Form **990-PF** Department of the Treasury Internal Revenue Service

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

**2015**

Open to Public Inspection

For calendar year 2015 or tax year beginning , and ending

Name of foundation
KNIGHT FAMILY FOUNDATION FORMERLY
THE EDDY KNIGHT FAMILY FOUNDATION

A Employer identification number
72-1462097

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
P.O. BOX 52688

B Telephone number
337-233-0464

City or town, state or province, country, and ZIP or foreign postal code
LAFAYETTE, LA 70505

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 5,661,053.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | | | | |
| | 2 Check ▶ [X] if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 18,565. | 18,565. | 18,565. | |
| | 4 Dividends and interest from securities | 35,554. | 35,554. | 35,554. | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 76,894. | | | |
| | b Gross sales price for all assets on line 6a 494,820. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 76,894. | | |
| | 8 Net short-term capital gain | | | 0. | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | | | | |
| | 12 **Total.** Add lines 1 through 11 | 131,013. | 131,013. | 54,119. | |
| Operating and Administrative Expenses | 13 Compensation of officers directors, trustees, etc | 0. | 0. | 0. | 0. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees | | | | |
| | b Accounting fees | | | | |
| | c Other professional fees STMT 1 | 33,950. | 33,950. | 33,950. | 0. |
| | 17 Interest | | | | |
| | 18 Taxes STMT 2 | 482. | 482. | 0. | 0. |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses STMT 3 | 3,590. | 3,590. | 0. | 0. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 38,022. | 38,022. | 33,950. | 0. |
| | 25 Contributions, gifts, grants paid | 190,000. | | | 190,000. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 228,022. | 38,022. | 33,950. | 190,000. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a Excess of revenue over expenses and disbursements | <97,009.> | | | |
| | b **Net investment income** (if negative, enter -0-) | | 92,991. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | 20,169. | |

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**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| **Assets** | 1 Cash - non-interest-bearing | 3. | 2. | 2. |
| | 2 Savings and temporary cash investments | 3,975,759. | 3,816,119. | 3,816,119. |
| | 3 Accounts receivable ▶ 1,223. | | | |
| | Less: allowance for doubtful accounts ▶ | 1,619. | 1,223. | 1,223. |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations | | | |
| | b Investments - corporate stock STMT 4 | 1,617,280. | 1,680,308. | 1,843,709. |
| | c Investments - corporate bonds | | | |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other | | | |
| | 14 Land, buildings, and equipment: basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I) | 5,594,661. | 5,497,652. | 5,661,053. |
| **Liabilities** | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| **Net Assets or Fund Balances** | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.** | | | |
| | 27 Capital stock, trust principal, or current funds | 2,013,192. | 2,013,192. | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 3,581,469. | 3,484,460. | |
| | 30 **Total net assets or fund balances** | 5,594,661. | 5,497,652. | |
| | 31 **Total liabilities and net assets/fund balances** | 5,594,661. | 5,497,652. | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 5,594,661. |
| 2 | Enter amount from Part I, line 27a | 2 | <97,009.> |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 | Add lines 1, 2, and 3 | 4 | 5,497,652. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 5,497,652. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | | | | |
| b | SEE ATTACHED STATEMENT | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | 494,820. | | 418,233. | 76,894. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | 76,894. |

| | | | |
|---|---|---|---|
| 2 Capital gain net income or (net capital loss) | If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 | 2 | 76,894. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 | | 3 | <1,696.> |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) N/A

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2014 | | | |
| 2013 | | | |
| 2012 | | | |
| 2011 | | | |
| 2010 | | | |

| | | |
|---|---|---|
| 2 **Total** of line 1, column (d) | 2 | |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | |
| 4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 | 4 | |
| 5 Multiply line 4 by line 3 | 5 | |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | |
| 7 Add lines 5 and 6 | 7 | |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

| | | | | |
|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | | 1 | 1,860. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | 0. |
| 3 | Add lines 1 and 2 | | 3 | 1,860. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 1,860. |
| 6 | Credits/Payments: | | | |
| a | 2015 estimated tax payments and 2014 overpayment credited to 2015 | 6a 1,263. | | |
| b | Exempt foreign organizations - tax withheld at source | 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d | Backup withholding erroneously withheld | 6d | | |
| 7 | Total credits and payments. Add lines 6a through 6d | | 7 | 1,263. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached | | 8 | 2. |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** SEE STATEMENT 5 ▶ | | 9 | 599. |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | 10 | |
| 11 | Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶ | Refunded ▶ | 11 | |

**Part VII-A Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? | 1b | | X |
| | *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0. | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ LA | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ MARK COMEAUX Telephone no. ▶ 337-233-0464

Located at ▶ 2727 SE EVANGELINE THRUWAY, LAFAYETTE, LA ZIP+4 ▶ 70508

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ______, ______, ______, ______ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)* N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | |
|---|---|---|---|
| **5a** During the year did the foundation pay or incur any amount to: | | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | Yes [X] No | | |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | Yes [X] No | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | Yes [X] No | | |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) | Yes [X] No | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | Yes [X] No | | |
| **b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? | N/A | 5b | |
| Organizations relying on a current notice regarding disaster assistance check here | ▶ [ ] | | |
| **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A | Yes No | | |
| *If "Yes," attach the statement required by Regulations section 53.4945-5(d).* | | | |
| **6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | Yes [X] No | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 6b | X (No) |
| *If "Yes" to 6b, file Form 8870* | | | |
| **7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | Yes [X] No | | |
| **b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | N/A | 7b | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| ANN KNIGHT<br>PO BOX 52688<br>LAFAYETTE, LA 70508 | PRESIDENT<br>1.00 | 0. | 0. | 0. |
| MARK KNIGHT<br>PO BOX 52688<br>LAFAYETTE, LA 70508 | DIRECTOR<br>1.00 | 0. | 0. | 0. |
| BRYAN KNIGHT<br>PO BOX 52688<br>LAFAYETTE, LA 70508 | DIRECTOR<br>1.00 | 0. | 0. | 0. |
| KELLEY SOBIESK<br>3402 AMHERST AVE<br>HOUSTON, TX 77005 | DIRECTOR<br>1.00 | 0. | 0. | 0. |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 SEE STATEMENT 8 | 0. |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions. | |
| 3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 1,804,526. |
| b | Average of monthly cash balances | 1b | 4,002,044. |
| c | Fair market value of all other assets | 1c | |
| d | **Total** (add lines 1a, b, and c) | 1d | 5,806,570. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 5,806,570. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 87,099. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 5,719,471. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 285,974. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ [X] and do not complete this part.)

| | | | |
|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | 1 | |
| 2a | Tax on investment income for 2015 from Part VI, line 5 — 2a: | | |
| b | Income tax for 2015. (This does not include the tax from Part VI.) — 2b: | | |
| c | Add lines 2a and 2b | 2c | |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 | Add lines 3 and 4 | 5 | |
| 6 | Deduction from distributable amount (see instructions) | 6 | |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 190,000. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 190,000. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 190,000. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

N/A

| | (a) Corpus | (b) Years prior to 2014 | (c) 2014 | (d) 2015 |
|---|---|---|---|---|
| 1 Distributable amount for 2015 from Part XI, line 7 | | | | |
| 2 Undistributed income, if any, as of the end of 2015 | | | | |
| a Enter amount for 2014 only | | | | |
| b Total for prior years: _____, _____, _____ | | | | |
| 3 Excess distributions carryover, if any, to 2015: | | | | |
| a From 2010 | | | | |
| b From 2011 | | | | |
| c From 2012 | | | | |
| d From 2013 | | | | |
| e From 2014 | | | | |
| f **Total** of lines 3a through e | | | | |
| 4 Qualifying distributions for 2015 from Part XII, line 4: ▶ $ | | | | |
| a Applied to 2014, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | | | |
| c Treated as distributions out of corpus (Election required - see instructions) | | | | |
| d Applied to 2015 distributable amount | | | | |
| e Remaining amount distributed out of corpus | | | | |
| 5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).) | | | | |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | | | |
| e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr. | | | | |
| f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016 | | | | |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | | | | |
| 8 Excess distributions carryover from 2010 not applied on line 5 or line 7 | | | | |
| 9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a | | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2011 | | | | |
| b Excess from 2012 | | | | |
| c Excess from 2013 | | | | |
| d Excess from 2014 | | | | |
| e Excess from 2015 | | | | |

## Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [X] 4942(j)(3) or [ ] 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2015 | (b) 2014 | (c) 2013 | (d) 2012 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | 20,169. | 22,788. | 47,215. | 64,726. | 154,898. |
| b 85% of line 2a | 17,144. | 19,370. | 40,133. | 55,017. | 131,663. |
| c Qualifying distributions from Part XII, line 4 for each year listed | 190,000. | 198,000. | 206,576. | 335,441. | 930,017. |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | 0. | 0. | 0. | 0. | 0. |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | 190,000. | 198,000. | 206,576. | 335,441. | 930,017. |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test - enter: (1) Value of all assets | | | | | 0. |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | 0. |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | 190,649. | 195,467. | 183,926. | 291,379. | 861,421. |
| c "Support" alternative test - enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | 0. |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | 0. |
| (3) Largest amount of support from an exempt organization | | | | | 0. |
| (4) Gross investment income | | | | | 0. |

## Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

KELLEY SOBIESK, 337-233-0464
P.O. BOX 52688, LAFAYETTE, LA 70505

b The form in which applications should be submitted and information and materials they should include:

WRITTEN REQUEST WITH SUPPORT

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

IMPROVEMENT OF THE QUALITY OF LIFE AND SOCIAL CONDITIONING

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| *a Paid during the year* | | | | |
| ONE ACADIANA<br>804 E ST MARY BLVD<br>LAFAYETTE, LA 70505 | | | TO PROMOTE BUSINESS DEVELOPMENT IN ACADIANA | 25,000. |
| THE ALS ASSOCIATION<br>LOUISIANA-MISSISSIPPI<br>P.O. BOX 66825<br>BATON ROUGE, LA 70896 | | | IMPROVING QUALITY OF LIFE/EDUCATION | 7,500. |
| ST. EDMOND CATHOLIC CHURCH<br>4131 WEST CONGRESS STREET<br>LAFAYETTE, LA 70506 | | | SUPPORT ORGANIZATION'S PROGRAMS | 8,000. |
| BOYS & GIRLS CLUBS OF ACADIANA<br>P.O. BOX 62166<br>LAFAYETTE, LA 70596 | | | SUPPORT ORGANIZATION'S PROGRAMS FOR YOUTH | 5,000. |
| SAINT VINCENT DE PAUL CATHOLIC SCHOOL<br>6802 BUFFALO SPEEDWAY<br>HOUSTON, TX 77025 | | | IMPROVING QUALITY OF LIFE/EDUCATION | 5,000. |
| **Total** SEE CONTINUATION SHEET(S) | | | ▶ 3a | 190,000. |
| *b Approved for future payment* | | | | |
| NONE | | | | |
| | | | | |
| | | | | |
| **Total** | | | ▶ 3b | 0. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income (a) Business code | (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | (d) Amount | (e) Related or exempt function income |
|---|---|---|---|---|---|
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 18,565. | |
| 4 Dividends and interest from securities | | | 14 | 35,554. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 76,894. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 131,013. | 0. |
| 13 **Total.** Add line 12, columns (b), (d), and (e) | | | | 13 | 131,013. |

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | |

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Kelley Knight Dreesle — 8-9-16 — TRUSTEE

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| DAVID EDMOND | D A C Edmond | 8/9/2016 | | P01086254 |
| Firm's name ▶ POSTLETHWAITE & NETTERVILLE | | | Firm's EIN ▶ 72-1202445 | |
| Firm's address ▶ 8550 UNITED PLAZA BLVD, SUITE 1001 BATON ROUGE, LA 70809 | | | Phone no. (225)922-4600 | |

Form 990-PF (2015)

523622
11-24-15

**Part IV** Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | UBS ACCT#02517 - SEE ATTACHED | P | | |
| b | UBS ACCT#02517 - SEE ATTACHED | P | | |
| c | UBS ACCT#02519 - SEE ATTACHED | P | | |
| d | UBS ACCT#02520 - SEE ATTACHED | P | | |
| e | UBS ACCT#02520 - SEE ATTACHED | P | | |
| f | RAYMOND JAMES ACCT#78813110- SEE ATTACHED- ST | P | | |
| g | RAYMOND JAMES ACCT#78813110- SEE ATTACHED- LT | P | | |
| h | CAPITAL GAINS DIVIDENDS | | | |
| i | | | | |
| j | | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 4,896. | | 4,700. | 196. |
| b | 115,648. | | 75,023. | 40,932. |
| c | 81,692. | | 80,301. | 1,391. |
| d | 8,337. | | 7,957. | 380. |
| e | 34,888. | | 29,065. | 5,823. |
| f | 35,085. | | 37,357. | <2,272.> |
| g | 211,746. | | 183,830. | 27,916. |
| h | 2,528. | | | 2,528. |
| i | | | | |
| j | | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---|---|---|---|---|
| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a | | | | ** 196. |
| b | | | | 40,932. |
| c | | | | 1,391. |
| d | | | | ** 380. |
| e | | | | 5,823. |
| f | | | | ** <2,272.> |
| g | | | | 27,916. |
| h | | | | 2,528. |
| i | | | | |
| j | | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 | 76,894. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 | 3 | <1,696.> |

523591
04-01-15

** (SHORT-TERM)

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| STRAKE JESUIT COLLEGE PREPATORY<br>8900 BELLAIRE BLVD.<br>HOUSTON, TX 77036 | | | IMPROVING THE QUALITY OF LIFE/EDUCATION | 5,000. |
| BOY SCOUTS OF AMERICA<br>2306 SOUTH COLLEGE EXTENSION<br>LAFAYETTE, LA 70508 | | | IMPROVING QUALITY OF LIFE FOR CHILDREN | 25,000. |
| WOUNDED WARRIOR PROJECT<br>P.O. BOX 758517<br>TOPEKA, KS 66675 | | | IMPROVING QUALITY OF LIFE FOR VETERANS | 3,000. |
| OPELOUSAS LIGHTHOUSE MISSION<br>P.O. BOX 1437<br>OPELOUSAS, LA 70571 | | | IMPROVING SOCIAL CONDITIONING | 500. |
| ST. THOMAS MORE SCHOOL<br>450 EAST FARREL ROAD<br>LAFAYETTE, LA 70508 | | | IMPROVING QUALITY OF LIFE/EDUCATION FOR CHILDREN | 15,000. |
| OUR LADY OF FATIMA SCHOOL<br>2315 JOHNSTON<br>LAFAYETTE, LA 70503 | | | IMPROVING THE QUALITY OF LIFE/EDUCATION | 5,000. |
| CITY OF WILLISTON POLICE DEPARTMENT<br>223 EAST BROADWAY, SUITE 201<br>WILLISTON, ND 58801 | | | SUPPORT ORGANIZATION'S PROGRAMS | 1,000. |
| UNIVERSITY OF LOUISIANA AT LAFAYETTE FOUNDATION<br>P. O. BOX 44290<br>LAFAYETTE, LA 70504 | | | SUPPORT ORGANIZATION'S PROGRAMS | 25,000. |
| JUNIOR LEAGUE OF LAFAYETTE<br>504 RICHARD AVE<br>LAFAYETTE, LA 70508 | | | IMPROVING QUALITY OF LIFE FOR CHILDREN | 5,000. |
| OPERATION SAN JOSE<br>1315 ST. JOSEPH PARKWAY, SUITE 920<br>HOUSTON, TX 77002 | | | IMPROVING SOCIAL CONDITIONING | 3,500. |
| **Total from continuation sheets** | | | | 139,500. |

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| ST. MARY'S CHURCH<br>419 DOUCET ROAD<br>LAFAYETTE, LA 70503 | | | SUPPORT ORGANIZATION'S PROGRAMS | 10,000. |
| RAGIN CAJUNS ATHLETIC FOUNDATION<br>P. O. BOX 44290<br>LAFAYETTE, LA 70504 | | | SUPPORT ORGANIZATION'S PROGRAMS | 10,000. |
| LAFAYETTE MUSEUM ASSOCIATION<br>1122 LAFAYETTE STREET<br>LAFAYETTE, LA 70501 | | | IMPROVING SOCIAL CONDITIONING | 1,500. |
| DUCKS UNLIMITED<br>ONE WATERFOWL WAY<br>MEMPHIS, TN 38120 | | | SUPPORT ORGANIZATION'S PROGRAMS | 12,000. |
| FESTIVAL INTERNATIONAL DE LOUISIANE<br>PO BOX 4008<br>LAFAYETTE, LA 70502 | | | IMPROVING SOCIAL CONDITIONING | 3,500. |
| HOSPICE OF ACADIANA<br>2600 JOHNSTON STREET, SUITE 200<br>LAFAYETTE, LA 70503 | | | IMPROVING QUALITY OF LIFE | 4,500. |
| TIGER ATHLETIC FOUNDATION<br>P.O. BOX 711<br>BATON ROUGE, LA 70821 | | | SUPPORT ORGANIZATION'S PROGRAMS | 10,000. |
| | | | | |
| | | | | |
| | | | | |
| **Total from continuation sheets** | | | | |

523631
04-01-15

| FORM 990-PF | OTHER PROFESSIONAL FEES | | STATEMENT | 1 |
|---|---|---|---|---|

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| ADVISORY FEES | 33,950. | 33,950. | 33,950. | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 33,950. | 33,950. | 33,950. | 0. |

| FORM 990-PF | TAXES | | STATEMENT | 2 |
|---|---|---|---|---|

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| FOREIGN TAXES | 482. | 482. | 0. | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 482. | 482. | 0. | 0. |

| FORM 990-PF | OTHER EXPENSES | | STATEMENT | 3 |
|---|---|---|---|---|

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| BANK CHARGES | 90. | 90. | 0. | 0. |
| TAX PREP FEE | 3,500. | 3,500. | 0. | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 3,590. | 3,590. | 0. | 0. |

| FORM 990-PF | CORPORATE STOCK | | STATEMENT | 4 |
|---|---|---|---|---|

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| UBS ACCOUNT #02517-52 | 203,353. | 246,475. |
| UBS ACCOUNT #02519-52 | 421,464. | 414,383. |
| UBS ACCOUNT #02520-52 | 143,365. | 179,506. |
| UBS ACCOUNT #02516-52 | 31,481. | 61,481. |
| RAYMOND JAMES- #78813110 | 959. | 959. |
| RAYMOND JAMES - #24201447 | 879,686. | 940,905. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 1,680,308. | 1,843,709. |

| FORM 990-PF | INTEREST AND PENALTIES | STATEMENT 5 |
|---|---|---|

| | |
|---|---|
| TAX DUE FROM FORM 990-PF, PART VI | 597. |
| UNDERPAYMENT PENALTY | 2. |
| LATE PAYMENT INTEREST | 5. |
| LATE PAYMENT PENALTY | 9. |
| TOTAL AMOUNT DUE | 613. |

| FORM 990-PF | LATE PAYMENT PENALTY | STATEMENT 6 |
|---|---|---|

| DESCRIPTION | DATE | AMOUNT | BALANCE | MONTHS | PENALTY |
|---|---|---|---|---|---|
| TAX DUE | 05/15/16 | 597. | 597. | 3 | 9. |
| DATE FILED | 07/31/16 | | 597. | | |
| TOTAL LATE PAYMENT PENALTY | | | | | 9. |

| FORM 990-PF | LATE PAYMENT INTEREST | STATEMENT 7 |
|---|---|---|

| DESCRIPTION | DATE | AMOUNT | BALANCE | RATE | DAYS | INTEREST |
|---|---|---|---|---|---|---|
| TAX DUE | 05/15/16 | 597. | 597. | .0400 | 77 | 5. |
| DATE FILED | 07/31/16 | | 602. | | | |
| TOTAL LATE PAYMENT INTEREST | | | | | | 5. |

| FORM 990-PF | SUMMARY OF DIRECT CHARITABLE ACTIVITIES | STATEMENT 8 |
|---|---|---|

ACTIVITY ONE

CONTRIBUTIONS AND EXPENSES INCURRED IN THE REVIEW, ANALYSIS, AND QUALIFICATIONS OF GROUPS AND CAUSES TO MEET THE FOUNDATION'S PURPOSE OF IMPROVING THE QUALITY OF LIFE AND SOCIAL CONDITIONING OF CHILDREN

| | EXPENSES |
|---|---|
| TO FORM 990-PF, PART IX-A, LINE 1 | 0. |

Raymond James & Associates, Inc. Account 78813110

# Proceeds Not Reported to the IRS

2015 03/15/2016

*This section of your tax information statement provides proceeds transactions grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase Tax lots with an additional notation of 'Ordinary" represent neither short- nor long-term capital transactions You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment*

*Closing of written options is presented in a distinct manner in accordance with IRS regulation For these transactions the Cost or other basis is always presented as $0 00 and the Proceeds is the net of proceeds received when the option was written and the cost to close the position*

*Several column headings describe two related items: a quantity and a qualifier For example, Proceeds of sale and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount*

## SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

**Description of property**

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| AQR DIVERSIFIED ARBITRAGE FUND CLASS N N/L / CUSIP 00203H107 / Symbol ADANX | | | | | | | |
| | *2 transactions for 02/24/15* | | | | | | |
| | *11 208* | *113 31* | *VARIOUS* | *113 09* | | *0 22* | *Sale* |
| | *33 687* | *340 58* | *12/12/14* | *349 00* | .. | *-8 42* | *Sale* |
| 02/24/15 | 44 895 | 453 89 | Various | 462 09 | | -8 20 | Total of 2 transactions |
| AQR MANAGED FUTURES STRATEGY FUND CLASS N N/L / CUSIP 00203H842 / Symbol AQMNX | | | | | | | |
| 02/24/15 | 68 968 | 755.20 | VARIOUS | 713.83 | .. | 41 37 | Sale |
| ALIBABA GROUP HLDG LIMITED SPONSORED ADS / CUSIP 01609W102 / Symbol BABA | | | | | | | |
| | *2 transactions for 04/09/15* | | | | | | |
| | *1 000* | *86 42* | *09/22/14* | *89 78* | | *-3 36* | *Sale* |
| | *3 000* | *259.25* | *09/22/14* | *273 00* | | *-13 75* | *Sale* |
| 04/09/15 | 4 000 | 345 67 | Various | 362 78 | | -17 11 | Total of 2 transactions |
| | *2 transactions for 04/13/15* | | | | | | |
| | *1 000* | *84 09* | *09/22/14* | *89 77* | | *-5 68* | *Sale* |
| | *1 000* | *84 09* | *09/22/14* | *90.00* | | *-5 91* | *Sale* |
| 04/13/15 | 2.000 | 168 18 | Various | 179 77 | | -11 59 | Total of 2 transactions |
| | *2 transactions for 07/23/15* | | | | | | |
| | *1 000* | *83.87* | *09/22/14* | *90 00* | | *-6 13* | *Sale* |
| | *1 000* | *83 86* | *09/23/14* | *87 69* | | *-3 83* | *Sale* |
| 07/23/15 | 2 000 | 167 73 | Various | 177 69 | | -9 96 | Total of 2 transactions |

** D = adjustment amount is market discount. W = adjustment amount is a non-deductible wash sale loss. C = proceeds amount is from collectible assets

Raymond James & Associates, Inc. Account 78813110

# Proceeds Not Reported to the IRS
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2015 03/15/2016

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|---|---|---|---|---|---|---|---|
| ALIBABA GROUP HLDG LIMITED SPONSORED ADS / CUSIP 01609W102 / Symbol. BABA (cont'd) | | | | | | | |
| | *2 transactions for 07/24/15* | | | | | | |
| | *1 000* | *83 47* | *09/23/14* | *87.69* | .. | *-4 22* | *Sale* |
| | *1 000* | *83 46* | *09/24/14* | *88 24* | | *-4 78* | *Sale* |
| 07/24/15 | 2 000 | 166 93 | Various | 175 93 | . | -9 00 | Total of 2 transactions |
| | Security total | 848.51 | | 896 17 | . | -47 66 | |
| ASPEN TECHNOLOGY INCORPORATED / CUSIP 045327103 / Symbol AZPN | | | | | | | |
| 08/11/15 | 7 000 | 297 80 | 02/25/15 | 276 57 | | 21 23 | Sale |
| BAIDU INCORPORATED SPON ADR REP A (CAYMAN ISLANDS) / CUSIP 056752108 / Symbol BIDU | | | | | | | |
| 05/01/15 | 1 000 | 200 16 | 02/12/15 | 204 83 | | -4 67 | Sale |
| CENOVUS ENERGY INCORPORATED (CANADA) / CUSIP 15135U109 / Symbol CVE | | | | | | | |
| | *2 transactions for 06/10/15* | | | | | | |
| | *16 000* | *266 68* | *08/29/14* | *509 03* | | *-242 35* | *Sale* |
| | *4 000* | *66 67* | *12/12/14* | *70 87* | . | *-4 20* | *Sale* |
| 06/10/15 | 20.000 | 333 35 | Various | 579 90 | . | -246.55 | Total of 2 transactions |
| CEPHEID / CUSIP· 15670R107 / Symbol CPHD | | | | | | | |
| 08/06/15 | 1 000 | 53 23 | 12/12/14 | 50 33 | | 2 90 | Sale |
| CHIPOTLE MEXICAN GRILL INCORPORATED / CUSIP 169656105 / Symbol CMG | | | | | | | |
| 12/02/15 | 1.000 | 578.06 | 05/18/15 | 635.73 | ... | -57 67 | Sale |
| CITIGROUP INCORPORATED COM NEW / CUSIP 172967424 / Symbol C | | | | | | | |
| 09/08/15 | 3.000 | 153 75 | 07/21/15 | 177.45 | . | -23.70 | Sale |
| 12/16/15 | 4 000 | 211 37 | 07/23/15 | 240.79 | . | -29.42 | Sale |
| | Security total: | 365.12 | | 418.24 | . | -53.12 | |

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|---|---|---|---|---|---|---|---|
| COMPUTERSHARE LIMITED SPONSORED ADR / CUSIP 20557R105 / Symbol CMSQY | | | | | | | |
| 06/12/15 | 4 000 | 38 12 | 12/12/14 | 36 20 | . | 1 92 | Sale |
| CREDIT SUISSE COMMODITY RETURN STRATEGY FUND CLASS I N/L / CUSIP 22544R305 / Symbol CRSOX | | | | | | | |
| 02/24/15 | 43 799 | 257 10 | 12/12/14 | 279 00 | | -21 90 | Sale |
| CROWN HOLDINGS INCORPORATED / CUSIP 228368106 / Symbol CCK | | | | | | | |
| 01/28/15 | 9 000 | 408.57 | 04/24/14 | 429 35 | . | -20 78 | Sale |
| 02/04/15 | 8 000 | 369.25 | 05/14/14 | 393 04 | .. | -23 79 | Sale |
| | Security total· | 777 82 | | 822 39 | | -44 57 | |
| DEUTSCHE GLOBAL REAL ESTATE SECURITIES FD CLASS A M/F / CUSIP. 25159L109 / Symbol RRGAX | | | | | | | |
| 02/24/15 | 8 356 | 78.96 | VARIOUS | 75 04 | ... | 3.92 | Sale |
| DEVRY ED GROUP INCORPORATED / CUSIP 251893103 / Symbol DV | | | | | | | |
| | *2 transactions for 04/24/15* | | | | | | |
| | *9 000* | *293 81* | *08/05/14* | *378 77* | | *-84 96* | *Sale* |
| | *6 000* | *195 88* | *01/07/15* | *258 23* | ... | *-62.35* | *Sale* |
| 04/24/15 | 15.000 | 489.69 | Various | 637 00 | | -147 31 | Total of 2 transactions |
| DOLLAR GENERAL CORPORATION NEW / CUSIP. 256677105 / Symbol. DG | | | | | | | |
| | *2 transactions for 10/26/15* | | | | | | |
| | *1.000* | *65.99* | *04/21/15* | *75 60* | ... | *-9.61* | *Sale* |
| | *1 000* | *65 98* | *04/22/15* | *75 99* | | *-10 01* | *Sale* |
| 10/26/15 | 2.000 | 131.97 | Various | 151.59 | .. | -19.62 | Total of 2 transactions |
| | *3 transactions for 10/28/15* | | | | | | |
| | *2 000* | *134 40* | *04/22/15* | *151 97* | | *-17 57* | *Sale* |
| | *3 000* | *201 59* | *04/24/15* | *230 43* | | *-28 84* | *Sale* |
| | *1 000* | *67 20* | *04/28/15* | *75 21* | | *-8 01* | *Sale* |
| 10/28/15 | 6.000 | 403.19 | Various | 457.61 | . | -54.42 | Total of 3 transactions |

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## SHORT TERM TRANSACTIONS
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**Description of property**

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| DOLLAR GENERAL CORPORATION NEW / CUSIP. 256677105 / Symbol. DG (cont'd) | | | | | | | |
| | *2 transactions for 11/03/15* | | | | | | |
| | *1.000* | *68.44* | *04/28/15* | *75.21* | .. | *-6.77* | *Sale* |
| | *3 000* | *205 31* | *05/04/15* | *221 28* | | *-15 97* | *Sale* |
| 11/03/15 | 4.000 | 273.75 | Various | 296 49 | ... | -22 74 | Total of 2 transactions |
| 11/05/15 | 2 000 | 135.95 | 07/15/15 | 159.00 | . | -23 05 | Sale |
| 11/11/15 | 2 000 | 127 29 | 07/17/15 | 160 60 | | -33 31 | Sale |
| | Security total· | 1,072 15 | | 1,225 29 | | -153 14 | |
| ESTACIO PARTICIPACOES S A SPONSORED ADR (BRAZIL) / CUSIP· 297319105 / Symbol· ECPCY | | | | | | | |
| | *7 transactions for 01/15/15* | | | | | | |
| | *2.000* | *12.90* | *10/28/14* | *22.10* | .. | *-9 20* | *Sale* |
| | *15 000* | *96 73* | *10/29/14* | *172 17* | . | *-75 44* | *Sale* |
| | *3 000* | *19 35* | *10/30/14* | *35 42* | | *-16 07* | *Sale* |
| | *8 000* | *51 59* | *10/31/14* | *91 58* | . | *-39 99* | *Sale* |
| | *1 000* | *6 45* | *11/03/14* | *11 50* | | *-5 05* | *Sale* |
| | *14 000* | *90 27* | *11/04/14* | *158 95* | | *-68 68* | *Sale* |
| | *5 000* | *32 24* | *12/12/14* | *48 45* | | *-16 21* | *Sale* |
| 01/15/15 | 48 000 | 309 53 | Various | 540 17 | | -230 64 | Total of 7 transactions |
| GATEWAY FUND CLASS A M/F - NATIXIS ADVISOR / CUSIP. 367829207 / Symbol. GATEX | | | | | | | |
| 02/24/15 | 2.321 | 69 65 | VARIOUS | 68 02 | | 1 63 | Sale |
| GOLDMAN SACHS STRATEGIC INCOME FUND INST CLS N/L / CUSIP 38145C646 / Symbol GSZIX | | | | | | | |
| | *3 transactions for 02/24/15* | | | | | | |
| | *5 549* | *56 49* | *VARIOUS* | *57 03* | | *-0 54* | *Sale* |
| | *277 491* | *2,824 86* | *10/27/14* | *2,899 63* | ... | *-74.77* | *Sale* |
| | *221 540* | *2,255.27* | *12/12/14* | *2,272.89* | . | *-17.62* | *Sale* |
| 02/24/15 | 504 580 | 5,136 62 | Various | 5,229 55 | | -92 93 | Total of 3 transactions |

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|---|---|---|---|---|---|---|---|
| HONDA MOTOR LIMITED AMERN SHS (JAPAN) / CUSIP 438128308 / Symbol HMC | | | | | | | |
| 11/06/15 | 4 000 | 129 84 | 12/12/14 | 117 92 | | 11 92 | Sale |
| IMPERIAL TOBACCO GROUP PLC SPONSORED ADR / CUSIP 453142101 / Symbol ITYBY | | | | | | | |
| 04/29/15 | 4 000 | 390 91 | 05/07/14 | 348 23 | | 42 68 | Sale |
| INDIVIOR PLC SPONSORED ADR (UNITED KINGDOM) / CUSIP 45579E105 / Symbol INVVY | | | | | | | |
| 01/09/15 | 0 200 | 2 20 | 12/12/14 | 1 98 | | 0 22 | Sale |
| ING GROEP N V SPONSORED ADR (NETHERLANDS) / CUSIP 456837103 / Symbol ING | | | | | | | |
| | *2 transactions for 12/17/15* | | | | | | |
| | *37 000* | *498 47* | *02/12/15* | *506.07* | | *-7 60* | *Sale* |
| | *14.000* | *188.61* | *12/16/15* | *189.14* | | *-0.53* | *Sale* |
| 12/17/15 | 51 000 | 687.08 | Various | 695.21 | | -8 13 | Total of 2 transactions |
| IVY ASSET STRATEGY FUND CLASS Y N/L / CUSIP· 466000726 / Symbol· WASYX | | | | | | | |
| | *2 transactions for 02/24/15* | | | | | | |
| | *37.126* | *981.24* | *VARIOUS* | *957.85* | | *23 39* | *Sale* |
| | *40 525* | *1,071 07* | *12/12/14* | *1,035 00* | | *36 07* | *Sale* |
| 02/24/15 | 77 651 | 2,052.31 | Various | 1,992 85 | | 59.46 | Total of 2 transactions |
| JD COM INCORPORATED SPON ADR CLASS A (CAYMAN ISLANDS) / CUSIP 47215P106 / Symbol JD | | | | | | | |
| 07/24/15 | 8 000 | 276 34 | 04/13/15 | 274 26 | | 2 08 | Sale |
| | *2 transactions for 12/16/15* | | | | | | |
| | *1 000* | *32 02* | *04/13/15* | *34 28* | | *-2 26* | *Sale* |
| | *6 000* | *192 11* | *04/23/15* | *201 70* | | *-9 59* | *Sale* |
| 12/16/15 | 7.000 | 224 13 | Various | 235 98 | | -11.85 | Total of 2 transactions |
| | Security total· | 500 47 | | 510 24 | | -9 77 | |

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2015 03/15/2016

### SHORT TERM TRANSACTIONS
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|---|---|---|---|---|---|---|---|
| JOHN HANCOCK GLOBAL ABSOLUTE RETURN STRATEGIES FD CL I N/L / CUSIP 47804M878 / Symbol JHAIX | | | | | | | |
| 02/24/15 | 37 721 | 426.25 | VARIOUS | 413 05 | | 13 20 | Sale |
| JPMORGAN CORE BOND FUND CLASS A M/F / CUSIP 4812C0357 / Symbol PGBOX | | | | | | | |
| | *2 transactions for 11/17/15* | | | | | | |
| | *80 878* | *941 42* | *VARIOUS* | *951 23* | | *-9 81* | *Sale* |
| | *282 293* | *3,285 88* | *02/24/15* | *3,348 00* | | *-62 12* | *Sale* |
| 11/17/15 | 363 171 | 4,227 30 | Various | 4,299 23 | . | -71 93 | Total of 2 transactions |
| JULIUS BAER GROUP LIMITED ADR (SWITZERLAND) / CUSIP 48137C108 / Symbol JBAXY | | | | | | | |
| 07/28/15 | 6 000 | 64 55 | 12/12/14 | 54 84 | | 9 71 | Sale |
| LENDINGCLUB CORPORATION / CUSIP 52603A109 / Symbol LC | | | | | | | |
| 07/07/15 | 4 000 | 56 07 | 01/15/15 | 88 64 | | -32 57 | Sale |
| MATTRESS FIRM HLDG CORPORATION / CUSIP 57722W106 / Symbol MFRM | | | | | | | |
| 12/21/15 | 13 000 | 587 12 | 04/16/15 | 862 44 | | -275 32 | Sale |
| MCDONALDS CORPORATION / CUSIP 580135101 / Symbol. MCD | | | | | | | |
| | *2 transactions for 01/21/15* | | | | | | |
| | *6 000* | *541.37* | *06/18/14* | *606 95* | | *-65 58* | *Sale* |
| | *4 000* | *360 91* | *07/09/14* | *403 88* | | *-42 97* | *Sale* |
| 01/21/15 | 10.000 | 902.28 | Various | 1,010.83 | . | -108.55 | Total of 2 transactions |
| MEDTRONIC INCORPORATED / CUSIP: 585055106 / Symbol: MDTOLD | | | | | | | |
| 01/26/15 | 12 000 | 923.40 | 01/21/15 | 871.41 | ... | 51 99 | Merger |
| MONDELEZ INTERNATIONAL INCORPORATED CLASS A / CUSIP. 609207105 / Symbol MDLZ | | | | | | | |
| | *2 transactions for 04/23/15* | | | | | | |
| | *1 000* | *36 81* | *10/27/14* | *34 67* | .. | *2 14* | *Sale* |

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2015 — 03/15/2016

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|---|---|---|---|---|---|---|---|
| MONDELEZ INTERNATIONAL INCORPORATED CLASS A / CUSIP 609207105 / Symbol. MDLZ (cont'd) | | | | | | | |
| | *7 000* | *257.53* | *10/27/14* | *242 69* | ... | *14 84* | *Sale* |
| 04/23/15 | 8 000 | 294 34 | Various | 277 36 | | 16 98 | Total of 2 transactions |
| MONSTER BEVERAGE CORPORATION NEW / CUSIP 61174X109 / Symbol MNST | | | | | | | |
| | *2 transactions for 11/03/15* | | | | | | |
| | *1 000* | *134 59* | *04/17/15* | *136 25* | | *-1 66* | *Sale* |
| | *1.000* | *134 59* | *04/23/15* | *140 10* | | *-5 51* | *Sale* |
| 11/03/15 | 2 000 | 269.18 | Various | 276.35 | | -7 17 | Total of 2 transactions |
| 12/16/15 | 1 000 | 152 34 | 04/27/15 | 143 21 | | 9 13 | Sale |
| Security total | | 421 52 | | 419 56 | . | 1.96 | |
| ASG GLOBAL ALTERNATIVES FUND CLASS Y N/L - NATIXIS ADV / CUSIP 63872T885 / Symbol GAFYX | | | | | | | |
| 02/24/15 | 38 944 | 459 93 | VARIOUS | 430 11 | | 29 82 | Sale |
| NEUBERGER BERMAN LONG SHORT FUND CLASS A M/F / CUSIP. 64128R400 / Symbol NLSAX | | | | | | | |
| 02/24/15 | 2.164 | 28 24 | VARIOUS | 27.83 | .. | 0 41 | Sale |
| NORTHROP GRUMMAN CORPORATION / CUSIP 666807102 / Symbol NOC | | | | | | | |
| 12/16/15 | 1.000 | 189.04 | 01/07/15 | 147.29 | . | 41 75 | Sale |
| PALO ALTO NETWORKS INCORPORATED / CUSIP 697435105 / Symbol PANW | | | | | | | |
| 12/16/15 | 1 000 | 186.50 | 07/20/15 | 189 36 | | -2 86 | Sale |
| PERNOD RICARD S A ADR (FRANCE) / CUSIP· 714264207 / Symbol PDRDY | | | | | | | |
| 04/30/15 | 4 000 | 99 41 | 12/12/14 | 91 30 | . | 8 11 | Sale |
| BOSTON PARTNERS LONG/SHORT RESEARCH FUND INST CLASS N/L / CUSIP 74925K581 / Symbol BPIRX | | | | | | | |
| 02/24/15 | 6 686 | 103 70 | VARIOUS | 101 83 | | 1 87 | Sale |
| REYNOLDS AMERICAN INCORPORATED / CUSIP· 761713106 / Symbol RAI | | | | | | | |
| 06/17/15 | 0 945 | 70 84 | 04/22/15 | 71 66 | | -0 82 | Sale |
| 09/16/15 | 10 000 | 424.58 | 04/22/15 | 379 01 | | 45 57 | Sale |

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|---|---|---|---|---|---|---|---|
| REYNOLDS AMERICAN INCORPORATED / CUSIP 761713106 / Symbol. RAI (cont'd) | | | | | | | |
| 09/24/15 | 11 000 | 466 91 | 04/22/15 | 416 91 | | 50 00 | Sale |
| | *2 transactions for 10/07/15* | | | | | | |
| | *1.109* | *49 45* | *04/22/15* | *42 05* | | *7 40* | *Sale* |
| | *10.891* | *485 39* | *06/02/15* | *409 64* | | *75 75* | *Sale* |
| 10/07/15 | 12 000 | 534 84 | Various | 451 69 | | 83 15 | Total of 2 transactions |
| | *3 transactions for 10/14/15* | | | | | | |
| | *3.109* | *142 97* | *06/02/15* | *116 96* | | *26 01* | *Sale* |
| | *4 654* | *214 01* | *06/11/15* | *167 91* | | *46 10* | *Sale* |
| | *5 236* | *240 76* | *06/11/15* | *188 90* | | *51 86* | *Sale* |
| 10/14/15 | 13 000 | 597 74 | Various | 473 77 | | 123 97 | Total of 3 transactions |
| | Security total | 2,094 91 | | 1,793 04 | | 301 87 | |
| ROSETTA RESOURCES INCORPORATED / CUSIP 777779307 / Symbol ROSEOLDD | | | | | | | |
| 01/29/15 | 29 000 | 478 33 | 08/18/14 | 1,467 33 | | -989 00 | Sale |
| ROYAL KPN NV SPONSORED ADR (NETHERLANDS) / CUSIP 780641205 / Symbol KKPNY | | | | | | | |
| | *2 transactions for 04/15/15* | | | | | | |
| | *148 000* | *521 51* | *07/31/14* | *481 92* | | *39 59* | *Sale* |
| | *107 000* | *377 04* | *08/15/14* | *361 78* | | *15 26* | *Sale* |
| 04/15/15 | 255 000 | 898 55 | Various | 843 70 | | 54 85 | Total of 2 transactions |
| SBA COMMUNICATIONS CORPORATION / CUSIP· 78388J106 / Symbol SBAC | | | | | | | |
| 11/13/15 | 1 000 | 104 75 | 12/12/14 | 109 70 | | -4 95 | Sale |
| SS&C TECHNOLOGIES HLDGS INCORPORATED / CUSIP 78467J100 / Symbol SSNC | | | | | | | |
| 03/13/15 | 7 000 | 434 05 | 06/03/14 | 307 08 | | 126 97 | Sale |

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| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| **Description of property** | | | | | | | |
| ST JUDE MED INCORPORATED / CUSIP 790849103 / Symbol STJ | | | | | | | |
| 02/04/15 | 7 000 | 472 14 | 11/12/14 | 459 26 | | 12.88 | Sale |
| | *2 transactions for 04/08/15* | | | | | | |
| | *3 000* | *202 29* | *11/12/14* | *196.83* | | *5 46* | *Sale* |
| | *6 000* | *404.58* | *12/03/14* | *409 81* | | *-5 23* | *Sale* |
| 04/08/15 | 9 000 | 606 87 | Various | 606 64 | | 0 23 | Total of 2 transactions |
| | Security total | 1,079.01 | | 1,065 90 | | 13 11 | |
| SCHLUMBERGER LIMITED (NETHERLANDS ANTILLES) / CUSIP 806857108 / Symbol SLB | | | | | | | |
| | *2 transactions for 07/16/15* | | | | | | |
| | *3 000* | *251.59* | *11/04/14* | *282 27* | | *-30 68* | *Sale* |
| | *1 000* | *83 87* | *12/03/14* | *86.37* | | *-2 50* | *Sale* |
| 07/16/15 | 4 000 | 335 46 | Various | 368 64 | | -33 18 | Total of 2 transactions |
| SHERWIN WILLIAMS COMPANY / CUSIP 824348106 / Symbol SHW | | | | | | | |
| 12/16/15 | 1.000 | 267 63 | 03/23/15 | 287.10 | | -19 47 | Sale |
| TIFFANY & COMPANY NEW / CUSIP 886547108 / Symbol TIF | | | | | | | |
| 04/21/15 | 1.000 | 84 23 | 05/01/14 | 88.09 | | -3 86 | Sale |
| TWENTY FIRST CENTY FOX INCORPORATED CLASS A / CUSIP 90130A101 / Symbol FOXA | | | | | | | |
| 02/25/15 | 3 000 | 105 02 | 02/25/14 | 99 66 | | 5 36 | Sale |
| 02/27/15 | 1 000 | 35 00 | 03/12/14 | 32 70 | | 2 30 | Sale |
| | *2 transactions for 03/02/15* | | | | | | |
| | *2 000* | *70 19* | *03/12/14* | *65 40* | | *4 79* | *Sale* |
| | *2 000* | *70 20* | *03/13/14* | *64 78* | | *5 42* | *Sale* |
| 03/02/15 | 4 000 | 140 39 | Various | 130 18 | | 10 21 | Total of 2 transactions |

** D = adjustment amount is market discount  W = adjustment amount is a non-deductible wash sale loss  C = proceeds amount is from collectible assets

Raymond James & Associates, Inc. Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

## SHORT TERM TRANSACTIONS
Report on Form 8949, Part I, with Box C checked

Description of property

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| TWENTY FIRST CENTY FOX INCORPORATED CLASS A / CUSIP 90130A101 / Symbol FOXA (cont'd) | | | | | | | |
| | *2 transactions for 03/03/15* | | | | | | |
| | *1 000* | *35 59* | *03/13/14* | *32 39* | | *3.20* | *Sale* |
| | *2 000* | *71 17* | *09/03/14* | *72 46* | | *-1 29* | *Sale* |
| 03/03/15 | 3 000 | 106.76 | Various | 104 85 | | 1.91 | Total of 2 transactions |
| | *3 transactions for 03/17/15* | | | | | | |
| | *1 000* | *33 94* | *09/03/14* | *36 23* | | *-2 29* | *Sale* |
| | *4 000* | *135 76* | *09/08/14* | *144 04* | | *-8 28* | *Sale* |
| | *5 000* | *169 70* | *09/10/14* | *177 48* | | *-7 78* | *Sale* |
| 03/17/15 | 10 000 | 339 40 | Various | 357 75 | | -18 35 | Total of 3 transactions |
| Security total | | 726.57 | | 725.14 | | 1.43 | |
| TWITTER INCORPORATED / CUSIP. 90184L102 / Symbol TWTR | | | | | | | |
| 04/29/15 | 3 000 | 114 91 | 05/27/14 | 92 25 | | 22 66 | Sale |
| 07/01/15 | 1 000 | 35 26 | 07/30/14 | 46.91 | | -11 65 | Sale |
| 09/09/15 | 4.000 | 109.02 | 10/27/14 | 194.81 | | -85.79 | Sale |
| Security total | | 259 19 | | 333 97 | | -74 78 | |
| VIPSHOP HLDGS LIMITED SPONSORED ADR / CUSIP· 92763W103 / Symbol VIPS | | | | | | | |
| | *2 transactions for 06/29/15* | | | | | | |
| | *7.000* | *150.83* | *01/06/15* | *150.83* | ... | *0.00* | *Sale* |
| | *1.000* | *21.55* | *01/07/15* | *22.17* | ... | *-0.62* | *Sale* |
| 06/29/15 | 8 000 | 172.38 | Various | 173 00 | | -0 62 | Total of 2 transactions |
| 07/01/15 | 5.000 | 110.88 | 01/07/15 | 110 84 | ... | 0 04 | Sale |

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Raymond James & Associates, Inc.

Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 — 03/15/2016

## SHORT TERM TRANSACTIONS
Report on Form 8949, Part I, with Box C checked

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| VIPSHOP HLDGS LIMITED SPONSORED ADR / CUSIP. 92763W103 / Symbol VIPS (cont'd) | | | | | | | |
| | *2 transactions for 07/06/15* | | | | | | |
| | *7.000* | *145.23* | *01/07/15* | *155 17* | . | -9 94 | *Sale* |
| | *1 000* | *20 75* | *03/19/15* | *27 44* | | -6 69 | *Sale* |
| 07/06/15 | 8 000 | 165 98 | Various | 182 61 | | -16.63 | Total of 2 transactions |
| | *2 transactions for 07/08/15* | | | | | | |
| | *4 000* | *83 04* | *03/19/15* | *109 76* | | -26 72 | *Sale* |
| | *10.000* | *207 61* | *05/05/15* | *278.38* | . | -70.77 | *Sale* |
| 07/08/15 | 14.000 | 290 65 | Various | 388 14 | | -97.49 | Total of 2 transactions |
| | Security total. | 739.89 | | 854 59 | ... | -114 70 | |
| VMWARE INCORPORATED CLASS A COM / CUSIP 928563402 / Symbol VMW | | | | | | | |
| 10/15/15 | 2.000 | 136.30 | 09/14/15 | 159 98 | | -23 68 | Sale |
| WF ABSOLUTE RETURN FUND ADMIN CLASS N/L / CUSIP 94987W307 / Symbol WARDX | | | | | | | |
| | *2 transactions for 02/24/15* | | | | | | |
| | *24.629* | *276 59* | *VARIOUS* | *269.09* | . | 7 50 | *Sale* |
| | *23 524* | *264 18* | *12/12/14* | *259 00* | | 5 18 | *Sale* |
| 02/24/15 | 48.153 | 540 77 | Various | 528 09 | | 12 68 | Total of 2 transactions |
| MEDTRONIC PLC SHS (IRELAND) / CUSIP G5960L103 / Symbol MDT | | | | | | | |
| 08/12/15 | 6 000 | 459 65 | 01/26/15 | 458.89 | .. | 0 76 | Sale |
| MICHAEL KORS HLDGS LIMITED SHS (VIRGIN ISLANDS (BRITISH)) / CUSIP· G60754101 / Symbol KORS | | | | | | | |
| 01/07/15 | 1 000 | 69.04 | 01/08/14 | 76 90 | .. | -7 86 | Sale |
| 01/08/15 | 3.000 | 209 66 | 01/08/14 | 230 69 | | -21 03 | Sale |
| | Security total | 278 70 | | 307 59 | | -28 89 | |

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Raymond James & Associates, Inc. | Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 | 03/15/2016

## SHORT TERM TRANSACTIONS
Report on Form 8949, Part I, with Box C checked

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional Information |
|---|---|---|---|---|---|---|---|
| **Description of property** | | | | | | | |
| TYCO INTERNATIONAL PLC SHS (IRELAND) / CUSIP. G91442106 / Symbol TYC | | | | | | | |
| 10/21/15 | 11 000 | 401 10 | 02/25/15 | 467 93 | | -66 83 | Sale |
| | *2 transactions for 12/02/15* | | | | | | |
| | *2 000* | *69 34* | *02/25/15* | *85 08* | | *-15 74* | *Sale* |
| | *9 000* | *312 05* | *04/08/15* | *386 17* | | *-74 12* | *Sale* |
| 12/02/15 | 11 000 | 381 39 | Various | 471 25 | | -89 86 | Total of 2 transactions |
| | Security total. | 782.49 | | 939.18 | | -156.69 | |
| PERRIGO COMPANY PLC SHS (IRELAND) / CUSIP· G97822103 / Symbol PRGO | | | | | | | |
| | *2 transactions for 02/03/15* | | | | | | |
| | *1 000* | *150 91* | *12/15/14* | *154 33* | | *-3 42* | *Sale* |
| | *1 000* | *150 91* | *12/19/14* | *168 78* | | *-17 87* | *Sale* |
| 02/03/15 | 2 000 | 301 82 | Various | 323 11 | | -21 29 | Total of 2 transactions |
| NXP SEMICONDUCTORS N V (NETHERLANDS) / CUSIP N6596X109 / Symbol NXPI | | | | | | | |
| 11/23/15 | 3 000 | 235 62 | 03/11/15 | 293 96 | | -58 34 | Sale |
| | **Totals:** | **35,085.34** | | **37,356.94** | ... | **-2,271.60** | |

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Raymond James & Associates, Inc. — Account 78813110

## Proceeds Not Reported to the IRS
(continued)

2015 — 03/15/2016

### LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

Description of property

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), If any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| AQR DIVERSIFIED ARBITRAGE FUND CLASS N N/L / CUSIP 00203H107 / Symbol ADANX | | | | | | | |
| | *4 transactions for 02/24/15* | | | | | | |
| | *28 248* | *285 59* | *VARIOUS* | *307 07* | | *-21.48* | *Sale* |
| | *416 796* | *4,213 81* | *12/05/12* | *4,589 76* | | *-375 95* | *Sale* |
| | *180 724* | *1,827 12* | *01/09/13* | *1,981.10* | | *-153 98* | *Sale* |
| | *3 767* | *38 08* | *12/04/13* | *41 67* | | *-3 59* | *Sale* |
| 02/24/15 | 629 535 | 6,364 60 | Various | 6,919 60 | | -555 00 | Total of 4 transactions |
| AQR MANAGED FUTURES STRATEGY FUND CLASS N N/L / CUSIP 00203H842 / Symbol AQMNX | | | | | | | |
| | *3 transactions for 02/24/15* | | | | | | |
| | *14 229* | *155 81* | *VARIOUS* | *143.41* | | *12 40* | *Sale* |
| | *381.777* | *4,180 46* | *12/05/12* | *3,638.34* | | *542 12* | *Sale* |
| | *368 072* | *4,030 38* | *08/26/13* | *3,732 25* | | *298 13* | *Sale* |
| 02/24/15 | 764 078 | 8,366 65 | Various | 7 514 00 | | 852 65 | Total of 3 transactions |
| ABBOTT LABS / CUSIP 002824100 / Symbol ABT | | | | | | | |
| | *2 transactions for 05/27/15* | | | | | | |
| | *5 000* | *244 04* | *12/05/12* | *155 16* | | *88 88* | *Sale* |
| | *4 000* | *195 24* | *01/24/13* | *131 35* | | *63 89* | *Sale* |
| 05/27/15 | 9 000 | 439 28 | Various | 286 51 | | 152 77 | Total of 2 transactions |
| AKAMAI TECHNOLOGIES INCORPORATED / CUSIP. 00971T101 / Symbol AKAM | | | | | | | |
| 01/29/15 | 15 000 | 877.40 | 12/05/12 | 532.18 | | 345.22 | Sale |
| ALEXION PHARMACEUTICALS INCORPORATED / CUSIP 015351109 / Symbol ALXN | | | | | | | |
| 12/16/15 | 1 000 | 186 55 | 12/05/12 | 94 78 | | 91 77 | Sale |
| ALIBABA GROUP HLDG LIMITED SPONSORED ADS / CUSIP 01609W102 / Symbol BABA | | | | | | | |
| | *2 transactions for 12/16/15* | | | | | | |
| | *1 000* | *83 24* | *09/24/14* | *88 23* | | *-4 99* | *Sale* |

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Raymond James & Associates, Inc. Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| **Description of property** | | | | | | | |
| ALIBABA GROUP HLDG LIMITED SPONSORED ADS / CUSIP 01609W102 / Symbol BABA (cont'd) | | | | | | | |
| | *1 000* | *83 23* | *10/27/14* | *97 98* | | *-14 75* | *Sale* |
| 12/16/15 | 2 000 | 166 47 | Various | 186 21 | | -19 74 | Total of 2 transactions |
| ALPHABET INCORPORATED CAP STK CLASS C / CUSIP 02079K107 / Symbol GOOG | | | | | | | |
| | *2 transactions for 12/16/15* | | | | | | |
| | *0 995* | *738 47* | *12/05/12* | *341 81* | | *396 66* | *Sale* |
| | *0.006* | *4.08* | *08/14/14* | *3 14* | | *0 94* | *Sale* |
| 12/16/15 | 1 000 | 742.55 | Various | 344.95 | | 397 60 | Total of 2 transactions |
| AMAZON COM INCORPORATED / CUSIP· 023135106 / Symbol AMZN | | | | | | | |
| 12/01/15 | 1 000 | 678.77 | 08/11/09 | 83.28 | | 595 49 | Sale |
| AMBEV SA SPONSORED ADR (BRAZIL) / CUSIP 02319V103 / Symbol ABEV | | | | | | | |
| 08/13/15 | 66 000 | 352 40 | 06/18/14 | 457 33 | | -104 93 | Sale |
| AMERICAN TOWER CORPORATION NEW REIT / CUSIP· 03027X100 / Symbol· AMT | | | | | | | |
| 12/16/15 | 2.000 | 192.68 | 08/01/08 | 82.55 | | 110 13 | Sale |
| ANSYS INCORPORATED / CUSIP 03662Q105 / Symbol· ANSS | | | | | | | |
| 04/30/15 | 4 000 | 343 09 | 12/05/12 | 264.60 | | 78 49 | Sale |
| APPLE INCORPORATED / CUSIP· 037833100 / Symbol AAPL | | | | | | | |
| 10/26/15 | 2 000 | 231.21 | 05/07/13 | 130 58 | | 100.63 | Sale |
| 12/16/15 | 5.000 | 554 34 | 07/11/13 | 305 04 | | 249.30 | Sale |
| | Security total· | 785 55 | | 435 62 | | 349 93 | |
| ARM HLDGS PLC SPONSORED ADR (UNITED KINGDOM) / CUSIP: 042068106 / Symbol ARMH | | | | | | | |
| | *2 transactions for 05/18/15* | | | | | | |
| | *1 000* | *52 18* | *06/13/13* | *40 26* | | *11 92* | *Sale* |

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Raymond James & Associates, Inc. Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional Information |
|---|---|---|---|---|---|---|---|
| ARM HLDGS PLC SPONSORED ADR (UNITED KINGDOM) / CUSIP: 042068106 / Symbol ARMH (cont'd) | | | | | | | |
| | *3 000* | *156 54* | *06/14/13* | *121 97* | .. | *34 57* | *Sale* |
| 05/18/15 | 4 000 | 208 72 | Various | 162 23 | | 46 49 | Total of 2 transactions |
| BAIRD AGGREGATE BOND FUND INSTL CLASS N/L / CUSIP 057071854 / Symbol BAGIX | | | | | | | |
| 12/16/15 | 15 067 | 160.16 | 08/20/14 | 162 27 | .. | -2.11 | Sale |
| BIOMARIN PHARMACEUTICAL INCORPORATED / CUSIP 09061G101 / Symbol BMRN | | | | | | | |
| | *3 transactions for 07/15/15* | | | | | | |
| | *1 000* | *145 61* | *09/05/13* | *70 29* | | *75 32* | *Sale* |
| | *2 000* | *291 23* | *10/01/13* | *149 74* | | *141.49* | *Sale* |
| | *1 000* | *145 61* | *10/02/13* | *75 11* | | *70 50* | *Sale* |
| 07/15/15 | 4 000 | 582 45 | Various | 295 14 | | 287 31 | Total of 3 transactions |
| | *2 transactions for 07/31/15* | | | | | | |
| | *2 000* | *292 21* | *10/02/13* | *150 22* | | *141 99* | *Sale* |
| | *1 000* | *146 10* | *11/15/13* | *67 83* | | *78 27* | *Sale* |
| 07/31/15 | 3 000 | 438 31 | Various | 218.05 | . | 220 26 | Total of 2 transactions |
| | Security total | 1,020 76 | | 513 19 | | 507 57 | |
| BIOGEN INCORPORATED / CUSIP 09062X103 / Symbol. BIIB | | | | | | | |
| 03/17/15 | 1 000 | 421 57 | 12/05/12 | 151 28 | | 270 29 | Sale |
| 07/24/15 | 1 000 | 307 44 | 12/05/12 | 151 28 | | 156 16 | Sale |
| 07/31/15 | 1 000 | 318 57 | 12/05/12 | 151 28 | | 167 29 | Sale |
| 10/06/15 | 1 000 | 277 03 | 12/05/12 | 151 28 | | 125.75 | Sale |
| | Security total. | 1,324 61 | | 605 12 | .. | 719 49 | |

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Raymond James & Associates, Inc. | Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 | 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| **Description of property** | | | | | | | |
| BLACKBAUD INCORPORATED / CUSIP 09227Q100 / Symbol BLKB | | | | | | | |
| 05/04/15 | 4 000 | 206.18 | 12/05/12 | 89.20 | | 116 98 | Sale |
| 10/30/15 | 2 000 | 124 69 | 12/05/12 | 44 60 | | 80.09 | Sale |
| | Security total | 330 87 | | 133 80 | | 197 07 | |
| BRISTOL MYERS SQUIBB COMPANY / CUSIP: 110122108 / Symbol. BMY | | | | | | | |
| | *2 transactions for 07/22/15* | | | | | | |
| | *2 000* | *138 66* | *11/21/13* | *103 99* | | *34 67* | *Sale* |
| | *2 000* | *138 66* | *12/04/13* | *102 28* | | *36 38* | *Sale* |
| 07/22/15 | 4 000 | 277 32 | Various | 206 27 | | 71 05 | Total of 2 transactions |
| 07/24/15 | 3 000 | 198 63 | 12/04/13 | 153 42 | | 45 21 | Sale |
| | *2 transactions for 07/27/15* | | | | | | |
| | *1 000* | *64 53* | *12/04/13* | *51 14* | | *13 39* | *Sale* |
| | *1 000* | *64 52* | *12/05/13* | *51 21* | | *13 31* | *Sale* |
| 07/27/15 | 2 000 | 129 05 | Various | 102 35 | | 26 70 | Total of 2 transactions |
| | *2 transactions for 12/16/15* | | | | | | |
| | *3 000* | *210 20* | *12/05/13* | *153 63* | | *56 57* | *Sale* |
| | *1 000* | *70 07* | *12/11/13* | *50 78* | | *19 29* | *Sale* |
| 12/16/15 | 4 000 | 280 27 | Various | 204 41 | | 75 86 | Total of 2 transactions |
| | Security total | 885 27 | | 666 45 | | 218 82 | |
| CEB INCORPORATED / CUSIP: 125134106 / Symbol. CEB | | | | | | | |
| 06/19/15 | 5 000 | 440 25 | 12/05/12 | 218 90 | | 221 35 | Sale |
| CLECO CORPORATION NEW / CUSIP 12561W105 / Symbol CNL | | | | | | | |
| 01/07/15 | 21 000 | 1,146 09 | 12/05/12 | 851 23 | | 294.86 | Sale |
| 11/05/15 | 25 000 | 1,285 34 | 12/05/12 | 1,013.38 | | 271 96 | Sale |
| | Security total | 2,431 43 | | 1,864 61 | | 566 82 | |

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Raymond James & Associates, Inc. Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II with Box F checked

Description of property

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| CK HUTCHISON HLDGS LIMITED ADR (CAYMAN ISLANDS) / CUSIP 12562Y100 / Symbol CKHUY | | | | | | | |
| 06/26/15 | 0.528 | 12 66 | 12/05/12 | 8 04 | ... | 4 62 | Sale |
| CVS HEALTH CORPORATION / CUSIP 126650100 / Symbol CVS | | | | | | | |
| 11/04/15 | 3 000 | 295 70 | 12/05/12 | 138 63 | | 157 07 | Sale |
| 11/11/15 | 4 000 | 387 47 | 12/05/12 | 184 84 | | 202 63 | Sale |
| | Security total | 683 17 | | 323 47 | | 359 70 | |
| CALATLANTIC GROUP INCORPORATED / CUSIP. 128195104 / Symbol CAA | | | | | | | |
| 10/07/15 | 0 898 | 36 40 | 12/05/12 | 29 17 | | 7 23 | Sale |
| CANADIAN PAC RY LIMITED (CANADA) / CUSIP 13645T100 / Symbol CP | | | | | | | |
| 01/14/15 | 1 000 | 176 40 | 01/23/13 | 111 02 | | 65 38 | Sale |
| 01/15/15 | 1 000 | 177 94 | 02/01/13 | 115 63 | | 62 31 | Sale |
| 06/25/15 | 1 000 | 162 45 | 02/01/13 | 115 63 | | 46 82 | Sale |
| 06/26/15 | 1 000 | 162 06 | 07/17/13 | 127 49 | | 34 57 | Sale |
| | *2 transactions for 10/01/15* | | | | | | |
| | *1.000* | *145 72* | *07/17/13* | *127 48* | | *18 24* | *Sale* |
| | *1.000* | *145 72* | *11/04/13* | *145.60* | | *0.12* | *Sale* |
| 10/01/15 | 2 000 | 291 44 | Various | 273.08 | | 18 36 | Total of 2 transactions |
| 10/05/15 | 1 000 | 151.16 | 01/14/14 | 149.73 | ... | 1 43 | Sale |
| | Security total | 1,121 45 | | 892 58 | | 228 87 | |
| CELGENE CORPORATION / CUSIP 151020104 / Symbol: CELG | | | | | | | |
| 02/27/15 | 1 000 | 121 76 | 03/06/13 | 55 10 | .. | 66.68 | Sale |
| 03/03/15 | 1.000 | 118 42 | 03/06/13 | 55 10 | | 63 32 | Sale |
| 03/17/15 | 2 000 | 240 79 | 03/06/13 | 110.20 | | 130.59 | Sale |
| | *2 transactions for 04/16/15* | | | | | | |
| | *1 000* | *116 39* | *03/06/13* | *55.10* | ... | *61 29* | *Sale* |

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Raymond James & Associates, Inc.

Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015

03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

Description of property

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| CELGENE CORPORATION / CUSIP 151020104 / Symbol. CELG (cont'd) | | | | | | | |
| | *1 000* | *116 38* | *03/12/13* | *56 04* | | *60 34* | *Sale* |
| 04/16/15 | 2 000 | 232 77 | Various | 111 14 | | 121 63 | Total of 2 transactions |
| 06/04/15 | 1 000 | 110 87 | 03/12/13 | 56.04 | | 54 83 | Sale |
| 12/16/15 | 2 000 | 228 05 | 03/12/13 | 112.08 | | 115 97 | Sale |
| | Security total· | 1,052 68 | | 499.66 | | 553 02 | |
| CENOVUS ENERGY INCORPORATED (CANADA) / CUSIP· 15135U109 / Symbol CVE | | | | | | | |
| | *2 transactions for 06/10/15* | | | | | | |
| | *15 000* | *250 01* | *02/05/13* | *502 85* | | *-252 84* | *Sale* |
| | *25 000* | *416 69* | *10/08/13* | *732 85* | | *-316 16* | *Sale* |
| 06/10/15 | 40 000 | 666 70 | Various | 1,235 70 | | -569 00 | Total of 2 transactions |
| CEPHEID / CUSIP· 15670R107 / Symbol CPHD | | | | | | | |
| 08/06/15 | 21 000 | 1,117 83 | 10/23/13 | 830 44 | | 287 39 | Sale |
| CHIPOTLE MEXICAN GRILL INCORPORATED / CUSIP 169656105 / Symbol: CMG | | | | | | | |
| 01/30/15 | 1 000 | 711 40 | 12/05/12 | 266 00 | | 445.40 | Sale |
| 11/03/15 | 1.000 | 627 77 | 12/05/12 | 266.00 | | 361.77 | Sale |
| | Security total | 1,339.17 | | 532.00 | | 807.17 | |
| COMPUTERSHARE LIMITED SPONSORED ADR / CUSIP· 20557R105 / Symbol. CMSQY | | | | | | | |
| | *2 transactions for 06/11/15* | | | | | | |
| | *53 000* | *504 29* | *11/27/13* | *536 89* | | *-32 60* | *Sale* |
| | *28 000* | *266 41* | *03/31/14* | *317 39* | | *-50 98* | *Sale* |
| 06/11/15 | 81 000 | 770 70 | Various | 854 28 | | -83.58 | Total of 2 transactions |
| 06/12/15 | 9 000 | 85 76 | 03/31/14 | 102 02 | | -16 26 | Sale |
| | Security total | 856 46 | | 956 30 | | -99 84 | |

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Raymond James & Associates, Inc. | Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 | 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

Description of property

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| CONCHO RES INCORPORATED / CUSIP 20605P101 / Symbol· CXO | | | | | | | |
| | *2 transactions for 09/16/15* | | | | | | |
| | *1 000* | *102 51* | *10/02/13* | *113 29* | . | *-10 78* | *Sale* |
| | *2 000* | *205 02* | *10/02/13* | *228 34* | | *-23 32* | *Sale* |
| 09/16/15 | 3 000 | 307 53 | Various | 341 63 | | -34 10 | Total of 2 transactions |
| COSTCO WHOLESALE CORPORATION NEW / CUSIP. 22160K105 / Symbol COST | | | | | | | |
| 04/21/15 | 1.000 | 147.30 | 12/05/12 | 104 81 | | 42 49 | Sale |
| 12/16/15 | 2 000 | 323.01 | 12/05/12 | 209.62 | | 113 39 | Sale |
| | Security total | 470 31 | | 314.43 | | 155.88 | |
| COSTAR GROUP INCORPORATED / CUSIP· 22160N109 / Symbol CSGP | | | | | | | |
| 02/25/15 | 2 000 | 376 17 | 12/05/12 | 171 78 | | 204 39 | Sale |
| 12/16/15 | 1 000 | 204 03 | 12/05/12 | 85 89 | | 118.14 | Sale |
| | Security total | 580.20 | | 257 67 | | 322 53 | |
| CREDIT SUISSE COMMODITY RETURN STRATEGY FUND CLASS I N/L / CUSIP 22544R305 / Symbol CRSOX | | | | | | | |
| | *2 transactions for 02/24/15* | | | | | | |
| | *343 493* | *2,016 30* | *12/05/12* | *2,837 25* | | *-820 95* | *Sale* |
| | *17 361* | *101 91* | *12/04/13* | *125 00* | | *-23 09* | *Sale* |
| 02/24/15 | 360 854 | 2,118 21 | Various | 2,962 25 | | -844 04 | Total of 2 transactions |
| CUBIST PHARMACEUTICALS INCORPORATED / CUSIP. 229678107 / Symbol CBSTOLD | | | | | | | |
| 01/07/15 | 17 000 | 1,712 57 | 12/05/12 | 701.59 | ... | 1,010 98 | Sale |
| 01/15/15 | 12 000 | 1,217 52 | 12/05/12 | 495 24 | | 722 28 | Sale |
| | Security total | 2,930 09 | | 1,196.83 | . | 1,733 26 | |

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Raymond James & Associates, Inc. Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| DASSAULT SYSTEMS S A SPONSORED ADR (FRANCE) / CUSIP 237545108 / Symbol DASTY | | | | | | | |
| 04/15/15 | 7 000 | 470 02 | 12/05/12 | 389 94 | | 80 08 | Sale |
| 08/13/15 | 6.000 | 449 93 | 12/05/12 | 334.23 | | 115 70 | Sale |
| | Security total | 919 95 | | 724 17 | | 195 78 | |
| DEUTSCHE GLOBAL REAL ESTATE SECURITIES FD CLASS A M/F / CUSIP 25159L109 / Symbol RRGAX | | | | | | | |
| | *3 transactions for 02/24/15* | | | | | | |
| | *33 722* | *318 68* | *VARIOUS* | *267 46* | | *51 22* | *Sale* |
| | *228 299* | *2,157 43* | *12/05/12* | *1,881 18* | | *276 25* | *Sale* |
| | *16.829* | *159 03* | *12/04/13* | *138 00* | | *21 03* | *Sale* |
| 02/24/15 | 278 850 | 2,635 14 | Various | 2,286 64 | | 348 50 | Total of 3 transactions |
| DEVRY ED GROUP INCORPORATED / CUSIP 251893103 / Symbol DV | | | | | | | |
| | *2 transactions for 04/24/15* | | | | | | |
| | *30.000* | *979 38* | *03/21/13* | *963 45* | | *15 93* | *Sale* |
| | *1.000* | *32.65* | *12/04/13* | *35 22* | | *-2.57* | *Sale* |
| 04/24/15 | 31.000 | 1,012 03 | Various | 998 67 | | 13 36 | Total of 2 transactions |
| DIAGEO P L C SPON ADR NEW (UNITED KINGDOM) / CUSIP. 25243Q205 / Symbol DEO | | | | | | | |
| | *3 transactions for 06/30/15* | | | | | | |
| | *1 000* | *116 72* | *02/22/13* | *120 88* | | *-4 16* | *Sale* |
| | *1 000* | *116 73* | *03/04/13* | *119 13* | | *-2 40* | *Sale* |
| | *1.000* | *116 72* | *03/06/13* | *120 36* | | *-3 64* | *Sale* |
| 06/30/15 | 3 000 | 350 17 | Various | 360 37 | | -10 20 | Total of 3 transactions |
| | *4 transactions for 07/08/15* | | | | | | |
| | *1 000* | *114.65* | *03/06/13* | *120 36* | | *-5.71* | *Sale* |
| | *1 000* | *114 65* | *03/08/13* | *119 22* | | *-4 57* | *Sale* |
| | *2 000* | *229 29* | *03/12/13* | *238 38* | | *-9 09* | *Sale* |

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Raymond James & Associates, Inc. Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional Information |
|---|---|---|---|---|---|---|---|
| DIAGEO P L C SPON ADR NEW (UNITED KINGDOM) / CUSIP. 25243Q205 / Symbol DEO (cont'd) | | | | | | | |
| | *1 000* | *114 65* | *03/19/13* | *123 38* | | *-8 73* | *Sale* |
| 07/08/15 | 5 000 | 573 24 | Various | 601 34 | | -28 10 | Total of 4 transactions |
| | Security total | 923 41 | | 961 71 | | -38.30 | |
| DISNEY WALT COMPANY COM DISNEY / CUSIP· 254687106 / Symbol DIS | | | | | | | |
| 12/16/15 | 2 000 | 225.44 | 12/05/12 | 99 40 | | 126 04 | Sale |
| DONALDSON INCORPORATED / CUSIP 257651109 / Symbol DCI | | | | | | | |
| 06/19/15 | 26 000 | 940 65 | 12/05/12 | 870 22 | | 70 43 | Sale |
| DOUBLELINE TOTAL RETURN BOND FUND CLASS I N/L / CUSIP 258620103 / Symbol DBLTX | | | | | | | |
| 12/16/15 | 90 396 | 977.18 | 07/18/13 | 996 16 | | -18 98 | Sale |
| DUNKIN BRANDS GROUP INCORPORATED / CUSIP 265504100 / Symbol DNKN | | | | | | | |
| | *2 transactions for 01/05/15* | | | | | | |
| | *6 000* | *251 46* | *12/05/12* | *187 38* | | *64 08* | *Sale* |
| | *5 000* | *209 55* | *05/15/13* | *213 40* | | *-3.85* | *Sale* |
| 01/05/15 | 11 000 | 461 01 | Various | 400 78 | | 60 23 | Total of 2 transactions |
| EXPONENT INCORPORATED / CUSIP. 30214U102 / Symbol· EXPO | | | | | | | |
| 12/21/15 | 7 000 | 350.58 | 06/19/13 | 203.72 | | 146 86 | Sale |
| EXPRESS SCRIPTS HLDG COMPANY / CUSIP. 30219G108 / Symbol. ESRX | | | | | | | |
| | *2 transactions for 03/11/15* | | | | | | |
| | *3.000* | *245 92* | *08/08/13* | *198.92* | | *47 00* | *Sale* |
| | *4 000* | *327 90* | *08/14/13* | *262 11* | | *65 79* | *Sale* |
| 03/11/15 | 7 000 | 573 82 | Various | 461.03 | | 112 79 | Total of 2 transactions |

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Raymond James & Associates, Inc. Account 78813110

## Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

### LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| EXPRESS SCRIPTS HLDG COMPANY / CUSIP 30219G108 / Symbol ESRX (cont'd) | | | | | | | |
| | *2 transactions for 04/08/15* | | | | | | |
| | *3 000* | *252 70* | *08/14/13* | *196 58* | | *56 12* | *Sale* |
| | *2 000* | *168 47* | *08/20/13* | *129 40* | | *39 07* | *Sale* |
| 04/08/15 | 5 000 | 421 17 | Various | 325.98 | .. | 95.19 | Total of 2 transactions |
| | *2 transactions for 04/15/15* | | | | | | |
| | *1 000* | *87 64* | *08/20/13* | *64.70* | .. | *22 94* | *Sale* |
| | *6.000* | *525.83* | *10/09/13* | *368 99* | .. | *156.84* | *Sale* |
| 04/15/15 | 7 000 | 613.47 | Various | 433 69 | | 179 78 | Total of 2 transactions |
| | Security total: | 1,608.46 | | 1,220.70 | ... | 387.76 | |
| EXXON MOBIL CORPORATION / CUSIP 30231G102 / Symbol XOM | | | | | | | |
| | *2 transactions for 09/09/15* | | | | | | |
| | *3 000* | *221 97* | *12/05/12* | *264 57* | | *-42.60* | *Sale* |
| | *3 000* | *221 98* | *05/08/13* | *274 22* | | *-52.24* | *Sale* |
| 09/09/15 | 6.000 | 443.95 | Various | 538.79 | | -94.84 | Total of 2 transactions |
| | *2 transactions for 10/14/15* | | | | | | |
| | *2 000* | *160 80* | *05/08/13* | *182 82* | | *-22 02* | *Sale* |
| | *5 000* | *401 99* | *11/06/13* | *465 59* | | *-63 60* | *Sale* |
| 10/14/15 | 7.000 | 562.79 | Various | 648.41 | | -85.62 | Total of 2 transactions |
| | Security total. | 1,006 74 | | 1,187 20 | ... | -180.46 | |
| FACEBOOK INCORPORATED CLASS A / CUSIP 30303M102 / Symbol FB | | | | | | | |
| 12/16/15 | 5 000 | 524 69 | 12/05/12 | 136 55 | | 388 14 | Sale |
| FEDERATED SHORT TERM INCOME FUND CLASS Y N/L / CUSIP 31420C787 / Symbol FSTYX | | | | | | | |
| 12/16/15 | 81 174 | 687.54 | 12/05/12 | 706.21 | | -18 67 | Sale |

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Raymond James & Associates, Inc. Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| F5 NETWORKS INCORPORATED / CUSIP· 315616102 / Symbol FFIV | | | | | | | |
| 05/27/15 | 11 000 | 1,380 53 | 12/06/12 | 1,021 71 | | 358 82 | Sale |
| FIDELITY NATL INFORMATION SVCS / CUSIP 31620M106 / Symbol: FIS | | | | | | | |
| 09/02/15 | 5 000 | 335 32 | 05/08/13 | 218 06 | | 117 26 | Sale |
| FIREEYE INCORPORATED / CUSIP 31816Q101 / Symbol FEYE | | | | | | | |
| | *3 transactions for 11/05/15* | | | | | | |
| | *3 000* | *66 52* | *03/07/14* | *247 03* | | *-180 51* | *Sale* |
| | *3 000* | *66 52* | *03/14/14* | *236 55* | | *-170 03* | *Sale* |
| | *4 000* | *88 70* | *09/10/14* | *139 71* | | *-51 01* | *Sale* |
| 11/05/15 | 10 000 | 221 74 | Various | 623 29 | | -401 55 | Total of 3 transactions |
| | *2 transactions for 11/12/15* | | | | | | |
| | *1 000* | *22.30* | *09/10/14* | *34 93* | .. | *-12 63* | *Sale* |
| | *6 000* | *133 79* | *10/28/14* | *200 57* | | *-66 78* | *Sale* |
| 11/12/15 | 7.000 | 156 09 | Various | 235.50 | ... | -79 41 | Total of 2 transactions |
| | Security total· | 377 83 | | 858 79 | | -480 96 | |
| FIRST REP BK SAN FRANCISCO CAL / CUSIP. 33616C100 / Symbol. FRC | | | | | | | |
| 12/21/15 | 5.000 | 327.40 | 12/05/12 | 166.50 | | 160 90 | Sale |
| FLEETCOR TECHNOLOGIES INCORPORATED / CUSIP 339041105 / Symbol FLT | | | | | | | |
| 10/21/15 | 1.000 | 144 15 | 01/22/14 | 107.61 | .. | 36.54 | Sale |
| GATEWAY FUND CLASS A M/F - NATIXIS ADVISOR / CUSIP. 367829207 / Symbol: GATEX | | | | | | | |
| | *2 transactions for 02/24/15* | | | | | | |
| | *5 356* | *160 74* | *VARIOUS* | *148 64* | | *12 10* | *Sale* |
| | *166 995* | *5,011.52* | *12/05/12* | *4,543 94* | | *467 58* | *Sale* |
| 02/24/15 | 172 351 | 5,172 26 | Various | 4,692 58 | | 479 68 | Total of 2 transactions |

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Raymond James & Associates, Inc. Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

Description of property

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| GILEAD SCIENCES INCORPORATED / CUSIP 375558103 / Symbol: GILD | | | | | | | |
| | *2 transactions for 04/07/15* | | | | | | |
| | *3.000* | *296.94* | *12/05/12* | *111.81* | . | *185 13* | *Sale* |
| | *1 000* | *98 98* | *01/25/13* | *39 64* | | *59 34* | *Sale* |
| 04/07/15 | 4 000 | 395 92 | Various | 151 45 | | 244 47 | Total of 2 transactions |
| 07/31/15 | 2.000 | 235.39 | 01/25/13 | 79.28 | . | 156 11 | Sale |
| 09/25/15 | 1 000 | 100 17 | 01/25/13 | 39 64 | | 60 53 | Sale |
| 09/28/15 | 2 000 | 190 36 | 01/25/13 | 79 28 | . | 111 08 | Sale |
| 09/29/15 | 3 000 | 288 28 | 02/12/13 | 122 49 | | 165 79 | Sale |
| 10/01/15 | 2 000 | 195 87 | 02/12/13 | 81 66 | | 114 21 | Sale |
| 10/02/15 | 1 000 | 96 87 | 02/12/13 | 40 83 | | 56 04 | Sale |
| | Security total. | 1,502 86 | | 594 63 | | 908 23 | |
| GOLDMAN SACHS GROUP INCORPORATED / CUSIP· 38141G104 / Symbol· GS | | | | | | | |
| 12/16/15 | 1 000 | 184 04 | 12/05/12 | 117 60 | | 66 44 | Sale |
| GOLDMAN SACHS EMERGING MKTS DEBT FD INST CLS N/L / CUSIP 38143H886 / Symbol GSDIX | | | | | | | |
| 12/16/15 | 68 410 | 807 92 | 12/05/12 | 965 96 | . | -158 04 | Sale |
| GOOGLE INCORPORATED CLASS C / CUSIP· 38259P706 / Symbol GOOGOLDD | | | | | | | |
| 05/06/15 | 0 008 | 4 59 | 12/05/12 | 2 84 | . | 1 75 | Sale |
| GROUP 1 AUTOMOTIVE INCORPORATED / CUSIP 398905109 / Symbol. GPI | | | | | | | |
| | *3 transactions for 07/22/15* | | | | | | |
| | *9 000* | *814 35* | *12/05/12* | *560 60* | | *253 75* | *Sale* |
| | *8 000* | *723 87* | *12/06/12* | *497 08* | | *226 79* | *Sale* |
| | *4 000* | *361.94* | *05/08/14* | *295 98* | . | *65 96* | *Sale* |
| 07/22/15 | 21.000 | 1,900 16 | Various | 1,353 66 | | 546 50 | Total of 3 transactions |
| HDFC LIMITED ADR REPS 3 SHS (INDIA) / CUSIP 40415F101 / Symbol HDB | | | | | | | |
| 02/12/15 | 12 000 | 715 41 | 12/05/12 | 501.60 | | 213 81 | Sale |

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Raymond James & Associates, Inc.

Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 | 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| HSBC HLDGS PLC SPON ADR NEW (UNITED KINGDOM) / CUSIP· 404280406 / Symbol· HSBC | | | | | | | |
| 02/12/15 | 9 000 | 414 90 | 12/05/12 | 468 18 | | -53.28 | Sale |
| HARMAN INTERNATIONAL INDUSTRIES INCORPORATED / CUSIP 413086109 / Symbol HAR | | | | | | | |
| 04/30/15 | 3 000 | 391 21 | 07/24/13 | 173 53 | | 217 68 | Sale |
| HELLENIC TELECOM ORGANIZATN SA SPONSORED ADR (GREECE) / CUSIP 423325307 / Symbol HLTOY | | | | | | | |
| | *2 transactions for 09/24/15* | | | | | | |
| | *23 000* | *100 86* | *08/19/14* | *156 73* | | *-55 87* | *Sale* |
| | *42 000* | *184 17* | *08/20/14* | *290 76* | | *-106 59* | *Sale* |
| 09/24/15 | 65 000 | 285 03 | Various | 447 49 | | -162 46 | Total of 2 transactions |
| HONDA MOTOR LIMITED AMERN SHS (JAPAN) / CUSIP 438128308 / Symbol HMC | | | | | | | |
| 11/06/15 | 83 000 | 2,694 09 | 12/05/12 | 2,738 98 | | -44 89 | Sale |
| HONEYWELL INTERNATIONAL INCORPORATED / CUSIP 438516106 / Symbol HON | | | | | | | |
| 07/22/15 | 2.000 | 209 35 | 12/05/12 | 123 04 | | 86.31 | Sale |
| 09/02/15 | 5 000 | 483 60 | 12/05/12 | 307.60 | | 176 00 | Sale |
| | Security total | 692.95 | | 430 64 | | 262 31 | |
| ILLUMINA INCORPORATED / CUSIP. 452327109 / Symbol. ILMN | | | | | | | |
| 12/16/15 | 1.000 | 185 06 | 12/05/12 | 51.92 | | 133.14 | Sale |
| IMPERIAL TOBACCO GROUP PLC SPONSORED ADR / CUSIP 453142101 / Symbol ITYBY | | | | | | | |
| 04/22/15 | 4 000 | 395.23 | 04/09/14 | 328.06 | | 67.17 | Sale |
| 04/29/15 | 1 000 | 97 73 | 04/09/14 | 82 02 | | 15 71 | Sale |
| | Security total· | 492 96 | | 410 08 | | 82 88 | |
| INCYTE CORPORATION / CUSIP· 45337C102 / Symbol INCY | | | | | | | |
| | *2 transactions for 03/10/15* | | | | | | |
| | *1.000* | *88 80* | *01/24/14* | *64.71* | ... | *24.09* | *Sale* |

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Raymond James & Associates, Inc.

Account 78813110

# Proceeds Not Reported to the IRS

(continued)

2015

03/15/2016

## LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked

Description of property

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| INCYTE CORPORATION / CUSIP 45337C102 / Symbol. INCY (cont'd) | | | | | | | |
| | *1.000* | *88 80* | *01/31/14* | *66.04* | | *22 76* | *Sale* |
| 03/10/15 | 2 000 | 177 60 | Various | 130.75 | | 46 85 | Total of 2 transactions |
| 10/05/15 | 1 000 | 120 37 | 01/31/14 | 66 04 | | 54 33 | Sale |
| 10/21/15 | 2.000 | 214.85 | 02/14/14 | 129 54 | | 85.31 | Sale |
| | Security total | 512 82 | | 326 33 | | 186.49 | |
| INDIVIOR PLC SPONSORED ADR (UNITED KINGDOM) / CUSIP 45579E105 / Symbol· INVVY | | | | | | | |
| 01/02/15 | 4 000 | 45.12 | 12/05/12 | 31 80 | | 13.32 | Sale |
| | *2 transactions for 01/09/15* | | | | | | |
| | *0 160* | *1 76* | *12/05/12* | *1 27* | | *0.49* | *Sale* |
| | *0 200* | *2 20* | *12/04/13* | *1.96* | | *0 24* | *Sale* |
| 01/09/15 | 0 360 | 3.96 | Various | 3 23 | | 0 73 | Total of 2 transactions |
| | Security total: | 49 08 | | 35 03 | | 14 05 | |
| ING GROEP N V SPONSORED ADR (NETHERLANDS) / CUSIP 456837103 / Symbol ING | | | | | | | |
| | *2 transactions for 12/17/15* | | | | | | |
| | *123 000* | *1,657 07* | *12/05/12* | *1,149 68* | | *507 39* | *Sale* |
| | *5 000* | *67 36* | *12/12/14* | *67 85* | | *-0.49* | *Sale* |
| 12/17/15 | 128 000 | 1,724 43 | Various | 1,217 53 | | 506 90 | Total of 2 transactions |
| IVY ASSET STRATEGY FUND CLASS Y N/L / CUSIP 466000726 / Symbol WASYX | | | | | | | |
| | *2 transactions for 02/24/15* | | | | | | |
| | *9 788* | *258.70* | *VARIOUS* | *255 28* | | *3 42* | *Sale* |
| | *182 885* | *4,833 65* | *12/05/12* | *4,685 52* | | *148 13* | *Sale* |
| 02/24/15 | 192 673 | 5,092 35 | Various | 4,940 80 | | 151 55 | Total of 2 transactions |

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Raymond James & Associates, Inc. Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), If any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| JPMORGAN CHASE & COMPANY / CUSIP: 46625H100 / Symbol: JPM | | | | | | | |
| 07/16/15 | 4 000 | 278 83 | 12/05/12 | 165 64 | | 113 19 | Sale |
| JACOBS ENGR GROUP INCORPORATED DEL / CUSIP 469814107 / Symbol. JEC | | | | | | | |
| 08/25/15 | 23 000 | 875 33 | 12/05/12 | 952 89 | | -77 56 | Sale |
| JOHN HANCOCK GLOBAL ABSOLUTE RETURN STRATEGIES FD CL I N/L / CUSIP 47804M878 / Symbol JHAIX | | | | | | | |
| | *2 transactions for 02/24/15* | | | | | | |
| | *16 562* | *187.15* | *VARIOUS* | *180 20* | | *6 95* | *Sale* |
| | *697 196* | *7,878 31* | *12/05/12* | *7,507 79* | | *370 52* | *Sale* |
| 02/24/15 | 713 758 | 8,065 46 | Various | 7,687 99 | | 377 47 | Total of 2 transactions |
| JOHNSON CONTROLS INCORPORATED / CUSIP 478366107 / Symbol JCI | | | | | | | |
| 12/17/15 | 10 000 | 390 16 | 12/05/12 | 274 79 | | 115 37 | Sale |
| JPMORGAN CORE BOND FUND CLASS A M/F / CUSIP 4812C0357 / Symbol· PGBOX | | | | | | | |
| | *3 transactions for 11/17/15* | | | | | | |
| | *358.588* | *4,173.98* | *VARIOUS* | *4,207.92* | ... | *-33.94* | *Sale* |
| | *2,768 893* | *32,229 91* | *12/05/12* | *33,642 05* | | *-1,412 14* | *Sale* |
| | *141 430* | *1,646 24* | *12/04/13* | *1,642 00* | | *4 24* | *Sale* |
| 11/17/15 | 3,268 911 | 38,050 13 | Various | 39,491 97 | | -1,441 84 | Total of 3 transactions |
| JULIUS BAER GROUP LIMITED ADR (SWITZERLAND) / CUSIP 48137C108 / Symbol· JBAXY | | | | | | | |
| 07/27/15 | 56 000 | 606 81 | 11/27/13 | 522 48 | | 84 33 | Sale |
| 07/28/15 | 50.000 | 537 88 | 11/27/13 | 466.50 | | 71 38 | Sale |
| | Security total. | 1,144 69 | | 988.98 | .. | 155.71 | |
| KDDI CORPORATION ADR (JAPAN) / CUSIP: 48667L106 / Symbol KDDIY | | | | | | | |
| 01/16/15 | 35 000 | 581 34 | 12/05/12 | 326 02 | | 255 32 | Sale |
| 02/12/15 | 28 000 | 448.28 | 12/05/12 | 260 82 | ... | 187.46 | Sale |

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Raymond James & Associates, Inc. Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| KDDI CORPORATION ADR (JAPAN) / CUSIP 48667L106 / Symbol KDDIY (cont'd) | | | | | | | |
| 05/01/15 | 24 000 | 280 36 | 12/05/12 | 149 04 | | 131 32 | Sale |
| | Security total | 1,309 98 | | 735 88 | | 574 10 | |
| KIRBY CORPORATION / CUSIP 497266106 / Symbol KEX | | | | | | | |
| 06/19/15 | 5.000 | 393 00 | 12/05/12 | 289.54 | | 103 46 | Sale |
| LI & FUNG LIMITED ADR (BERMUDA) / CUSIP 501897102 / Symbol. LFUGY | | | | | | | |
| | *2 transactions for 05/04/15* | | | | | | |
| | *15 000* | *29 95* | *12/05/12* | *48 90* | | *-18 95* | *Sale* |
| | *66 000* | *131.77* | *12/07/12* | *220 48* | | *-88 71* | *Sale* |
| 05/04/15 | 81 000 | 161.72 | Various | 269 38 | | -107 66 | Total of 2 transactions |
| 05/05/15 | 29 000 | 56 79 | 12/07/12 | 96 88 | | -40 09 | Sale |
| 05/06/15 | 31 000 | 59 14 | 12/07/12 | 103 56 | | -44.42 | Sale |
| 05/07/15 | 31 000 | 58 56 | 12/07/12 | 103 55 | | -44 99 | Sale |
| | *2 transactions for 05/08/15* | | | | | | |
| | *13 000* | *24 55* | *12/07/12* | *43 43* | | *-18 88* | *Sale* |
| | *14 000* | *26.44* | *06/11/13* | *39 61* | | *-13 17* | *Sale* |
| 05/08/15 | 27 000 | 50 99 | Various | 83 04 | | -32 05 | Total of 2 transactions |
| 05/11/15 | 26 000 | 48 25 | 06/11/13 | 73 56 | | -25 31 | Sale |
| 05/12/15 | 36.000 | 66.92 | 06/11/13 | 101.86 | | -34.94 | Sale |
| 05/13/15 | 25.000 | 45.90 | 06/11/13 | 70.73 | | -24.83 | Sale |
| 05/14/15 | 54.000 | 98.54 | 06/11/13 | 152.78 | | -54.24 | Sale |
| 05/15/15 | 37.000 | 67.87 | 06/11/13 | 104.69 | | -36 82 | Sale |
| 05/18/15 | 19 000 | 34 90 | 06/11/13 | 53 76 | | -18 86 | Sale |
| 05/19/15 | 16 000 | 29 34 | 06/11/13 | 45 27 | | -15 93 | Sale |
| 05/20/15 | 22.000 | 40.17 | 06/11/13 | 62 24 | | -22 07 | Sale |
| | Security total. | 819 09 | | 1,321 30 | | -502 21 | |

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Raymond James & Associates, Inc. Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

Description of property

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| LNKIN CORPORATION COM CLASS A / CUSIP 53578A108 / Symbol LNKD | | | | | | | |
| 12/16/15 | 1 000 | 230 07 | 12/05/12 | 107 81 | | 122 26 | Sale |
| LOCKHEED MARTIN CORPORATION / CUSIP 539830109 / Symbol LMT | | | | | | | |
| | *2 transactions for 04/01/15* | | | | | | |
| | *1 000* | *197 87* | *12/05/12* | *91 95* | | *105 92* | *Sale* |
| | *3 000* | *593 62* | *01/30/13* | *267 26* | | *326 36* | *Sale* |
| 04/01/15 | 4 000 | 791 49 | Various | 359 21 | | 432 28 | Total of 2 transactions |
| 05/06/15 | 3.000 | 562 48 | 01/30/13 | 267 26 | | 295 22 | Sale |
| | Security total. | 1,353 97 | | 626.47 | | 727 50 | |
| LORILLARD INCORPORATED / CUSIP 544147101 / Symbol· LOOLDD | | | | | | | |
| 06/02/15 | 3 000 | 215 69 | 12/05/12 | 121 17 | | 94 52 | Sale |
| | *2 transactions for 06/11/15* | | | | | | |
| | *8 000* | *571.91* | *12/05/12* | *323 12* | | *248.79* | *Merger* |
| | *9 000* | *643.40* | *07/24/13* | *400 86* | | *242.54* | *Merger* |
| 06/11/15 | 17 000 | 1,215.31 | Various | 723.98 | . | 491 33 | Total of 2 transactions |
| | Security total: | 1,431 00 | | 845.15 | | 585.85 | |
| MANNING & NAPIER INCORPORATED CLASS A / CUSIP 56382Q102 / Symbol MN | | | | | | | |
| 04/13/15 | 5 000 | 66.17 | 12/05/12 | 62.56 | ... | 3 61 | Sale |
| 04/14/15 | 49.000 | 603.68 | 12/05/12 | 613.09 | ... | -9 41 | Sale |
| | Security total: | 669 85 | | 675 65 | | -5 80 | |
| MKTAXESS HLDGS INCORPORATED / CUSIP 57060D108 / Symbol MKTX | | | | | | | |
| 12/21/15 | 4 000 | 420.65 | 12/05/12 | 123.97 | ... | 296.68 | Sale |
| MARRIOTT INTERNATIONAL INCORPORATED NEW CLASS A / CUSIP. 571903202 / Symbol. MAR | | | | | | | |
| 12/16/15 | 3 000 | 201 44 | 03/28/14 | 166 44 | | 35 00 | Sale |

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Raymond James & Associates, Inc. Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked.

Description of property

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| MASTERCARD INCORPORATED CLASS A / CUSIP· 57636Q104 / Symbol: MA | | | | | | | |
| 04/28/15 | 3.000 | 270 05 | 04/20/09 | 47.89 | | 222 16 | Sale |
| 12/16/15 | 5.000 | 497.59 | 04/20/09 | 79 81 | | 417.78 | Sale |
| | Security total | 767 64 | | 127 70 | | 639 94 | |
| MCDONALDS CORPORATION / CUSIP 580135101 / Symbol: MCD | | | | | | | |
| 01/07/15 | 4 000 | 374.11 | 01/03/13 | 362.15 | | 11 96 | Sale |
| MCGRAW HILL FINL INCORPORATED / CUSIP 580645109 / Symbol. MHFI | | | | | | | |
| | *2 transactions for 12/04/15* | | | | | | |
| | *1 000* | *98 00* | *10/21/14* | *81.77* | | *16.23* | *Sale* |
| | *2 000* | *196 01* | *11/04/14* | *179.85* | | *16 16* | *Sale* |
| 12/04/15 | 3 000 | 294 01 | Various | 261 62 | | 32 39 | Total of 2 transactions |
| | *2 transactions for 12/16/15* | | | | | | |
| | *2 000* | *192 90* | *11/04/14* | *179 85* | | *13 05* | *Sale* |
| | *1 000* | *96 45* | *11/17/14* | *91 16* | | *5 29* | *Sale* |
| 12/16/15 | 3 000 | 289 35 | Various | 271 01 | | 18 34 | Total of 2 transactions |
| | Security total | 583 36 | | 532 63 | | 50 73 | |
| MEAD JOHNSON NUTRITION COMPANY / CUSIP 582839106 / Symbol MJN | | | | | | | |
| 04/23/15 | 2 000 | 193 89 | 08/20/13 | 153 13 | | 40 76 | Sale |
| | *2 transactions for 04/27/15* | | | | | | |
| | *1 000* | *96 84* | *08/20/13* | *76 56* | | *20 28* | *Sale* |
| | *2 000* | *193 67* | *09/18/13* | *154 25* | | *39 42* | *Sale* |
| 04/27/15 | 3 000 | 290 51 | Various | 230 81 | | 59 70 | Total of 2 transactions |
| | Security total | 484 40 | | 383 94 | | 100 46 | |

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Raymond James & Associates, Inc.    Account 78813110

2015    03/15/2016

# Proceeds Not Reported to the IRS
(continued)

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| Description of property | | | | | | | |
| MEDNAX INCORPORATED / CUSIP: 58502B106 / Symbol MD | | | | | | | |
| 06/19/15 | 4 000 | 293.66 | 12/05/12 | 159 10 | | 134 56 | Sale |
| MICROCHIP TECHNOLOGY INCORPORATED / CUSIP 595017104 / Symbol. MCHP | | | | | | | |
| 10/30/15 | 8 000 | 382 23 | 12/05/12 | 210 48 | | 171 75 | Sale |
| MIDDLEBY CORPORATION / CUSIP 596278101 / Symbol MIDD | | | | | | | |
| 03/27/15 | 3 000 | 303 26 | 04/02/12 | 101 70 | | 201 56 | Sale |
| MONDELEZ INTERNATIONAL INCORPORATED CLASS A / CUSIP 609207105 / Symbol MDLZ | | | | | | | |
| 03/16/15 | 3 000 | 103 85 | 12/05/12 | 77 19 | | 26 66 | Sale |
| 03/23/15 | 6 000 | 212 95 | 12/05/12 | 154 38 | | 58 57 | Sale |
| | *2 transactions for 04/21/15* | | | | | | |
| | *4 000* | *145 99* | *12/05/12* | *102 92* | | *43 07* | *Sale* |
| | *4.000* | *146 03* | *12/05/12* | *102 92* | | *43 11* | *Sale* |
| 04/21/15 | 8 000 | 292 02 | Various | 205 84 | | 86 18 | Total of 2 transactions |
| | *2 transactions for 04/23/15* | | | | | | |
| | *2.000* | *73.62* | *12/05/12* | *51.46* | | *22 16* | *Sale* |
| | *4 000* | *147 23* | *05/14/13* | *124 75* | | *22 48* | *Sale* |
| 04/23/15 | 6 000 | 220 85 | Various | 176 21 | | 44 64 | Total of 2 transactions |
| | Security total | 829 67 | | 613 62 | | 216 05 | |
| MONSANTO COMPANY NEW / CUSIP: 61166W101 / Symbol: MON | | | | | | | |
| 03/31/15 | 4 000 | 451.95 | 12/05/12 | 360 08 | ... | 91 87 | Sale |
| 09/16/15 | 3.000 | 270 20 | 03/27/13 | 316.68 | ... | -46 48 | Sale |
| | Security total | 722 15 | | 676 76 | | 45 39 | |
| MORGAN STANLEY COM NEW / CUSIP: 617446448 / Symbol MS | | | | | | | |
| | *2 transactions for 12/16/15* | | | | | | |
| | *2.000* | *66.08* | *12/18/12* | *38 10* | ... | *27.98* | *Sale* |

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Raymond James & Associates, Inc. | Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 | 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

| Description of property<br>Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| MORGAN STANLEY COM NEW / CUSIP. 617446448 / Symbol. MS (cont'd) | | | | | | | |
| | *10.000* | *330.42* | *12/18/12* | *190 09* | | *140 33* | *Sale* |
| 12/16/15 | 12 000 | 396 50 | Various | 228 19 | | 168 31 | Total of 2 transactions |
| ASG GLOBAL ALTERNATIVES FUND CLASS Y N/L - NATIXIS ADV / CUSIP 63872T885 / Symbol GAFYX | | | | | | | |
| | *2 transactions for 02/24/15* | | | | | | |
| | *68 126* | *804 57* | *VARIOUS* | *765 64* | | *38 93* | *Sale* |
| | *621.675* | *7,341.98* | *12/05/12* | *6,620.85* | | *721.13* | *Sale* |
| 02/24/15 | 689 801 | 8,146 55 | Various | 7,386.49 | | 760 06 | Total of 2 transactions |
| NETFLIX INCORPORATED / CUSIP· 64110L106 / Symbol NFLX | | | | | | | |
| 07/07/15 | 1.000 | 656 95 | 06/04/13 | 224 67 | | 432 28 | Sale |
| 12/16/15 | 2 000 | 236 78 | 07/16/13 | 73 99 | | 162.79 | Sale |
| | Security total· | 893 73 | | 298 66 | | 595 07 | |
| NEUBERGER BERMAN LONG SHORT FUND CLASS A M/F / CUSIP 64128R400 / Symbol. NLSAX | | | | | | | |
| | *2 transactions for 02/24/15* | | | | | | |
| | *2 923* | *38 15* | *VARIOUS* | *36 66* | | *1 49* | *Sale* |
| | *595 679* | *7,773 61* | *08/26/13* | *7,100 49* | | *673 12* | *Sale* |
| 02/24/15 | 598 602 | 7,811 76 | Various | 7,137 15 | | 674 61 | Total of 2 transactions |
| NEWELL RUBBERMAID INCORPORATED / CUSIP. 651229106 / Symbol NWL | | | | | | | |
| 04/16/15 | 24 000 | 939.81 | 12/05/12 | 522.72 | | 417 09 | Sale |
| NIKE INCORPORATED CLASS B / CUSIP 654106103 / Symbol NKE | | | | | | | |
| 12/16/15 | 5 000 | 650 09 | 12/05/12 | 244 23 | | 405 86 | Sale |
| NOVO-NORDISK A S ADR (DENMARK) / CUSIP 670100205 / Symbol NVO | | | | | | | |
| 04/29/15 | 5 000 | 277 50 | 12/05/12 | 161 94 | | 115 56 | Sale |
| 05/13/15 | 3 000 | 167 25 | 12/05/12 | 97 17 | | 70 08 | Sale |
| 05/14/15 | 3.000 | 169.90 | 12/05/12 | 97 17 | | 72 73 | Sale |

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Raymond James & Associates, Inc.

Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015

03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

Description of property

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| NOVO-NORDISK A S ADR (DENMARK) / CUSIP 670100205 / Symbol NVO (cont'd) | | | | | | | |
| 06/17/15 | 5 000 | 275 55 | 12/05/12 | 161 94 | | 113 61 | Sale |
| 07/08/15 | 5 000 | 268 53 | 12/05/12 | 161 94 | | 106 59 | Sale |
| | Security total | 1,158 73 | | 680 16 | | 478 57 | |
| ORACLE CORPORATION / CUSIP 68389X105 / Symbol ORCL | | | | | | | |
| | *10 transactions for 01/21/15* | | | | | | |
| | *5 000* | *218.25* | *08/01/08* | *107 44* | | *110 81* | *Sale* |
| | *0.826* | *36 05* | *05/08/09* | *15.85* | | *20 20* | *Sale* |
| | *0.749* | *32 70* | *08/13/09* | *15.89* | | *16.81* | *Sale* |
| | *0 639* | *27 90* | *11/04/09* | *13 63* | | *14 27* | *Sale* |
| | *0 578* | *25 22* | *02/09/10* | *13 66* | | *11 56* | *Sale* |
| | *0 525* | *22.90* | *05/05/10* | *13 69* | | *9.21* | *Sale* |
| | *0 585* | *25 53* | *08/04/10* | *13.72* | | *11 81* | *Sale* |
| | *0 469* | *20.45* | *11/03/10* | *13.75* | | *6.70* | *Sale* |
| | *0 418* | *18 26* | *02/09/11* | *13 77* | | *4 49* | *Sale* |
| | *0 211* | *9 23* | *05/04/11* | *7 46* | | *1 77* | *Sale* |
| 01/21/15 | 10 000 | 436 49 | Various | 228 86 | | 207 63 | Total of 10 transactions |
| | *7 transactions for 01/28/15* | | | | | | |
| | *0 258* | *11 19* | *05/04/11* | *9.09* | | *2 10* | *Sale* |
| | *0 562* | *24 40* | *08/08/11* | *16 58* | | *7 82* | *Sale* |
| | *0 497* | *21 57* | *11/02/11* | *16.61* | | *4 96* | *Sale* |
| | *0.589* | *25.56* | *02/01/12* | *16.64* | | *8 92* | *Sale* |
| | *0 574* | *24 91* | *05/02/12* | *16.67* | | *8 24* | *Sale* |
| | *0 522* | *22.65* | *08/03/12* | *15.93* | | *6.72* | *Sale* |
| | *12 000* | *521 08* | *10/16/13* | *397 80* | | *123 28* | *Sale* |
| 01/28/15 | 15 000 | 651 36 | Various | 489 32 | | 162.04 | Total of 7 transactions |
| | Security total | 1,087 85 | | 718 18 | | 369 67 | |

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Raymond James & Associates, Inc. Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional Information |
|---|---|---|---|---|---|---|---|
| PERNOD RICARD S A ADR (FRANCE) / CUSIP. 714264207 / Symbol· PDRDY | | | | | | | |
| | *3 transactions for 04/30/15* | | | | | | |
| | *37.000* | *919 51* | *05/03/13* | *914 18* | | *5.33* | *Sale* |
| | *29 000* | *720.70* | *09/11/13* | *696 71* | | *23 99* | *Sale* |
| | *2 000* | *49.70* | *12/04/13* | *43.93* | . | *5.77* | *Sale* |
| 04/30/15 | 68 000 | 1,689 91 | Various | 1,654 82 | | 35 09 | Total of 3 transactions |
| PRECISION CASTPARTS CORPORATION / CUSIP 740189105 / Symbol. PCP | | | | | | | |
| 01/16/15 | 3.000 | 599.66 | 08/01/08 | 276 90 | . | 322.76 | Sale |
| | *2 transactions for 01/20/15* | | | | | | |
| | *2.000* | *407.63* | *08/01/08* | *184 60* | . | *223.03* | *Sale* |
| | *1 000* | *203 81* | *11/11/13* | *248.00* | | *-44 19* | *Sale* |
| 01/20/15 | 3 000 | 611 44 | Various | 432 60 | | 178 84 | Total of 2 transactions |
| | Security total | 1,211.10 | | 709.50 | | 501 60 | |
| PRUDENTIAL ABSOLUTE RETURN BOND FUND CLASS Z N/L / CUSIP. 74441J829 / Symbol PADZX | | | | | | | |
| 12/16/15 | 25 999 | 244 13 | 10/27/14 | 253 95 | | -9 82 | Sale |
| BOSTON PARTNERS LONG/SHORT RESEARCH FUND INST CLASS N/L / CUSIP. 74925K581 / Symbol BPIRX | | | | | | | |
| | *3 transactions for 02/24/15* | | | | | | |
| | *7 824* | *121 35* | *VARIOUS* | *101 00* | | *20 35* | *Sale* |
| | *344 966* | *5,350 42* | *12/05/12* | *4,236 17* | | *1,114 25* | *Sale* |
| | *151 807* | *2,354 53* | *01/09/13* | *1,890 00* | | *464 53* | *Sale* |
| 02/24/15 | 504 597 | 7,826 30 | Various | 6,227 17 | | 1,599 13 | Total of 3 transactions |
| RED HAT INCORPORATED / CUSIP 756577102 / Symbol RHT | | | | | | | |
| 12/16/15 | 3 000 | 236 06 | 12/05/12 | 143 99 | | 92 07 | Sale |
| RELX NV SPONSORED ADR (NETHERLANDS) / CUSIP 75955B102 / Symbol: RENX | | | | | | | |
| 07/09/15 | 0 888 | 13 56 | 03/19/14 | 12.48 | | 1.08 | Sale |

** D = adjustment amount is market discount W = adjustment amount is a non-deductible wash sale loss. C = proceeds amount is from collectible assets

Raymond James & Associates, Inc. Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

**Description of property**

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| ROYAL BK SCOTLAND GROUP PLC SPONS ADR 20 ORD / CUSIP 780097689 / Symbol RBS | | | | | | | |
| | *2 transactions for 07/14/15* | | | | | | |
| | *86.000* | *941 48* | *11/26/13* | *934 65* | | *6 83* | *Sale* |
| | *38 000* | *416 00* | *01/10/14* | *454 10* | | *-38 10* | *Sale* |
| 07/14/15 | 124 000 | 1,357 48 | Various | 1,388 75 | | -31 27 | Total of 2 transactions |
| SBA COMMUNICATIONS CORPORATION / CUSIP 78388J106 / Symbol SBAC | | | | | | | |
| | *3 transactions for 10/28/15* | | | | | | |
| | *1 000* | *116 60* | *02/19/14* | *97 16* | | *19 44* | *Sale* |
| | *2 000* | *233 20* | *02/20/14* | *198 03* | | *35 17* | *Sale* |
| | *1.000* | *116.60* | *02/25/14* | *95.75* | .. | *20 85* | *Sale* |
| 10/28/15 | 4 000 | 466.40 | Various | 390.94 | | 75 46 | Total of 3 transactions |
| | *2 transactions for 11/12/15* | | | | | | |
| | *1 000* | *106 34* | *02/25/14* | *95 75* | | *10 59* | *Sale* |
| | *1 000* | *106.34* | *03/11/14* | *95 01* | | *11 33* | *Sale* |
| 11/12/15 | 2.000 | 212.68 | Various | 190.76 | ... | 21.92 | Total of 2 transactions |
| 11/13/15 | 1 000 | 104 75 | 03/11/14 | 95 01 | | 9 74 | Sale |
| | Security total | 783 83 | | 676 71 | .. | 107 12 | |
| SS&C TECHNOLOGIES HLDGS INCORPORATED / CUSIP. 78467J100 / Symbol SSNC | | | | | | | |
| 06/19/15 | 8.000 | 512.79 | 06/03/14 | 350.95 | .. | 161 84 | Sale |
| SVB FINL GROUP / CUSIP. 78486Q101 / Symbol. SIVB | | | | | | | |
| 06/19/15 | 4 000 | 566.85 | 12/05/12 | 217 76 | . | 349.09 | Sale |
| SALESFORCE COM INCORPORATED / CUSIP 79466L302 / Symbol· CRM | | | | | | | |
| 12/16/15 | 3 000 | 233 57 | 12/05/12 | 116 82 | | 116 75 | Sale |

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Raymond James & Associates, Inc. Account 78813110

## Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

### LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

**Description of property**

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| SCHLUMBERGER LIMITED (NETHERLANDS ANTILLES) / CUSIP: 806857108 / Symbol' SLB | | | | | | | |
| 03/17/15 | 2 000 | 161 09 | 10/23/13 | 185.81 | | -24 72 | Sale |
| | *2 transactions for 07/13/15* | | | | | | |
| | *2 000* | *168 38* | *01/30/14* | *177 01* | | *-8 63* | *Sale* |
| | *3.000* | *252 57* | *01/30/14* | *268 34* | | *-15 77* | *Sale* |
| 07/13/15 | 5 000 | 420 95 | Various | 445 35 | | -24 40 | Total of 2 transactions |
| | *2 transactions for 07/15/15* | | | | | | |
| | *2 000* | *167 49* | *04/07/14* | *196 41* | | *-28 92* | *Sale* |
| | *1 000* | *83 74* | *04/22/14* | *102 51* | | *-18 77* | *Sale* |
| 07/15/15 | 3 000 | 251 23 | Various | 298 92 | | -47 69 | Total of 2 transactions |
| | *5 transactions for 07/16/15* | | | | | | |
| | *1.000* | *83 86* | *04/22/14* | *101.98* | | *-18 12* | *Sale* |
| | *1 000* | *83 86* | *04/22/14* | *102 51* | | *-18 65* | *Sale* |
| | *1 000* | *83 86* | *06/25/14* | *113 44* | | *-29 58* | *Sale* |
| | *1 000* | *83.87* | *06/26/14* | *116 10* | | *-32 23* | *Sale* |
| | *1 000* | *83 86* | *06/27/14* | *116.93* | | *-33 07* | *Sale* |
| 07/16/15 | 5 000 | 419 31 | Various | 550 96 | | -131 65 | Total of 5 transactions |
| | Security total | 1,252 58 | | 1,481.04 | | -228 46 | |
| SHIRE PLC SPONSORED ADR (JERSEY) / CUSIP. 82481R106 / Symbol. SHPG | | | | | | | |
| 12/16/15 | 1 000 | 197.51 | 12/10/14 | 206.96 | | -9 45 | Sale |
| SIEMENS A G SPONSORED ADR (GERMANY) / CUSIP: 826197501 / Symbol: SIEGY | | | | | | | |
| | *3 transactions for 12/15/15* | | | | | | |
| | *25.000* | *2,405.84* | *12/05/12* | *2,622 00* | | *-216.16* | *Sale* |
| | *4 000* | *384 93* | *05/03/13* | *423 73* | | *-38 80* | *Sale* |
| | *2 000* | *192 47* | *12/12/14* | *229 48* | | *-37 01* | *Sale* |
| 12/15/15 | 31 000 | 2,983 24 | Various | 3,275 21 | | -291 97 | Total of 3 transactions |

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Raymond James & Associates, Inc. Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

Description of property

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| SIGNATURE BK NY N Y / CUSIP 82669G104 / Symbol· SBNY | | | | | | | |
| 08/06/15 | 10 000 | 1,482 58 | 12/05/12 | 704 10 | | 778 48 | Sale |
| 12/21/15 | 1 000 | 151.99 | 12/05/12 | 70.41 | ... | 81 58 | Sale |
| | Security total | 1,634 57 | | 774 51 | | 860 06 | |
| STARBUCKS CORPORATION / CUSIP: 855244109 / Symbol. SBUX | | | | | | | |
| | *2 transactions for 12/16/15* | | | | | | |
| | *4 000* | *241 09* | *12/14/12* | *107 06* | | *134 03* | *Sale* |
| | *2.000* | *120 54* | *01/16/13* | *54 13* | | *66 41* | *Sale* |
| 12/16/15 | 6 000 | 361 63 | Various | 161 19 | | 200 44 | Total of 2 transactions |
| STEPAN COMPANY / CUSIP 858586100 / Symbol SCL | | | | | | | |
| 09/15/15 | 16 000 | 657.71 | 12/05/12 | 803 92 | | -146 21 | Sale |
| STERIS CORPORATION / CUSIP· 859152100 / Symbol: STEOLD | | | | | | | |
| 11/02/15 | 28 000 | 2,082 08 | 12/31/12 | 976 92 | ... | 1,105 16 | Merger |
| TJX COMPANIES INCORPORATED NEW / CUSIP 872540109 / Symbol TJX | | | | | | | |
| 12/16/15 | 3 000 | 214 64 | 12/05/12 | 130 25 | . | 84 39 | Sale |
| TELECOM ITALIA S P A NEW SPON ADR ORD (ITALY) / CUSIP: 87927Y102 / Symbol TI | | | | | | | |
| 07/28/15 | 1.000 | 13.05 | 12/05/12 | 9.41 | ... | 3.64 | Sale |
| 07/29/15 | 7 000 | 92 47 | 12/05/12 | 65 87 | .. | 26 60 | Sale |
| 07/31/15 | 4 000 | 52.78 | 12/05/12 | 37 64 | | 15 14 | Sale |
| 08/03/15 | 3 000 | 40 16 | 12/05/12 | 28 23 | | 11 93 | Sale |
| 08/04/15 | 2 000 | 26.78 | 12/05/12 | 18 82 | | 7 96 | Sale |
| 08/05/15 | 2.000 | 26 77 | 12/05/12 | 18.82 | | 7 95 | Sale |
| 08/06/15 | 16 000 | 210 50 | 12/05/12 | 150.56 | . | 59.94 | Sale |

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Raymond James & Associates, Inc. Account 78813110

## Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

### LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| TELECOM ITALIA S P A NEW SPON ADR ORD (ITALY) / CUSIP. 87927Y102 / Symbol. TI (cont'd) | | | | | | | |
| | *2 transactions for 08/07/15* | | | | | | |
| | *1.000* | *13.17* | *12/05/12* | *9 41* | | *3.76* | *Sale* |
| | *1 000* | *13 25* | *12/05/12* | *9 41* | | *3 84* | *Sale* |
| 08/07/15 | 2.000 | 26.42 | Various | 18.82 | | 7 60 | Total of 2 transactions |
| Security total | | 488.93 | | 348.17 | | 140.76 | |
| TELEFONICA BRASIL SA SPONSERED ADR (BRAZIL) / CUSIP. 87936R106 / Symbol. VIV | | | | | | | |
| 09/23/15 | 24 000 | 222 35 | 11/26/13 | 464 75 | | -242 40 | Sale |
| TEMPLETON GLOBAL BOND FUND ADVISOR CLASS N/L / CUSIP· 880208400 / Symbol TGBAX | | | | | | | |
| | *2 transactions for 02/24/15* | | | | | | |
| | *112.887* | *1,391 90* | *12/05/12* | *1,533.01* | | *-141.11* | *Sale* |
| | *303 870* | *3,746 71* | *12/05/12* | *4,126 55* | | *-379 84* | *Sale* |
| 02/24/15 | 416 757 | 5,138 61 | Various | 5,659 56 | | -520.95 | Total of 2 transactions |
| TESLA MTRS INCORPORATED / CUSIP 88160R101 / Symbol TSLA | | | | | | | |
| 12/16/15 | 1 000 | 224 65 | 10/30/13 | 159 33 | | 65 32 | Sale |
| TIFFANY & COMPANY NEW / CUSIP· 886547108 / Symbol· TIF | | | | | | | |
| | *2 transactions for 03/20/15* | | | | | | |
| | *1 000* | *83.15* | *02/03/14* | *80 81* | | *2 34* | *Sale* |
| | *2 000* | *166 31* | *03/04/14* | *187 47* | | *-21 16* | *Sale* |
| 03/20/15 | 3 000 | 249 46 | Various | 268 28 | | -18 82 | Total of 2 transactions |
| 04/21/15 | 1 000 | 84.24 | 03/04/14 | 93 74 | | -9 50 | Sale |
| Security total | | 333 70 | | 362 02 | | -28 32 | |
| TIME WARNER INCORPORATED COM NEW / CUSIP· 887317303 / Symbol· TWX | | | | | | | |
| 06/30/15 | 5.000 | 438.89 | 06/11/14 | 344.28 | ... | 94 61 | Sale |

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Raymond James & Associates, Inc. | Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 | 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

Description of property

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| TRACTOR SUPPLY COMPANY / CUSIP 892356106 / Symbol TSCO | | | | | | | |
| 03/27/15 | 18 000 | 1,549 67 | 08/08/12 | 829.21 | ... | 720.46 | Sale |
| TRIPADVISOR INCORPORATED / CUSIP 896945201 / Symbol TRIP | | | | | | | |
| | *2 transactions for 04/21/15* | | | | | | |
| | *2 000* | *161 50* | *10/24/13* | *144 39* | | *17 11* | *Sale* |
| | *1 000* | *80 75* | *10/30/13* | *80 63* | | *0 12* | *Sale* |
| 04/21/15 | 3 000 | 242.25 | Various | 225 02 | | 17.23 | Total of 2 transactions |
| | *2 transactions for 08/19/15* | | | | | | |
| | *1 000* | *71.64* | *10/30/13* | *80 62* | | *-8 98* | *Sale* |
| | *2.000* | *143.29* | *12/18/13* | *159.89* | | *-16.60* | *Sale* |
| 08/19/15 | 3.000 | 214.93 | Various | 240.51 | ... | -25.58 | Total of 2 transactions |
| | *2 transactions for 08/21/15* | | | | | | |
| | *1 000* | *69 84* | *12/18/13* | *79 95* | | *-10 11* | *Sale* |
| | *2 000* | *139.69* | *07/14/14* | *206 40* | | *-66 71* | *Sale* |
| 08/21/15 | 3.000 | 209.53 | Various | 286 35 | . | -76 82 | Total of 2 transactions |
| 09/09/15 | 1 000 | 67 32 | 07/24/14 | 98 00 | | -30 68 | Sale |
| | Security total | 734 03 | | 849.88 | | -115.85 | |
| TWENTY FIRST CENTY FOX INCORPORATED CLASS A / CUSIP 90130A101 / Symbol FOXA | | | | | | | |
| 02/25/15 | 4 000 | 140 03 | 12/31/13 | 140 40 | | -0 37 | Sale |
| | *2 transactions for 02/27/15* | | | | | | |
| | *1.000* | *35.00* | *02/25/14* | *33 22* | . | *1.78* | *Sale* |
| | *3.000* | *104.99* | *02/26/14* | *98.43* | .. | *6 56* | *Sale* |
| 02/27/15 | 4 000 | 139.99 | Various | 131 65 | | 8 34 | Total of 2 transactions |
| | Security total | 280 02 | | 272 05 | . | 7 97 | |

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Raymond James & Associates, Inc. — Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 — 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

Description of property

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), If any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| TWITTER INCORPORATED / CUSIP 90184L102 / Symbol TWTR | | | | | | | |
| | *2 transactions for 04/29/15* | | | | | | |
| | *2 000* | *76 60* | *11/08/13* | *85 29* | | *-8 69* | *Sale* |
| | *6 000* | *229 81* | *12/05/13* | *264 84* | | *-35 03* | *Sale* |
| 04/29/15 | 8 000 | 306 41 | Various | 350 13 | | -43 72 | Total of 2 transactions |
| 07/01/15 | 3 000 | 105 78 | 05/27/14 | 92.25 | . | 13 53 | Sale |
| | *2 transactions for 09/08/15* | | | | | | |
| | *4 000* | *109 81* | *07/30/14* | *187 62* | | *-77 81* | *Sale* |
| | *2 000* | *54 90* | *08/14/14* | *90 18* | | *-35 28* | *Sale* |
| 09/08/15 | 6 000 | 164 71 | Various | 277 80 | | -113 09 | Total of 2 transactions |
| 09/09/15 | 1 000 | 27 25 | 08/14/14 | 45 09 | | -17 84 | Sale |
| | Security total | 604.15 | | 765.27 | | -161 12 | |
| TYLER TECHNOLOGIES INCORPORATED / CUSIP 902252105 / Symbol TYL | | | | | | | |
| | *2 transactions for 10/05/15* | | | | | | |
| | *1 000* | *167 35* | *12/05/12* | *46 79* | | *120.56* | *Sale* |
| | *3 000* | *502.05* | *12/06/12* | *139.95* | | *362 10* | *Sale* |
| 10/05/15 | 4.000 | 669 40 | Various | 186 74 | | 482 66 | Total of 2 transactions |
| UNDER ARMOUR INCORPORATED CLASS A / CUSIP 904311107 / Symbol UA | | | | | | | |
| 12/16/15 | 2.000 | 164.47 | 10/02/13 | 82.50 | .. | 81 97 | Sale |
| UNION PAC CORPORATION / CUSIP 907818108 / Symbol UNP | | | | | | | |
| 05/05/15 | 3.000 | 317.48 | 12/05/12 | 183.96 | ... | 133.52 | Sale |
| 05/13/15 | 2 000 | 204 79 | 12/05/12 | 122 64 | . | 82 15 | Sale |
| 06/02/15 | 5 000 | 508 12 | 12/05/12 | 306 60 | | 201.52 | Sale |
| | *2 transactions for 06/26/15* | | | | | | |
| | *1 000* | *96 75* | *12/05/12* | *61.32* | . | *35 43* | *Sale* |

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Raymond James & Associates, Inc.

Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015

03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

**Description of property**

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| UNION PAC CORPORATION / CUSIP. 907818108 / Symbol. UNP (cont'd) | | | | | | | |
| | *2 000* | *193 49* | *01/10/14* | *169 24* | | *24 25* | *Sale* |
| 06/26/15 | 3 000 | 290 24 | Various | 230 56 | | 59 68 | Total of 2 transactions |
| | Security total· | 1,320 63 | | 843 76 | | 476.87 | |
| UNITED PARCEL SERVICE INCORPORATED CLASS B / CUSIP· 911312106 / Symbol· UPS | | | | | | | |
| 03/04/15 | 5 000 | 502.95 | 12/05/12 | 366 65 | | 136 30 | Sale |
| 07/22/15 | 3 000 | 290 52 | 12/05/12 | 219 99 | | 70 53 | Sale |
| | *2 transactions for 07/29/15* | | | | | | |
| | *2 000* | *201 20* | *12/05/12* | *146 66* | | *54 54* | *Sale* |
| | *6 000* | *603 58* | *03/06/13* | *506 39* | | *97 19* | *Sale* |
| 07/29/15 | 8 000 | 804.78 | Various | 653 05 | | 151 73 | Total of 2 transactions |
| | Security total | 1,598 25 | | 1,239 69 | | 358 56 | |
| VERIZON COMMUNICATIONS INCORPORATED / CUSIP 92343V104 / Symbol VZ | | | | | | | |
| | *2 transactions for 01/14/15* | | | | | | |
| | *5 223* | *243 91* | *10/30/13* | *264 06* | | *-20 15* | *Sale* |
| | *6 777* | *316 47* | *11/20/13* | *344 50* | | *-28 03* | *Sale* |
| 01/14/15 | 12 000 | 560 38 | Various | 608 56 | | -48 18 | Total of 2 transactions |
| VERTEX PHARMACEUTICALS INCORPORATED / CUSIP 92532F100 / Symbol VRTX | | | | | | | |
| 05/15/15 | 2.000 | 252.58 | 12/17/12 | 83.59 | | 168 99 | Sale |
| 07/16/15 | 1 000 | 133 79 | 12/17/12 | 41 80 | | 91 99 | Sale |
| 07/21/15 | 2.000 | 262 44 | 12/17/12 | 83 59 | | 178 85 | Sale |
| | *2 transactions for 07/23/15* | | | | | | |
| | *1 000* | *131 12* | *12/17/12* | *41 80* | | *89 32* | *Sale* |
| | *1 000* | *131 11* | *12/19/13* | *70 49* | | *60 62* | *Sale* |
| 07/23/15 | 2 000 | 262 23 | Various | 112 29 | | 149 94 | Total of 2 transactions |

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Raymond James & Associates, Inc. Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked

**Description of property**

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| VERTEX PHARMACEUTICALS INCORPORATED / CUSIP 92532F100 / Symbol VRTX (cont'd) | | | | | | | |
| 07/24/15 | 1 000 | 126 39 | 12/19/13 | 70 49 | | 55 90 | Sale |
| | Security total: | 1,037.43 | | 391 76 | | 645 67 | |
| VISA INCORPORATED COM CLASS A / CUSIP 92826C839 / Symbol V | | | | | | | |
| 12/16/15 | 4.000 | 316 35 | 12/05/12 | 148.29 | | 168 06 | Sale |
| VMWARE INCORPORATED CLASS A COM / CUSIP 928563402 / Symbol VMW | | | | | | | |
| | *2 transactions for 10/12/15* | | | | | | |
| | *1.000* | *72 13* | *01/16/13* | *97 40* | | *-25 27* | *Sale* |
| | *2 000* | *144 27* | *05/10/13* | *153.15* | | *-8 88* | *Sale* |
| 10/12/15 | 3 000 | 216.40 | Various | 250 55 | | -34 15 | Total of 2 transactions |
| 10/15/15 | 2 000 | 136 31 | 07/19/13 | 142.01 | | -5 70 | Sale |
| | Security total | 352 71 | | 392 56 | | -39 85 | |
| WATERS CORPORATION / CUSIP. 941848103 / Symbol WAT | | | | | | | |
| 04/16/15 | 5 000 | 624.43 | 12/05/12 | 422 75 | | 201 68 | Sale |
| 06/19/15 | 10 000 | 1,364 00 | 12/05/12 | 845 50 | | 518 50 | Sale |
| | Security total | 1,988 43 | | 1,268 25 | | 720 18 | |
| WF ABSOLUTE RETURN FUND ADMIN CLASS N/L / CUSIP 94987W307 / Symbol WARDX | | | | | | | |
| | *3 transactions for 02/24/15* | | | | | | |
| | *11.054* | *124.13* | *VARIOUS* | *123.16* | | *0.97* | *Sale* |
| | *654 932* | *7,354 88* | *04/29/13* | *7,119 12* | | *235 76* | *Sale* |
| | *5 814* | *65 29* | *12/04/13* | *65 00* | | *0 29* | *Sale* |
| 02/24/15 | 671.800 | 7,544.30 | Various | 7,307.28 | | 237 02 | Total of 3 transactions |
| ALLERGAN PLC SHS (IRELAND) / CUSIP G0177J108 / Symbol AGN | | | | | | | |
| 10/29/15 | 1.000 | 304.61 | 09/03/14 | 227.72 | | 76 89 | Sale |
| 12/16/15 | 1 000 | 305.53 | 09/05/14 | 228 18 | | 77 35 | Sale |

** D = adjustment amount is market discount W = adjustment amount is a non-deductible wash sale loss. C = proceeds amount is from collectible assets.

Raymond James & Associates, Inc. — Account 78813110

# Proceeds Not Reported to the IRS
(continued)

2015 — 03/15/2016

## LONG TERM TRANSACTIONS
Report on Form 8949, Part II with Box F checked

| Date sold or disposed | Quantity | Proceeds Shown (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any** | Gain or loss(-) & Loss not allowed(X) | Additional information |
|---|---|---|---|---|---|---|---|
| ALLERGAN PLC SHS (IRELAND) / CUSIP G0177J108 / Symbol AGN (cont'd) | | | | | | | |
| 12/22/15 | 1 000 | 309 76 | 09/23/14 | 235 78 | | 73 98 | Sale |
| | Security total | 919 90 | | 691 68 | | 228 22 | |
| ACCENTURE PLC IRELAND SHS CLASS A (IRELAND) / CUSIP G1151C101 / Symbol ACN | | | | | | | |
| 08/19/15 | 5 000 | 513 11 | 12/05/12 | 348 10 | | 165 01 | Sale |
| 10/28/15 | 4 000 | 435 28 | 12/05/12 | 278 48 | | 156 80 | Sale |
| | Security total | 948 39 | | 626 58 | | 321 81 | |
| DELPHI AUTOMOTIVE PLC SHS (JERSEY) / CUSIP G27823106 / Symbol DLPH | | | | | | | |
| 04/22/15 | 5 000 | 414 55 | 12/05/12 | 169 70 | | 244 85 | Sale |
| 12/09/15 | 5 000 | 429.42 | 12/05/12 | 169.70 | | 259 72 | Sale |
| | Security total | 843 97 | | 339 40 | | 504 57 | |
| EATON CORPORATION PLC SHS (IRELAND) / CUSIP G29183103 / Symbol ETN | | | | | | | |
| 02/25/15 | 7 000 | 496.41 | 02/12/14 | 483 29 | | 13.12 | Sale |
| MICHAEL KORS HLDGS LIMITED SHS (VIRGIN ISLANDS (BRITISH)) / CUSIP G60754101 / Symbol KORS | | | | | | | |
| | *2 transactions for 01/06/15* | | | | | | |
| | *1 000* | *65 44* | *08/06/13* | *70 11* | | *-4 67* | *Sale* |
| | *1.000* | *65 43* | *09/06/13* | *75 71* | | *-10 28* | *Sale* |
| 01/06/15 | 2.000 | 130.87 | Various | 145.82 | | -14.95 | Total of 2 transactions |
| 01/07/15 | 1.000 | 69 04 | 09/06/13 | 75 71 | | -6 67 | Sale |
| | Security total | 199.91 | | 221 53 | | -21 62 | |
| | **Totals:** | **211,745.71** | | **183,830.15** | | **27,915.56** | |

** D = adjustment amount is market discount. W = adjustment amount is a non-deductible wash sale loss C = proceeds amount is from collectible assets