11/20/2015	7443.23						
ACQ	41.0000	03/08/2010	1895.48	2237.37	-341.89	0.00	LT	Y	F
	80.0000	04/16/2010	3698.50	4588.40	-889.90	0.00	LT	Y	F
	40.0000	09/03/2010	1849.25	1979.83	-130.58	0.00	LT	Y	F
CHANGED 02/24/16 SS									
70.0000 LAUDER ESTEE COS INC CL A - 518439104 - MINOR = 460									
SOLD		01/06/2015	5207.50						
ACQ	70.0000	02/17/2010	5207.50	2063.29	3144.21	0.00	LT	Y	F
34.0000 LAUDER ESTEE COS INC CL A - 518439104 - MINOR = 460									
SOLD		03/25/2015	2853.90						
ACQ	34.0000	02/17/2010	2853.90	1002.17	1851.73	0.00	LT	Y	F
2043.5970 LAZARD INTERNATIONAL STRATEGIC EQUITY PORTFOLIO INST #1223 - 52106N590 - MINOR = 572									
SOLD		03/25/2015	29816.08						
ACQ	2043.5970	06/05/2014	29816.08	31389.65	-1573.57	0.00	ST		C
CHANGED 02/24/16 SS									

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM	NC	
34.0000 MCDONALDS CORP COM - 580135101 - MINOR = 31001									
SOLD		01/06/2015	3151.28						
ACQ	34.0000	08/22/2011	3151.28	2989.70	161.58	0.00	LT		F
29.0000 MCDONALDS CORP COM - 580135101 - MINOR = 31001									
SOLD		03/25/2015	2852.09						
ACQ	29.0000	08/22/2011	2852.09	2550.04	302.05	0.00	LT		F
4541.3260 METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS I #512 - 592905509 - MINOR = 592									
SOLD		04/13/2015	50045.41						
ACQ	4541.3260	12/11/2014	50045.41	49591.28	454.13	0.00	ST		C
108.0000 MICROSOFT CORP COM - 594918104 - MINOR = 31001									
SOLD		01/06/2015	4961.36						
ACQ	108.0000	02/17/2010	4961.36	3082.16	1879.20	0.00	LT	Y	F
66.0000 MICROSOFT CORP COM - 594918104 - MINOR = 31001									
SOLD		03/25/2015	2737.95						
ACQ	51.0000	02/17/2010	2115.69	1455.47	660.22	0.00	LT	Y	F
	15.0000	03/08/2010	622.26	431.32	190.94	0.00	LT	Y	F
25.0000 MONSANTO CO NEW COM - 61166W101 - MINOR = 402									
SOLD		01/06/2015	2918.93						
ACQ	25.0000	04/08/2010	2918.93	1719.07	1199.86	0.00	LT	Y	F
13.0000 MONSANTO CO NEW COM - 61166W101 - MINOR = 402									
SOLD		03/25/2015	1466.11						
ACQ	13.0000	04/08/2010	1466.11	893.92	572.19	0.00	LT	Y	F
71.0000 NIKE INC CL B - 654106103 - MINOR = 440									
SOLD		01/06/2015	6611 66						
ACQ	71.0000	02/17/2010	6611.66	2294.01	4317.65	0.00	LT	Y	F
28.0000 NIKE INC CL B - 654106103 - MINOR = 440									
SOLD		03/25/2015	2777.26						
ACQ	28.0000	02/17/2010	2777.26	904.68	1872.58	0.00	LT	Y	F
185.0000 ORACLE CORP COM - 68389X105 - MINOR = 487									
SOLD		01/06/2015	8027.43						
ACQ	185.0000	02/17/2010	8027.43	4465.90	3561 53	0.00	LT	Y	F
65.0000 ORACLE CORP COM - 68389X105 - MINOR = 487									
SOLD		03/25/2015	2793.32						
ACQ	65.0000	02/17/2010	2793.32	1569.10	1224.22	0.00	LT	Y	F
715.3080 OPPENHEIMER DEVELOPING MARKETS FUND CLASS I #799 - 683974604 - MINOR = 572									
SOLD		03/25/2015	24763.96						
ACQ	715.3080	03/04/2011	24763.96	24959.04	-195.08	0.00	LT	Y	F
CHANGED 02/24/16 SS									
11.8210 PIMCO TOTAL RETURN FUND INST #35 - 693390700 - MINOR = 592									
SOLD		01/29/2015	128.73						
ACQ	2.4210	12/30/2014	26.36	25.76	0.60	0.00	ST		C
	9.4000	01/01/2015	102.37	100.20	2.17	0.00	ST		C
.0100 PIMCO TOTAL RETURN FUND INST #35 - 693390700 - MINOR = 592									
SOLD		04/13/2015	0.11						
ACQ	.0100	02/01/2015	0.11	0.11	0.00	0.00	ST		C
CHANGED 02/24/16 SS									
56.0000 PEPSICO INC COM - 713448108									
SOLD		01/06/2015	5238.49						
ACQ	56.0000	04/14/2009	5238.49	2838.44	2400.05	0.00	LT	Y	F
30.0000 PEPSICO INC COM - 713448108									
SOLD		03/25/2015	2861.34						
ACQ	30.0000	04/14/2009	2861.34	1520.60	1340.74	0.00	LT	Y	F
3313.3470 PIMCO COMMODITY REALRETURN STRATEGY FUND INST #45 - 722005667 - MINOR = 574									
SOLD		09/23/2015	23789.84						
ACQ	1105.0740	02/17/2010	7934.43	17902.19	-9967.76	0.00	LT	Y	F
	1531.8630	03/08/2010	10998.78	25000.00	-14001.22	0.00	LT	Y	F
	99.1220	03/18/2010	711.70	1572.07	-860.37	0.00	LT	Y	F
	111.3470	06/17/2010	799.47	1674.65	-875.18	0.00	LT	Y	F
	121.5610	09/16/2010	872.81	1935.25	-1062.44	0.00	LT	Y	F
	93.4570	12/31/2010	671.02	1736.42	-1065.40	0.00	LT	Y	F
	121.0080	03/17/2011	868.84	2260.43	-1391.59	0.00	LT	Y	F
	129.9150	06/16/2011	932.79	2304.69	-1371.90	0.00	LT	Y	F
CHANGED 02/24/16 SS									
65.0000 QUALCOMM INC COM - 747525103 - MINOR = 489									
SOLD		01/06/2015	4772.54						
ACQ	65.0000	02/17/2010	4772.54	2570.87	2201.67	0.00	LT	Y	F
41.0000 QUALCOMM INC COM - 747525103 - MINOR = 489									
SOLD		03/25/2015	2754.53						
ACQ	41.0000	02/17/2010	2754.53	1621.63	1132.90	0.00	LT	Y	F
21.0000 ROPER TECHNOLOGIES, INC. - 776696106 - MINOR = 414									
SOLD		01/06/2015	3162.86						
ACQ	21.0000	01/10/2011	3162.86	1577.12	1585.74	0.00	LT		F
8.0000 ROPER TECHNOLOGIES, INC. - 776696106 - MINOR = 414									
SOLD		03/25/2015	1365.65						
ACQ	8.0000	01/10/2011	1365.65	600.81	764.84	0.00	LT		F
13.0000 SCHLUMBERGER LTD COM - 806857108 - MINOR = 5020									
SOLD		01/06/2015	1067.49						
ACQ	13.0000	09/17/2009	1067.49	797.72	269.77	0.00	LT	Y	F
35.0000 SCHLUMBERGER LTD COM - 806857108 - MINOR = 5020									
SOLD		03/25/2015	2921.04						
ACQ	35.0000	09/17/2009	2921.04	2147.69	773.35	0.00	LT	Y	F
195.0000 SCHWAB CHARLES CORP NEW COM - 808513105 - MINOR = 31001									
SOLD		01/06/2015	5521.09						
ACQ	28.0000	04/16/2010	792.77	531.90	260.87	0.00	LT	Y	F
	167.0000	05/04/2010	4728.32	3164.92	1563.40	0.00	LT	Y	F

ACCT 668N 612336032
FROM 01/01/2015
TO 12/31/2015

FIRST WESTERN TRUST BANK
STATEMENT OF CAPITAL GAINS AND LOSSES
ERION FOUNDATION

RUN 02/27/2016
11:08:56 AM

TRUST YEAR ENDING 12/31/2015

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM	NC	
96.0000 SCHWAB CHARLES CORP NEW COM - 808513105 - MINOR = 31001									
SOLD		03/25/2015	2805.54						
ACQ	96.0000	05/04/2010	2805.54	1819.35	986.19	0.00	LT	Y	F
92.0000 STATE STREET CORP COM - 857477103 - MINOR = 5010									
SOLD		01/06/2015	6919.61						
ACQ	90.0000	02/17/2010	6769.18	4040.10	2729.08	0.00	LT	Y	F
	2.0000	03/08/2010	150.43	91.06	59.37	0.00	LT	Y	F
38.0000 STATE STREET CORP COM - 857477103 - MINOR = 5010									
SOLD		03/25/2015	2788.00						
ACQ	38.0000	03/08/2010	2788.00	1730.14	1057.86	0.00	LT	Y	F
86.0000 STRYKER CORP COM - 863667101 - MINOR = 479									
SOLD		01/06/2015	7965.56						
ACQ	86.0000	12/31/2008	7965.56	3449.66	4515.90	0.00	LT	Y	F
31.0000 STRYKER CORP COM - 863667101 - MINOR = 479									
SOLD		03/25/2015	2865.27						
ACQ	31.0000	12/31/2008	2865.27	1243.48	1621.79	0.00	LT	Y	F
60.0000 TJX COS INC NEW COM - 872540109 - MINOR = 31000									
SOLD		01/06/2015	4025.48						
ACQ	60.0000	05/27/2014	4025.48	3283.18	742.30	0.00	ST		C
20.0000 TJX COS INC NEW COM - 872540109 - MINOR = 31000									
SOLD		03/25/2015	1392.07						
ACQ	20.0000	05/27/2014	1392.07	1094.39	297.68	0.00	ST		C
162.0000 TEXAS INSTRUMENTS INC COM - 882508104 - MINOR = 31001									
SOLD		01/06/2015	8470.74						
ACQ	162.0000	07/21/2009	8470.74	3674.08	4796.66	0.00	LT	Y	F
49.0000 TEXAS INSTRUMENTS INC COM - 882508104 - MINOR = 31001									
SOLD		03/25/2015	2744.43						
ACQ	49.0000	07/21/2009	2744.43	1111.30	1633.13	0.00	LT	Y	F
49.0000 THERMO FISHER CORP COM - 883556102 - MINOR = 477									
SOLD		01/06/2015	6176.79						
ACQ	49.0000	12/31/2008	6176.79	1666.99	4509.80	0.00	LT	Y	F
21.0000 THERMO FISHER CORP COM - 883556102 - MINOR = 477									
SOLD		03/25/2015	2818.35						
ACQ	21.0000	12/31/2008	2818.35	714.43	2103.92	0.00	LT	Y	F
73.0000 UNITED PARCEL SERVICES CL B - 911312106 - MINOR = 411									
SOLD		01/06/2015	7868.75						
ACQ	73.0000	02/22/2011	7868.75	5440.32	2428.43	0.00	LT		F
29.0000 UNITED PARCEL SERVICES CL B - 911312106 - MINOR = 411									
SOLD		03/25/2015	2829.76						
ACQ	29.0000	02/22/2011	2829.76	2161.23	668.53	0.00	LT		F
42.0000 VISA INC COM CL A - 92826C839 - MINOR = 491									
SOLD		03/25/2015	2768.16						
ACQ	42.0000	01/09/2012	2768.16	1048.77	1719.39	0.00	LT		F
3.0000 WILLIAMS COS INC COM - 969457100 - MINOR = 464									
SOLD		01/06/2015	129.34						
ACQ	3.0000	12/31/2008	129.34	35.09	94.25	0.00	LT	Y	F
59.0000 WILLIAMS COS INC COM - 969457100 - MINOR = 464									
SOLD		03/25/2015	2900.38						
ACQ	59.0000	12/31/2008	2900.38	690.02	2210.36	0.00	LT	Y	F
47.0000 ACCENTURE PLC CLASS A ORDINARY SHARES - G1151C101 - MINOR = 488									
SOLD		01/06/2015	4099.69						
ACQ	47.0000	06/03/2011	4099.69	2631.79	1467.90	0.00	LT		F
16.0000 ACCENTURE PLC CLASS A ORDINARY SHARES - G1151C101 - MINOR = 488									
SOLD		03/25/2015	1417.25						
ACQ	16.0000	06/03/2011	1417.25	895.93	521.32	0.00	LT		F
		TOTALS	772859.09	652080.82					

SUMMARY OF CAPITAL GAINS/LOSSES

FEDERAL	SHORT TERM	LONG TERM 28%	LONG TERM	ST WASH SALE	LT WASH SALE	1250 GAIN
NONCOVERED FROM ABOVE	0.00	0.00	83839.92	0.00	0.00	0.00*
COVERED FROM ABOVE	786.64	0.00	36151.71	0.00	0.00	
COMMON TRUST FUND	0.00	0.00	0.00			0.00
CAPITAL GAIN DIV/DIST	0.00	0.00	4949.56			0.00
	786.64	0.00	124941.19	0.00	0.00	0.00

STATE	SHORT TERM	LONG TERM 28%	LONG TERM	ST WASH SALE	LT WASH SALE	1250 GAIN
NONCOVERED FROM ABOVE	0.00	0.00	83839.92	0.00	0.00	0.00*
COVERED FROM ABOVE	786.64	0.00	36151.71	0.00	0.00	
COMMON TRUST FUND	0.00	0.00	0.00			0.00
CAPITAL GAIN DIV/DIST	0.00	0.00	4949.56			0.00
	786.64	0.00	124941.19	0.00	0.00	0.00

* - 1250 GAIN DETAILED ON TRANS PAGES, NOT ABOVE