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Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning

, 2015, and ending

RMF FOUNDATION  
9450 SW GEMINI DR. #14354  
BEAVERTON, OR 97008-7105

**A** Employer identification number  
80-0875249

**B** Telephone number (see instructions)  
503-567-4410

**C** If exemption application is pending, check here ☐

**D** 1 Foreign organizations, check here ☐  
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name change

**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, column (c), line 16)  
\$ 26,625,459.

**J** Accounting method: ☐ Cash ☒ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis)

## Part I Analysis of Revenue and

**Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>1</b> Contributions, gifts, grants, etc. received (attach schedule)	734,020.			
<b>2</b> Ck <input type="checkbox"/> if the foundation is not required to attach Sch B				
<b>3</b> Interest on savings and temporary cash investments				
<b>4</b> Dividends and interest from securities	451,352.	441,804.	451,352.	
<b>5a</b> Gross rents				
<b>b</b> Net rental income or (loss)				
<b>6a</b> Net gain or (loss) from sale of assets not on line 10	-785,045.			
<b>b</b> Gross sales price for all assets on line 6a 23,057,895.				
<b>7</b> Capital gain net income (from Part IV, line 2)		0.		
<b>8</b> Net short-term capital gain			0.	
<b>9</b> Income modifications				
<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold				
<b>c</b> Gross profit or (loss) (attach schedule)				
<b>11</b> Other income (attach schedule)				
<b>12</b> <b>SEE STATEMENT 1</b> Total Add lines 1 through 11	38,954.	38,954.	38,954.	
<b>13</b> Compensation of officers, directors, trustees, etc.	439,281.	480,758.	490,306.	
<b>14</b> Other employee salaries and wages	0.			
<b>15</b> Pension plans, employee benefits				
<b>16a</b> Legal fees (attach schedule) SEE ST 2	3,140.			
<b>b</b> Accounting fees (attach sch) SEE ST 3	10,642.	5,321.		5,321.
<b>c</b> Other prof fees (attach sch) SEE ST 4	74,724.	74,724.		
<b>17</b> Interest	67.	67.		
<b>18</b> Taxes (attach schedule) (see instrs) SEE STM 5	43,798.	13,798.		
<b>19</b> Depreciation (attach schedule) and depletion				
<b>20</b> Occupancy				
<b>21</b> Travel, conferences, and meetings				
<b>22</b> Printing and publications				
<b>23</b> Other expenses (attach schedule)				
<b>24</b> <b>Total operating and administrative expenses.</b> Add lines 13 through 23	132,371.	93,910.		5,321.
<b>25</b> Contributions, gifts, grants paid <b>PART XV</b>	1,325,000.			1,325,000.
<b>26</b> <b>Total expenses and disbursements.</b> Add lines 24 and 25	1,457,371.	93,910.	0.	1,330,321.
<b>27</b> Subtract line 26 from line 12:				
<b>a</b> Excess of revenue over expenses and disbursements	-1,018,090.			
<b>b</b> Net investment income (if negative, enter -0-)		386,848.		
<b>c</b> Adjusted net income (if negative, enter -0-)			490,306.	

SCANNED DEC 02 2016

REVENUE

ADMINISTRATIVE  
AND  
EXCISES

927 11

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
ASSETS	1	Cash — non-interest-bearing		1,797,202.	447,275.	447,275.
	2	Savings and temporary cash investments				
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U.S. and state government obligations (attach schedule)	595,549.	2,227,234.	2,226,105.	
	b	Investments — corporate stock (attach schedule)	14,377,992.	16,723,973.	18,706,867.	
	c	Investments — corporate bonds (attach schedule)	8,861,455.	5,232,709.	5,243,769.	
	LIABILITIES	11	Investments — land, buildings, and equipment: basis			
		Less: accumulated depreciation (attach schedule)				
12		Investments — mortgage loans				
13		Investments — other (attach schedule)				
14		Land, buildings, and equipment basis				
		Less: accumulated depreciation (attach schedule)				
15		Other assets (describe SEE STATEMENT 6)	21,287.	2,617.	1,443.	
16		<b>Total assets</b> (to be completed by all filers — see the instructions. Also, see page 1, item I)	25,653,485.	24,633,808.	26,625,459.	
17		Accounts payable and accrued expenses	12,229.	10,642.		
18		Grants payable				
NET FUND ASSETS	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
	23	<b>Total liabilities</b> (add lines 17 through 22)	12,229.	10,642.		
		<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b>				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input checked="" type="checkbox"/>				
27	Capital stock, trust principal, or current funds					
28	Paid-in or capital surplus, or land, bldg, and equipment fund					
29	Retained earnings, accumulated income, endowment, or other funds	25,641,256.	24,623,166.			
30	<b>Total net assets or fund balances</b> (see instructions)	25,641,256.	24,623,166.			
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	25,653,485.	24,633,808.			

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	25,641,256.
2	Enter amount from Part I, line 27a	2	-1,018,090.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	24,623,166.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	24,623,166.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES- ATTACHED		P	VARIOUS	VARIOUS
b PUBLICLY TRADED SECURITIES- ATTACHED		P	VARIOUS	VARIOUS
c CAPITAL GAIN DIVIDENDS				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 15,462,626.		16,307,199.	-844,573.
b 7,593,274.		7,535,741.	57,533.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-844,573.
b			57,533.
c			1,995.
d			
e			

2	Capital gain net income or (net capital loss)	<div><div>If gain, also enter in Part I, line 7</div><div>If (loss), enter -0- in Part I, line 7</div></div>	2	-785,045.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			
	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-844,573.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	1,349,521.	27,957,243.	0.048271
2013	250,000.	26,150,754.	0.009560
2012		24,733,120.	
2011			
2010			

2 Total of line 1, column (d)	2	0.057831
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.019277
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	27,523,504.
5 Multiply line 4 by line 3	5	530,571.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,868.
7 Add lines 5 and 6	7	534,439.
8 Enter qualifying distributions from Part XII, line 4	8	1,330,321.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	3,868.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,868.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,868.
6 Credits/Payments			
a 2015 estimated tax pmts and 2014 overpayment credited to 2015	6 a	36,448.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	36,448.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	32,580.	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax 32,580. Refunded	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, col (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) OR		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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**Part VII-A** Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>RYAN FINLEY</u> Telephone no <u>503-225-1612</u> Located at <u>9450 SW GEMINI DR. #14354 BEAVERTON OR</u> ZIP + 4 <u>97008-7105</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country <u></u>	16		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u></u>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4 b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RYAN FINLEY 9450 SW GEMINI DR. #14354 BEAVERTON, OR 97008-7105	PRESIDENT 0	0.	0.	0.
MARY FINLEY 9450 SW GEMINI DR. #14354 BEAVERTON, OR 97008-7105	SECRETARY 0	0.	0.	0.
DAVID E. GREIN 1030 SW MORRISON ST. PORTLAND, OR 97205	VICE PRESIDE 0	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0





**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	26,574,642.
b	Average of monthly cash balances	1 b	1,368,002.
c	Fair market value of all other assets (see instructions)	1 c	
d	<b>Total</b> (add lines 1a, b, and c)	1 d	27,942,644.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	27,942,644.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	419,140.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	27,523,504.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	1,376,175.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,376,175.
2a	Tax on investment income for 2015 from Part VI, line 5	2 a	3,868.
b	Income tax for 2015 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	3,868.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,372,307.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,372,307.
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,372,307.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	1,330,321.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,330,321.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	3,868.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	1,326,453.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2015) RMF FOUNDATION

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				1,372,307.
2 Undistributed income, if any, as of the end of 2015			1,198,401.	
a Enter amount for 2014 only		0.		
b Total for prior years. 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2015				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 1,330,321.			1,198,401.	
a Applied to 2014, but not more than line 2a		0.		
b Applied to undistributed income of prior years (Election required — see instructions)	0.			131,920.
c Treated as distributions out of corpus (Election required — see instructions)	0.			0.
d Applied to 2015 distributable amount	0.			
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	0.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		0.		
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				1,240,387.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Form 990-PF (2015)

BAA

TEEA0309L 10/13/15

N/A

- (4) Gross investment income

[illegible]

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year SEE STATEMENT 7				
<b>Total</b>			<b>3 a</b>	1,325,000.
<b>b</b> Approved for future payment				
<b>Total</b>			<b>3 b</b>	

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1	Program service revenue.					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	451,352.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income			14	38,954.	
8	Gain or (loss) from sales of assets other than inventory			14	-785,045.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				-294,739.	
13	<b>Total.</b> Add line 12, columns (b), (d), and (e)					13 -294,739.

(See worksheet in line 13 instructions to verify calculations)

## **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

► **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2015**

Name of the organization

RMF FOUNDATION

Employer identification number

80-0875249

**Organization type** (check one)

**Filers of:**

Form 990 or 990-EZ

**Section:**

- ☐ 501(c)( ) (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ► \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

**Schedule B (Form 990, 990-EZ, or 990-PF) (2015)**

Name of organization

Employer identification number

RMF FOUNDATION

80-0875249

**Part II Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RYAN FINLEY 937 NW GLISAN ST. #1534 PORTLAND, OR 97008-7105	\$ 734,020.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)



Employer identification number

80-0875249

## Part II

[illegible]

Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Employer identification number  
80-0875249

[illegible]

RMF FOUNDATION

80-0875249

**STATEMENT 1**  
**FORM 990-PF, PART I, LINE 11**  
**OTHER INCOME**

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME			
TOTAL	\$ 38,954.	\$ 38,954.	\$ 38,954.

**STATEMENT 2**  
**FORM 990-PF, PART I, LINE 16A**  
**LEGAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES				
TOTAL	\$ 3,140.	\$ 0.	\$ 0.	\$ 0.

**STATEMENT 3**  
**FORM 990-PF, PART I, LINE 16B**  
**ACCOUNTING FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES				
TOTAL	\$ 10,642.	\$ 5,321.	\$ 0.	\$ 5,321.

**STATEMENT 4**  
**FORM 990-PF, PART I, LINE 16C**  
**OTHER PROFESSIONAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADVISOR FEES				
TOTAL	\$ 74,724.	\$ 74,724.	\$ 0.	\$ 0.

RMF FOUNDATION

80-0875249

**STATEMENT 5**  
**FORM 990-PF, PART I, LINE 18**  
**TAXES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES	\$ 30,000.			
FOREIGN TAXES PAID	13,798.	\$ 13,798.		
<b>TOTAL</b>	<b>\$ 43,798.</b>	<b>\$ 13,798.</b>	<b>\$ 0.</b>	<b>\$ 0.</b>

**STATEMENT 6**  
**FORM 990-PF, PART II, LINE 15**  
**OTHER ASSETS**

	BOOK VALUE	FAIR MARKET VALUE
DIVIDENDS RECEIVABLE	\$ 2,617.	\$ 1,443.
<b>TOTAL</b>	<b>\$ 2,617.</b>	<b>\$ 1,443.</b>

**STATEMENT 7**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
PARTNERS HUNGER-FREE OREGON 712 SE HAWTHORNE BLVD #202 PORTLAND OR 97214	N/A	PC	EXEMPT PURPOSE	\$ 50,000.
OREGON FOOD BANK PO BOX 55370 PORTLAND OR 97238	N/A	PC	EXEMPT PURPOSE	50,000.
MY VOICE MUSIC PO BOX 28043 PORTLAND OR 97228	N/A	PC	EXEMPT PURPOSE	25,000.
STAND FOR CHILDREN LEADERSHIP CENTER 1732 NW QUIMBY STREET #200 PORTLAND OR 97209	N/A	PC	EXEMPT PURPOSE	270,000.
OUTSIDE IN 1132 SW 13TH AVENUE PORTLAND OR 97205	N/A	PC	EXEMPT PURPOSE	25,000.
PORTLAND RESCUE MISSION PO BOX 3713 PORTLAND OR 97208	N/A	PC	EXEMPT PURPOSE	25,000.

RMF FOUNDATION

80-0875249

**STATEMENT 7 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
ROSE RAVEN 9450 SW GEMIINI DRIVE #14354 BEAVERTON OR 97008	N/A	PC	EXEMPT PURPOSE	\$ 25,000.
START MAKING A READER TODAY 101 SW MARKET STREET PORTLAND OR 97201	N/A	PC	EXEMPT PURPOSE	50,000.
DOCTORS WITHOUT BORDERS 333 7TH AVENUE, 2ND FLOOR NEW YORK NY 10001	N/A	PC	EXEMPT PURPOSE	250,000.
GIVEDIRECTLY PC BOX 3221 NEW YORK NY 10008	N/A	PC	EXEMPT PURPOSE	250,000.
IMPACT NW 10055 EAST BURNSIDE STREET PORTLAND OR 97216	N/A	PC	EXEMPT PURPOSE	25,000.
INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND STREET NEW YORK NY 10168	N/A	PC	EXEMPT PURPOSE	250,000.
PORTLAND HOMELESS FAMILY SOLUTIONS 1838 SW JEFFERSON STREET PORTLAND OR 97201	N/A	PC	EXEMPT PURPOSE	25,000.
LEVE PO BOX 5992 PORTLAND OR 97208	N/A	PC	EXEMPT PURPOSE	5,000.

TOTAL \$ 1,325,000.

2015

## FEDERAL ATTACHMENTS

80-0875249

## FINLEY FAMILY FOUNDATION

11/15/2016

ATTACHMENT 1  
FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	FIRST REPUBLIC COST BASIS	BOOK VALUE	FAIR MARKET VALUE
BANK OF NEW YORK MELLON CORP	COST	\$ 100,439	\$ 100,439	\$ 102,764
BB&T CORP	COST	100,387	100,387	103,412
BOSTON PERPERTIES INC	COST	101,128	101,128	100,880
ISHARES INC MSCI EUROZONE ETF	COST	2,003,789	2,003,789	1,782,555
ISHARES TR RUSSELL 1000 VALUE ETF	COST	2,008,322	2,198,846	2,876,790
ISHARES TR S&P 500 GROWTH ETF	COST	2,673,181	3,569,319	4,537,888
ISHARES TR S&P MIDCAP 400 GROWTH	COST	1,214,281	1,368,296	1,460,551
ISHARES TR S&P MIDCAP 400 VALUE ETF	COST	487,719	563,283	662,063
ISHARES TR S&P SMALL CAP 600 GROWTH	COST	1,209,823	1,389,037	1,515,836
ISHARES TR S&P SMALL CAP 600 VALUE	COST	492,572	598,526	695,793
JOHCM INTERNATIONAL SELECT I	COST	1,554,606	1,560,463	1,642,818
PUBLIC STORAGE	COST	100,742	100,742	102,709
VANGUARD INTL EQUITY INDEX FDS TOTAL	COST	400,678	400,678	384,902
VANGUARD INTL EQUITY INDEX FDS FTSE	COST	1,198,395	1,198,395	1,094,150
VANGUARD INDEX FDS VANGUARD REIT ETF	COST	1,267,560	1,267,560	1,418,158
VORNADO REALTY	COST	100,620	100,620	102,303
WELLS FARGO	COST	100,463	100,463	103,297
TOTAL		<u>\$ 15,114,704</u>	<u>\$ 16,723,973</u>	<u>\$ 18,706,867</u>

2015

## FEDERAL ATTACHMENTS

80-0875249

## FINLEY FAMILY FOUNDATION

11/15/2016

ATTACHMENT 2  
FORM 990-PF, PART II, LINE 10C  
INVESTMENTS - CORPORATE BONDS

CORPORATE BONDS	VALUATION METHOD	FIRST REPUBLIC COST BASIS	BOOK VALUE	FAIR MARKET VALUE
AFLAC INC	COST	\$ 252,003	\$ 252,003	\$ 254,430
AMERICAN EXPRESS CR CORP	COST	264,357	264,357	259,703
APPLE INC	COST	255,613	255,613	258,985
AT&T INC	COST	217,131	217,131	216,944
BANK AMER CORP	COST	160,133	160,133	161,297
BANK AMER CORP	COST	151,111	151,111	150,489
BB&T BRH BKG & TR CO GLOBAL BK	COST	230,153	230,153	229,851
CITIGROUP INC	COST	199,712	199,712	199,518
CITIGROUP INC	COST	252,586	252,586	252,173
CME GROUP INC	COST	288,815	288,815	286,841
DEVON ENERGY CORP	COST	286,065	286,065	262,727
DOUBLELINE FDS TR TTL RTN BD I	COST	340,000	340,000	334,416
GOLDMAN SACHS GROUP INC	COST	315,169	315,169	312,736
LOCKHEED MARTIN CORP	COST	298,932	298,932	301,056
MORGAN STANLEY	COST	250,180	250,180	249,360
NUVEEN SHORT DUR HI YLD MUNI BD I	COST	262,521	262,521	268,885
OCCIDENTAL PETE CORP DEL	COST	277,131	277,131	275,550
ORACLE CORP	COST	250,630	250,630	250,200
PNC FUNDING CORP	COST	171,426	171,426	171,449
VERIZON COMMUNICATIONS INC FXD RT NT	COST	305,836	305,836	302,316
WAL-MART STORES INC	COST	203,205	203,205	206,384
WELLS FARGO CO	COST			
TOTAL		<u>\$ 5,232,709</u>	<u>\$ 5,232,709</u>	<u>\$ 5,205,307</u>

2015

## FEDERAL ATTACHMENTS

80-0875249

## FINLEY FAMILY FOUNDATION

11/15/2016

ATTACHMENT I  
FORM 990-PF, PART II, LINE 10A  
INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS

<u>U.S. GOVERNMENT OBLIGATIONS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
U.S. TREASURY NOTES	COST	2,227,234	2,222,543
	TOTAL	<u>\$ 2,227,234</u>	<u>\$ 2,222,543</u>



# FINLEY FAMILY FOUNDATION

FORM 990-PF, PART IV, LN. 1a & 1b  
Capital Gains and Losses for Tax of Investment Income

## SHORT TERM TRANSACTIONS

YEAR: 2015  
EIN: 80-0875249

Description	Quantity	Date Acquired	Date Sold	Sale Price	Carrying Book Basis (FIMV at gift date)	Gain/Loss	Investment Basis (Donor's Basis)	Gain/Loss
PMORGAN CHASE & CO ALERMAN MLP INDEX ETN BASED ON WAP (LEVEL OF INDEX)	13,255.00	10/12/2015	12/07/2015	326,159.70	440,455.91	(123,296.21)	440,455.91	(123,296.21)
TOTAL CAPITAL INTERNATIONAL SA ISDWUS81SVW424 0.911%	250,000.00	06/18/2015	12/01/2015	250,318.00	251,000.61	(720.61)	251,000.61	(720.61)
08/10/18 B/E DTD 08/12/13 CLB	300,000.00	03/13/2015	11/17/2015	293,376.00	300,045.16	(6,669.16)	300,045.16	(6,669.16)
EXXON MOBIL CORP FRIED RATE NT 2.709% 01/06/25 B/E DTD 03/06/15	275,000.00	10/30/2015	11/04/2015	278,343.00	279,165.38	(822.38)	279,165.38	(822.38)
CLB	206,786.389	10/30/2014	10/12/2015	905,699.38	930,554.26	(24,854.88)	930,554.26	(24,854.88)
VERIZON COMMUNICATIONS INC FRIED RT-PARTLY EXCHANGED TO	8,627.00	04/10/2015	10/12/2015	334,481.69	345,342.92	(10,861.23)	345,342.92	(10,861.23)
LORD ABBETT SHORT DURATION INCOME FUND CLASS I	26,852.853	08/11/2015	10/12/2015	483,755.00	530,085.40	(44,330.40)	530,085.40	(44,330.40)
ISHARES TR \$6P US PFD STK INDEX FID	24,365.309	07/14/2015	10/12/2015	440,756.55	489,026.53	(48,269.98)	489,026.53	(48,269.98)
JOHNSON INTERNATIONAL SELECT FUND CLASS I	150,000.00	01/16/2015	09/02/2015	152,683.31	157,690.98	(5,007.67)	157,690.98	(5,007.67)
JOHNSON INTERNATIONAL SELECT FUND CLASS I	8,579.00	07/06/2015	08/24/2015	412,318.03	490,693.05	(78,375.02)	490,693.05	(78,375.02)
UNITED STATES TREAS NTS 2.375% 08/15/24 B/E DTD 08/15/14	5,887.00	05/10/2015	08/24/2015	633,385.28	690,886.45	(57,501.17)	690,886.45	(57,501.17)
WISDOMTREE TR JAPAN HEDGED EQUITY FID	57,544.00	01/23/2015	08/11/2015	1,293,553.31	1,402,934.72	(109,381.41)	1,402,934.72	(109,381.41)
ISHARES TR \$6P 500 GROWTH ETF	36,394.00	01/23/2015	07/06/2015	969,142.38	945,528.12	23,614.26	945,528.12	23,614.26
ISHARES INC CURRENCY HEDGED MSCI EMERGING MKETS ETF	1,116.00	05/20/2015	07/01/2015	44,147.41	50,040.16	(5,892.75)	50,040.16	(5,892.75)
ISHARES TR \$6P 500 GROWTH ETF	5,339.00	10/29/2014	07/01/2015	211,203.41	271,187.34	(59,983.93)	271,187.34	(59,983.93)
PMORGAN CHASE & CO ALERMAN MLP INDEX ETN BASED ON WAP (LEVEL OF INDEX)	4,195.00	09/24/2014	07/01/2015	165,948.36	219,584.46	(53,636.10)	219,584.46	(53,636.10)
PMORGAN CHASE & CO ALERMAN MLP INDEX ETN BASED ON WAP (LEVEL OF INDEX)	800,000.00	01/27/2015	06/23/2015	791,063.00	829,591.16	(37,728.16)	829,591.16	(37,728.16)
UNITED STATES TREAS NTS 2.250% 11/15/24 B/E DTD 11/15/14	250,000.00	01/16/2015	06/23/2015	250,417.69	263,075.12	(12,657.43)	263,075.12	(12,657.43)
CHARGED CAP PLC LTD NT 5.500% 09/30/16 B/E DTD 09/28/06 CLB	104,000.00	09/13/2014	06/18/2015	109,810.77	110,000.89	(189.12)	110,000.89	(189.12)
ISHARES TR \$6P US PFD STK INDEX FID	2,521.00	04/10/2015	05/20/2015	100,078.42	100,916.83	(838.41)	100,916.83	(838.41)
PHILLIPS 66 LTD SR NT 4.500% 04/01/22 B/E DTD 10/01/12	200,000.00	02/03/2015	05/19/2015	214,276.00	219,021.47	(4,745.47)	219,021.47	(4,745.47)
AUSTRIA & NEW ZEALAND BIG GROUP LTD NT 8.00% 06/13/14	375,000.00	07/22/2014	05/12/2015	176,083.50	175,607.24	476.26	175,607.24	476.26
ISHARES TR CURRENCY HEDGED MSCI MU ETF	48,555.00	03/03/2015	05/06/2015	1,360,516.62	1,360,989.23	(472.61)	1,360,989.23	(472.61)
ISHARES TR CURRENCY HEDGED MSCI MU ETF	21,782.00	03/17/2015	05/06/2015	610,394.12	628,858.34	(18,464.22)	628,858.34	(18,464.22)
ISHARES TR RUSSELL 1000 VALUE ETF	2,100.00	04/17/2014	03/17/2015	217,981.96	202,344.27	15,637.69	202,344.27	15,637.69
ISHARES TR RUSSELL 1000 VALUE ETF	5,322.00	10/29/2014	03/17/2015	542,048.47	523,331.49	18,716.98	523,331.49	18,716.98
ISHARES TR RUSSELL 1000 VALUE ETF	4,245.00	09/24/2014	03/17/2015	440,634.96	429,974.04	10,660.92	429,974.04	10,660.92
UNITED STATES TREAS NTS 2.250% 11/15/24 B/E DTD 11/15/14	180,000.00	01/27/2015	03/04/2015	181,940.63	180,855.07	1,085.56	180,855.07	1,085.56
FPA CRESCENT PORTFOLIO	16,666.435	06/24/2014	03/04/2015	571,740.94	576,517.00	(4,776.06)	576,517.00	(4,776.06)
PHILLIPS 66 LTD SR NT 2.950% 05/01/17 B/E DTD 11/01/12	100,000.00	09/23/2014	02/03/2015	103,227.00	103,722.59	(495.59)	103,722.59	(495.59)
TOYOTA MTR CR CORP MEDIUM TERM NTS MED TERM NOTES SERIES B	100,000.00	09/23/2014	01/27/2015	101,940.00	101,940.83	(83.83)	101,940.83	(83.83)
AMERICAN EXPRESS CR CORP MEDIUM TERM NTS MED TERM NOTES SERIES B	100,000.00	09/23/2014	01/27/2015	101,940.00	101,940.83	(83.83)	101,940.83	(83.83)
SENDER NOTES SER D 2.750% 09/15/15 B/E DTD 09/13/10	121,000.00	09/23/2014	01/27/2015	122,506.45	122,730.03	(223.58)	122,730.03	(223.58)
DODGE & COX INTERNATIONAL FUND	22,186.294	09/24/2014	01/23/2015	947,551.62	1,025,019.29	(77,467.67)	1,025,019.29	(77,467.67)
ABERDEEN EMERGING MARKETS FUND INSTITUTIONAL CLASS	42,412.194	04/17/2014	01/23/2015	602,242.56	640,025.00	(37,782.44)	640,025.00	(37,782.44)
ABERDEEN EMERGING MARKETS FUND INSTITUTIONAL CLASS	6,468.305	06/27/2014	01/23/2015	91,848.32	100,025.00	(8,176.68)	100,025.00	(8,176.68)
ABERDEEN EMERGING MARKETS FUND INSTITUTIONAL CLASS	51,197.479	06/26/2014	01/23/2015	726,991.41	762,050.59	(35,059.18)	762,050.59	(35,059.18)
FINRA LTD REALM PASS TRU TR SER 2011 BD CL 80 YA 4.000% 10/25/22 B/E DTD 07/01/11	185,000.00	01/22/2014	01/02/2015	1,267.10	1,358.87	(91.77)	1,358.87	(91.77)
TOTAL SHORT TERM GAINS / (LOSSES)				16,482,826.35	16,397,196.11	(85,630.24)	16,397,196.11	(85,630.24)

**FINLEY FAMILY FOUNDATION**  
**FORM 990-PF, PART IV, LN. 1a & 1b**  
**Capital Gains and Losses for Tax of Investment Income**  
**LONG TERM TRANSACTIONS**

YEAR 2015  
EIN 80-0875249

Description	Quantity	Date Acquired	Date Sold	Sales Price	Carrying Book Basis (FMV at gift date)	Gain/Loss	Investment Basis (Donor's Basis)	Gain/Loss
GENERAL ELEC CAP CORP MEDIUM TERM NTS FIXED RT MIN SER A 6.000% 08/07/19 B/E DTD 08/07/09	150,000.00	09/23/2014	11/23/2015	170,826.44	169,549.79	1,276.65	169,549.79	1,276.65
GENERAL ELEC CAP CORP MEDIUM TERM NTS FIXED RT MIN SER A 6.000% 08/07/19 B/E DTD 08/07/09	105,000.00	03/15/2013	11/23/2015	119,578.51	118,848.73	729.78	118,848.73	729.78
RIDGEWORTH SEX FLOATING RATE HIGH INCOME FUND CLASS I 9.446 581 12/03/2013	50,215.412	06/05/2013	08/24/2015	434,363.57	453,445.44	(19,081.87)	453,445.44	(19,081.87)
RIDGEWORTH SEX FLOATING RATE HIGH INCOME FUND CLASS I THE OSTERWEIS STRATEGIC INCOME FUND	23,324.852	09/18/2013	08/24/2015	81,712.93	85,397.09	(3,684.16)	85,397.09	(3,684.16)
THE OSTERWEIS STRATEGIC INCOME FUND THE OSTERWEIS STRATEGIC INCOME FUND	6,350.55	09/23/2013	08/24/2015	262,618.59	275,025.00	(12,406.41)	275,025.00	(12,406.41)
JP MORGAN CHASE & CO ALERIAN MLP INDEX EIM BASED ON WAP (LEVEL OF INDEX)	642.457	02/11/2014	08/24/2015	71,501.96	75,025.00	(3,523.04)	75,025.00	(3,523.04)
JP MORGAN CHASE & CO ALERIAN MLP INDEX EIM BASED ON WAP (LEVEL OF INDEX)	9,320.00	09/20/2011	08/11/2015	7,233.54	7,665.23	(431.69)	7,665.23	(431.69)
JP MORGAN CHASE & CO ALERIAN MLP INDEX EIM BASED ON WAP (LEVEL OF INDEX)	2,813.00	05/10/2011	08/11/2015	334,602.70	332,343.50	2,259.20	332,343.50	2,259.20
JP MORGAN CHASE & CO ALERIAN MLP INDEX EIM BASED ON WAP (LEVEL OF INDEX)	1,772.00	09/09/2013	08/11/2015	100,591.13	106,277.73	(5,286.60)	106,277.73	(5,286.60)
JP MORGAN CHASE & CO ALERIAN MLP INDEX EIM BASED ON WAP (LEVEL OF INDEX)	1,066.00	09/09/2013	07/01/2015	63,617.59	78,596.52	(14,978.93)	78,596.52	(14,978.93)
JP MORGAN CHASE & CO ALERIAN MLP INDEX EIM BASED ON WAP (LEVEL OF INDEX)	677.00	04/15/2013	07/01/2015	42,248.59	47,370.81	(5,122.22)	47,370.81	(5,122.22)
ABERDEEN GLOBAL HIGHINCOME FUND CLASS I ABERDEEN GLOBAL HIGHINCOME FUND CLASS I	1,510.00	07/09/2013	07/01/2015	30,115.31	30,115.31	(3,334.13)	30,115.31	(3,334.13)
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	49,554.014	05/22/2012	06/30/2015	59,733.50	71,965.90	(12,232.40)	71,965.90	(12,232.40)
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	3,118.631	12/31/2012	06/30/2015	446,458.14	470,313.44	(23,855.30)	466,795.99	(20,337.85)
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	90,000.00	08/16/2013	06/16/2015	28,097.39	31,002.17	(2,904.78)	30,780.80	(2,683.41)
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	110,000.00	03/18/2013	06/18/2015	93,584.70	92,987.34	617.36	92,987.34	617.36
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	150,000.00	07/17/2013	06/18/2015	114,381.30	113,841.31	539.99	113,841.31	539.99
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	100,000.00	08/16/2013	06/16/2015	158,380.91	158,293.26	87.65	158,293.26	87.65
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	105,000.00	03/20/2013	06/16/2015	102,331.15	101,639.20	691.95	101,639.20	691.95
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	105,000.00	03/04/2013	06/16/2015	107,447.70	106,908.59	539.11	106,908.59	539.11
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	95,000.00	08/16/2013	06/16/2015	106,190.70	106,175.55	15.15	106,175.55	15.15
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	150,000.00	06/13/2013	06/16/2015	96,077.30	96,065.81	11.49	96,065.81	11.49
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	110,000.00	03/26/2013	06/16/2015	153,669.00	153,265.52	403.48	153,265.52	403.48
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	40,000.00	08/16/2013	06/16/2015	110,000.00	110,000.00	-	110,000.00	-
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	185,000.00	01/22/2014	06/04/2015	40,000.00	40,000.00	-	40,000.00	-
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	185,000.00	01/22/2014	06/04/2015	133,429.69	138,193.09	(4,763.40)	138,193.09	(4,763.40)
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	17,655.189	12/03/2013	05/20/2015	1,288.36	1,381.77	(93.41)	1,381.77	(93.41)
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	38,398.623	07/03/2013	05/20/2015	157,484.28	159,602.91	(2,118.63)	159,602.91	(2,118.63)
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	8,598.452	02/11/2014	05/20/2015	342,515.72	347,507.54	(4,991.82)	347,507.54	(4,991.82)
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	10,810.811	12/31/2012	05/20/2015	100,060.98	102,589.21	(2,528.23)	102,589.21	(2,528.23)
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	25,000.00	08/16/2013	05/19/2015	99,866.89	107,469.78	(7,602.90)	106,932.35	(7,065.46)
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06	125,000.00	08/07/2013	05/19/2015	28,033.00	27,619.25	413.75	27,619.25	413.75
MERRILL LYNCH & CO INC SUB NT 6.050% 05/16/16 B/E DTD 05/16/06				140,165.00	138,984.31	1,180.69	138,984.31	1,180.69

# **FINLEY FAMILY FOUNDATION**

FORM 990-PF, PART IV, LN. 1a & 1b  
Capital Gains and Losses for Tax of Investment Income

## LONG TERM TRANSACTIONS

YEAR: 2015  
EIN: 80-0875249

Description	Quantity	Date Acquired	Date Sold	Sales Price	Carrying Book Basis (FMV at gift date)	Gain/Loss	Investment Basis (Donor's Basis)	Gain/Loss
DIRECTV HDGS LLC / DIRECTV FING INC SR NT 1.750% 01/15/18 B/E	95,000.00	08/16/2013	05/19/2015	94,726.40	92,591.24	2,135.16	92,591.24	2,135.16
DDT 01/15/13								
DIRECTV HDGS LLC / DIRECTV FING INC SR NT 1.750% 01/15/18 B/E	55,000.00	03/20/2013	05/19/2015	54,841.60	54,792.56	49.04	54,792.56	49.04
DDT 01/15/13								
CONSOLIDATED EDISON CO N Y INC DEB SER 2008 A 5.850% 04/01/18	150,000.00	12/26/2013	05/19/2015	168,049.50	166,193.72	1,855.78	166,193.72	1,855.78
B/E DTD 04/04/08								
AMERICAN INTL GROUP INC MEDIUM TERM NTS MTN 5.850% 01/16/18	95,000.00	08/16/2013	05/19/2015	105,298.00	102,727.70	2,570.30	102,727.70	2,570.30
B/E DTD 12/12/07								
AMERICAN INTL GROUP INC MEDIUM TERM NTS MTN 5.850% 01/16/18	55,000.00	04/17/2013	05/19/2015	60,962.00	60,458.50	503.50	60,458.50	503.50
B/E DTD 12/12/07								
LYONDELLBASELL INDS N V NT SEN@55.20834661 5.000% 04/15/19	150,000.00	04/15/2014	05/12/2015	164,043.00	164,372.43	(328.43)	164,372.43	(328.43)
B/E DTD 04/18/12 CLB								
FINMA GTD REMIC PASS THRU TR SER 2011 80 CL 80 VA 4.000% 10/25/22	185,000.00	01/22/2014	05/01/2015	1,284.08	1,377.18	(93.10)	1,377.18	(93.10)
B/E DTD 07/01/11								
LOOMIS SAYLES BOND FUND INSTL CLASS	12,896.825	12/31/2012	04/10/2015	188,938.49	195,000.00	(6,061.51)	195,000.00	(6,061.51)
LOOMIS SAYLES BOND FUND INSTL CLASS	14,407.335	02/11/2014	04/10/2015	211,067.46	220,000.00	(8,932.54)	220,000.00	(8,932.54)
LOOMIS SAYLES BOND FUND INSTL CLASS	3,198.117	01/18/2013	04/10/2015	46,852.41	49,155.05	(2,302.64)	49,155.05	(2,302.64)
FINMA GTD REMIC PASS THRU TR SER 2011 80 CL 80 VA 4.000% 10/25/22	185,000.00	01/22/2014	04/01/2015	1,279.82	1,372.61	(92.79)	1,372.61	(92.79)
B/E DTD 07/01/11								
REMS REAL ESTATE VALUE OPPORTUNITY FUND INSTITUTIONAL CLASS	4,201.681	09/10/2013	03/17/2015	76,257.54	65,025.00	11,232.54	65,025.00	11,232.54
REMS REAL ESTATE VALUE OPPORTUNITY FUND INSTITUTIONAL CLASS	9,386.733	07/09/2013	03/17/2015	170,362.57	150,025.00	20,337.57	150,025.00	20,337.57
REMS REAL ESTATE VALUE OPPORTUNITY FUND INSTITUTIONAL CLASS	8,900.187	04/15/2013	03/17/2015	161,532.10	142,249.99	19,282.11	142,249.99	19,282.11
REMS REAL ESTATE VALUE OPPORTUNITY FUND INSTITUTIONAL CLASS	12,883.436	04/25/2013	03/17/2015	233,825.26	210,025.00	23,800.26	210,025.00	23,800.26
ISHARES TR RUSSELL 1000 VALUE ETF	395.00	08/28/2012	03/17/2015	41,001.36	27,871.31	13,130.05	27,871.31	13,130.05
ISHARES TR RUSSELL 1000 VALUE ETF	563.00	10/24/2012	03/17/2015	58,439.92	40,327.88	18,111.94	40,327.88	18,111.94
FPA CRESCENT PORTFOLIO	14,561.518	04/25/2013	03/03/2015	495,531.90	445,025.00	54,506.90	445,025.00	54,506.90
FPA CRESCENT PORTFOLIO	6,371.456	07/09/2013	03/03/2015	218,572.37	200,025.00	18,547.37	200,025.00	18,547.37
FPA CRESCENT PORTFOLIO	1,871.491	09/10/2013	03/03/2015	64,201.37	60,025.00	4,176.37	60,025.00	4,176.37
FINMA GTD REMIC PASS THRU TR SER 2011 80 CL 80 VA 4.000% 10/25/22	185,000.00	01/22/2014	03/02/2015	1,275.56	1,368.05	(92.49)	1,368.05	(92.49)
B/E DTD 07/01/11								
PHILLIPS 66 GTD SR NT 2.950% 05/01/17 B/E DTD 11/01/12	150,000.00	06/13/2013	02/03/2015	154,840.50	154,286.03	554.47	154,286.03	554.47
VALERO ENERGY CORP NEW FIXED RT NT 4.500% 02/01/15 B/E DTD	200,000.00	06/13/2013	02/02/2015	200,000.00	200,000.00	-	200,000.00	-
02/08/10								
FINMA GTD REMIC PASS THRU TR SER 2011 80 CL 80 VA 4.000% 10/25/22	185,000.00	01/22/2014	02/02/2015	1,271.33	1,363.50	(92.17)	1,363.50	(92.17)
B/E DTD 07/01/11								
TOYOTA MTR CR CORP MEDIUM TERM NTS MED 11RM NOTES SERIES B	100,000.00	08/16/2013	01/27/2015	101,994.00	101,447.92	546.08	101,447.92	546.08
TOYOTA MTR CR CORP MEDIUM TERM NTS MED 11RM NOTES SERIES B	50,000.00	05/20/2013	01/27/2015	50,997.00	50,954.21	42.79	50,954.21	42.79
2.000% 09/15/16 B/E DTD 09/15/11	100,000.00	08/16/2013	01/27/2015	101,245.00	101,185.12	59.88	101,185.12	59.88
AMERICAN EXPRESS CR CORP MEDIUM TERM NTS 5-YEAR	25,000.00	05/20/2013	01/27/2015	25,311.25	25,325.78	(14.53)	25,325.78	(14.53)
SENIOR NOTES SER D 2.750% 09/15/15 B/E DTD 09/13/10								
SENIOR NOTES SER D 2.750% 09/15/15 B/E DTD 09/13/10								
TOTAL LONG TERM GAINS / (LOSSES)				7,593,274.23	7,540,016.89	53,257.34	7,553,740.73	57,533.80
TOTAL GAINS / (LOSSES)				23,055,900.38	23,847,216.10	(791,315.72)	23,842,539.64	(797,039.26)