Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545 0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning , 2015, and ending ,

Name of foundation Harvey R. Houck Jr. and Patricia W. Houck Foundation, Inc.	A Employer identification number 76-0435413
Number and street (or P O box number if mail is not delivered to street address) 8811 Westheimer — Room/suite 208	B Telephone number (see instructions) (713) 334-6777
City or town, state or province, country, and ZIP or foreign postal code Houston TX 77063	C If exemption application is pending, check here ► ☐
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	D 1 Foreign organizations, check here ► ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐
I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 14,239,534. — J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (attach schedule)	1,500,000.			
	2 Ck ► ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	7.	7.		
	4 Dividends and interest from securities	142,965.	142,965.		
	5 a Gross rents				
	b Net rental income or (loss)				
	6 a Net gain or (loss) from sale of assets not on line 10	146,202.	L-6a Stmt		
	b Gross sales price for all assets on line 6a 453,214.				
	7 Capital gain net income (from Part IV, line 2)		146,202.		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) See Line 11 Stmt	179,637.	179,637.		
	12 **Total.** Add lines 1 through 11	1,968,811.	468,811.		
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule)				
	b Accounting fees (attach sch) L-16b Stmt	1,795.	898.		897.
	c Other prof fees (attach sch) L-16c Stmt	49,572.	49,572.		
	17 Interest				
	18 Taxes (attach schedule)(see instrs) Foreign taxes paid	2,645.	2,645.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) See Line 23 Stmt	27,461.	25,211.		2,250.
	24 **Total operating and administrative expenses** Add lines 13 through 23	81,473.	78,326.		3,147.
	25 Contributions, gifts, grants paid	450,000.			450,000.
	26 **Total expenses and disbursements** Add lines 24 and 25	531,473.	78,326.		453,147.
	27 Subtract line 26 from line 12.				
	a **Excess of revenue over expenses and disbursements**	1,437,338.			
	b **Net investment income** (if negative, enter -0-)		390,485.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing	1,146,874.	2,176,330.	2,176,330.
	2 Savings and temporary cash investments	311,559.	307,367.	307,367.
	3 Accounts receivable ▶			
	Less. allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ▶			
	Less· allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) L-10b. Stmt	5,527,399.	5,623,437.	5,530,765.
	c Investments — corporate bonds (attach schedule) L-10c. Stmt	70,894.	184,107.	183,659.
	11 Investments — land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule) L-13 Stmt	5,558,907.	5,747,330.	6,041,413.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers — see the instructions Also, see page 1, item I)	12,615,633.	14,038,571.	14,239,534.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ▶ [ ] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	12,615,633.	14,038,571.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	12,615,633.	14,038,571.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	12,615,633.	14,038,571.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,615,633.
2	Enter amount from Part I, line 27a	2	1,437,338.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	14,052,971.
5	Decreases not included in line 2 (itemize) ▶ Excise Tax Deposits	5	14,400.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	14,038,571.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo day yr)	(d) Date sold (mo day yr)
1a	Publicly traded securities	P	various	various
b	Passthrough K-1 Capital Gain	P		
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	412,217.		307,012.	105,205.
b	40,997.		0.	40,997.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	0.	0.	0.	105,205.
b	0.	0.	0.	40,997.
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	146,202.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col (c))
2014	457,233.	12,851,807.	0.035577
2013	437,337.	12,231,664.	0.035755
2012	398,228.	8,388,852.	0.047471
2011	286,783.	6,926,533.	0.041404
2010	47,632.	1,134,334.	0.041991

2	**Total** of line 1, column (d)	2	0.202198
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.040440
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	13,191,711.
5	Multiply line 4 by line 3	5	533,473.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,905.
7	Add lines 5 and 6	7	537,378.
8	Enter qualifying distributions from Part XII, line 4	8	453,147.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter (attach copy of letter if necessary — see instrs)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [ ] and enter 1% of Part I, line 27b	1	7,810.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	7,810.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	7,810.
6	Credits/Payments		
a	2015 estimated tax pmts and 2014 overpayment credited to 2015 — 6a: 10,940.		
b	Exempt foreign organizations — tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	10,940.
8	Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached	8	4.
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	3,126.
11	Enter the amount of line 10 to be **Credited to 2016 estimated tax** ► 3,126. **Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is 'Yes' to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► $ (2) On foundation managers ► $			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► TX - Texas			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12	X	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► None

14 The books are in care of ► Gordon Rose Telephone no ► (713) 334-6777

Located at ► 8811 Westheimer Ste 208 Houston TX ZIP + 4 ► 77063

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► | 15 |

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Yes	No
1 a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions.)	2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*	3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If 'Yes' to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Patricia West Houck 8811 Westheimer Ste 208 Houston TX 77063	Pres/Asst Sec 1.00	0.	0.	0.
Mary Elizabeth Houck Moore 8811 Westheimer Ste 208 Houston TX 77063	VP/Asst Sec 1.00	0.	0.	0.
Gordon B Rose 8811 Westheimer Ste 208 Houston TX 77063	VP/Treasurer 15.00	0.	0.	0.
See Information about Officers, Directors, Trustees, Etc		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ► None

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services	▶	None

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions. 3	
Total. Add lines 1 through 3 ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	6,428,745.
b	Average of monthly cash balances	1b	1,030,947.
c	Fair market value of all other assets (see instructions)	1c	5,932,908.
d	**Total** (add lines 1a, b, and c)	1d	13,392,600.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	13,392,600.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	200,889.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	13,191,711.
6	**Minimum investment return.** Enter 5% of line 5	6	659,586.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	659,586.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	7,810.		
b	Income tax for 2015 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	7,810.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	651,776.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	651,776.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	651,776.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	453,147.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	453,147.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	453,147.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				651,776.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			424,034.	
b Total for prior years 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2015				
a From 2010 — 0.				
b From 2011 — 0.				
c From 2012 — 0.				
d From 2013 — 0.				
e From 2014 — 0.				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4 ► $ 453,147.				
a Applied to 2014, but not more than line 2a			424,034.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2015 distributable amount				29,113.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (*If an amount appears in column (d), the same amount must be shown in column (a)*.)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2014 Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				622,663.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2011 — 0.				
b Excess from 2012 — 0.				
c Excess from 2013 — 0.				
d Excess from 2014 — 0.				
e Excess from 2015 — 0.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test — enter.					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year — see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
See attached list	None	PC		450,000.
Total ► 3a				450,000.
b Approved for future payment				
Total ► 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	7.	
4 Dividends and interest from securities			14	142,965.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	146,202.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a PFIC Distribution			14	2,216.	
b Partnership pass through			14	177,411.	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				468,801.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 468,801.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date: 11-4-2016 | Title: Vice President/Treasurer

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Jody Blazek	CPA	11.4.16		

Firm's name ► Blazek & Vetterling — Firm's EIN ► 76-0269860

Firm's address ► 2900 Weslayan Ste 200 Houston, TX 77027-5132 — Phone no. (713) 439-5739

BAA

TEEA0503 10/13/15

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF.
► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at *www.irs gov/form990.*

OMB No 1545-0047

2015

Name of the organization	Employer identification number
Harvey R. Houck Jr. and Patricia W. Houck Foundation, Inc.	76-0435413

Organization type (check one)

Filers of: | **Section:**

Form 990 or 990-EZ
- [ ] 501(c)(   ) (enter number) organization
- [ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- [ ] 527 political organization

Form 990-PF
- [X] 501(c)(3) exempt private foundation
- [ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation
- [ ] 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

- [X] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

- [ ] For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II.

- [ ] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III

- [ ] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . . ► $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: Harvey R. Houck Jr. and Patricia W. Houck Foundation, Inc.

Employer identification number: 76-0435413

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Houck Management Trust 8811 Westheimer Ste 208 Houston TX 77063	$ 1,500,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Form 990-PF Part I, Line 6a	Net Gain or Loss From Sale of Assets	2015

Name	Employer Identification Number
Harvey R. Houck Jr. and Patricia W. Houck Foundation, Inc.	76-0435413

Asset Information:

Description of Property: Publicly Traded Securities
Date Acquired: various — How Acquired: Purchased
Date Sold: various — Name of Buyer: Various
Sales Price: 412,217. — Cost or other basis (do not reduce by depreciation): 307,012.
Sales Expense: — Valuation Method:
Total Gain (Loss): 105,205. — Accumulation Depreciation:

Description of Property: Pass through K-1 Capital Gain
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: 40,997. — Cost or other basis (do not reduce by depreciation): 0.
Sales Expense: — Valuation Method:
Total Gain (Loss): 40,997. — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Additional Information

Form 990-PF, Page 5, Part VII-A, Line 12 Statement

Explanation of Distribution to Donor Advised Fund

During the tax year, a distribution was made to a donor advised fund. The Foundation treated these distributions as qualifying distributions since they were or will be used to accomplish purposes pursuant to Internal Revenue Code Section 170(c)(2)(B). A board member regularly provides advice that distributions be made from the donor advised fund for religious, charitable, scientific, literary or education purposes, or to foster national or international amateur sports competition (but only if no part of its activities involve the provision of athletic facilities or equipment), or for the prevention of cruelty to children or animals.

Form 990-PF, Page 1, Part I, Line 11
Line 11 Stmt

Other income	Rev/Exp Book	Net Inv Inc	Adj Net Inc
PFIC Distributions	2,226.	2,226.	
Partnership pass through	177,411.	177,411.	
Total	179,637.	179,637.	

Form 990-PF, Page 1, Part I, Line 23
Line 23 Stmt

Other expenses.	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Dues / membership fees	1,500.	0.		1,500.
Insurance	750.	0.		750.
Other administrative expenses	1.	1.		0.
PFIC expenses	6,933.	6,933.		0.
Passthrough K-1 expenses	18,277.	18,277.		0.
Total	27,461.	25,211.		2,250.

Form 990-PF, Page 6, Part VIII, Line 1
Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation **(If not paid, enter -0-)**	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person . . [X] Business . [ ] Dunham F Jewett 8811 Westheimer Ste 208 Houston TX 77063	Secretary 1.00	0.	0.	0.
Person . . [X] Business . [ ]				
Total		0.	0.	0.

Form 990-PF, Page 1, Part I
Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Blazek and Vetterling	Tax Compliance	1,795.	898.		897.
Total		1,795.	898.		897.

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Merrill Lynch	Investment Management	10,086.	10,086.		
United Capital Adv	Investment Management	30,384.	30,384.		
Alliance Bernstein	Investment Management	9,102.	9,102.		
Total		49,572.	49,572.		

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	End of Year Fair Market Value
See Attachment to Part II line 10 pgs 1-52	5,623,437.	5,530,765.
Total	5,623,437.	5,530,765.

Form 990-PF, Page 2, Part II, Line 10c
L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year Book Value	End of Year Fair Market Value
See Attachment to Part II line 10 pgs 1-52	184,107.	183,659.
Total	184,107.	183,659.

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	End of Year Fair Market Value
Alliance Bernstein European Opp Series	1,245,601.	1,301,884.
Alliance Bernstein Fin Srvs Opp Caymen Fund LP	500,000.	541,229.
Hawkins Investment Partnership LP	2,049,381.	2,030,315.
MS Blackstone Real Estate Debt Strat	514,803.	504,931.
Tiger Accelerator Fund LP	1,227,545.	1,494,033.
Oaktree Strategic Income Feeder Fund	210,000.	169,021.
Total	5,747,330.	6,041,413.

Harvey R. Houck, Jr. and Patricia W. Houck Foundation
2015 Form 990 PF

Part XV - Grants and Contributions Paid During the Year

Name of Organization	Type	Purpose	Amount
American Red Cross 2025 E Street NW Washington, DC 20006	PC	Disaster relief	5,000
Bethel School of Supernatural Ministries 933 College View Redding, CA 96003	PC	General support	600
Bluewater in the Keys 102 Mockingbird Rd Tavernier, FL 33070	PC	General support	15,000
Blue Water Surrender PO Box 439 Tavernier, FL 33070-0439	PC	General support	10,000
Boy Scouts of America-Sam Houston Area Council FOS PO Box 924528 Houston, TX 77292-4528	PC	General support	500
Dallas Foundation 3963 Maple Ave, Suite 390 Dallas, TX 75219	PC	Safe Conversations fund	15,000
Dallas Women's Foundation 8150 North Central Expressway, Ste 110 Dallas, TX 75206	PC	Girls Embracing Mother's fund	10,000
DBSA Greater Houston 3800 Buffalo Speedway, Ste 300 Houston, TX 77098	PC	General support	5,000
Fidelity Charitable Gift Fund 200 Seaport Blvd Boston, MA 02210	PC	General support	9,250
Genesys Works 14400 Memorial Dr Ste 200 Houston, TX 77079	PC	General support	40,000
Glad Tidings Church 1209 United St Key West, FL 33040	PC	General support	10,000
Goodwill Industries 1140 West Loop North Houston, TX 77055	PC	Capital Campaign	50,000
Houston Food Bank 535 Portwall St Houston, TX 77029	PC	Disaster response	5,000
Houston Jazz Orchestra 6657 Merry Ln Houston, TX 77023	PC	General support	5,000
Houston Park Boards 300 N Post Oak Ln Houston, TX 77024	PC	Parks Bayou Campaign	10,000

Harvey R. Houck, Jr. and Patricia W. Houck Foundation
2015 Form 990 PF

Part`XV - Grants and Contributions Paid During the Year

Name of Organization	Type	Purpose	Amount
Houston Youth Symphony 2400 Augusta Dr, Ste 235 Houston, TX 77057	PC	General support	10,000
Islamorada First Baptist Church 81201 Overseas Hwy Islamorada, FL 33036	PC	General support	32,000
Match Midtown Arts & Theater Center Houston 3333 W Alabama St, Ste 110 Houston, TX 77090-1728	PC	General support	20,000
Mental Health America of Greater Houston, Inc 2211 Norfolk, Ste 810 Houston, TX 77098	PC	Veterans Health	10,000
National Multiple Sclerosis Society 8111 N Stadium Dr, Ste 100 Houston, TX 77054-1844	PC	General support	250
North Dallas Shared Ministries 2875 Merrell Rd Dallas, TX 75229	PC	General support	50,000
Share All Our Blessings PO Box 521 Islamorada, FL 33036	PC	General support	31,650
Straightway Inc PO Box 134 Hungerford, TX 77448	PC	General support	750
Texas Organizing Project Education Fund PO Box 120296 San Antonio, TX 78212	PC	General support	15,000
The American Cancer Society 6301 Richmond Ave Houston, TX 77057	PC	General support	25,000
The Center Houston 3550 West Dallas St Houston, TX 77019	PC	General support	10,000
The Hope & Healing Center at St Martin's Episcopal Church Houston 717 Sage Rd Houston, TX 77056	PC	General support	35,000
U S Fund for Unicef 125 Maiden Lane New York, NY 10038	PC	Let us learn program	10,000
Utah Valley University 800 West University Parkway Orem, UT 84058-6703	PC	Center for Autism	10,000
Totall Grants & Contributions			$450,000

STATEMENT - SUPPORTING DETAIL FOR PART II, LINE 10

COST BASIS FOR PART II BALANCE SHEET

	ML MACKAY #2252	ML FAYEZ #2810	ML ATANTA #2841	TD AMERITRADE #7634	US CAPITAL ADVISORS #4839	TOTAL Part II	
	COST BASIS	COST BASIS	COST BASIS	COST BASIS	COST BASIS	COST BASIS	
Investments- US & State Government						**0**	Part II line 10a col(b)
Investments- Corporate Stock	19,802	204,332	116,676	3,233,295	2,049,331	**5,623,437**	Part II line 10b col(b)
Investments- Corporate Bonds	64,472				119,635	**184,107**	Part II line 10c col(b)

FMV FOR PART II BALANCE SHEET

	ML MACKAY #2351	ML FAYEZ #2353	ML ATANTA #2354	TD AMERITRADE #7634	US CAPITAL ADVISORS #4839	TOTAL Part II	
	FMV	FMV	FMV		FMV	FMV	
Investments- US & State Government						**0**	Part II line 10a col(c)
Investments- Corporate Stock	20,508	309,519	199,749	2,240,713	2,760,275	**5,530,765**	Part II line 10b col(c)
Investments- Corporate Bonds	66,965				116,694	**183,659**	Part II line 10c col(c)

Merrill Lynch
Bank of America Corporation

Online at. www.mymerrill.com Account Number.: -02252

THE HARVEY R HOUCK AND
PATRICIA W HOUCK FOUNDATION
8811 WESTHEIMER RD STE 208
HOUSTON TX 77063-3617

Net Portfolio Value: $96,582.80

Your Financial Advisor:
NICKEL/VMTBK
1221 MCKINNEY SUITE 3900
HOUSTON TX 77010
1-800-456-9712

MacKay Converts

Your Consults® Investment Manager is MACKAY SHIELDS CONVERTS

December 01, 2015 - December 31 2015

	This Statement	*Year to Date*
Opening Value (12/01)	$97,795.08	
Total Credits	369 73	-
Total Debits	-	-
Securities You Transferred In/Out	-	-
Market Gains/(Losses)	(1,582 01)	-
Closing Value (12/31)	$96,582.80	

ASSETS	*December 31*	*November 30*
Cash/Money Accounts	8,830 26	11,419 96
Fixed Income	-	
Equities	87,472 81	86,048 15
Mutual Funds		-
Options	-	
Other		
Subtotal (Long Portfolio)	**96,303.07**	97,468 11
Estimated Accrued Interest	279 73	326 97
TOTAL ASSETS	**$96,582.80**	$97,795 08

LIABILITIES		
Debit Balance	-	
Short Market Value	-	
TOTAL LIABILITIES	-	
NET PORTFOLIO VALUE	**$96,582.80**	$97,795 08

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) and other subsidiaries of Bank of America Corporation MLPF&S is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation Investment products **Are Not FDIC Insured | Are Not Bank Guaranteed | May Lose Value**

2019

MacKay Converts

December 01, 2015 - December 31, 2015

CASH FLOW

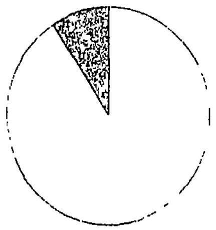
	This Statement	Year to Date
Opening Cash/Money Accounts	**$11,419.96**	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	161 50
Subtotal	-	*161 50*
DEBITS		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	-	(1,562 99)
Visa Purchases	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Subtotal	-	*(1,562 99)*
Net Cash Flow	-	($1,401 49)

OTHER TRANSACTIONS

Dividends/Interest Income	369.73	2,158 72
Security Purchases/Debits	(2,959 43)	(38,010.42)
Security Sales/Credits	-	37,588 06
Closing Cash/Money Accounts	**$8,830.26**	
Securities You Transferred In/Out	-	-

ASSET ALLOCATION*

* Estimated Accrued Interest not included, may not reflect all holdings, does not include asset categories less than 1%



	Allocation
Equities	90 83%
Cash/Money Accounts	9 17%
TOTAL	**100%**

DOCUMENT PREFERENCES THIS PERIOD

	Mail	Online Delivery
Statements	X	
Performance Reports	X	
Trade Confirms	X	
Shareholders Communication	X	
Prospectus	X	
Service Notices	X	
Tax Statements	X	

+

006 2019

Merrill Lynch
Bank of America Corporation

MacKay Converts   Account Number 02252

MERRILL LYNCH CONSULTS SERVICE

December 01, 2015 - December 31, 2015

YOUR INVESTMENT MANAGER - MACKAY SHIELDS CONVERTS

We encourage you to contact your Financial Advisor whenever there are changes in your particular situation or objectives These changes can then be discussed directly with your Investment Manager We also encourage you to discuss your portfolio at least annually with your Investment Manager. Your Financial Advisor would be pleased to arrange such discussions

YOUR EMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N A.	11,419	9,286	02	0.16	8,830
TOTAL ML Bank Deposit Program	11,419			0 16	8,830

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est Annual Yield%
CASH	0 26	0 26		.26		
+ML BANK DEPOSIT PROGRAM	8,830 00	8,830 00	1 0000	**8,830.00**	**2**	02
+FDIC INSURED NOT SIPC COVERED						
TOTAL		8,830.26		**8,830.26**	**2**	02

CORPORATE BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
ARES CAPITAL CORP [2]	04/23/13	1,000	1,093 75	99.9380	**999.38**	(94.37)	23 96	**58**	5 75
CONV 05 750% FEB 01 2016									
MOODY'S *** S&P BBB CUSIP 04010LAB9									
STONE ENERGY CORP [2]	05/09/14	1,000	1,224 30	73 8750	**738.75**	(485 55)	5 83	**18**	2 36
COMPANY GUARANT 01 750% MAR 01 2017									
MOODY'S *** S&P B- CUSIP 861642AN6									

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MacKay Converts

Account Number: 02252

YOUR EMA ASSETS

December 01, 2015 December 31, 2015

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
θ CIENA CORP ² CONV 00 875% JUN 15 2017 MOODY'S. *** S&P. B CUSIP 171779AE1 ORIGINAL UNIT/TOTAL COST 86.3750/863 75	10/16/12	1,000	863 75	98 0630	**980.63**	116.88	39	**9**	89
XILINX INC ² CONV BOND 02 625% JUN 15 2017 MOODY'S *** S&P A- CUSIP 983919AF8	09/27/12	1,000	1,322 40	162 3130	**1,623.13**	300 73	1 17	**27**	1 61
TELEFEX INCORPORATED ² 3 875% CONV SR SBRDNTD DUE AUGUST 1 2017 MOODY'S. B1 S&P B+ CUSIP 879369AA4	08/11/10	1,000	1,012.50	213 3750	2,133 75	1,121 25	16 15	39	1 81
TELEFEX INCORPORATED ²	11/10/10	1,000	1,080.00	213 3750	2,133 75	1,053.75	16.15	39	1 81
Subtotal		*2,000*	*2,092.50*		***4,267.50***	*2,175 00*	*32 30*	***78***	*1 81*
NEWPARK RESOURCES, INC ² 4 00% CONVERTIBLE SR NOT DUE OCTOBER 01, 2017 MOODY'S *** S&P B+ CUSIP: 651718AC2	10/21/10	1,000	1,074.38	89 0000	890 00	(184 38)	10 00	40	4 49
NEWPARK RESOURCES, INC ²	02/17/15	1,000	1,161 00	89 0000	890.00	(271.00)	10 00	40	4 49
Subtotal		*2,000*	*2,235 38*		***1,780.00***	*(455 38)*	*20 00*	***80***	*4 49*
XPO LOGISTICS INC ² CONV 04 500% OCT 01 2017 MOODY'S *** S&P *** CUSIP 983793AA8	10/04/12	1,000	1,008 75	170 6250	**1,706.25**	697 50	11 25	**45**	2 63
BOTTOMLINE TECHNOLOGIES ² CONV 01 500% DEC 01 2017 MOODY'S *** S&P *** CUSIP 101388AA4	08/23/13	2,000	2,329 80	112.5000	**2,250.00**	(79 80)	2 50	**30**	1 33
PRICELINE COM INC ² SR NT CONV PRNT ML FDTS5 01 000% MAR 15 2018 MOODY'S. *** S&P. BBB+ CUSIP 741503AQ9	08/22/13	1,000	1,256 25	142 1880	**1,421.88**	165 63	2 94	**10**	70

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Attachment to Part II, Line 10

Merrill Lynch
Bank of America Corporation

MacKay Converts

Account Number. 02252

YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
SALESFORCE COM INC ² CONV 144A 00 250% APR 01 2018 MOODY'S *** S&P· *** CUSIP 79466LAD6	04/01/14	1,000	1,158 10	128.6250	1,286 25	128.15	.63	3	19
SALESFORCE.COM INC ²	06/13/14	1,000	1,115 50	128 6250	1,286 25	170 75	63	3	19
Subtotal		2,000	2,273.60		**2,572.50**	298 90	1 26	**6**	19
WABASH NATIONAL CORP ² CONV 03 375% MAY 01 2018 MOODY'S *** S&P *** CUSIP: 929566AH0	02/15/13	1,000	1,235 50	120 5630	**1,205.63**	(29 87)	5 63	**34**	2 79
LAM RESEARCH CORP ² CONV 01 250% MAY 15 2018 MOODY'S BAA1< S&P BBB CUSIP 512807AL2	04/18/13	2,000	2,188 40	143 1880	**2,863.76**	675 36	3 19	**25**	87
CHART INDUSTRIES INC ² CONV SR SUBORDINATED 02 000% AUG 01 2018 MOODY'S *** S&P BB- CUSIP 16115QAC4	02/15/13	1,000	1,250 00	86 5000	**865.00**	(385 00)	8 33	**20**	2 31
MEDIDATA SOLUTIONS INC ² CONV 144A 01 000% AUG 01 2018 MOODY'S· *** S&P *** CUSIP: 58471AAB1	07/21/15	2,000	2,448 40	109 0630	**2,181.26**	(267 14)	8 33	**20**	91
JARDEN CORPORATION ² CONV BOND 01.875% SEP 15 2018 MOODY'S B1 S&P: B+ CUSIP. 471109AH1	12/18/13	1,000	1,364.00	181.8130	1,818.13	454 13	5 52	19	1 03
JARDEN CORPORATION ²	06/05/14	1,000	1,357 40	181 8130	1,818 13	460 73	5.52	19	1 03
Subtotal		2,000	2,721 40		**3,636.26**	914 86	11 04	**38**	1 03
BIOMARIN PHARMACEUTICAL ² 75% SR NT CONV DUE 10/15/2018 MOODY'S. *** S&P *** CUSIP. 09061GAE1	10/15/13	1,000	1,066.25	128 3750	**1,283.75**	217 50	1 58	**8**	58
AIR LEASE CORPORATION ² CONV 03 875% DEC 01 2018 MOODY'S *** S&P *** CUSIP. 00912XAK0	03/13/14	1,000	1,527 50	136 0000	**1,360.00**	(167 50)	3 23	**39**	2 84

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MacKay Converts

Account Number: 02252

YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
MACQUARIE INFRSTR CO LLC [2] 2 875% CONV SENIOR NOTES DUE 07/15/2019 MOODY'S *** S&P BBB- CUSIP 55608BAA3	07/31/14	2,000	2,188 40	111 8130	**2,236.26**	47 86	26 51	**58**	2 57
RED HAT, INC [2] CONV 00 250% OCT 01 2019 MOODY'S. *** S&P. BBB CUSIP. 756577AD4	10/09/15	2,000	2,484.80	130 1880	**2,603.76**	118 96	1 25	**5**	19
SAN DISK CORPORATION [2] CONV 00 500% OCT 15 2020 MOODY'S *** S&P. BB+ CUSIP· 80004CAF8	04/16/15	2,000	2,073 00	103 5000	**2,070.00**	(3 00)	2 11	**10**	48
RPM INTL INC [2] 2 25% SR. CONV NT 12/15/2020 MOODY'S. BAA3 S&P. BBB CUSIP. 749685ATO	12/10/13	1,000	1,115.00	113.8130	**1,138.13**	23 13	1 00	**23**	1 97
VERINT SYSTEMS INC [2] CONV 01.500% JUN 01 2021 MOODY'S. *** S&P. B+ CUSIP: 92343XAA8	07/30/14	2,000	2,061 00	93 7500	**1,875.00**	(186.00)	2 50	**30**	1 60
ILLUMINA INC [2] CONV 00 500% JUN 15 2021 MOODY'S· *** S&P. BBB CUSIP: 452327AH2	06/16/15	2,000	2,452 00	116 5630	**2,331.26**	(120.74)	44	**10**	42
DEPOMED INC [2] CONV 02 500% SEP 01 2021 MOODY'S *** S&P *** CUSIP 249908AA2	10/07/15	2,000	2,370 00	113 7500	**2,275.00**	(95 00)	16 67	**50**	2 19
θ ATLAS AIR WORLDWIDE HLDG [2] CONV 02 250% JUN 01 2022 MOODY'S *** S&P *** CUSIP 049164BH8 ORIGINAL UNIT/TOTAL COST 97 1250/1,942 50	07/15/15	2,000	1,942 50 [6]	82 7500	**1,655.00**	(287 50)	3 75	**45**	2 71

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Bank of America Corporation

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YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
LIBERTY MEDIA CORP [2]	12/30/14	2,000	1,989 00	97 9380	**1,958.76**	(30 24)	5 81	**28**	1 40
CONV 01 375% OCT 15 2023									
MOODY'S. *** S&P: *** CUSIP: 531229AB8									
ORIGINAL UNIT/TOTAL COST 99 4500/1,989.00									
TEVA PHARM FINANCE LLC [2]	11/02/11	1,000	1,052 50	156 1250	**1,561.25**	508 75	1 04	**3**	16
CONV SENIOR DEBENTURES DUE FEBRUARY 01 2026									
MOODY'S BAA1 S&P. BBB+ CUSIP 88163VAE9									
PAR CALL DATE. 02/01/08 PAR CALL PRICE: 100 00									
ON SEMICONDUCTOR CORP [2]	09/27/12	1,000	1,043 75	111 5000	1,115 00	71 25	1 17	27	2 35
CONV COMP GUARNT SER B 02 625% DEC 15 2026									
MOODY'S *** S&P. BB- CUSIP. 682189AH8									
PAR CALL DATE 12/20/16 PAR CALL PRICE· 100 00									
ON SEMICONDUCTOR CORP [2]	12/30/14	1,000	1,202 80	111 5000	1,115.00	(87 80)	1 17	27	2 35
Subtotal		2,000	2,246 55		**2,230.00**	(16 55)	2 34	**54**	2 35
NUANCE COMMUNICATION [2]	07/24/13	1,000	1,032 00	100 6880	**1,006.88**	(25 12)	4 58	**28**	2 73
CONV BOND 02 750% NOV 01 2031									
MOODY'S. *** S&P. BB- CUSIP: 67020YAF7									
PAR CALL DATE. 11/06/17 PAR CALL PRICE: 100 00									
¬ HELIX ENERGY SOLUTIONS [2]	09/06/13	1,000	1,468 23	78 9380	789 38	(678 85)	9 57	33	4 11
CONV 03 250% MAR 15 2032									
MOODY'S. *** S&P *** CUSIP. 42330PAG2									
PAR CALL DATE. 03/20/18 PAR CALL PRICE. 100 00									
ORIGINAL UNIT/TOTAL COST 135 1000/1,351 00									
¬ HELIX ENERGY SOLUTIONS [2]	06/05/14	1,000	1,374 82	78 9380	789 38	(585 44)	9 57	33	4 11
ORIGINAL UNIT/TOTAL COST. 129 3500/1,293 50									
¬ HELIX ENERGY SOLUTIONS [2]	02/17/15	1,000	1,078 69	78 9380	789 38	(289 31)	9 57	33	4 11
ORIGINAL UNIT/TOTAL COST. 103 2400/1,032 40									
Subtotal		3,000	3,921 74		**2,368.14**	(1,553 60)	28 71	**99**	4 11

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MacKay Converts

Account Number. 02252

YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

CORPORATE BONDS (continued) *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
INTEL CORP [2] *CONV JR SUBORDINATED 02 950% DEC 15 2035* *MOODY'S *** S&P: A- CUSIP· 458140AD2* *ORIGINAL UNIT/TOTAL COST· 111 9900/1,119 90*	06/07/12	1,000	1,321 48	127 9380	**1,279.38**	(42 10)			
MICROCHIP TECHNOLOGY INC [2] *CONV JR SUBORDINATED 02 125% DEC 15 2037* *MOODY'S. *** S&P. BB- CUSIP: 595017AB0* *ORIGINAL UNIT/TOTAL COST. 128 5750/1,285 75*	01/15/13	1,000	1,648 88	190 3130	**1,903.13**	254 25	94	**22**	1 11
INTEL CORP [2] *CONV JR SUBORDINATED 03 250% AUG 01 2039* *MOODY'S. A2 S&P: A- CUSIP 458140AF7* *ORIGINAL UNIT/TOTAL COST. 121 7500/1,217 50*	01/15/13	1,000	1,380 48	166 3130	**1,663.13**	282 65	13 54	**33**	1 95
HOLOGIC INC [2] *CONV SER 2012 STEP% MAR 01 2042* *MOODY'S *** S&P. B+ CUSIP. 436440AC5* *ORIGINAL UNIT/TOTAL COST: 91.1500/1,823 00*	05/31/12	2,000	2,278 75	133 6250	**2,672.50**	393 75	13 33	**40**	1 49
MOLINA HEALTHCARE INC [2] *CONV 01 625% AUG 15 2044* *MOODY'S. *** S&P: *** CUSIP. 60855RAD2* *PAR CALL DATE: 08/19/18 PAR CALL PRICE· 100 00* *ORIGINAL UNIT/TOTAL COST. 135 9900/2,719 80*	07/15/15	2,000	2,776 23	120 0630	**2,401.26**	(374 97)	12 28	**33**	1 35
[2]***Total Convertible Securities*** *(included in Equities asset allocation)*		55,000	64,472 24		**66,964.52**	2,492 28	279 73	**1,116**	1 70
TOTAL		0	0 00		**0.00**	0 00	0 00	**0**	0 00

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

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Merrill Lynch
Bank of America Corporation

MacKay Converts   Account Number 02252

YOUR EMA ASSETS

December 01, 2015 - December 31 2015

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ALLERGAN PLC CONV NEW MONEY GLB 05.500% MAR 01 2018	AGNPRA	02/26/15	2	1,019 7350	2,039 47	1,028 9900	**2,057.98**	18 51	**110**	5 34
AMERICAN TOWER CORP NEW 5.25% PFD CONV SER A 5/15/2017	AMTPRA	01/07/15	6	114.4100	686.46	102.2600	613.56	(72 90)	32	5 13
		01/08/15	19	116 1000	2,205 90	102 2600	1,942 94	(262 96)	100	5 13
Subtotal			*25*		*2,892 36*		**2,556.50**	*(335 86)*	***132***	*5 13*
Δ DANAHER CORP CONV ZERO% JAN 22 2021 ORIGINAL UNIT/TOTAL COST: 93 9600/939.60		08/09/06	1,000	97 6400	976.46	269 5630	**2,695.63**	1,719.17		
FIAT CHRYSLER AUTOMOBILE PFD CONV SECURITY 07 875% DEC 16 2016	FCAM	12/22/14	18	107 4411	1,933 94	120 9899	**2,177.82**	243 88	**142**	6 50
POST HOLDINGS, INC 5 75% TANGIBLE EQTY UNIT DUE 06/1/2017	POSTU	07/13/15	13	104 9000	1,363 70	117 3510	1,525 56	161 86		
		07/14/15	6	105 9500	635 70	117 3510	704 11	68 41		
Subtotal			*19*		*1,999 40*		**2,229.67**	*230 27*		
SCHULMAN A INC 6 00% DEP SHS PFD CUM SPECIAL STK CONV	SLMNP	05/06/15	2	1,045 0000	2,090 00	830 0000	**1,660.00**	(430 00)	**120**	7 22
SOUTHWESTERN ENERGY CO 6 25% CONV PFD SER B JAN 15 2018	SWNC	04/02/15	7	52 0771	364 54	18 5500	129 85	(234 69)	22	16 84
		04/06/15	32	52 6403	1,684 49	18 5500	593 60	(1,090 89)	100	16 84
Subtotal			*39*		*2,049 03*		***723.45***	*(1,325 58)*	***122***	*16 84*

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MacKay Converts   Account Number.   -02252

YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
STANLEY BLACK & DECKER I	SWH	12/01/15	10	123 7260	1,237 26	117 4600	1,174.60	(62.66)	63	5 32
MANDATORY CONV PRFD STK		12/02/15	7	123 4800	864 36	117 4600	822 22	(42 14)	44	5 32
ZERO% DEC 31 2049										
		12/03/15	1	121.9200	121 92	117 4600	117 46	(4 46)	7	5 32
		12/04/15	6	122 6483	735 89	117 4600	704 76	(31 13)	38	5 32
Subtotal			*24*		*2,959 43*		***2,819.04***	*(140 39)*	**152**	5.32
TYSON FOODS INC	TSNU	05/11/15	29	50.9368	1,477 17	60 6800	1,759 72	282 55	69	3 91
CONV NEW MONEY		05/12/15	8	50 3325	402 66	60 6800	485 44	82 78	19	3 91
04 750% JUL 15 2017										
		05/13/15	3	50 8066	152 42	60 6800	182 04	29 62	8	3 91
Subtotal			*40*		*2,032 25*		***2,427.20***	*394 95*	**96**	*3 91*
WELLS FARGO & CO NEW	WFCPRL	08/11/09	1	830 0000	830 00	1,161 0000	**1,161.00**	331 00	75	6 45
7 5% PERP CONV										
PFD STK										
TOTAL					19,802 34		**20,508.29**	705 95	**949**	5 33

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	93,104 84	**96,303.07**	3,198.23	279 73	**2,066**	2 24

Notes

*** Rating currently unavailable or not rated/unrated as provided by Rating Agency or recognized industry wide third party vendor source.

< Derived from Moody's and/or S & P ratings for other debt securities of this issuer and provided by a third party vendor

² Convertible securities

¬ Contingent Payment Debt Instrument (CPDI) - Gain recognized on the sale, exchange, or retirement of a CPDI is treated as ordinary interest income Loss is treated as ordinary loss to the extent the holder's total OID inclusions (including any positive adjustments) with respect to the instrument exceed the total net negative adjustments Any additional loss is treated as capital loss Thus, you should be careful not to treat gains or ordinary losses from a CPDI as capital when performing year-end tax planning Please consult your tax advisor for more information.

* - Excludes the market value of original issue discount holdings in the calculation of total current yield for debt securities

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Merrill Lynch
Bank of America Corporation

Online at. www.mymerrill.com

Account Number: -02810

THE HARVEY R HOUCK AND
PATRICIA W HOUCK FOUNDATION
8811 WESTHEIMER RD STE 208
HOUSTON TX 77063-3617

Net Portfolio Value: **$319,651.37**

Your Financial Advisor:
NICKEL/VMTBK
1221 MCKINNEY SUITE 3900
HOUSTON TX 77010
1-800-456-9712

Fayez Sarofim LCG

Your Consults® Investment Manager is FAYEZ SAROFIM LCC

December 01, 2015 - December 31, 2015

	This Statement	Year to Date
Opening Value (12/01)	$327,326.72	
Total Credits	835 14	-
Total Debits	(8 49)	-
Securities You Transferred In/Out	-	-
Market Gains/(Losses)	(8,502 00)	-
Closing Value (12/31)	$319,651.37	

ASSETS	December 31	November 30
Cash/Money Accounts	10,131 90	8 797 97
Fixed Income	-	-
Equities	309,519 47	318 528 75
Mutual Funds	-	
Options	-	
Other	-	-
Subtotal (Long Portfolio)	***319,651.37***	*327,326 72*
TOTAL ASSETS	**$319,651.37**	$327,326 72

LIABILITIES		
Debit Balance	-	
Short Market Value	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	**$319,651.37**	$327 326 72

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) and other subsidiaries of Bank of America Corporation MLPF&S is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation Investment products **Are Not FDIC Insured | Are Not Bank Guaranteed | May Lose Value**

006 2019

Fayez Sarofim LCG

Account Number.582-02810

Fayez Sarofim LCG

December 01, 2015 - December 31, 2015

CASH FLOW

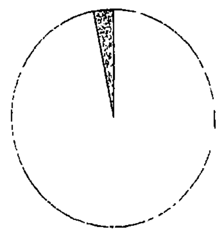
	This Statement	Year to Date
Opening Cash/Money Accounts	**$8,797.97**	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	6 20
Subtotal	-	6 20
DEBITS		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	(8.49)	(5.428 20)
Visa Purchases	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Subtotal	*(8.49)*	*(5,428.20)*
Net Cash Flow	**($8.49)**	($5,422 00)

OTHER TRANSACTIONS

Dividends/Interest Income	835 14	8,556 10
Security Purchases/Debits	(4,233 88)	(51,648 28)
Security Sales/Credits	4,741 16	52,696 97
Closing Cash/Money Accounts	**$10,131.90**	
Securities You Transferred In/Out	-	-

ASSET ALLOCATION*

* Estimated Accrued Interest not included, may not reflect all holdings, does not include asset categories less than 1%



	Allocation
Equities	96 83%
Cash/Money Accounts	3 17%
TOTAL	**100%**

DOCUMENT PREFERENCES THIS PERIOD

	Mail	Online Delivery
Statements	X	
Performance Reports	X	
Trade Confirms	X	
Shareholders Communication	X	
Prospectus	X	
Service Notices	X	
Tax Statements	X	

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2019

Merrill Lynch
Bank of America Corporation

Fayez Sarofim LCG

Account Number: 02810

MERRILL LYNCH CONSULTS SERVICE

December 01, 2015 - December 31, 2015

YOUR INVESTMENT MANAGER - FAYEZ SAROFIM LCC

We encourage you to contact your Financial Advisor whenever there are changes in your particular situation or objectives These changes can then be discussed directly with your Investment Manager We also encourage you to discuss your portfolio at least annually with your Investment Manager Your Financial Advisor would be pleased to arrange such discussions

YOUR EMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	*Opening Balance*	*Average Deposit Balance*	*Current Yield%*	*Interest on Deposits*	*Closing Balance*
Bank of America, N A	8,746	9,391	.02	0 16	10,121
TOTAL ML Bank Deposit Program	8,746			0 16	10,121

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Estimated Annual Income*	*Est Annual Yield%*
CASH	10.90	10.90		**10.90**		
+ML BANK DEPOSIT PROGRAM	10,121 00	10,121 00	1.0000	**10,121.00**	2	02
+FDIC INSURED NOT SIPC COVERED						
TOTAL		10,131 90		**10,131.90**	**2**	02

EQUITIES *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
ABBOTT LABS	ABT	06/25/02	89	17 5237	1,559 61	44 9100	3 996 99	2 437 38	93	2 31
		06/07/13	29	37 3368	1 082 77	44 9100	1,302 39	219 62	31	2 31
Subtotal			*118*		*2,642 38*		***5,299.38***	*2,657 00*	***124***	2 31

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EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ABBVIE INC SHS	ABBV	06/25/02	89	19.0030	1,691 27	59.2400	5,272 36	3,581 09	203	3 84
		06/07/13	12	43.7266	524 72	59 2400	710 88	186 16	28	3 84
Subtotal			*101*		*2,215.99*		**5,983.24**	*3,767.25*	**231**	*3 84*
ACE LIMITED	ACE	07/10/13	16	91 4675	1,463 48	116 8500	1,869 60	406 12	43	2 29
		11/22/13	14	102 5692	1,435 97	116 8500	1,635.90	199 93	38	2 29
		09/10/14	6	105.7233	634 34	116 8500	701 10	66 76	17	2.29
		09/11/14	3	105 6066	316 82	116 8500	350 55	33 73	9	2 29
		01/08/15	4	115 1225	460 49	116 8500	467 40	6 91	11	2 29
		07/22/15	20	106 5315	2,130 63	116 8500	2,337 00	206 37	54	2 29
		12/17/15	6	116 1250	696 75	116 8500	701 10	4.35	17	2 29
Subtotal			69		*7,138.48*		**8,062.65**	*924 17*	**189**	*2 29*
AIR PRODUCTS&CHEM	APD	10/27/10	15	84 5040	1,267 56	130 1100	1 951 65	684 09	49	2 49
		05/26/11	10	92 5820	925 82	130 1100	1,301 10	375.28	33	2 49
		05/27/11	3	93 5366	280 61	130 1100	390.33	109 72	10	2 49
Subtotal			*28*		*2,473 99*		**3,643.08**	*1,169 09*	**92**	*2 49*
ALEXION PHARMS INC	ALXN	08/28/15	16	178 0687	2,849 10	190 7500	3,052 00	202 90		
		08/31/15	2	178 6800	357 36	190 7500	381 50	24 14		
Subtotal			*18*		*3,206 46*		**3,433.50**	*227 04*		
ALPHABET INC SHS CL C	GOOG	08/28/15	7	628 3271	4,398 29	758 8800	**5,312.16**	913 87		
ALTRIA GROUP INC	MO	06/25/02	48	10 8593	521 25	58 2100	2,794 08	2,272.83	109	3 88
		12/04/02	60	9 2580	555.48	58.2100	3,492 60	2,937 12	136	3 88
		09/12/07	5	20 5900	102.95	58 2100	291 05	188 10	12	3 88
		05/27/08	29	22 3989	649 57	58 2100	1,688 09	1,038 52	66	3 88
		06/04/09	38	16 9657	644 70	58 2100	2,211.98	1,567 28	86	3 88
Subtotal			*180*		*2,473 95*		**10,477.80**	*8,003.85*	**409**	*3 88*

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EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AMER EXPRESS COMPANY	AXP	06/25/02	8	32 4912	259 93	69 5500	556 40	296 47	10	1 66
		01/14/04	8	42 2450	337 96	69.5500	556 40	218 44	10	1 66
		11/07/12	18	55 6827	1,002 29	69 5500	1,251 90	249 61	21	1 66
		09/10/14	22	88 4045	1,944 90	69 5500	1,530 10	(414 80)	26	1 66
		01/08/15	16	91 4993	1,463.99	69 5500	1,112 80	(351 19)	19	1 66
Subtotal			72		5,009 07		**5,007.60**	*(1 47)*	**86**	1 66
ANHEUSER-BUSCH INBEV ADR	BUD	06/11/14	14	112 6842	1,577 58	125 0000	1,750 00	172.42	46	2 57
		06/12/14	15	112 1313	1,681 97	125 0000	1,875 00	193 03	49	2 57
		08/28/15	13	109 7492	1,426 74	125 0000	1,625 00	198 26	42	2 57
Subtotal			*42*		*4,686 29*		**5,250.00**	563 71	**137**	2 57
APPLE INC	AAPL	02/07/08	35	17 3568	607 49	105 2600	3,684 10	3,076 61	73	1 97
		10/27/08	42	13 7095	575 80	105 2600	4,420 92	3,845 12	88	1 97
		06/03/09	28	19 9175	557 69	105 2600	2,947 28	2,389.59	59	1 97
		05/26/11	28	47 8242	1,339.08	105 2600	2,947 28	1,608 20	59	1 97
		09/01/11	21	54.5361	1,145 26	105 2600	2,210 46	1,065 20	44	1 97
		02/01/12	7	65 2485	456 74	105 2600	736 82	280 08	15	1 97
Subtotal			*161*		*4,682 06*		**16,946.86**	12,264 80	**338**	1 97
ASML HLDG NV NY REG SHS	ASML	11/22/13	17	91 3117	1,552 30	88 7700	1,509 09	(43 21)	11	72
		07/22/15	14	100 8221	1,411 51	88 7700	1,242 78	(168 73)	10	72
		07/23/15	2	101 3800	202 76	88 7700	177 54	(25.22)	2	72
Subtotal			33		*3,166.57*		**2,929.41**	*(237 16)*	**23**	*72*
AUTOMATIC DATA PROC	ADP	10/20/06	15	37 3740	560.61	84 7200	1,270 80	710 19	32	2.50
		09/12/07	5	39 0060	195 03	84 7200	423 60	228 57	11	2 50
Subtotal			*20*		*755 64*		**1,694.40**	938 76	**43**	2 50
BLACKROCK INC	BLK	09/01/11	3	163 0666	489 20	340 5200	1,021 56	532 36	27	2 56
		09/02/11	4	159.3125	637 25	340 5200	1,362.08	724 83	35	2 56
		02/01/12	7	183.7228	1,286 06	340.5200	2,383 64	1,097 58	62	2 56
		07/10/13	3	264 2566	792 77	340 5200	1,021 56	228 79	27	2 56
Subtotal			*17*		*3,205 28*		**5,788.84**	2,583 56	**151**	2 56

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EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CANADIAN PACIFIC RAILWAY	CP	02/21/13	6	116 9416	701 65	127 6000	765 60	63 95	7	79
LTD		02/21/13	2	117 0950	234 19	127 6000	255 20	21 01	3	79
		02/22/13	4	117 5925	470 37	127 6000	510 40	40 03	5	79
		02/27/13	5	121 7860	608 93	127 6000	638 00	29 07	6	79
		07/10/13	7	122 7757	859 43	127 6000	893.20	33 77	8	79
Subtotal			*24*		*2,874 57*		**3,062.40**	*187 83*	**29**	79
CELGENE CORP COM	CELG	10/20/14	34	92.2729	3,137 28	119 7600	4,071 84	934 56		
		06/08/15	3	111 1800	333 54	119 7600	359 28	25 74		
Subtotal			*37*		*3,470 82*		**4,431.12**	*960 30*		
CHEVRON CORP	CVX	06/25/02	56	43 7851	2,451 97	89 9600	5,037 76	2 585 79	240	4 75
		10/26/04	25	53 7768	1,344 42	89 9600	2,249.00	904 58	107	4 75
		05/26/11	6	102 8600	617 16	89.9600	539 76	(77 40)	26	4 75
Subtotal			*87*		*4,413 55*		**7,826.52**	*3,412 97*	**373**	4.75
COCA COLA COM	KO	06/25/02	113	28.2061	3,187.29	42.9600	4,854.48	1,667 19	150	3 07
		09/20/05	10	21 4790	214 79	42 9600	429 60	214 81	14	3 07
		09/12/07	40	28.1430	1,125 72	42 9600	1,718 40	592 68	53	3 07
		06/03/09	64	24 5914	1,573 85	42 9600	2,749.44	1,175 59	85	3 07
		02/01/12	40	34.0907	1,363 63	42 9600	1,718.40	354.77	53	3 07
Subtotal			*267*		*7,465 28*		**11,470.32**	*4,005.04*	**355**	3 07
COMCAST CORP NEW CL A	CMCSA	02/19/13	39	41 4748	1,617 52	56.4300	2,200 77	583.25	39	1 77
		07/10/13	17	42 6829	725 61	56 4300	959 31	233 70	17	1 77
		06/08/15	59	58 0045	3 422 27	56 4300	3 329 37	(92 90)	59	1 77
Subtotal			*115*		*5,765 40*		**6,489.45**	*724 05*	**115**	1 77

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EQUITIES (continued) Description	Symbol Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CONOCOPHILLIPS	COP 08/31/04	31	28.3354	878 40	46.6900	1,447.39	568 99	92	6 33
	10/26/04	14	33 1864	464 61	46 6900	653 66	189 05	42	6 33
	12/21/04	10	33 9680	339 68	46 6900	466 90	127 22	30	6 33
	12/22/04	18	33 4216	601 59	46 6900	840 42	238 83	54	6 33
	09/01/05	11	53 6927	590 62	46 6900	513 59	(77.03)	33	6 33
	05/07/09	18	34 2127	615.83	46 6900	840 42	224 59	54	6 33
Subtotal		102		3,490 73		**4,762.38**	1,271 65	**305**	6 33
DIAGEO PLC SPSD ADR NEW	DEO 11/07/12	13	115 9676	1,507 58	109 0700	1,417 91	(89 67)	45	3 13
	11/08/12	10	114 6870	1,146 87	109 0700	1,090.70	(56.17)	35	3 13
Subtotal		23		2,654 45		**2,508.61**	(145 84)	**80**	3 13
DISNEY (WALT) CO COM STK	DIS 03/12/10	32	33 6450	1,076 64	105 0800	3,362.56	2,285 92	46	1 35
	03/02/11	7	43 3957	303 77	105 0800	735.56	431 79	10	1 35
	02/25/15	25	105 7240	2,643 10	105 0800	2,627 00	(16 10)	36	1 35
Subtotal		64		4,023 51		**6,725.12**	2,701 61	**92**	1 35
EXXON MOBIL CORP COM	XOM 06/25/02	75	40 2501	3,018 76	77 9500	5,846 25	2,827 49	219	3 74
	12/17/02	33	35 7266	1,178 98	77 9500	2,572 35	1,393 37	97	3 74
	02/05/04	13	40 3284	524.27	77 9500	1,013 35	489 08	38	3 74
	08/04/09	19	70 3831	1,337.28	77 9500	1,481 05	143 77	56	3 74
	02/22/10	17	65 6105	1,115 38	77.9500	1,325 15	209 77	50	3 74
Subtotal		157		7,174 67		**12,238.15**	5,063 48	**460**	3 74
FACEBOOK INC	FB 02/25/15	43	79 7093	3,427 50	104 6600	4,500.38	1,072 88		
CLASS A COMMON STOCK	12/17/15	33	107 1857	3,537 13	104 6600	3,453 78	(83 35)		
Subtotal		76		6,964 63		**7,954.16**	989 53		
FRANKLIN RES INC	BEN 05/30/08	51	33 9421	1,731 05	36.8200	1,877 82	146 77	37	1 95
	01/25/11	12	39.7066	476 48	36 8200	441.84	(34.64)	9	1 95
	07/10/13	33	45.8909	1,514.40	36.8200	1,215 06	(299 34)	24	1 95
Subtotal		96		3,721.93		**3,534.72**	(187.21)	**70**	1 95
GILEAD SCIENCES INC COM	GILD 02/12/14	36	81 9261	2,949 34	101 1900	**3,642.84**	693 50	**62**	1 69

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EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
INTERCONTINENTAL	ICE	06/08/15	7	235 9628	1,651 74	256 2600	1,793 82	142 08	21	1 17
EXCHANGE INC		06/09/15	7	237 4442	1,662 11	256 2600	1,793 82	131 71	21	1 17
Subtotal			*14*		*3,313 85*		***3,587.64***	*273 79*	**42**	*1 17*
INTL BUSINESS MACHINES	IBM	01/15/10	10	131 4190	1,314 19	137 6200	1,376.20	62 01	52	3 77
CORP IBM		03/12/10	7	127 7028	893 92	137 6200	963 34	69 42	37	3 77
		09/10/12	14	201 5342	2,821 48	137 6200	1,926 68	(894 80)	73	3 77
Subtotal			*31*		*5,029.59*		***4,266.22***	*(763 37)*	**162**	*3 77*
JPMORGAN CHASE & CO	JPM	06/25/02	61	33 4900	2,042 89	66 0300	4,027 83	1,984 94	108	2 66
		01/13/09	32	25 2915	809 33	66 0300	2,112 96	1,303 63	57	2 66
		06/02/09	17	34 8629	592 67	66 0300	1,122.51	529 84	30	2.66
		01/24/11	30	44 9933	1,349 80	66 0300	1,980.90	631 10	53	2 66
Subtotal			*140*		*4,794 69*		***9,244.20***	*4,449 51*	**248**	*2 66*
LAUDER ESTEE COS INC A	EL	06/25/02	30	18 1653	544 96	88 0600	2,641 80	2,096 84	36	1 36
		09/12/07	16	20 2550	324.08	88.0600	1,408 96	1,084 88	20	1 36
		01/10/13	19	63 5378	1,207.22	88 0600	1,673 14	465 92	23	1 36
Subtotal			*65*		*2,076 26*		***5,723.90***	*3,647 64*	**79**	*1 36*
MCDONALDS CORP COM	MCD	06/25/02	5	29 0000	145 00	118.1400	590 70	445 70	18	3 01
		06/03/09	20	60.7940	1,215 88	118 1400	2,362.80	1,146 92	72	3 01
		11/24/09	28	64 1039	1,794 91	118 1400	3,307 92	1,513 01	100	3 01
Subtotal			*53*		*3,155 79*		***6,261.42***	*3,105 63*	**190**	*3 01*
MICROSOFT CORP	MSFT	08/28/15	71	43 8832	3,115 71	55 4800	**3,939.08**	823 37	**103**	2 59
NESTLE S A REP RG SH ADR	NSRGY	06/25/02	103	23 3000	2,399 90	74 4200	7,665 26	5,265 36	197	2 56
		11/27/09	16	48.0287	768 46	74 4200	1,190 72	422 26	31	2 56
Subtotal			*119*		*3,168 36*		***8,855.98***	*5,687 62*	**228**	*2 56*
NIKE INC CL B	NKE	08/28/15	56	56 1126	3 142 31	62 5000	**3,500.00**	357 69	**36**	1 02

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EQUITIES (continued) Description	Symbol Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
NOVARTIS ADR	NVS 06/07/13	11	71.8509	790 36	86.0400	946 44	156 08	25	2 62
	06/10/13	9	71 9700	647.73	86 0400	774 36	126 63	21	2 62
	06/10/13	21	72.5504	1,523 56	86 0400	1,806 84	283 28	48	2 62
	01/08/15	10	95 2930	952 93	86 0400	860 40	(92 53)	23	2 62
	01/09/15	1	96 4600	96 46	86 0400	86 04	(10 42)	3	2 62
Subtotal		52		*4,011 04*		***4,474.08***	*463.04*	**120**	*2 62*
NOVO NORDISK A S ADR	NVO 05/07/08	41	13 3682	548 10	58 0800	2,381 28	1,833 18	22	91
	05/08/08	10	13 4120	134 12	58 0800	580 80	446 68	6	91
	05/09/08	10	13 4790	134 79	58 0800	580 80	446 01	6	91
	05/12/08	15	13 5480	203 22	58 0800	871 20	667 98	8	91
	05/26/11	30	24.7470	742 41	58 0800	1,742 40	999.99	16	91
	01/12/15	27	43 6062	1,177 37	58 0800	1,568 16	390 79	15	91
Subtotal		133		*2,940 01*		***7,724.64***	*4,784 63*	**73**	*91*
OCCIDENTAL PETE CORP CAL	OXY 10/20/04	15	27 5193	412 79	67 6100	1,014 15	601 36	45	4 43
	10/21/04	14	27 7485	388 48	67 6100	946 54	558 06	42	4 43
	12/21/04	20	28 4475	568 95	67 6100	1,352 20	783 25	60	4 43
	05/26/11	10	100.1900	1,001 90	67 6100	676 10	(325 80)	30	4 43
	09/01/11	8	83 1237	664 99	67 6100	540 88	(124 11)	24	4 43
	01/10/13	16	79.9150	1,278 64	67 6100	1,081.76	(196 88)	48	4 43
Subtotal		83		*4,315.75*		***5,611.63***	*1,295.88*	**249**	*4.43*
ORACLE CORP $0 01 DEL	ORCL 05/15/12	70	27 2177	1,905 24	36 5300	2,557 10	651 86	42	1 64
	07/10/13	23	31 1865	717 29	36.5300	840 19	122 90	14	1 64
Subtotal		93		*2,622 53*		***3,397.29***	*774 76*	**56**	*1 64*
PEPSICO INC	PEP 06/25/02	56	50 0901	2,805 05	99 9200	5,595 52	2,790.47	158	2 81
	09/12/07	5	69 9500	349 75	99.9200	499 60	149 85	15	2 81
Subtotal		61		*3,154 80*		***6,095.12***	*2,940 32*	**173**	*2 81*

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EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
PHILIP MORRIS INTL INC	PM	06/25/02	21	24 7452	519 65	87 9100	1,846 11	1,326 46	86	4 64
		12/04/02	60	21.0961	1,265.77	87.9100	5,274.60	4 008 83	245	4 64
		09/12/07	5	46.9180	234 59	87 9100	439 55	204 96	21	4 64
		05/27/08	30	51.5900	1,547 70	87 9100	2,637 30	1 089 60	123	4 64
		01/13/09	25	42 4608	1,061 52	87 9100	2,197 75	1 136 23	102	4 64
		03/31/09	12	35 7666	429 20	87 9100	1 054 92	625 72	49	4 64
Subtotal			*153*		*5,058 43*		***13,450.23***	*8,391 80*	**626**	4 64
PRAXAIR INC	PX	03/09/05	5	48 1500	240 75	102 4000	512 00	271 25	15	2 79
		03/10/05	10	47 8800	478 80	102 4000	1,024.00	545 20	29	2 79
		03/24/06	9	55 6577	500 92	102 4000	921 60	420 68	26	2 79
		05/16/06	12	55 7108	668 53	102 4000	1,228.80	560.27	35	2 79
Subtotal			*36*		*1,889 00*		***3,686.40***	*1,797 40*	**105**	2 79
PROCTER & GAMBLE CO	PG	01/14/04	49	50 1600	2,457.84	79 4100	3,891 09	1,433.25	130	3 33
		09/12/07	5	67.3400	336 70	79 4100	397.05	60.35	14	3 33
Subtotal			*54*		*2,794 54*		***4,288.14***	*1,493 60*	**144**	3 33
QUALCOMM INC	QCOM	11/30/06	32	36 5109	1,168 35	49 9850	1,599 52	431 17	62	3 84
		05/23/08	25	47 3052	1,182 63	49 9850	1,249 63	67 00	48	3 84
Subtotal			*57*		*2,350 98*		***2,849.15***	*498.17*	**110**	3 84
ROCHE HLDG LTD SPN ADR	RHHBY	01/19/10	42	22.5242	946 02	34 4700	1,447 74	501.72	35	2 39
		01/22/10	52	22 2369	1,156 32	34 4700	1,792 44	636 12	43	2 39
		01/26/10	12	21.9875	263 85	34 4700	413.64	149 79	10	2 39
		01/27/10	40	21.8432	873 73	34 4700	1,378 80	505 07	33	2 39
		01/09/15	47	34 4180	1,617 65	34 4700	1,620 09	2 44	39	2 39
Subtotal			*193*		*4,857.57*		***6,652.71***	*1,795 14*	**160**	2 39
SABMILLER PLC SPON ADR	SBMRY	03/22/12	19	40.8015	775 23	59 9300	1.138 67	363 44	22	1 85
		03/23/12	14	40 5871	568 22	59 9300	839 02	270 80	16	1 85
		04/08/14	25	52 6400	1,316 00	59 9300	1,498 25	182 25	28	1 85
Subtotal			58		*2,659 45*		***3,475.94***	*816 49*	**66**	*1 85*

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YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

EQUITIES (continued) Description	Symbol Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
STATE STREET CORP	STT 11/22/13	21	72.7157	1,527 03	66.3600	1,393 56	(133.47)	29	2 04
	09/10/14	21	72.2000	1,516.20	66 3600	1,393 56	(122 64)	29	2 04
	09/11/14	4	72 1775	288 71	66.3600	265 44	(23 27)	6	2 04
	01/08/15	12	77 1158	925 39	66 3600	796 32	(129.07)	17	2 04
	01/09/15	6	76 9433	461 66	66 3600	398 16	(63 50)	9	2 04
Subtotal		*64*		*4,718 99*		***4,247.04***	*(471 95)*	***90***	*2 04*
TARGET CORP COM	TGT 06/25/02	27	37 9500	1,024 65	72 6100	1,960 47	935 82	61	3 08
	03/01/11	30	52 7176	1,581 53	72 6100	2,178 30	596 77	68	3 08
Subtotal		*57*		*2,606 18*		***4,138.77***	*1,532 59*	***129***	*3 08*
TEXAS INSTRUMENTS	TXN 11/30/06	27	29 6751	801 23	54 8100	1,479 87	678 64	42	2 77
	09/05/07	37	35 3121	1,306 55	54 8100	2,027 97	721 42	57	2 77
	10/25/07	31	31.1903	966 90	54 8100	1,699 11	732 21	48	2 77
	10/25/10	16	28 9337	462 94	54 8100	876 96	414 02	25	2 77
	01/08/15	12	53 7200	644 64	54.8100	657.72	13 08	19	2 77
Subtotal		*123*		*4,182 26*		***6,741.63***	*2,559 37*	***191***	*2 77*
TOTAL S A SP ADR	TOT 07/06/05	1	59 1200	59 12	44 9500	44 95	(14 17)	3	5 06
	10/10/05	19	59.9294	1,138 66	44 9500	854 05	(284 61)	44	5 06
	12/02/05	1	126 8100	126 81	44 9500	44.95	(81.86)	3	5 06
	10/27/08	17	45.8611	779 64	44.9500	764 15	(15 49)	39	5 06
	03/01/11	23	59 6639	1,372 27	44 9500	1,033 85	(338 42)	53	5.06
	06/01/11	4	56 4875	225 95	44 9500	179 80	(46 15)	10	5 06
	09/01/11	7	48 7371	341.16	44 9500	314 65	(26 51)	16	5 06
Subtotal		*72*		*4,043 61*		***3,236.40***	*(807 21)*	***168***	*5 06*

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Fayez Sarofim LCG

Account Number ·02810

YOUR EMA ASSETS

December 01, 2015 - December 31 2015

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
TWENTY-FIRST CENTURY	FOXA	06/25/02	55	9 1338	502 36	27 1600	1,493 80	991 44	17	1 10
FOX INC CLASS A		07/06/05	17	14 2494	242 24	27 1600	461 72	219 48	6	1 10
		07/28/05	10	14.6630	146 63	27 1600	271 60	124 97	3	1 10
		09/20/05	12	13.9641	167 57	27 1600	325 92	158.35	4	1 10
		04/07/14	52	32.0253	1,665 32	27 1600	1,412 32	(253 00)	16	1 10
		01/08/15	16	35 7618	572 19	27 1600	434.56	(137 63)	5	1 10
Subtotal			*162*		*3,296.31*		***4,399.92***	*1,103 61*	**51**	1 10
UNION PACIFIC CORP	UNP	02/03/14	34	87.0579	2,959 97	78 2000	2,658 80	(301.17)	75	2.81
		02/25/15	8	122 1662	977 33	78.2000	625.60	(351 73)	18	2 81
Subtotal			*42*		*3,937 30*		***3,284.40***	*(652.90)*	**93**	2 81
UNITED TECHS CORP COM	UTX	05/16/06	21	65 1576	1,368.31	96 0700	2,017 47	649 16	54	2 66
		10/25/07	21	75 7266	1,590 26	96 0700	2,017 47	427 21	54	2 66
		05/24/11	16	85 7787	1,372 46	96 0700	1 537 12	164 66	41	2 66
Subtotal			*58*		*4,331 03*		***5,572.06***	*1,241 03*	**149**	2 66
VERISIGN INC	VRSN	07/22/15	13	65 7515	854 77	87 3600	1,135 68	280.91		
		07/23/15	12	66 1116	793.34	87 3600	1,048.32	254 98		
Subtotal			*25*		*1,648 11*		***2,184.00***	535 89		
VISA INC CL A SHRS	V	02/25/15	48	68 2489	3,275 95	77 5500	**3,722.40**	446 45	**27**	72
WALGREENS BOOTS ALLIANCE	WBA	06/25/02	15	38 1206	571 81	85 1550	1,277 33	705.52	22	1 69
INC		03/04/04	5	34 9580	174 79	85 1550	425 78	250 99	8	1 69
		09/20/05	15	43 3400	650 10	85 1550	1,277 33	627 23	22	1 69
		09/12/07	8	45 1200	360 96	85 1550	681 24	320 28	12	1 69
		06/03/09	8	31.2637	250.11	85 1550	681 24	431 13	12	1 69
Subtotal			*51*		*2,007 77*		***4,342.92***	*2,335 15*	**76**	1 69
WELLS FARGO & CO NEW DEL	WFC	07/10/13	69	41 8543	2,887 95	54 3600	3,750 84	862 89	104	2 75
		08/28/15	18	53 6427	965 57	54 3600	978 48	12 91	27	2 75
Subtotal			*87*		*3,853 52*		***4,729.32***	*875 80*	**131**	2 75
XILINX INC	XLNX	05/14/12	29	32 9965	956 90	46 9700	**1,362.13**	405 23	**36**	2 64
TOTAL					204,331 92		**309,519.47**	105,187 55	**7,875**	2 54

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Merrill Lynch
Bank of America Corporation

Fayez Sarofim LCG

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YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	214,463 82	**319,651.37**	105,187 55		**7,877**	2 46

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Online at. www.mymerrill.com

Account Number '-02841

THE HARVEY R HOUCK AND
PATRICIA W HOUCK FOUNDATION
8811 WESTHEIMER RD STE 208
HOUSTON TX 77063-3617

Net Portfolio Value: $212,604.04

Your Financial Advisor:
NICKEL/VMTBK
1221 MCKINNEY SUITE 3900
HOUSTON TX 77010
1-800-456-9712

Atlanta Capital SMID

Your Consults® Investment Manager is ATLANTA CAPITAL SMID CORE

December 01, 2015 - December 31, 2015

	This Statement	Year to Date
Opening Value (12/01)	$217,791 23	
Total Credits	201 83	-
Total Debits	-	-
Securities You Transferred In/Out	-	-
Market Gains/(Losses)	(5,389 02)	-
Closing Value (12/31)	$212,604.04	

ASSETS	December 31	November 30
Cash/Money Accounts	12,855 08	8 255 96
Fixed Income	-	-
Equities	199,748 96	209,535 27
Mutual Funds	-	
Options	-	
Other	-	
Subtotal (Long Portfolio)	***212,604.04***	*217,791 23*
TOTAL ASSETS	**$212,604.04**	$217,791 23

LIABILITIES		
Debit Balance	-	
Short Market Value	-	
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	**$212,604.04**	$217,791 23

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) and other subsidiaries of Bank of America Corporation MLPF&S is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation Investment products **Are Not FDIC Insured | Are Not Bank Guaranteed | May Lose Value**

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Account Number '-02841

Atlanta Capital SMID

December 01, 2015 - December 31, 2015

CASH FLOW

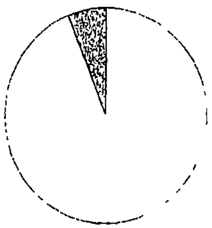
	This Statement	Year to Date
Opening Cash/Money Accounts	**$8,255.96**	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	-
Subtotal	-	-
DEBITS		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	-	(3,230 70)
Visa Purchases	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Subtotal	-	*(3,230 70)*
Net Cash Flow	-	($3,230 70)

OTHER TRANSACTIONS

	This Statement	Year to Date
Dividends/Interest Income	201 83	1,834 47
Security Purchases/Debits	(619.92)	(33,888 14)
Security Sales/Credits	5,017 21	41,180 01
Closing Cash/Money Accounts	**$12,855.08**	
Securities You Transferred In/Out	-	-

ASSET ALLOCATION*

* Estimated Accrued Interest not included, may not reflect all holdings, does not include asset categories less than 1%



	Allocation
Equities	93 95%
Cash/Money Accounts	6 05%
TOTAL	**100%**

DOCUMENT PREFERENCES THIS PERIOD

	Mail	Online Delivery
Statements	X	
Performance Reports	X	
Trade Confirms	X	
Shareholders Communication	X	
Prospectus	X	
Service Notices	X	
Tax Statements	X	

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Bank of America Corporation

Atlanta Capital SMID

Account Number ·02841

MERRILL LYNCH CONSULTS SERVICE

December 01 2015 - December 31, 2015

YOUR INVESTMENT MANAGER - ATLANTA CAPITAL SMID CORE.

We encourage you to contact your Financial Advisor whenever there are changes in your particular situation or objectives These changes can then be discussed directly with your Investment Manager We also encourage you to discuss your portfolio at least annually with your Investment Manager Your Financial Advisor would be pleased to arrange such discussions

YOUR EMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	*Opening Balance*	*Average Deposit Balance*	*Current Yield%*	*Interest on Deposits*	*Closing Balance*
Bank of America, N.A	8,218	10,519	02	0 18	**12,854**
TOTAL ML Bank Deposit Program	8,218			0 18	**12,854**

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Estimated Annual Income*	*Est Annual Yield%*
CASH	1 08	1 08		**1.08**		
+ML BANK DEPOSIT PROGRAM +*FDIC INSURED NOT SIPC COVERED*	12,854 00	12,854 00	1 0000	**12,854.00**	3	02
TOTAL		12,855 08		**12,855.08**	3	02

EQUITIES *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*

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Atlanta Capital SMID

Account Number. 02841

YOUR EMA ASSETS

December 01, 2015 December 31, 2015

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
A N S Y S INC COM	ANSS	04/27/07	3	25.8133	77 44	92 5000	277 50	200 06		
		04/30/07	10	25.9870	259.87	92 5000	925.00	665 13		
		05/01/07	8	25.3900	203 12	92 5000	740 00	536 88		
		10/10/08	15	26 3253	394 88	92 5000	1,387 50	992.62		
		10/14/08	5	32 8660	164 33	92 5000	462 50	298 17		
		03/19/09	10	24 2800	242 80	92 5000	925 00	682 20		
		05/12/10	1	45.1600	45 16	92 5000	92 50	47 34		
		05/13/10	1	45.9800	45 98	92 5000	92 50	46 52		
		04/11/14	16	73 9850	1,183 76	92 5000	1,480 00	296 24		
		04/14/14	2	74.1950	148 39	92 5000	185 00	36 61		
		09/08/14	8	81 1975	649 58	92 5000	740 00	90 42		
		09/09/14	3	80 6600	241 98	92 5000	277 50	35 52		
		05/19/15	1	90 1300	90 13	92.5000	92 50	2 37		
		05/20/15	5	90 1880	450 94	92 5000	462 50	11 56		
Subtotal			*88*		*4,198 36*		***8,140.00***	*3,941.64*		
ACUITY BRANDS INC	AYI	07/12/10	18	38 8238	698 83	233 8000	4,208 40	3,509 57	10	22
		05/02/11	3	58 5566	175 67	233 8000	701 40	525 73	2	22
		07/30/13	5	87.2380	436 19	233.8000	1,169.00	732 81	3	22
		07/29/15	2	193.7850	387 57	233 8000	467.60	80 03	2	22
		09/30/15	1	173.3700	173 37	233 8000	233 80	60 43	1	22
Subtotal			*29*		*1,871 63*		***6,780.20***	*4,908 57*	***18***	*22*
AFFILIATED MANAGERS GRP	AMG	11/24/08	16	22.5975	361 56	159.7600	2,556 16	2,194.60		
		09/18/15	7	178 2971	1,248 08	159 7600	1 118.32	(129 76)		
		09/30/15	1	169 6000	169 60	159 7600	159 76	(9 84)		
Subtotal			*24*		*1,779 24*		***3,834.24***	*2,055 00*		

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Bank of America Corporation

Atlanta Capital SMID   Account Number 02841

YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AIRGAS INC COM	ARG	08/20/12	17	83 8194	1,424 93	138 3200	2,351 44	926 51	41	1 73
		08/21/12	1	84.0400	84.04	138 3200	138 32	54 28	3	1 73
		10/18/13	3	111.3333	334 00	138 3200	414 96	80 96	8	1 73
		04/11/14	4	105 1450	420 58	138 3200	553 28	132 70	10	1 73
		09/30/15	5	89 4840	447 42	138.3200	691 60	244 18	12	1 73
Subtotal			30		*2,710 97*		***4,149.60***	*1,438 63*	***74***	*1 73*
APTARGROUP INC	ATR	04/24/07	5	37.4800	187.40	72 6500	363 25	175.85	6	1 65
		04/26/07	6	37 9316	227.59	72 6500	435 90	208 31	8	1 65
		04/27/07	6	38 0233	228 14	72 6500	435 90	207 76	8	1 65
		04/30/07	4	37 7000	150 80	72 6500	290 60	139 80	5	1 65
		05/01/07	4	36 7900	147 16	72 6500	290 60	143 44	5	1 65
		05/04/07	10	37 3690	373 69	72 6500	726.50	352.81	12	1 65
		01/23/08	10	34 7700	347 70	72 6500	726 50	378 80	12	1 65
		08/22/08	10	40 0290	400 29	72 6500	726 50	326 21	12	1 65
		09/30/15	3	65 4900	196 47	72 6500	217 95	21 48	4	1 65
Subtotal			58		*2,259 24*		***4,213.70***	*1,954 46*	***72***	*1 65*
ARTISAN PARTNERS ASSET MGMT INC CLASS A	APAM	08/26/14	34	56 1982	1,910 74	36 0600	1,226.04	(684 70)	82	6 65
		10/08/14	10	51.0020	510 02	36.0600	360.60	(149 42)	24	6 65
		09/30/15	18	35.2083	633 75	36 0600	649 08	15 33	44	6 65
Subtotal			62		*3,054 51*		***2,235.72***	*(818 79)*	***150***	6 65
BIO RAD LABS CL A	BIO	01/17/08	3	96 4800	289 44	138 6600	415 98	126 54		
		01/18/08	4	95 3725	381 49	138 6600	554.64	173 15		
		03/07/08	1	88.7000	88 70	138 6600	138.66	49 96		
		03/10/08	2	88 4750	176 95	138.6600	277 32	100 37		
		03/10/08	3	88 5766	265 73	138 6600	415 98	150 25		
		03/11/08	4	89 5425	358 17	138 6600	554 64	196 47		
		04/10/08	5	85 9820	429 91	138 6600	693 30	263 39		
		12/18/08	3	68 3966	205 19	138 6600	415 98	210 79		

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YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
BIO RAD LABS CL A	BIO	12/19/08	2	69 8050	139 61	138 6600	277 32	137 71		
		08/16/11	3	101 3000	303 90	138 6600	415 98	112 08		
		07/26/12	8	92 5850	740 68	138 6600	1,109 28	368 60		
Subtotal			38		*3,379 77*		***5,269.08***	*1,889 31*		
BIO TECHNE CORP COM STK	TECH	08/11/15	12	108.0041	1,296 05	90 0000	1,080 00	(216 05)	16	1 42
		08/12/15	8	106.2937	850 35	90.0000	720.00	(130 35)	11	1 42
		09/30/15	1	91 7400	91 74	90.0000	90 00	(1.74)	2	1.42
Subtotal			21		*2,238 14*		***1,890.00***	*(348.14)*	**29**	*1 42*
BLACKBAUD INC	BLKB	10/07/08	19	15 8426	301 01	65 8600	1,251 34	950 33	10	72
		03/09/09	15	9 1466	137 20	65 8600	987.90	850 70	8	72
		03/11/09	15	10 0406	150 61	65 8600	987 90	837 29	8	72
		05/03/10	33	23 7706	784 43	65 8600	2,173 38	1,388 95	16	72
		09/30/15	5	56 2760	281 38	65 8600	329 30	47 92	3	72
Subtotal			87		*1,654 63*		***5,729.82***	*4,075 19*	**45**	*72*
BROADRIDGE FINL	BR	12/22/14	4	45 8275	183 31	53 7300	214 92	31 61	5	2 23
SOLUTIONS INC		02/10/15	35	51.6737	1,808 58	53 7300	1,880 55	71.97	42	2 23
		07/29/15	11	54 1272	595 40	53 7300	591 03	(4.37)	14	2 23
		10/30/15	3	59 9066	179 72	53 7300	161 19	(18 53)	4	2 23
Subtotal			53		*2,767 01*		***2,847.69***	*80 68*	**65**	*2 23*
CARLISLE COS INC	CSL	08/13/09	4	32 9900	131 96	88 6900	354 76	222 80	5	1 35
		09/24/09	18	33 7788	608.02	88 6900	1 596 42	988 40	22	1 35
		10/20/09	10	33 4170	334 17	88 6900	886 90	552 73	12	1 35
		04/29/10	7	38 9757	272 83	88 6900	620 83	348 00	9	1 35
		08/16/11	10	37 5340	375 34	88 6900	886 90	511 56	12	1 35
		09/30/15	5	87 3720	436 86	88 6900	443 45	6 59	6	1 35
Subtotal			54		*2,159 18*		***4,789.26***	*2,630 08*	**66**	*1 35*

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Merrill Lynch
Bank of America Corporation

Atlanta Capital SMID

Account Number ͻ2841

YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CDW CORP	CDW	02/09/15	13	35 9923	467 90	42 0400	546 52	78 62	6	1 02
		02/10/15	28	36 5728	1,024 04	42 0400	1,177 12	153 08	13	1 02
		07/29/15	28	34 9750	979 30	42 0400	1,177 12	197 82	13	1 02
		07/30/15	8	35 2662	282 13	42 0400	336 32	54 19	4	1 02
		10/30/15	6	44 8916	269 35	42 0400	252 24	(17 11)	3	1 02
Subtotal			83		*3,022 72*		***3,489.32***	*466 60*	**39**	*1 02*
CHURCH&DWIGHT CO INC	CHD	03/12/09	4	23 8700	95.48	84.8800	339 52	244.04	6	1 57
		06/11/09	20	25.6185	512.37	84 8800	1,697 60	1,185 23	27	1 57
		03/01/11	12	37.3866	448 64	84.8800	1,018 56	569 92	17	1 57
Subtotal			36		*1,056.49*		***3,055.68***	*1,999 19*	**50**	*1 57*
CLARCOR INC	CLC	08/17/11	7	43.0314	301 22	49 6800	347 76	46 54	7	1 77
		08/18/11	16	40 8818	654 11	49 6800	794 88	140 77	15	1 77
		08/19/11	5	40 7760	203 88	49 6800	248 40	44 52	5	1 77
		03/30/12	5	49 2180	246 09	49.6800	248 40	2 31	5	1 77
		04/02/12	13	49 0730	637.95	49 6800	645 84	7 89	12	1 77
		05/21/12	9	48.4888	436.40	49.6800	447 12	10.72	8	1 77
		05/22/12	4	49 3825	197.53	49 6800	198 72	1 19	4	1 77
		09/30/15	24	47 6166	1,142 80	49.6800	1,192 32	49 52	22	1 77
Subtotal			83		*3,819.98*		***4,123.44***	*303 46*	**78**	*1 77*
COLUMBIA SPORTSWEAR CO	COLM	08/26/09	14	19.1771	268 48	48 7600	682 64	414 16	10	1 39
		08/27/09	18	19 3061	347 51	48 7600	877 68	530 17	13	1 39
		01/20/10	14	20 9521	293 33	48 7600	682 64	389 31	10	1 39
Subtotal			46		*909 32*		***2,242.96***	*1,333 64*	**33**	*1 39*
COPART INC COM	CPRT	05/01/07	6	14 5066	87 04	38 0100	228 06	141 02		
		11/24/08	20	13 2740	265.48	38 0100	760 20	494 72		
		12/11/08	20	13.0395	260.79	38 0100	760 20	499 41		
		12/30/08	10	13 0740	130 74	38 0100	380 10	249 36		
		10/18/13	14	32 6378	456 93	38 0100	532 14	75 21		
Subtotal			70		*1,200 98*		***2,660.70***	*1,459 72*		

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YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CULLEN FRST BKRS PV$0 01	CFR	04/29/10	20	59.5815	1,191 63	60 0000	1,200 00	8 37	43	3 53
		04/30/10	3	59.9833	179 95	60 0000	180 00	05	7	3 53
		06/27/14	7	78 9857	552 90	60 0000	420 00	(132 90)	15	3 53
		07/24/14	6	78 7250	472.35	60 0000	360 00	(112 35)	13	3 53
		09/30/15	7	63 2542	442 78	60 0000	420 00	(22 78)	15	3 53
Subtotal			43		*2,839 61*		***2,580.00***	*(259 61)*	**93**	3 53
DENTSPLY INTL INC	·XRAY	04/24/07	25	33 1168	827 92	60 8500	1,521 25	693 33	8	47
		04/26/07	10	33 2930	332 93	60 8500	608 50	275 57	3	47
		04/27/07	7	33 5414	234 79	60 8500	425 95	191 16	3	47
		04/30/07	8	33 4637	267 71	60 8500	486 80	219 09	3	47
		05/01/07	5	34 7320	173 66	60 8500	304 25	130 59	2	47
		04/10/08	10	37 3700	373 70	60 8500	608 50	234 80	3	47
		03/05/09	20	22 6600	453 20	60 8500	1,217 00	763 80	6	47
		06/18/10	16	31 6137	505 82	60.8500	973 60	467 78	5	47
		10/21/10	15	32.4300	486 45	60.8500	912 75	426 30	5	47
		09/30/15	7	50 4442	353 11	60 8500	425 95	72.84	3	47
Subtotal			123		*4,009 29*		***7,484.55***	*3,475.26*	**41**	47
DONALDSON CO INC	DCI	05/13/13	16	37 6818	602 91	28 6600	458 56	(144 35)	11	2 37
		05/14/13	31	38 2012	1,184.24	28.6600	888 46	(295.78)	22	2 37
		10/18/13	10	40.5510	405 51	28 6600	286 60	(118 91)	7	2 37
		09/30/15	22	27 8713	613 17	28 6600	630 52	17 35	15	2 37
Subtotal			79		*2,805 83*		***2,264.14***	*(541 69)*	**55**	2 37
DRIL QUIP	DRQ	04/29/10	18	64 4033	1,159 26	59 2300	**1,066.14**	(93 12)		
EQUIFAX INC	EFX	05/25/10	8	29 5675	236 54	111 3700	890 96	654 42	10	1 04
		12/06/10	21	35 2690	740 65	111 3700	2,338 77	1,598 12	25	1 04
		03/01/11	15	35.6033	534 05	111 3700	1,670.55	1,136 50	18	1 04
		08/16/11	9	30 4877	274 39	111 3700	1,002 33	727 94	11	1 04
		09/30/15	2	96 3900	192.78	111 3700	222 74	29 96	3	1 04
Subtotal			55		*1,978 41*		***6,125.35***	*4,146 94*	**67**	1 04

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Merrill Lynch
Bank of America Corporation

Atlanta Capital SMID

Account Number 02841

YOUR EMA ASSETS

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EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
FACTSET RESH SYS INC	FDS	12/19/07	6	57.2333	343 40	162.5700	975 42	632.02	11	1 08
		01/03/08	10	55 2410	552 41	162.5700	1,625.70	1,073 29	18	1 08
		09/16/08	5	53.1680	265 84	162.5700	812 85	547.01	9	1 08
Subtotal			*21*		*1,161.65*		***3,413.97***	*2,252.32*	***38***	*1 08*
FAIR ISAAC CORPORATION	FICO	01/16/08	1	24 2600	24 26	94 1800	94 18	69 92	1	08
		02/27/08	10	24 4530	244 53	94 1800	941 80	697.27	1	08
		02/29/08	5	23 5480	117 74	94 1800	470 90	353 16	1	08
		04/02/08	15	23 2073	348 11	94 1800	1,412 70	1,064 59	2	08
		06/20/08	30	22 7350	682 05	94 1800	2,825 40	2,143 35	3	08
		09/30/15	3	84.1366	252 41	94 1800	282 54	30 13	1	08
Subtotal			*64*		*1,669 10*		***6,027.52***	*4,358 42*	***9***	*08*
FLIR SYSTEMS INC	FLIR	09/16/10	10	27 2220	272 22	28 0700	280 70	8 48	5	1 56
		07/28/11	27	27 5122	742 83	28 0700	757 89	15 06	12	1 56
		05/21/12	33	20 8718	688 77	28 0700	926 31	237 54	15	1 56
		07/31/15	35	30 9900	1,084 65	28 0700	982 45	(102 20)	16	1 56
Subtotal			*105*		*2,788 47*		***2,947.35***	*158 88*	***48***	*1 56*
FOREST CITY ENTRPRS CL A	FCEA	05/07/09	12	7 5466	90 56	21 9300	263 16	172 60		
		05/13/09	34	7.5038	255 13	21 9300	745 62	490 49		
		05/14/09	12	6 3000	75 60	21 9300	263 16	187 56		
		05/15/09	35	6.2908	220 18	21.9300	767 55	547 37		
		08/12/09	18	7.7511	139 52	21.9300	394 74	255 22		
		08/13/09	41	7 7739	318 73	21 9300	899 13	580 40		
Subtotal			*152*		*1,099 72*		***3,333.36***	*2,233.64*		
GARTNER INC	IT	04/30/13	13	57 6407	749 33	90 7000	1,179 10	429.77		
		05/01/13	17	57 8517	983 48	90 7000	1,541 90	558 42		
		05/06/13	9	56 3311	506 98	90 7000	816 30	309 32		
Subtotal			*39*		*2,239 79*		***3,537.30***	*1,297 51*		

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Atlanta Capital SMID Account Number: 02841

YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
GENTEX CORP	GNTX	07/28/11	6	14 6666	88 00	16 0100	96 06	8 06	3	2 12
		08/16/11	36	12.5080	450 29	16.0100	576.36	126 07	13	2 12
		04/20/12	78	10 8276	844 56	16 0100	1,248.78	404 22	27	2 12
		07/26/12	96	7 5081	720.78	16 0100	1,536 96	816 18	33	2 12
		09/30/15	30	15 3826	461 48	16 0100	480.30	18 82	11	2 12
Subtotal			246		2,565 11		**3,938.46**	1,373 35	**87**	2 12
GRACO INC	GGG	07/01/02	22	16 8750	371 25	72 0700	1,585 54	1,214.29	30	1 83
		01/23/08	10	32.7870	327 87	72 0700	720 70	392 83	14	1 83
		05/12/10	5	35 3800	176 90	72 0700	360 35	183 45	7	1 83
		05/13/10	1	35 4200	35 42	72 0700	72 07	36 65	2	1 83
		09/30/15	5	66 3180	331 59	72 0700	360 35	28 76	7	1 83
Subtotal			43		1,243.03		**3,099.01**	1,855 98	**60**	1 83
HUNT J B TRANS SVCS INC	JBHT	12/13/10	36	39 9163	1,436.99	73.3600	2,640.96	1,203 97	31	1 14
		05/02/11	5	47 6220	238 11	73 3600	366.80	128.69	5	1 14
		07/29/15	10	83 7260	837 26	73 3600	733 60	(103 66)	9	1 14
		09/30/15	3	71 1900	213 57	73 3600	220.08	6 51	3	1 14
		11/11/15	9	74 7922	673 13	73 3600	660 24	(12.89)	8	1 14
Subtotal			63		3,399 06		**4,621.68**	1,222 62	**56**	1 14
IDEX CORP DELAWARE COM	IEX	12/03/07	5	35 5060	177 53	76 6100	383 05	205 52	7	1 67
		12/03/07	3	35.5233	106.57	76.6100	229 83	123.26	4	1 67
		12/04/07	10	35 2360	352 36	76 6100	766.10	413 74	13	1 67
		01/03/08	10	36.0080	360 08	76 6100	766 10	406 02	13	1 67
		01/15/08	15	31 0000	465 00	76 6100	1,149 15	684 15	20	1 67
		10/10/08	25	23 0052	575 13	76 6100	1,915 25	1,340 12	32	1 67
Subtotal			68		2,036 67		**5,209.48**	3,172 81	**89**	1 67

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Bank of America Corporation

Atlanta Capital SMID   Account Number -02841

YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
IDEXX LAB INC DEL $0 10	IDXX	04/24/13	12	42 1850	506 22	72 9200	875 04	368.82		
		04/25/13	26	42 8284	1,113.54	72 9200	1,895 92	782 38		
		10/18/13	6	53 1750	319 05	72 9200	437 52	118 47		
		09/30/15	3	73 6366	220 91	72 9200	218 76	(2 15)		
Subtotal			*47*		*2,159 72*		***3,427.24***	*1,267 52*		
JACK HENRY & ASSOC INC	JKHY	07/13/06	10	18 2710	182 71	78 0600	780 60	597 89	10	1 28
		07/31/06	44	18 7134	823 39	78 0600	3,434 64	2,611.25	44	1 28
		06/27/14	10	59 3370	593 37	78 0600	780 60	187.23	10	1 28
Subtotal			*64*		*1,599.47*		***4,995.84***	*3,396 37*	**64**	1 28
JONES LANG LASALLE INC	JLL	12/09/14	5	144 7680	723 84	159 8600	799 30	75 46	3	36
		12/10/14	9	144.4066	1,299.66	159 8600	1,438.74	139 08	6	36
Subtotal			*14*		*2,023.50*		***2,238.04***	*214 54*	**9**	36
KIRBY CORP COM	KEX	06/20/12	11	51 6263	567 89	52 6200	578.82	10 93		
		07/30/13	5	84.1320	420 66	52 6200	263 10	(157 56)		
		11/19/14	17	102 0029	1,734 05	52 6200	894 54	(839 51)		
		03/30/15	17	73 4700	1,248 99	52 6200	894.54	(354 45)		
		09/30/15	9	61.5822	554 24	52 6200	473 58	(80 66)		
Subtotal			*59*		*4,525 83*		***3,104.58***	*(1,421 25)*		
LANDSTAR SYS INC COM	LSTR	10/13/14	10	68.0530	680.53	58 6500	586.50	(94 03)	4	54
		10/14/14	16	69 8312	1,117.30	58 6500	938 40	(178.90)	6	54
		09/30/15	3	64 0466	192 14	58 6500	175 95	(16.19)	1	54
		10/01/15	10	63.3130	633.13	58.6500	586.50	(46 63)	4	54
Subtotal			*39*		*2,623 10*		***2,287.35***	*(335 75)*	**15**	54
MANHATTAN ASSOCS INC	MANH	10/31/14	14	39 9750	559.65	66 1700	926 38	366 73		
		11/03/14	28	39 9992	1 119 98	66 1700	1,852 76	732 78		
		11/04/14	6	40 2183	241 31	66 1700	397 02	155 71		
Subtotal			*48*		*1,920 94*		***3,176.16***	*1,255 22*		

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December 01, 2015 - December 31, 2015

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
MARKEL CORP COM	MKL	12/11/08	1	302 1000	302 10	883 3500	883 35	581 25		
		03/03/09	1	249 9000	249 90	883 3500	883 35	633 45		
		03/19/09	3	244 5300	733 59	883 3500	2,650 05	1,916 46		
		06/18/10	1	354 0700	354 07	883 3500	883 35	529 28		
		12/28/10	2	378 2000	756 40	883.3500	1,766 70	1,010.30		
		01/19/12	2	409.8250	819 65	883 3500	1,766 70	947 05		
		01/23/13	2	466 7850	933 57	883 3500	1,766 70	833 13		
Subtotal			*12*		*4,149 28*		***10,600.20***	6,450.92		
METTLER-TOLEDO INTL INC	MTD	03/19/09	10	49 4080	494 08	339 1300	**3,391.30**	2,897 22		
MORNINGSTAR INC	MORN	11/06/08	5	33 8540	169 27	80 4100	402.05	232.78	5	1 09
		12/17/08	3	31 4966	94.49	80.4100	241 23	146 74	3	1 09
		12/19/08	3	33 1766	99 53	80 4100	241 23	141 70	3	1 09
		12/30/08	10	33 9420	339.42	80 4100	804 10	464 68	9	1 09
		02/20/09	15	27 9620	419 43	80 4100	1,206 15	786 72	14	1 09
		05/04/10	24	47.5362	1,140 87	80 4100	1,929 84	788 97	22	1 09
		07/30/13	3	76 6466	229 94	80 4100	241 23	11.29	3	1 09
		07/31/13	3	76.8900	230 67	80 4100	241 23	10 56	3	1 09
		08/01/13	1	77.2200	77 22	80 4100	80.41	3.19	1	1 09
		08/02/13	2	77.3950	154 79	80 4100	160.82	6.03	2	1 09
Subtotal			69		2,955.63		***5,548.29***	2,592 66	**65**	*1 09*
OCEANEERING INTL INC	OII	12/10/08	16	12.7250	203 60	37 5200	600 32	396 72	18	2 87
		06/18/10	18	23 8088	428 56	37 5200	675 36	246 80	20	2 87
Subtotal			*34*		*632 16*		***1,275.68***	643.52	**38**	2.87
RPM INTERNATIONAL INC	RPM	03/06/15	41	49 2597	2,019 65	44 0600	1,806 46	(213 19)	46	2 49
		05/29/15	11	50 0509	550 56	44 0600	484 66	(65 90)	13	2 49
		09/30/15	5	41 7080	208 54	44 0600	220 30	11 76	6	2 49
Subtotal			57		2,778 75		***2,511.42***	*(267 33)*	**65**	2 49

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YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
SALLY BEAUTY HLDGS INC	SBH	04/30/07	31	9.8019	303 86	27 8900	864 59	560 73		
		05/01/07	32	9 7287	311.32	27 8900	892 48	581 16		
		10/21/10	31	12.2851	380.84	27.8900	864 59	483 75		
		07/28/11	29	17 2975	501 63	27.8900	808 81	307.18		
		06/20/12	6	27 9000	167 40	27 8900	167 34	(0 06)		
		10/19/12	46	23 5147	1,081.68	27 8900	1,282.94	201.26		
		01/28/15	34	31.0332	1,055 13	27 8900	948 26	(106 87)		
		08/28/15	44	26 4890	1,165 52	27.8900	1,227.16	61.64		
		09/30/15	13	23 5492	306 14	27 8900	362.57	56 43		
Subtotal			266		5,273 52		***7,418.74***	2,145 22		
SCHEIN (HENRY) INC COM	HSIC	05/09/08	5	54.9920	274 96	158 1900	790 95	515 99		
		12/18/08	20	35 1505	703 01	158 1900	3,163 80	2,460 79		
		12/15/09	11	51 1272	562 40	158 1900	1,740 09	1,177 69		
		09/30/15	1	132.2100	132 21	158.1900	158 19	25 98		
Subtotal			37		1,672 58		**5,853.03**	4,180 45		
SEI INVT CO PA PV $0 01	SEIC	08/10/07	8	24.8250	198 60	52 4000	419 20	220 60	5	99
		03/25/08	15	26.0640	390.96	52 4000	786.00	395 04	8	99
		09/22/08	11	20.8227	229 05	52 4000	576 40	347 35	6	99
		09/23/08	19	20.8110	395 41	52 4000	995 60	600 19	10	99
		09/29/08	8	18 6850	149 48	52 4000	419 20	269 72	5	99
		03/19/09	50	11 6050	580 25	52 4000	2,620.00	2 039 75	26	99
		07/30/13	4	31 6850	126 74	52 4000	209 60	82 86	3	99
		05/13/14	7	32 3585	226 51	52 4000	366 80	140 29	4	99
		05/14/14	6	32 1633	192 98	52 4000	314 40	121 42	4	99
		10/01/15	5	48 4820	242 41	52 4000	262 00	19 59	3	99
Subtotal			133		2,732 39		**6,969.20**	4,236 81	**74**	99
SERVICEMASTER GLOBAL *HLDGS INC*	SERV	08/05/15	59	36.7300	2,167 07	39 2400	2,315 16	148 09		
		11/11/15	14	36 1564	506 19	39 2400	549 36	43 17		
Subtotal			73		2,673 26		**2,864.52**	191 26		

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YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
TELEFLEX INC	TFX	06/10/14	4	106 7875	427 15	131 4500	525 80	98 65	6	1 03
		06/11/14	2	106 4250	212 85	131 4500	262 90	50 05	3	1 03
		06/12/14	7	106 2585	743 81	131 4500	920 15	176 34	10	1 03
		07/24/14	4	106 4950	425 98	131 4500	525 80	99 82	6	1 03
		09/11/14	2	110 2800	220 56	131 4500	262 90	42 34	3	1 03
		09/12/14	7	109.9142	769.40	131.4500	920.15	150 75	10	1 03
		05/19/15	3	127.9866	383 96	131 4500	394.35	10 39	5	1 03
		12/22/15	2	131.6850	263 37	131.4500	262 90	(0 47)	3	1 03
		12/23/15	1	132.9700	132 97	131 4500	131 45	(1.52)	2	1 03
Subtotal			32		*3,580 05*		***4,206.40***	*626 35*	***48***	*1 03*
UMPQUA HLDGS CORP ORE	UMPQ	05/12/10	71	13 9180	988 18	15 9000	1,128 90	140 72	46	4 02
		05/13/10	18	13 9688	251 44	15 9000	286.20	34 76	12	4 02
		07/12/10	27	12 1514	328 09	15 9000	429.30	101 21	18	4 02
		10/21/10	35	11 4400	400 40	15 9000	556 50	156 10	23	4 02
		10/22/10	19	11 3715	216 06	15 9000	302.10	86 04	13	4 02
		07/30/13	19	16.7263	317.80	15 9000	302 10	(15 70)	13	4 02
		09/30/15	16	16.2281	259 65	15 9000	254 40	(5.25)	11	4 02
Subtotal			205		*2,761 62*		***3,259.50***	*497.88*	***136***	*4 02*
VARIAN MEDICAL SYS INC	VAR	04/17/09	14	34.3171	480 44	80 8000	1,131.20	650 76		
		06/11/09	5	37 8480	189.24	80 8000	404 00	214 76		
		08/13/09	13	37 2684	484 49	80 8000	1,050 40	565.91		
		09/30/15	5	73 2900	366 45	80 8000	404 00	37 55		
Subtotal			37		*1,520 62*		***2,989.60***	*1,468 98*		
WESTAMERICA BANCORP	WABC	12/11/15	5	44 7160	223 58	46 7500	**233.75**	10 17	**8**	3 33
WEX INC	WEX	08/08/11	8	41 9475	335 58	88 4000	707 20	371 62		
		08/09/11	13	40 9553	532 42	88 4000	1,149 20	616 78		
		09/30/15	5	86 1960	430 98	88 4000	442.00	11 02		
Subtotal			26		*1,298 98*		***2,298.40***	*999 42*		
TOTAL					116 676 23		**199,748.96**	83,072 73	**1,884**	94

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LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	129,531 31	212,604.04	83,072 73		1,886	89

TD Ameritrade

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc , Member SIPC

Statement Reporting Period:
12/01/15 - 12/31/15

Statement for Account # 707634
HARVEY R HOUCK JR & PATRICIA W
HOUCK FOUNDATION INC ATTN GORDON
B ROSE
8811 WESTHEIMER RD STE 208
HOUSTON, TX 77063-3617

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield
Cash	$ -	$ -	$ -	-	$ -	-
Insrd Dep Acct (IDA)	148,220.59	140,947 36	7,273.23	5.2%	-	0 01%
Money Market	-	-	-	-	-	-
Short Balance	-	-	-	-	-	-
Stocks	2,240,712.80	2,414,779 60	(174,066.80)	(7 2)%	70,400 00	3 1%
Short Stocks	-	-	-	-	-	-
Fixed Income	-	-	-	-	-	-
Options	-	-	-	-	-	-
Short Options	-	-	-	-	-	-
Mutual Funds	-	-	-	-	-	-
Other	-	-	-	-	-	-
Total	$2,388,933.39	$2,555,726.96	($166,793.57)	(6.5)%	$70,400.00	2.9%

Portfolio Allocation

Cash Activity Summary

	Current	YTD
Opening Balance	$ 0 00	$ -
Securities Purchased	-	-
Securities Sold	-	-
Funds Deposited	-	-
Funds Disbursed	-	-
Income	7,272.00	70,757 50
Expense	-	-
Other	(7,272.00)	(70,757 50)
Closing Balance	$ 0 00	$0.00

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	$7,272 00	$ -	$70,757 50
Interest	-	-	-
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	$7,272.00	$0.00	$70,757.50

Performance Summary

Cost Basis As Of - 12/31/15**	$3,233,295.46
Unrealized Gains	441,238 88
Unrealized Losses	(1,433,821 54)
Funds Deposited/(Disbursed)YTD	-
Income/(Expense)YTD	70,757 50
Securities Received/(Delivered)YTD	0 00

**For cost-basis information, refer to www.tdameritrade.com

Statement for Account # 707634
12/01/15 - 12/31/15

Income Summary Detail*

Description	Current	Year to Date
Qualified Dividends	$ 7,272 00	$ 70,757 50
IDA Interest	1 23	11 26

*This section displays current and year to date taxation values for this account The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends) The year to date totals will accurately reflect your cumulative amount for the year

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Cash										
ALCOA INC COM	AA	4,000	$ 9 87	$ 39,480 00	01/18/13	$ 35,729 19	$ 8 93	$ 3,750 81	$ 480 00	1 2%
APPLE INC COM	AAPL	1,400	105.26	147,364 00	01/24/13	84,368 46	60 26	62,995 54	2,912 00	2 0%
CATERPILLAR INC COM	CAT	2,000	67 96	135,920 00	07/30/12	170,114 19	85 06	(34,194 19)	6,160 00	4 5%
CHEMOURS CO LLC COM	CC	400	5 36	2,144 00	01/18/13	4,753 25	11.88	(2,609 25)	48 00	2 2%
CLIFFS NATURAL RESOURCES INC COM	CLF	4,500	1 58	7,110 00	03/11/11	276,397 73	61 42	(269,287 73)	-	-
CUMMINS INC COM	CMI	1,000	88 01	88,010 00	07/30/12	91,615 30	91 62	(3,605 30)	3,900 00	4 4%
DEERE & CO COM	DE	2,500	76 27	190,675 00	05/25/11	209,359 74	83 74	(18,684 74)	6,000 00	3 1%
DOW CHEMICAL COM	DOW	4,000	51 48	205,920 00	08/06/12	120,686 90	30 17	85,233 10	7,360 00	3 6%
DU PONT E I DE NEMOURS & CO COM	DD	2,000	66 60	133,200 00	01/18/13	88,716 62	44 36	44,483 38	3,040 00	2 3%
FEDEX CORPORATION COM	FDX	1,500	148.99	223,485 00	09/21/10	124,611 65	83 07	98,873 35	1,500 00	0 7%
FINISAR CORPORATION COM	FNSR	5,000	14 54	72,700 00	04/06/11	128,463 00	25 69	(55,763 00)	-	-
FREEPORT-MCMORAN INC COM	FCX	4,500	6 77	30,465 00	03/10/11	191,306 99	42 51	(160,841 99)	900 00	3 0%

Statement for Account # 707634
12/01/15 - 12/31/15

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Estimated Yield
Stocks - Cash										
HARTFORD FINANCIAL SERVICES COM	HIG	5,000	43.46	217,300 00	03/02/10	130,392.00	26 08	86,908 00	4,200 00	1 9%
JOHNSON CTLS INC COM	JCI	4,000	39 49	157,960 00	08/06/12	98,965 30	24 74	58,994 70	4,640 00	2 9%
JOY GLOBAL INC COM	JOY	2,000	12 61	25,220.00	06/06/11	187,090 00	93 55	(161,870 00)	80 00	0 3%
KINDER MORGAN INC COM	KMI	2,000	14 92	29,840 00	09/11/13	71,729 94	35 87	(41,889 94)	4,080 00	13 7%
MCDERMOTT INTL INC COM	MDR	10,000	3 35	33,500 00	11/08/11	120,924 50	12 09	(87,424 50)	-	-
NATIONAL OILWELL VARCO INC COM	NOV	2,000	33 49	66,980 00	02/11/13	123,929 95	61 97	(56,949 95)	3,680 00	5 5%
NOW INC COM	DNOW	500	15 82	7,910 00	02/11/13	13,476 17	26 95	(5,566 17)	-	-
QUALCOMM INC COM	QCOM	2,000	49 985	99,970 00	02/01/11	110,370 00	55 19	(10,400 00)	3,840 00	3 8%
ROYAL DUTCH SHELL PLC ADS CLASS B	RDS B	3,000	46 04	138,120 00	11/12/12	206,249 98	68 75	(68,129 98)	11,280 00	8 2%
SCHLUMBERGER LTD COM	SLB	1,500	69 75	104,625.00	12/07/11	113,094 40	75 40	(8,469 40)	3,000 00	2 9%
THE MOSAIC CO COM	MOS	3,000	27 59	82,770 00	01/19/11	236,194.50	78 73	(153,424 50)	3,300 00	4 0%
WALTER ENERGY INC COM	WLTGQ	4,000	0 0112	44 80	07/22/11	294,755 70	73 69	(294,710 90)	-	-
Total Stocks				$2,240,712.80		$3,233,295.46		$(992,582.66)	$70,400.00	3.1%
Total Cash Account				$2,240,712.80		$3,233,295.46		$(992,582.66)	$70,400.00	3.1%

Statement for the Period December 1, 2015 to December 31, 2015

HARVEY R HOUCK JR AND PATRICIA W HOUCK FOUNDATION - Corporation
Account Number. 004839

U.S. Capital Advisors

Holdings

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for tax purposes Please refer to Footnotes and Cost Basis Information at the end of this statement for more information

CASH AND CASH EQUIVALENTS - 4.24% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income
Money Markets					
FIDELITY TREASURY MMKT CAPITAL RESRVS 7 DAY YIELD 01% Dividend Option Reinvest Capital Gain Option Reinvest	FSRXX CASH	127,328 88	$1 00	$127,328 88	
Total Cash and Cash Equivalents				$127,328 88	

HOLDINGS > EQUITIES - 90.22% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Equity							
ABBOTT LABORATORIES Estimated Yield 2 31% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 02/16/16	ABT CASH	1,400	$44 91	$62,874 00	$1 456 00	$34 912 68	$27,961 32
ABBVIE INC COM USD0 01 Estimated Yield 3 84% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 02/16/16	ABBV CASH	1,400	$59 24	$82,936 00	$3 192 00	$37,859 82	$45 076 18
AERCAP HOLDINGS N V EURO 01 Dividend Option Cash Capital Gain Option Cash	AER CASH	1,000	$43 16	$43,160 00		$43,275 00	($115 00)

Statement for the Period December 1, 2015 to December 31, 2015

HARVEY R HOUCK JR AND PATRICIA W HOUCK FOUNDATION - Corporation
Account Number: -004839

U.S. Capital Advisors

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HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
ALLERGAN PLC COM USD0 0001 Dividend Option Cash Capital Gain Option Cash	AGN CASH	100	$312 50	$31,250 00		$30,326 99	$923 01
ALPHA NAT RES INC COM Dividend Option Cash Capital Gain Option Cash	ANRZQ CASH	500	$0 011	$5 50		$13,137 63	($13,132 13)
ALPHABET INC CAP STK CL A Dividend Option Cash Capital Gain Option Cash	GOOGL CASH	150	$778 01	$116,701 50		$41,077 55	$75,623 95
ALPHABET INC CAP STK CL C Dividend Option Cash Capital Gain Option Cash	GOOG CASH	200	$758 88	$151,776 00		$65,207 19	$86,568 81
ALTRIA GROUP INC Estimated Yield 3 88% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/11/16	MO CASH	700	$58 21	$40,747 00	$1,582 00	$17 219 51	$23 527 49
AMERICAN AIRLINES GROUP INC COM USD1 Estimated Yield 0 94% Dividend Option Cash Capital Gain Option Cash	AAL CASH	1,000	$42 35	$42,350 00	$400 00	$45,518 41	($3,168 41)
AMGEN INC Estimated Yield 2 46% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 03/08/16	AMGN CASH	200	$162 33	$32,466 00	$800 00	$26,749 94	$5,716 06
APACHE CORP Estimated Yield 2 24% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 02/22/16	APA CASH	400	$44 47	$17,788 00	$400 00	$37,356 60	($19 568 60)
APPLE INC Estimated Yield 1 97% Dividend Option Cash Capital Gain Option Cash	AAPL CASH	100	$105 26	$10,526 00	$208 00	$5,347 16	$5,178 84

U.S. Capital Advisors LLC

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

HARVEY R HOUCK JR AND PATRICIA W HOUCK FOUNDATION - Corporation
Account Number: -004839

US. Capital Advisors

Securities offered through USCA Securities LLC member FINRA/SIPC
Investment Advisory services offered through USCA RIA LLC

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
BERKSHIRE HATHAWAY INC DEL CL B NEW Dividend Option Cash Capital Gain Option Cash	BRKB CASH	1,200	$132 04	$158,448 00		$90,980 00	$67,468 00
BP PLC ADR (CNV INTO 6 ORD USD0 25 SHS) Estimated Yield 7 63% Dividend Option Cash Capital Gain Option Cash	BP CASH	400	$31 26	$12,504 00	$954 00	$17,182 30	($4,678 30)
BROOKFIELD ASSET MANAGEMENT INC LTD VTG SHS NPV CL A ISIN #CA1125851040 SEDOL #2092599 Estimated Yield 1 52% Dividend Option Cash Capital Gain Option Cash	BAM CASH	750	$31 53	$23,647 50	$360 00	$14,031 00	$9,616 50
CHEVRON CORP NEW Estimated Yield 4 75% Dividend Option Cash Capital Gain Option Cash	CVX CASH	200	$89 96	$17,992 00	$856 00	$17,590 00	$402 00
CISCO SYS INC COM ISIN #US17275R1023 SEDOL #2198163 Estimated Yield 3 09% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/20/16	CSCO CASH	2,000	$27 155	$54,310 00	$1,680 00	$33,762 90	$20,547 10
CITIGROUP INC COM NEW Estimated Yield 0 38% Dividend Option Cash Capital Gain Option Cash	C CASH	2,000	$51 75	$103,500 00	$400 00	$64,689 05	$38,810 95
COMPAGNIE FINANCIERE RICHEMONT SA SPON ADR EACH REPR 0 1 ORD Estimated Yield 1 30%	CFRUY CASH	5,000	$7 203	$36,015 00	$469 48	$40,582 00	($4 567 00)
CORNING INC Estimated Yield 2 62% Dividend Option Cash Capital Gain Option Cash	GLW CASH	1,000	$18 28	$18,280 00	$480 00	$13 036 65	$5 243 35

U.S. Capital Advisors LLC

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period December 1, 2015 to December 31, 2015

HARVEY R HOUCK JR AND PATRICIA W HOUCK FOUNDATION - Corporation
Account Number: -004839

U.S. Capital Advisors

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
DOW CHEMICAL CO Estimated Yield 3 57% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/29/16	DOW CASH	400	$51 48	$20,592 00	$736 00	$13,834 32	$6 757 68
E M C CORP MASS Estimated Yield 1 79% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/22/16	EMC CASH	2,500	$25 68	$64,200 00	$1,150 00	$62,479 85	$1 720 15
ENDO INTL PLC SHS ISIN #IE00BJ3V9050 SEDOL #BJ3VJ27 Dividend Option Cash Capital Gain Option Cash	ENDP CASH	100	$61 22	$6,122 00		$7,682 00	($1 560 00)
GENERAL ELECTRIC CO Estimated Yield 2 95% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable. 01/25/16	GE CASH	4,500	$31 15	$140,175 00	$4,140 00	$80,168 54	$60,006 46
GENERAL MTRS CO COM ISIN #US37045V1008 SEDOL #B3SMT78 Estimated Yield 4 23% Dividend Option Cash Capital Gain Option Cash	GM CASH	2,500	$34 01	$85,025 00	$3,600 00	$85,566 93	($541 93)
GREENLIGHT CAPITAL RE LTD COM STK USD0 10 CLASS A Dividend Option Cash Capital Gain Option Cash	GLRE CASH	2,000	$18 71	$37,420 00		$49,940 00	($12,520 00)
HALLIBURTON CO HOLDING CO FRMLY HALLIBURTON CO Estimated Yield 2 11% Dividend Option Cash Capital Gain Option Cash	HAL CASH	400	$34 04	$13,616 00	$288 00	$13,787 42	($171 42)

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Statement for the Period December 1, 2015 to December 31, 2015

HARVEY R HOUCK JR AND PATRICIA W HOUCK FOUNDATION - Corporation
Account Number. -004839

U.S. Capital Advisors

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
INTEL CORP Estimated Yield 2 78% Dividend Option Cash Capital Gain Option Cash	INTC CASH	3,000	$34 45	$103,350 00	$2,880 00	$64,600 15	$38,749 85
INTERNATIONAL CONS AIRLS GROUP SPONSORED ADR ISIN #US4593481082 SEDOL #B3X30C8 Estimated Yield 0 97%	ICAGY CASH	500	$44 991	$22,495 50	$219 16	$21,661 00	$834 50
JOHNSON & JOHNSON Estimated Yield 2 92% Dividend Option Cash Capital Gain Option Cash	JNJ CASH	1,000	$102 72	$102,720 00	$3,000 00	$65,677 50	$37,042 50
JPMORGAN CHASE & CO Estimated Yield 2 66% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/31/16	JPM CASH	1,000	$66 03	$66,030 00	$1,760 00	$33 287 84	$32 742 16
KAYNE ANDERSON MIDSTREAM ENERGY FD COM USD0 001 Estimated Yield 15 94% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/15/16	KMF CASH	300	$12 37	$3,711 00	$591 75	$6,759 60	($3 048 60)
LEUCADIA NATL CORP Estimated Yield 1 43% Dividend Option Cash Capital Gain Option Cash	LUK CASH	1,300	$17 39	$22,607 00	$325 00	$29 581 65	($6 974 65)
MEDTRONIC PLC USD0 0001 ISIN #IE00BTN1Y115 SEDOL #BTN1Y11 Estimated Yield 1 87% Dividend Option Cash Capital Gain Option Cash	MDT CASH	595	$76 92	$45,767 40	$859 78	$45,785 25	($17 85)
MICRON TECHNOLOGY Dividend Option Cash Capital Gain Option Cash	MU CASH	700	$14 16	$9,912 00		$19,605 00	($9,693 00)

Statement for the Period December 1, 2015 to December 31, 2015

HARVEY R HOUCK JR AND PATRICIA W HOUCK FOUNDATION - Corporation
Account Number: -004839

U.S. Capital Advisors

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
MICROSOFT CORP Estimated Yield 2 59% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 03/10/16	MSFT CASH	1,000	$55 48	$55,480 00	$1,440 00	$25,628 55	$29 851 45
NESTLE S A SPONSORED ADR ISIN #US6410694060 SEDOL #B014JG9 Estimated Yield 3 05%	NSRGY CASH	400	$74 476	$29,790 40	$910 50	$23,163 20	$6 627 20
NEW GOLD INC COM NPV ISIN #CA6445351068 SEDOL #2826947 Dividend Option Cash Capital Gain Option Cash	NGD CASH	2,685	$2 32	$6,229 20		$6,057 36	$171 84
NORTHROP GRUMMAN CORP HOLDING CO Estimated Yield 1 69% Dividend Option Cash Capital Gain Option Cash	NOC CASH	200	$188 81	$37,762 00	$640 00	$10,308 80	$27,453 20
PEPSICO INC Estimated Yield 2 81% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/07/16	PEP CASH	400	$99 92	$39,968 00	$1,124 00	$25,752 75	$14,215 25
PFIZER INC Estimated Yield 3 71% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 03/02/16	PFE CASH	2,638	$32 28	$85,154 64	$3,165 60	$50,893 92	$34,260 72
PLATFORM SPECIALTY PRODS CORP COM Dividend Option Cash Capital Gain Option Cash	PAH CASH	1,500	$12 83	$19,245 00		$22,751 70	($3 506 70)
QUALCOMM INC Estimated Yield 3 84% Dividend Option Cash Capital Gain Option Cash	QCOM CASH	500	$49 985	$24,992 50	$960 00	$31 877 02	($6 884 52)
QUANTUM CORP DLT & STORAGE Dividend Option Cash Capital Gain Option Cash	QTM CASH	10,200	$0 93	$9 486 00		$20 734 00	($11 248 00)

Statement for the Period December 1, 2015 to December 31, 2015

HARVEY R HOUCK JR AND PATRICIA W HOUCK FOUNDATION - Corporation
Account Number. -004839

U.S. Capital Advisors

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
ROYAL DUTCH SHELL ADR EA REP 2 CL A EURO 07 Estimated Yield 8 21% Dividend Option Cash Capital Gain Option Cash	RDSA CASH	900	$45 79	$41,211 00	$3,384 00	$60,060 65	($18,849 65)
SANOFI SPONSORED ADR Estimated Yield 3.77% Dividend Option Cash Capital Gain Option Cash	SNY CASH	1,400	$42 65	$59,710 00	$2,253 01	$51,664 74	$8,045 26
SODASTREAM INTERNATIONAL LTD USD SHS Dividend Option Cash Capital Gain Option Cash	SODA CASH	500	$16 31	$8,155 00		$8,964 95	($809 95)
STRYKER CORP Estimated Yield 1 63% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/29/16	SYK CASH	1,300	$92 94	$120,822 00	$1,976 00	$66,086 34	$54,735 66
SYSCO CORP Estimated Yield 3 02% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/22/16	SYY CASH	700	$41 00	$28,700 00	$868 00	$22,103 39	$6 596 61
TELEFONICA SA ADR EA REPR 1 ORD NPV Estimated Yield 8 07% Dividend Option Cash Capital Gain Option Cash	TEF CASH	2,500	$11 06	$27,650 00	$2,232 92	$50,768 69	($23,118 69)
THERMO FISHER SCIENTIFIC INC Estimated Yield 0 42% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/15/16	TMO CASH	300	$141 85	$42,555 00	$180 00	$15,488 10	$27 066 90
TIME WARNER INC NEW COM NEW Estimated Yield 2 16% Dividend Option Cash Capital Gain Option Cash	TWX CASH	1,500	$64 67	$97,005 00	$2,100 00	$47,749 96	$49,255 04

Statement for the Period December 1, 2015 to December 31, 2015

HARVEY R HOUCK JR AND PATRICIA W HOUCK FOUNDATION - Corporation
Account Number. -004839

US.Capital Advisors

Securities offered through USCA Securities LLC, member FINRA/SIPC
Investment Advisory services offered through USCA RIA LLC

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
TRANSOCEAN LIMITED COM CHF15 Estimated Yield 4 84% Dividend Option Cash Capital Gain Option Cash	RIG CASH	700	$12 38	$8,666 00	$420 00	$36,232 80	($27,566 80)
VEOLIA ENVIRONNEMENT SPONSORED ADR ISIN #US92334N1037 SEDOL #2803597 Estimated Yield 3 31% Dividend Option Cash Capital Gain Option Cash	VEOEY CASH	2,000	$23 752	$47,504 00	$1,573 80	$37,295 60	$10 208 40
WAL-MART STORES INC COM ISIN #US9311421039 SEDOL #2936921 Estimated Yield 3 19% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable. 01/04/16	WMT CASH	1,400	$61 30	$85,820 00	$2,744 00	$75,247 64	$10,572 36
WESTROCK CO COM Estimated Yield 3 28% Dividend Option Cash Capital Gain Option Cash	WRK CASH	37	$45 62	$1,687 94	$55 50	$434 99	$1,252 95
ZOETIS INC COM USD0 01 CL A Estimated Yield 0 79% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 03/01/16	ZTS CASH	358	$47 92	$17,155 36	$136 04	$7,268 53	$9,886 83
3M COMPANY Estimated Yield 2 72% Dividend Option Cash Capital Gain Option Cash	MMM CASH	100	$150 64	$15,064 00	$410 00	$8,122 93	$6,941 07
Total Equity				$2,710,832 44	$59,360 54	$1,994,916 04	$715,916 40
Total Equities				$2,710,832 44	$59,360 54	$1,994,916 04	$715,916 40

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U.S. Capital Advisors LLC

Account carried with National Financial Services LLC, Member NYSE, SIPC

HARVEY R HOUCK JR AND PATRICIA W HOUCK FOUNDATION - Corporation
Account Number. -004839

U.S. Capital Advisors

HOLDINGS > FIXED INCOME - 3.37% of Total Account Value

For an explanation of fixed income pricing, please see the last page Redemption schedule(s), bond rating(s), and other information are provided where available If information does not appear regarding a particular investment, it is not available The ratings on this statement are provided by Standard & Poor's and/or Moody's to rate the quality based on the respective rating agency's assessment "Ratings information from Standard & Poor's ("S&P") may not be reproduced S&P credit ratings are statements of opinion and are not statements of fact or recommendations to purchase, hold, or sell securities nor do they address the suitability of securities for investment purposes, and should not be relied on as investment advice S&P does not guarantee the accuracy, completeness, timeliness or availability of any information, including ratings, and is not responsible for errors or omissions (negligent or otherwise) S&P gives no express or implied warranties, including but not limited to any warranties of merchantability or fitness for a particular purpose or use S&P shall not be liable for any direct, indirect, incidental, exemplary, compensatory, punitive, special or consequential damages, costs, expenses, legal fees, or losses (including lost income or profits and opportunity costs) in connection with any use of ratings"

Accrued Interest - Represents interest accumulated since the last coupon date on certain fixed income securities which may not yet have been paid by the issuer or received by NFS There is no guarantee that the accrued interest will be paid by the issuer

Description	Symbol/Cusip Account Type	Quantity	Estimated Price on 12/31/15	Estimated Current Market Value	Estimated Annual Income	Total Cost Basis		Unrealized Gain (Loss)
Corporate Bonds								
FORD MOTOR CREDIT CO LLC NOTE 3 98400% 06/15/2016	345397WA7 CASH	100,000	$101 11	$101,110 00	$3,984 00	$103,800 00		
MOODY'S Baa3 /S&P BBB-								
CPN PMT SEMI-ANNUAL								
ON DEC 15, JUN 15								
Next Interest Payable 06/15/16								
Accrued Interest $177 07								
Adjusted Cost Basis						$101,275 36	D	($165 36)
YTD Amortized Premium	$2,400 20 E							
Total Fixed Income		100,000		$101,110 00	$3,984 00	$101,275 36		($165 36)

HOLDINGS > MUTUAL FUNDS - 0.41% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income	Total Cost Basis		Unrealized Gain (Loss)
Equity								
ALTEGRIS FUTURES EVOLUTION CL I	EVOIX CASH	1,175 721	$10 42	$12,251 01	$1,108 23	$13,365 47	I	($1,114.46)
Estimated Yield 9 04%								
Dividend Option Reinvest								
Capital Gain Option Reinvest								
Total Mutual Funds				$12,251 01	$1,108 23	$13,365 47		($1,114 46)

Statement for the Period December 1, 2015 to December 31, 2015

HARVEY R HOUCK JR AND PATRICIA W HOUCK FOUNDATION - Corporation
Account Number: -004839

U.S. Capital Advisors

HOLDINGS > EXCHANGE TRADED PRODUCTS - 1.24% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Equity							
MARKET VECTORS ETF TR AGRIBUSINESS ETF Estimated Yield 2 88% Dividend Option Cash Capital Gain Option Cash	MOO CASH	800	$46 49	$37,192 00	$1,074 40	$41,049 50	($3 857 50)
Total Exchange Traded Products				$37,192 00	$1,074 40	$41,049 50	($3,857 50)
Total Securities				$2,861,385 45	$65,527 17	$2,150,606 37	$710,779 08

HOLDINGS > OTHER - 0.52% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/15	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
COLONY CAP INC CL A Estimated Yield 8 21% Next Dividend Payable 01/15/16	CLNY CASH	800	$19 48	$15,584 00	$1,280 00	$18,359 18	($2,775 18)
Total Other				$15,584 00	$1,280 00	$18,359 18	($2,775 18)
TOTAL PORTFOLIO VALUE				$3,004,298 33	$66,807 17	$2,168,965 55	$708,003 90

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U.S. Capital Advisors LLC

Account carried with National Financial Services LLC, Member NYSE, SIPC