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EXTENDED TO NOVEMBER 15, 2016

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2015

Open to Public Inspection

Form **990-PF**Department of the Treasury
Internal Revenue Service

For calendar year 2015 or tax year beginning

, and ending

Name of foundation THE CALDWELL-FISHER CHARITABLE FOUNDATION		A Employer identification number 77-0527966
Number and street (or P O box number if mail is not delivered to street address) 3620 CLAY STREET	Room/suite	B Telephone number (650) 233-9000
City or town, state or province, country, and ZIP or foreign postal code SAN FRANCISCO, CA 94118		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 8,230,846. (Part I, column (d) must be on cash basis)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	1,944,300.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	623.	623.		STATEMENT 2
	4 Dividends and interest from securities	52,680.	59,728.		STATEMENT 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	73,450.			STATEMENT 1
	b Gross sales price for all assets on line 6a	661,644.			
	7 Capital gain net income (from Part IV, line 2)		101,606.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	-595.	5,070.		STATEMENT 4	
12 Total. Add lines 1 through 11	2,070,458.	167,027.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	36,500.	0.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes	90,285.	285.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
23 Other expenses	256.	0.		0.	
24 Total operating and administrative expenses. Add lines 13 through 23	127,041.	285.		0.	
25 Contributions, gifts, grants paid	3,807,300.			3,807,300.	
26 Total expenses and disbursements. Add lines 24 and 25	3,934,341.	285.		3,807,300.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-1,863,883.				
b Net investment income (if negative, enter -0-)		166,742.			
c Adjusted net income (if negative, enter -0-)			N/A		

523501 11-24-15 LHA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	7,679,768.	2,327,411.	2,327,411.
	3 Accounts receivable ▶ 30,709.		30,709.	30,709.
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	2,819,429.	5,872,726.	5,872,726.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		10,499,197.	8,230,846.	8,230,846.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Foundations that follow SFAS 117, check here <input type="checkbox"/>	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	10,499,197.	8,230,846.	
	30 Total net assets or fund balances	10,499,197.	8,230,846.	
31 Total liabilities and net assets/fund balances	10,499,197.	8,230,846.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,499,197.
2 Enter amount from Part I, line 27a	2	-1,863,883.
3 Other increases not included in line 2 (itemize) ▶ CHANGE IN BOOK LIABILITIES	3	32,940.
4 Add lines 1, 2, and 3	4	8,668,254.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZED GAIN/LOSS	5	437,408.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,230,846.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a VARIOUS SALES - SEE STATEMENT ATTACHED	P	VARIOUS	VARIOUS
b VARIOUS SALES - SEE STATEMENT ATTACHED	P	VARIOUS	VARIOUS
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 89,879.		75,989.	13,890.
b 571,765.		484,049.	87,716.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			13,890.
b			87,716.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	101,606.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	3,720,533.	6,002,730.	.619807
2013	2,358,506.	3,071,207.	.767941
2012	1,746,515.	3,050,474.	.572539
2011	1,997,358.	3,231,886.	.618016
2010	1,477,072.	2,967,983.	.497669

2 Total of line 1, column (d)	2	3.075972
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.615194
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	8,373,128.
5 Multiply line 4 by line 3	5	5,151,098.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,667.
7 Add lines 5 and 6	7	5,152,765.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.	8	3,807,300.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	3,335.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,335.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,335.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015		6a	34,044.
b Exempt foreign organizations - tax withheld at source		6b	
c Tax paid with application for extension of time to file (Form 8868)		6c	
d Backup withholding erroneously withheld		6d	
7 Total credits and payments. Add lines 6a through 6d		7	34,044.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	30,709.
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax <input type="checkbox"/> 30,709. Refunded <input type="checkbox"/> 0.		11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes" attach a schedule listing their names and addresses	10	X

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	X	
14 The books are in care of JOHN FISHER Telephone no. (650) 233-9000 Located at 3620 CLAY STREET, SAN FRANCISCO, CA ZIP+4 94118		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JENNIFER CALDWELL 3620 CLAY STREET SAN FRANCISCO, CA 94118	PRESIDENT/VICE	PRESIDENT		
	1.00	0.	0.	0.
JOHN FISHER 3620 CLAY STREET SAN FRANCISCO, CA 94118	CEO/SECRETARY			
	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,157,318.
b	Average of monthly cash balances	1b	5,343,320.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	8,500,638.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	8,500,638.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	127,510.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,373,128.
6	Minimum investment return. Enter 5% of line 5	6	418,656.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	418,656.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	3,335.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,335.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	415,321.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	415,321.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	415,321.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,807,300.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,807,300.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,807,300.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				415,321.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010	1,349,559.			
b From 2011	1,869,898.			
c From 2012	1,643,523.			
d From 2013	2,292,756.			
e From 2014	3,581,526.			
f Total of lines 3a through e	10,737,262.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$ 3,807,300.				
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				415,321.
e Remaining amount distributed out of corpus	3,391,979.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	14,129,241.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	1,349,559.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	12,779,682.			
10 Analysis of line 9:				
a Excess from 2011	1,869,898.			
b Excess from 2012	1,643,523.			
c Excess from 2013	2,292,756.			
d Excess from 2014	3,581,526.			
e Excess from 2015	3,391,979.			

**THE CALDWELL-FISHER CHARITABLE
FOUNDATION**

Form 990-PF (2015)

77-0527966 Page 10

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 9

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 10

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE CALDWELL-FISHER CHARITABLE
FOUNDATION

Form 990-PF (2015)

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE ATTACHED STATEMENT	PUBLIC CHARITIES			3,807,300.
Total			3a	3,807,300.
b Approved for future payment				
NONE				
Total			3b	0.

523611
11-24-15

Form 990-PF (2015)

Form 990-PF (2015)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		Related or exempt function income
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	623.		
4 Dividends and interest from securities			14	52,680.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income			14	-595.		
8 Gain or (loss) from sales of assets other than inventory			18	73,450.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		126,158.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	126,158

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form 990-PF (2015)

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1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash (2) Other assets b Other transactions: (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		Yes	No
	1a(1)		X
	1a(2)		X
	1b(1)		X
	1b(2)		X
	1b(3)		X
	1b(4)		X
	1b(5)		X
	1b(6)		X
	1c		X

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

CEO/SECRETARY

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ self-employed

PTIN

SANGINI GOENKA

S. M. Goenka

11/10/16

P01061662

Firm's name ► **FRANK, RIMERMAN & CO. LLP**

Firm's EIN ► 94-1341042

Firm's address ► 1801 PAGE MILL ROAD
PALO ALTO, CA

Phone no (650) 845-8100

Form **990-PF** (2015)

Schedule B
(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Name of the organization

THE CALDWELL-FISHER CHARITABLE
FOUNDATION

Employer identification number

77-0527966

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules☐

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.☐For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Name of organization THE CALDWELL-FISHER CHARITABLE FOUNDATION	Employer identification number 77-0527966
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOHN FISHER & JENNIFER CALDWELL 3620 CLAY STREET SAN FRANCISCO, CA 94118	\$ 1,944,300.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Employer identification number

77-0527966

[illegible]

Name of organization

THE CALDWELL-FISHER CHARITABLE
FOUNDATION

Employer identification number

77-0527966

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info. once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED PURCHASED	(F) DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
VARIOUS SALES - SEE STATEMENT ATTACHED	89,879.	104,145.	0.	0.	-14,266.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED PURCHASED	(F) DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
VARIOUS SALES - SEE STATEMENT ATTACHED	571,765.	484,049.	0.	0.	87,716.	

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	73,450.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST INCOME FROM K-1 - ATLAS PIPELINE PARTNERS	27.	27.	
INTEREST INCOME FROM K-1 - TARGA RESOURCES PARTNERS	68.	68.	
INTEREST INCOME FROM K-1 - THE BLACKSTONE GROUP	528.	528.	
TOTAL TO PART I, LINE 3	623.	623.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME FROM K-1 - THE BLACKSTONE GROUP	160.	0.	160.	160.	
SMITH BARNEY - DIVIDENDS	52,520.	0.	52,520.	59,568.	
TO PART I, LINE 4	52,680.	0.	52,680.	59,728.	

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME FROM K-1 - PTP DISPOSALS	6,053.	6,053.	
OTHER INCOME FROM K-1 - THE BLACKSTONE GROUP	2,361.	2,361.	
OTHER INCOME FROM K-1 - ONEOK PARTNERS	-3,346.	-3,344.	
OTHER INCOME FROM K-1 - TARGA RESOURCES PARTNERS	-5,663.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-595.	5,070.	

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	36,500.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	36,500.	0.		0.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	90,000.	0.		0.
FOREIGN TAXES PAID	285.	285.		0.
TO FORM 990-PF, PG 1, LN 18	90,285.	285.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	96.	0.		0.
STATE TAX	160.	0.		0.
TO FORM 990-PF, PG 1, LN 23	256.	0.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK - SEE ATTACHED STATEMENT	6,013,232.	5,882,033.
UNREALIZED GAIN/LOSS	-131,199.	0.
PUBLICLY TRADED PARTNERSHIP ADJUSTMENTS	-9,307.	-9,307.
TOTAL TO FORM 990-PF, PART II, LINE 10B	5,872,726.	5,872,726.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	9
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NAME OF MANAGER

JENNIFER CALDWELL
JOHN FISHER

FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT 10
	PART XV, LINES 2A THROUGH 2D	

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JOHN FISHER
3620 CLAY STREET
SAN FRANCISCO, CA 94118

TELEPHONE NUMBER

650-233-9000

FORM AND CONTENT OF APPLICATIONS

APPLICATION SHOULD BE IN WRITING AND PURPOSE OF THE FUNDING SHOULD BE
STATED CLEARLY IN THE MATERIALS.

ANY SUBMISSION DEADLINES

NO DEADLINE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

THE CALDWELL-FISHER CHARITABLE FOUNDATION
 REALIZED GAIN/LOSS REPORT
 YEAR-ENDED DECEMBER 31, 2015

Stock	Purchase Date	Sale Date	Sale Proceeds	to column (b) on 990PF		to column (a) on 990PF		
				Cost Basis Tax (Nil)	Capital Gain/Loss	Cost Basis Book	Cap Gain Book	
Long-Term								
1,217 shs CIT Group Inc New	06/13/07	06/24/15	57,456	30,944	26,512	43,386	14,070	
600 shs FTD COS Inc Com	11/01/13	06/24/15	17,492	20,148	(2,656)	35,862	(18,370)	
445 shs OneOK Partners LP	10/01/14	11/20/15	13,694	24,897	(11,203)	24,897	(11,203)	
PTP Adjustment			1,237		1,237	-	1,237	
Short-Term								
350 shs Baxter Intl Inc	10/01/14	06/24/15	25,058	25,009	49	25,009	49	
1,515 shs Cyberonics Corp	11/12/14	08/20/15	98,022	75,094	22,928	75,094	22,928	
495 shs HSBC Holdings PLC Spon ADR New	10/01/14	06/24/15	23,374	24,891	(1,517)	24,891	(1,517)	
235 shs Kimberly Clark Corp	10/01/14	06/24/15	25,431	24,124	1,307	24,124	1,307	
445 shs Kraft Foods Group Inc Com	10/01/14	07/06/15	7,343	-	7,343	-	7,343	
400 shs Medtronic Inc	10/01/14	01/27/15	30,780	24,977	5,803	24,977	5,803	
8,000 shs Mindbody, Inc Cl A	07/02/15	10/12/15	134,537	104,228	30,309	104,228	30,309	
4,695 shs Nexpoint Credits Strategies FD	10/01/14	06/24/15	36,178	33,736	2,442	33,736	2,442	
.031 shs Nexpoint Resident TR Inc	03/31/15	03/31/15	-	-	-	-	-	
1,565 shs Nexpoint Resident TR Inc	03/31/15	11/20/15	20,287	21,783	(1,496)	21,783	(1,496)	
5,555 shs Nuveen Credit Strat Inc FD	10/01/14	06/24/15	48,350	49,737	(1,387)	49,737	(1,387)	
5,615 shs Nuveen Credit Strat Inc FD	11/12/14	06/24/15	48,872	50,138	(1,266)	50,138	(1,266)	
.605 shs Targa Res Ptnrs LP Rep Uts	10/01/14	03/03/15	26	38	(12)	38	(12)	
1,104 shs Thoratec Corp New	06/24/15	07/22/15	69,943	50,294	19,649	50,294	19,649	
.01 shs Wright Medical Group	06/24/15	10/02/15	-	-	-	-	-	
PTP Adjustment			3,564		3,564	-	3,564	
TOTAL LONG-TERM				75,989	13,890	104,145	(14,266)	
TOTAL SHORT-TERM				484,049	87,716	-	87,716	
TOTAL								588,194
				560,038	101,606	588,194	73,450	

Caldwell-Fisher Charitable Foundation
Contributions - FYE 12/31/2015

Name and address	Foundation Status of Recipient	Purpose of grant or contributor	Date	Amount
Environmental Working Group 1436 U St NW, Suite 101, Washington, DC 20009	Public Charity	Environment	1/21/2015	\$50,000
A C T (Strand Theater) 30 Grant Ave , 7th Floor, SF, CA 94108	Public Charity	The Arts	1/21/2015	\$25,000
The SF Film Society 39 Mesa St ., Suite 110, SF, CA 94129	Public Charity	The Arts	1/21/2015	\$2,500
Council on Foreign Relations (Ken Moskow) 58 East 68th St , NY, NY 10065	Public Charity	Education	1/27/2015	\$500
California Academy of Sciences 55 Music Concourse Dr , SF, CA 94118	Public Charity	Educ /Env	2/26/2015	\$50,000
Compass Family Services 49 Powell St , 3rd Fl, SF, CA 94102	Public Charity	Health/Welfare	2/26/2015	\$2,500
Education Outside 135 Van Ness Ave , Rm 408, SF, CA 94102	Public Charity	Health/Welfare	2/26/2015	\$2,500
SMART 1663 Mission St , Ste 400, SF, CA 94103	Public Charity	Education	3/17/2015	\$2,500
KDBS 7070 California St , SF, CA 94121	Public Charity	Education	3/17/2015	\$20,000
Friends of myradio Inc P O Box 1148, Vineyard Haven, MA 02568	Public Charity	The Arts	3/20/2015	\$25,000
Roxbury Youth Orchestra (Fractured Atlas) 2 Holyoke Place, Cambridge, MA 02138	Public Charity	The Arts	4/7/2015	\$2,000
Ten Strands 330 Ignacio, Ste 201, Novato, CA 94949	Public Charity	Education/Env	4/7/2015	\$10,000
Walnut Hill School for the Arts 12 Highland St., Natick, MA 01760-9835	Public Charity	The Arts	4/7/2015	\$15,000
Friends of Harvard Water Polo	Public Charity	Education	4/7/2015	\$2,500

Name and address	Foundation Status of Recipient	Purpose of grant or contributor	Date	Amount
Murr Center, 65 N. Harvard St., Boston, MA 02163				
San Francisco RBI PO Box 29251, Presidio Station PO, SF, CA 94129	Public Charity	Education	4/7/2015	\$10,000
Golden Gate Nat'l Parks Conservancy 201 Fort Mason, SF, CA 94123	Public Charity	Environment	4/15/2015	\$5,000
Common Sense Media (2015 Awards) 650 Townsend, Suite 435, SF, CA 94103	Public Charity	Education	4/21/2015	\$50,000
California Academy of Sciences 55 Music Concourse Dr , SF, CA 94118	Public Charity	Educ /Env	4/30/2015	\$25,000
The SF Film Society 39 Mesa St ., Suite 110, SF, CA 94129	Public Charity	The Arts	4/30/2015	\$2,500
Little Kids Rock 271 Grove Ave , Bldg E-2, Verona, NJ 07044	Public Charity	The Arts	4/30/2015	\$5,000
Tipping Point Community 220 Montgomery St , Ste 850, SF, CA 94104	Public Charity	Education	5/1/2015	\$25,000
KDBS 7070 California Street , SF, CA 94121	Public Charity	Education	5/14/2015	\$10,000
Sarah Lawrence College (4 of 5) 1 Mead Way, Bronxville, NY 10708	Public Charity	Education	5/27/2015	\$200,000
Sarah Lawrence College (annual fund) 1 Mead Way, Bronxville, NY 10708	Public Charity	Education	5/27/2015	\$15,000
KDBS Joy of Learning 7070 California St , SF, CA 94121	Public Charity	Education	5/27/2015	\$50,000
Conservation International 2011 Crystal Dr , Suite 500, Arlington, VA 22202	Public Charity	Environment	5/27/2015	\$20,000
Golden Gate National Parks (Trails Forever) 201 Fort Mason, 3rd Fl, SF, CA 94123	Public Charity	Environment	5/27/2015	\$5,000
Slide Ranch 2025 Shoreline Highway, Muir Beach, CA 94965	Public Charity	Educ/Environmen	5/27/2015	\$10,000
Boca Raton Reg'l Hospital Foundation (4 of 5)	Public Charity	Health	6/5/2015	\$200,000

Name and address	Foundation Status of Recipient	Purpose of grant or contributor	Date	Amount
745 Meadows Rd , Boca Raton, FL 33486				
California Academy of Sciences 55 Music Concourse Dr , SF, CA 94118	Public Charity	Educa/Env	6/5/2015	\$500,000
Common Sense Media 650 Townsend, Suite 435, SF, CA 94103	Public Charity	Education	6/5/2015	\$200,000
Exploratorium Piers 15/17, SF, CA 94111	Public Charity	Educ/Env	6/5/2015	\$50,000
George Jackson Academy 104 St Mark's Place, NY, NY 10009	Public Charity	Education	6/5/2015	\$20,000
Harvard University 124 Mt Auburn St , 4th Fl, Cambridge, MA 02138	Public Charity	Education	6/5/2015	\$1,200,000
Harvard Business School Teele Hall 209, Boston, MA 02163	Public Charity	Education	6/5/2015	\$50,000
Rocky Mountain Institute 1820 Folsom St , Boulder, CO 80302	Public Charity	Environment	6/5/2015	\$20,000
SFMOMA 151 Third St., SF, CA 94103	Public Charity	Arts	6/5/2015	\$200,000
10,000 Degrees (STFYC) 1650 Los Gatos Rd , Suite 110, San Rafael, CA	Public Charity	Education	6/5/2015	\$500
Town of Aquinnah (Gay Head Light) 65 State Rd , Aquinnah, MA 02535	Public Charity	Environment	6/9/2015	\$25,000
Walnut Hill School for the Arts (WFMA Program) 12 Highland St., Natick, MA 01760-9835	Public Charity	The Arts	6/19/2015	\$10,000
Exploratorium Piers 15/17, SF, CA 94111	Public Charity	Educ/Env	6/22/2015	\$25,000
The Nature Conservancy c/o Ana Tinsler 4245 North Fairfax Dr , Ste 100, Arlington, VA 22203	Public Charity	Environment	7/6/2015	\$25,000
San Francisco Ballet (2016 Opening Night) 455 Franklin St , SF, CA 94102	Public Charity	The Arts	7/13/2015	\$10,000
Pt. Reyes National Seashore Assn. (Pacific Plate)	Public Charity	Environment	8/10/2015	\$2,500

Name and address	Foundation Status of Recipient	Purpose of grant or contributor	Date	Amount
1 Bear Valley Rd., Bldg 70, Pt. Reyes sta, CA 94956				
California Academy of Sciences 55 Music Concourse Dr , SF, CA 94118	Public Chanty	Educ /Env	9/11/2015	\$2,500
MVYouth PO Box 65, Chilmark, MA 02535	Public Chanty	Ed/Welfare	9/11/2015	\$25,000
Education Outside 135 Van Ness Ave., Suite 408, SF, CA 94102	Public Chanty	Education	9/24/2015	\$2,500
Project Avary PO Box 150088, San Rafael, CA 94915-0088	Public Chanty	Education	9/24/2015	\$10,000
Ronald McDonald House 520 Sand Hill Rd., Palo Alto, CA 94304	Public Chanty	Health	9/30/2015	\$1,000
Sonoma Valley Growers & Vintners Foundation 783 Broadway, Sonoma, CA 95476	Public Chanty Fund the Future	Environment	10/8/2015	\$10,000
Frends of Harvard Water Polo 65 North Harvard St., Boston, MA 02163	Public Chanty	Education	10/8/2015	\$5,000
PRWM Disaster Fund PO Box 308, Pt. Reyes Station, CA 94956	Public Chanty	Environment	10/8/2015	\$1,000
Green Lake Small Craft Center 5900 W Green Lake Way N, Seattle, WA 98103	Public Chanty	Education	10/8/2015	\$500
Atlas Film (The Last Animals) 3120 A Colorado Ave, Santa Monica, CA 90404	Public Chanty	Environment	10/8/2015	\$25,000
SFMOMA 151 Third Street, SF, CA 94103	Public Chanty	The Arts	10/15/2015	\$1,000
MALT PO Box 809, Pt. Reyes Station, CA 94956	Public Chanty	Environment	10/15/2015	\$5,000
Windermere Island Foundation Inc. 63 Kercheval Ave., Ste 301, Grosses Point Farms, MI 48236	Public Chanty	Ed, Env, H&W	10/28/2015	\$10,000
The Boathouse Foundation PO Box 2340, Edgartown, MA 02539	Public Chanty	Educ, H&W	10/28/2015	\$300
Boys & Girls Club SF	Public Chanty	Health & Welfare	10/28/2015	\$2,500

Name and address	Foundation Status of Recipient	Purpose of grant or contributor	Date	Amount
380 Fulton St., SF, CA 94102				
Compass Family Services 49 Powell St., 3rd Fl, SF, CA 94102	Public Charity	Health & Welfare	11/19/2015	\$2,500
The Olympic Club Foundation 524 Post St., SF, CA 94102	Public Charity	Health & Welfare	11/19/2015	\$1,000
BizWorld.org 311 California St., Ste 750, SF, CA 94104	Public Charity	Education	11/19/2015	\$5,000
Gladstone Institutes 1650 Owens St., SF, CA 94158	Public Charity	Health	11/19/2015	\$10,000
American Red Cross 1663 Market St., SF, CA 94103	Public Charity	Welfare	11/19/2015	\$1,000
Exploratorium Pier 17, Ste 100, SF, CA 94111	Public Charity	Ed/Env	12/11/2015	\$300,000
Stanford University PO Box 20466, Stanford, CA 94309	Public Charity	Education	12/11/2015	\$50,000
Beaumont Foundation 3711 West 13 Mile Rd., Royal Oak, MI 48073	Public Charity	Health	12/11/2015	\$100,000
SFMOMA 151 Third Street, SF, CA 94103	Public Charity	The Arts	12/11/2015	\$30,000
Environmental Working Group 1436 U St. NW, Suite 100, Washington, D.C. 20009	Public Charity	Environment	12/14/2015	\$20,000
Vida Verde 3540 La Honda Rd., San Gregorio, CA 94074	Public Charity	Ed/Env	12/17/2015	\$1,000
Martha's Vineyard Hospital {P Box 1477, Oak Bluffs, MA 02557	Public Charity	Health	12/22/2015	\$5,000
2015 Total				\$3,807,300

Caldwell-Fisher Charitable Foundation
EIN 77-0527966
Corporate Stock and Bond Values
Tax Year 2015

Stocks	Beginning of Year Book Value	End of Year Book Value	End of Year FMV
10,000 SHS Cisco Sys Inc	530,000	530,000	271,550
1,217 SHS CIT Group Inc	43,386	-	-
4,500 SHS Baidu Inc Spon Adr Rptng	516,510	516,510	850,680
10,000 SHS Baidu Inc Spon Adr Rptng	-	1,944,300	1,890,400
428 SHS United Online Inc	12,278	12,278	5,046
600 SHS FTD Cos Inc Com	35,862	-	-
605 SHS Abbott Laboratories	24,911	24,911	27,171
785 SHS Abbott Laboratories	-	39,034	35,254
435 SHS Abbvie Inc Com	24,922	24,922	25,769
560 SHS Abbvie Inc Com	-	39,092	33,174
820 SHS Abbvie Inc Com	-	50,098	48,577
480 SHS American Express Co	-	39,173	33,384
690 SHS American Express Co	-	49,869	47,990
180 SHS Amgen Inc	24,945	24,945	29,219
245 SHS Amgen Inc	-	39,530	39,771
310 SHS Amgen Inc	-	49,958	50,322
305 SHS Apple Inc	-	39,228	32,104
420 SHS Apple Inc	-	50,423	44,209
2,230 SHS Bank of America Corp	-	38,955	37,531
2,830 SHS Bank of America Corp	-	50,293	47,629
350 SHS Baxter Intl Inc	25,009	-	-
495 SHS Bristol Myers Squibb Co	24,930	24,930	34,051
335 SHS Cardinal Health Inc	24,986	24,986	29,905
445 SHS Cardinal Health Inc	-	39,348	39,725
570 SHS Cardinal Health Inc	-	49,875	50,884
210 SHS Chevron Corp	24,821	24,821	18,892
755 SHS Conagra Foods Inc	24,987	24,987	31,831
890 SHS Conagra Foods Inc	-	39,003	37,522
1,220 SHS Conagra Foods Inc	-	50,471	51,435
2,004 SHS Coreenergy Infrastructure TR Inc	-	50,052	29,739
545 SHS CVS Health Corp Com	-	50,155	53,285
1,515 SHS Cyberonics Corp	75,094	-	-
470 SHS Eli Lilly & Co	-	38,836	39,602
545 SHS Glaxosmithkline Plc ADS	25,080	25,080	21,991
29 SHS Halyard Health Inc	1,032	1,032	969
495 SHS HSBC Holdings PLC Spon ADR New	24,891	-	-
730 SHS Intel Corp	24,984	24,984	25,149
415 SHS JP Morgan Chase & Co	24,897	24,897	27,402
1,290 SHS JP Morgan Chase & Co	-	89,102	85,179
740 SHS JP Morgan Chase & Co	-	50,087	48,862
235 SHS Kimberly Clark Corp	24,124	-	-
415 SHS Kimberly Clark Corp	-	50,603	52,830
445 SHS Kraft Foods Group Inc Com	24,955	24,955	32,378
845 SHS Linear Technology Corporation	-	38,932	35,887
400 SHS Medtronic Inc	24,977	-	-
400 SHS Medtronic Inc	-	30,780	30,768
515 SHS Medtronic Inc	-	39,010	39,614
655 SHS Medtronic Inc	-	50,240	50,383
665 SHS Merck & Co Inc New Com	-	39,210	35,125
925 SHS Merck & Co Inc New Com	-	50,416	48,859
475 SHS MetLife Incorporated	24,890	24,890	22,900
670 SHS MetLife Incorporated	-	38,731	32,301
980 SHS MetLife Incorporated	-	50,002	47,246
7,000 SHS MindBody, Inc Cl A	-	91,200	105,910
10,310 SHS Orexigen Therapeutics Inc	-	49,845	17,733
20 SHS PJT Partners Inc Com Cl A	-	77	576
24 SHS PJT Partners Inc Com Cl A	-	90	668
1,550 SHS Pfizer Inc	-	49,971	50,034
290 SHS Prudential Financial Inc	24,965	24,965	23,609
430 SHS Prudential Financial Inc	-	39,020	35,006
575 SHS Prudential Financial Inc	-	49,897	46,811
525 SHS St Jude Medical Inc	-	39,153	32,429
810 SHS St Jude Medical Inc	-	50,565	50,034
810 SHS Teva Pharmaceuticals ADR	-	50,396	53,168
1,225 SHS United Therapeutics	150,788	150,788	191,847
12,100 SHS Vanda Pharmaceuticals Inc	152,704	152,704	112,651
345 SHS Walt Disney Co Hldg Co	-	39,634	36,253
420 SHS Walt Disney Co Hldg Co	-	50,605	44,134
1,902 SHS Wright Medical Group	-	50,329	45,990
675 SHS Atlas Pipeline Ptnrs LP	25,042	-	-
815 SHS Blackstone Group LP	25,002	24,900	23,831
945 SHS Blackstone Group LP	-	38,850	27,632
1,610 SHS Blackstone Group LP	-	49,927	47,076
445 SHS OneOK Partners LP	24,897	-	-
394 SHS Targa Res Ptnrs LP Rep UTS	-	25,004	6,513
5,900 SHS Alpine Total Dynamic Dividend	50,305	50,305	45,312
5,100 SHS Gabelti Hlthcare & Wellness	50,302	50,302	52,275
4,830 SHS Gabelti Hlthcare & Wellness	50,536	50,536	49,508
8,700 SHS Liberty All Star Equity FD SBI	50,294	50,294	46,545
8,400 SHS Liberty All Star Equity FD SBI	50,130	50,130	44,940
4,695 SHS Nexpoint Credit Strategies FD	49,861	-	-
5,555 SHS Nuveen Credit Strat Inc FD	49,737	-	-
5,615 SHS Nuveen Credit Strat Inc FD	50,138	-	-
2,850 SHS Royce Global Value TR Inc Com	24,603	24,603	21,233
2,900 SHS Royce Global Value TR Inc Com	25,045	25,045	21,605
5,935 SHS Royce Global Value TR Inc Com	50,163	50,163	44,216
Total	2,516,983	6,013,232	5,882,033