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Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**  
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 Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052  
**2015**  
**Open to Public Inspection**

**For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015**

Name of foundation THE CONVERGENCE INSTITUTE		<b>A Employer identification number</b> 74-2871700
Number and street (or P O box number if mail is not delivered to street address) 2601 McHale Ct Room 100	Room/suite	<b>B Telephone number (see instructions)</b> (512) 891-5001
City or town, state or province, country, and ZIP or foreign postal code AUSTIN, TX 78758		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/>  <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$</b> 2,492,246	<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis )</i>	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</i>		Revenue and expenses per books <b>(a)</b>	Net investment income <b>(b)</b>	Adjusted net income <b>(c)</b>	Disbursements for charitable purposes <b>(d)</b> (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule) . . . . .				
	<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities . . . . .	28,465	28,465		
	<b>5a</b> Gross rents . . . . .				
	<b>b</b> Net rental income or (loss) _____				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	-4,413			
	<b>b</b> Gross sales price for all assets on line 6a 1,004,464				
	<b>7</b> Capital gain net income (from Part IV, line 2) . . . . .		0		
	<b>8</b> Net short-term capital gain . . . . .				
	<b>9</b> Income modifications . . . . .				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold . . . . .					
<b>c</b> Gross profit or (loss) (attach schedule) . . . . .					
<b>11</b> Other income (attach schedule) . . . . .	-126,132	-92,287			
<b>12 Total.</b> Add lines 1 through 11 . . . . .	-102,080	-63,822			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc				
	<b>14</b> Other employee salaries and wages . . . . .				
	<b>15</b> Pension plans, employee benefits . . . . .				
	<b>16a</b> Legal fees (attach schedule). . . . .				
	<b>b</b> Accounting fees (attach schedule). . . . .	15,788			
	<b>c</b> Other professional fees (attach schedule) . . . . .	17,836	17,116		
	<b>17</b> Interest . . . . .				
	<b>18</b> Taxes (attach schedule) (see instructions) . . . . .	8,120			
	<b>19</b> Depreciation (attach schedule) and depletion . . . . .				
	<b>20</b> Occupancy . . . . .				
	<b>21</b> Travel, conferences, and meetings . . . . .				
	<b>22</b> Printing and publications . . . . .				
<b>23</b> Other expenses (attach schedule). . . . .	31				
<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	41,775	17,116		0	
<b>25</b> Contributions, gifts, grants paid . . . . .	0			0	
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	41,775	17,116		0	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	-143,855				
<b>b Net investment income</b> (if negative, enter -0-)		0			
<b>c Adjusted net income</b> (if negative, enter -0-)					

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .			
	<b>2</b> Savings and temporary cash investments . . . . .	879,982	169,526	169,526
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions). . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ 453,593 Less allowance for doubtful accounts ▶ _____	385,999	453,593	453,593
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U S and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	1,004,824	948,283	1,011,843
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	207,116	886,598	857,284
	<b>14</b> Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	2,477,921	2,458,000	2,492,246	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule). . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .		0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .	2,477,921	2,458,000	
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .			
	<b>28</b> Paid-in or capital surplus, or land, bldg, and equipment fund			
	<b>29</b> Retained earnings, accumulated income, endowment, or other funds			
<b>30 Total net assets or fund balances</b> (see instructions) . . . . .	2,477,921	2,458,000		
<b>31 Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	2,477,921	2,458,000		

<b>Part III Analysis of Changes in Net Assets or Fund Balances</b>		
<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	2,477,921
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-143,855
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	123,934
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	2,458,000
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	<b>6</b>	2,458,000

Part IV Capital Gains and Losses for Tax on Investment Income

Table with 4 columns: (a) 2-story brick warehouse, or common stock, 200 shs MLC Co; (b) How acquired; (c) Date acquired; (d) Date sold. Rows 1a-e.

Table with 4 columns: (e) Gross sales price; (f) Depreciation allowed; (g) Cost or other basis plus expense of sale; (h) Gain or (loss) plus (f) minus (g). Rows a-e.

Table with 4 columns: (i) FMV as of 12/31/69; (j) Adjusted basis; (k) Excess of col (i) over col (j), if any; (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)). Rows a-e.

Table with 2 columns: Description of capital gain/loss; Amount. Rows 2 and 3.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income) If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

Table with 4 columns: (a) Base period years; (b) Adjusted qualifying distributions; (c) Net value of noncharitable-use assets; (d) Distribution ratio. Rows 1-8.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

Table with 11 main rows and sub-rows (a-d) for credits. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and total tax due/overpayment. Values include 7,000 for line 6a and 7,000 for line 11.

Part VII-A Statements Regarding Activities

Table with 10 rows (1a-10) regarding activities. Columns include question text, 'Yes', and 'No' checkboxes. Questions cover political activities, unrelated business income, and substantial contributors.

**Part VII-A Statements Regarding Activities (continued)**

<b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . .	<b>11</b>		<b>No</b>
<b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	<b>12</b>		<b>No</b>
<b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW CONVERGENCEINSTITUTE ORG	<b>13</b>	<b>Yes</b>	
<b>14</b> The books are in care of ► MICHAEL J KIVLIN CPA LLC Telephone no ► (414) 339-8438 Located at ► 2615 N 120TH ST WAUWATOSA WI ZIP+4 ► 53226			
<b>15</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . . ►	<b>15</b>		<input type="checkbox"/>
<b>16</b> At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ►	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		<b>Yes</b>	<b>No</b>
<b>1a</b> During the year did the foundation (either directly or indirectly) <b>(1)</b> Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>(2)</b> Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>(3)</b> Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>(4)</b> Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>(5)</b> Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>(6)</b> Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ► <input type="checkbox"/>	<b>1b</b>		
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? . . . . .	<b>1c</b>		<b>No</b>
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) <b>a</b> At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____ <b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . . <b>c</b> If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____	<b>2b</b>		
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <b>b</b> If "Yes," did it have excess business holdings in 2015 as a result of <b>(1)</b> any purchase by the foundation or disqualified persons after May 26, 1969, <b>(2)</b> the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or <b>(3)</b> the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015</i> ). . . . .	<b>3b</b>		<b>No</b>
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)**

**5a** During the year did the foundation pay or incur any amount to

**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

**(3)** Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).  Yes  No

**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here.

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
THOMAS B MARTIN 2601 McHale Ct Suite 100 AUSTIN, TX 78758	PRESIDENT 005 00	0		
MARY MARTIN 2601 McHale Ct Suite 100 AUSTIN, TX 78758	SECRETARY 005 00	0		
THOMAS B MARTIN III 2601 McHale Ct Suite 100 AUSTIN, TX 78758	TREASURER 005 00	0		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances

**Total** number of other employees paid over \$50,000.

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

**Total** number of others receiving over \$50,000 for professional services. . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
<b>1</b> NA	0
<b>2</b>	
<b>3</b>	
<b>4</b>	


**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
<b>3</b> All other program-related investments. See instructions	

**Total.** Add lines 1 through 3 . . . . . ▶

**Part X Minimum Investment Return**

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	1,164,615
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	293,928
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	600,857
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	2,059,400
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	2,059,400
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)  . . . . .	<b>4</b>	30,891
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	2,028,509
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	101,425

**Part XI Distributable Amount**

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	101,425
<b>2a</b>	Tax on investment income for 2015 from Part VI, line 5. . . . .	<b>2a</b>	
<b>b</b>	Income tax for 2015 (This does not include the tax from Part VI ). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	<b>3</b>	101,425
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	101,425
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	<b>7</b>	101,425

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
<b>a</b>	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	<b>1a</b>	0
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7				101,425
<b>2</b> Undistributed income, if any, as of the end of 2015				
<b>a</b> Enter amount for 2014 only. . . . .				
<b>b</b> Total for prior years 20___, 20___, 20___				
<b>3</b> Excess distributions carryover, if any, to 2015				
<b>a</b> From 2010. . . . .				610,298
<b>b</b> From 2011. . . . .				
<b>c</b> From 2012. . . . .				
<b>d</b> From 2013. . . . .				153,135
<b>e</b> From 2014. . . . .				
<b>f</b> Total of lines 3a through e. . . . .	763,433			
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4 ► \$ _____				
<b>a</b> Applied to 2014, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2015 distributable amount. . . . .				
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a) )	101,425			101,425
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	662,008			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions. . . . .				
<b>e</b> Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions. . . . .				
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015. . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . . . .	508,873			
<b>9</b> Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a. . . . .	153,135			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2011. . . . .				
<b>b</b> Excess from 2012. . . . .				
<b>c</b> Excess from 2013. . . . .				153,135
<b>d</b> Excess from 2014. . . . .				
<b>e</b> Excess from 2015. . . . .				



**Part XV Supplementary Information**(continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
<b>Total . . . . .</b>				<b>▶ 3a</b>
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>				<b>▶ 3b</b>





**Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).**

THOMAS MARTIN

MARY MARTIN

**TY 2015 Accounting Fees Schedule****Name:** THE CONVERGENCE INSTITUTE**EIN:** 74-2871700**Software ID:** 15000290**Software Version:** 15.3.0.0

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
ACCOUNTING FEES	15,788			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2015 Gain/Loss from Sale of Other Assets Schedule

**Name:** THE CONVERGENCE INSTITUTE

**EIN:** 74-2871700

**Software ID:** 15000290

**Software Version:** 15.3.0.0

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
PER MERRILL LYNCH STMT	2015-09	P	2015-11		737,793	765,258			-27,348	
PER MERRILL LYNCH STMT	2013-01	P	2015-06		209,410	173,646			35,764	
EAGLE ROCK ENERGY PARTNERS	2013-07	P	2015-06		7,261	24,930			-17,669	
4208 754 SH AT MID CAP EQUITY FUND	2015-06	P	2014-12		50,000	45,160			4,840	

## **TY 2015 General Explanation Attachment**

**Name:** THE CONVERGENCE INSTITUTE

**EIN:** 74-2871700

**Software ID:** 15000290

**Software Version:** 15.3.0.0

**TY 2015 Investments Corporate Stock Schedule****Name:** THE CONVERGENCE INSTITUTE**EIN:** 74-2871700**Software ID:** 15000290**Software Version:** 15.3.0.0

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
73716 shares of MID CAP EQUITY FUND INST	761,538	824,143
54 shares of NETFLIX COM INC	6,470	6,177
375 shares of ORANGE	6,138	6,236
118 shares of PAYCHEX INC	6,196	6,241
79 shares of PROCTER GAMBLE	6,161	6,273
50 shares of RAYTHEON CO	6,288	6,227
81 shares of SALESFORCE COM INC	6,236	6,350
143 shares of SOUTHWEST AIRLINS	6,249	6,158
58 shares of WELL FARGO CO	3,076	3,153
21 shares of 3M COMPANY	3,287	3,163
355 shares of ISHARES 1-3 YEAR TREASURY BOND	29,986	29,948
216 shares of ISHARES CORE US	23,461	23,330
140 shares of MONDELEA INTERNATION	6,015	6,278
145 shares of LINEAR TECHNOLOGY	6,291	6,158
80 shares of LAM RESERCH CORP	6,170	6,354
61 shares of JOHNSON JOHNSON	6,191	6,266
25 shares of INTERCONTINENTAL EXCHANGE	6,118	6,406
59 shares of HONEYWELL INTL	5,969	6,111
183 shares of GENERAL MOTORS	6,277	6,224
40 shares of EXXON MOBIL	3,021	3,118
67 shares of DANAHER CORP	6,224	6,223
73 shares of CROWN CASTLE REIT	6,221	6,311
39 shares of COSTCO WHOLESALE CRP	6,261	6,299
61 shares of CITIGROUP INC	3,107	3,157
53 shares of AUTONATION INC	3,230	3,162
9 shares of AMAZON	5,892	6,083
67 shares of ADOBE SYS	6,210	6,294

**TY 2015 Investments - Other Schedule****Name:** THE CONVERGENCE INSTITUTE**EIN:** 74-2871700**Software ID:** 15000290**Software Version:** 15.3.0.0

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
SOVEREIGN NEW MILLENIUM II LP	AT COST	55,970	76,656
FRONTIER NIGHT LIGHT II LP	AT COST	18,050	18,050
HEADSTONG LLC	AT COST	50,000	
INTELLIGENT GENERATION	AT COST	55,569	55,569
GEARBOX EXPRESS	AT COST	7,009	7,009
HOME EC CONVERTIBLE NOTE	AT COST	700,000	700,000

**TY 2015 Other Expenses Schedule****Name:** THE CONVERGENCE INSTITUTE**EIN:** 74-2871700**Software ID:** 15000290**Software Version:** 15.3.0.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES	31			

**TY 2015 Other Income Schedule****Name:** THE CONVERGENCE INSTITUTE**EIN:** 74-2871700**Software ID:** 15000290**Software Version:** 15.3.0.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
INTELLIGENT GENERATION LLC 26-4840030	-40,983	-15,214	
FRONTIER NIGHT LIGHT I LLC 26-18128414	-2,685	-2,685	
FRONTIER NIGHT LIGHT II LP 26-2540661	-12,171	-12,171	
GEARBOX EXPRESS LLC 27-1657145	4,158	2,518	
SEATTLE PROPERTIES, LLC 27-1674818	-46,853	-37,137	
SOVEREIGN NEW MILLENNIUM FUND II 84-1522842	-27,598	-27,598	

**TY 2015 Other Increases Schedule****Name:** THE CONVERGENCE INSTITUTE**EIN:** 74-2871700**Software ID:** 15000290**Software Version:** 15.3.0.0

Description	Amount
PARTNERSHIP VALUATION ADJUSTMENTS	123,934

**TY 2015 Other Notes/Loans Receivable Short Schedule****Name:** THE CONVERGENCE INSTITUTE**EIN:** 74-2871700**Software ID:** 15000290**Software Version:** 15.3.0.0**Name of 501(c)(3) Organization****Balance Due**

**TY 2015 Other Professional Fees Schedule****Name:** THE CONVERGENCE INSTITUTE**EIN:** 74-2871700**Software ID:** 15000290**Software Version:** 15.3.0.0

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
INVESTMENT FEES	17,116	17,116		
WEBSITE FEES	720			

**TY 2015 Taxes Schedule****Name:** THE CONVERGENCE INSTITUTE**EIN:** 74-2871700**Software ID:** 15000290**Software Version:** 15.3.0.0

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FEDERAL TAX PAYMENT	8,120			



THE CONVERGENCE INSTITUTE

**2015 ANNUAL STATEMENT SUMMARY**  
**2015 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS**

Account No.  
51D-04093

Taxpayer No.  
XX-XXX1700

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Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
GOLDMAN SACHS RISING DIVIDEND GROWTH FD CL A		04/01/15	Dividend	63.68	63.68	
<b>TOTAL DIVIDEND ACTIVITY</b>				<b>2,112.60</b>	<b>941.09</b>	
<b>TOTAL ORDINARY DIVIDENDS</b>				<b>2,112.60</b>	<b>941.09</b>	
<b>TOTAL QUALIFIED DIVIDENDS</b>				<b>0.00</b>	<b>0.00</b>	
<b>TOTAL FEDERAL INCOME TAX WITHHELD</b>				<b>0.00</b>		
<b>TOTAL FOREIGN TAX PAID</b>				<b>0.00</b>		

**2015 DIVIDENDS AND DISTRIBUTIONS**

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
<b>CAPITAL GAINS DISTRIBUTIONS</b>					
<b>LONG-TERM CAPITAL GAINS DISTRIBUTIONS</b>					
CROWN CASTLE REIT INC SHS	73	12/31/15	Long Term Capital Gain	46.72	

**TOTAL LONG-TERM CAPITAL GAINS DISTRIBUTIONS**

**46.72**

**TOTAL CAPITAL GAIN DISTRIBUTIONS**

**46.72**

**NONDIVIDEND DISTRIBUTIONS**

CAPITAL PRODUCT PARTNRS	3500	02/13/15	Prin Payment	597.62	
	3500	05/13/15	Prin Payment	602.76	
			<b>Security Subtotal</b>	<b>1,200.38</b>	
GOLDMAN SACHS RISING DIVIDEND GROWTH FD CL A		04/01/15	Prin Payment	113.60	
<b>TOTAL NONDIVIDEND DISTRIBUTIONS</b>				<b>1,313.98</b>	
<b>TOTAL FEDERAL INCOME TAX WITHHELD</b>				<b>0.00</b>	
<b>TOTAL FOREIGN TAX PAID</b>				<b>0.00</b>	



THE CONVERGENCE INSTITUTE

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51D-04093

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## 2015 ANNUAL STATEMENT SUMMARY 2015 INTEREST INCOME

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
<b>INTEREST</b>					
ML BANK DEPOSIT PROGRAM		01/30/15	Bank Interest	8.75	
		02/27/15	Bank Interest	6.54	
		03/31/15	Bank Interest	5.63	
		04/30/15	Bank Interest	5.27	
		05/29/15	Bank Interest	3.47	
		06/30/15	Bank Interest	1.60	
		07/31/15	Bank Interest	0.03	
		08/31/15	Bank Interest	0.04	
		09/30/15	Bank Interest	0.03	
		10/30/15	Bank Interest	0.04	
		11/30/15	Bank Interest	0.02	
		12/31/15	Bank Interest	0.06	
			<b>Security Subtotal</b>	<b>31.48</b>	

**TOTAL INTEREST ACTIVITY**

**31.48**

**TOTAL INTEREST**

**31.48**

**TOTAL FEDERAL INCOME TAX WITHHELD**

**0.00**



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THE CONVERGENCE INSTITUTE

**2015 ANNUAL STATEMENT SUMMARY**  
**2015 OTHER DISTRIBUTIONS AND CHARGES\***

Security Description	Quantity	Date	Transaction Description	Total Amount	AMT Amount	Remarks
<b>LIMITED PARTNERSHIP INCOME</b>						
EAGLE ROCK ENERGY PARTNERS LP	3000	02/13/15	Dividend	210.00		
	3000	05/15/15	Dividend	210.00		
			<b>Security Subtotal</b>	<b>420.00</b>		
			<b>TOTAL LIMITED PARTNERSHIP INCOME</b>	<b>420.00</b>		

Investors in Limited Partnerships will receive information regarding these investments via Form 1065, Schedule K-1 directly from the partnership under separate cover. Certain hedge fund Limited Partnerships may not be in a position to distribute K-1s prior to April 15. If you are an investor in Limited Partnerships, you may want to obtain an extension for filing your federal, state and local income tax returns.

**FEE INFORMATION**

INV ADVISORY FEE

(2,983.46)

**TOTAL FEES**

**(2,983.46)**

\* Information in the Other Distributions and Charges section may have an impact on your Alternative Minimum Tax (AMT) calculation. Consult your Tax Advisor for more details.

\*\*\*END OF STATEMENT FOR THIS ACCOUNT\*\*\*