



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2015

Department of the Treasury  
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2015, or tax year beginning , 2015, and ending

Name of foundation <b>Albert and Margaret Alkek Foundation</b>		A Employer identification number <b>76-0491186</b>
Number and street (or P O box number if mail is not delivered to street address) <b>1100 Louisiana St</b>	Room/suite <b>5250</b>	B Telephone number (see instructions) <b>(713) 652-6601</b>
City or town, state or province, country, and ZIP or foreign postal code <b>Houston TX 77002</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ <b>228,346,032.</b>	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (attach schedule) . . .	1,563,036.			
	2 Ck <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments . . . . .	547.	547.		
	4 Dividends and interest from securities . . . . .	785,901.	785,901.		
	5a Gross rents . . . . .				
	b Net rental income or (loss) . . . . .				
	6a Net gain or (loss) from sale of assets not on line 10 . . . . .	11,747,123.	L-6a Stmt		
	b Gross sales price for all assets on line 6a . . . . .	24,476,781.			
	7 Capital gain net income (from Part IV, line 2) . . . . .		11,120,464.		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances . . . . .				
b Less Cost of goods sold . . . . .					
c Gross profit or (loss) (attach schedule) . . . . .					
11 Other income (attach schedule) . . . . .					
See Line 11 Stmt		10,406,436.	1,397,662.		
12 Total Add lines 1 through 11	10,503,043.	13,304,574.			
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc. . . . .	1709,669.	420,897.		238,340.
	14 Other employee salaries and wages . . . . .	17,537.	783.		16,660.
	15 Pension plans, employee benefits . . . . .	40,066.	27,218.		9,586.
	16a Legal fees (attach schedule) . . . . .	120,322.	120,322.		
	b Accounting fees (attach sch.) . . . . .	26,592.	16,368.		6,729.
	c Other prof fees (attach sch.) . . . . .	555,414.	554,519.		
	17 Interest . . . . .				
	18 Taxes (attach schedule)(see instrs) . . . . .	235,020.	39.		
	19 Depreciation (attach schedule) and depletion . . . . .	14,840.	8,898.		
	20 Occupancy . . . . .	297.	178.		98.
	21 Travel, conferences, and meetings . . . . .	1,584.	1,092.		361.
	22 Printing and publications . . . . .	1,299.	1,080.		90.
	23 Other expenses (attach schedule) . . . . .				
	24 Total operating and administrative expenses Add lines 13 through 23 . . . . .	4,086,777.	1,988,881.		275,675.
25 Contributions, gifts, grants paid . . . . .	11,900,000.			11,900,000.	
26 Total expenses and disbursements Add lines 24 and 25 . . . . .	15,986,777.	1,988,881.		12,175,675.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements . . . . .	1,516,266.				
b Net investment income (if negative, enter -0-). . . . .		11,315,693.			
c Adjusted net income (if negative, enter -0-). . . . .					

SCANNED DEC 06 2016

g27

10



**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See attached statement				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 23,850,122.		12,729,658.	11,120,464.	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a			11,120,464.	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) . . . . .		[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ] . . . . .		2 11,120,464.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		[ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . ] . . . . .		3

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . . ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	12,092,151.	237,608,002.	0.050891
2013	11,254,688.	222,324,491.	0.050623
2012	10,583,884.	210,815,917.	0.050204
2011	10,744,703.	213,150,343.	0.050409
2010	10,228,677.	203,946,002.	0.050154
2 Total of line 1, column (d) . . . . .			2 0.252281
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .			3 0.050456
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 . . . . .			4 237,282,784.
5 Multiply line 4 by line 3 . . . . .			5 11,972,340.
6 Enter 1% of net investment income (1% of Part I, line 27b) . . . . .			6 113,157.
7 Add lines 5 and 6 . . . . .			7 12,085,497.
8 Enter qualifying distributions from Part XII, line 4 . . . . .			8 12,175,675.
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary — see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	113,157.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	113,157.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	113,157.
6 Credits/Payments			
a 2015 estimated tax pmts and 2014 overpayment credited to 2015	6 a	99,784.	
b Exempt foreign organizations — tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c	50,000.	
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d	7	149,784.	
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	117.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	36,510.	
11 Enter the amount of line 10 to be Credited to 2016 estimated tax 36,510. Refunded	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) TX - Texas		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

BAA

Form 990-PF (2015)

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <u>www.alkek.org</u>				
14	The books are in care of <u>Scott Seaman</u> Telephone no <u>(713) 652-6601</u>			
Located at <u>1100 Louisiana, Ste 5250 Houston TX</u> ZIP + 4 <u>77002</u>				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/>			
and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>				
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
<b>1 a</b> During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		X
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		X
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
<b>a</b> At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)		
<b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
<b>3 a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<b>b</b> If 'Yes,' did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)		X
<b>4 a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		X

BAA

Form 990-PF (2015)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5 a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870

**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See attached statement				

**2** Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 ☐ None

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Cummings & Lockwood LLC Six Landmark Square Stamford CT 06901	legal counsel	159,388.
Iridian Asset Management LLC 276 Post Road West Westport CT 06880	investment management	175,123.
Eagle Capital Management, LLC 499 Park Avenue New York NY 10022	investment management	120,339.
Wells Capital Management 525 Market Street, 10th Floor San Francisco CA 94105	investment management	110,072.
Polen Capital Management, LLC 1825 NW Corporate Blvd., Suite 300 Boca Raton FL 33431	investment management	97,822.
Total number of others receiving over \$50,000 for professional services		1

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	

BAA

Form 990-PF (2015)



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1 a</b>	68,448,490.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1 b</b>	5,764,323.
<b>c</b>	Fair market value of all other assets (see instructions) . . . . .	<b>1 c</b>	166,683,414.
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1 d</b>	240,896,227.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1 e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	240,896,227.
<b>4</b>	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions) . . . . .	<b>4</b>	3,613,443.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4 . . . . .	<b>5</b>	237,282,784.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	11,864,139.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	11,864,139.
<b>2 a</b>	Tax on investment income for 2015 from Part VI, line 5 . . . . .	<b>2 a</b>	113,157.
<b>b</b>	Income tax for 2015 (This does not include the tax from Part VI) . . . . .	<b>2 b</b>	159,981.
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2 c</b>	273,138.
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1 . . . . .	<b>3</b>	11,591,001.
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	11,591,001.
<b>6</b>	Deduction from distributable amount (see instructions) . . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	11,591,001.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26 . . . . .	<b>1 a</b>	12,175,675.
<b>b</b>	Program-related investments — total from Part IX-B . . . . .	<b>1 b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3 a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3 b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	12,175,675.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	113,157.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	12,062,518.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7 . . . . .				11,591,001.
<b>2</b> Undistributed income, if any, as of the end of 2015				
<b>a</b> Enter amount for 2014 only . . . . .			2,879,718.	
<b>b</b> Total for prior years: 20 __, 20 __, 20 __				
<b>3</b> Excess distributions carryover, if any, to 2015				
<b>a</b> From 2010 . . . . .	0.			
<b>b</b> From 2011 . . . . .	0.			
<b>c</b> From 2012 . . . . .	0.			
<b>d</b> From 2013 . . . . .	0.			
<b>e</b> From 2014 . . . . .	0.			
<b>f</b> Total of lines 3a through e . . . . .	0.			
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4   \$ 12,175,675.				
<b>a</b> Applied to 2014, but not more than line 2a . . . . .			2,879,718.	
<b>b</b> Applied to undistributed income of prior years (Election required — see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required — see instructions) . . . . .				
<b>d</b> Applied to 2015 distributable amount . . . . .				9,295,957.
<b>e</b> Remaining amount distributed out of corpus . . . . .	0.			
<b>5</b> Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	0.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount — see instructions . . . . .		0.		
<b>e</b> Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount — see instructions . . . . .			0.	
<b>f</b> Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016 . . . . .				2,295,044.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . . . .	0.			
<b>9</b> Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a . . . . .	0.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2011 . . . . .	0.			
<b>b</b> Excess from 2012 . . . . .	0.			
<b>c</b> Excess from 2013 . . . . .	0.			
<b>d</b> Excess from 2014 . . . . .	0.			
<b>e</b> Excess from 2015 . . . . .	0.			

BAA

Form 990-PF (2015)

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or

☐ 4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

Tax year	Prior 3 years				(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> 'Assets' alternative test — enter					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> 'Support' alternative test — enter.					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
(3) Largest amount of support from an exempt organization . . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

None

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Charles Williams

1100 Louisiana St., Suite 5250

Houston

TX

77002

(713) 652-6601

**b** The form in which applications should be submitted and information and materials they should include

See guidelines at [www.alkek.org](http://www.alkek.org).

**c** Any submission deadlines

None

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Limited to non-profit organizations in the State of Texas.

**Part XV** Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year See attached statement				11,900,000.
<b>Total</b> . . . . .			<b>3a</b>	11,900,000.
<b>b</b> Approved for future payment See attached statement				11,522,000.
<b>Total</b> . . . . .			<b>3b</b>	11,522,000.

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>f</b>					
<b>g</b> Fees and contracts from government agencies . .					
<b>2</b> Membership dues and assessments . . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . .			14	547.	
<b>4</b> Dividends and interest from securities . . . . .			14	785,901.	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property . . . . .					
<b>b</b> Not debt-financed property . . . . .					
<b>6</b> Net rental income or (loss) from personal property . . . .					
<b>7</b> Other investment income . . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . .	525990	626,659.	18	11,120,464.	
<b>9</b> Net income or (loss) from special events . . . . .					
<b>10</b> Gross profit or (loss) from sales of inventory . . . .					
<b>11</b> Other revenue					
<b>a</b> <u>Passthrough K-1 income</u>	525990	2,006,693.	18	1,397,130.	2,081.
<b>b</b> <u>Oil and gas royalty revenue</u>			15	532.	
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>12</b> Subtotal. Add columns (b), (d), and (e) . . . . .		2,633,352.		13,304,574.	2,081.
<b>13</b> Total. Add line 12, columns (b), (d), and (e) . . . . .				<b>13</b>	15,940,007.

(See worksheet in line 13 instructions to verify calculations )

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2015**

Name of the organization

Albert and Margaret Alkek Foundation

Employer identification number

76-0491186

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ► \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**BAA** For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

**Schedule B (Form 990, 990-EZ, or 990-PF) (2015)**

Name of organization

Employer identification number

Albert and Margaret Alkek Foundation

76-0491186

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Margaret Alkek Charitable Lead Annuity Trust 1100 Louisiana St., Suite 5250 Houston TX 77002	\$ 1,563,036	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)



**Albert and Margaret Alkek Foundation**  
**TIN: 76-0491186**  
**Form 990-PF Statements**  
**For the Year Ended December 31, 2015**

**Page 1, Part I, Line 6a – Net Gain or Loss From Sale of Assets,**  
**Page 1, Part I, Line 6b – Gross Sales Price for All Assets on Line 6a**  
**Page 3, Part IV, Column h and Column e:**

	Line 6(a) Net Gain or Loss From Sale of Assets	Line 6(b) Gross Sales Price
Publicly Traded Securities	6,478,315	17,643,183
Capital Gain Dividends	643,920	643,920
Security Litigation Settlements	81,715	81,715
Passthrough K-1 Capital Gains	3,458,605	3,458,605
Passthrough K-1 Capital Losses	-839,475	0
Non-US Hedge Funds	410,207	1,135,522
Non-US Gains in Excess of Basis	887,177	887,177
Total Page 3, Part IV, Columns h and e	11,120,464	23,850,122
Passthrough K-1 UBTI	626,659	626,659
Total Page 1, Part I, Lines 6a and 6b	11,747,123	24,476,781

**Page 1, Part I, Line 11 – Other Income:**

	(a) Revenue Per Books	(b) Net Investment Income
Passthrough K-1 Income	1,397,131	1,397,131
Passthrough K-1 UBTI	2,006,693	0
Passthrough K-1 Tax-exempt Income	2,081	0
Oil and Gas Royalty Income	531	531
	3,406,436	1,397,662

**Albert and Margaret Alkek Foundation**  
**TIN: 76-0491186**  
**Form 990-PF Statements**  
**For the Year Ended December 31, 2015**

**Page 1, Part I, Line 16a, b and c – Legal, Accounting & Other Professional Fees:**

	(a) Expenses Per Books	(b) Net Investment Income	(d) Charitable Purposes
<b><u>16a – Legal Fees</u></b>			
Legal Fees	160,322	160,322	0
Legal Fees - Reimbursement	-40,000	-40,000	0
	<u>120,322</u>	<u>120,322</u>	<u>0</u>
<b><u>16b – Accounting Fees</u></b>			
Audit Services	18,242	10,937	5,994
Tax Services	8,350	5,431	735
	<u>26,592</u>	<u>16,368</u>	<u>6,729</u>
<b><u>16c – Other Professional Fees</u></b>			
Management Fees	503,356	503,356	0
Custody Fees	52,058	51,163	0
	<u>555,414</u>	<u>554,519</u>	<u>0</u>

**Page 1, Part I, Line 18 – Taxes:**

	(a) Expenses Per Books	(b) Net Investment Income
Excise Tax Payments (Form 990-PF)	75,000	0
Income Tax Payments (Form 990-T)	194,981	0
Income Tax Refund (Form 990-T)	-35,000	0
Production Taxes on Oil and Gas Royalty Interest	39	39
	<u>235,020</u>	<u>39</u>

**Page 1, Part I, Line 19 – Depreciation:**

	(a) Expenses Per Books	(b) Net Investment Income
Straight line depreciation on furniture and equipment with a 5-7 year life and varied purchase dates	14,840	8,898
	<u>14,840</u>	<u>8,898</u>

**Albert and Margaret Alkek Foundation**  
**TIN: 76-0491186**  
**Form 990-PF Statements**  
**For the Year Ended December 31, 2015**

**Page 1, Part I, Line 23 – Other Expenses:**

	(a) Expenses Per Books	(b) Net Investment Income	(d) Charitable Purposes
Passthrough K-1 Expenses			
Deductions	1,682,819	306,189	0
Management Fees	670,727	525,234	0
General and Administrative	10,493	5,966	3,811
Oil and Gas Royalty Expense	98	98	0
	<u>2,364,137</u>	<u>837,487</u>	<u>3,811</u>

**Page 2, Part II, Line 3 – Accounts Receivable**

	(b) Book Value	(c) Fair Market Value
Liquidation Receivable from an Investment Partnership	3,732	3,732
Expenses paid on behalf of an Investment Partnership	7	7
	<u>3,739</u>	<u>3,739</u>

**Page 2, Part II, Line 10b – Investments – Corporate Stock:**

	(b) Book Value	(c) Fair Market Value
Directly Held	20,132,818	23,463,644
Managed Accounts	32,565,037	42,240,255
	<u>52,697,855</u>	<u>65,703,899</u>

**Page 2, Part II, Line 13 – Investments – Other:**

	(b) Book Value	(c) Fair Market Value
Non-Marketable Corporate Equity Securities	2,467,374	2,570,361
US Partnerships, Corporations and Trusts	66,497,552	77,869,193
Non-US Partnerships and Corporations	62,596,978	78,231,777
	<u>131,561,904</u>	<u>158,671,331</u>

**Albert and Margaret Alkek Foundation**  
**TIN: 76-0491186**  
**Form 990-PF Statements**  
**For the Year Ended December 31, 2015**

**Page 2, Part II, Line 14 – Description of Land, Buildings, and Equipment:**

	Cost Basis	Accumulated Depreciation	(b) Book Value	(c) Fair Market Value
Furniture	265,537	265,537	0	35,000
Equipment	21,567	17,607	3,960	2,725
	<u>287,104</u>	<u>283,144</u>	<u>3,960</u>	<u>37,725</u>

**Page 2, Part III, Line 3 – Other Increases Not Included in Line 2:**

Book/tax Differences in Investments	<u>2,610,416</u>
-------------------------------------	------------------

**Page 2, Part III, Line 5 – Other Decreases Not Included in Line 2:**

Book/tax Differences in Investments	2,023,230
Meals Expense Subject to 50% Limitation	<u>1,585</u>
	<u>2,024,815</u>

**Albert and Margaret Alkek Foundation**  
**TIN: 76-0491186**  
**Form 990-PF Statements**  
**For the Year Ended December 31, 2015**

**Page 3, Part IV, Line 1 – Capital Gains and Losses for Tax on Investment Income:**

	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
a	Publicly Traded Securities	Purchase	Various	Various
b	Capital Gain Dividends	Purchase	Various	Various
c	Security Litigation Settlements	Purchase	Various	Various
d	Passthrough K-1 Capital Gains	Purchase	Various	Various
e	Passthrough K-1 Capital Losses	Purchase	Various	Various
f	Non-US Hedge Funds	Purchase	Various	Various
g	Non-US Gains in Excess of Basis	Purchase	Various	Various

	(e) Gross Sales Price	(f) Depreciation	(g) Cost Basis	(h) Gain or (loss)
a	17,643,183		11,164,868	6,478,315
b	643,920			643,920
c	81,715			81,715
d	3,458,605			3,458,605
e			839,475	-839,475
f	1,135,522		725,315	410,207
g	887,177			887,177
	<u>23,850,122</u>		<u>12,729,658</u>	<u>11,120,464</u>

	(i) FMV 12/31/69	(j) Adj Bas 12/31/69	(k) Excess	(l) Gain or (loss)
a				6,478,315
b				643,920
c				81,715
d				3,458,605
e				-839,475
f				410,207
g				887,177
				<u>11,120,464</u>

**Albert and Margaret Alkek Foundation**  
**TIN: 76-0491186**  
**Form 990-PF Statements**  
**For the Year Ended December 31, 2015**

**Page 6, Part VIII, Line 1 – Information About Officers and Directors:**

(a) Name and Address	(b) Title and Average Hours Per Week Devoted to Position	(c) Compensation	(d) Contributions to Employee Benefit Plans
Bobby R. Alford, MD	Director Periodic board meetings	15,000	0
Daniel C. Arnold	Director Periodic board & committee meetings	18,000	0
Sandra Bacak	Assistant Secretary and Controller 40 Hours	112,930	12,716
Joe M. Bailey	Director Periodic board & committee meetings	18,000	0
Paul Klotman, MD	Director Periodic board meetings	15,000	0
Scott B. Seaman	Director, Treasurer, and Executive Director 15 – 40 Hours	347,739	0
Charles A. Williams	Director and President 10 - 25 Hours	150,000	0
Margaret Alkek Williams	Director Periodic board meetings	15,000	0
Randa D. Williams	Director Periodic board & committee meetings	18,000	0
		<u>709,669</u>	<u>12,716</u>

Part VIII, Column (a) 1100 Louisiana Street, Suite 5250, Houston, TX 77002

Part VIII, Column (d) Includes contributions to employee benefit plan and medical insurance premiums.

Part VIII, Column (e) Payments for expense accounts or other allowances. None.

**Albert and Margaret Alkek Foundation**  
**TIN: 76-0491186**  
**Form 990-PF Statements**  
**For the Year Ended December 31, 2015**

**Page 11, Part XV, Line 3a – Grants and Contributions Paid:**

<u>Recipient Name and Address</u>	<u>Recipient Status</u>	<u>Purpose of Grant or Contribution</u>	<u>Amount</u>
<u>Medical.</u>			
Baylor College of Medicine	PC	Department of Medicine	2,938,000
One Baylor Plaza, MS BCM 160		Operational support	2,250,000
Houston, TX 77030			
HeartGift Foundation	PC	Operational support	25,000
PO Box 691711			
Houston, TX 77269			
Houston Ear Research Foundation	PC	Operational support	25,000
7737 Southwest Freeway, Suite 630			
Houston, TX 77074			
The Menninger Clinic	PC	Capital campaign	1,000,000
P.O. Box 809045			
Houston, TX 77280-9045			
Methodist Hospital Foundation	SO I	Center for Performing Arts Medicine	50,000
P.O. Box 4384			
Houston, TX 77210			
Texas A&M Foundation	GOV	Institute of Biosciences and Technology - Center for Advanced Imaging	150,000
Clinical Building 1, Suite 1100			
1359 TAMU, 8441 State Hwy 47			
Bryan, TX 77807			
University of Texas Health Science Center at Houston	GOV	Endowed Chair in Early Childhood Development	250,000
7000 Fannin, Suite 1200			
Houston, TX 77030			
The University of Texas MD Anderson Cancer Center	GOV	Neurodegeneration Consortium	1,000,000
PO Box 4486			
Houston, TX 77210			
			<hr/> 7,688,000

**Albert and Margaret Alkek Foundation**  
**TIN: 76-0491186**  
**Form 990-PF Statements**  
**For the Year Ended December 31, 2015**

**Page 11, Part XV, Line 3a – Grants and Contributions Paid:**

<u>Recipient Name and Address</u>	<u>Recipient Status</u>	<u>Purpose of Grant or Contribution</u>	<u>Amount</u>
<b><u>Educational:</u></b>			
Annunciation Orthodox School 3600 Yoakum Blvd Houston, TX 77006	PC	Capital campaign	50,000
Episcopal High School 4650 Bissonnet St Bellaire, TX 77401	PC	Scholarships	52,200
Southwestern University P.O. Box 770 Georgetown, TX 78627-0770	PC	Scholarships	100,000
St. Edward's University 3001 South Congress Ave Austin, TX 78704	PC	Scholarships	50,000
St Mary's University One Camino Santa Maria San Antonio, TX 78228	PC	Mechanical Engineering Dept. Alkek Building renovations	200,000 150,000
Texas A&M University-Kingsville 700 University Blvd, MSC 218 Kingsville, TX 78363	GOV	Caesar Kleberg Wildlife Research Center operations	100,000
Texas State University-San Marcos 601 University Dr, San Marcos, TX 78666	GOV	Research Collections Repository construction	200,000
TMI – The Episcopal School of Texas 20955 W Tejas Trail San Antonio, TX 78257	PC	Scholarships	180,800
			<hr/> 1,083,000
<b><u>Cultural:</u></b>			
Alley Theatre 615 Texas Ave Houston, TX 77002	PC	Capital campaign	1,500,000
Houston Ballet Foundation 601 Preston Houston, TX 77002	PC	Capital campaign	774,000
River Oaks Chamber Orchestra 1973 West Gray Houston, TX 77027	PC	Operational support	50,000
			<hr/> 2,324,000



**Albert and Margaret Alkek Foundation**  
**TIN: 76-0491186**  
**Form 990-PF Statements**  
**For the Year Ended December 31, 2015**

**Page 11, Part XV, Line 3a – Grants and Contributions Paid, Continued:**

<u>Recipient Name and Address</u>	<u>Recipient Status</u>	<u>Purpose of Grant or Contribution</u>	<u>Amount</u>
<u>Community</u>			
Amazing Place 3735 Drexel Houston, TX 77027	PC	Operational support	15,000
American Red Cross/Texas Gulf Coast Region 2700 Southwest Freeway Houston, TX 77098	PC	Operational support	25,000
Bandera County Helping Hand, Inc. P.O. Box 1092 Bandera, TX 78003	PC	Health facility construction	25,000
Bandera County Young Life P.O. Box 1751 Bandera, TX 78003	PC	Operational support	30,000
Bandera Public Library Corporation P.O. Box 1568 Bandera, TX 78003	PC	Underwrite fund-raising Operational support	30,000 2,500
Baylor College of Medicine One Baylor Plaza, MS BCM 160 Houston, TX 77030	PC	Underwrite fund-raising	10,000
Bobwhite Brigade dba Texas Brigades 3660 Thousand Oaks Drive, No 126 San Antonio, TX 78247	PC	Matching grant for operational support contributions	60,000
Boys and Girls Club of Bandera County P.O. Box 3155 Bandera, TX 78003	PC	Operational support and matching grant	50,000
Christus Foundation for HealthCare P.O. Box 1919 Houston, TX 77251	PC	Operational support	25,000
Depression and Bipolar Support Alliance Greater Houston PO Box 27607 Houston, TX 77227	PC	Operational support	10,000
Halo House Foundation 4010 Blue Bonnet, #110 Houston, TX 77025	PC	Operational support	250,000
Houston Golf Association 5810 Wilson Rd, Ste 112 Humble, TX 77396	PC	Gus Wortham Golf Course rennovation	100,000

**TIN: 76-0491186**  
**Form 990-PF Statements**  
**For the Year Ended December 31, 2015**

**Page 11, Part XV, Line 3a – Grants and Contributions Paid, Continued:**

<u>Recipient Name and Address</u>	<u>Recipient Status</u>	<u>Purpose of Grant or Contribution</u>	<u>Amount</u>
<u>Community, Continued</u>			
Nature Conservancy, Inc. 4245 N Fairfax Dr, #100 Arlington VA 22203	PC	Operational support – Texas	25,000
Pathways for Little Feet 8 Greenway Plaza, Suite 1000 Houston, TX 77046	PC	Operational support	20,000
St. Christopher's Episcopal Church Box 314 Bandera, TX 78003	PC	Operational support	62,500
St. Luke's United Methodist Church P.O. Box 22013 Houston, TX 77227	PC	Building fund	25,000
Sunshine Kids Foundation 2814 Virginia St. Houston, TX 77098	PC	Operational support	15,000
YMCA of Greater Houston P.O. Box 3007 Houston, TX 77253	PC	Capital campaign	25,000
			<u>805,000</u>
			<u>11,900,000</u>

**Page 11, Part XV, Line 3b – Grants and Contributions Approved for Future Payment:**

<u>Recipient Name and Address</u>	<u>Recipient Status</u>	<u>Purpose of Grant or Contribution</u>	<u>Amount</u>
Baylor College of Medicine One Baylor Plaza, MS: BCM 160 Houston, TX 77030	PC	Department of Medicine	11,022,000
Houston Ballet Foundation 601 Preston St, Houston, TX 77002	PC	Capital campaign	500,000
			<u>11,522,000</u>