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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation THE EDWARD JOHN & PATRICIA ROSENWALD FOUNDATION % ANCHIN BLOCK & ANCHIN LLP		A Employer identification number 74-3107995	
Number and street (or P O box number if mail is not delivered to street address) C/O ANCHIN BLOCK ANCHIN LLP		B Telephone number (see instructions) (212) 840-3456	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10018		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 268,851		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	1,902,317			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	24	24		
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	13,310			
	b Gross sales price for all assets on line 6a 1,566,768				
	7 Capital gain net income (from Part IV, line 2) . . .		730,855		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total.Add lines 1 through 11	1,915,651	730,879		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	26,000	6,500	0	19,500
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	5,298			
	19 Depreciation (attach schedule) and depletion . .				
	20 Occupancy				
	21 Travel, conferences, and meetings.				
	22 Printing and publications				
	23 Other expenses (attach schedule).	1,297			1,297
	24 Total operating and administrative expenses. Add lines 13 through 23	32,595	6,500	0	20,797
	25 Contributions, gifts, grants paid	1,807,200			1,807,200
	26 Total expenses and disbursements.Add lines 24 and 25	1,839,795	6,500	0	1,827,997
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	75,856			
	b Net investment income (if negative, enter -0-)		724,379		
	c Adjusted net income(if negative, enter -0-) . . .				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	95,995	268,851	268,851
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans.			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	95,995	268,851	268,851	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable	0	97,000	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22)	0	97,000	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	95,995	171,851	
	30	Total net assets or fund balances(see instructions)	95,995	171,851	
	31	Total liabilities and net assets/fund balances(see instructions)	95,995	268,851	

Part III Analysis of Changes in Net Assets or Fund Balances				
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	95,995	
2	Enter amount from Part I, line 27a	2	75,856	
3	Other increases not included in line 2 (itemize) ▶ _____	3		
4	Add lines 1, 2, and 3	4	171,851	
5	Decreases not included in line 2 (itemize) ▶ _____	5		
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	171,851	

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1 a	LONG TERM COVERED - SEE ATTACHED		2014-01-01	2015-12-31
b	LONG TERM NONCOVERED - SEE ATTACHED		2014-01-01	2015-12-31
c	LONG TERM NONCOVERED - SEE ATTACHED		2014-01-01	2015-12-31
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a 632,884		402,381	230,503
b 879,485		414,935	464,550
c 54,399		18,597	35,802
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a			230,503
b			464,550
c			35,802
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	730,855
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	1,977,154	261,856	7 550539
2013	2,286,999	329,877	6 932884
2012	1,874,939	544,141	3 445686
2011	3,467,317	1,136,237	3 051579
2010	4,338,229	4,702,038	0 922627

2	Total of line 1, column (d).	2	21 903315
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	4 380663
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	242,321
5	Multiply line 4 by line 3.	5	1,061,527
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	7,244
7	Add lines 5 and 6.	7	1,068,771
8	Enter qualifying distributions from Part XII, line 4.	8	1,827,997

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	7,244	
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3 Add lines 1 and 2.	3	7,244	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	7,244	
6 Credits/Payments			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	5,000	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868).	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d.	7	5,000	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,244	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2015 estimated tax ☐ Refunded ☐	11		

Part VII-A

Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☐	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i> ☐	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> ☐	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV.</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> ☐	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address	13	Yes	
14	The books are in care of ANCHIN BLOCK & ANCHIN LLP Located at 1375 BROADWAY NEW YORK NY Telephone no (212) 840-3456 ZIP+4 10018			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	☐ Yes ☒ No	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	☐ Yes ☒ No	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years 20 , 20 , 20 , 20	☐ Yes ☒ No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).		2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.).		3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4b	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
EDWARD JOHN ROSENWALD JR	PRESIDENT	0	0	0
944 FIFTH AVENUE	5 0			
NEW YORK, NY 10021				
PATRICIA ROSENWALD	VICE PRESIDENT	0	0	0
944 FIFTH AVENUE	5 0			
NEW YORK, NY 10021				
LAWRENCE B BUTTENWIESER	DIRECTOR	0	0	0
C/O KATTEN MUCHIN ZAVIS	5 0			
ROSENMAN				
NEW YORK, NY 10022				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances

Total

number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3. ▶	

Part X

Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	0
b	Average of monthly cash balances.	1b	246,011
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	246,011
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	246,011
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	3,690
5	Net value of noncharitable-use assets.Subtract line 4 from line 3 Enter here and on Part V, line 4	5	242,321
6	Minimum investment return.Enter 5% of line 5.	6	12,116

Part XI

Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	12,116
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	7,244
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	7,244
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	4,872
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	4,872
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amountas adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	4,872

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,827,997
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions.Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,827,997
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	7,244
6	Adjusted qualifying distributions.Subtract line 5 from line 4.	6	1,820,753

Note:The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				4,872
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			0	
b Total for prior years 2013 , 2012 , 2011		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010.	2,480,884			
b From 2011.	3,467,321			
c From 2012.	1,861,304			
d From 2013.	2,278,257			
e From 2014.	1,986,853			
f Total of lines 3a through e.	12,074,619			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 1,827,997				
a Applied to 2014, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	1,823,125			
d Applied to 2015 distributable amount.				4,872
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	13,897,744			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	1,902,317			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .	578,567			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	11,416,860			
10 Analysis of line 9				
a Excess from 2011.	3,467,321			
b Excess from 2012.	1,861,304			
c Excess from 2013.	2,278,257			
d Excess from 2014.	1,986,853			
e Excess from 2015.	1,823,125			

Part XIV

b Check box to indicate whether the organization is a private operating foundation described in section 170(c)(2)(B)(i) or 170(c)(2)(B)(ii): ☐ 170(c)(2)(B)(i) or ☐ 170(c)(2)(B)(ii)

b 85% of line 2a

d Amounts included in line 2c not used directly for active conduct of exempt activities

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying
under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	1,807,200
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments.						
3 Interest on savings and temporary cash investments			14	24		
4 Dividends and interest from securities.						
5 Net rental income or (loss) from real estate						
a Debt-financed property.						
b Not debt-financed property.						
6 Net rental income or (loss) from personal property						
7 Other investment income.						
8 Gain or (loss) from sales of assets other than inventory			18	13,310		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e).				13,334		
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations)			13		13,334	

[illegible]

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

	Yes	No
1a(1)		No
1a(2)		No
1b(1)		No
1b(2)		No
1b(3)		No
1b(4)		No
1b(5)		No
1b(6)		No
1c		No

[illegible]

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

* * * * *

Title

May the IRS discuss this return with the preparer shown below
(see instr)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name JAMES FERRARA	Preparer's Signature	Date 2016-05-16	Check if self-employed ☒	PTIN P00288604
	Firm's name ☒ ANCHIN BLOCK & ANCHIN LLP			Firm's EIN ☒	
	Firm's address ☒ 1375 BROADWAY NEW YORK, NY 100187001			Phone no. ☒ (212) 840-3456	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Ackerman Institute for the Family 936 BROADWAY 2ND FLOOR NEW YORK, NY 10010	NONE	PUBLIC CHARITY	GENERAL SUPPORT	2,000
Adirondack Council 103 HAND AVE - SUITE 3 ELIZABETHTOWN, NY 12932	NONE	PUBLIC CHARITY	GENERAL SUPPORT	50,000
Alliance for Cancer Gene Therapy 96 CUMMINGS POINT ROAD STAMFORD, CT 06902	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
Alvin Ailey Dance Foundation 405 WEST 55TH STREET NEW YORK, NY 10019	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
American Friends of the Israel Museum 500 FIFTH AVENUE NEW YORK, NY 10110	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
American Friends of the Israel Philharmonic Orches 122 EAST 42ND STREET NEW YORK, NY 10168	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
American Himalayan Foundation 909 MONTGOMERY ST SAN FRANCISCO, CA 94133	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
American Jewish Historical Society 15 WEST SIXTEENTH STREET NEW YORK, NY 10011	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000
American Jewish World Service 45 WEST 36TH STREET NEW YORK, NY 10018	NONE	PUBLIC CHARITY	GENERAL SUPPORT	20,000
American Museum of Natural History CENTRAL PARK WEST 79TH STREET NEW YORK, NY 10024	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000
Autism Speaks 1 EAST 33RD STREET NEW YORK, NY 10016	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
Bachman Strauss Dystoria & Parkinson Foundation FRED FRENCH BUILDING 20TH FLOOR NEW YORK, NY 10176	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
Bates College 2 ANDREWS ROAD LEWISTON, ME 04240	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
Bruce & Marsha Moskowitz Charitable Foundation 223 SUNSET AVE STE 200 PALM BEACH, FL 33480	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
CAF America 1800 DIAGONAL ROAD SUITE 150 ALEXANDRIA, VA 22314	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
Total			3a	1,807,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Camp AmerIKids 88 HAMILTON AVENUE STAMFORD,CT 06902	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,500
Cancer Research Institute 55 BROADWAY ste 1802 NEW YORK,NY 10006	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
Catholic Charities of the Archdiocese of New York 1011 FIRST AVENUE NEW YORK,NY 10022	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
CEI 28 W 44TH STREET NEW YORK,NY 10036	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
Center for New American Security 1152 15TH STREET SUITE 950 WASHINGTON,DC 20005	NONE	PUBLIC CHARITY	GENERAL SUPPORT	100,000
Charles Wright Academy 7723 CHAMBERS CREEK ROAD WEST SUITE 35B TACOMA,WA 98467	NONE	PUBLIC CHARITY	GENERAL SUPPORT	15,000
Chess in the Schools 520 8TH AVENUE NEW YORK,NY 10018	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
City Year New York 20 W 22ND STREET 3RD FLOOR SUITE 16B NEW YORK,NY 10010	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
Citymeals-on-wheels 355 LEXINGTON AVENUE NEW YORK,NY 10017	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
Classroom Inc 245 5TH AVENUE NEW YORK,NY 10037	NONE	PUBLIC CHARITY	GENERAL SUPPORT	50,000
Columbia University 525 WEST 120TH STREET NEW YORK,NY 10027	NONE	PUBLIC CHARITY	GENERAL SUPPORT	60,000
Committee to Reduce Infection Deaths 5 PARTRIDGE HOLLOW ROAD GREENWICH,CT 06831	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
Congregation Emanu-El 1 E 65TH STREET NEW YORK,NY 10065	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
Cooper Union COOPER SQUARE NEW YORK,NY 10003	NONE	PUBLIC CHARITY	GENERAL SUPPORT	20,000
Council on Foreign Relations 58 EAST 68TH STREET NEW YORK,NY 10065	NONE	PUBLIC CHARITY	GENERAL SUPPORT	50,000
Total 3a				1,807,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Dartmouth College 6010 parkhurst hall ste 204 HANOVER,NH 03755	NONE	PUBLIC CHARITY	GENERAL SUPPORT	31,000
Deerfield Academy PO BOX 306 DEERFIELD,MA 01342	NONE	PUBLIC CHARITY	GENERAL SUPPORT	50,000
Food Allergy Research & Education 515 MADISON AVENUE SUITE 1912 NEW YORK,NY 10022	NONE	PUBLIC CHARITY	GENERAL SUPPORT	2,500
Fund for Park Avenue 445 PARK AVENUE NEW YORK,NY 10022	NONE	PUBLIC CHARITY	GENERAL SUPPORT	2,000
Fund for the Aged Inc 120 W 106TH STREET NEW YORK,NY 10025	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,500
HEART 911 614 FRELINGHUYSEN AVENUE NEWARK,NJ 07114	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000
Hope for Depression Research Foundation 40 WEST 57TH STREET NEW YORK,NY 10019	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
Hyde Leadership Charter School 730 BRYANT AVE BRONX,NY 10474	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
JBFCS 135 WEST 50TH STREET 6TH FLOOR NEW YORK,NY 10020	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
Jewish Child Care Association 120 WALL STREET NEW YORK,NY 10005	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
Jewish Federation of Palm Beach 4601 COMMUNITY DRIVE WEST PALM BEACH,FL 33417	NONE	PUBLIC CHARITY	GENERAL SUPPORT	3,000
Jewish Home Life Care Agency 120 W 106TH STREET NEW YORK,NY 10025	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000
Jewish Theological Seminary 3080 BROADWAY NEW YORK,NY 10027	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
Kaufman Music Center 129 W 67TH STREET NEW YORK,NY 10023	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
Kings Academy 250 WEST 19TH STREET NEW YORK,NY 10011	NONE	PUBLIC CHARITY	GENERAL SUPPORT	50,000
Total				1,807,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Lets Get Ready 50 BROADWAY 25TH FL NEW YORK,NY 10004	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
Lighthouse Guild 15 WEST 65TH STREET NEW YORK,NY 10023	NONE	PUBLIC CHARITY	GENERAL SUPPORT	2,500
Lincoln Center for the Performing Arts 70 LINCOLN CENTER PLAZA NEW YORK,NY 10023	NONE	PUBLIC CHARITY	GENERAL SUPPORT	15,000
Lung Cancer Research Foundation 155 E 55th Street NEW YORK,NY 10022	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
Marine Corps Law Enforcement Foundation 273 COLUMBUS AVENUE TUCKAHOE,NY 10707	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
Metropolitan Museum of Art 1000 FIFTH AVENUE NEW YORK,NY 10028	NONE	PUBLIC CHARITY	GENERAL SUPPORT	45,000
Michael J Fox Foundation for Parkinsons Research GRAND CENTRAL STATION PO BOX 4777 NEW YORK,NY 10163	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
National Center on Addiction & Substance Abuse at 633 THIRD AVE NEW YORK,NY 10017	NONE	PUBLIC CHARITY	GENERAL SUPPORT	100,000
National Organization on Disability 888 16TH STREET NW SUITE 811 WASHINGTON,DC 20006	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
National September 11th Memorial and Museum 1 AUDREY ZAPP DRIVE JERSEY CITY,NJ 07305	NONE	PUBLIC CHARITY	GENERAL SUPPORT	50,000
New Visions for Public Schools 320 WEST 13TH STREET NEW YORK,NY 10014	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
New York City Police Foundation Inc 555 FIFTH AVENUE NEW YORK,NY 10017	NONE	PUBLIC CHARITY	GENERAL SUPPORT	100,000
New York Historical Society 170 CENTRAL PARK WEST NEW YORK,NY 10024	NONE	PUBLIC CHARITY	GENERAL SUPPORT	30,000
New York Landmarks Conservacty 1 WHITEHALL STREET NEW YORK,NY 10004	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000
New York Philharmonic 10 LINCOLN CENTER PLAZA NEW YORK,NY 10023	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
Total  3a				1,807,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
New York Police & Fire Widows 156 W 56TH STREET SUITE 901 NEW YORK,NY 10019	NONE	PUBLIC CHARITY	GENERAL SUPPORT	500
NYU Langone Medical Center 550 FIRST AVENUE NEW YORK,NY 10016	NONE	PUBLIC CHARITY	GENERAL SUPPORT	133,700
Overlook Foundation - Andy Bovin Memorial Fund 36 UPPER OVERLOOK ROAD SUMMIT,NJ 07902	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
Palm Beach United Way 44 COCONUT ROW - M201 PALM BEACH,FL 33480	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
Partnership for Public Service 1100 NEW YORK AVE NW WASHINGTON,DC 20005	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
Phoenix House 164 WEST 74TH STREET NEW YORK,NY 10023	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
Pine Ridge Girls School 16531 AKRON STREET PACIFIC PALISADES,CA 90272	NONE	PUBLIC CHARITY	GENERAL SUPPORT	15,000
Playwrights Horizons 416 W 42ND STREET NEW YORK,NY 10036	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
Prep for Prep 328 W 71ST NEW YORK,NY 10023	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
Publicolor 20 WEST 36TH STREET 9TH FLOOR NEW YORK,NY 10018	NONE	PUBLIC CHARITY	GENERAL SUPPORT	50,000
Research to Prevent Blindness 645 MADISON AVENUE NEW YORK,NY 10022	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
Robin Hood 826 BROADWAY NEW YORK,NY 10003	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
Rockefeller University Annual Fund 1230 YORK AVENUE NEW YORK,NY 10065	NONE	PUBLIC CHARITY	GENERAL SUPPORT	50,000
Runway of Dreams 2 DEVONSHIRE ROAD LIVINGSTON,NJ 07039	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
Saavy Ladies 39 BROADWAY SUITE 1730 NEW YORK,NY 10006	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
Total 3a				1,807,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
September 11th Families Association 22 CORTLAND STREET NEW YORK, NY 10007	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000
St Vincent de Paul Society 186 GORDONS CORNER ROAD MANALAPAN, NJ 07726	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
The Asia Foundation 465 CALIFORNIA STREET SAN FRANCISCO, CA 94104	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
The Helix Center 247 EAST 82ND STREET NEW YORK, NY 10028	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
The Pearl Theatre Company 555 WEST 42 STREET NEW YORK, NY 10036	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
The Skin Cancer Foundation 149 MADISON AVENUE NEW YORK, NY 10016	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
The Tibet Fund 241 E 32ND STREET NEW YORK, NY 10016	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
The Vassar Fund 124 RAYMOND AVENUE POUGHKEEPSIE, NY 12604	NONE	PUBLIC CHARITY	GENERAL SUPPORT	50,000
Thomas Labrecque Foundation 1414 PRINCE ST STE 400 ALEXANDRIA, VA 22314	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,500
Trinity College 300 SUMMIT STREET HARTFORD, CT 06106	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
UJA Federation 130 EAST 59TH STREET NEW YORK, NY 10022	NONE	PUBLIC CHARITY	GENERAL SUPPORT	200,000
Urban Assembly 90 BROAD STREET NEW YORK, NY 10004	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
Washington Animal Rescue League 71 OGLETHORP STREET WASHINGTON, DC 20001	NONE	PUBLIC CHARITY	GENERAL SUPPORT	2,500
Women's Law Project 125 SOUTH 9TH STREET PHILADELPHIA, PA 19107	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
Xavier University 1 DREXEL DRIVE NEW ORLEANS, LA 70125	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
Total			3a	1,807,200

TY 2015 Accounting Fees Schedule

Name: THE EDWARD JOHN &
PATRICIA ROSENWALD FOUNDATION
EIN: 74-3107995

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	26,000	6,500		19,500

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Depreciation Schedule

Name: THE EDWARD JOHN &
PATRICIA ROSENWALD FOUNDATION
EIN: 74-3107995

TY 2015 Other Expenses Schedule

Name: THE EDWARD JOHN &
PATRICIA ROSENWALD FOUNDATION

EIN: 74-3107995

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS FILING FEE	50			50
MISCELLANEOUS EXPENSES	1,247			1,247

**TY 2015 Substantial Contributors
Schedule**

Name: THE EDWARD JOHN &
PATRICIA ROSENWALD FOUNDATION

EIN: 74-3107995

Name	Address
E JOHN ROSENWALD JR	944 5TH AVENUE NEW YORK, NY 10021
E JOHN ROSENWALD JR	944 FIFTH AVENUE NEW YORK, NY 10021
E JOHN ROSENWALD JR	944 FIFTH AVENUE new york, NY 10021
E JOHN ROSENWALD JR	944 FIFTH AVENUE new york, NY 10021
E JOHN ROSENWALD JR	944 FIFTH AVENUE new york, NY 10021
E JOHN ROSENWALD JR	944 5TH AVENUE NEW YORK, NY 10021

TY 2015 Taxes Schedule

Name: THE EDWARD JOHN &
PATRICIA ROSENWALD FOUNDATION
EIN: 74-3107995

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX ON NET				
INVESTMENT INCOME	5,298			

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.	OMB No 1545-0047 2015

Name of the organization THE EDWARD JOHN & PATRICIA ROSENWALD FOUNDATION	Employer identification number 74-3107995
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

- ☐
- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- ☐
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- ☐
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization THE EDWARD JOHN & PATRICIA ROSENWALD FOUNDATION	Employer identification number 74-3107995
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	E JOHN ROSENWALD JR	\$ 1,002,495	Person ☒
	944 5TH AVENUE		Payroll ☐
	NEW YORK, NY 10021		Noncash ☒ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	E JOHN ROSENWALD JR	\$ 130,920	Person ☒
	944 FIFTH AVENUE		Payroll ☐
	NEW YORK, NY 10021		Noncash ☒ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
3	E JOHN ROSENWALD JR	\$ 157,536	Person ☒
	944 FIFTH AVENUE		Payroll ☐
	new york, NY 10021		Noncash ☒ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
4	E JOHN ROSENWALD JR	\$ 54,395	Person ☒
	944 FIFTH AVENUE		Payroll ☐
	new york, NY 10021		Noncash ☒ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
5	E JOHN ROSENWALD JR	\$ 106,971	Person ☒
	944 FIFTH AVENUE		Payroll ☐
	new york, NY 10021		Noncash ☒ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
6	E JOHN ROSENWALD JR	\$ 450,000	Person ☒
	944 5TH AVENUE		Payroll ☐
	NEW YORK, NY 10021		Noncash ☐ (Complete Part II for noncash contributions)

Name of organization THE EDWARD JOHN & PATRICIA ROSENWALD FOUNDATION	Employer identification number 74-3107995
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Part II

Noncash Property

(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	1,175 SHS ISHARES CORE S&P MID CAP ETF 23,829 SHS JPM LARGE CAP GROWTH FUND	\$ 1,002,495	2015-02-04
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	570 SHS AVAGO TECHNOLOGIES LTD 426 SHS UNITED HEALTH GROUP INC	\$ 130,920	2015-12-14
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
3	1,325 SHS ALTRIA GROUP INC 160 SHS BOEING CO 375 SHS HENRY SCHEIN INC	\$ 157,536	2015-12-15
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
4	980 SHS MICROSOFT CORP	\$ 54,395	2015-12-16
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
5	595 SHS BOEING CO 245 SHS ADOBE SYSTEMS INC	\$ 106,971	2015-12-18
(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization THE EDWARD JOHN & PATRICIA ROSENWALD FOUNDATION	Employer identification number 74-3107995
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Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	--		
(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	--		
(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	--		
(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
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Capital Gain and Loss Schedule

E JOHN AND PATRICIA ROSENWALD FND
Account Number Q 22618-00-5

Long-Term Covered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income D indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital Transactions

Description	CUSIP	Code*	Date Acquired Date Sold	Units	Proceeds	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
ADOBE SYSTEMS INC	00724F101		08/03/2012 12/17/2015	245 000	23,272 12	7,826 94		15,445 18
AVAGO TECHNOLOGIES LTD	Y0486S104		Various 12/15/2015	570 000	81,808 89	18,855 84		62,953 05
ISHARES CORE S&P MID-CAP ETF	464287507		01/18/2013 02/11/2015	1,175 000	173,510 05	125,697 74		47,812 31
JPM LARGE CAP GRWTH FD - SEL	4812C0530		01/18/2013 02/05/2015	10,076 582	354,292 62	250,000 00		104,292 62
Total Long-Term Covered					632,883.68	402,380.52		230,503.16



Capital Gain and Loss Schedule

E JOHN AND PATRICIA ROSENWALD FND
Account Number Q 22618-00-5

Long-Term Noncovered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income D indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital Transactions

Description	CUSIP	Code*	Date Acquired Date Sold	Units	Proceeds	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
ACTIVISION BLIZZARD INC	00507V109		01/20/2010 12/15/2015	2,600 000	100,929 85	27,751 88		73,177 97
ALTRIA GROUP INC	02209S103		12/03/2008 12/15/2015	1,325 000	76,168 38	20,256 21		55,912 17
BOEING CO/THE	097023105		08/31/2009 12/15/2015	160 000	23,485 96	7,957 25		15,528 71
BOEING CO/THE	097023105		Various 12/17/2015	595 000	87,285 89	28,835 07		58,450 82
HENRY SCHEIN INC	806407102		12/01/2009 12/15/2015	375 000	57,849 93	18,631 31		39,218 62
JPM LARGE CAP GRWTH FD - SEL	4812C0530		06/06/2011 02/05/2015	13,752 666	483,543 74	295,407 27		188,136 47
UNITEDHEALTH GROUP INC	91324P102		12/02/2010 12/15/2015	426 000	50,220 95	16,095 67		34,125 28

Total Long-Term Noncovered					879,484.70	414,934.66		464,550.04
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Capital Gain and Loss Schedule

E JOHN AND PATRICIA ROSENWALD FND
Account Number Q 22618-00-5

.. Ordinary Income D indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital Transactions

Transactions with Unknown/Missing Tax Cost Basis

Description	<u>Date Acquired</u>	<u>Date Sold</u>	Units	Proceeds	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss	Ordinary Income*
MICROSOFT CORP	594918104	12/16/2015 12/15/2015	980 000	54,398.79	18,596.97		35,801.82	
Total Unknown / Missing Tax Cost				54,398.79	18,596.97		35,801.82	

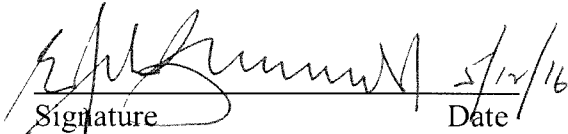
The Edward John and Patricia Rosenwald Foundation
EIN: 74-3107995
Tax Period Ending 12/31/2015

Election to Satisfy Distribution Requirements Under
IRC Sections 170(b)(1)(F)(ii)

Pursuant to Reg. 53.4942(a)-3(c)(2)(iv), the above referenced foundation hereby elects to treat, as a current distribution out of corpus, the following unused prior tax years' distributions that were treated as corpus distribution under Reg. 53(a)-3(d)(1)(iii) in such prior tax years:

<u>Tax year</u>	<u>Amount</u>
12/31/2010	<u>\$ 1,902,317</u>

Total Amount	<u><u>\$ 1,902,317</u></u>
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Signature Date 5/12/16

E. John Rosenwald, Jr.
Name

President
Title