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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

**2015**

**Open to Public Inspection**

**For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015**

Name of foundation
ALBERT E & MYRTLE GUNN YORK TRUST

**A Employer identification number**
74-6446490

Number and street (or P O box number if mail is not delivered to street address)
1 W 4TH STREET MAC D4000-041

Room/suite

**B** Telephone number (see instructions)
(361) 574-5207

City or town, state or province, country, and ZIP or foreign postal code
WINSTONSALEM, NC 27101

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D 1.** Foreign organizations, check here ▶ ☐
**2.** Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 14,409,198

**J** Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
*(Part I, column (d) must be on cash basis.)*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )*

| | | Line | Description | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|---|
| Revenue | 1 | | Contributions, gifts, grants, etc , received (attach schedule) | | | | |
| | 2 | | Check ▶ ☑ if the foundation is **not** required to attach Sch B | | | | |
| | 3 | | Interest on savings and temporary cash investments | 5,918 | 5,918 | 5,918 | |
| | 4 | | Dividends and interest from securities | 48,530 | 48,530 | 48,530 | |
| | 5a | | Gross rents | | | | |
| | b | | Net rental income or (loss) | | | | |
| | 6a | | Net gain or (loss) from sale of assets not on line 10 | -35,487 | | | |
| | b | | Gross sales price for all assets on line 6a 578,936 | | | | |
| | 7 | | Capital gain net income (from Part IV, line 2) | | 1,893 | | |
| | 8 | | Net short-term capital gain | | | | |
| | 9 | | Income modifications | | | | |
| | 10a | | Gross sales less returns and allowances | | | | |
| | b | | Less Cost of goods sold | | | | |
| | c | | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | | Other income (attach schedule) | 2,198,704 | 2,198,704 | 2,198,704 | |
| | 12 | | **Total.** Add lines 1 through 11 | 2,217,665 | 2,255,045 | 2,253,152 | |
| Operating and Administrative Expenses | 13 | | Compensation of officers, directors, trustees, etc | 163,391 | 154,879 | | 8,512 |
| | 14 | | Other employee salaries and wages | | | | |
| | 15 | | Pension plans, employee benefits | | | | |
| | 16a | | Legal fees (attach schedule) | | | | |
| | b | | Accounting fees (attach schedule) | 9,434 | 8,488 | | 946 |
| | c | | Other professional fees (attach schedule) | | | | |
| | 17 | | Interest | | | | |
| | 18 | | Taxes (attach schedule) (see instructions) | 2,333 | 2,333 | | |
| | 19 | | Depreciation (attach schedule) and depletion | | | | |
| | 20 | | Occupancy | | | | |
| | 21 | | Travel, conferences, and meetings | | | | |
| | 22 | | Printing and publications | | | | |
| | 23 | | Other expenses (attach schedule) | 317,415 | 317,415 | | |
| | 24 | | **Total operating and administrative expenses.** Add lines 13 through 23 | 492,573 | 483,115 | | 9,458 |
| | 25 | | Contributions, gifts, grants paid | 80,000 | | | 80,000 |
| | 26 | | **Total expenses and disbursements.** Add lines 24 and 25 | 572,573 | 483,115 | | 89,458 |
| | 27 | | Subtract line 26 from line 12 | | | | |
| | a | | **Excess of revenue over expenses and disbursements** | 1,645,092 | | | |
| | b | | **Net investment income** (if negative, enter -0-) | | 1,771,930 | | |
| | c | | **Adjusted net income** (if negative, enter -0-) | | | 2,253,152 | |

**Part II** **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

| | | Description | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|---|---|
| Assets | 1 | Cash—non-interest-bearing | | -8 | |
| | 2 | Savings and temporary cash investments | 39,749 | 1,026,301 | 1,026,301 |
| | 3 | Accounts receivable ▶ 16,692 | | | |
| | | Less allowance for doubtful accounts ▶ | | 16,692 | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments—U S and state government obligations (attach schedule) | | | |
| | b | Investments—corporate stock (attach schedule) | 624,937 | 1,151,788 | 1,259,821 |
| | c | Investments—corporate bonds (attach schedule) | | | |
| | 11 | Investments—land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | 856,161 | 1,292,789 | 1,278,089 |
| | 14 | Land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | 5 | 5 | 10,844,987 |
| | 16 | **Total assets**(to be completed by all filers—see the instructions Also, see page 1, item I) | 1,520,852 | 3,487,567 | 14,409,198 |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities**(add lines 17 through 22) | | 0 | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here** ▶ ☑ **and complete lines 27 through 31.** | | | |
| | 27 | Capital stock, trust principal, or current funds | 475,018 | 475,018 | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | 1,045,834 | 3,012,549 | |
| | 30 | **Total net assets or fund balances**(see instructions) | 1,520,852 | 3,487,567 | |
| | 31 | **Total liabilities and net assets/fund balances**(see instructions) | 1,520,852 | 3,487,567 | |

**Part III** **Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,520,852 |
| 2 | Enter amount from Part I, line 27a | 2 | 1,645,092 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 321,623 |
| 4 | Add lines 1, 2, and 3 | 4 | 3,487,567 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 3,487,567 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 1a | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| a | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | 1,893 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | 3 | |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2014 | 77,198 | 1,846,586 | 0 041806 |
| 2013 | 65,487 | 2,004,876 | 0 032664 |
| 2012 | 86,000 | 1,808,165 | 0 047562 |
| 2011 | 90,300 | 1,807,705 | 0 049953 |
| 2010 | 87,000 | 1,888,280 | 0 046074 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0 218059 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0 043612 |
| 4 | Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 | 4 | 3,989,818 |
| 5 | Multiply line 4 by line 3 | 5 | 174,004 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 17,719 |
| 7 | Add lines 5 and 6 | 7 | 191,723 |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 89,458 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI** **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

| | | | | | |
|---|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1<br>Date of ruling or determination letter ________<br>**(attach copy of letter if necessary–see instructions)** | | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b . . . . . . . . . . . . . . . . | | | 1 | 35,439 |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 2 | |
| 3 | Add lines 1 and 2. . . . . . . . . . . . . . . . . . . . . . . . | | | 3 | 35,439 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 4 | |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- . . . . . | | | 5 | 35,439 |
| 6 | Credits/Payments | | | | |
| a | 2015 estimated tax payments and 2014 overpayment credited to 2015 | 6a | 35,105 | | |
| b | Exempt foreign organizations—tax withheld at source . . . . . . . | 6b | | | |
| c | Tax paid with application for extension of time to file (Form 8868). . . | 6c | | | |
| d | Backup withholding erroneously withheld . . . . . . . . . . . | 6d | | | |
| 7 | Total credits and payments Add lines 6a through 6d. . . . . . . . . . . . . . | | | 7 | 35,105 |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . . . . ▶ | | | 9 | 334 |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**. . . ▶ | | | 10 | |
| 11 | Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ **Refunded** ▶ | | | 11 | |

**Part VII-A** **Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . . . . . . . . . . . . . . . . . . | 1a | | No |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?. . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 1b | | No |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year?. . . . . . . . . . . . . . . . . . . . . . . | 1c | | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>**(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . . . | 2 | | No |
| | *If "Yes," attach a detailed description of the activities.* | | | |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* . . . . | 3 | | No |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year?. . . . . . . . | 4a | | No |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year?. . . . . . . . . . . . . . . . . . . | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . . . . . | 5 | | No |
| | *If "Yes," attach the statement required by General Instruction T.* | | | |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . . . . . . . . . . . . . | 6 | Yes | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.* . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 7 | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions)<br>▶ TX | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* . | 8b | Yes | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV* . . . . . . . . . . . . . . . . . . . . . . . . . . . | 9 | | No |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.* . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 10 | | No |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |

Website address ▶N/A

14 The books are in care of ▶COURTNEY B SCHMIDT Telephone no ▶(361) 574-5407

Located at ▶ONE OCONNOR PLAZA SUITE 200 VICTORIA TX ZIP+4 ▶77901

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | No |
| | See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☑ Yes ☐ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | No |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? | 1c | | |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☑ No | | | |
| | If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions ) | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No | | | |
| b | If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? | 4b | | No |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(Continued)***

**5a** During the year did the foundation pay or incur any amount to

**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

**(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

**(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☑ No

**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

**b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53.4945–5(d).*

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

*If "Yes" to 6b, file Form 8870.*

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| **(a)** Name and address | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| WELLS FARGO TRUST DEPARTMENT<br>ONE OCONNER PLAZA<br>VICTORIA, TX 77901 | TRUSTEE<br>2 00 | 163,391 | 0 | 0 |
| COURTNEY B SCHMIDT<br>ONE OCONNER PLAZA<br>VICTORIA, TX 77901 | TRUSTEE<br>2 00 | 0 | 0 | 0 |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| **(a)** Name and address of each employee paid more than $50,000 | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services. . . . . . . . . . . . . . ▶

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments See instructions | |
| 3 | |

**Total.** Add lines 1 through 3 . . . . . . . . . . . . . . . . . . . . . . . . ▶

**Part X** **Minimum Investment Return**
(All domestic foundations must complete this part. Foreign foundations,see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities | 1a | 1,816,744 |
| b | Average of monthly cash balances | 1b | 1,095,386 |
| c | Fair market value of all other assets (see instructions) | 1c | 1,138,447 |
| d | **Total** (add lines 1a, b, and c) | 1d | 4,050,577 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 4,050,577 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 60,759 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 3,989,818 |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 199,491 |

**Part XI** **Distributable Amount**
(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 199,491 |
| 2a | Tax on investment income for 2015 from Part VI, line 5 | 2a | 35,439 | | |
| b | Income tax for 2015 (This does not include the tax from Part VI ) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 35,439 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 164,052 |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 164,052 |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | | 7 | 164,052 |

**Part XII** **Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26 | 1a | 89,458 |
| b | Program-related investments—total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 89,458 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5 | |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 89,458 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** **Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2014 | (c) 2014 | (d) 2015 |
|---|---|---|---|---|
| **1** Distributable amount for 2015 from Part XI, line 7 | | | | 164,052 |
| **2** Undistributed income, if any, as of the end of 2015 | | | | |
| **a** Enter amount for 2014 only. . . . . . . | | | 44,316 | |
| **b** Total for prior years 20___ , 20___ , 20___ | | | | |
| **3** Excess distributions carryover, if any, to 2015 | | | | |
| **a** From 2010. . . . . | | | | |
| **b** From 2011. . . . . . | | | | |
| **c** From 2012. . . . . . | | | | |
| **d** From 2013. . . . . . | | | | |
| **e** From 2014. . . . . . | | | | |
| **f** **Total** of lines 3a through e. . . . . . . . . | | | | |
| **4** Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 89,458 | | | | |
| **a** Applied to 2014, but not more than line 2a | | | 44,316 | |
| **b** Applied to undistributed income of prior years (Election required—see instructions). . . . . | | | | |
| **c** Treated as distributions out of corpus (Election required—see instructions). . . . . | | | | |
| **d** Applied to 2015 distributable amount. . . . . | | | | 45,142 |
| **e** Remaining amount distributed out of corpus | | | | |
| **5** Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | | | | |
| **6** **Enter the net total of each column as indicated below:** | | | | |
| **a** Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | | | |
| **b** Prior years' undistributed income Subtract line 4b from line 2b . . . . . . . . . . . . | | | | |
| **c** Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . . | | | | |
| **d** Subtract line 6c from line 6b Taxable amount —see instructions . . . . . . . . . . . . | | | | |
| **e** Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions . . . . . . . . . . . . . . . | | | | |
| **f** Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 . . . . . . . . . . . | | | | 118,910 |
| **7** Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . . . . | | | | |
| **8** Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . . | | | | |
| **9** **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a . . . . . . | | | | |
| **10** Analysis of line 9 | | | | |
| **a** Excess from 2011. . . . | | | | |
| **b** Excess from 2012. . . . | | | | |
| **c** Excess from 2013. . . . | | | | |
| **d** Excess from 2014. . . . | | | | |
| **e** Excess from 2015. . . . | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | (a) 2015 | (b) 2014 | (c) 2013 | (d) 2012 | |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| **b** 85% of line 2a | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| **c** "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| **(3)** Largest amount of support from an exempt organization | | | | | |
| **(4)** Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

RUSSELL SAMPLES
WELLS FARGO BANK
ONE OCONNOR PLAZA STE 200
VICTORIA,TX 77901
(361) 886-6628
RUSSELL SAMPLES@WELLSFARGO COM

**b** The form in which applications should be submitted and information and materials they should include

GRANT APPLICATIONS SHOULD BE SUBMITTED WITH A DETAILED PROPOSAL, ALONG WITH A ONE PAGE SUMMARY STATEMENT REQUEST MATERIALS NEEDED INCLUDE AN INSTITUTIONAL PROFILE, BRIEF SUMMARY OF PURPOSE AND HISTORY OF THE ORGANIZATION, SUMMARY OF THE INCOME AND EXPENSE DATA FOR THE PREVIOUS, CURRENT AND PROJECTED NEXT YEAR, ALONG WITH EACH YEAR'S BALANCE SHEET, LISTING OF GRANTS RECEIVED IN EXCESS OF 10% OF THE OPERATING BUDGET FOR THE PREVIOUS AND CURRENT YEAR, COPY OF THE IRS LETTER OF EXEMPTION FROM FEDERAL TAXES UNDER IRS CODE SECTION 501(C)(3), A STATEMENT THAT IDENTIFIES AND SUBSTANTIATES THE NEED OF THE SERVICE OR PROGRAM, AND A METHODOLOGY STATEMENT THAT SPECIFIES THE TECHNIQUES EMPLOYED TO MEET THE NEEDS OF THE ORGANIZATION

**c** Any submission deadlines

ANNUALLY SEPTEMBER 30

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

RESTRICTIONS INCLUDE CONTRIBUTIONS MADE IN THE COUNTY OF VICTORIA, TX PRIMARILY TO ENCOURAGE 1) TO ENCOURAGE CHRISTIAN STEWARDSHIP 2) PROMOTE HIGHER EDUCATION 3) ENCOURAGE THE CULTURAL ASPECTS OF THE ARTS AND PERFORMING ARTS 4) TO PROVIDE ASSISTANCE TO ELDERLY CITIZENS AND 5) TO AID AND ASSIST IN THE INTELLECTUAL AND PHYSICAL DEVELOPMENT OF YOUNG BOYS AND GIRLS

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year*<br>See Additional Data Table | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 80,000 |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions ) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. . . . | | | | | |
| 3 Interest on savings and temporary cash investments . . . . . . . . . . . . . | | | 14 | 5,918 | |
| 4 Dividends and interest from securities. . . | | | 14 | 48,530 | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property. . . . . . | | | | | |
| b Not debt-financed property. . . . . | | | 15 | | |
| 6 Net rental income or (loss) from personal property . . . . . . . . . . . . . . | | | | | |
| 7 Other investment income. . . . . | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory . . . . . . . . . . . . . . | | | | | -35,487 |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory . . | | | | | |
| 11 Other revenue a ROYALTIES | | | | | 2,132,894 |
| b REFUND TAXES | | | | | 58,426 |
| c REFUND OTHER EXPENSES | | | | | 1,416 |
| d DELAY RENTAL | | | | | 5,968 |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e). . | | | | 54,448 | 2,163,217 |

13 **Total.** Add line 12, columns (b), (d), and (e). . . . . . . . . . . . . . . . . . . . . . 13 2,217,665

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions ) |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| **1** | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| **a** | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | **(1)** Cash. | **1a(1)** | | No |
| | **(2)** Other assets. | **1a(2)** | | No |
| **b** | Other transactions | | | |
| | **(1)** Sales of assets to a noncharitable exempt organization. | **1b(1)** | | No |
| | **(2)** Purchases of assets from a noncharitable exempt organization. | **1b(2)** | | No |
| | **(3)** Rental of facilities, equipment, or other assets. | **1b(3)** | | No |
| | **(4)** Reimbursement arrangements. | **1b(4)** | | No |
| | **(5)** Loans or loan guarantees. | **1b(5)** | | No |
| | **(6)** Performance of services or membership or fundraising solicitations. | **1b(6)** | | No |
| **c** | Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | **1c** | | No |

**d** If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

| **(a)** Line No | **(b)** Amount involved | **(c)** Name of noncharitable exempt organization | **(d)** Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

**b** If "Yes," complete the following schedule

| **(a)** Name of organization | **(b)** Type of organization | **(c)** Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

| ****** | 2016-08-03 | ****** |
|---|---|---|
| Signature of officer or trustee | Date | Title |

May the IRS discuss this return with the preparer shown below (see instr )? ☑ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed ▸☐ | PTIN |
|---|---|---|---|---|
| G DENNIS SHAY CPA | | 2016-08-03 | | P00047016 |

Firm's name ▸ BUMGARDNER MORRISON & CO LLP — Firm's EIN ▸ 74-1194944

Firm's address ▸ PO BOX 3750 VICTORIA, TX 77903 — Phone no (361) 575-0271

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| BOYS & GIRLS CLUBS OF VICTORIA<br>202 HOPKINS ST<br>VICTORIA,TX 77901 | NONE | 501(C)(3) | OPERATING FUNDS | 8,500 |
| DONUM DEI P O BOX 462<br>NURSERY,TX 77976 | NONE | 501(C)(3) | YOUTH PROGRAM | 3,500 |
| DEVEREUX FOUNDATION<br>P O BOX 2666<br>VICTORIA,TX 77902 | NONE | 501(C)(3) | OPERATING FUNDS | 3,500 |
| GIRL SCOUTS OF GREATER SOUTH TEXAS<br>2410 BEVECREST<br>CORPUS CHRISTI,TX 78415 | NONE | 501(C)(3) | OUTREACH PROGRAM | 2,000 |
| GOLDEN CRESENT CASA<br>P O BOX 1627<br>VICTORIA,TX 77902 | NONE | 501(C)(3) | COURT APPOINTED ADVOCATES FOR CHILDR | 8,500 |
| TRINITY EPISCOPAL SCHOOL<br>1504 N MOODY<br>VICTORIA,TX 77901 | NONE | 501(C)(3) | ENHANCEMENT SOCIAL STUDIES PROGRAM | 2,000 |
| VICTORIA COLLEGE FOUNDATION<br>200 E RED RIVER<br>VICTORIA,TX 77901 | NONE | 501(C)(3) | SCHOLARSHIPS | 6,000 |
| VICTORIA BALLET THEATRE<br>2508E MOCKINGBIRD LANE<br>VICTORIA,TX 77904 | NONE | 501(C)(3) | NUTCRACKER PERFORMANCE SUPPORT | 4,000 |
| VICTORIA CHRISTIAN MINISTRY ASSISTA<br>401 E RED RIVER<br>VICTORIA,TX 77901 | NONE | 501(C)(3) | FOOD ASSISTANCE FOR NEEDY | 7,500 |
| VICTORIA COUNTY SENIOR CITIZENS CIT<br>P O BOX 1433<br>VICTORIA,TX 77902 | NONE | 501(C)(3) | ASSISTANCE FOR MEALS ON WHEELS PROGR | 7,500 |
| VICTORIA CHRISTIAN SCHOOL<br>601 EAST COLORADO<br>VICTORIA,TX 77901 | NONE | 501(C)(3) | BUILDING PROGRAM | 2,000 |
| UNIVERSITY OF HOUSTON-VICTORIA<br>3007 NORTH BEN WILSON<br>VICTORIA,TX 77901 | NONE | 501(C)(3) | SCHOLARSHIPS | 6,000 |
| VICTORIA ADULT LITERACY COUNCIL<br>802 EAST CRESTWOOD<br>VICTORIA,TX 77901 | NONE | 501(C)(3) | OPERATING FUNDS | 4,000 |
| VICTORIA ART LEAGUE<br>P O BOX 5011<br>VICTORIA,TX 77903 | NONE | 501(C)(3) | OPERATING FUNDS | 2,000 |
| VICTORIA BACH FESTIVAL<br>P O BOX 1086<br>VICTORIA,TX 77902 | NONE | 501(C)(3) | OPERATING FUNDS | 2,500 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 80,000 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| VICTORIA BUSINESS & EDUCATIONAL COA<br>1908 N LAURENT STE 147<br>VICTORIA,TX 77901 | NONE | 501(C)(3) | OPERATING FUNDS | 4,000 |
| HOPE OF SOUTH TEXAS<br>314 N LAURENT STE 147<br>VICTORIA,TX 77901 | NONE | 501(C)(3) | OPERATING FUNDS | 2,500 |
| HORIZON HELPING HANDS FOUNDATION<br>1902 JOHN STOCKBAUER 300<br>VICTORIA,TX 77901 | NONE | 501(C)(3) | OPERATING FUNDS | 1,200 |
| LIFE AT IT'S BEST FOUNDATION INC<br>P O BOX 4528<br>VICTORIA,TX 77903 | NONE | 501(C)(3) | OPERATING FUNDS | 1,000 |
| OUR LADY OF VICTORY CATHOLIC SCHOO<br>1311 MESQUITE LANE<br>VICTORIA,TX 77901 | NONE | 501(C)(3) | OPERATING FUNDS | 1,800 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 80,000 |

# TY 2015 Accounting Fees Schedule

**Name:** ALBERT E & MYRTLE GUNN YORK TRUST

**EIN:** 74-6446490

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| TAX RETURN | 946 | | | 946 |
| LEGAL | 8,488 | 8,488 | | |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

# TY 2015 Gain/Loss from Sale of Other Assets Schedule

**Name:** ALBERT E & MYRTLE GUNN YORK TRUST

**EIN:** 74-6446490

| Name | Date Acquired | How Acquired | Date Sold | Purchaser Name | Gross Sales Price | Basis | Basis Method | Sales Expenses | Total (net) | Accumulated Depreciation |
|---|---|---|---|---|---|---|---|---|---|---|
| STOCK SALES | 2015-01 | PURCHASE | 2015-12 | | 174,621 | 180,496 | | | -5,875 | |
| STOCK SALES | 2010-01 | PURCHASE | 2015-12 | | 402,422 | 433,927 | | | -31,505 | |

# TY 2015 Investments Corporate Stock Schedule

**Name:** ALBERT E & MYRTLE GUNN YORK TRUST

**EIN:** 74-6446490

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| STOCKS | 1,151,788 | 1,259,821 |

# TY 2015 Investments - Other Schedule

**Name:** ALBERT E & MYRTLE GUNN YORK TRUST

**EIN:** 74-6446490

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---|---|---|---|
| REAL ESTATE FUNDS | AT COST | 255,835 | 264,579 |
| ALTERNATIVE INVESTMENTS | AT COST | 293,096 | 280,887 |
| FIXED INCOME MUTUAL FUNDS | AT COST | 743,858 | 732,623 |

# TY 2015 Other Assets Schedule

**Name:** ALBERT E & MYRTLE GUNN YORK TRUST

**EIN:** 74-6446490

| Description | Beginning of Year - Book Value | End of Year - Book Value | End of Year - Fair Market Value |
|---|---|---|---|
| LAND | 1 | 1 | 1 |
| MINERAL INTEREST | 4 | 4 | 10,844,986 |

# TY 2015 Other Expenses Schedule

**Name:** ALBERT E & MYRTLE GUNN YORK TRUST

**EIN:** 74-6446490

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| EXPENSES | | | | |
| DEPLETION | 317,392 | 317,392 | | |
| MISCELLANEOUS | 23 | 23 | | |

# TY 2015 Other Income Schedule

**Name:** ALBERT E & MYRTLE GUNN YORK TRUST

**EIN:** 74-6446490

| Description | Revenue And Expenses Per Books | Net Investment Income | Adjusted Net Income |
|---|---|---|---|
| ROYALTIES | 2,132,894 | 2,132,894 | 2,132,894 |
| REFUND TAXES | 58,426 | 58,426 | 58,426 |
| REFUND OTHER EXPENSES | 1,416 | 1,416 | 1,416 |
| DELAY RENTAL | 5,968 | 5,968 | 5,968 |

# TY 2015 Other Increases Schedule

**Name:** ALBERT E & MYRTLE GUNN YORK TRUST

**EIN:** 74-6446490

| Description | Amount |
|---|---|
| DEPLETION TAKEN | 317,392 |
| BASIS ADJUSTMENT | 4,231 |

# TY 2015 Taxes Schedule

**Name:** ALBERT E & MYRTLE GUNN YORK TRUST

**EIN:** 74-6446490

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| FOREIGN TAXES | 1,144 | 1,144 | | |
| AD VALOREM TAXES (O&G) | 1,189 | 1,189 | | |

Wells Fargo

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

Account Id: 20-3142-00

YORK, ALBERT E & MYRTLE GUNN TRUST
Trust Year Ending 12/31/2015

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 00203H859 | AQR MANAGED FUTURES STR-I | | | | | | | | |
| Sold | | 03/24/15 | 76 | 871 72 | | | | | |
| Acq | | 01/23/15 | 76 | 871 72 | 851 96 | 851 96 | 19 76 | 19 76 | S |
| | | | Total for 3/24/2015 | | 851.96 | 851 96 | 19 76 | 19 76 | |
| Note | | | | | | | | | |
| 00203H859 | AQR MANAGED FUTURES STR-I | | | | | | | | |
| Sold | | 10/28/15 | 2095 | 22,961 20 | | | | | |
| Acq | | 01/23/15 | 550 588 | 6,034 44 | 6,172 09 | 6,172 09 | -137 65 | -137 65 | S |
| Acq | | 09/18/15 | 189 755 | 2,079 71 | 2,093.00 | 2,093 00 | -13 29 | -13 29 | S |
| Acq | | 06/26/15 | 624 107 | 6,840 21 | 6,727 88 | 6,727 88 | 112 33 | 112 33 | S |
| Acq | | 08/07/15 | 730 55 | 8,006 83 | 7,963 00 | 7,963 00 | 43 83 | 43 83 | S |
| | | | Total for 10/28/2015 | | 22,955 97 | 22,955 97 | 5 23 | 5 23 | |
| Note | | | | | | | | | |
| 03076C106 | AMERIPRISE FINL INC | | | | | | | | |
| Sold | | 12/16/15 | 5 | 534 79 | | | | | |
| Acq | | 08/05/15 | 5 | 534 79 | 631 05 | 631 05 | -96 26 | -96 26 | S |
| | | | Total for 12/16/2015 | | 631 05 | 631.05 | -96 26 | -96 26 | |
| Note | | | | | | | | | |
| 03524A108 | ANHEUSER-BUSCH INBEV SPN ADR | | | | | | | | |
| Sold | | 12/16/15 | 7 | 871 97 | | | | | |
| Acq | | 06/26/15 | 7 | 871 97 | 881 58 | 881 58 | -9 61 | -9 61 | S |
| | | | Total for 12/16/2015 | | 881 58 | 881 58 | -9 61 | -9 61 | |
| Note | | | | | | | | | |
| 037411105 | APACHE CORPORATION COM | | | | | | | | |
| Sold | | 08/05/15 | 36 | 1,658 13 | | | | | |
| Acq | | 04/16/12 | 18 | 829 07 | 1,669 30 | 1,669 30 | -840 23 | -840 23 | L |
| Acq | | 10/06/09 | 18 | 829 07 | 1,713 53 | 1,713 53 | -884 46 | -884 46 | L |
| | | | Total for 8/5/2015 | | 3,382 83 | 3,382 83 | -1,724 70 | -1,724 70 | |
| Note | | | | | | | | | |
| 037411105 | APACHE CORPORATION COM | | | | | | | | |
| Sold | | 09/16/15 | 33 | 1,345 05 | | | | | |
| Acq | | 12/18/14 | 14 | 570 63 | 865 06 | 865 06 | -294 43 | -294 43 | S |
| Acq | | 10/28/14 | 14 | 570 63 | 1,037 09 | 1,037 09 | -466 46 | -466 46 | S |

**Wells Fargo**

**Statement of Capital Gains and Losses From Sales**
**(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)**
**YORK, ALBERT E & MYRTLE GUNN TRUST**
**Trust Year Ending 12/31/2015**

**Account Id: 20-3142-00**

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 037411105 | APACHE CORPORATION COM | | | | | | | | |
| **Sold** | | 09/16/15 | 33 | 1,345.05 | | | | | |
| **Acq** | | 04/16/12 | 5 | 203 80 | 463 70 | 463 70 | -259 90 | -259 90 | L |
| | | | **Total for 9/16/2015** | | 2,365 85 | 2,365 85 | -1,020 80 | -1,020 80 | |
| **Note** | | | | | | | | | |
| 037411105 | APACHE CORPORATION COM | | | | | | | | |
| **Sold** | | 10/28/15 | 21 | 928 19 | | | | | |
| **Acq** | | 12/18/14 | 7 | 309 40 | 432 53 | 432 53 | -123 13 | -123 13 | S |
| **Acq** | | 06/26/15 | 14 | 618 79 | 813 12 | 813 12 | -194.33 | -194 33 | S |
| | | | **Total for 10/28/2015** | | 1,245.65 | 1,245 65 | -317 46 | -317 46 | |
| **Note** | | | | | | | | | |
| 037833100 | APPLE COMPUTER INC COM | | | | | | | | |
| **Sold** | | 03/24/15 | 8 | 1,014.22 | | | | | |
| **Acq** | | 10/28/14 | 8 | 1,014 22 | 843 92 | 843 92 | 170 30 | 170 30 | S |
| | | | **Total for 3/24/2015** | | 843 92 | 843 92 | 170 30 | 170 30 | |
| **Note** | | | | | | | | | |
| 037833100 | APPLE COMPUTER INC COM | | | | | | | | |
| **Sold** | | 12/16/15 | 15 | 1,666 02 | | | | | |
| **Acq** | | 05/05/15 | 15 | 1,666 02 | 1,897 25 | 1,897 25 | -231 23 | -231 23 | S |
| | | | **Total for 12/16/2015** | | 1,897 25 | 1,897 25 | -231 23 | -231 23 | |
| **Note** | | | | | | | | | |
| 071813109 | BAXTER INTL INC COM | | | | | | | | |
| **Sold** | | 06/18/15 | 76 | 5,264 85 | | | | | |
| **Acq** | | 08/19/13 | 41 | 2,840 25 | 2,930 61 | 2,930 61 | -90 36 | -90 36 | L |
| **Acq** | | 12/12/13 | 21 | 1,454 76 | 1,403 99 | 1,403 99 | 50 77 | 50 77 | L |
| **Acq** | | 10/28/14 | 14 | 969 84 | 977 61 | 977 61 | -7 77 | -7 77 | S |
| | | | **Total for 6/18/2015** | | 5,312 21 | 5,312 21 | -47 36 | -47 36 | |
| **Note** | | | | | | | | | |
| 084670702 | BERSHIRE HATHAWAY INC | | | | | | | | |
| **Sold** | | 03/24/15 | 6 | 866 84 | | | | | |
| **Acq** | | 10/28/14 | 6 | 866 84 | 839 56 | 839 56 | 27 28 | 27 28 | S |
| | | | **Total for 3/24/2015** | | 839 56 | 839 56 | 27 28 | 27.28 | |
| **Note** | | | | | | | | | |

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

Account Id: 20-3142-00

YORK, ALBERT E & MYRTLE GUNN TRUST
Trust Year Ending 12/31/2015

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 084670702 | BERSHIRE HATHAWAY INC | | | | | | | | |
| Sold | | 12/16/15 | 5 | 677 34 | | | | | |
| Acq | | 08/05/15 | 5 | 677.34 | 716 75 | 716 75 | -39 41 | -39 41 | S |
| | | | Total for 12/16/2015 | | 716 75 | 716 75 | -39 41 | -39 41 | |
| Note | | | | | | | | | |
| 097023105 | BOEING COMPANY | | | | | | | | |
| Sold | | 03/24/15 | 6 | 908 98 | | | | | |
| Acq | | 10/28/14 | 6 | 908 98 | 734 91 | 734 91 | 174 07 | 174 07 | S |
| | | | Total for 3/24/2015 | | 734 91 | 734 91 | 174 07 | 174.07 | |
| Note | | | | | | | | | |
| 097023105 | BOEING COMPANY | | | | | | | | |
| Sold | | 12/16/15 | 6 | 887 74 | | | | | |
| Acq | | 10/28/15 | 6 | 887 74 | 890 88 | 890 88 | -3 14 | -3 14 | S |
| | | | Total for 12/16/2015 | | 890 88 | 890 88 | -3 14 | -3.14 | |
| Note | | | | | | | | | |
| 12572Q105 | CME GROUP INC | | | | | | | | |
| Sold | | 12/16/15 | 5 | 480 19 | | | | | |
| Acq | | 08/05/15 | 5 | 480 19 | 488 40 | 488 40 | -8 21 | -8 21 | S |
| | | | Total for 12/16/2015 | | 488 40 | 488 40 | -8 21 | -8 21 | |
| Note | | | | | | | | | |
| 126650100 | CVS/CAREMARK CORPORATION | | | | | | | | |
| Sold | | 03/24/15 | 9 | 941 95 | | | | | |
| Acq | | 10/28/14 | 9 | 941 95 | 764 18 | 764 18 | 177 77 | 177 77 | S |
| | | | Total for 3/24/2015 | | 764 18 | 764 18 | 177 77 | 177.77 | |
| Note | | | | | | | | | |
| 126650100 | CVS/CAREMARK CORPORATION | | | | | | | | |
| Sold | | 12/16/15 | 10 | 941 98 | | | | | |
| Acq | | 08/05/15 | 10 | 941 98 | 1,105 30 | 1,105 30 | -163 32 | -163 32 | S |
| | | | Total for 12/16/2015 | | 1,105 30 | 1,105 30 | -163 32 | -163 32 | |
| Note | | | | | | | | | |

**Wells Fargo**

**Statement of Capital Gains and Losses From Sales**
**(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)**
**YORK, ALBERT E & MYRTLE GUNN TRUST**
**Trust Year Ending 12/31/2015**

**Account Id: 20-3142-00**

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 150870103 | CELANESE CORP | | | | | | | | |
| **Sold** | | 12/16/15 | 10 | 670 48 | | | | | |
| **Acq** | | 06/26/15 | 10 | 670 48 | 723 50 | 723 50 | -53 02 | -53 02 | S |
| | | | **Total for 12/16/2015** | | 723 50 | 723 50 | -53 02 | -53 02 | |
| **Note** | | | | | | | | | |
| 17275R102 | CISCO SYSTEMS INC | | | | | | | | |
| **Sold** | | 03/24/15 | 31 | 867 98 | | | | | |
| **Acq** | | 02/08/13 | 31 | 867 98 | 657 51 | 657 51 | 210 47 | 210.47 | L |
| | | | **Total for 3/24/2015** | | 657 51 | 657 51 | 210 47 | 210 47 | |
| **Note** | | | | | | | | | |
| 17275R102 | CISCO SYSTEMS INC | | | | | | | | |
| **Sold** | | 12/16/15 | 21 | 566 57 | | | | | |
| **Acq** | | 10/28/15 | 21 | 566 57 | 615 51 | 615 51 | -48 94 | -48.94 | S |
| | | | **Total for 12/16/2015** | | 615.51 | 615.51 | -48.94 | -48.94 | |
| **Note** | | | | | | | | | |
| 172967424 | CITIGROUP INC | | | | | | | | |
| **Sold** | | 12/16/15 | 12 | 637 90 | | | | | |
| **Acq** | | 08/05/15 | 12 | 637 90 | 708 12 | 708 12 | -70 22 | -70 22 | S |
| | | | **Total for 12/16/2015** | | 708 12 | 708 12 | -70 22 | -70 22 | |
| **Note** | | | | | | | | | |
| 20030N101 | COMCAST CORP CLASS A | | | | | | | | |
| **Sold** | | 03/24/15 | 15 | 861 27 | | | | | |
| **Acq** | | 10/28/14 | 15 | 861 27 | 821.76 | 821 76 | 39 51 | 39 51 | S |
| | | | **Total for 3/24/2015** | | 821 76 | 821 76 | 39 51 | 39 51 | |
| **Note** | | | | | | | | | |
| 20030N101 | COMCAST CORP CLASS A | | | | | | | | |
| **Sold** | | 12/16/15 | 12 | 698 63 | | | | | |
| **Acq** | | 08/05/15 | 12 | 698 63 | 753 96 | 753 96 | -55 33 | -55 33 | S |
| | | | **Total for 12/16/2015** | | 753 96 | 753 96 | -55 33 | -55 33 | |
| **Note** | | | | | | | | | |

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 22544R305 | CREDIT SUISSE COMM RT ST-CO 2156 | | | | | | | | |
| Sold | | 03/24/15 | 317 | 1,822 75 | | | | | |
| Acq | | 10/29/10 | 317 | 1,822 75 | 2,891 04 | 2,891.04 | -1,068 29 | -1,068 29 | L |
| | | | Total for 3/24/2015 | | 2,891 04 | 2,891 04 | -1,068 29 | -1,068 29 | |
| Note | | | | | | | | | |
| 22544R305 | CREDIT SUISSE COMM RT ST-CO 2156 | | | | | | | | |
| Sold | | 08/05/15 | 1609 | 8,366 80 | | | | | |
| Acq | | 10/29/10 | 1609 | 8,366 80 | 14,674 08 | 14,674 08 | -6,307 28 | -6,307 28 | L |
| | | | Total for 8/5/2015 | | 14,674 08 | 14,674 08 | -6,307 28 | -6,307 28 | |
| Note | | | | | | | | | |
| 22544R305 | CREDIT SUISSE COMM RT ST-CO 2156 | | | | | | | | |
| Sold | | 09/16/15 | 966 | 4,945 92 | | | | | |
| Acq | | 11/08/11 | 173 193 | 886 75 | 1,517 17 | 1,517 17 | -630 42 | -630 42 | L |
| Acq | | 10/29/10 | 792 807 | 4,059 17 | 7,230 40 | 7,230 40 | -3,171 23 | -3,171 23 | L |
| | | | Total for 9/16/2015 | | 8,747 57 | 8,747 57 | -3,801 65 | -3,801 65 | |
| Note | | | | | | | | | |
| 22544R305 | CREDIT SUISSE COMM RT ST-CO 2156 | | | | | | | | |
| Sold | | 10/28/15 | 1099 | 5,527 97 | | | | | |
| Acq | | 05/11/12 | 395 992 | 1,991 84 | 3,092 70 | 3,092 70 | -1,100 86 | -1,100 86 | L |
| Acq | | 02/12/13 | 593 667 | 2,986.15 | 4,808 70 | 4,808 70 | -1,822 55 | -1,822 55 | L |
| Acq | | 11/08/11 | 109 341 | 549 99 | 957 83 | 957.83 | -407 84 | -407 84 | L |
| | | | Total for 10/28/2015 | | 8,859 23 | 8,859 23 | -3,331 26 | -3,331 26 | |
| Note | | | | | | | | | |
| 231021106 | CUMMINS INC | | | | | | | | |
| Sold | | 12/16/15 | 5 | 438 89 | | | | | |
| Acq | | 10/28/14 | 5 | 438 89 | 711 49 | 711.49 | -272 60 | -272 60 | L |
| | | | Total for 12/16/2015 | | 711 49 | 711 49 | -272 60 | -272 60 | |
| Note | | | | | | | | | |
| 254687106 | WALT DISNEY CO | | | | | | | | |
| Sold | | 03/24/15 | 8 | 858 62 | | | | | |
| Acq | | 10/28/14 | 8 | 858 62 | 714 09 | 714 09 | 144 53 | 144 53 | S |
| | | | Total for 3/24/2015 | | 714 09 | 714 09 | 144 53 | 144 53 | |
| Note | | | | | | | | | |

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

Account Id: 20-3142-00

YORK, ALBERT E & MYRTLE GUNN TRUST
Trust Year Ending 12/31/2015

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 254687106 | WALT DISNEY CO | | | | | | | | |
| Sold | | 12/16/15 | 9 | 1,028 23 | | | | | |
| Acq | | 06/26/15 | 9 | 1,028 23 | 1,033 74 | 1,033 74 | -5.51 | -5 51 | S |
| | | | Total for 12/16/2015 | | 1,033 74 | 1,033 74 | -5 51 | -5 51 | |
| Note | | | | | | | | | |
| 261980494 | DREYFUS EMG MKT DEBT LOC C-I 6083 | | | | | | | | |
| Sold | | 03/24/15 | 79 | 956 69 | | | | | |
| Acq | | 08/13/12 | 79 | 956 69 | 1,154 98 | 1,154 98 | -198 29 | -198 29 | L |
| | | | Total for 3/24/2015 | | 1,154 98 | 1,154 98 | -198 29 | -198 29 | |
| Note | | | | | | | | | |
| 261980494 | DREYFUS EMG MKT DEBT LOC C-I 6083 | | | | | | | | |
| Sold | | 12/16/15 | 5098 206 | 52,891 58 | | | | | |
| Acq | | 06/26/15 | 489 865 | 5,082 13 | 5,800 00 | 5,800 00 | -717 87 | -717 87 | S |
| Acq | | 01/23/15 | 386 607 | 4,010 87 | 4,817 12 | 4,817 12 | -806 25 | -806.25 | S |
| Acq | | 07/15/13 | 1196 538 | 12,413 54 | 16,883 15 | 16,883 15 | -4,469 61 | -4,469 61 | L |
| Acq | | 08/13/12 | 1250 403 | 12,972 37 | 18,280 89 | 18,280 89 | -5,308 52 | -5,308 52 | L |
| Acq | | 05/11/12 | 444.557 | 4,612 08 | 6,272 70 | 6,272 70 | -1,660 62 | -1,660.62 | L |
| Acq | | 02/07/11 | 103 591 | 1,074 71 | 1,500 00 | 1,500 00 | -425 29 | -425 29 | L |
| Acq | | 08/07/15 | 421 169 | 4,369 44 | 4,755 00 | 4,755 00 | -385 56 | -385 56 | S |
| Acq | | 10/30/15 | 379 121 | 3,933 21 | 4,140 00 | 4,140 00 | -206 79 | -206 79 | S |
| Acq | | 09/18/15 | 426 355 | 4,423 24 | 4,562 00 | 4,562 00 | -138 76 | -138 76 | S |
| | | | Total for 12/16/2015 | | 67,010 86 | 67,010 86 | -14,119 28 | -14,119 28 | |
| Note | | | | | | | | | |
| 261980668 | DREYFUS INTERNATL BOND FD CL I 6094 | | | | | | | | |
| Sold | | 06/26/15 | 586 | 9,194 34 | | | | | |
| Acq | | 04/25/14 | 586 | 9,194 34 | 9,985 44 | 9,985 44 | -791 10 | -791.10 | L |
| | | | Total for 6/26/2015 | | 9,985 44 | 9,985 44 | -791 10 | -791 10 | |
| Note | | | | | | | | | |
| 261980668 | DREYFUS INTERNATL BOND FD CL I 6094 | | | | | | | | |
| Sold | | 08/05/15 | 695 | 10,765 55 | | | | | |
| Acq | | 04/25/14 | 695 | 10,765 55 | 11,842.80 | 11,842 80 | -1,077 25 | -1,077 25 | L |
| | | | Total for 8/5/2015 | | 11,842 80 | 11,842 80 | -1,077 25 | -1,077 25 | |
| Note | | | | | | | | | |

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)
YORK, ALBERT E & MYRTLE GUNN TRUST
Trust Year Ending 12/31/2015

Account Id: 20-3142-00

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 261980668 | DREYFUS INTERNATL BOND FD CL I 6094 | | | | | | | | |
| Sold | | 09/16/15 | 634 | 9,839 68 | | | | | |
| Acq | | 04/25/14 | 634 | 9,839 68 | 10,803 36 | 10,803 36 | -963 68 | -963 68 | L |
| | | | Total for 9/16/2015 | | 10,803 36 | 10,803 36 | -963 68 | -963 68 | |
| Note | | | | | | | | | |
| 261980668 | DREYFUS INTERNATL BOND FD CL I 6094 | | | | | | | | |
| Sold | | 10/28/15 | 401 278 | 6,203 76 | | | | | |
| Acq | | 04/25/14 | 401 278 | 6,203 76 | 6,837 78 | 6,837 78 | -634 02 | -634 02 | L |
| | | | Total for 10/28/2015 | | 6,837 78 | 6,837 78 | -634 02 | -634 02 | |
| Note | | | | | | | | | |
| 262028855 | DRIEHAUS ACTIVE INCOME FUND | | | | | | | | |
| Sold | | 01/21/15 | 1668 | 17,363 88 | | | | | |
| Acq | | 10/11/13 | 1003 541 | 10,446 86 | 10,747 92 | 10,747 92 | -301 06 | -301 06 | L |
| Acq | | 08/13/12 | 664 459 | 6,917 02 | 6,917 02 | 6,917 02 | -0 00 | -0 00 | L |
| | | | Total for 1/21/2015 | | 17,664 94 | 17,664 94 | -301 06 | -301 06 | |
| Note | | | | | | | | | |
| 262028855 | DRIEHAUS ACTIVE INCOME FUND | | | | | | | | |
| Sold | | 10/28/15 | 2515 375 | 25,631 67 | | | | | |
| Acq | | 06/26/15 | 355 769 | 3,625 29 | 3,700 00 | 3,700 00 | -74 71 | -74 71 | S |
| Acq | | 09/18/15 | 200 097 | 2,038 99 | 2,057 00 | 2,057 00 | -18 01 | -18 01 | S |
| Acq | | 08/07/15 | 324 395 | 3,305 58 | 3,351 00 | 3,351 00 | -45 42 | -45 42 | S |
| Acq | | 08/13/12 | 1635 114 | 16,661 81 | 17,021 54 | 17,021 54 | -359 73 | -359 73 | L |
| | | | Total for 10/28/2015 | | 26,129 54 | 26,129 54 | -497 87 | -497 87 | |
| Note | | | | | | | | | |
| 268648102 | E M C CORP MASS | | | | | | | | |
| Sold | | 10/28/15 | 354 | 9,246 45 | | | | | |
| Acq | | 10/28/14 | 39 | 1,018 68 | 1,106 41 | 1,106 41 | -87 73 | -87 73 | S |
| Acq | | 06/25/14 | 20 | 522 40 | 525.78 | 525 78 | -3 38 | -3 38 | L |
| Acq | | 07/06/04 | 129 | 3,369 47 | 1,316 12 | 1,316 12 | 2,053 35 | 2,053 35 | L |
| Acq | | 09/16/15 | 82 | 2,141 83 | 2,016 38 | 2,016 38 | 125 45 | 125 45 | S |
| Acq | | 06/26/15 | 33 | 861 96 | 874 83 | 874.83 | -12 87 | -12 87 | S |
| Acq | | 08/05/15 | 51 | 1,332.12 | 1,335 69 | 1,335 69 | -3 57 | -3 57 | S |
| | | | Total for 10/28/2015 | | 7,175 21 | 7,175 21 | 2,071 24 | 2,071 24 | |
| Note | | | | | | | | | |

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 277923728 | EATON VANCE GLOBAL MACRO - I | | | | | | | | |
| Sold | | 01/21/15 | 253.868 | 2,383 82 | | | | | |
| Acq | | 01/24/14 | 253 868 | 2,383 82 | 2,374 05 | 2,383 82 | 9 77 | 0 00 | S |
| | | | Total for 1/21/2015 | | 2,374 05 | 2,383 82 | 9 77 | 0.00 | |
| Note | | | | | | | | | |
| 277923728 | EATON VANCE GLOBAL MACRO - I | | | | | | | | |
| Sold | | 12/16/15 | 37 917 | 346 94 | | | | | |
| Acq | | 10/30/15 | 37 917 | 346 94 | 346 56 | 346 56 | 0 38 | 0 38 | S |
| | | | Total for 12/16/2015 | | 346 56 | 346 56 | 0 38 | 0 38 | |
| Note | | | | | | | | | |
| 38259P706 | GOOGLE INC-CL C | | | | | | | | |
| Sold | | 05/06/15 | 0 0356 | 19 83 | | | | | |
| Acq | | 10/28/14 | 0 0356 | 19 83 | 19 34 | 19 34 | 0 49 | 0 49 | S |
| | | | Total for 5/6/2015 | | 19 34 | 19 34 | 0 49 | 0 49 | |
| Note | | | | | | | | | |
| 404280406 | HSBC - ADR | | | | | | | | |
| Sold | | 12/16/15 | 14 | 552 85 | | | | | |
| Acq | | 02/25/13 | 14 | 552 85 | 763 89 | 763 89 | -211 04 | -211 04 | L |
| | | | Total for 12/16/2015 | | 763 89 | 763 89 | -211 04 | -211 04 | |
| Note | | | | | | | | | |
| 459200101 | INTERNATIONAL BUSINESS MACHS CORP | | | | | | | | |
| Sold | | 09/16/15 | 17 | 2,512 73 | | | | | |
| Acq | | 06/17/13 | 15 | 2,217 11 | 3,056 02 | 3,056 02 | -838 91 | -838 91 | L |
| Acq | | 06/26/15 | 2 | 295 62 | 330 64 | 330 64 | -35 02 | -35 02 | S |
| | | | Total for 9/16/2015 | | 3,386 66 | 3,386 66 | -873 93 | -873 93 | |
| Note | | | | | | | | | |
| 459200101 | INTERNATIONAL BUSINESS MACHS CORP | | | | | | | | |
| Sold | | 10/28/15 | 11 | 1,517 75 | | | | | |
| Acq | | 10/28/14 | 4 | 551.91 | 648 91 | 648 91 | -97 00 | -97 00 | S |
| Acq | | 08/05/15 | 6 | 827 86 | 956 94 | 956 94 | -129 08 | -129 08 | S |
| Acq | | 06/26/15 | 1 | 137 98 | 165 32 | 165 32 | -27 34 | -27 34 | S |
| | | | Total for 10/28/2015 | | 1,771 17 | 1,771 17 | -253 42 | -253 42 | |
| Note | | | | | | | | | |

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

Account Id: 20-3142-00

YORK, ALBERT E & MYRTLE GUNN TRUST
Trust Year Ending 12/31/2015

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 464287200 | ISHARES S & P 500 INDEX FUND | | | | | | | | |
| Sold | | 01/21/15 | 13 | 2,644 48 | | | | | |
| Acq | | 10/28/14 | 1 | 203 42 | 198 18 | 198 18 | 5.24 | 5 24 | S |
| Acq | | 06/19/14 | 12 | 2,441 06 | 2,372 11 | 2,372 11 | 68 95 | 68 95 | S |
| | | | Total for 1/21/2015 | | 2,570 29 | 2,570 29 | 74.19 | 74.19 | |
| Note | | | | | | | | | |
| 464287200 | ISHARES S & P 500 INDEX FUND | | | | | | | | |
| Sold | | 03/24/15 | 5 | 1,057 13 | | | | | |
| Acq | | 06/19/14 | 2 | 422 85 | 395 35 | 395 35 | 27 50 | 27 50 | S |
| Acq | | 10/21/14 | 3 | 634 28 | 583 33 | 583 33 | 50 95 | 50 95 | S |
| | | | Total for 3/24/2015 | | 978 68 | 978 68 | 78 45 | 78 45 | |
| Note | | | | | | | | | |
| 464287200 | ISHARES S & P 500 INDEX FUND | | | | | | | | |
| Sold | | 08/05/15 | 104 | 22,034 78 | | | | | |
| Acq | | 05/07/10 | 14 | 2,966 22 | 1,559 77 | 1,559 77 | 1,406 45 | 1,406 45 | L |
| Acq | | 10/21/14 | 44 | 9,322 41 | 8,555 49 | 8,555 49 | 766 92 | 766 92 | S |
| Acq | | 06/26/15 | 46 | 9,746 15 | 9,698 64 | 9,698 64 | 47 51 | 47 51 | S |
| | | | Total for 8/5/2015 | | 19,813 90 | 19,813.90 | 2,220 88 | 2,220 88 | |
| Note | | | | | | | | | |
| 464287200 | ISHARES S & P 500 INDEX FUND | | | | | | | | |
| Sold | | 09/16/15 | 94 | 18,797.23 | | | | | |
| Acq | | 05/07/10 | 94 | 18,797 23 | 10,472 75 | 10,472 75 | 8,324 48 | 8,324 48 | L |
| | | | Total for 9/16/2015 | | 10,472 75 | 10,472.75 | 8,324 48 | 8,324 48 | |
| Note | | | | | | | | | |
| 464287200 | ISHARES S & P 500 INDEX FUND | | | | | | | | |
| Sold | | 10/28/15 | 60 | 12,489 97 | | | | | |
| Acq | | 05/07/10 | 60 | 12,489 97 | 6,684 73 | 6,684 73 | 5,805 24 | 5,805 24 | L |
| | | | Total for 10/28/2015 | | 6,684 73 | 6,684 73 | 5,805 24 | 5,805 24 | |
| Note | | | | | | | | | |

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 464287606 | ISHARES S&P MIDCAP 400 GROWTH | | | | | | | | |
| Sold | | 01/21/15 | 33 | 5,291 92 | | | | | |
| Acq | | 06/19/14 | 33 | 5,291 92 | 5,140 11 | 5,140 11 | 151 81 | 151 81 | S |
| | | | Total for 1/21/2015 | | 5,140 11 | 5,140 11 | 151 81 | 151.81 | |
| Note | | | | | | | | | |
| 464287606 | ISHARES S&P MIDCAP 400 GROWTH | | | | | | | | |
| Sold | | 03/24/15 | 10 | 1,724 39 | | | | | |
| Acq | | 06/19/14 | 10 | 1,724 39 | 1,557 61 | 1,557 61 | 166 78 | 166 78 | S |
| | | | Total for 3/24/2015 | | 1,557 61 | 1,557 61 | 166 78 | 166 78 | |
| Note | | | | | | | | | |
| 464287606 | ISHARES S&P MIDCAP 400 GROWTH | | | | | | | | |
| Sold | | 12/16/15 | 11 | 1,782 62 | | | | | |
| Acq | | 08/05/15 | 11 | 1,782 62 | 1,916 41 | 1,916 41 | -133 79 | -133 79 | S |
| | | | Total for 12/16/2015 | | 1,916 41 | 1,916 41 | -133 79 | -133 79 | |
| Note | | | | | | | | | |
| 464287705 | ISHARES S&P MIDCAP 400 VALUE | | | | | | | | |
| Sold | | 01/21/15 | 23 | 2,854 56 | | | | | |
| Acq | | 06/19/14 | 23 | 2,854 56 | 2,904.12 | 2,904.12 | -49 56 | -49 56 | S |
| | | | Total for 1/21/2015 | | 2,904 12 | 2,904 12 | -49 56 | -49 56 | |
| Note | | | | | | | | | |
| 464287705 | ISHARES S&P MIDCAP 400 VALUE | | | | | | | | |
| Sold | | 03/24/15 | 12 | 1,587 09 | | | | | |
| Acq | | 06/19/14 | 12 | 1,587 09 | 1,515 19 | 1,515.19 | 71 90 | 71 90 | S |
| | | | Total for 3/24/2015 | | 1,515 19 | 1,515 19 | 71 90 | 71 90 | |
| Note | | | | | | | | | |
| 464287705 | ISHARES S&P MIDCAP 400 VALUE | | | | | | | | |
| Sold | | 12/16/15 | 24 | 2,837 39 | | | | | |
| Acq | | 06/26/15 | 24 | 2,837 39 | 3,162 73 | 3,162 73 | -325 34 | -325 34 | S |
| | | | Total for 12/16/2015 | | 3,162 73 | 3,162 73 | -325 34 | -325 34 | |
| Note | | | | | | | | | |
| 464287804 | ISHARES TR SMALLCAP 600 INDEX FD | | | | | | | | |
| Sold | | 01/21/15 | 58 | 6,398 39 | | | | | |
| Acq | | 10/27/10 | 23 | 2,537 29 | 1,414 02 | 1,414 02 | 1,123 27 | 1,123 27 | L |

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 464287804 | ISHARES TR SMALLCAP 600 INDEX FD | | | | | | | | |
| Sold | | 01/21/15 | 58 | 6,398 39 | | | | | |
| Acq | | 10/21/14 | 35 | 3,861 10 | 3,678 53 | 3,678 53 | 182 57 | 182 57 | S |
| | | | Total for 1/21/2015 | | 5,092 55 | 5,092 55 | 1,305 84 | 1,305 84 | |
| Note | | | | | | | | | |
| 464287804 | ISHARES TR SMALLCAP 600 INDEX FD | | | | | | | | |
| Sold | | 03/24/15 | 14 | 1,677 46 | | | | | |
| Acq | | 10/27/10 | 14 | 1,677 46 | 860 71 | 860 71 | 816 75 | 816 75 | L |
| | | | Total for 3/24/2015 | | 860 71 | 860 71 | 816 75 | 816 75 | |
| Note | | | | | | | | | |
| 464287804 | ISHARES TR SMALLCAP 600 INDEX FD | | | | | | | | |
| Sold | | 12/16/15 | 30 | 3,335 94 | | | | | |
| Acq | | 06/26/15 | 30 | 3,335 94 | 3,610 20 | 3,610 20 | -274 26 | -274.26 | S |
| | | | Total for 12/16/2015 | | 3,610 20 | 3,610 20 | -274 26 | -274 26 | |
| Note | | | | | | | | | |
| 46625H100 | JPMORGAN CHASE & CO | | | | | | | | |
| Sold | | 03/24/15 | 15 | 909 14 | | | | | |
| Acq | | 10/28/14 | 15 | 909 14 | 885 88 | 885 88 | 23 26 | 23 26 | S |
| | | | Total for 3/24/2015 | | 885 88 | 885 88 | 23 26 | 23 26 | |
| Note | | | | | | | | | |
| 46625H100 | JPMORGAN CHASE & CO | | | | | | | | |
| Sold | | 12/16/15 | 13 | 869.16 | | | | | |
| Acq | | 08/05/15 | 13 | 869 16 | 898 69 | 898 69 | -29 53 | -29 53 | S |
| | | | Total for 12/16/2015 | | 898 69 | 898 69 | -29 53 | -29 53 | |
| Note | | | | | | | | | |
| 478366107 | JOHNSON CONTROLS INC | | | | | | | | |
| Sold | | 12/16/15 | 13 | 518 04 | | | | | |
| Acq | | 06/26/15 | 13 | 518 04 | 664 82 | 664 82 | -146 78 | -146 78 | S |
| | | | Total for 12/16/2015 | | 664 82 | 664 82 | -146 78 | -146 78 | |
| Note | | | | | | | | | |
| 4812C0803 | JPMORGAN HIGH YIELD FUND SS 3580 | | | | | | | | |
| Sold | | 09/16/15 | 1894 | 13,901 96 | | | | | |
| Acq | | 01/24/14 | 1204 114 | 8,838 20 | 9,681 08 | 9,681 08 | -842 88 | -842 88 | L |

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

Account Id: 20-3142-00

YORK, ALBERT E & MYRTLE GUNN TRUST
Trust Year Ending 12/31/2015

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 4812C0803 | JPMORGAN HIGH YIELD FUND SS 3580 | | | | | | | | |
| Sold | | 09/16/15 | 1894 | 13,901 96 | | | | | |
| Acq | | 04/25/14 | 471 419 | 3,460 22 | 3,827 92 | 3,827 92 | -367 70 | -367 70 | L |
| Acq | | 06/23/14 | 218 467 | 1,603 55 | 1,793 61 | 1,793 61 | -190 06 | -190 06 | L |
| | | | Total for 9/16/2015 | | 15,302 61 | 15,302 61 | -1,400.65 | -1,400 65 | |
| Note | | | | | | | | | |
| 4812C0803 | JPMORGAN HIGH YIELD FUND SS 3580 | | | | | | | | |
| Sold | | 10/28/15 | 1174 | 8,499 76 | | | | | |
| Acq | | 01/24/14 | 1174 | 8,499 76 | 9,438 96 | 9,438 96 | -939 20 | -939 20 | L |
| | | | Total for 10/28/2015 | | 9,438.96 | 9,438 96 | -939 20 | -939 20 | |
| Note | | | | | | | | | |
| 517834107 | LAS VEGAS SANDS CORP | | | | | | | | |
| Sold | | 12/16/15 | 10 | 419 79 | | | | | |
| Acq | | 09/10/14 | 10 | 419 79 | 629 32 | 629 32 | -209 53 | -209.53 | L |
| | | | Total for 12/16/2015 | | 629 32 | 629 32 | -209.53 | -209.53 | |
| Note | | | | | | | | | |
| 58155Q103 | MCKESSON CORP | | | | | | | | |
| Sold | | 03/24/15 | 4 | 914 50 | | | | | |
| Acq | | 10/28/14 | 4 | 914 50 | 814 92 | 814 92 | 99 58 | 99 58 | S |
| | | | Total for 3/24/2015 | | 814 92 | 814 92 | 99 58 | 99 58 | |
| Note | | | | | | | | | |
| 58155Q103 | MCKESSON CORP | | | | | | | | |
| Sold | | 12/16/15 | 5 | 941 98 | | | | | |
| Acq | | 06/26/15 | 5 | 941 98 | 1,144 05 | 1,144.05 | -202 07 | -202 07 | S |
| | | | Total for 12/16/2015 | | 1,144 05 | 1,144 05 | -202 07 | -202 07 | |
| Note | | | | | | | | | |
| 589509207 | MERGER FUND-INST #301 | | | | | | | | |
| Sold | | 01/21/15 | 531 | 8,251 74 | | | | | |
| Acq | | 02/12/13 | 106 066 | 1,648 27 | 1,671 70 | 1,671.70 | -23 43 | -23 43 | L |
| Acq | | 05/05/10 | 424 934 | 6,603 47 | 6,650 68 | 6,650 68 | -47 21 | -47.21 | L |
| | | | Total for 1/21/2015 | | 8,322 38 | 8,322 38 | -70 64 | -70 64 | |
| Note | | | | | | | | | |

Wells Fargo

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

Account Id: 20-3142-00

YORK, ALBERT E & MYRTLE GUNN TRUST
Trust Year Ending 12/31/2015

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 589509207 | MERGER FUND-INST #301 | | | | | | | | |
| Sold | | 10/28/15 | 198 | 3,074 94 | | | | | |
| Acq | | 06/26/15 | 198 | 3,074 94 | 3,128 40 | 3,128 40 | -53 46 | -53 46 | S |
| | | | Total for 10/28/2015 | | 3,128 40 | 3,128 40 | -53 46 | -53 46 | |
| Note | | | | | | | | | |
| 594918104 | MICROSOFT CORP | | | | | | | | |
| Sold | | 12/16/15 | 18 | 1,001 80 | | | | | |
| Acq | | 10/28/15 | 18 | 1,001 80 | 965 16 | 965 16 | 36 64 | 36 64 | S |
| | | | Total for 12/16/2015 | | 965 16 | 965 16 | 36 64 | 36 64 | |
| Note | | | | | | | | | |
| 61166W101 | MONSANTO CO NEW | | | | | | | | |
| Sold | | 12/16/15 | 5 | 478 99 | | | | | |
| Acq | | 04/24/15 | 5 | 478 99 | 592 02 | 592 02 | -113 03 | -113 03 | S |
| | | | Total for 12/16/2015 | | 592 02 | 592 02 | -113 03 | -113 03 | |
| Note | | | | | | | | | |
| 611740101 | MONSTER BEVERAGE CORP | | | | | | | | |
| Sold | | 01/12/15 | 36 | 4,107 44 | | | | | |
| Acq | | 01/22/13 | 36 | 4,107 44 | 1,734 51 | 1,734 51 | 2,372 93 | 2,372 93 | L |
| | | | Total for 1/12/2015 | | 1,734 51 | 1,734 51 | 2,372 93 | 2,372 93 | |
| Note | | | | | | | | | |
| 637071101 | NATIONAL OILWELL INC COM | | | | | | | | |
| Sold | | 08/05/15 | 46 | 1,882 28 | | | | | |
| Acq | | 06/06/14 | 33 | 1,350 33 | 2,544 35 | 2,544 35 | -1,194 02 | -1,194 02 | L |
| Acq | | 11/24/14 | 13 | 531 95 | 958 06 | 958 06 | -426 11 | -426 11 | S |
| | | | Total for 8/5/2015 | | 3,502 41 | 3,502 41 | -1,620 13 | -1,620 13 | |
| Note | | | | | | | | | |
| 637071101 | NATIONAL OILWELL INC COM | | | | | | | | |
| Sold | | 09/16/15 | 42 | 1,635 45 | | | | | |
| Acq | | 10/28/14 | 17 | 661 97 | 1,217 29 | 1,217 29 | -555 32 | -555 32 | S |
| Acq | | 10/09/13 | 10 | 389 39 | 702 85 | 702,85 | -313 46 | -313 46 | L |
| Acq | | 11/24/14 | 15 | 584 09 | 1,105 46 | 1,105 46 | -521 37 | -521 37 | S |
| | | | Total for 9/16/2015 | | 3,025 60 | 3,025 60 | -1,390 15 | -1,390 15 | |
| Note | | | | | | | | | |

Wells Fargo
Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)
YORK, ALBERT E & MYRTLE GUNN TRUST
Trust Year Ending 12/31/2015

Account Id: 20-3142-00

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 637071101 | NATIONAL OILWELL INC COM | | | | | | | | |
| Sold | | 10/28/15 | 27 | 982 78 | | | | | |
| Acq | | 06/26/15 | 18 | 655 19 | 886 32 | 886 32 | -231 13 | -231 13 | S |
| Acq | | 10/09/13 | 9 | 327 59 | 632 56 | 632 56 | -304 97 | -304 97 | L |
| | | | Total for 10/28/2015 | | 1,518 88 | 1,518 88 | -536 10 | -536 10 | |
| Note | | | | | | | | | |
| 63872T885 | ASG GLOBAL ALTERNATIVES-Y 1993 | | | | | | | | |
| Sold | | 01/21/15 | 138 | 1,567 68 | | | | | |
| Acq | | 07/15/13 | 138 | 1,567 68 | 1,589 76 | 1,589 76 | -22 08 | -22 08 | L |
| | | | Total for 1/21/2015 | | 1,589 76 | 1,589 76 | -22 08 | -22 08 | |
| Note | | | | | | | | | |
| 63872T885 | ASG GLOBAL ALTERNATIVES-Y 1993 | | | | | | | | |
| Sold | | 03/24/15 | 72 | 867 60 | | | | | |
| Acq | | 07/15/13 | 72 | 867 60 | 829 44 | 829 44 | 38 16 | 38 16 | L |
| | | | Total for 3/24/2015 | | 829 44 | 829 44 | 38 16 | 38 16 | |
| Note | | | | | | | | | |
| 63872T885 | ASG GLOBAL ALTERNATIVES-Y 1993 | | | | | | | | |
| Sold | | 10/28/15 | 439 | 4,758.76 | | | | | |
| Acq | | 07/15/13 | 439 | 4,758 76 | 5,057 28 | 5,057 28 | -298 52 | -298.52 | L |
| | | | Total for 10/28/2015 | | 5,057.28 | 5,057 28 | -298 52 | -298 52 | |
| Note | | | | | | | | | |
| 63872T885 | ASG GLOBAL ALTERNATIVES-Y 1993 | | | | | | | | |
| Sold | | 12/16/15 | 26 | 277 68 | | | | | |
| Acq | | 07/15/13 | 26 | 277 68 | 299 52 | 299 52 | -21 84 | -21 84 | L |
| | | | Total for 12/16/2015 | | 299 52 | 299 52 | -21 84 | -21 84 | |
| Note | | | | | | | | | |
| 641069406 | NESTLE S A REGISTERED SHARES - ADR | | | | | | | | |
| Sold | | 12/16/15 | 7 | 519 88 | | | | | |
| Acq | | 10/28/15 | 7 | 519 88 | 538 93 | 538 93 | -19 05 | -19 05 | S |
| | | | Total for 12/16/2015 | | 538 93 | 538 93 | -19 05 | -19 05 | |
| Note | | | | | | | | | |

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

Account Id: 20-3142-00

YORK, ALBERT E & MYRTLE GUNN TRUST
Trust Year Ending 12/31/2015

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 64128R608 | NEUBERGER BERMAN LONG SH-INS #1830 | | | | | | | | |
| Sold | | 01/21/15 | 199 | 2,547 20 | | | | | |
| Acq | | 06/23/14 | 199 | 2,547 20 | 2,573 07 | 2,573 07 | -25 87 | -25 87 | S |
| | | | Total for 1/21/2015 | | 2,573 07 | 2,573 07 | -25 87 | -25 87 | |
| Note | | | | | | | | | |
| 64128R608 | NEUBERGER BERMAN LONG SH-INS #1830 | | | | | | | | |
| Sold | | 03/24/15 | 111 | 1,465 20 | | | | | |
| Acq | | 06/23/14 | 111 | 1,465 20 | 1,435 23 | 1,435 23 | 29 97 | 29 97 | S |
| | | | Total for 3/24/2015 | | 1,435 23 | 1,435 23 | 29 97 | 29 97 | |
| Note | | | | | | | | | |
| 68389X105 | ORACLE CORPORATION | | | | | | | | |
| Sold | | 12/16/15 | 14 | 540 25 | | | | | |
| Acq | | 06/26/15 | 14 | 540 25 | 574 70 | 574 70 | -34 45 | -34 45 | S |
| | | | Total for 12/16/2015 | | 574 70 | 574 70 | -34 45 | -34 45 | |
| Note | | | | | | | | | |
| 693390882 | PIMCO FOREIGN BD FD USD H-INST 103 | | | | | | | | |
| Sold | | 01/21/15 | 128 | 1,397 76 | | | | | |
| Acq | | 08/23/11 | 128 | 1,397 76 | 1,363 20 | 1,363 20 | 34 56 | 34.56 | L |
| | | | Total for 1/21/2015 | | 1,363 20 | 1,363 20 | 34 56 | 34 56 | |
| Note | | | | | | | | | |
| 693390882 | PIMCO FOREIGN BD FD USD H-INST 103 | | | | | | | | |
| Sold | | 03/24/15 | 85 | 936 70 | | | | | |
| Acq | | 08/23/11 | 85 | 936.70 | 905.25 | 905.25 | 31.45 | 31 45 | L |
| | | | Total for 3/24/2015 | | 905 25 | 905.25 | 31 45 | 31 45 | |
| Note | | | | | | | | | |
| 693390882 | PIMCO FOREIGN BD FD USD H-INST 103 | | | | | | | | |
| Sold | | 06/26/15 | 871 | 9,171 63 | | | | | |
| Acq | | 08/23/11 | 871 | 9,171 63 | 9,276.15 | 9,276.15 | -104 52 | -104 52 | L |
| | | | Total for 6/26/2015 | | 9,276 15 | 9,276 15 | -104 52 | -104 52 | |
| Note | | | | | | | | | |

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

Account Id: 20-3142-00

YORK, ALBERT E & MYRTLE GUNN TRUST
Trust Year Ending 12/31/2015

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 693390882 | PIMCO FOREIGN BD FD USD H-INST 103 | | | | | | | | |
| Sold | | 08/05/15 | 1035 | 11,022.75 | | | | | |
| Acq | | 08/23/11 | 1035 | 11,022 75 | 11,022 75 | 11,022 75 | 0 00 | 0 00 | L |
| | | | Total for 8/5/2015 | | 11,022 75 | 11,022 75 | 0 00 | 0 00 | |
| Note | | | | | | | | | |
| 693390882 | PIMCO FOREIGN BD FD USD H-INST 103 | | | | | | | | |
| Sold | | 09/16/15 | 944 | 9,968 64 | | | | | |
| Acq | | 08/23/11 | 944 | 9,968 64 | 10,053 60 | 10,053 60 | -84 96 | -84 96 | L |
| | | | Total for 9/16/2015 | | 10,053 60 | 10,053 60 | -84 96 | -84 96 | |
| Note | | | | | | | | | |
| 693390882 | PIMCO FOREIGN BD FD USD H-INST 103 | | | | | | | | |
| Sold | | 10/28/15 | 597 618 | 6,406 46 | | | | | |
| Acq | | 08/23/11 | 38 09 | 408 32 | 405 66 | 405 66 | 2 66 | 2 66 | L |
| Acq | | 01/24/14 | 559 528 | 5,998 14 | 5,919 81 | 5,919 81 | 78 33 | 78 33 | L |
| | | | Total for 10/28/2015 | | 6,325.47 | 6,325 47 | 80 99 | 80 99 | |
| Note | | | | | | | | | |
| 693475105 | PNC FINANCIAL SERVICES GROUP | | | | | | | | |
| Sold | | 12/16/15 | 6 | 573 41 | | | | | |
| Acq | | 08/05/15 | 6 | 573 41 | 592 50 | 592 50 | -19 09 | -19 09 | S |
| | | | Total for 12/16/2015 | | 592 50 | 592 50 | -19 09 | -19 09 | |
| Note | | | | | | | | | |
| 74255L696 | PRINCIPAL GL MULT STRAT-INST #4684 | | | | | | | | |
| Sold | | 03/24/15 | 98 | 1,101 52 | | | | | |
| Acq | | 08/08/14 | 98 | 1,101 52 | 1,075 06 | 1,075 06 | 26.46 | 26 46 | S |
| | | | Total for 3/24/2015 | | 1,075 06 | 1,075 06 | 26 46 | 26 46 | |
| Note | | | | | | | | | |
| 74255L696 | PRINCIPAL GL MULT STRAT-INST #4684 | | | | | | | | |
| Sold | | 10/28/15 | 2348 325 | 25,925 51 | | | | | |
| Acq | | 09/18/15 | 201 457 | 2,224 09 | 2,212 00 | 2,212 00 | 12 09 | 12 09 | S |
| Acq | | 08/08/14 | 1511.466 | 16,686 59 | 16,580 78 | 16,580 78 | 105 81 | 105 81 | L |
| Acq | | 06/26/15 | 338 983 | 3,742 37 | 3,800 00 | 3,800 00 | -57 63 | -57 63 | S |

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 74255L696 | PRINCIPAL GL MULT STRAT-INST #4684 | | | | | | | | |
| Sold | | 10/28/15 | 2348 325 | 25,925 51 | | | | | |
| Acq | | 08/07/15 | 296 419 | 3,272 47 | 3,311 00 | 3,311 00 | -38 53 | -38 53 | S |
| | | | Total for 10/28/2015 | | 25,903 78 | 25,903 78 | 21 73 | 21 73 | |
| Note | | | | | | | | | |
| 771195104 | ROCHE HOLDINGS LTD - ADR | | | | | | | | |
| Sold | | 12/16/15 | 6 | 205 62 | | | | | |
| Acq | | 06/26/15 | 6 | 205 62 | 216 30 | 216 30 | -10 68 | -10.68 | S |
| | | | Total for 12/16/2015 | | 216 30 | 216 30 | -10 68 | -10 68 | |
| Note | | | | | | | | | |
| 77958B402 | T ROWE PRICE INST FLOAT RATE 170 | | | | | | | | |
| Sold | | 03/24/15 | 85 | 861 90 | | | | | |
| Acq | | 04/25/14 | 85 | 861 90 | 871.25 | 871 25 | -9 35 | -9 35 | S |
| | | | Total for 3/24/2015 | | 871 25 | 871 25 | -9 35 | -9 35 | |
| Note | | | | | | | | | |
| 77958B402 | T ROWE PRICE INST FLOAT RATE 170 | | | | | | | | |
| Sold | | 09/16/15 | 707 | 7,098 28 | | | | | |
| Acq | | 01/24/14 | 707 | 7,098 28 | 7,289 17 | 7,289 17 | -190 89 | -190 89 | L |
| | | | Total for 9/16/2015 | | 7,289 17 | 7,289 17 | -190 89 | -190 89 | |
| Note | | | | | | | | | |
| 77958B402 | T ROWE PRICE INST FLOAT RATE 170 | | | | | | | | |
| Sold | | 10/28/15 | 501 | 4,979 94 | | | | | |
| Acq | | 01/24/14 | 501 | 4,979 94 | 5,165.31 | 5,165 31 | -185 37 | -185 37 | L |
| | | | Total for 10/28/2015 | | 5,165 31 | 5,165 31 | -185 37 | -185 37 | |
| Note | | | | | | | | | |
| 78463X863 | SPDR DJ WILSHIRE INTERNATIONAL REAL | | | | | | | | |
| Sold | | 01/21/15 | 69 | 2,997 86 | | | | | |
| Acq | | 08/27/08 | 69 | 2,997 86 | 3,000 81 | 3,000 81 | -2 95 | -2 95 | L |
| | | | Total for 1/21/2015 | | 3,000 81 | 3,000 81 | -2 95 | -2 95 | |
| Note | | | | | | | | | |

Wells Fargo

**Statement of Capital Gains and Losses From Sales**
**(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)**
**YORK, ALBERT E & MYRTLE GUNN TRUST**
**Trust Year Ending 12/31/2015**

**Account Id: 20-3142-00**

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 78463X863 | SPDR DJ WILSHIRE INTERNATIONAL REAL | | | | | | | | |
| Sold | | 03/24/15 | 34 | 1,508 81 | | | | | |
| Acq | | 08/27/08 | 34 | 1,508 81 | 1,478 66 | 1,478 66 | 30 15 | 30 15 | L |
| | | | Total for 3/24/2015 | | 1,478 66 | 1,478 66 | 30 15 | 30 15 | |
| Note | | | | | | | | | |
| 78463X863 | SPDR DJ WILSHIRE INTERNATIONAL REAL | | | | | | | | |
| Sold | | 12/16/15 | 57 | 2,247 82 | | | | | |
| Acq | | 08/27/08 | 57 | 2,247 82 | 2,478 93 | 2,478 93 | -231 11 | -231 11 | L |
| | | | Total for 12/16/2015 | | 2,478 93 | 2,478 93 | -231 11 | -231 11 | |
| Note | | | | | | | | | |
| 806857108 | SCHLUMBERGER LTD | | | | | | | | |
| Sold | | 12/16/15 | 8 | 572 55 | | | | | |
| Acq | | 11/24/14 | 8 | 572 55 | 788 38 | 788 38 | -215 83 | -215 83 | L |
| | | | Total for 12/16/2015 | | 788 38 | 788.38 | -215 83 | -215 83 | |
| Note | | | | | | | | | |
| 867224107 | SUNCOR ENERGY INC NEW F | | | | | | | | |
| Sold | | 12/16/15 | 21 | 534 23 | | | | | |
| Acq | | 08/05/15 | 21 | 534 23 | 597 87 | 597 87 | -63 64 | -63 64 | S |
| | | | Total for 12/16/2015 | | 597 87 | 597 87 | -63 64 | -63 64 | |
| Note | | | | | | | | | |
| 872540109 | TJX COS INC NEW | | | | | | | | |
| Sold | | 03/24/15 | 13 | 911 21 | | | | | |
| Acq | | 10/28/14 | 13 | 911.21 | 810 07 | 810 07 | 101 14 | 101.14 | S |
| | | | Total for 3/24/2015 | | 810 07 | 810 07 | 101 14 | 101 14 | |
| Note | | | | | | | | | |
| 872540109 | TJX COS INC NEW | | | | | | | | |
| Sold | | 12/16/15 | 8 | 569 83 | | | | | |
| Acq | | 10/28/15 | 8 | 569 83 | 576 48 | 576 48 | -6 65 | -6 65 | S |
| | | | Total for 12/16/2015 | | 576 48 | 576 48 | -6 65 | -6 65 | |
| Note | | | | | | | | | |

Wells Fargo
Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)
YORK, ALBERT E & MYRTLE GUNN TRUST
Trust Year Ending 12/31/2015

Account Id: 20-3142-00

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 87612E106 | TARGET CORP | | | | | | | | |
| Sold | | 12/16/15 | 8 | 584 07 | | | | | |
| Acq | | 06/26/15 | 8 | 584 07 | 672 88 | 672 88 | -88 81 | -88 81 | S |
| | | | Total for 12/16/2015 | | 672 88 | 672 88 | -88 81 | -88 81 | |
| Note | | | | | | | | | |
| 89151E109 | TOTAL FINA ELF S A ADR | | | | | | | | |
| Sold | | 12/16/15 | 8 | 365 99 | | | | | |
| Acq | | 10/28/14 | 8 | 365 99 | 464 57 | 464 57 | -98 58 | -98 58 | L |
| | | | Total for 12/16/2015 | | 464 57 | 464 57 | -98 58 | -98 58 | |
| Note | | | | | | | | | |
| 902973304 | US BANCORP DEL NEW | | | | | | | | |
| Sold | | 05/27/15 | 139 | 6,103 85 | | | | | |
| Acq | | 11/04/11 | 6 | 263 48 | 151 68 | 151 68 | 111 80 | 111 80 | L |
| Acq | | 10/28/14 | 34 | 1,493 03 | 1,405 35 | 1,405 35 | 87 68 | 87 68 | S |
| Acq | | 07/11/11 | 27 | 1,185 64 | 675 54 | 675 54 | 510.10 | 510 10 | L |
| Acq | | 02/03/11 | 72 | 3,161 71 | 1,964 85 | 1,964 85 | 1,196 86 | 1,196.86 | L |
| | | | Total for 5/27/2015 | | 4,197.42 | 4,197 42 | 1,906 43 | 1,906 43 | |
| Note | | | | | | | | | |
| 907818108 | UNION PACIFIC CORP | | | | | | | | |
| Sold | | 03/24/15 | 8 | 901 14 | | | | | |
| Acq | | 10/28/14 | 8 | 901 14 | 927 95 | 927 95 | -26 81 | -26 81 | S |
| | | | Total for 3/24/2015 | | 927 95 | 927 95 | -26 81 | -26 81 | |
| Note | | | | | | | | | |
| 907818108 | UNION PACIFIC CORP | | | | | | | | |
| Sold | | 12/16/15 | 10 | 770 79 | | | | | |
| Acq | | 06/26/15 | 6 | 462 47 | 583 44 | 583 44 | -120 97 | -120 97 | S |
| Acq | | 10/28/14 | 4 | 308 32 | 463 98 | 463 98 | -155 66 | -155 66 | L |
| | | | Total for 12/16/2015 | | 1,047 42 | 1,047 42 | -276.63 | -276 63 | |
| Note | | | | | | | | | |
| 91324P102 | UNITEDHEALTH GROUP INC | | | | | | | | |
| Sold | | 02/27/15 | 27 | 3,070 47 | | | | | |
| Acq | | 02/08/13 | 7 | 796 05 | 400 96 | 400 96 | 395 09 | 395 09 | L |

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

Account Id: 20-3142-00

YORK, ALBERT E & MYRTLE GUNN TRUST
Trust Year Ending 12/31/2015

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 91324P102 | UNITEDHEALTH GROUP INC | | | | | | | | |
| Sold | | 02/27/15 | 27 | 3,070 47 | | | | | |
| Acq | | 03/13/08 | 20 | 2,274 42 | 767 78 | 767 78 | 1,506 64 | 1,506 64 | L |
| | | | Total for 2/27/2015 | | 1,168 74 | 1,168 74 | 1,901 73 | 1,901 73 | |
| Note | | | | | | | | | |
| 91324P102 | UNITEDHEALTH GROUP INC | | | | | | | | |
| Sold | | 12/16/15 | 8 | 950 06 | | | | | |
| Acq | | 06/26/15 | 8 | 950 06 | 988 40 | 988 40 | -38 34 | -38 34 | S |
| | | | Total for 12/16/2015 | | 988 40 | 988 40 | -38 34 | -38 34 | |
| Note | | | | | | | | | |
| 921937819 | VANGUARD INTERMEDIATE TERM B | | | | | | | | |
| Sold | | 01/21/15 | 175 | 15,095 70 | | | | | |
| Acq | | 02/03/11 | 175 | 15,095 70 | 14,361 95 | 14,361 95 | 733.75 | 733 75 | L |
| | | | Total for 1/21/2015 | | 14,361 95 | 14,361 95 | 733.75 | 733 75 | |
| Note | | | | | | | | | |
| 921937819 | VANGUARD INTERMEDIATE TERM B | | | | | | | | |
| Sold | | 03/24/15 | 23 | 1,984 63 | | | | | |
| Acq | | 02/03/11 | 23 | 1,984 63 | 1,887 57 | 1,887 57 | 97 06 | 97 06 | L |
| | | | Total for 3/24/2015 | | 1,887 57 | 1,887 57 | 97 06 | 97 06 | |
| Note | | | | | | | | | |
| 921937827 | VANGUARD BD INDEX FD INC | | | | | | | | |
| Sold | | 03/24/15 | 52 | 4,179 73 | | | | | |
| Acq | | 01/21/15 | 52 | 4,179 73 | 4,186 54 | 4,186 54 | -6 81 | -6 81 | S |
| | | | Total for 3/24/2015 | | 4,186 54 | 4,186 54 | -6 81 | -6 81 | |
| Note | | | | | | | | | |
| 921943858 | VANGUARD EUROPE PACIFIC ETF | | | | | | | | |
| Sold | | 03/24/15 | 120 | 4,921 03 | | | | | |
| Acq | | 06/19/14 | 120 | 4,921 03 | 5,212 30 | 5,212 30 | -291.27 | -291 27 | S |
| | | | Total for 3/24/2015 | | 5,212 30 | 5,212 30 | -291 27 | -291 27 | |
| Note | | | | | | | | | |

(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

Account Id: 20-3142-00

YORK, ALBERT E & MYRTLE GUNN TRUST
Trust Year Ending 12/31/2015

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 921943858 | VANGUARD EUROPE PACIFIC ETF | | | | | | | | |
| Sold | | 12/16/15 | 250 | 9,217 33 | | | | | |
| Acq | | 06/19/14 | 250 | 9,217 33 | 10,858 95 | 10,858.95 | -1,641 62 | -1,641 62 | L |
| | | | Total for 12/16/2015 | | 10,858 95 | 10,858 95 | -1,641 62 | -1,641 62 | |
| Note | | | | | | | | | |
| 922042858 | VANGUARD EMERGING MARKETS ETF | | | | | | | | |
| Sold | | 03/24/15 | 41 | 1,678 92 | | | | | |
| Acq | | 10/27/10 | 41 | 1,678 92 | 1,903 62 | 1,903 62 | -224 70 | -224 70 | L |
| | | | Total for 3/24/2015 | | 1,903 62 | 1,903 62 | -224 70 | -224 70 | |
| Note | | | | | | | | | |
| 922042858 | VANGUARD EMERGING MARKETS ETF | | | | | | | | |
| Sold | | 12/16/15 | 1754 | 57,398 42 | | | | | |
| Acq | | 02/08/13 | 103 | 3,370 60 | 4,559 68 | 4,559 68 | -1,189 08 | -1,189 08 | L |
| Acq | | 08/09/12 | 54 | 1,767 11 | 2,244 86 | 2,244 86 | -477 75 | -477 75 | L |
| Acq | | 10/27/10 | 1307 | 42,770 66 | 60,683 76 | 60,683 76 | -17,913 10 | -17,913 10 | L |
| Acq | | 11/04/11 | 290 | 9,490 05 | 12,180 06 | 12,180 06 | -2,690 01 | -2,690 01 | L |
| | | | Total for 12/16/2015 | | 79,668 36 | 79,668 36 | -22,269 94 | -22,269 94 | |
| Note | | | | | | | | | |
| 922908553 | VANGUARD REIT VIPER | | | | | | | | |
| Sold | | 01/21/15 | 142 | 12,280 56 | | | | | |
| Acq | | 01/24/05 | 87 | 7,524 01 | 4,170.10 | 4,254 67 | 3,353 91 | 3,269 34 | L |
| Acq | | 11/04/11 | 55 | 4,756 55 | 2,985 40 | 3,038.86 | 1,771 15 | 1,717 69 | L |
| | | | Total for 1/21/2015 | | 7,155 50 | 7,293.53 | 5,125 06 | 4,987 03 | |
| Note | | | | | | | | | |
| 922908553 | VANGUARD REIT VIPER | | | | | | | | |
| Sold | | 03/24/15 | 16 | 1,379 52 | | | | | |
| Acq | | 01/24/05 | 16 | 1,379 52 | 766 61 | 782 16 | 612 91 | 597 36 | L |
| | | | Total for 3/24/2015 | | 766 61 | 782 16 | 612 91 | 597 36 | |
| Note | | | | | | | | | |

Wells Fargo
Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)
YORK, ALBERT E & MYRTLE GUNN TRUST
Trust Year Ending 12/31/2015

Account Id: 20-3142-00

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 922908553 | VANGUARD REIT VIPER | | | | | | | | |
| **Sold** | | 12/16/15 | 23 | 1,821 10 | | | | | |
| **Acq** | | 10/28/15 | 23 | 1,821 10 | 1,860 48 | 1,860 48 | -39 38 | -39 38 | S |
| | | | **Total for 12/16/2015** | | 1,860 48 | 1,860 48 | -39 38 | -39 38 | |
| **Note** | | | | | | | | | |
| G29183103 | EATON CORP PLC | | | | | | | | |
| **Sold** | | 12/16/15 | 7 | 358 95 | | | | | |
| **Acq** | | 06/26/15 | 7 | 358 95 | 470 19 | 477 89 | -111 24 | -118 94 | S |
| | | | **Total for 12/16/2015** | | 470 19 | 477 89 | -111 24 | -118 94 | |
| **Note** | | | | | | | | | |
| | | | **Total for Account: 20-3142-00** | | 614,423 39 | 614,594.44 | -37,380 66 | -37,551 71 | |

**Total Proceeds:**

577,042.73

| | Fed | State |
|---|---|---|
| **S/T Gain/Loss** | -5,875 16 | -5,892 63 |
| **L/T Gain/Loss** | -31,505 50 | -31,659 08 |