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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

2015

Department of the Treasury
Internal Revenue Service

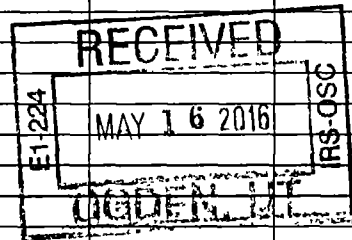
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Open to Public Inspection

For calendar year 2015 or tax year beginning January 1, 2015, **and ending** December 31, 2015

Name of foundation Cathay Bank Foundation		A Employer identification number 74-3052411
Number and street (or P O box number if mail is not delivered to street address) 777 North Broadway	Room/suite	B Telephone number (see instructions) 626-279-3296
City or town, state or province, country, and ZIP or foreign postal code Los Angeles, California 90012		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization. <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 40,669	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
		<i>(Part I, column (d) must be on cash basis)</i>

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	1,631,277			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	1,631,277				
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	180			180
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	180			180
	25 Contributions, gifts, grants paid	1,601,250			1,601,250
26 Total expenses and disbursements. Add lines 24 and 25	1,601,430			1,601,430	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	29,847				
b Net investment income (if negative, enter -0-)		none			
c Adjusted net income (if negative, enter -0-)			none		



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	10,822	67,669	67,669
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)				
14	Land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	10,822	67,669	67,669	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable	0	27,000	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	27,000	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	10,822	40,669	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	10,822	40,669		
31	Total liabilities and net assets/fund balances (see instructions)	10,822	40,669		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,822
2	Enter amount from Part I, line 27a	2	29,847
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	40,669
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	40,669

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }			3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014			
2013			
2012			
2011			
2010			
2 Total of line 1, column (d)			2
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			4
5 Multiply line 4 by line 3			5
6 Enter 1% of net investment income (1% of Part I, line 27b)			6
7 Add lines 5 and 6			7
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3		
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		
6	Credits/Payments:			
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11	Enter the amount of line 10 to be: Credited to 2016 estimated tax Refunded	11		

Part VII-A Statements Regarding Activities

		Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
c	Did the foundation file Form 1120-POL for this year?		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b	If "Yes," has it filed a tax return on Form 990-T for this year?		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ <u>California</u>		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	✓	

Part VII-A Statements Regarding Activities (continued)

		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>www.cathaybank.org</u>	✓	
14	The books are in care of ▶ <u>Georgia Lo</u> Telephone no. ▶ <u>626-279-3296</u> Located at ▶ <u>9650 Flair Drive, El Monte, California</u> ZIP+4 ▶ <u>91731</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		✓
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly). (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	
Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
** see attachment **				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
none				

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
none		
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 Annual Golf Tournament: to raise funds to support charitable worthy organizations. Beneficiaries for this year are: (1) Asian American Senior Citizens Service Center, (2) Friends of the Chinatown Library, (3) International Institute of Los Angeles, (4) Irvine Chinese School, (5) CCHC - Herald Family Center, (6) Pacific Clinics,	
2 (7) Taiwanese American Heritage Week LA Chapter, (8) Taiwanese American Heritage Week OC Chapter, (9) No Limits for Deaf Children, and (10) Cathay Bank Foundation. Expenses incurred for this event was paid directly by Cathay Bank which was \$62,040 for this year	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 none	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	none
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	
6	Minimum investment return. Enter 5% of line 5	6	

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2015 from Part VI, line 5	2a	
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	1,601,430
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,601,430
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,601,430

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII 'Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2015:				
a Enter amount for 2014 only				
b Total for prior years: 20____,20____,20____				
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e				
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ _____				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2015 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

none

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

none

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Jennifer Chen at 9650 Flair Drive (Mail Stop EL-3-H), El Monte, CA 91731; telephone 626-279-3804 or email jenniferS.chen@cathaybank.com

b The form in which applications should be submitted and information and materials they should include:

** see attachment **

c Any submission deadlines:

August 31st

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

** see attachment **

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

Attach to Form 990, Form 990-EZ, or Form 990-PF.

Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Name of the organization

Cathay Bank Foundation

Employer identification number

74-3052411

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the General Rule or a Special Rule.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization Cathay Bank Foundation	Employer identification number 74-3052411
---	---

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Cathay Bank 777 North Broadway Los Angeles, CA 90012	\$ 1,500,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	Great Far East 7700 Irvine Center Drive, Suite 620 Irvine, CA 92618	\$ 11,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
3	Greenwood Company 6055 E Washington Blvd, Suite 460 Commerce, CA 90040	\$ 11,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
4	Miller Barondess, LLP 1999 Avenue of the Stars, Suite 1000 Los Angeles, CA 90067	\$ 11,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	Pacific BMW 800 South Brand Blvd Glendale, CA 91204	\$ 11,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
6	Belmont Fibers, Inc 1736 Chapin Road Montebello, CA 90640	\$ 5,500	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization Cathay Bank Foundation	Employer identification number 74-3052411
---	---

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	FIS ----- 601 Riverside Ave ----- Jacksonville, FL 32204 -----	\$ ----- 5,500	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
8	Frantzel Robins Bloom & Csato, L.C. ----- 6500 Wilshire Blvd., 17th Floor ----- Los Angeles, CA 90048 -----	\$ ----- 5,500	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
9	Waitex Group ----- 135 West 36th Street ----- New York, NY 10018 -----	\$ ----- 5,500	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization Cathay Bank Foundation	Employer identification number 41-3052411
--	--

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	none ----- ----- -----	\$-----	-----
-----	----- ----- -----	\$-----	-----
-----	----- ----- -----	\$-----	-----
-----	----- ----- -----	\$-----	-----
-----	----- ----- -----	\$-----	-----
-----	----- ----- -----	\$-----	-----
-----	----- ----- -----	\$-----	-----

Name of organization Cathay Bank Foundation	Employer identification number 74-3052411
--	--

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	none ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

Cathay Bank Foundation
Statement of Financial Position as of December 31, 2015

BALANCE SHEET

	Amount	Total
<u>Assets</u>		
Cash and cash equivalent		\$ 67,669 22
Total Assets		
<u>Liabilities</u>		
<i>Accounts Payable</i>		
Greenlining	\$ 12,000 00	
Foothill Family Services	\$ 15,000 00	\$ 27,000 00
		\$ 40,669 22
<u>Net Assets</u>		
Beginning Balance as of 1/1/2015	\$ 10,822 37	
Change in Net Assets	\$ 29,846 85	\$ 40,669 22
Total Net Assets		\$ 40,669 22

STATEMENT OF ACTIVITIES

<u>Support and Revenue</u>		
<i>Corporate Support</i>		
Cathay Bank		\$ 1,500,000 00
<i>Public Support</i>		
Cathay Bank Golf Tournament		\$ 131,276 85
Total Support and Revenues		\$ 1,631,276 85

Expenditures

<i>Contributions, gifts, grants paid</i>		
Advancing Justice (Asian Pacific American Legal Center)	\$ 10,000 00	
APA Family Support Services	\$ 15,000 00	
Ascencia	\$ 1,000 00	
Asia Society Texas Center	\$ 5,000 00	
Asian American Civic Association	\$ 20,000 00	
Asian American Senior Citizens Services Center	\$ 13,000 00	
Asian Americans for Equality	\$ 25,000 00	
Asian Health Services	\$ 10,000 00	
Asian Pacific American Community Center (APACC)	\$ 20,000 00	
Asian Pacific Community Fund	\$ 20,000 00	
Asian Pacific Islander Small Business Program	\$ 30,000 00	
Asian Pacific Woman's Center	\$ 10,000 00	
Attain Housing	\$ 10,000 00	
Bernal Heights Neighborhood Center	\$ 2,500 00	
Boston Chinatown Neighborhood Center	\$ 7,000 00	
Boys & Girls Club of West San Gabriel Valley	\$ 10,000 00	
Boys Town Nevada	\$ 10,000 00	
C5 Youth Foundation of S Cal	\$ 5,000 00	
California Association for Micro Enterprise Opportunity	\$ 12,000 00	
California Council on Economic Education (CCEE)	\$ 10,000 00	
California Reinvestment Coalition	\$ 30,000 00	
CASA of LA	\$ 3,000 00	
Catholic Schools Consortium (CSC)	\$ 2,000 00	
CCHC Herald Family Center	\$ 13,000 00	
Center for Asian Americans United for Self Empowerment	\$ 10,000 00	
Center for Employment Opportunities	\$ 12,500 00	
Center for the Pacific Asian Family	\$ 20,100 00	
Centro Latino for Literacy	\$ 20,000 00	
CHETNA	\$ 5,000 00	
Chinatown Partnership, LDC	\$ 10,000 00	
Chinatown Service Center	\$ 80,000 00	
Chinese American Planning Council	\$ 25,000 00	
Chinese American Service League	\$ 30,000 00	

Chinese Community Center, Inc.	\$	10,000 00
Chinese Information Service Center	\$	20,000 00
Chinese Mutual Aid Association	\$	12,000 00
Chinese Newcomers Service Center	\$	15,000 00
Chinese Seniors Association of Houston	\$	5,000 00
City of Los Angeles Workforce Investment Board (HIRE LA)	\$	20,000 00
CHIRLA	\$	5,000 00
Coalition for Responsible Community Development	\$	30,000 00
Common Ground Community HDFC, Inc	\$	10,000 00
Community Food Bank of New Jersey	\$	5,000 00
Congregations for the Homeless	\$	8,000 00
COR Community Development Corporation	\$	15,000 00
Dallas Chinese Community Center	\$	20,000 00
Denise Louie	\$	7,500 00
Dizzy Feet Foundation	\$	4,000 00
Doe Fund Inc , The	\$	16,500 00
Family Bridges	\$	25,000 00
Family Food Relief of NJ	\$	5,000 00
Fenway Community Development Corp	\$	5,000 00
Financial Beginnings	\$	7,000 00
Flushing Council on Culture and Arts	\$	10,000 00
Foothill Family Services	\$	25,000 00
Friends of the Chinatown Library	\$	13,000 00
Garden of Hope	\$	10,000 00
Greenlining Institute, The	\$	24,000 00
Gum Moon Women's Residence	\$	2,500 00
Henry Street Settlement	\$	10,000 00
Housing Partnership Development Corporation	\$	25,000 00
International Institute of Los Angeles	\$	13,000 00
Irvine Chinese School	\$	13,000 00
Jewish Free Loan Association	\$	20,000 00
Junior Achievement of So California	\$	80,000 00
Junior Achievement of Washington	\$	7,500 00
KNOWAutism Foundation	\$	2,500 00
Korean American Family Services Center	\$	15,000 00
Korean Youth & Community Center	\$	15,000 00
Latino Business Chamber of Greater LA	\$	20,000 00
Lifeway Network, Inc	\$	10,000 00
Little Tokyo Service Center	\$	5,000 00
Los Angeles Sheriff's Department	\$	1,500 00
Low Income Investment Fund (LIIF)	\$	5,000 00
Macedonia Community Development Corporation	\$	5,000 00
MEED Center	\$	5,000 00
Mercy Housing Lakefront	\$	5,000 00
Minkwon Center Community Action	\$	10,000 00
Monterey Park Library Foundation	\$	650 00
Museum of Chinese in America	\$	10,000 00
Mutual Housing California	\$	15,000 00
National Asian American Coalition (NAAC)	\$	15,000 00
National Community Reinvestment Coalition (NCRC)	\$	10,000 00
Neighborhood Housing Services of Los Angeles (NHSLA)	\$	10,000 00
Neighborhood Trust Financial Partners	\$	10,000 00
NeighborWorks Sacramento	\$	5,000 00
Network for Teaching Entrepreneurship	\$	10,000 00
New Destiny Housing Corp	\$	5,000 00
New Jersey Citizen Action Education Fund	\$	7,500 00
New Women New Yorkers	\$	2,500 00
No Limits for Deaf Children	\$	13,000 00
Open Doors for Multicultural Families	\$	12,000 00
Operation Hope	\$	50,000 00
Pacific Clinics	\$	13,000 00
Partners in Community Building (PICB)	\$	15,000.00
Partnership for the Advancement & Immersion of Refugees (PAIR)	\$	2,500 00
People Fund (formally The Plan Fund)	\$	10,000 00

Prison Entrepreneurship Program	\$	20,000 00	
Project Access	\$	7,500 00	
Project Vision	\$	10,000 00	
Regents of the University of California, Los Angeles (UCLA Women's Health Center)	\$	25,000 00	
Self Help for the Elderly (SHE)	\$	30,000 00	
Sierra House	\$	10,000 00	
Skid Row Housing	\$	500 00	
Sophia Way, The	\$	12,000 00	
South East Asia Center	\$	9,000 00	
Southeast Asian Community Center	\$	10,000 00	
St Vincent de Paul Village	\$	10,000 00	
Street Teens	\$	10,000 00	
Taiwanese American Heritage Week LA Chapter	\$	10,000 00	
Taiwanese American Heritage Week OC Chapter	\$	3,000 00	
Tenderloin Neighborhood Development	\$	20,000 00	
The Child Center of NY, Inc	\$	10,000 00	
The HOPE Program	\$	12,500 00	
The Women's Home	\$	7,500 00	
TMC Development Working Solutions	\$	5,000 00	
Tower Foundation of San Jose State University	\$	15,000 00	
Urban Solutions	\$	10,000 00	
Valley Economic Development Center	\$	25,000 00	
Vietnamese Association of Illinois	\$	10,000 00	\$ 1,601,250 00
<i>Operating and administrative expenses</i>			
Accounting fees	\$	180 00	\$ 180 00
Total Operating Expenditures			<u>\$1,601,430 00</u>
Change in Net Assets			<u>\$ 29,846 85</u>

Cathay Bank Foundation

EIN: 74-3052411

2015 Form 990-PF Part I -

Question 16b: Operating and Administrative Expenses

16b. Accounting Fees	Amount (\$)
Attorney's General Register of Charitable Trust	150.00
Department of Justice	20.00
Franchise Tax Board	10.00
	180.00

Question 1: Information About Officers, Directors, Trustees, Foundation Manager, Highly Paid Employees, and Contractors

(a) Name and Address	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account and other allowance
Peter Wu 9650 Flair Drive El Monte, CA 91731	Chairman of the Board, President, and Chef Executive Officer	0 08	-0-	-0-
Deborah Ching 9650 Flair Drive El Monte, CA 91731	Co-Chairman of the Board	0 25	-0-	-0-
Esther Wee 9650 Flair Drive El Monte, CA 91731	Director and Secretary	2 00	-0-	-0-
Wilson Tang 9650 Flair Drive El Monte, CA 91731	Director and Treasurer	0 08	-0-	-0-
Irwin Wong 9650 Flair Drive El Monte, CA 91731	Director	0 08	-0-	-0-
Patrick Lee 9650 Flair Drive El Monte, CA 91731	Director	0 08	-0-	-0-
David Lin 9650 Flair Drive El Monte, CA 91731	Director	0 08	-0-	-0-
Pin Tai 9650 Flair Drive El Monte, CA 91731	Director	0 08	-0-	-0-
Elizabeth Lee 235 Fifth Ave New York, NY 10016	Director	0 08	-0-	-0-
Jack Sun 4010 Barranca Pkwy, Suite 150 Irvine, Ca 92604	Director	0 08	-0-	-0-

Grant Application

Instructions: Please review the Cathay Bank Foundation application process guidelines before completing this form. Please forward this application in electronic format together with any other electronic forms you may consider essential to support your Grant. This will facilitate our overall review and presentation process. All sections of the application must be completed. Remember to include all required attachments, and sign and date the application before your final submission. Please submit the application in duplicate.

ORGANIZATION INFORMATION

Name of Organization: _____

Federal Tax I.D. Number (EIN): _____

Address: _____

City: _____ State: _____ Zip: _____

Website: _____

Executive Director: _____

Telephone No.: _____ Fax No.: _____ Email: _____

Principle Contact Person _____ Title: _____

Telephone No.: _____ Fax No.: _____ Email: _____

ORGANIZATION BACKGROUND

1) Type of organization (check all that apply(s)) 501c(3) CDFI CDC other, please specify: _____

2) Year of Establishment (e.g. 1982) _____

3) What geographic area(s) does your organization serve? _____

4) What target market does your organization serve? _____

5) Briefly provide an overview of your organization (attach separate sheet if necessary): _____

GRANT INFORMATION

6) Amount Requested: \$ _____ 7) Event/Project Title: _____

8) Description of target market and estimated number of people who will benefit from this event/project: _____

9) Briefly explain how funds requested will be used to serve the low- to moderate- income population and what percentage of that population will be served?(attach separate sheet if necessary) _____

10) Has the organization received a grant from Cathay Bank in the last three years? No Yes
 If yes, during what year, what amounts, and explain how funds were applied.

Year	Purpose	Amount

11) Please list any Cathay Bank employees involved in your organization and their roles

SUPPORTING DOCUMENTS

Please submit a copy of the following documents together with the completed application form:

- Cover letter, including mission of the organization, total amount requested and purpose of funds;
- A list of all trustees or directors and officers, including names, addresses, and contact number,
- A copy of your tax exemption letter from the IRS indicating tax exempt 501c(3) status,
- Operating budget for the past two years;
- Audited financial statements (minimum 2 years);
- Itemized project costs related to the program;
- A description of the organization with a brief history, specific programs offered and program objectives, significant highlights of the organization, number of people and geographic areas served, and staff list and job titles, (This may also be included in electronic format)
- A list of major corporate and foundation contributors and amounts contributed for the past three years, and
- Marketing/Communications materials and Annual Report (if available) or brochures describing programs and services.

AUTHORIZATION

The undersigned, an authorized officer of the organization, does hereby certified that the information contained in this application is true and correct, and if the organization is awarded a grant, the grant will be used only for the purpose(s) outlined in the grant application letter and the grant may not be used for any other purpose(s) without prior written approval from Cathay Bank Foundation.

Authorized Officer (Signature) Title Date of Application

APPLICATION SUBMISSION SUMMARY

Please forward the following documents electronically to JenniferS.Chen@CathayBank.com as it will greatly assist us in preparing and presenting your application to the Foundation for their final consideration.

- Cathay Bank Foundation Grant Application (**word doc format**)
- Any other electronic forms you may consider essential to support your Grant

Mail, in duplicate, the documents listed in the Supporting Documents section to:

Cathay Bank Foundation
9650 Flair Drive
Mail Stop EL-3-H
El Monte, CA 91731

Cathay Bank Foundation
 EIN: 74-3052411
 2015 Form 990PF Part XV - Question 2d

Cathay Bank Foundation

enhance the growth and success of communities

Home Search a

[Home](#) > [Our Grant Focus](#)

Our Grant Focus

The Cathay Bank Foundation will consider grants in the following areas:

Community & Economic Development

- ▶ Programs to preserve, rehabilitate and construct affordable housing in low- and moderate-income (LMI) areas,
- ▶ Organizations that provide home buyer counseling to families in the LMI communities
- ▶ Programs that support small business development, commercial revitalization and job creation,
- ▶ Programs tailored to assist LMI individuals in development of work and life skills with a specific emphasis on work entry programs, skills training and employment retention,
- ▶ Services catered to seniors, workforce development, and individuals with disabilities

Education

- ▶ Innovative programs that offer assistance to LMI youths to excel in school and prepare for higher education
- ▶ Financial literacy programs for youth and adults in LMI communities,
- ▶ Effective mentoring programs for at-risk youths
- ▶ Student scholarship programs focused on finance-related studies,
- ▶ Literacy, math, parent engagement efforts, and English as a Second Language (ESL) programs
- ▶ Entrepreneurship education programs for under-served youth

Civic & Community

- ▶ Civic organizations that focus on development of neighborhoods and raising awareness of social issues,
- ▶ Voter registration and education, community organizing and leadership development

Health & Welfare

- ▶ Homeless, crisis shelters and battered women shelters,
- ▶ Programs that provide services to children and families at risk
- ▶ Soup kitchens
- ▶ Health prevention and education targeted to conditions such as diabetes, obesity, asthma, insurance enrollment of uninsured children and adults, mental health services, and fitness and nutrition

Eligibility Criteria

The Foundation will place a strong emphasis on non-profit organizations that are results-oriented and market savvy. The organization's programs and services must:

- ▶ Target the low- and moderate-income population
- ▶ Display leadership and vision in the community
- ▶ Provide tangible benefits to the communities they serve
- ▶ Have an effective system to measure and report results with relevant track record,

Cathay Bank Foundation

[Our Grant Focus](#)

[Frequently Asked Questions](#)

[Application Guidelines](#)

Cathay Bank Foundation
EIN: 74-3052411
2015 Form 990PF Part XV - Question 2d

- Have established strategic alliances with the community to face expected challenges
- Have 501(c)(3) tax-exempt status from the IRS,
- Be equal opportunity employers,
- Display fiscal and administrative stability

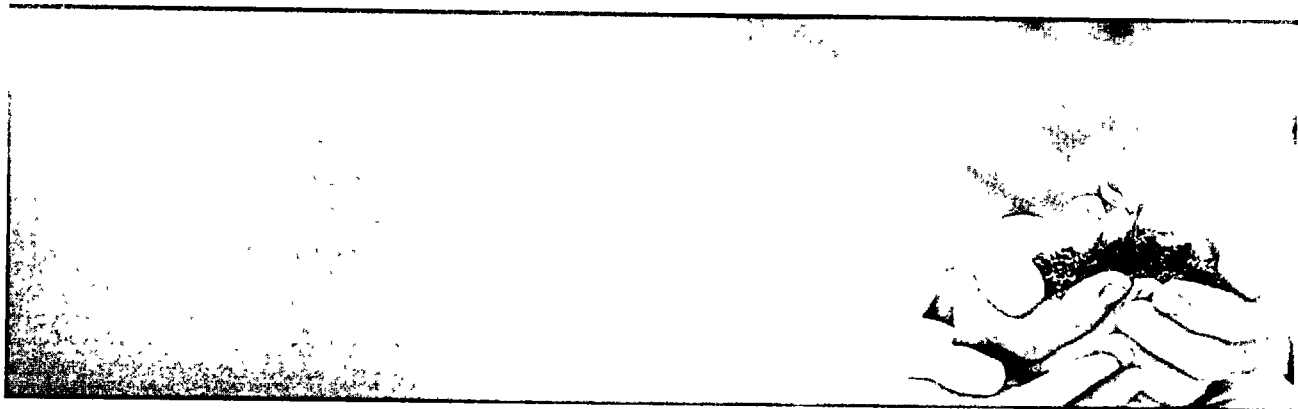
Ineligible Organizations

The Cathay Bank Foundation cannot support the following:

- Organizations without 501(c)(3) status
- Organizations programs that are not aligned with the Foundation's mission
- Organizations that are located or benefit outside Cathay Bank's communities
- Private foundations
- Organizations with missions to influence or participate in political campaigns on behalf of or against a candidate or initiative
- Veterans and/or military organizations,
- Organizations that channel grant funds to third parties
- Service club activities,
- Operating funds for hospitals or other patient care facilities,
- Funding of travel and related expenses for any organization

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Cathay Bank Cathay General Bancorp



Section 3a - Grants and Contributions Paid During the Year

Organization Name	Street Address	CITY	STATE	ZIP	If recipient is an individual, show an relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose/Use of Funds	Grant Amount
Advancing Justice (Asian Pacific American Center)	1145 Wilshire Blvd 2nd Floor	Los Angeles	CA	90017	n/a	501(c)(3)	Help sustain Advancing Justice - LA's work to serve, empower and advocate on behalf of the Asian American, Native Hawaiian and Pacific Islander communities. For instance, we can continue to serve a growing number of low- to moderate-income clients in need of legal services in a variety of issues such as immigration and citizenship.	\$ 10,000
Asian Family Support Services	10 Nottingham Place	San Francisco	CA	94133	n/a	501(c)(3)	Family Economic Success Project - help low-income and working-poor Asian and Pacific Islanders (APIs) to develop the work skills and life skills they need to find and retain good jobs in order to achieve financial stability for their families.	\$ 15,000
Ascencia	1651 Tyburn St	Glendale	CA	91204	n/a	501(c)(3)	Directed as corporate sponsorship for Ascencia's 9th Anniversary Gala.	\$ 1,000
Asa Society Texas Center	1370 Southmore Blvd	Houston	TX	77004	n/a	501(c)(3)	Launched a new Women's Leadership Series, providing a platform for influential women of Asian descent and other leaders to share personal and professional experiences. Three annual luncheons followed by educational workshops will create interactive learning experiences for local at-risk students, empowering and educating young women for success.	\$ 5,000
Asian American Civic Association	87 Tyler Street	Boston	MA	02111	n/a	501(c)(3)	The funds requested used to cover training scholarships (\$8,300) for three low-income students (8% of enrollees) in Careers in Banking and Finance. The \$1,100 remaining would be used for emergency travel assistance.	\$ 20,000
Asian American Senior Citizens Services Center	850 N Birch St	Santa Ana	CA	92701	n/a	501(c)(3)	Assisted the Asian American elderly with access to essential health care and social services. The grant helped support their job training program, physical education, health education and social services. The seniors and families that utilize the services are low-moderate-income.	\$ 13,000
Asian Americans for Equality	108 Norfolk Street	New York	NY	10002	n/a	501(c)(3)	General operating support for affordable housing and community development including housing, legal, immigration and community service.	\$ 25,000
Asian Health Services	818 Webster St	Oakland	CA	94607	n/a	501(c)(3)	AHS used Cathay Bank Foundation funds to support VOTE Project staff in providing voter engagement and registration support and outreach to low income Asian and Pacific Islander (API) immigrants.	\$ 10,000
Asian Pacific American Community Center (APACC)	2440 Bayshore Blvd	San Francisco	CA	94134	n/a	501(c)(3)	Project HOPE - to continue to hold public safety and awareness workshops led by expert speakers in the fields and community leaders. We are also looking forward to continuing our efforts to help conquer the digital divide with this community and are offering computer literacy courses.	\$ 20,000
Asian Pacific Community Fund	315 W 9th Street Suite 301	Los Angeles	CA	90015	n/a	501(c)(3)	General operating support and sponsorship of their 8th Annual Giving for All Seasons Gala. Funds used to support the ongoing operations and programs of APCF, APCF and its Affiliate Agencies serve 250,000 people annually, 80% of whom are of low income.	\$ 20,000
Asian Pacific Islander Small Business Program	231 East Third Street	Los Angeles	CA	90013	n/a	501(c)(3)	Program Operating Support - API SBP will continue to provide free individual business consulting and pertinent workshops to over 2500 entrepreneurs annually. Our client population is low- and moderate-income Asian immigrants who are having difficulty establishing or growing their businesses.	\$ 30,000
Asian Pacific Women's Center	1145 Wilshire Blvd	Los Angeles	CA	90017	n/a	501(c)(3)	Operational Support & Annual Metamorphosis Gala Sponsor - Funds to educate and prevent domestic violence towards women and children.	\$ 10,000
Asian Homeless Housing	125 State Street South	Kirkland	WA	98033	n/a	501(c)(3)	Attain Housing serves families who are homeless. It costs Attain Housing \$10,000 on an annual basis to house one family - 3-6 members. 100% of the homeless population we serve are below the income poverty line.	\$ 10,000
Bayview Heights Neighborhood Center	515 Cortland Ave	San Francisco	CA	94110	n/a	501(c)(3)	Developed affordable housing throughout San Francisco and provided linguistically and culturally responsive services to their community's most vulnerable adults, seniors, youth and families.	\$ 2,500
Bay Chinatown Neighborhood Center	885 Washington Street	Boston	MA	02111	n/a	501(c)(3)	Youth Center - used to support YC programming and services for low- to moderate income youth between the ages of 11 and 18. Maintain and strengthen the following programs in its three focus areas: Education, Leadership, and Enrichment.	\$ 7,000
Boys & Girls Club of West San Gabriel Valley	328 South Ramona Avenue	Monterey Park	CA	91754	n/a	501(c)(3)	Education for Life Program - Healthy Choices, Study Island, College Bound and Smart Moves is specifically designed to help our youth master school subjects as Math, Science and language as specified in the California Content Standards.	\$ 10,000
Boys Town Nevada	821 North Mojave Road	Las Vegas	NV	89101	n/a	501(c)(3)	Integrated Continuum of Care - Care for more than 1,500 children and 500 families each year, the vast majority of which are in poverty. Many are immigrant families. Spanish is the primary language in one of four households. Most come to Boys Town through the juvenile justice and child welfare systems, while others are referred by schools.	\$ 10,000
Boys Foundation of S Cal	1334 South Central Ave	Los Angeles	CA	90021	n/a	501(c)(3)	Funds used to ensure that impoverished youth continue to have opportunities to grow, succeed academically and develop into strong community leaders. One hundred percent of our students reside in low income households.	\$ 5,000
California Association for Micro Enterprise Opportunity	1 Hallidie Plaza Suite 210	San Francisco	CA	94102	n/a	501(c)(3)	Building Capacity of Micro Business Development in CA - capacity building, training, technical assistance, and advocacy to enable expansion of entrepreneurship services/loans to 15% more LMI clients.	\$ 12,000
California Council on Economic Education (CCEE)	5500 University Parkway	San Bernardino	CA	92407	n/a	501(c)(3)	For the Summer Financial Literacy program will be used to serve primarily LMI schools, teachers, students and their parents, who will be provided the services at no cost.	\$ 10,000
California Reinvestment Coalition	474 Valencia Street Suite 110	San Francisco	CA	94103	n/a	501(c)(3)	Support an annual community event that salutes all the CRC members that contributed to community reinvestment in 2014 through their hard work as members of CRC and as community activists.	\$ 30,000
Child Development Institute of LA	201 Centre Plaza Dr #1101	Monterey Park	CA	91754	n/a	501(c)(3)	Early Childhood Training program, developed in partnership with the Child Development Institute, their volunteers gain a sophisticated understanding of early childhood development which they bring into every interaction they have with the child, the family, foster family, or other caregivers. Their training includes recognizing developmental milestones and possible delays exhibited by the children, like the loss of speech, or lack of facial expressions or social skills.	\$ 3,000
Chinese Schools Consortium (CSC)	501 Santa Monica Blvd Suite 703	Santa Monica	CA	90401	n/a	501(c)(3)	USC volunteer tutors/mentors provided to improve the schools' educational capacity and offer learning support to low-income students.	\$ 2,000
Herald Family Center	715 E Mission Road	San Gabriel	CA	91776	n/a	501(c)(3)	The total amount of funds received were used for hiring counseling staff to provide direct counseling services to LMI individuals and families that seek help. In 2015 they served a total of 415 individuals through their counseling programs, and 81% of them are identified as LMI.	\$ 13,000

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Center for Asian Americans United for Self Empowerment	260 S Los Robles Ave	Pasadena	CA	91101	n/a	501(c)(3)	Research done by CELF fellows helped about 250 000 Asian Americans living in the cities of Monterey Park Rosemead San Gabriel Temple City Alhambra and El Monte These cities populations are mostly filled with immigrant residents coming from families with moderate incomes This is 16 7% of LA County's total Asian American population	\$ 10 000
Center for Employment Opportunities	32 Broadway 15th Floor	New York	NY	10004	n/a	501(c)(3)	Reentry Employment Project - focus is on carpentry and plumbing skills needed to help rebuild areas affected by Sandy Thus far the program has graduated more than 70 participants The Training Center offers certifications in plumbing carpentry warehouse operations and forklift sanitation and scaffolding	\$ 12 500
Center for the Pacific Asian Family	543 N Fairfax Ave #108	Los Angeles	CA	90036	n/a	501(c)(3)	Employment and Housing Initiative for DV Survivors - support economic empowerment services for CPAF shelter residents 100% of shelter residents are low-income survivors of domestic violence	\$ 20 100
Centro Latino for Literacy	1709 W 8th Street Suite A	Los Angeles	CA	90017	n/a	501(c)(3)	Financial Literacy - Target market is 150 very low income Spanish speaking adults who never had opportunity to attend school they generally have had 3 or fewer years of formal education	\$ 20 000
CHETNA	P O Box 832802	Richardson	TX	75083	n/a	501(c)(3)	Developed Flexible Transitional Housing Assistance program and expanded the Support Group and Advocacy Programs	\$ 5 000
Chinatown Partnership LDC	60 St James Place	New York	NY	10038	n/a	501(c)(3)	Up to 40% of Chinatown's residents are considered LMI according to the latest census data The grant will assist CPLDC with creating programs that will assist residents and businesses to obtain opportunities for jobs and financial assistance	\$ 10 000
Chinatown Service Center	767 North Hill Street	Los Angeles	CA	90012	n/a	501(c)(3)	CSC Annual Gala Celebration / Expansion of Small Business Program / Expansion of Asset Building & Financial Management Program - CSC targets all low-to-moderate income individuals and families living primarily within a 5-mile radius of Chinatown and all immigrant and monolingual individuals residing in the Los Angeles County	\$ 80 000
Chinese American Planning Council	150 Elizabeth St	New York	NY	10012	n/a	501(c)(3)	Parent Enrichment for People with Developmental Disabilities - provide parent workshops including managing challenging behaviors goal planning and sibling relationships support groups and individual support	\$ 25 000
Chinese American Service League	2141 S Tan Ct	Chicago	IL	60616	n/a	501(c)(3)	Provide parent workshops including managing challenging behaviors goal planning and sibling relationships support groups and individual support	\$ 30 000
Chinese Community Center Inc	9800 Town Park Drive	Houston	TX	77036	n/a	501(c)(3)	Financial Education & Newcomer English Education - programs primarily target adults of Asian descent who have limited English proficiency limited knowledge of American financial services and/or are small business owners	\$ 10 000
Chinese Information Service Center	611 S Lane Street	Seattle	WA	98104	n/a	501(c)(3)	Sunshine Garden Senior Day Care Center - 120 low and very low income Chinese elderly who would be at risk of physical emotional and social isolation without this supportive and structured program that is tailored to meet their unique linguistic and cultural needs	\$ 20 000
Chinese Mutual Aid Association	1016 W Argyle St	Chicago	IL	60640	n/a	501(c)(3)	CMAA Youth Computer Building - benefit middle school and high school students in learning how a computer functions This will help them appreciate how computers work as well as spark interest in STEM (science technology engineering and mathematics) related fields	\$ 12 000
Chinese Newcomers Service Center	777 Stockton Street	San Francisco	CA	94108	n/a	501(c)(3)	Newcomer Job Program - provide job readiness training job search workshops and connects job seekers with employers who have jobs for these very low-income immigrants	\$ 15 000
Chinese Senior's Association of Houston	10303 Westoffice Dr	Houston	TX	77024	n/a	501(c)(3)	Funds will provide many activities for senior members such as nutritional lunches English classes calligraphy dance classes etc Also provides physician counseling and equipment for their computer and iPad classes	\$ 5 000
CIRLA	2533 W 3rd St #101	Los Angeles	CA	90057	n/a	501(c)(3)	Program to advance the human and civil rights of immigrants and refugees	\$ 5 000
City of Los Angeles Workforce Investment Board (HIRE LA)	1200 W 7th St	Los Angeles	CA	90017	n/a	501(c)(3)	Provided 13 subsidized youth jobs and includes 10% in administrative costs The average cost per youth participant is \$2 000	\$ 20 000
Coalition for Responsible Community Development	3101 S Grand Avenue	Los Angeles	CA	90007	n/a	501(c)(3)	CRCD used grant to support the development of affordable housing for extremely low-income Transition Age Youth and other low-income residents in South Los Angeles Also used toward personnel including project management staff and operating costs to coordinate the housing development process and seek new housing development sites	\$ 30 000
Common Ground Community HDPC, Inc	505 Eighth Avenue	New York	NY	10018	n/a	501(c)(3)	Homeless Housing and Street Outreach Services - funds would allow Common Ground to employ multiple homeless housing programs and extensive outreach effort to street homeless persons	\$ 10 000
Community Food Bank of New Jersey	31 Evans Terminal Rd	Hillside	NJ	07205	n/a	501(c)(3)	The fund supported them provide more food for the hungry enabled them to deliver great impact to the communities	\$ 5 000
Congregations for the Homeless	2650 148th Ave SE	Bellevue	WA	98007	n/a	501(c)(3)	Provided continued funding to the Eastside Winter Shelter (EWS) The EWS currently runs from November to April of each year and serves as an emergency life-saving measure for men who are homeless in East King County	\$ 8 000
Cor Community Development Corporation	45 Tesla	Irvine	CA	92618	n/a	501(c)(3)	To build capacity within COR CDC to offer more services to the residents at Northpointe Apartment Homes in Long Beach increase staff as part of the Murray Enrichment Academy and Train leaders	\$ 15 000
Das Chinese Community Center	400 N Greenville Ave #12	Richardson	TX	75081	n/a	501(c)(3)	Funds used in Dallas Chinese Community Center for 1) English as a Second Language Program 2) Healthy Seminars and Annual Health Fair 3) Social Service Program and 4) Asian American Cultural Fair	\$ 20 000
Deaf Louis	606 Maynard Ave S #101	Seattle	WA	98104	n/a	501(c)(3)	Annual Gala and Parent Engagement Program - facilitated workshop for ESL parents of children with special needs Conduct 2 workshops on a month basis	\$ 7 500
Deaf Feet Foundation	5455 Wilshire Blvd Suite 2020	Los Angeles	CA	90036	n/a	501(c)(3)	Funds helped to sponsor fund and/or support dance education programs which expose children in low-income areas to the lifelong benefits of dance education through and with local community organizations	\$ 4 000
Deaf Inc The	232 East 84th Street	New York	NY	10028	n/a	501(c)(3)	Ready Writing and Able Program - a 10- to 12- month program that includes paid employment transitional housing and food services case management career development and graduate services	\$ 16 500
Deaf Bridges	168 11th Street	Oakland	CA	94607	n/a	501(c)(3)	Program Support - community-based adult services programs senior center activities and childcare center	\$ 25 000
Deaf Food Relief of NJ	212 Second St Suite 403	Lakewood	NJ	08701	n/a	501(c)(3)	Monthly Distribution Program is Family Food Relief's primary relief program under which they provided recipient families with a full month's supply of essential food items including poultry fresh produce dairy canned goods and other basics Individual deliveries to large families can sometimes number as many as 10 cartons to sufficiently cover their food needs for an entire month	\$ 5 000
Deaf Community Development Corp	70 Burbank Street	Boston	MA	02115	n/a	501(c)(3)	Community and Economic Development - support the operations of affordable housing and community development program	\$ 5 000
Deaf Beginnings	220 2nd Ave S #225	Seattle	WA	98104	n/a	501(c)(3)	100% of the youth served directly through the Cathay Foundation grant were low-income and for the project overall between 71%-90% will be low-income youth	\$ 7 000
Deaf Council on Culture and Arts	137-35 Northern Blvd	Flushing	NY	11001	n/a	501(c)(3)	Story Mosaics - a visual arts and English language arts project for English Language Learners (ELL) in Flushing Queens Junior High School	\$ 10 000

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Boothill Family Services	1215 W Covina Pkwy #200	West Covina	CA	91790	n/a	501(c)(3)	Domestic Violence Treatment & Prevention Program - helps at least 150 victims of domestic violence and their families annually recover from the trauma of violence move from welfare to work and learn positive coping skills	\$ 10 000
Friends of the Chinatown Library	639 North Hill Street	Los Angeles	CA	90012	n/a	501(c)(3)	The Friends of the Chinatown Library is a voluntary organization to help the Chinatown Branch Library of the Los Angeles Public Library (LAPL) system. They provided volunteers and funds to support activities and to purchase materials in three areas: (1) Develop community awareness of public use of library materials and services; (2) Raise funds for capital improvement, additional technology services, reading materials and equipment; (3) Promote education, recreation and cultural programs for the immediate and extended community.	\$ 13 000
Center of Hope	P O Box 5200048	Flushing	NY	11352	n/a	501(c)(3)	The Hope House Project - Established to provide emergency shelter services to those desperately in need of protection from violence among Chinese immigrants in the New York City area. They estimate that more than 50-80 individuals will benefit from the Hope House Project annually.	\$ 10 000
Greenlining Institute The	1918 University Avenue	Berkeley	CA	94704	n/a	501(c)(3)	Greenlining's 23rd Annual Economic Summit - Target markets for this event are students, financial, nonprofit, and business professionals.	\$ 12 000
Gum Moon Women's Residence	940 Washington St	San Francisco	CA	94108	n/a	501(c)(3)	Gum Moon provided a safe sanctuary for their clients, a 100% of whom qualify as low-to-moderate-income individuals. Services including effective bi-lingual programs that help them develop life skills and heal from trauma.	\$ 2 500
Henry Street Settlement	265 Henry Street	New York	NY	10002	n/a	501(c)(3)	Sunday Meal Program - Used to cover the expenses for providing meals to 400 seniors during the year.	\$ 10 000
Neighborhood Partnership Development Corporation	242 W 36TH Street 3rd Floor	New York	NY	10018	n/a	501(c)(3)	Neighborhood Revitalization - Affordable Housing Development & Preservation focusing on rental construction and preservation.	\$ 25 000
International Institute of Los Angeles	1845 Elig Pl	Los Angeles	CA	90031	n/a	501(c)(3)	Golf Tournament Grantee - 100% of clients served are LMIs. IILA was founded in 1914 to help newly-arrived immigrants integrate into their new lives in LA. It has and continues to help immigrants and other LMIs overcome the barriers they face in becoming contributing members of society. Its mission is to help families become self-sufficient and to promote cross-cultural understanding.	\$ 13 000
The Chinese School	9 Truman	Irvine	CA	92620	n/a	501(c)(3)	Funds used in general operation expenses. ICS students generally range in ages from five to eighteen years old. Adult classes are also offered for the working professionals who are interested in learning Chinese language and culture. The administrative structure of ICS consists of the principal, administrators, and full-time/part-time staff. The current faculty consists of 35 language teachers and 24 elective class teachers.	\$ 13 000
Wish Free Loan Association	6505 Wilshire Blvd Suite 715	Los Angeles	CA	90048	n/a	501(c)(3)	Microenterprise Small Business Loan Fund - The target market is the working poor who have their own businesses or who seek to open their own businesses.	\$ 20 000
Minor Achievement of So California	6250 Forest Lawn Drive	Los Angeles	CA	90068	n/a	501(c)(3)	Funds for JA to serve 1 227 students in our cutting edge JA Finance Park (JAFP) budget simulation program. The majority of students are from LAUSD schools in low-income areas such as East LA, Compton, Crenshaw, Downtown LA and Canoga Park.	\$ 80 000
Minor Achievement of Washington	1700 Westlake Avenue N Suite 400	Seattle	WA	98109	n/a	501(c)(3)	JA World programs - JA BizTown and JA Finance Park underwrite the participating of 180 Pierce and King County at-risk youth/disadvantaged youth in JA BizTown and JA Finance Park at the JA World Auburn facility.	\$ 7 500
OWAutism Foundation	6430 Richmond Suite 410	Houston	TX	77057	n/a	501(c)(3)	Fund sponsored Tuition Assistance Program that provided educational opportunity for children affected by autism. Program offered scholarship to financially disadvantaged children with autism for the age of eighteen months and older who attended the special programs.	\$ 2 500
Seaman American Family Services Center	P O Box 541429	New York	NY	11354	n/a	501(c)(3)	Youth Community Project Team (YCPT) & Unni-Hyung "Big Sister - Big Brother" Mentoring Program - YCPT to develop leadership, positive-self-esteem and a healthy bicultural identity that embraces both Korean and American cultures.	\$ 15 000
Seaman Youth & Community Center	3727 West 6th Street Suite 300	Los Angeles	CA	90020	n/a	501(c)(3)	Community Economic Development Services - Financial Case Management Services.	\$ 15 000
Small Business Chamber of Greater LA	634 S Spring Street Suite 600	Los Angeles	CA	90014	n/a	501(c)(3)	Supported for Business Technical Assistance - Small to medium-sized Latino businesses and entrepreneurs who wish to start, expand and/or survive. Teaching members not only how to access capital but also training and education on how to succeed with their business venture.	\$ 20 000
SafeWay Network Inc	P O Box 754215	Forest Hills	NY	11375	n/a	501(c)(3)	LifeWay Safe Housing Program - provides a secure and supportive living environment for women survivors of human trafficking. The long-term safe house is a five-bed home which can accommodate five women survivors simultaneously.	\$ 10 000
Sei Tokyo Service Center	213 East Third Street G106	Los Angeles	CA	90013	n/a	501(c)(3)	Affordable Housing Collaborative - LTSC & Affordable Housing Collaborative serves the low-income community living in Los Angeles and surrounding counties.	\$ 5 000
Los Angeles Sheriff's Department	5019 E 3rd St	Los Angeles	CA	90022	n/a	501(c)(3)	Success Through Awareness & Resistance - "S T A R" Drug, gang and violence prevention education classes are co-taught throughout Los Angeles county schools by specially trained Sheriff's Deputies, reaching over 100 000 youths.	\$ 1 500
Income Investment Fund (LIIF)	50 California St Suite 2900	San Francisco	CA	94111	n/a	501(c)(3)	LIIF provided affordable financing and technical assistance (TA) to community organizations working to provide affordable housing, transit oriented development and education opportunities in underserved communities throughout Southern California.	\$ 5 000
Edonia Community Development Corporation	1751 E 114th St	Los Angeles	CA	90059	n/a	501(c)(3)	The funds will be used as part of launch for the first year cohort of 25 participants as we work to build business opportunities for members of the community.	\$ 5 000
ED Center	7810 N Stemmons Freeway Suite 690	Dallas	TX	75247	n/a	501(c)(3)	Young Entrepreneur Program - Young people aged 18-25 who are low-moderate income according to City of Dallas. A cohort of 10 applicants will receive start up funds and business development assistance while 20 to 30 more will receive the same business services.	\$ 5 000
City Housing Lakefront	120 South La Salle Suite 1850	Chicago	IL	60603	n/a	501(c)(3)	2014 Illinois Affordable Housing Initiative - to build or preserve 1 368 units of affordable housing and create 2 052 jobs. 100% of those served will be of low to moderate-income.	\$ 5 000
Seaman Center Community Action	136-19 41st Ave 3rd Floor	Flushing	NY	11355	n/a	501(c)(3)	Financial Literacy/ Civic Participation Program - reach out to a total of 1 000 people through our financial empowerment services and educational workshops. Our Civic Participation Program mobilizes community members to be proactive civic participants.	\$ 10 000
Monterey Park Library Foundation	318 S Ramona Ave	Monterey Park	CA	91754	n/a	501(c)(3)	Monterey Park Library Foundation raises funds to supplement the library's budget.	\$ 650
Sum of Chinese in America	215 Centre Street	New York	NY	10013	n/a	501(c)(3)	100% of the grant served low- to moderate-income immigrant middle school students primarily from China. The program was boost pupils' literacy skills by building on what they learned through our after-school program in the spring semester of the 2014-15 school year.	\$ 10 000

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Mutual Housing California	8001 Fruitridge Rd Suite A	Sacramento	CA	95820	n/a	501(c)(3)	Funds used for the Phase 1 rehabilitation of the Mutual Housing at River Gardens property	\$ 15 000
National Asian American Coalition (NAAC)	15 Southgate Ave Suite 200	Daly City	CA	94015	n/a	501(c)(3)	Cathy's support went towards 1) quarterly micro technical assistance classes throughout California to help micro businesses a) Learned About the Fundamentals of Successful Micro-Business Ownership Management Business Performance c) Learned Fundamentals in Micro Business Finance The Importance of Financial Controls d) Understood Micro-Business Development (including technological) Marketing Sales and Service and e) Secure Contracts A portion went towards management of the lending program	\$ 15 000
National Community Reinvestment Coalition (NCR)	727 15th St NW Suite 900	Washington	DC	20005	n/a	501(c)(3)	Funds to sponsored NCR's 2015 Annual Conference 'Creating A Just Economy'	\$ 10 000
Neighborhood Housing Services of Los Angeles (NHSLA)	3928 Wilshire Blvd Ste 200	Los Angeles	CA	90010	n/a	501(c)(3)	Funds provided financial education foreclosure counseling and affordable lending services for low-to-middle income families through their Financial Opportunity Center at NHS's new Center for Sustainable Communities in Compton CA	\$ 10 000
Neighborhood Trust Financial Partners	1112 St Nicholas Ave	New York	NY	10032	n/a	501(c)(3)	Getting Ahead Program they delivered action-oriented financial counseling and products to low-income families via their Financial Empowerment Integration model in collaboration with leading partners across New York City	\$ 10 000
NeighborWorks Sacramento	2400 Alhambra Blvd	Sacramento	CA	95817	n/a	501(c)(3)	Provided affordable housing for low-to moderate-income individuals in the Sacramento Region Each program they provided is designed to meet the needs of individuals who come to them for help	\$ 5 000
Network for Teaching Entrepreneurship	350 S Bixel Street Suite 280	Los Angeles	CA	90017	n/a	501(c)(3)	These funds used to support NFTE's Greater LA Schools Entrepreneurship Initiative serving low-to-moderate income youth ages 11-18 and will specifically provide funding for their NFTE program at Alliance Smidt Tech High School	\$ 10 000
New Destiny Housing Corp	12 West 37th Street 7th floor	New York	NY	10018	n/a	501(c)(3)	Beyond Shelter - Permanent Housing for low-income DV survivors and their children	\$ 5 000
New Jersey Citizen Action Education Fund	744 Broad Street Suite 2080	Newark	NJ	07102	n/a	501(c)(3)	NJCAEF's Financial Empowerment Projects - Funds used to support the provision of free empowerment programs and services to 650 LMI individuals and families in the Edison NJ MSA	\$ 7 500
New Women New Yorkers	601 W 26th Suite 325 #99	New York	NY	10001	n/a	501(c)(3)	Funds used to cover the operating expenses for the next 4 sessions of the LEAD Program served a total of about 50 young women immigrants from underserved communities including in particular recent low-income women immigrants and first generation high school and college students	\$ 2 500
No Limits for Deaf Children	9801 Washington Blvd	Culver City	CA	90232	n/a	501(c)(3)	No Limits teaches deaf children the skills to succeed in school and in life through its national theater group and educational centers 95% of children served are LMIs	\$ 13 000
Open Doors for Multicultural Families	4327 S 253rd St	Kent	WA	98032	n/a	501(c)(3)	Program operating related cost such as staff salaries and benefits teaching materials transportation meeting supplies communication expenses office supplies youth stipends administrative costs etc The program will serve at least 80% of the youth with disabilities from low and moderate income family based on their status of receiving free or reduced lunches at school	\$ 12 000
Operation Hope	707 Wilshire Boulevard Suite 3030	Los Angeles	CA	90017	n/a	501(c)(3)	HOPE Financial Dignity Center, Banking on Our Future HOPE Business in a Box - students from low- to moderate-income backgrounds with information and skills needed to escape poverty and attain financial stability	\$ 50 000
Pacific Clinics	800 South Santa Anita Ave	Arcadia	CA	91006	n/a	501(c)(3)	Pacific Clinics provides innovative leadership in our communities offering treatment prevention and early intervention program development and evaluation research training and public education and advocacy frequently in partnership with other social services organizations To help LMI patients with serious and persistent mental illness who may have nowhere else to turn for services	\$ 13 000
Partners in Community Building (PICB)	3424 S State Street Suite 1F 6-1	Chicago	IL	60616	n/a	501(c)(3)	BottomLine® Financial Stability and Sustainable Housing - educate residents on financial stability in the areas of saving banking pre and post purchase and how to successfully sustain and maintain affordable housing	\$ 15 000
Partnership for the Advancement & Integration of Refugees (PAIR)	8303 Southwest Freeway, Suite 718	Houston	TX	77074	n/a	501(c)(3)	The funds used in support of a one-time investment in programming equipment for their Global Learners programs for middle school youth for the 2015-16 school years The funds will enable the purchase of up to two tablets and two laptops used by new program staff	\$ 2 500
Plan Fund (formerly The Plan Fund)	2801 Swiss Avenue Suite 120	Dallas	TX	75204	n/a	501(c)(3)	The funds was directly transform the lives of the small business owners they serve through supporting lending activities educational program development and delivery Also the funds will be used for loan capital curriculum development program implementation, and program outreach	\$ 10 000
Project Entrepreneurship Program	4140 Directors Row Suite B	Houston	TX	77092	n/a	501(c)(3)	Workforce Training and Entrepreneurship Development - Entrepreneurship Boot Camp Life Skills training and post release integration services, including affordable housing and job placement assistance	\$ 20 000
Project Access	3900 Birch St Suite 113	Newport Beach	CA	92660	n/a	501(c)(3)	Capacity Building and Savings Program - empowers residents with skills to make informed and effective decisions with all of their financial resources by increasing awareness and comprehension of financial concepts that result in greater financial stability	\$ 7 500
Project Vision	301 S Archer Ave Unit #3	Chicago	IL	60616	n/a	501(c)(3)	After School Homework Tutoring Program - The After School Homework Tutoring Program aimed to serve 115 youth (age 12-18) during the 2014-2015 school year	\$ 10 000
Programs of the University of California - Los Angeles (UCLA Women's Health Center)	11000 Kinross Ave Suite 211	Los Angeles	CA	90095	n/a	501(c)(3)	Grants used towards covering the personnel and administrative costs related to developing the programmatic and research components of WHMW incentives for focus group participants and program facilitator training costs	\$ 25 000
Project Help for the Elderly (SHE)	407 Sansome Street	San Francisco	CA	94111	n/a	501(c)(3)	Life Skills Training - provide Employment Training Economic Development (ETED) trainees with information and skills that will further empower them to make quality of life choices and to enhance their standards of living	\$ 30 000
Project Sierra House	11 South Maple Avenue	East Orange	NJ	07018	n/a	501(c)(3)	Sierra House Transitional Program - serves homeless young women between the ages of 18 and 25 and their babies	\$ 10 000
Project Row Housing	1317 E 7th Street	Los Angeles	CA	90021	n/a	501(c)(3)	Annual Gala	\$ 500
Project Sophia Way, The	700 108th Ave NE	Bellevue	WA	98104	n/a	501(c)(3)	Sophia's Place Shelter - operate homeless shelter Equates to nearly approximately 285 bed-nights for a woman in need	\$ 12 000
Project Prosperous East Asia Center	1134 W Ansley St	Chicago	IL	60640	n/a	501(c)(3)	A Prosperous Community - childcare and facilities will serve 18 infants and toddlers and up to 36 parents Start-up costs including strict childcare code compliance, safety and parking lot improvement	\$ 9 000

Organization Name	Street Address	CITY	STATE	ZIP	If recipient is an individual, show a relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose/Use of Funds	Grant Amount
Southeast Asian Community Center	875 O Farrell Street	San Francisco	CA	94109	n/a	501(c)(3)	SBA 7(m) Microloan Program- SEACC is a designated intermediary lender for the US Small Business Administration's 7(m) Microloan program. This program provides commercial loans of \$1 000 to \$50 000 to small businesses that have been unable to secure conventional bank loans	\$ 10 000
Vincent de Paul Village	3350 E Street	San Diego	CA	92102	n/a	501(c)(3)	St Vincent de Paul Village Employment Services Program - provides employment services to the homeless adults residing at St Vincent de Paul Village	\$ 10 000
Street Teens	5599 S Pecos Rd	Las Vegas	NV	89170	n/a	501(c)(3)	To purchased items such as food clothing school supplies backpacks bus passes and hygiene items for the youth program	\$ 10 000
Taiwanese American Heritage Week LA/OC Chapter	3001 Walnut Grove Ave	Rosemead	CA	91778	n/a	501(c)(3)	Helped to support its 2015 Taiwanese American Heritage Week	\$ 13 000
Underloin Neighborhood Development	201 Eddy Street	San Francisco	CA	94102	n/a	501(c)(3)	General Operating Support - 3 400 low income individuals families, youth, and seniors currently living in TNDC's 32 buildings, and 225 children ages 7-18 who participate in TNDC's Tenderloin After-School Program	\$ 20 000
Child Center of NY, Inc	81-14 Queens Blvd Lower Level	Woodside	NY	11373	n/a	501(c)(3)	Provided early childhood education including Monday-to-Friday Head Start programs and provided youth development including after-school programs and community schools	\$ 10 000
HOPE Program	One Smith Street 4th Floor	Brooklyn	NY	11201	n/a	501(c)(3)	Empowering New Yorkers through Employment - provided approximately 260 individuals with essential work readiness training and job placement assistance in addition to assisting hundreds of program graduates with career advancement and other resources	\$ 12 500
Women's Home	607 Westheimer Road	Houston	TX	77006	n/a	501(c)(3)	Funds used to provide housing and support services to low income and homeless women with families. 100% of their clients are considered low-income by median income standards	\$ 7 500
Development Working Solutions	440 Pacific Avenue	San Francisco	CA	94133	n/a	501(c)(3)	Gold sponsorship of Working Solutions Annual Breakfast. The funds are used to provide microloans of \$5 000 to \$50 000 to population of mostly low-income entrepreneurs as well as 5 years of business coaching mentoring and support. 71% of services are provided to low-income individuals	\$ 5 000
Foundation of San Jose State University	One Washington Square	San Jose	CA	95192	n/a	501(c)(3)	Money Matters and Marketing Smarts - Financial Literacy and Business Development program will enable us to engage 300 low income residents	\$ 15 000
Solutions	1083 Mission Street 2nd Floor	San Francisco	CA	94301	n/a	501(c)(3)	Funds requested for LMI entrepreneurs/businesses to develop or grow their business through services including financial education, financing credit assessment business planning marketing and legal support services	\$ 10 000
ley Economic Development Center	5121 Van Nuys Blvd 3rd Floor	Van Nuys	CA	91403	n/a	501(c)(3)	Retail and Capital Access Center in Boyle Heights - operating expenses in order to provide financial and technical assistance services to small and medium sized businesses in Boyle Heights and surrounding communities	\$ 25 000
names Association of Illinois	5110 N Broadway	Chicago	IL	60640	n/a	501(c)(3)	Job Readiness Training and Placement Program - targeting low income clients through our employment program which provides services every Tuesdays and Thursdays of the week	\$ 10 000
Total Paid During the Year								\$ 1,574,250

Section 3b - Grants and Contributions Approved for Future Payment

Organization Name	Street Address	CITY	STATE	ZIP	If recipient is an individual, show a relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose/Use of Funds	Grant Amount
Training Institute The	1918 University Avenue	Berkeley	CA	94704	n/a	501(c)(3)	Greenlining's 23rd Annual Economic Summit - Target markets for this event are students financial nonprofit and business professionals	\$ 12 000
hill Family Services	1215 W Covina Pkwy #200	West Covina	CA	91790	n/a	501(c)(3)	Supporting its annual gala Diamonds & Pearls Foothill Family Celebrating 90 years	\$ 15 000

Total Approved for Future Payment \$ 27,000