Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning , 2015, and ending ,

Name of foundation: The Peltier Foundation

A Employer identification number: 72-1416778

Number and street (or P O box number if mail is not delivered to street address): 101 St. Louis St.

Room/suite:

B Telephone number (see instructions): (985) 447-4033

City or town, state or province, country, and ZIP or foreign postal code: Thibodaux LA 70301

C If exemption application is pending, check here ► [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations, check here ► [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ► [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ► [ ]

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 20,286,414.

J Accounting method [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► [ ]

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1	Contributions, gifts, grants, etc, received (attach schedule)				
	2	Ck ► [X] if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	95,043.			
	4	Dividends and interest from securities	267,186.			
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	82,925.	L-6a Stmt		
	b	Gross sales price for all assets on line 6a 1,503,290.				
	7	Capital gain net income (from Part IV, line 2)		82,925.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) See Line 11 Stmt	593,962.	593,962.		
	12	**Total.** Add lines 1 through 11	1,039,116.	676,887.		
OPERATING AND ADMINISTRATIVE EXPENSES	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule) L-16a Stmt	22,132.	22,132.	0.	0.
	b	Accounting fees (attach sch) L-16b Stmt	10,341.	10,341.	0.	0.
	c	Other prof fees (attach sch) L-16c Stmt	74,007.	74,007.	0.	0.
	17	Interest				
	18	Taxes (attach schedule)(see instrs) See Line 18 Stmt	74,875.	66,672.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) Other	2,863.	2,863.		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	184,218.	176,015.	0.	0.
	25	Contributions, gifts, grants paid	1,055,600.			1,055,600.
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,239,818.	176,015.	0.	1,055,600.
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-200,702.			
	b	**Net investment income** (if negative, enter -0-)		500,872.		
	c	**Adjusted net income** (if negative, enter -0-)			0.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing	2,501.	3,196.	3,196.
	2 Savings and temporary cash investments	10,852,344.	10,229,025.	10,282,799.
	3 Accounts receivable ►			
	Less: allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) L-10b Stmt	5,714,624.	6,135,934.	6,517,518.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)			
	14 Land, buildings, and equipment: basis ►			
	Less accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ► L-15 Stmt)	1,032,929.	1,032,929.	3,482,901.
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	17,602,398.	17,401,084.	20,286,414.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 Total liabilities (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here ► [X] and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	12,217,007.	12,015,693.	
	25 Temporarily restricted			
	26 Permanently restricted	5,385,391.	5,385,391.	
	Foundations that do not follow SFAS 117, check here ► [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	17,602,398.	17,401,084.	
	31 Total liabilities and net assets/fund balances (see instructions)	17,602,398.	17,401,084.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17,602,398.
2	Enter amount from Part I, line 27a	2	-200,702.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	17,401,696.
5	Decreases not included in line 2 (itemize) ► prior period adjustment	5	612.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	17,401,084.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	Publicly traded securities			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,503,290.	0.	1,420,365.	82,925.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				82,925.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 . . . **2** 82,925.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	975,422.	21,496,681.	0.045375
2013	886,841.	20,012,904.	0.044313
2012	860,409.	18,374,804.	0.046825
2011	720,348.	17,991,364.	0.040039
2010	649,697.	14,649,559.	0.044349

2	**Total** of line 1, column (d)	2	0.220901
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.044180
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	20,623,249.
5	Multiply line 4 by line 3	5	911,135.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	5,009.
7	Add lines 5 and 6	7	916,144.
8	Enter qualifying distributions from Part XII, line 4	8	1,055,600.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1 Date of ruling or determination letter _______ (attach copy of letter if necessary — see instrs) b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)	1	5,009.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	5,009.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	5,009.
6	Credits/Payments:		
a	2015 estimated tax pmts and 2014 overpayment credited to 2015 — 6 a: 11,600.		
b	Exempt foreign organizations — tax withheld at source — 6 b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6 c:		
d	Backup withholding erroneously withheld — 6 d:		
7	Total credits and payments. Add lines 6a through 6d	7	11,600.
8	Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ►	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►	10	6,591.
11	Enter the amount of line 10 to be Credited to 2016 estimated tax ► 5,040. Refunded ►	11	1,551.

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1 b		X
	If the answer is 'Yes' to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1 c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ► $ ______ **(2)** On foundation managers ► $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► LA - Louisiana			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ► N/A			
14	The books are in care of ► Stephen Peltier Telephone no. ► (985) 447-4033 Located at ► 101 St. Louis St. Thibodaux, 70301 LA ZIP + 4 ► 70301			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** — Check here ► ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.			
1 a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement — see instructions.)	2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__ .			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*	3 b		X
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	[ ] Yes [X] No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	[ ] Yes [X] No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	[ ] Yes [X] No		
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions)	[ ] Yes [X] No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	[ ] Yes [X] No		
b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5 b	
Organizations relying on a current notice regarding disaster assistance check here ► [ ]			
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	[ ] Yes [ ] No		
If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).			
6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	[ ] Yes [X] No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6 b	X
If 'Yes' to 6b, file Form 8870.			
7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	[ ] Yes [X] No		
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?		7 b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Stephen Peltier 101 St Louis St Thibodaux LA 70301	Sec/Treas 5.00	22,132.	0.	0.
Sarah Naquin 101 St Louis St Thibodaux LA 70301	Vice-Pres 5.00	0.	0.	0.
Dr. James Peltier 101 St Louis St Thibodaux LA 70301	President 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				
Total number of other employees paid over $50,000 ►				None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None	None	
Total number of others receiving over $50,000 for professional services ▶		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 None	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 None	0.
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	None

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	6,619,681.
b	Average of monthly cash balances	1b	10,834,727.
c	Fair market value of all other assets (see instructions)	1c	3,482,901.
d	**Total** (add lines 1a, b, and c)	1d	20,937,309.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	20,937,309.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	314,060.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	20,623,249.
6	**Minimum investment return.** Enter 5% of line 5	6	1,031,162.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	1,031,162.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	5,009.		
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	5,009.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	1,026,153.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	1,026,153.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	1,026,153.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	1,055,600.
b	Program-related investments — total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,055,600.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	5,009.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,050,591.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				1,026,153.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			1,045,302.	
b Total for prior years. 20 __ , 20 __ , 20 __				
3 Excess distributions carryover, if any, to 2015:				
a From 2010 . . . 0.				
b From 2011 . . . 0.				
c From 2012 . . . 0.				
d From 2013 . . . 0.				
e From 2014 . . . 0.				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ $ 1,055,600.				
a Applied to 2014, but not more than line 2a			1,045,302.	
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2015 distributable amount				10,298.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				1,015,855.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2011 . . 0.				
b Excess from 2012 . . 0.				
c Excess from 2013 . . 0.				
d Excess from 2014 . . 0.				
e Excess from 2015 . . 0.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test — enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Peltier Foundation
101 St. Louis St.
Thibodaux LA 70301 (985) 447-4033

b The form in which applications should be submitted and information and materials they should include:

Letter of request

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

501(c)(3) organizations

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
see schedule 13 LA 70301		501(c)(3)	schedule #13	1,055,600.
Total ► 3a				1,055,600.
b *Approved for future payment*				
Total ► 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	95,043.	
4 Dividends and interest from securities			14	267,186.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			14	82,925.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a Royalties			14	593,414.	
b Miscellaneous			14	133.	
c Lease Bonus			14	415.	
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				1,039,116.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 1,039,116.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
n/a	Not Applicable

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

[signature], PRES. 4-18-16 ▶ PRESIDENT

Signature of officer or trustee James R. Peltier Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
Ann T Boudreaux	Ann T Boudreaux	04/13/16	X	P00841336

Firm's name ▶ Ann T. Boudreaux, CPA Firm's EIN ▶ 72-1047611

Firm's address ▶ 901 Ridgefield Road

Thibodaux LA 70301 Phone no (985) 446-0994

TEEA0503 10/13/15

The Peltier Foundation
Form 990-PF 2015
72-1416778
Schedule 1

SALES TRANSACTIONS

Proceeds, gains, losses and adjustments

Refer to the Proceeds not reported to the IRS pages to ensure that you consider all relevant items to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	C (Form 1099-B not received)	77,074.37	79,185.91	0.00	0 00	-2,111 54
Long	F (Form 1099-B not received)	139,211 59	100,159.27	0.00	0.00	39,052.32
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Grand total	**216,285.96**	**179,345.18**	**0.00**	**0.00**	**36,940.78**

Withholding from Proceeds

Federal income tax withheld	0.00

SALES TRANSACTIONS

Proceeds, gains, losses and adjustments

Refer to the Proceeds not reported to the IRS pages to ensure that you consider all relevant items to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	C (Form 1099-B not received)	10,927.08	11,426.96	0.00	0.00	-499.88
Long	F (Form 1099-B not received)	172,267.29	178,280.07	0.00	0.00	-6,012.78
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Grand total	**183,194.37**	**189,707.03**	**0.00**	**0.00**	**-6,512.66**

Withholding from Proceeds

Federal income tax withheld	0.00

SALES TRANSACTIONS

Proceeds, gains, losses and adjustments

Refer to the Proceeds not reported to the IRS *pages to ensure that you consider all relevant items to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	C (Form 1099-B not received)	535,272.16	558,760 27	0.00	0.00	-23,488.11
Long	F (Form 1099-B not received)	291,163.67	262,193.02	0.00	0.00	28,970.65
Undetermined	C or F (Form 1099-B not received)	41 94	41.94	0.00	0.00	0 00
	Grand total	**826,477.77**	820,995.23	**0.00**	**0.00**	**5,482.54**

Withholding from Proceeds

Federal income tax withheld	0.00

SALES TRANSACTIONS

Proceeds, gains, losses and adjustments

Refer to the Proceeds not reported to the IRS *pages to ensure that you consider all relevant items to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	C (Form 1099-B not received)	69,642 62	77,313.23	0.00	0 00	-7,670.61
Long	F (Form 1099-B not received)	207,689.00	153,004.91	0.00	0.00	54,684.09
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Grand total	**277,331.62**	**230,318.14**	**0.00**	**0.00**	**47,013.48**

Withholding from Proceeds

Federal income tax withheld	0.00

Peltier Foundation, Inc.
Form 990-PF
Schedule 6-Stocks on hand
72-1416778

12/31/2015

Capital One Inv #4R6-501560	-
4 76% membership interest West Fork Land Co LLC	13,423.46
3-74/100 shares Lafourche Sugar Cane Co. common	-
1-6/10 shares Lafourche Sugar Cane Co preferred	-
40 shares Terra Firma Trust	-
1 share Dixie Lafourche Club	19,200.00
25-60/100 units of Lafourche Sugars, LLC	8,960.00
.94810% membership Peltier Farms, LLC	17,683 00
	59,266.46

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/14/12	WABTEC (continued)	4		284.48	36.6900	146.76 146.76	137.72	1	
06/12/12		4		284.48	35.3600	141.44 141.44	143.04	1	
07/31/12		22		1,564.64	39.7086	873.59 873.59	691.05	7	
08/22/12		24		1,706.88	40.3629	968.71 968.71	738.17	7	
10/29/15		9		640.08	81.5200	733.68 733.68	-93.60	2	
Total		64		4,551.68	45.3408	2,901.81 ✓ 2,901.81	1,649.87	18	
04/27/09	WALT DISNEY CO C DIS	39	105.08	4,098.12	19.7600	770.64 770.64	3,327 48	55	1.35%
02/14/12		7		735.56	41.4800	290.36 290.36	445.20	9	
03/16/12		6		630 48	43.4100	260.46 260.46	370.02	8	
04/11/12		6		630.48	41.5900	249.54 249 54	380 94	8	

EQUITIES AND OPTIONS continue on page 62

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

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EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/14/12	WALT DISNEY CO (continued)	7		735.56	45.4400	318.08 318.08	417.48	9	
06/12/12		6		630.48	46.1700	277.02 277.02	353.46	8	
05/13/13		21		2,206.68	67.2643	1,412.55 1,412.55	794.13	29	
05/05/15		4		420.32	111.0700	444.28 444.28	-23.96	5	
10/29/15		12		1,260.96	114.7150	1,376.58 1,376.58	-115 62	17	
Total		108		11,348.64	49.9955	5,399.51 5,399.51	5,949.13	148	
03/19/13	WELLS FARGO & CO NEW C WFC	38	54.36	2,065.68	37.6179	1,429.48 1,429.48	636.20	57	2.76%
03/20/13		60		3,261.60	37.4855	2,249.13 2,249.13	1,012.47	90	
05/12/14		31		1,685.16	49.4084	1,531.66 1,531.66	153.50	46	
05/16/14		22		1,195.92	49.0436	1,078.96 1,078.96	116 96	33	

EQUITIES AND OPTIONS continue on page 63

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/29/15	WELLS FARGO & CO NEW (continued)	19		1,032.84	55.1000	1,046.90 1,046 90	-14.06	28	
Total		170		9,241.20	43.1537	7,336.13 7,336.13	1,905.07	254	
05/08/14	WELLTOWER INC C HCN	21	68 03	1,428.63	64.2495	1,340 47 1,340 47	88 16	69	4 85%
05/14/14		21		1,428.63	64.2571	1,340.61 1,340.61	88.02	69	
10/07/14		24		1,632.72	63 6175	1,521.81 1,521.81	110.91	79	
10/15/14		11		748.33	67.3682	738 75 738 75	9.58	36	
10/29/15		10		680.30	66 4680	664 68 664.68	15.62	33	
Total		87		5,918.61	64.4405	5,606.32 5,606.32	312.29	286	
TOTAL EQUITIES AND OPTIONS				$648,854.16		$534,639.78 $534,639.78	$114,214.38	$13,217	

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/14/12	SCHLUMBERGER LTD (continued)	4		279.00	67.6300	270.52 270.52	8.48	8	
06/12/12		4		279.00	64.6400	258.56 258.56	20.44	8	
09/13/13		18		1,255.50	86.6544	1,559.78 1,559.78	-304.28	36	
09/19/13		27		1,883 25	88.9378	2,401 32 2,401.32	-518.07	54	
10/29/15		6		418.50	78.5700	471.42 471.42	-52.92	12	
Total		68		4,743.00	82.6013	5,616.89 5,616.89	-873.89	136	
11/09/15	SERVICEMASTER GLOBAL C HOLDINGS INC SERV	49	39.24	1,922 76	34.6363	1,697.18 1,697.18	225.58	—	—
11/10/15		44		1,726.56	35.9625	1,582.35 1,582.35	144.21	—	
11/11/15		36		1,412.64	36.1981	1,303 13 1,303.13	109.51	—	

EQUITIES AND OPTIONS continue on page 54

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

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EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Annual Inco	
11/13/15	SERVICEMASTER GLOBAL (continued)	24		941.76	36.3988	873.57 873.57	68.19		
Total		153		6,003.72	35.6616	5,456.23 5,456.23	547.49	—	
02/16/11	SNAP ON INC C SNA	19	171.43	3,257.17	58.8979	1,119.06 1,119 06	2,138.11	46	1.42%
02/14/12		3		514.29	61.6300	184.89 184.89	329.40	7	
03/16/12		2		342.86	61.8300	123 66 123.66	219.20	4	
04/11/12		3		514.29	58.5000	175.50 175.50	338.79	7	
05/14/12		2		342.86	60.8700	121.74 121.74	221.12	4	
06/12/12		3		514.29	62.8300	188.49 188.49	325.80	7	
10/29/15		3		514.29	164.3867	493.16 493.16	21.13	7	
Total		35		6,000.05	68.7571	2,406.50 2,406.50	3,593.55	82	
01/27/15	STARBUCKS CORP C SBUX	40	60.03	2,401.20	44 3158	1,772.63 1,772.63	628.57	32	1.33%

EQUITIES AND OPTIONS continue on page 55

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

LPL Financial

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID.	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
01/29/15	STARBUCKS CORP (continued)	24		1,440.72	44.5242	1,068.58 1,068.58	372.14	19	
02/04/15		20		1,200.60	44.5900	891.80 891.80	308 80	16	
02/12/15		46		2,761.38	45.8437	2,108.81 2,108.81	652.57	36	
10/29/15		16		960.48	62.3000	996.80 996.80	-36.32	12	
Total		146		8,764.38	46.8399	6,838.62 6,838.62	1,925.76	115	
09/16/13	SVB FINANCIAL GROUP C SIVB	4	118.90	475 60	84.4100	337.64 337.64	137.96	—	—
09/17/13		7		832.30	86 2600	603.82 603.82	228.48	—	
09/18/13		8		951.20	85.4650	683.72 683.72	267.48	—	
10/29/15		3		356.70	127.5500	382.65 382.65	-25.95	—	
Total		22		2,615.80	91.2650	2,007.83 2,007.83	607.97	—	
11/24/14	TARGET CORP C TGT	10	72.61	726.10	71.8870	718.87 718.87	7.23	22	3.08%

EQUITIES AND OPTIONS continue on page 56

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
12/10/14	VAIL RESORTS INC (continued)	6		767.94	88 7783	532.67 532.67	235.27	14	
10/29/15		3		383 97	114.5900	343 77 343.77	40 20	7	
Total		19		2,431.81	93.6679	1,779.69 1,779.69	652.12	45	
02/25/13	VERIZON COMMUNICATIONS C INC VZ	28	46 22	1,294.16	45 6386	1,277.88 1,277.88	16.28	63	4.89%
03/11/13		58		2,680.76	47.7600	2,770.08 2,770.08	-89.32	131	
11/14/13		37		1,710 14	50.3081	1,861.40 1,861.40	-151.26	83	
01/27/15		65		3,004.30	46.6565	3,032.67 3,032.67	-28.37	146	
10/29/15		25		1,155.50	46.2900	1,157.25 1,157.25	-1 75	56	
Total		213		9,844.86	47.4145	10,099.28 10,099.28	-254.42	479	
05/08/12	WABTEC C WAB	1	71.12	71.12	37.6300	37.63 37.63	33.49	—	0.45%

EQUITIES AND OPTIONS continue on page 61

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/29/15	LABORATORY CORP OF AMER (continued)	10		1,236.40	122.7480	1,227.48 1,227.48	8.92	—	
Total		84		10,385.76	109.1389	9,167.67 9,167.67	1,218.09	—	
03/05/13	LAM RESEARCH CORP C LRCX	56	79.42	4,447.52	43.4057	2,430.72 2,430.72	2,016.80	67	1.51%
05/01/13		22		1,747.24	45.7777	1,007.11 1,007.11	740.13	26	
10/29/15		10		794.20	75.5980	755.98 755.98	38.22	12	
Total		88		6,988.96	47.6569	4,193.81 4,193.81	2,795.15	105	
11/13/13	MACYS INC C M	20	34.98	699.60	50.5380	1,010.76 1,010.76	-311.16	28	4 12%
01/09/14		23		804.54	55.7391	1,282.00 1,282.00	-477.46	33	
07/15/15		22		769.56	70.9395	1,560.67 1,560.67	-791.11	31	
10/29/15		17		594.66	49.4000	839.80 839.80	-245.14	24	
Total		82		2,868.36	57.2345	4,693.23 4,693.23	-1,824.87	116	

EQUITIES AND OPTIONS continue on page 38

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

LPL Financial

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
08/08/11	MARSH & MCLENNAN COS INC C MMC	23	55.45	1,275.35	26.5574	610.82 610.82	664 53	28	2.24%
02/14/12		10		554.50	31.7600	317.60 317.60	236 90	12	
03/16/12		10		554.50	33.0300	330.30 330.30	224.20	12	
04/11/12		9		499.05	31.7900	286.11 286.11	212.94	11	
05/14/12		11		609.95	32.7400	360.14 360.14	249.81	13	
06/12/12		10		554.50	32.0100	320.10 320.10	234 40	12	
10/29/15		10		554.50	56 0380	560.38 560.38	-5 88	12	
Total		83		4,602.35	33.5596	2,785.45 2,785.45	1,816.90	100	
07/29/11	MCKESSON CORP C MCK	7	197.23	1,380 61	80.8700	566.09 566.09	814.52	7	0.57%
02/14/12		3		591.69	82.1200	246.36 246.36	-345.33	3	

EQUITIES AND OPTIONS continue on page 39

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.

a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
03/16/12	MCKESSON CORP (continued)	4		788.92	87.3400	349.36 349.36	439.56	4	
04/11/12		3		591.69	87.8600	263.58 263 58	328 11	3	
05/14/12		4		788.92	89.8900	359 56 359.56	429 36	4	
06/12/12		4		788 92	88.8200	355.28 355.28	433.64	4	
07/26/13		6		1,183.38	124.6933	748.16 748.16	435.22	6	
10/29/15		4		788.92	185 8300	743.32 743.32	45 60	4	
Total		35		6,903.05	103.7631	3,631.71 3,631.71	3,271.34	35	
03/02/15	MEDTRONIC PLC C MDT	38	76 92	2,922.96	78.6855	2,990.05 2,990.05	-67.09	57	1.98%
04/14/15		20		1,538.40	77.8000	1,556.00 1,556.00	-17.60	30	
10/29/15		7		538.44	73.4400	514.08 514.08	24 36	10	

EQUITIES AND OPTIONS continue on page 40

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
12/04/15	MEDTRONIC PLC (continued)	20		1,538.40	78.1935	1,563.87 1,563.87	-25.47	30	
Total		85		6,538.20	77.9294	6,624.00 6,624.00	-85.80	127	
01/27/14	MICROSOFT CORP C MSFT	50	55.48	2,774.00	36.1220	1,806.10 1,806.10	967 90	72	2.60%
01/30/14		45		2,496.60	36.4220	1,638.99 1,638.99	857 61	64	
02/06/14		21		1,165.08	36.1200	758.52 758.52	406.56	30	
10/23/15		28		1,553.44	53.2400	1,490.72 1,490.72	62 72	40	
10/29/15		19		1,054.12	53.3800	1,014.22 1,014.22	39.90	27	
Total		163		9,043.24	41.1567	6,708.55 6,708.55	2,334.69	233	
04/27/09	MIDDLEBY CORP C MIDD	4	107.87	431.48	14 6400	58.56 58.56	372.92	—	—
02/14/12		3		323.61	32.1567	96.47 96.47	227 14	—	
03/16/12		6		647.22	34.2333	205.40 205.40	441.82	—	

EQUITIES AND OPTIONS continue on page 41

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/11/12	MIDDLEBY CORP (continued)	6		647.22	32.2533	193.52 193.52	453.70	—	
05/14/12		6		647.22	32.4367	194.62 194.62	452.60	—	
06/12/12		9		970.83	32.4800	292.32 292.32	678.51	—	
11/14/12		18		1,941.66	41.8333	753.00 753.00	1,188.66	—	
10/29/15		8		862.96	117 4700	939.76 939.76	-76 80	—	
Total		60		6,472.20	45.5608	2,733.65 2,733.65	3,738.55	—	
08/06/15	MYLAN N V C MYL	56	54.07	3,027.92	55.2609	3,094.61 3,094.61	-66.69	—	—
08/10/15		23		1,243 61	57.0387	1,311.89 1,311.89	-68.28	—	
10/29/15		11		594 77	45 3073	498.38 498.38	96 39	—	

EQUITIES AND OPTIONS continue on page 42

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/16/15	MYLAN N V (continued)	29		1,568 03	49.7597	1,443.03 1,443.03	125.00	—	
Total		119		6,434.33	53.3438	6,347.91 6,347.91	86.42	—	
11/30/15	NATIONAL INSTRUMENTS C CORP NATI	32	28.69	918.08	31.4322	1,005.83 1,005.83	-87.75	24	2.65%
12/09/15		34		975 46	29.7282	1,010.76 1,010.76	-35 30	25	
Total		66		1,893.54	30.5544	2,016.59 2,016.59	-123.05	49	
08/27/13	NICE SYSTEMS LTD C SPONS ADR NICE	10	57.32	573.20	37.2010	372.01 372.01	201.19	6	1.12%
09/20/13		23		1,318.36	41.1422	946.27 946.27	372.09	14	
09/23/13		23		1,318.36	41.2874	949.61 949.61	368 75	14	
09/16/14		22		1,261.04	41.3055	908.72 908.72	352.32	14	
09/19/14		25		1,433.00	40.7752	1,019.38 1,019.38	413.62	16	

EQUITIES AND OPTIONS continue on page 43

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/01/14	NICE SYSTEMS LTD (continued)	23		1,318.36	41.2352	948.41 948.41	369.95	14	
10/29/15		17		974.44	61.5100	1,045.67 1,045.67	-71.23	10	
Total		143		8,196.76	43.2872	6,190.07 6,190.07	2,006.69	88	
10/03/14	NIKE INC C CL B NKE	30	62.50	1,875.00	45.0200	1,350.60 1,350.60	524.40	38	2.05%
10/13/14		20		1,250 00	43.2315	864.63 864.63	385.37	25	
11/20/14		26		1,625.00	48.6169	1,264.04 1,264.04	360.96	33	
12/19/14		20		1,250.00	46.8920	937.84 937.84	312.16	25	
03/20/15		34		2,125.00	51.3753	1,746.76 1,746.76	378.24	43	
10/29/15		18		1,125.00	65.4450	1,178.01 1,178.01	-53 01	23	
Total		148		9,250.00	49.6073	7,341.88 7,341.88	1,908.12	187	

EQUITIES AND OPTIONS continue on page 44

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

0102 026453

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/28/13	NISOURCE INC C NI	76	19.51	1,482.76	11.5533	878.05 878.05	604 71	47	3.18%
04/03/14		38		741.38	12.7484	484.44 484.44	256.94	23	
04/11/14		21		409 71	12 4862	262 21 262.21	147.50	13	
10/29/15		18		351 18	18.8100	338.58 338.58	12 60	11	
Total		153		2,985.03	12.8319	1,963.28 1,963.28	1,021.75	94	
07/24/14	PAYCHEX INC C PAYX	65	52.89	3,437.85	42.6129	2,769.84 2,769 84	668.01	109	3.18%
08/04/14		33		1,745 37	40 8024	1,346.48 1,346.48	398 89	55	
10/29/15		13		687.57	51.5877	670.64 670.64	16.93	21	
Total		111		5,870.79	43.1258	4,786.96 4,786.96	1,083.83	185	
06/12/12	PAYPAL HOLDINGS INC C PYPL	13	36.20	470 60	24.8446	322.98 322.98	147.62	—	—
02/14/12		1		36 20	20.0400	20.04 20.04	16.16	—	

EQUITIES AND OPTIONS continue on page 45

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
03/16/12	PAYPAL HOLDINGS INC (continued)	12		434.40	22 8467	274.16 274.16	160.24	—	
04/11/12		12		434 40	21.8567	262.28 262.28	172.12	—	
05/14/12		13		470.60	24.5108	318.64 318 64	151.96	—	
10/29/15		7		253 40	35.8871	251.21 251.21	2.19	—	
Total		58		2,099.60	24.9881	1,449.31 1,449.31	650.29	—	
05/02/13	PEPSICO INC C PEP	40	99.92	3,996 80	82.3875	3,295.50 3,295.50	701.30	112	2.81%
05/06/13		28		2,797.76	82.4896	2,309.71 2,309.71	488.05	78	
04/28/14		8		799.36	86.4900	691.92 691.92	107.44	22	
08/11/14		11		1,099.12	91 7091	1,008.80 1,008.80	90.32	30	
09/17/14		19		1,898.48	92 4000	1,755.60 1,755.60	142.88	53	

EQUITIES AND OPTIONS continue on page 46

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

30102 026454

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
07/14/15	PEPSICO INC (continued)	10		999 20	97.0070	970.07 970.07	29.13	28	
10/29/15		15		1,498.80	102.5600	1,538.40 1,538.40	-39.60	42	
Total		131		13,089.52	88.3206	11,570.00 11,570.00	1,519.52	365	
10/21/13	PFIZER INC C PFE	8	32.28	258.24	30.4813	243.85 243.85	14.39	9	3.72%
10/22/13		40		1,291 20	30.6600	1,226.40 1,226 40	64.80	48	
10/30/13		40		1,291 20	30.9198	1,236.79 1,236.79	54.41	48	
11/14/13		35		1,129.80	31.8700	1,115.45 1,115.45	14.35	42	
01/28/14		53		1,710 84	30 4600	1,614 38 1,614.38	96 46	63	
09/02/15		70		2,259.60	31 5627	2,209.39 2,209.39	50.21	84	

EQUITIES AND OPTIONS continue on page 47

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/29/15	PFIZER INC (continued)	53		1,710.84	34.6698	1,837.50 1,837.50	-126 66	63	
Total		299		9,651.72	31.7183	9,483.76 9,483.76	167.96	357	
10/29/14	PG&E CORP C PCG	45	53.19	2,393.55	48.4304	2,179.37 2,179.37	214.18	81	3 42%
11/03/14		16		851.04	50.8600	813.76 813.76	37.28	29	
04/29/15		52		2,765 88	53 3031	2,771.76 2,771.76	-5.88	94	
10/29/15		15		797 85	51.6600	774.90 774.90	22.95	27	
Total		128		6,808.32	51.0921	6,539.79 6,539.79	268.53	231	
01/04/10	PPG INDUSTRIES INC C PPG	32	98.82	3,162.24	30.2516	968.05 968.05	2,194.19	46	1.46%
02/14/12		4		395.28	45 3600	181.44 181.44	213.84	5	
03/16/12		4		395.28	46.7950	187 18 187.18	208.10	5	
04/11/12		4		395.28	46 9100	187.64 187.64	207.64	5	

EQUITIES AND OPTIONS continue on page 48

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/14/12	PPG INDUSTRIES INC (continued)	4		395.28	51.9650	207 86 207.86	187.42	5	
06/12/12		4		395.28	51.9150	207.66 207.66	187.62	5	
10/29/15		2		197.64	103 8100	207 62 207 62	-9 98	2	
Total		54		5,336.28	39.7676	2,147.45 2,147.45	3,188.83	73	
03/17/15	PRESTIGE BRANDS HOLDINGS C INC PBH	22	51.48	1,132.56	41.1445	905.18 905.18	227 38	—	—
04/09/15		19		978.12	44.1805	839.43 839.43	138.69	—	
05/11/15		32		1,647.36	41 7316	1,335.41 1,335.41	311.95	—	
05/14/15		14		720.72	44.3436	620.81 620 81	99.91	—	
10/29/15		11		566.28	49.8200	548.02 548.02	18 26	—	
Total		98		5,045.04	43.3556	4,248.85 4,248.85	796.19	—	

EQUITIES AND OPTIONS continue on page 49

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
	PROCTER & GAMBLE COMPANY C PG								
10/23/13		37	79.41	2,938.17	80.8170	2,990.23 2,990.23	-52.06	98	3.34%
10/28/13		18		1,429.38	80.9222	1,456.60 1,456.60	-27.22	47	
05/11/15		19		1,508.79	80.5184	1,529.85 1,529.85	-21.06	50	
10/29/15		10		794.10	76.9900	769.90 769.90	24 20	26	
Total		84		6,670.44	80.3164	6,746.58 6,746.58	-76.14	221	
	PROOFPOINT INC C PFPT								
03/12/15		21	65.01	1,365.21	55 9919	1,175.83 1,175.83	189.38	—	—
03/13/15		21		1,365.21	56 2490	1,181.23 1,181.23	183.98	—	
03/24/15		15		975 15	57.5487	863.23 863.23	111.92	—	
10/20/15		20		1,300.20	58 4010	1,168.02 1,168.02	132.18	—	
0/29/15		9		585.09	67.6978	609.28 609.28	-24.19	—	
tal		86		5,590.86	58.1115	4,997.59 4,997.59	593.27	—	

UITIES AND OPTIONS continue on page 50

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/07/15	REPUBLIC SERVICES INC C RSG	102	43.99	4,486.98	42.3670	4,321.43 4,321.43	165.55	122	2.73%
10/09/15		32		1,407.68	43.2844	1,385.10 1,385.10	22.58	38	
10/29/15		17		747.83	43.8000	744.60 744.60	3 23	20	
Total		151		6,642.49	42.7227	6,451.13 6,451.13	191.36	180	
12/15/15	RMR GROUP INC C CL A RMR	3	14.41	43.23	11.8900	35.67 35.67	7.56	—	—
08/09/10	ROYAL DUTCH SHELL PLC C SPONSORED ADR REPSTG B SHARES RDS/B	3	46.04	138.12	56.2067	168.62 168.62	-30.50	11	8.17%
02/28/11		15		690.60	72 0047	1,080.07 1,080.07	-389 47	56	
02/14/12		3		138.12	73.6800	221.04 221.04	-82.92	11	
03/16/12		4		184.16	72 6400	290.56 290.56	-106.40	15	
04/11/12		4		184.16	69 2700	277.08 277.08	-92 92	15	

EQUITIES AND OPTIONS continue on page 51

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/14/12	ROYAL DUTCH SHELL PLC (continued)	4		184.16	67.3400	269.36 269.36	-85 20	15	
06/12/12		4		184.16	66.5900	266.36 266.36	-82 20	15	
04/26/13		20		920.80	68.5330	1,370.66 1,370.66	-449.86	75	
04/30/15		27		1,243 08	64.6033	1,744.29 1,744.29	-501.21	101	
10/29/15		11		506.44	52.7200	579.92 579.92	-73.48	41	
Total		95		4,373.80	65.9785	6,267.96 6,267.96	-1,894.16	355	
10/06/15	SABRE CORP C SABR	60	27.97	1,678.20	28.4438	1,706.63 1,706 63	-28 43	21	1.29%
10/07/15		40		1,118.80	28 8040	1,152.16 1,152.16	-33 36	14	
10/08/15		49		1,370.53	28 9896	1,420.49 1,420.49	-49.96	17	
10/13/15		48		1,342.56	29.3540	1,408.99 1,408.99	-66.43	17	

EQUITIES AND OPTIONS continue on page 52

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/29/15	SABRE CORP (continued)	26		727.22	29.3677	763 56 763.56	-36.34	9	
11/11/15		53		1,482.41	29.1794	1,546 51 1,546 51	-64.10	19	
Total		276		7,719.72	28.9795	7,998.34 7,998.34	-278.62	97	
10/15/13	SAINT JUDE MEDICAL INC C STJ	26	61.77	1,606.02	55.7500	1,449 50 1,449.50	156.52	30	1.88%
10/15/13		17		1,050 09	55.7135	947.13 947.13	102.96	19	
05/05/14		59		3,644.43	64 3537	3,796 87 3,796.87	-152 44	68	
10/29/15		12		741.24	63 2100	758 52 758.52	-17 28	13	
Total		114		7,041.78	60.9826	6,952.02 6,952.02	89.76	130	
02/14/12	SCHLUMBERGER LTD C SLB	1	69.75	69.75	77.4100	77.41 77.41	-7.66	2	2.87%
03/16/12		4		279.00	76.1000	304 40 304.40	-25.40	8	
04/11/12		4		279 00	68.3700	273 48 273.48	5.52	8	

EQUITIES AND OPTIONS continue on page 53

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/16/13	ALPHABET INC (continued)	2		1,556.02	396.9250	793.85 793.85	762.17	—	
10/29/15		2		1,556.02	743.2500	1,486.50 1,486.50	69.52	—	
Total		13		10,114.13	393.4362	5,114.67 5,114.67	4,999.46	—	
06/25/14	AMERICAN ELECTRIC POWER C COMPANY INC AEP	12	58 27	699.24	54.8992	658.79 658.79	40.45	26	3.84%
09/11/14		15		874.05	53.1087	796.63 796.63	77.42	33	
09/24/14		16		932.32	52.4981	839.97 839.97	92.35	35	
10/20/14		38		2,214.26	55.3366	2,102.79 2,102.79	111.47	85	
08/26/15		41		2,389.07	53.2422	2,182.93 2,182.93	206 14	91	
10/29/15		16		932.32	55.8500	893.60 893.60	38.72	35	
Total		138		8,041.26	54.1646	7,474.71 7,474.71	566.55	305	

EQUITIES AND OPTIONS continue on page 6

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
01/18/12	AMERICAN STS WATER C COMPANY AWR	11	41.95	461.45	17.4418	191.86 191.86	269.59	9	2.14%
02/14/12		16		671.20	18.3400	293.44 293.44	377.76	14	
03/16/12		16		671.20	18.5150	296.24 296.24	374.96	14	
04/11/12		16		671.20	17.6350	282.16 282.16	389.04	14	
05/14/12		18		755.10	18.5000	333.00 333.00	422.10	16	
06/12/12		16		671.20	18.9250	302.80 302.80	368.40	14	
10/29/15		15		629.25	40.5373	608.06 608.06	21.19	13	
Total		108		4,530.60	21.3663	2,307.56 ✓ 2,307.56	2,223.04	94	
03/26/12	AMGEN INC C AMGN	10	162.33	1,623.30	67.5030	675.03 675.03	948.27	40	2.46%
04/11/12		7		1,136.31	66.8300	467.81 467.81	668.50	28	

EQUITIES AND OPTIONS continue on page 7

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/14/12	AMGEN INC (continued)	8		1,298.64	70.5800	564.64 564.64	734.00	32	
06/12/12		7		1,136.31	68.4300	479.01 479.01	657.30	28	
07/30/14		20		3,246 60	130.2870	2,605.74 2,605.74	640.86	80	
03/18/15		7		1,136.31	163 0257	1,141.18 1,141.18	-4.87	28	
10/29/15		7		1,136.31	160 3100	1,122.17 1,122.17	14.14	28	
Total		66		10,713.78	106.9027	7,055.58 7,055.58	3,658.20	264	
08/05/15	ANALOG DEVICES INC C ADI	20	55.32	1,106.40	60 2695	1,205.39 1,205.39	-98.99	32	2.89%
08/06/15		21		1,161.72	59 9295	1,258.52 1,258.52	-96 80	33	
08/19/15		20		1,106.40	58 9400	1,178.80 1,178.80	-72.40	32	

EQUITIES AND OPTIONS continue on page 8

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/29/15	ANALOG DEVICES INC (continued)	8		442.56	59.9800	479.84 479.84	-37.28	12	
Total		69		3,817.08	59.7471	4,122.55 4,122.55	-305.47	109	
11/25/13	APPLE INC C AAPL	20	105 26	2,105 20	74 9700	1,499 40 1,499.40	605.80	41	1 98%
12/03/13		21		2,210 46	80 0286	1,680.60 1,680 60	529 86	43	
01/14/14		14		1,473.64	77 4379	1,084 13 1,084 13	389.51	29	
08/18/14		17		1,789 42	99 0500	1,683 85 1,683.85	105.57	35	
01/07/15		13		1,368 38	107.8000	1,401.40 1,401 40	-33.02	27	
10/29/15		9		947.34	119.8100	1,078.29 1,078 29	-130.95	18	
Total		94		9,894.44	89.6561	8,427.67 8,427.67	1,466.77	193	
10/24/11	ARES CAPITAL CORP C ARCC	31	14.25	441.75	15 2506	472.77 472.77	-31.02	47	10.67%
10/25/11		36		513 00	15.1494	545.38 545.38	-32.38	54	

EQUITIES AND OPTIONS continue on page 9

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
02/14/12	ARES CAPITAL CORP (continued)	9		128.25	16.3800	147.42 147.42	-19.17	13	
03/16/12		9		128.25	16 1500	145.35 145.35	-17.10	13	
04/11/12		8		114.00	15.9300	127.44 127.44	-13.44	12	
05/14/12		10		142.50	15.5700	155.70 155.70	-13.20	15	
06/12/12		9		128.25	15.5000	139.50 139.50	-11.25	13	
12/09/13		65		926.25	18.3486	1,192.66 1,192.66	-266.41	98	
12/10/13		55		783.75	17.7824	978.03 978.03	-194.28	83	
12/12/13		61		869.25	17.3811	1,060.25 1,060.25	-191.00	92	
10/29/15		44		627.00	15 3300	674.52 674.52	-47.52	66	
Total		337		4,802.25	16.7330	5,639.02 5,639.02	-836.77	506	

EQUITIES AND OPTIONS continue on page 10

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

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EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
02/14/12	BLACKROCK INC C BLK	1	340.52	340.52	192.5500	192.55 192.55	147.97	8	2.56%
03/16/12		2		681.04	204 6200	409.24 409 24	271.80	17	
04/11/12		2		681.04	198.4600	396.92 396.92	284.12	17	
05/14/12		2		681.04	177.8200	355.64 355.64	325.40	17	
06/12/12		2		681.04	171.9600	343.92 343.92	337.12	17	
07/10/12		8		2,724 16	172.6050	1,380.84 1,380.84	1,343.32	69	
10/17/12		7		2,383.64	189.1929	1,324.35 1,324.35	1,059.29	61	
10/29/15		4		1,362.08	348.4400	1,393.76 1,393.76	-31.68	34	
Total		28		9,534.56	207.0436	5,797.22 5,797.22	3,737.34	240	
07/07/15	BOSTON BEER COMPANY INC C CLASS A SAM	7	201.91	1,413.37	225 6229	1,579.36 1,579.36	-165 99	—	—

EQUITIES AND OPTIONS continue on page 11

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
07/09/15	BOSTON BEER COMPANY INC (continued)	6		1,211.46	227.6717	1,366.03 1,366.03	-154.57	—	
09/16/15		5		1,009.55	224.5840	1,122.92 1,122.92	-113.37	—	
10/29/15		2		403.82	245.3200	490.64 490.64	-86.82	—	
Total		20		4,038.20	227.9475	4,558.95✓ 4,558.95	-520.75	—	
11/04/15	CALAMP CORP C CAMP	52	19.93	1,036.36	19.3985	1,008.72 1,008.72	27.64	—	—
11/16/15		52		1,036.36	18.8396	979.66 979.66	56.70	—	
12/09/15		55		1,096.15	18.5671	1,021.19 1,021.19	74 96	—	
12/10/15		39		777.27	20.0482	781.88 781.88	-4.61	—	
Total		198		3,946.14	19.1487	3,791.45✓ 3,791.45	154.69	—	
04/27/09	CALIFORNIA WTR SVC GRP C CWT	66	23.27	1,535.82	19.3600	1,277.76 1,277.76	258 06	44	2.88%
02/14/12		9		209.43	18.3300	164.97 164.97	44.46	6	

EQUITIES AND OPTIONS continue on page 12

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
03/16/12	CALIFORNIA WTR SVC GRP (continued)	9		209.43	18.2400	164.16 164 16	45.27	6	
04/11/12		8		186.16	17.6200	140 96 140 96	45.20	5	
05/14/12		10		232 70	17.6600	176.60 176 60	56 10	6	
06/12/12		10		232 70	17 6600	176.60 176.60	56.10	6	
01/21/14		25		581.75	22 7468	568 67 568.67	13 08	16	
01/22/14		44		1,023.88	22.7993	1,003 17 1,003.17	20.71	29	
02/27/15		34		791 18	25.4429	865.06 865.06	-73.88	22	
09/23/15		22		511.94	21.7514	478.53 478.53	33.41	14	
10/29/15		32		744.64	22.8200	730.24 730.24	14 40	21	
Total		269		6,259.63	21.3633	5,746.72 5,746.72	512.91	175	

EQUITIES AND OPTIONS continue on page 13

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/05/15	CAPITAL ONE C FINANCIAL CORP COF	42	72.18	3,031.56	79.9793	3,359.13 3,359.13	-327.57	67	2.22%
03/16/12	CHEVRON CORP C CVX	4	89.96	359.84	110.7100	442.84 442.84	-83.00	17	4.76%
04/11/12		6		539.76	101.1300	606.78 606.78	-67.02	25	
04/23/12		12		1,079.52	102.0967	1,225.16 1,225.16	-145 64	51	
05/14/12		7		629.72	102.1900	715 33 715.33	-85.61	29	
06/12/12		7		629.72	100.3700	702.59 702.59	-72.87	29	
10/29/15		5		449.80	89.5900	447.95 447.95	1.85	21	
11/09/15		13		1,169.48	92.5138	1,202.68 1,202.68	-33.20	55	
Total		54		4,857.84	98.9506	5,343.33 5,343.33	-485.49	227	
11/09/15	CHURCHILL DOWNS INC C CHDN	7	141.49	990.43	149.9471	1,049 63 1,049.63	-59 20	8	0.81%

EQUITIES AND OPTIONS continue on page 14

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/13/15	CHURCHILL DOWNS INC (continued)	6		848.94	148.9650	893.79 893.79	-44.85	6	
Total		13		1,839.37	149.4938	1,943.42 1,943.42	-104.05	14	
08/14/15	CISCO SYSTEMS INC C CSCO	126	27.155	3,421.53	28.9276	3,644.88 3,644.88	-223.35	105	3.09%
10/26/15		74		2,009.47	28.9653	2,143.43 2,143.43	-133.96	62	
10/29/15		143		3,883.16	29.0700	4,157.01 4,157.01	-273.85	120	
Total		343		9,314.16	28.9951	9,945.32 9,945.32	-631.16	287	
04/11/11	COLGATE-PALMOLIVE C COMPANY CL	29	66.62	1,931.98	40.7955	1,183.07 1,183.07	748.91	44	2.28%
05/06/11		26		1,732.12	42.4785	1,104.44 1,104.44	627.68	39	
02/14/12		8		532.96	45.8300	366.64 366.64	166.32	12	
03/16/12		6		399.72	47.4600	284.76 284.76	114.96	9	

EQUITIES AND OPTIONS continue on page 15

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/11/12	COLGATE-PALMOLIVE (continued)	8		532.96	48.5200	388.16 388.16	144.80	12	
05/14/12		8		532.96	49.6550	397.24 397.24	135.72	12	
06/12/12		8		532.96	49.9500	399.60 399 60	133.36	12	
10/29/15		10		666 20	69.0200	690.20 690.20	-24.00	15	
Total		103		6,861.86	46.7389	4,814.11✓ 4,814.11	2,047.75	155	
02/11/14	CONOCOPHILLIPS C COP	18	46.69	840.42	65.6728	1,182.11 1,182.11	-341.69	53	6.34%
02/18/14		8		373.52	65 3800	523.04 523.04	-149.52	23	
03/20/14		19		887.11	67.9089	1,290 27 1,290.27	-403.16	56	
04/15/14		8		373.52	72.0500	576.40 576.40	-202.88	23	
05/06/14		21		980.49	77.2367	1,621.97 1,621.97	-641.48	62	

EQUITIES AND OPTIONS continue on page 16

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

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EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/06/15	CONOCOPHILLIPS (continued)	21		980.49	55.0671	1,156.41 1,156.41	-175.92	62	
10/29/15		43		2,007.67	53 4123	2,296.73 2,296 73	-289.06	127	
Total		138		6,443.22	62.6589	8,646.93 8,646.93	-2,203.71	406	
09/08/14	CVS HEALTH CORP C CVS	21	97 77	2,053 17	81 2957	1,707.21 1,707.21	345.96	35	1.74%
09/15/14		17		1,662.09	81 1294	1,379.20 1,379.20	282.89	28	
12/23/14		15		1,466.55	97.1433	1,457.15 1,457.15	9 40	25	
01/27/15		10		977.70	100 6000	1,006.00 1,006.00	-28 30	17	
10/29/15		10		977.70	104 1400	1,041.40 1,041.40	-63.70	17	
Total		73		7,137.21	90.2871	6,590.96 6,590.96	546.25	122	
10/27/15	DOMINION DIAMOND CORP C DDC	81	10.23	828.63	10.9670	888.33 888.33	-59.70	32	3.91%
10/29/15		11		112.53	10 1473	111.62 111.62	0.91	4	

EQUITIES AND OPTIONS continue on page 17

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

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EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID			ɛt Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/06/12	ALPHABET INC (continued)	3		2,276 64	341.9500	1,025 85 1,025 85	1,250 79	—	
04/16/13		2		1,517 76	395 6500	791.30 791 30	726.46	—	
10/29/15		1		758 88	715 9600	715.96 715 96	42 92	—	
Total		8		6,071.04	390.4738	3,123.79 3,123.79	2,947.25	—	
01/24/11	ALPHABET INC C CL A GOOGL	1	778 01	778 01	304.4500	304.45 304.45	473.56	—	—
02/03/12		2		1,556.02	298.4600	596.92 596 92	959 10	—	
02/14/12		1		778.01	305.2900	305.29 305.29	472.72	—	
04/11/12		1		778 01	317.4600	317.46 317 46	460 55	—	
06/12/12		1		778.01	281.0500	281 05 281.05	496.96	—	
11/06/12		3		2,334.03	343.0500	1,029.15 1,029 15	1,304 88	—	

EQUITIES AND OPTIONS continue on page 5

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/12/15	DOMINION DIAMOND CORP (continued)	126		1,288.98	9.0941	1,145.86 1,145.86	143.12	50	
Total		218		2,230.14	9.8432	2,145.81 2,145.81	84.33	86	
11/09/15	DOW CHEMICAL COMPANY C DOW	65	51 48	3,346.20	51.8132	3,367.86 3,367.86	-21.66	119	3.57%
11/10/15		32		1,647.36	51.4997	1,647.99 1,647.99	-0.63	58	
12/17/15		35		1,801.80	50.5874	1,770.56 1,770.56	31.24	64	
Total		132		6,795.36	51.4122	6,786.41 6,786.41	8.95	241	
06/12/12	E M C CORP MASS C EMC	16	25.68	410.88	24.3800	390 08 390.08	20.80	7	1.79%
07/24/13		60		1,540.80	26.9200	1,615.20 1,615 20	-74.40	27	
08/22/13		49		1,258 32	25.8700	1,267.63 1,267.63	-9 31	22	
08/13/14		27		693 36	29.4200	794.34 794.34	-100.98	12	
04/08/15		32		821.76	26.1563	837.00 837.00	-15.24	14	

EQUITIES AND OPTIONS continue on page 18

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

02 026440

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/29/15	E M C CORP MASS (continued)	35		898.80	26.1800	916.30 916.30	-17.50	16	
Total		219		5,623.92	26.5779	5,820.55 5,820.55	-196.63	98	
05/24/12	EAST WEST BANCORP INC C EWBC	60	41.56	2,493 60	22.4972	1,349.83 1,349.83	1,143.77	48	1.92%
06/12/12		13		540.28	21.1500	274.95 274.95	265.33	10	
06/13/12		45		1,870.20	21.4293	964.32 964.32	905.88	36	
10/23/14		31		1,288.36	34.9265	1,082.72 1,082.72	205.64	24	
10/29/15		20		831.20	41.4880	829.76 829.76	1.44	16	
Total		169		7,023.64	26.6366	4,501.58 4,501.58	2,522.06	134	
02/14/12	EBAY INC C EBAY	1	27.48	27.48	12.9600	12.96 12.96	14.52	—	—
03/16/12		12		329.76	14.7733	177.28 177.28	152.48	—	
04/11/12		12		329.76	14.1333	169.60 169.60	160.16	—	

EQUITIES AND OPTIONS continue on page 19

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.

a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/14/12	EBAY INC (continued)	13		357.24	15.8492	206.04 206.04	151.20	—	
06/12/12		13		357.24	16.0654	208.85 208.85	148.39	—	
10/22/15		23		632.04	27.3765	629.66 629.66	2.38	—	
10/26/15		51		1,401.48	28.0725	1,431.70 1,431 70	-30.22	—	
10/29/15		16		439.68	28.1731	450.77 450.77	-11.09	—	
11/04/15		93		2,555 64	29.2549	2,720.71 2,720.71	-165.07	—	
Total		234		6,430.32	25.6734	6,007.57 6,007.57	422.75	—	
03/14/14	EMERGENT BIOSOLUTIONS C INC EBS	29	40.01	1,160.29	27.1103	786.20 786.20	374.09	—	—
09/02/15		39		1,560.39	33 0564	1,289.20 1,289.20	271.19	—	

EQUITIES AND OPTIONS continue on page 20

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a. *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

02 026441

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/29/15	EMERGENT BIOSOLUTIONS (continued)	8		320.08	32 6375	261.10 261.10	58.98	—	
Total		76		3,040.76	30.7434	2,336.50 2,336.50	704.26	—	
11/09/15	EXLSERVICE HOLDINGS INC C EXLS	22	44.93	988 46	45.1032	992 27 992 27	-3.81	—	—
12/02/15		22		988.46	46.9241	1,032.33 1,032.33	-43.87	—	
Total		44		1,976.92	46.0136	2,024.60 2,024.60	-47.68	—	
11/08/10	EXXON MOBIL CORP C XOM	4	77.95	311.80	69.9575	279.83 279.83	31.97	11	3.75%
02/14/12		6		467 70	84.2500	505.50 505.50	-37.80	17	
03/16/12		6		467 70	86.7900	520.74 520.74	-53.04	17	
04/11/12		6		467.70	82 5800	495.48 495.48	-27.78	17	
04/23/12		20		1,559 00	85 8295	1,716 59 1,716.59	-157.59	58	
05/14/12		8		623 60	82 4000	659 20 659.20	-35.60	23	

EQUITIES AND OPTIONS continue on page 21

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
	EXXON MOBIL CORP (continued)								
06/12/12		7		545.65	80.9200	566.44 566.44	-20.79	20	
04/30/15		14		1,091.30	87.8843	1,230.38 1,230.38	-139.08	40	
05/07/15		19		1,481.05	87 7437	1,667.13 1,667.13	-186.08	55	
06/09/15		23		1,792 85	84.9974	1,954.94 1,954.94	-162.09	67	
10/29/15		15		1,169.25	82 1900	1,232.85 1,232.85	-63.60	43	
12/17/15		28		2,182.60	78.4075	2,195.41 2,195.41	-12.81	81	
Total		156		12,160.20	83.4903	13,024.49 13,024.49	-864.29	449	—
	FACEBOOK INC CL A C FB								
01/17/14		45	104.66	4,709.70	57.2936	2,578.21 2,578.21	2,131.49	—	
01/22/14		22		2,302.52	57.6255	1,267.76 1,267.76	1,034.76	—	
01/30/14		46		4,814.36	62.0480	2,854.21 2,854.21	1,960.15	—	

EQUITIES AND OPTIONS continue on page 22

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a. Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
07/24/14	FACEBOOK INC CL A (continued)	15		1,569.90	76 2273	1,143.41 1,143.41	426.49	—	
10/29/15		17		1,779 22	104 6276	1,778.67 1,778.67	0.55	—	
Total		**145**		**15,175.70**	**66.3604**	**9,622.26** 9,622.26	**5,553.44**	**—**	
11/05/12	FEI COMPANY C FEIC	15	79.79	1,196 85	53.3400	800.10 800.10	396.75	18	1.50%
01/24/13		19		1,516.01	62.2253	1,182.28 1,182.28	333.73	22	
10/29/15		5		398.95	72.7600	363.80 363.80	35.15	6	
Total		**39**		**3,111.81**	**60.1585**	**2,346.18** 2,346.18	**765.63**	**46**	
05/26/15	FIFTH THIRD BANCORP C FITB	223	20.10	4,482.30	20 4000	4,549.20 4,549.20	-66.90	115	2.59%
06/12/15		65		1,306.50	21.1703	1,376.07 1,376.07	-69.57	33	
06/22/15		76		1,527 60	21.5661	1,639.02 1,639.02	-111.42	39	
10/29/15		48		964 80	19.4600	934 08 934.08	30.72	24	

EQUITIES AND OPTIONS continue on page 23

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/09/15	FIFTH THIRD BANCORP (continued)	80		1,608.00	20.1929	1,615.43 1,615.43	-7.43	41	
Total		492		9,889.20	20.5565	10,113.80 10,113.80	-224.60	252	
11/13/14	FISERV INC C FISV	20	91.46	1,829 20	70.0880	1,401.76 1,401.76	427.44	—	—
11/20/14		20		1,829.20	70.4390	1,408.78 1,408.78	420.42	—	
10/29/15		6		548.76	96.2600	577.56 577.56	-28.80	—	
Total		46		4,207.16	73.6543	3,388.10 3,388.10	819.06	—	
08/22/14	FOOT LOCKER INC C FL	36	65.09	2,343.24	54.3625	1,957.05 1,957.05	386.19	36	1.54%
08/26/14		14		911.26	55.3050	774.27 774.27	136.99	14	
10/29/15		7		455.63	67.5400	472.78 472.78	-17 15	7	
Total		57		3,710.13	56.2123	3,204.10 3,204.10	506.03	57	
08/07/14	FORTINET INC C FTNT	39	31 17	1,215.63	24.8605	969.56 969.56	246 07	—	—

EQUITIES AND OPTIONS continue on page 24

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
08/08/14	FORTINET INC (continued)	106		3,304 02	24.8749	2,636.74 2,636.74	667.28	—	
08/11/14		52		1,620.84	25 3329	1,317.31 1,317.31	303 53	—	
11/14/14		53		1,652.01	27.1702	1,440.02 1,440.02	211 99	—	
10/29/15		33		1,028.61	34.2676	1,130 83 1,130 83	-102.22	—	
Total		283		8,821.11	26.4822	7,494.46 7,494.46	1,326.65	—	
08/24/15	GENERAL MILLS INC C GIS	51	57.66	2,940 66	55.0904	2,809.61 2,809.61	131 05	89	3.05%
09/22/15		24		1,383.84	57.2946	1,375 07 1,375.07	8 77	42	
10/29/15		11		634.26	57.9700	637.67 637.67	-3 41	19	
Total		86		4,958.76	56.0738	4,822.35 4,822.35	136.41	150	
09/30/13	GENERAL MOTORS COMPANY C GM	11	34.01	374.11	36.2073	398.28 398.28	-24 17	15	4 23%
09/30/13		33		1,122.33	36.1600	1,193.28 1,193.28	-70.95	47	

EQUITIES AND OPTIONS continue on page 25

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/01/13	GENERAL MOTORS COMPANY (continued)	33		1,122.33	35.7533	1,179.86 1,179 86	-57.53	47	
10/10/13		31		1,054.31	34.7626	1,077.64 1,077.64	-23.33	44	
10/11/13		9		306.09	35.2800	317.52 317.52	-11 43	12	
11/07/13		28		952.28	36.3800	1,018.64 1,018.64	-66.36	40	
08/03/15		79		2,686.79	31.7385	2,507.34 2,507.34	179.45	113	
08/04/15		66		2,244.66	31.6024	2,085.76 2,085.76	158.90	95	
10/29/15		38		1,292.38	34.4300	1,308.34 1,308.34	-15.96	54	
Total		328		11,155.28	33.8008	11,086.66 11,086.66	68.62	467	
08/19/15	GENPACT LTD C G	67	24.98	1,673.66	22.7019	1,521.03 1,521.03	152.63	—	—
08/25/15		56		1,398.88	22.2150	1,244.04 1,244 04	154 84	—	

EQUITIES AND OPTIONS continue on page 26

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
09/17/15	GENPACT LTD (continued)	38		949.24	23.6192	897.53 897.53	51.71	—	
09/21/15		45		1,124.10	23.5062	1,057.78 1,057.78	66.32	—	
09/29/15		35		874.30	22.5377	788.82 788.82	85.48	—	
10/29/15		31		774.38	24.7774	768.10 768.10	6.28	—	
Total		272		6,794.56	23.0783	6,277.30 6,277.30	517.26	—	
04/23/14	GILEAD SCIENCES INC C GILD	12	101.19	1,214.28	74.9983	899.98 899.98	314.30	20	1.70%
04/28/14		37		3,744.03	75.1922	2,782.11 2,782.11	961 92	63	
10/29/15		8		809.52	109.6700	877.36 877.36	-67.84	13	
Total		57		5,767.83	79.9904	4,559.45 4,559.45	1,208.38	96	
06/15/15	GRANITE CONSTR INC C GVA	25	42.91	1,072.75	36.3632	909.08 909.08	163.67	13	1.21%
06/16/15		58		2,488.78	36.6221	2,124.08 2,124.08	364.70	30	

EQUITIES AND OPTIONS continue on page 27

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
07/09/15	GRANITE CONSTR INC (continued)	19		815.29	34.9753	664.53 664.53	150.76	9	
09/08/15		22		944 02	33.6736	740.82 740.82	203 20	11	
10/29/15		17		729 47	33.1800	564.06 564.06	165 41	8	
Total		141		6,050.31	35.4792	5,002.57 5,002.57	1,047.74	71	
05/14/12	HAIN CELESTIAL GROUP INC C HAIN	11	40 39	444.29	27.1200	298.32 298.32	145.97	—	—
06/12/12		14		565.46	26.8750	376.25 376.25	189.21	—	
09/11/14		42		1,696.38	50.8729	2,136.66 2,136 66	-440.28	—	
10/29/15		17		686.63	50.0500	850.85 850.85	-164.22	—	
Total		84		3,392.76	43.5962	3,662.08 3,662.08	-269.32	—	
07/06/15	HARTFORD FINANCIAL C SERVICES GROUP INC HIG	38	43 46	1,651.48	43.7468	1,662.38 1,662.38	-10.90	31	1.93%

EQUITIES AND OPTIONS continue on page 28

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
07/14/15	HARTFORD FINANCIAL (continued)	32		1,390 72	45.7341	1,463.49 1,463.49	-72 77	26	
07/15/15		62		2,694.52	46 8823	2,906.70 2,906.70	-212.18	52	
08/13/15		37		1,608.02	50 7151	1,876.46 1,876.46	-268 44	31	
08/13/15		12		521.52	48.9000	586.80 586.80	-65 28	10	
10/29/15		32		1,390.72	46.9900	1,503.68 1,503 68	-112.96	26	
Total		**213**		**9,256.98**	**46.9461**	**9,999.51** **9,999.51**	**-742.53**	**176**	
02/16/12	HERSHEY COMPANY C HSY	8	89 27	714 16	60 6788	485 43 485.43	228 73	18	2 61 %
03/16/12		3		267.81	59.9900	179.97 179.97	87.84	7	
04/11/12		3		267.81	60.5500	181.65 181.65	86.16	7	
04/24/12		17		1,517.59	66.4688	1,129 97 1,129.97	387.62	39	

EQUITIES AND OPTIONS continue on page 29

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/14/12	HERSHEY COMPANY (continued)	5		446.35	69 2200	346.10 346.10	100.25	11	
06/12/12		4		357.08	67 3800	269.52 269.52	87.56	9	
02/04/13		12		1,071 24	79.5383	954 46 954.46	116 78	27	
02/19/13		14		1,249 78	81.6964	1,143.75 1,143.75	106.03	32	
09/16/15		8		714.16	93.3563	746.85 746.85	-32.69	18	
10/29/15		10		892.70	89.0280	890.28 890.28	2.42	23	
Total		84		7,498.68	75.3331	6,327.98 6,327.98	1,170.70	191	
08/08/12	HOME DEPOT INC C HD	53	132.25	7,009.25	52.5711	2,786.27 2,786.27	4,222.98	125	1.78%
08/17/12		17		2,248.25	57.0876	970.49 970 49	1,277.76	40	
12/03/12		17		2,248.25	65 1053	1,106.79 1,106.79	1,141.46	40	

EQUITIES AND OPTIONS continue on page 30

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
03/04/13	HOME DEPOT INC (continued)	28		3,703 00	69 5514	1,947.44 1,947.44	1,755.56	66	
05/21/13		10		1,322 50	78.4340	784 34 784 34	538.16	23	
10/29/15		15		1,983.75	123.2600	1,848 90 1,848.90	134 85	35	
Total		140		18,515.00	67.4588	9,444.23 9,444.23	9,070.77	329	
06/03/13	HONEYWELL INTL INC C HON	29	103.57	3,003.53	78.3007	2,270.72 2,270.72	732.81	69	2.30%
09/06/13		17		1,760 69	80.7500	1,372.75 1,372.75	387 94	40	
10/04/13		30		3,107.10	82.3717	2,471.15 2,471.15	635.95	71	
11/21/14		11		1,139.27	98.2991	1,081 29 1,081.29	57.98	26	
01/29/15		14		1,449.98	99.8879	1,398.43 1,398.43	51.55	33	

EQUITIES AND OPTIONS continue on page 31

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.

a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
	HONEYWELL INTL INC (continued)								
10/29/15		13		1,346.41	102.4077	1,331.30 1,331.30	15.11	30	
Total		114		11,806.98	87.0670	9,925.64 ✓ 9,925.64	1,881.34	269	
	HOSPITALITY PPTYS TR C SBI HPT								
08/10/11		37	26.15	967.55	21.3124	786.53 786.53	181.02	74	7.65%
02/14/12		12		313.80	24.8700	297.79 297.79	16.01	24	
03/16/12		11		287.65	25.6000	281.00 281.00	6.65	22	
04/11/12		11		287.65	26.1500	287.05 287.05	0.60	22	
05/14/12		13		339.95	25.3100	328.31 328.31	11 64	26	
06/12/12		12		313.80	23 2300	278.00 278.00	35.80	24	
10/07/14		57		1,490.55	26.8181	1,528.63 1,528.63	-38.08	114	
0/29/15		21		549.15	27 0000	567.00 567.00	-17 85	42	

UITIES AND OPTIONS continue on page 32

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[3]	Estimated 30-Day Yield[a]
12/04/15	HOSPITALITY PPTYS TR (continued)	37		967.55	27.0873	1,002.23 1,002.23	-34.68	74	
Total		211		5,517.65	25.3864	5,356.54 5,356.54	161.11	422	
04/13/15	INTEGRATED DEVICE TECH C IDTI	73	26 35	1,923.55	20.5436	1,499.68 1,499.68	423.87	—	—
04/27/15		124		3,267.40	18.7751	2,328 11 2,328.11	939 29	—	
10/29/15		26		685.10	24.3300	632.58 632.58	52 52	—	
Total		223		5,876.05	20.0017	4,460.37 4,460.37	1,415.68	—	
04/19/13	INTEL CORP C INTC	44	34.45	1,515.80	22.4000	985.60 985 60	530.20	42	2.79%
06/04/13		36		1,240.20	25.4783	917.22 917.22	322.98	34	
08/14/14		23		792 35	33.9661	781.22 781.22	11.13	22	
10/06/15		33		1,136.85	31.7900	1,049.07 1,049.07	87.78	31	
10/15/15		38		1,309.10	32.7700	1,245.26 1,245.26	63.84	36	

EQUITIES AND OPTIONS continue on page 33

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/29/15	INTEL CORP (continued)	23		792.35	34.0800	783.84 783.84	8.51	22	
Total		197		6,786.65	29.2498	5,762.21 5,762.21	1,024.44	187	
02/13/15	INTERCONTINENTAL C EXCHANGE INC ICE	13	256.26	3,331.38	233 7454	3,038.69 3,038.69	292.69	39	1.17%
09/02/15		8		2,050.08	224.5275	1,796.22 1,796.22	253.86	24	
10/29/15		3		768.78	259 8967	779.69 779.69	-10.91	9	
Total		24		6,150.24	233.9417	5,614.60 5,614.60	535.64	72	
04/15/13	JOHNSON & JOHNSON C JNJ	44	102.72	4,519 68	82.6370	3,636.03 3,636.03	883.65	132	2.92%
04/19/13		21		2,157.12	84.0648	1,765.36 1,765.36	391.76	63	
05/07/13		17		1,746 24	85.3794	1,451.45 1,451.45	294.79	51	
10/29/15		10		1,027.20	100.7200	1,007 20 1,007.20	20.00	30	
Total		92		9,450.24	85.4352	7,860.04 7,860.04	1,590.20	276	

EQUITIES AND OPTIONS continue on page 34

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
02/14/12	JONES LANG LASALLE INC C JLL	2	159 86	319.72	81.4900	162.98 162.98	156.74	1	0.36%
03/16/12		3		479.58	87 3800	262.14 262.14	217.44	1	
04/11/12		2		319.72	78 9000	157.80 157.80	161.92	1	
05/14/12		3		479.58	75.7800	227.34 227.34	252.24	1	
06/12/12		2		319.72	69 9500	139.90 139.90	179.82	1	
06/27/12		10		1,598.60	68.0190	680.19 680.19	918.41	5	
10/11/12		17		2,717.62	75.7312	1,287.43 1,287.43	1,430.19	9	
08/05/14		11		1,758.46	130.0618	1,430.68 1,430.68	327.78	6	
10/29/15		6		959 16	165.8000	994.80 994.80	-35.64	3	
Total		56		8,952.16	95.4154	5,343.26 5,343.26	3,608.90	28	

EQUITIES AND OPTIONS continue on page 35

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
02/03/15	JPMORGAN CHASE & C COMPANY JPM	102	66.03	6,735.06	56.2109	5,733.51 5,733.51	1,001 55	179	2.67%
02/12/15		34		2,245 02	59 4776	2,022 24 2,022.24	222.78	59	
05/19/15		23		1,518.69	66.9813	1,540.57 1,540.57	-21 88	40	
10/29/15		21		1,386.63	65.0800	1,366.68 1,366.68	19 95	36	
Total		180		11,885.40	59.2389	10,663.00 10,663.00	1,222.40	314	
08/16/10	KROGER COMPANY C KR	45	41.83	1,882.35	10.9749	493.87 493.87	1,388 48	18	1.00%
02/14/12		28		1,171.24	11.8050	330.54 330.54	840 70	11	
03/01/12		34		1,422 22	12 1738	413.91 413.91	1,008.31	14	
03/16/12		32		1,338.56	12.1300	388.16 388.16	950.40	13	
04/11/12		30		1,254.90	11.7200	351.60 351.60	903.30	12	

EQUITIES AND OPTIONS continue on page 36

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/14/12	KROGER COMPANY (continued)	36		1,505.88	11.3250	407.70 407.70	1,098.18	15	
06/12/12		32		1,338.56	10 7400	343.68 343.68	994.88	13	
07/19/12		118		4,935.94	10.8075	1,275.28 1,275.28	3,660.66	49	
10/29/15		47		1,966.01	37 6000	1,767.20 1,767.20	198 81	19	
Total		402		16,815.66	14.3581	5,771.94 5,771.94	11,043.72	164	
10/14/14	LABORATORY CORP OF AMER C HOLDINGS NEW LH	26	123.64	3,214.64	99.1477	2,577.84 2,577.84	636 80	—	—
10/28/14		18		2,225.52	107.5689	1,936.24 1,936.24	289.28	—	
11/03/14		12		1,483.68	101.0183	1,212.22 1,212.22	271.46	—	
04/27/15		1		123.64	126.0000	126.00 126.00	-2.36	—	
07/15/15		17		2,101.88	122 8171	2,087.89 2,087.89	13.99	—	

EQUITIES AND OPTIONS continue on page 37

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
08/19/14	ABBOTT LABORATORIES C ABT	507	$44.91	$22,769.37	$42 3351	$21,463.88 21,463.88	$1,305 49	$527	2.32%
01/10/12	ABBVIE INC C ABBV	93	59.24	5,509.32	29 1742	2,713.20 2,713.20	2,796.12	212	3.85%
02/14/12		11		651.64	28.4882	313 37 313.37	338.27	25	
03/13/12		10		592.40	30.6370	306.37 306.37	286.03	22	
04/11/12		13		770.12	31.1523	404.98 404.98	365.14	29	
05/14/12		12		710.88	32.2033	386.44 386.44	324 44	27	
06/18/12		12		710.88	32.6500	391.80 391.80	319 08	27	

EQUITIES AND OPTIONS continue on page 4

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
08/20/13	ABBVIE INC (continued)	6		355 44	43.1483	258.89 258.89	96 55	13	
09/03/13		7		414.68	42.5400	297.78 297.78	116 90	15	
09/18/13		7		414.68	47.8800	335.16 335.16	79 52	15	
10/18/13		7		414.68	48.4100	338.87 338 87	75.81	15	
11/18/13		163		9,656.12	49.7299	8,105.98 8,105.98	1,550.14	371	
11/20/13		136		8,056 64	48.3090	6,570.02 6,570.02	1,486.62	310	
12/17/13		13		770.12	53.3400	693.42 693.42	76.70	29	
Total		490		29,027.60	43.0944	21,116.28 21,116.28	7,911.32	1,110	
09/12/12	AFLAC INC C AFL	314	59.90	18,808.60	48.6098	15,263.48 15,263.48	3,545.12	514	2.74%
08/20/13		1		59.90	59.5400	59.54 59.54	0.36	1	

EQUITIES AND OPTIONS continue on page 5

EQUITIES AND OPTIONS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
08/19/14	ABBOTT LABORATORIES C ABT	507	$44.91	$22,769 37	$42.3351	$21,463.88 21,463.88	$1,305.49	$527	2.32%
01/10/12	ABBVIE INC C ABBV	93	59.24	5,509.32	29 1742	2,713.20 2,713.20	2,796 12	212	3.85%
02/14/12		11		651.64	28.4882	313.37 313.37	338.27	25	
03/13/12		10		592.40	30.6370	306.37 306 37	286.03	22	
04/11/12		13		770.12	31.1523	404.98 404.98	365.14	29	
05/14/12		12		710 88	32.2033	386.44 386.44	324 44	27	
06/18/12		12		710.88	32.6500	391.80 391.80	319 08	27	

EQUITIES AND OPTIONS continue on page 4

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
03/13/12	ALTRIA GROUP INC (continued)	26		1,513 46	30.0800	782.08 782.08	731.38	58	
04/11/12		31		1,804 51	31 1900	966 89 966.89	837.62	70	
05/14/12		33		1,920.93	31 8600	1,051.38 1,051.38	869.55	74	
06/18/12		29		1,688 09	33.8900	982.81 982.81	705.28	65	
09/03/13		18		1,047.78	33.8200	608.76 608.76	439.02	40	
09/18/13		16		931 36	35.7000	571.20 571 20	360.16	36	
10/18/13		17		989.57	35.8300	609.11 609.11	380.46	38	
11/18/13		18		1,047.78	37.9700	683.46 683.46	364.32	40	
12/17/13		12		698.52	37.5300	450.36 450.36	248.16	27	
Total		464		27,009.44	24.9715	11,586.79 11,586.79	15,422.65	1,042	

EQUITIES AND OPTIONS continue on page 7

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield
09/03/13	AFLAC INC (continued)	13		778.70	58.0500	754.65 754.65	24.05	21	
09/18/13		14		838.60	62 5900	876 26 876.26	-37.66	22	
10/18/13		13		778 70	65.7600	854.88 854.88	-76.18	21	
11/18/13		9		539.10	67.3000	605.70 605.70	-66.60	14	
12/17/13		17		1,018 30	65.9888	1,121.81 1,121.81	-103.51	27	
Total		381		22,821.90	51.2764	19,536.32 19,536.32	3,285.58	620	
05/05/09	ALTRIA GROUP INC C MO	210	58.21	12,224.10	16.4600	3,456.59 3,456.59	8,767.51	474	3.88%
05/07/09		5		291 05	17.1000	85.50 85.50	205.55	11	
04/06/10		11		640.31	21 0300	231.33 231.33	408 98	24	
02/14/12		38		2,211 98	29.1400	1,107.32 1,107.32	1,104.66	85	

EQUITIES AND OPTIONS continue on page 6

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.

a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

LPL Financial

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
01/10/12	AMERICAN ELECTRIC POWER C COMPANY INC AEP	188	58 27	10,954.76	41.3673	7,777.05 7,777.05	3,177.71	421	3.84%
02/14/12		24		1,398.48	39.6100	950.64 950.64	447.84	53	
03/13/12		21		1,223.67	39.0700	820 47 820.47	403.20	47	
04/11/12		27		1,573.29	37.2900	1,006.83 1,006.83	566 46	60	
05/14/12		24		1,398.48	38.3100	919.44 919 44	479.04	53	
06/18/12		24		1,398.48	40.0500	961.20 961 20	437.28	53	
09/03/13		14		815.78	42.2700	591.78 591.78	224.00	31	
09/18/13		13		757 51	44.4700	578.11 578.11	179.40	29	
10/18/13		13		757.51	44.8900	583.57 583.57	173.94	29	
11/18/13		16		932 32	48 2600	772 16 772 16	160.16	35	

EQUITIES AND OPTIONS continue on page 8

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a. *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
12/17/13	AMERICAN ELECTRIC POWER (continued)	9		524.43	45.8200	412.38 412.38	112.05	20	
Total		373		21,734.71	41.2162	15,373.63 15,373.63	6,361.08	831	
07/07/09	AMERICAN FINANCIAL GRP C INC OHIO AFG	119	72 08	8,577.52	21.1796	2,520 37 2,520.37	6,057.15	133	1.55%
12/14/10		57		4,108.56	32.6396	1,860.46 1,860.46	2,248.10	63	
02/14/12		23		1,657.84	37.1000	853.30 853.30	804.54	25	
03/13/12		19		1,369.52	38.4900	731.31 731.31	638.21	21	
04/11/12		24		1,729.92	37.5300	900.72 900.72	829.20	26	
05/14/12		25		1,802.00	39.4400	986.00 986.00	816.00	28	
06/18/12		22		1,585.76	39.2800	864.16 864.16	721 60	24	
08/20/13		7		504.56	51.6800	361.76 361.76	142.80	7	

EQUITIES AND OPTIONS continue on page 9

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
09/03/13	AMERICAN FINANCIAL GRP (continued)	13		937.04	51 8200	673.66 673.66	263.38	14	
09/18/13		12		864.96	54.1400	649 68 649 68	215.28	13	
10/18/13		13		937.04	55.1900	717.47 717.47	219.57	14	
11/18/13		11		792.88	56.6000	622.60 622 60	170 28	12	
12/17/13		10		720.80	55.0800	550.80 550.80	170.00	11	
Total		355		25,588.40	34.6262	12,292.29 12,292.29	13,296.11	391	
11/05/12	AMERIPRISE FINANCIAL INC C AMP	238	106.42	25,327.96	60.0980	14,303.32 14,303.32	11,024.64	637	2.52%
08/20/13		1		106 42	87 2000	87.20 87.20	19.22	2	
09/03/13		11		1,170.62	86 6000	952.60 952.60	218.02	29	
09/18/13		10		1,064 20	93 8600	938.60 938.60	125 60	26	

EQUITIES AND OPTIONS continue on page 10

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/18/13	AMERIPRISE FINANCIAL INC (continued)	10		1,064 20	99 3200	993.20 993.20	71.00	26	
11/18/13		6		638 52	105 9300	635.58 635.58	2.94	16	
12/17/13		14		1,489 88	108.7400	1,522.36 1,522.36	-32.48	37	
Total		290		30,861.80	67.0099	19,432.86 19,432.86	11,428.94	773	
08/23/11	ARTHUR J GALLAGHER & C COMPANY AJG	219	40.94	8,965.86	26.3885	5,779.08 5,779.08	3,186.78	324	3.62%
02/14/12		28		1,146.32	35.1100	983.08 983.08	163.24	41	
03/13/12		24		982 56	35.7800	858.72 858.72	123.84	35	
04/11/12		34		1,391 96	34 8100	1,183.54 1,183.54	208.42	50	
05/14/12		25		1,023.50	35.6600	891.50 891.50	132.00	37	
06/18/12		28		1,146.32	34.4000	963.20 963.20	183.12	41	

EQUITIES AND OPTIONS continue on page 11

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
08/20/13	ARTHUR J GALLAGHER & (continued)	7		286.58	42.9300	300.51 300 51	-13.93	10	
09/03/13		16		655.04	41.4200	662.72 662.72	-7.68	23	
09/18/13		15		614.10	44.6800	670.20 670.20	-56.10	22	
10/18/13		16		655 04	46.8400	749.44 749.44	-94.40	23	
11/18/13		15		614.10	47.8500	717.75 717.75	-103.65	22	
12/17/13		15		614.10	45 6800	685 20 685.20	-71.10	22	
Total		442		18,095.48	32.6809	14,444.94 14,444.94	3,650.54	650	
05/05/09	AT&T INC C T	329	34.41	11,320.89	26.5175	8,724.27 8,724 27	2,596.62	631	5.58%
11/20/09		49		1,686.09	26.0876	1,278.29 1,278.29	407.80	94	
01/08/10		42		1,445 22	26 9276	1,130.96 1,130.96	314 26	80	

EQUITIES AND OPTIONS continue on page 12

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/18/10	AT&T INC (continued)	16		550.56	28.4500	455.20 455.20	95 36	30	
04/01/11		8		275 28	30 6400	245.12 245.12	30.16	15	
02/14/12		68		2,339.88	29.9100	2,033.88 2,033.88	306.00	130	
03/13/12		54		1,858.14	31.6100	1,706.94 1,706.94	151.20	103	
04/11/12		72		2,477.52	30.3900	2,188.08 2,188.08	289.44	138	
05/14/12		62		2,133.42	33.4900	2,076.38 2,076.38	57.04	119	
06/18/12		62		2,133.42	35 8300	2,221 46 2,221.46	-88.04	119	
08/20/13		6		206.46	33.8800	203.28 203.28	3.18	11	
09/03/13		33		1,135.53	33 5552	1,107 32 1,107.32	28 21	63	
09/18/13		33		1,135.53	34.8100	1,148.73 1,148.73	-13.20	63	

EQUITIES AND OPTIONS continue on page 13

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/18/13	AT&T INC (continued)	33		1,135.53	34.6400	1,143.12 1,143.12	-7.59	63	
11/18/13		30		1,032.30	35.5500	1,066.50 1,066.50	-34.20	57	
12/17/13		30		1,032 30	33.7900	1,013.70 1,013.70	18 60	57	
11/18/15		534		18,374 94	33 3888	17,829.63 17,829.63	545.31	1,025	
Total		1,461		50,273.01	31.1929	45,572.86 45,572.86	4,700.15	2,798	
07/16/15	ATMOS ENERGY CORP C ATO	233	63.04	14,688 32	55.2679	12,877.43 12,877.43	1,810.89	391	2.66%
03/09/11	BLACKROCK INC C BLK	34	340.52	11,577.68	197.6800	6,721.12 6,721.12	4,856.56	296	2.56%
02/14/12		6		2,043.12	190 2700	1,141.62 1,141.62	901.50	52	
03/13/12		4		1,362.08	201.9700	807.88 807.88	554.20	34	
04/11/12		4		1,362.08	198 4800	793.92 793.92	568.16	34	

EQUITIES AND OPTIONS continue on page 14

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/14/12	BLACKROCK INC (continued)	4		1,362.08	177.6500	710.60 710.60	651.48	34	
06/18/12		5		1,702.60	174.1300	870.65 870.65	831.95	43	
12/27/12		10		3,405.20	205.9600	2,059.60 2,059.60	1,345.60	87	
08/20/13		2		681 04	266.4800	532.96 532.96	148.08	17	
09/03/13		3		1,021.56	262.5400	787.62 787.62	233.94	26	
09/18/13		3		1,021.56	279.2500	837.75 837.75	183.81	26	
10/18/13		3		1,021:56	301.9600	905 88 905.88	115.68	26	
11/18/13		4		1,362 08	305.1400	1,220 56 1,220.56	141 52	34	
Total		82		27,922.64	212.0751	17,390.16 17,390.16	10,532.48	709	
05/05/09	CHEVRON CORP C CVX	145	89.96	13,044.20	65.4476	9,489.90 9,489.90	3,554 30	620	4.76%

EQUITIES AND OPTIONS continue on page 15

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/07/09	CHEVRON CORP (continued)	2		179.92	68.5500	137.10 137.10	42.82	8	
01/08/10		4		359.84	79.1800	316.72 316.72	43.12	17	
04/01/11		1		89.96	108.3700	108.37 108.37	-18.41	4	
02/14/12		21		1,889.16	105.7700	2,221.17 2,221.17	-332.01	89	
03/13/12		17		1,529 32	111.2200	1,890.74 1,890.74	-361 42	72	
04/11/12		23		2,069.08	101.1900	2,327.37 2,327.37	-258 29	98	
05/14/12		19		1,709.24	102.1600	1,941.04 1,941.04	-231.80	81	
06/18/12		19		1,709 24	103 5900	1,968.21 1,968.21	-258 97	81	
08/20/13		1		89.96	118.9000	118.90 118.90	-28.94	4	
09/03/13		11		989.56	120.3600	1,323.96 1,323.96	-334 40	47	

EQUITIES AND OPTIONS continue on page 16

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
09/18/13	CHEVRON CORP (continued)	10		899.60	125.9000	1,259.00 1,259 00	-359.40	42	
10/18/13		11		989.56	119.6900	1,316.59 1,316.59	-327.03	47	
11/18/13		11		989.56	120.1000	1,321.10 1,321.10	-331.54	47	
12/17/13		8		719.68	119 0000	952.00 952.00	-232.32	34	
Total		303		27,257.88	88.0930	26,692.17 26,692.17	565.71	1,291	
10/08/12	CISCO SYSTEMS INC C CSCO	728	27.155	19,768.84	18.9800	13,817.44 13,817.44	5,951.40	611	3.09%
05/30/13		222		6,028.41	24.5399	5,447.86 5,447.86	580.55	186	
08/20/13		7		190.09	24.4600	171.22 171.22	18.87	5	
09/03/13		41		1,113.35	23.5600	965.96 965.96	147.39	34	
09/18/13		41		1,113.36	24.6900	1,012.29 1,012.29	101.07	34	

EQUITIES AND OPTIONS continue on page 17

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/18/13	CISCO SYSTEMS INC (continued)	42		1,140 51	23.0800	969.36 969.36	171 15	35	
11/18/13		36		977.58	21 6600	779.76 779.76	197.82	30	
12/17/13		35		950.42	21.0400	736.40 736.40	214.02	29	
Total		1,152		31,282.56	20.7468	23,900.29 23,900.29	7,382.27	964	
04/01/14	CME GROUP INC CLASS A C CME	324	90 60	29,354 40	73.0423	23,665.71 23,665.71	5,688.69	648	2.21%
05/05/09	CONOCOPHILLIPS C COP	169	46 69	7,890 61	33 1792	5,607.28 5,607.28	2,283 33	500	6.34%
05/07/09		25		1,167 25	35.0452	876 13 876.13	291 12	74	
01/08/10		1		46.69	40.8200	40.82 40.82	5 87	2	
04/06/10		5		233.45	41.1740	205.87 205.87	27.58	14	
04/01/11		3		140.07	61.4200	184.26 184.26	-44.19	8	

EQUITIES AND OPTIONS continue on page 18

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
02/14/12	CONOCOPHILLIPS (continued)	26		1,213 94	56.4608	1,467 98 1,467.98	-254 04	76	
03/13/12		23		1,073 87	59.9530	1,378 92 1,378 92	-305.05	68	
04/11/12		30		1,400.70	56.9620	1,708.86 1,708.86	-308 16	88	
05/14/12		25		1,167.25	53.1100	1,327.75 1,327.75	-160.50	74	
06/18/12		26		1,213.94	54.9600	1,428.96 1,428.96	-215.02	76	
05/30/13		123		5,742 87	62.4044	7,675.74 7,675.74	-1,932.87	364	
08/20/13		3		140.07	65.8467	197.54 197.54	-57.47	8	
09/03/13		21		980.49	66.7200	1,401.12 1,401.12	-420.63	62	
09/18/13		19		887.11	70 6000	1,341.40 1,341.40	-454.29	56	
10/18/13		20		933.80	73.2100	1,464.20 1,464.20	-530.40	59	

EQUITIES AND OPTIONS continue on page 19

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/18/13	CONOCOPHILLIPS (continued)	14		653.66	72.8100	1,019.34 1,019.34	-365 68	41	
12/17/13		22		1,027.18	69.2100	1,522.62 1,522.62	-495.44	65	
Total		555		25,912.95	51.9798	28,848.79 28,848.79	-2,935.84	1,635	
10/06/11	DOW CHEMICAL COMPANY C DOW	255	51.48	13,127.40	25 0180	6,379.59 6,379.59	6,747.81	469	3.57%
02/14/12		35		1,801.80	33.3100	1,165.85 1,165.85	635 95	64	
03/13/12		28		1,441 44	34.5700	967.96 967.96	473.48	51	
04/11/12		41		2,110.68	31.7700	1,302.57 1,302.57	808.11	75	
05/14/12		29		1,492.92	31 9000	925.10 925.10	567.82	53	
06/18/12		33		1,698 84	32.8200	1,083.06 1,083.06	615.78	60	
10/24/12		193		9,935.64	30.5582	5,897.73 5,897.73	4,037.91	355	

EQUITIES AND OPTIONS continue on page 20

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
	DOW CHEMICAL COMPANY (continued)								
08/20/13		15		772.20	36.5200	547.80 547.80	224.40	27	
09/03/13		28		1,441.44	37.4500	1,048.60 1,048.60	392.84	51	
09/18/13		27		1,389 96	40.5900	1,095.93 1,095.93	294.03	49	
10/18/13		27		1,389.96	41.4100	1,118.07 1,118.07	271.89	49	
11/18/13		23		1,184.04	40.2600	925.98 925.98	258.06	42	
12/17/13		24		1,235 52	42.2200	1,013.28 1,013.28	222.24	44	
Total		758		39,021.84	30.9651	23,471.52 23,471.52	15,550.32	1,389	
02/12/15	DU PONT E.I.DE NEMOURS C & COMPANY DD	317	66.60	21,112.20	72.3013	22,919.52 22,919.52	-1,807.32	481	2.28%
03/13/13	EATON CORP PLC C ETN	188	52.04	9,783.52	63.2399	11,420.05 11,420.05	-1,636.53	413	4.23%
08/20/13		1		52 04	64.3500	62.22 62.22	-10.18	2	

EQUITIES AND OPTIONS continue on page 21

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
09/03/13	EATON CORP PLC (continued)	8		416.32	63.4400	490.42 490.42	-74.10	17	
09/18/13		8		416 32	69.3000	537.30 537.30	-120.98	17	
10/18/13		8		416.32	68 6300	531.91 531.91	-115.59	17	
11/18/13		7		364 28	72.3600	492.80 492.80	-128 52	15	
12/17/13		10		520.40	73.4500	714.90 714.90	-194.50	22	
12/22/15		278		14,467.12	52.2015	14,512.03 14,512 03	-44.91	611	
Total		508		26,436.32	56.6174	28,761.63 28,761.63	-2,325.31	1,114	
11/19/14	EMERSON ELECTRIC COMPANY C EMR	268	47 83	12,818 44	64.1226	17,184.85 17,184.85	-4,366.41	509	3.97%
05/05/09	EXXON MOBIL CORP C XOM	161	77.95	12,549 95	67.1999	10,819.18 10,819.18	1,730.77	470	3.75%
05/07/09		1		77 95	68.6400	68 64 68.64	9.31	2	

EQUITIES AND OPTIONS continue on page 22

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/18/10	EXXON MOBIL CORP (continued)	3		233.85	70.2500	210.75 210.75	23.10	8	
04/01/11		1		77.95	84.7100	84 71 84.71	-6 76	2	
02/14/12		24		1,870.80	84 0700	2,017 68 2,017.68	-146 88	70	
03/13/12		19		1,481 05	86.8600	1,650.34 1,650.34	-169.29	55	
04/11/12		26		2,026.70	82.5500	2,146.30 2,146.30	-119.60	75	
05/14/12		20		1,559.00	82.3900	1,647.80 1,647.80	-88.80	58	
06/18/12		22		1,714.90	82.8800	1,823.36 1,823.36	-108.46	64	
07/09/12		50		3,897 50	83.6800	4,184.00 4,184.00	-286.50	146	
09/03/13		15		1,169.25	87.2600	1,308.90 1,308.90	-139.65	43	
09/18/13		13		1,013.35	89.5900	1,164.67 1,164.67	-151 32	37	

EQUITIES AND OPTIONS continue on page 23

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/18/13	EXXON MOBIL CORP (continued)	15		1,169.25	87.4600	1,311.90 1,311.90	-142.65	43	
11/18/13		62		4,832.90	95.5698	5,925 33 5,925.33	-1,092.43	181	
11/20/13		1		77.95	95.3700	95.37 95.37	-17.42	2	
12/17/13		13		1,013.35	96.4900	1,254.37 1,254.37	-241.02	37	
Total		446		34,765.70	80.0747	35,713.30 35,713.30	-947.60	1,293	
03/20/12	FIDELITY NATIONAL C INFORMATION SERVICES INC FIS	106	60.60	6,423.60	32 6877	3,464.90 3,464.90	2,958.70	110	1.72%
04/04/12		105		6,363.00	32 8471	3,448.95 3,448 95	2,914.05	109	
04/11/12		18		1,090.80	32 7000	588 60 588.60	502.20	18	
05/14/12		25		1,515 00	32.5500	813.75 813.75	701.25	26	
06/18/12		22		1,333.20	33.1100	728.42 728.42	604.78	22	

EQUITIES AND OPTIONS continue on page 24

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
08/20/13	FIDELITY NATIONAL (continued)	6		363 60	45.7883	274.73 274.73	88 87	6	
09/03/13		12		727.20	44.6300	535.56 535.56	191.64	12	
09/18/13		12		727 20	46.9800	563.76 563.76	163.44	12	
10/18/13		13		787.80	47.4192	616.45 616.45	171.35	13	
11/18/13		13		787.80	50.7492	659.74 659.74	128.06	13	
12/17/13		10		606 00	51.0000	510.00 510.00	96.00	10	
Total		342		20,725.20	35.6867	12,204.86 12,204.86	8,520.34	351	
11/11/14	FIFTH THIRD BANCORP C FITB	661	20.10	13,286.10	20.2000	13,352.20 13,352.20	-66.10	343	2.59%
06/25/15		389		7,818.90	21.6000	8,402.40 8,402.40	-583.50	202	
Total		1,050		21,105.00	20.7187	21,754.60 21,754.60	-649.60	545	

EQUITIES AND OPTIONS continue on page 25

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
12/11/14	GENERAL DYNAMICS C CORP COMMON GD	191	137.36	26,235.76	142.9785	27,308.89 27,308.89	-1,073.13	527	2.01%
05/05/09	GENERAL ELECTRIC COMPANY C GE	516	31.15	16,073.40	13.1188	6,769.30 6,769.30	9,304.10	474	2.95%
05/07/09		57		1,775 55	14.2500	812.25 812.25	963 30	52	
11/20/09		161		5,015.15	15 4891	2,493.75 2,493.75	2,521.40	148	
01/08/10		5		155 75	16.5500	82.75 82.75	73.00	4	
04/06/10		30		934.50	18.6200	558.60 558.60	375 90	27	
04/01/11		15		467.25	20.3500	305.25 305.25	162.00	13	
02/14/12		112		3,488 80	18.8700	2,113.44 2,113.44	1,375.36	103	
03/13/12		87		2,710.05	19 6299	1,707 80 1,707.80	1,002.25	80	
04/11/12		125		3,893.75	19.0700	2,383 75 2,383.75	1,510.00	115	

EQUITIES AND OPTIONS continue on page 26

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/14/12	GENERAL ELECTRIC COMPANY (continued)	91		2,834.65	18 7800	1,708 98 1,708.98	1,125 67	83	
06/18/12		102		3,177.30	19 8190	2,021 54 2,021 54	1,155.76	93	
08/20/13		14		436.10	23.6600	331.24 331.24	104.86	12	
09/03/13		58		1,806 70	23.0600	1,337.48 1,337.48	469.22	53	
09/18/13		56		1,744.40	24.7900	1,388.24 1,388.24	356.16	51	
10/18/13		57		1,775.55	25.6100	1,459.77 1,459 77	315.78	52	
11/18/13		52		1,619.80	27 3900	1,424.28 1,424.28	195.52	47	
12/17/13		48		1,495.20	27.0000	1,296.00 1,296.00	199.20	44	
Total		1,586		49,403.90	17.7771	28,194.42 28,194.42	21,209.48	1,451	
07/29/14	GENERAL MOTORS COMPANY C GM	666	34.01	22,650.66	34.6946	23,106.58 23,106.58	-455.92	959	4.23%

EQUITIES AND OPTIONS continue on page 27

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
06/03/14	HCP INC C HCP	428	38.24	16,366.72	42.0891	17,994.51 17,994.51	-1,627.79	967	5.91%
05/05/09	HOME DEPOT INC C HD	122	132 25	16,134.50	25.9649	3,167.72 3,167.72	12,966.78	287	1.78%
05/07/09		32		4,232 00	26.1300	836.16 836.16	3,395.84	75	
04/06/10		7		925.75	32.5700	227 99 227.99	697.76	16	
04/01/11		3		396.75	37.5800	112.74 112.74	284.01	7	
02/14/12		27		3,570.75	45.7900	1,236.33 1,236.33	2,334.42	63	
03/13/12		18		2,380.50	49.1200	884 16 884.16	1,496 34	42	
04/11/12		27		3,570.75	49.7300	1,342.71 1,342.71	2,228 04	63	
05/14/12		19		2,512.75	50.2000	953.80 953.80	1,558.95	44	
06/18/12		22		2,909.50	52.2200	1,148.84 1,148.84	1,760.66	51	

EQUITIES AND OPTIONS continue on page 28

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

...UITIES AND OPTIONS (continued)

...e ...quired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	... 30-Day Yield[a]
	HOME DEPOT INC (continued)								
.../03/13		12		1,587.00	74.4000	892.80 892.80	694.20	28	
09/18/13		12		1,587.00	77.2400	926.88 926.88	660.12	28	
10/18/13		12		1,587.00	74.9500	899.40 899.40	687.60	28	
11/18/13		13		1,719.25	79.9200	1,038.96 1,038.96	680.29	30	
12/17/13		8		1,058.00	78.8500	630.80 630.80	427.20	18	
Total		334		44,171.50	42.8122	14,299.29 14,299.29	29,872.21	780	2.79%
06/17/15	INTEL CORP C INTC	928	34.45	31,969.60	31.8575	29,563.76 29,563.76	2,405.84	890	3.23%
02/07/12	INVESCO LTD C IVZ	168	33.48	5,624.64	23.6599	3,974.86 3,974.86	1,649.78	181	
02/14/12		22		736.56	23.8300	524.26 524.26	212.30	23	
03/13/12		19		636.12	25.9800	493.62 493.62	142.50	20	

EQUITIES AND OPTIONS continue on page 29

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield
	INVESCO LTD (continued)								
04/11/12		28		937.44	24.8300	695.24 695 24	242.20	30	
05/14/12		18		602.64	22.7800	410.04 410 04	192.60	19	
06/18/12		22		736 56	21.6900	477 18 477.18	259 38	23	
10/24/12		118		3,950.64	24.2984	2,867.21 2,867.21	1,083.43	127	
12/19/12		126		4,218.48	26.3190	3,316 19 3,316.19	902.29	136	
08/20/13		8		267 84	31.0800	248 64 248.64	19 20	8	
09/03/13		23		770.04	30.4600	700.58 700.58	69.46	24	
09/18/13		22		736.56	32.0800	705.76 705.76	30 80	23	
10/18/13		23		770.04	32 8800	756 24 756.24	13.80	24	
11/18/13		18		602.64	33.8400	609.12 609.12	-6.48	19	

EQUITIES AND OPTIONS continue on page 30

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
12/17/13	INVESCO LTD (continued)	22		736.56	34 5100	759.22 759.22	-22.66	23	
Total		637		21,326.76	25.9626	16,538.16 16,538.16	4,788.60	680	
11/05/13	JOHNSON & JOHNSON C JNJ	255	102.72	26,193.60	92.8454	23,675.58 23,675 58	2,518.02	765	2.92%
11/18/13		8		821 76	94.2600	754.08 754.08	67.68	24	
12/17/13		10		1,027.20	90.6100	906 10 906.10	121 10	30	
01/14/15		85		8,731.20	103.9999	8,839.99 8,839.99	-108.79	255	
08/11/15		91		9,347.52	98.9341	9,003.00 9,003,00	344 52	273	
Total		449		46,121.28	96.1665	43,178.75 43,178.75	2,942.53	1,347	
05/05/09	JPMORGAN CHASE & C COMPANY JPM	208	66.03	13,734.24	35.0400	7,288.32 7,288.32	6,445.92	366	2.67%
05/07/09		7		462.21	38.5000	269.50 269.50	192.71	12	

EQUITIES AND OPTIONS continue on page 31

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a. Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/06/10	JPMORGAN CHASE & (continued)	11		726.33	45.9200	505.12 505.12	221 21	19	
04/06/11		79		5,216.37	47.1689	3,726.34 3,726.34	1,490.03	139	
09/20/11		75		4,952.25	32.6895	2,451.71 2,451.71	2,500.54	132	
01/05/12		54		3,565.62	34.9991	1,889.95 1,889.95	1,675 67	95	
02/14/12		55		3,631.65	37.5300	2,064.15 2,064.15	1,567 50	96	
03/13/12		48		3,169 44	43.3800	2,082.24 2,082 24	1,087 20	84	
04/11/12		66		4,357.98	43.8800	2,896.08 2,896.08	1,461.90	116	
05/14/12		52		3,433 56	36 1800	1,881.36 1,881 36	1,552.20	91	
06/18/12		55		3,631 65	34.7900	1,913.45 1,913.45	1,718 20	96	
08/20/13		8		528.24	51.9500	415.60 415.60	112 64	14	

EQUITIES AND OPTIONS continue on page 32

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
09/03/13	JPMORGAN CHASE & (continued)	31		2,046.93	51.1000	1,584.10 1,584.10	462.83	54	
09/18/13		30		1,980 90	53.3900	1,601.70 1,601.70	379 20	52	
10/18/13		31		2,046 93	53.9900	1,673.69 1,673.69	373.24	54	
11/18/13		25		1,650.75	55.8300	1,395.75 1,395 75	255.00	44	
12/17/13		31		2,046.93	55.8800	1,732.28 1,732.28	314 65	54	
Total		866		57,181.98	40.8445	35,371.34 35,371.34	21,810.64	1,518	
05/15/14	KAR AUCTION SVCS INC C KAR	387	37.03	14,330.61	29 0719	11,250.84 11,250.84	3,079.77	417	2.92%
09/22/14	KIMBERLY CLARK CORP C KMB	269	127.30	34,243.70	103.4623	27,831.35 27,831.35	6,412.35	946	2.77%
08/17/10	LIBERTY PROPERTY TRUST C LPT	105	31.05	3,260 25	30.6718	3,141.37 3,141.37	118.88	199	6.12%
09/01/10		50		1,552 50	30.9298	1,508.77 1,508.77	43.73	95	
02/14/12		35		1,086.75	33.2400	1,143.92 1,143.92	-57.17	66	

EQUITIES AND OPTIONS continue on page 33

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
01/14/15	LIBERTY PROPERTY TRUST (continued)	219		6,799.95	39 9618	8,751.63 8,751 63	-1,951.68	416	
Total		552		17,139.60	35.4402	19,562.97 19,562.97	-2,423.37	1,042	
02/14/12	LILLY ELI & COMPANY C LLY	32	84 26	2,696.32	38 3500	1,227.20 1,227.20	1,469 12	65	2 42%
03/13/12		21		1,769.46	40.2000	844.20 844.20	925 26	42	
04/11/12		26		2,190.76	39 4500	1,025.70 1,025.70	1,165 06	53	
05/14/12		27		2,275.02	41.1300	1,110.51 1,110.51	1,164.51	55	
06/18/12		25		2,106 50	41.9700	1,049.25 1,049.25	1,057 25	51	
09/03/13		15		1,263.90	51.1400	767.10 767.10	496.80	30	
09/18/13		14		1,179.64	53.9500	755.30 755.30	424.34	28	
10/18/13		13		1,095.38	49.5500	644.15 644.15	451 23	26	

EQUITIES AND OPTIONS continue on page 35

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
03/13/12	LIBERTY PROPERTY TRUST (continued)	19		589.95	34.8600	651.76 651.76	-61.81	36	
04/11/12		25		776.25	34.9300	861.71 861.71	-85.46	47	
05/14/12		21		652.05	36.3700	754.07 754.07	-102.02	39	
06/18/12		22		683.10	35.7200	775.60 775.60	-92.50	41	
09/03/13		12		372.60	33.9200	403.80 403.80	-31.20	22	
09/18/13		12		372.60	36.8700	439.20 439.20	-66 60	22	
10/18/13		12		372.60	37.4600	446.28 446.28	-73.68	22	
11/18/13		13		403 65	34.7300	447.97 447.97	-44.32	24	
12/17/13		7		217.35	34.1100	236.89 236.89	-19.54	13	

EQUITIES AND OPTIONS continue on page 34

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/01/11	MARSH & MCLENNAN COS INC (continued)	2		110.90	30.0000	60.00 60 00	50.90	2	
02/14/12		28		1,552.60	31.8300	891.24 891.24	661.36	34	
03/13/12		25		1,386 25	32.6900	817.25 817.25	569 00	31	
04/11/12		32		1,774 40	31.7900	1,017.28 1,017.28	757.12	39	
05/14/12		30		1,663 50	32.7200	981.60 981 60	681.90	37	
06/18/12		29		1,608.05	31 3300	908.57 908 57	699.48	35	
08/20/13		13		720 85	41.9100	544.83 544.83	176.02	16	
09/03/13		17		942 65	41 4500	704 65 704.65	238.00	21	
09/18/13		16		887.20	43.7300	699.68 699.68	187.52	19	
10/18/13		17		942 65	45.4900	773 33 773.33	169.32	21	

EQUITIES AND OPTIONS continue on page 37

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/18/13	LILLY ELI & COMPANY (continued)	14		1,179.64	50.8100	711.34 711.34	468.30	28	
12/17/13		10		842.60	49.0000	490 00 490.00	352.60	20	
Total		197		16,599.22	43.7805	8,624.75 8,624.75	7,974.47	398	
05/06/13	LOCKHEED MARTIN CORP C LMT	164	217.15	35,612.60	102.3690	16,788.52 16,788.52	18,824.08	1,082	3.04%
09/03/13		7		1,520.05	123.7600	866.32 866.32	653.73	46	
09/18/13		7		1,520.05	130.2600	911.82 911.82	608.23	46	
10/18/13		7		1,520.05	128.9400	902.58 902.58	617 47	46	
11/18/13		3		651.45	138.4600	415.38 415.38	236.07	19	
12/17/13		7		1,520.05	140.6900	984.83 984.83	535.22	46	
Total		195		42,344.25	107.0228	20,869.45 20,869.45	21,474.80	1,285	
08/04/10	MARSH & MCLENNAN COS INC C MMC	225	55 45	12,476.25	23.7485	5,343.41 5,343.41	7,132.84	279	2.24%

EQUITIES AND OPTIONS continue on page 36

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/14/12	MERCK & COMPANY INC NEW (continued)	43		2,271.26	38.2800	1,646.04 1,646 04	625.22	79	
06/18/12		40		2,112.80	38.8600	1,554.40 1,554.40	558 40	73	
02/20/13		113		5,968 66	42 4796	4,800.19 4,800.19	1,168.47	207	
08/20/13		7		369 74	48.1300	336.91 336.91	32.83	12	
09/03/13		28		1,478 96	47.1100	1,319.08 1,319.08	159.88	51	
09/18/13		26		1,373.32	48.4100	1,258 66 1,258.66	114 66	47	
10/18/13		28		1,478.96	46 5600	1,303 68 1,303.68	175.28	51	
11/18/13		168		8,873.76	47 8545	8,039.55 8,039.55	834.21	309	
11/20/13		6		316.92	48.0800	288.48 288.48	28.44	11	

EQUITIES AND OPTIONS continue on page 39

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/18/13	MARSH & MCLENNAN COS INC (continued)	18		998.10	47.3900	853.02 853.02	145.08	22	
12/17/13		12		665.40	47.4200	569.04 569.04	96.36	14	
Total		464		25,728.80	30.5256	14,163.90 14,163.90	11,564.90	570	
05/05/09	MERCK & COMPANY INC NEW C MRK	67	52.82	3,538.94	24.6594	1,652.18 1,652.18	1,886.76	123	3.48%
05/07/09		6		316.92	24.5900	147.54 147.54	169.38	11	
01/08/10		117		6,179.94	37.7395	4,415 52 4,415.52	1,764.42	215	
10/11/10		112		5,915.84	36.7495	4,115 94 4,115.94	1,799.90	206	
02/14/12		49		2,588.18	38.0200	1,862.98 1,862.98	725.20	90	
03/13/12		34		1,795.88	38.2600	1,300.84 1,300.84	495.04	62	
04/11/12		41		2,165.62	38.5200	1,579.32 1,579.32	586.30	75	

EQUITIES AND OPTIONS continue on page 38

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.

a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2015

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
08/20/13	MICROSOFT CORP (continued)	13		721.24	31.7300	412.49 412.49	308.75	18	
09/03/13		23		1,276.04	31.3500	721.05 721.05	554 99	33	
09/18/13		21		1,165.08	33.1500	696.15 696.15	468.93	30	
10/18/13		22		1,220 56	34 8800	767.36 767.36	453.20	31	
11/18/13		27		1,497.96	37.2900	1,006.83 1,006 83	491.13	38	
12/17/13		11		610.28	36 4100	400.51 400.51	209.77	15	
07/29/14		148		8,211.04	43.9499	6,504.59 6,504.59	1,706.45	213	
Total		755		41,887.40	31.9795	24,144.52 24,144.52	17,742.88	1,080	
12/17/09	OCCIDENTAL PETROLEUM C CORP OXY	50	67.61	3,380.50	75.6074	3,780.37 3,780.37	-399.87	150	4.44%
01/08/10		2		135 22	79 8850	159.77 159.77	-24.55	6	

EQUITIES AND OPTIONS continue on page 41

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
12/17/13	MERCK & COMPANY INC NEW (continued)	34		1,795.88	47.9700	1,630.98 1,630.98	164.90	62	
Total		919		48,541.58	40.5357	37,252.29 37,252.29	11,289.29	1,684	
03/04/15	METLIFE INC C MET	406	48.21	19,573.26	51.2581	20,810.77 20,810.77	-1,237 51	609	3.11%
11/18/10	MICROSOFT CORP C MSFT	291	55.48	16,144.68	25.9781	7,559.64 7,559.64	8,585.04	419	2.60%
04/01/11		6		332.88	25 3900	152.34 152.34	180.54	8	
02/14/12		41		2,274.68	30.1000	1,234.10 1,234.10	1,040.58	59	
03/13/12		33		1,830.84	32 6500	1,077.45 1,077.45	753.39	47	
04/11/12		45		2,496 60	30.3500	1,365.75 1,365.75	1,130.85	64	
05/14/12		36		1,997.28	30.9300	1,113.48 1,113.48	883 80	51	
06/18/12		38		2,108.24	29 8100	1,132.78 1,132.78	975.46	54	

EQUITIES AND OPTIONS continue on page 40

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income	Estimated 30-Day Yield[a]
	OCCIDENTAL PETROLEUM (continued)								
06/17/10		50		3,380.50	83.3674	4,168.37 4,168.37	-787.87	150	
02/14/12		15		1,014.15	100.0087	1,500.13 1,500.13	-485.98	45	
03/13/12		11		743.71	96.4282	1,060.71 1,060.71	-317.00	33	
04/11/12		16		1,081.76	86 8638	1,389.82 1,389.82	-308.06	48	
05/14/12		12		811.32	79.3550	952.26 952.26	-140.94	36	
06/18/12		14		946 54	80.3871	1,125.42 1,125.42	-178.88	42	
05/14/13		78		5,273.58	88 0731	6,869.70 6,869.70	-1,596.12	234	
08/20/13		4		270.44	83.0325	332.13 332.13	-61 69	12	
09/03/13		11		743.71	85.0300	935.33 935.33	-191 62	33	
09/18/13		10		676.10	89.0160	890.16 890.16	-214 06	30	

EQUITIES AND OPTIONS continue on page 42

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/18/13	OCCIDENTAL PETROLEUM (continued)	11		743.71	94.6718	1,041 39 1,041 39	-297 68	33	
11/18/13		11		743 71	93.7645	1,031.41 1,031.41	-287.70	33	
12/17/13		8		540.88	87.2788	698.23 698.23	-157.35	24	
Total		303		20,485.83	85.5947	25,935.20 25,935.20	-5,449.37	909	
05/05/09	PFIZER INC C PFE	381	32 28	12,298.68	14.3500	5,467.35 5,467.35	6,831.33	457	3.72%
05/07/09		56		1,807.68	13.9400	780.64 780.64	1,027.04	67	
10/16/09		119		3,841 32	17.6875	2,104.81 2,104.81	1,736.51	142	
01/08/10		11		355.08	18 6700	205.37 205 37	149 71	13	
09/01/10		120		3,873 60	16.3399	1,960.79 1,960.79	1,912.81	144	
11/18/10		27		871.56	16 8900	456.03 456.03	415.53	32	

EQUITIES AND OPTIONS continue on page 43

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.

a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income	Estimated 30-Day Yield[a]
04/01/11	PFIZER INC (continued)	10		322.80	20.3700	203.70 203.70	119.10		
02/14/12		99		3,195.72	21.2351	2,102.27 2,102.27	1,093.45	118	
03/13/12		81		2,614.68	22.0100	1,782.81 1,782.81	831.87	97	
04/11/12		94		3,034.32	22.0500	2,072 70 2,072.70	961.62	112	
05/14/12		107		3,453.96	22.6194	2,420.28 2,420.28	1,033.68	128	
06/18/12		94		3,034 32	22 6900	2,132.86 2,132 86	901.46	112	
08/20/13		20		645.60	28.7000	574.00 574.00	71.60	24	
09/03/13		54		1,743 12	27.9700	1,510.38 1,510.38	232.74	64	
09/18/13		52		1,678 56	29.0400	1,510.08 1,510.08	168.48	62	
10/18/13		53		1,710.84	30.4600	1,614.38 1,614.38	96.46	63	

EQUITIES AND OPTIONS continue on page 44

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/18/13	PFIZER INC (continued)	45		1,452.60	32.0600	1,442.70 1,442 70	9.90	54	
12/17/13		46		1,484.88	30.1900	1,388.74 1,388.74	96.14	55	
Total		**1,469**		**47,419.32**	**20.2382**	**29,729.89** **29,729.89**	**17,689.43**	**1,756**	
10/11/10	PHILIP MORRIS INTL INC C PM	142	87.91	12,483.22	56.7300	8,055.66 8,055 66	4,427.56	579	4.64%
02/14/12		18		1,582.38	81.2500	1,462.50 1,462.50	119.88	73	
03/13/12		16		1,406 56	85.7300	1,371.68 1,371.68	34.88	65	
04/11/12		20		1,758.20	87.5100	1,750.20 1,750.20	8.00	81	
05/14/12		18		1,582.38	85.5800	1,540.44 1,540.44	41.94	73	
06/18/12		19		1,670.29	88 3900	1,679.41 1,679.41	-9.12	77	
09/03/13		10		879.10	83.4100	834.10 834.10	45.00	40	

EQUITIES AND OPTIONS continue on page 45

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income	Estimated 30-Day Yield[a]
09/18/13	PHILIP MORRIS INTL INC (continued)	10		879.10	89.5500	895.50 895.50	-16.40	40	
10/18/13		10		879.10	86 8100	868.10 868.10	11.00	40	
11/18/13		7		615.37	91.6600	641.62 641.62	-26 25	28	
12/17/13		12		1,054.92	84.5200	1,014.24 1,014.24	40.68	48	
01/14/15		125		10,988.75	82.8738	10,359.23 10,359.23	629.52	510	
Total		407		35,779.37	74.8714	30,472.68 30,472.68	5,306.69	1,654	
07/17/13	PNC FINANCIAL SERVICES C GROUP INC PNC	157	95.31	14,963.67	73.7871	11,584.58 11,584.58	3,379.09	320	2.14%
09/03/13		7		667.17	72.3300	506.31 506.31	160.86	14	
09/18/13		7		667.17	75.3300	527.31 527.31	139.86	14	
10/18/13		7		667.17	74.9500	524.65 524.65	142.52	14	

EQUITIES AND OPTIONS continue on page 46

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/18/13	PNC FINANCIAL SERVICES (continued)	4		381.24	75.9200	303 68 303.68	77 56	8	
12/17/13		9		857.79	74 7900	673.11 673.11	184.68	18	
Total		191		18,204.21	73.9248	14,119.64 14,119.64	4,084.57	388	
05/05/09	PROCTER & GAMBLE COMPANY C PG	31	79 41	2,461.71	49.9200	1,547.52 1,547.52	914 19	82	3 34%
06/03/09		52		4,129.32	53.6288	2,788.70 2,788.70	1,340.62	137	
01/08/10		2		158.82	60.1200	120.24 120 24	38.58	5	
05/20/11		50		3,970.50	67 4190	3,370 95 3,370.95	599.55	132	
02/14/12		20		1,588.20	64.2100	1,284.20 1,284 20	304.00	53	
03/13/12		15		1,191.15	67.8800	1,018.20 1,018.20	172.95	39	
04/11/12		18		1,429.38	66.5400	1,197.72 1,197.72	231.66	47	

EQUITIES AND OPTIONS continue on page 47

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income	Estimated 30-Day Yield[a]
05/14/12	PROCTER & GAMBLE COMPANY (continued)	20		1,588.20	63.7300	1,274.60 1,274.60	313.60	53	
06/18/12		17		1,349.97	61.8900	1,052.13 1,052.13	297.84	45	
08/20/13		1		79 41	79 8800	79.88 79.88	-0.47	2	
08/22/13		77		6,114.57	79.5396	6,124.55 6,124.55	-9.98	204	
09/03/13		11		873.51	77.5900	853.49 853.49	20.02	29	
09/18/13		13		1,032.33	79.9900	1,039.87 1,039.87	-7.54	34	
10/18/13		13		1,032.33	79.4800	1,033.24 1,033.24	-0.91	34	
11/18/13		11		873.51	84 2200	926.42 926.42	-52 91	29	
12/17/13		13		1,032.33	80.9600	1,052.48 1,052.48	-20.15	34	
05/07/15		105		8,338.05	80.3482	8,436.56 8,436.56	-98.51	278	

EQUITIES AND OPTIONS continue on page 48

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
07/16/15	PROCTER & GAMBLE COMPANY (continued)	94		7,464.54	82.3599	7,741.83 7,741 83	-277.29	249	
Total		563		44,707.83	72.7222	40,942.58 40,942.58	3,765.25	1,486	
02/05/14	PROLOGIS INC [C] PLD	727	42.92	31,202.84	38 9517	28,317 89 28,317.89	2,884 95	1,163	3.73%
06/03/09	PRUDENTIAL FINANCIAL INC [C] PRU	49	81.41	3,989.09	40 6890	1,993.76 1,993.76	1,995.33	137	3.44%
07/29/09		43		3,500 63	42.0388	1,807.67 1,807.67	1,692 96	120	
04/06/10		7		569.87	64.1100	448.77 448.77	121.10	19	
12/14/10		32		2,605.12	56 5800	1,810.56 1,810.56	794.56	89	
04/01/11		6		488.46	62.4700	374.82 374.82	113.64	16	
02/14/12		19		1,546.79	58.8000	1,117 20 1,117.20	429.59	53	
03/13/12		15		1,221.15	63.0100	945.15 945.15	276.00	42	

EQUITIES AND OPTIONS continue on page 49

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/11/12	PRUDENTIAL FINANCIAL INC (continued)	23		1,872.43	60.3700	1,388.51 1,388.51	483.92	64	
05/14/12		15		1,221.15	50.4000	756.00 756.00	465.15	42	
06/18/12		17		1,383.97	47.3600	805.12 805.12	578.85	47	
08/20/13		1		81.41	77.6400	77.64 77.64	3.77	2	
09/03/13		10		814.10	75.8300	758.30 758.30	55.80	28	
09/18/13		9		732.69	81.1600	730.44 730.44	2.25	25	
10/18/13		10		814.10	82.3800	823.80 823.80	-9.70	28	
11/18/13		8		651 28	89.5900	716.72 716.72	-65.44	22	
12/17/13		9		732.69	88.0400	792.36 792.36	-59 67	25	

EQUITIES AND OPTIONS continue on page 50

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
12/11/14	PRUDENTIAL FINANCIAL INC (continued)	106		8,629.46	90 2390	9,565.33 9,565 33	-935.87	296	
Total		379		30,854.39	65.7313	24,912.15 24,912.15	5,942.24	1,055	
10/06/15	QUALCOMM INC C QCOM	433	49.985	21,643.50	56.4581	24,446.36 24,446 36	-2,802.86	831	3.84%
08/11/15	SCHLUMBERGER LTD C SLB	205	69.75	14,298.75	83 5651	17,130.85 17,130 85	-2,832 10	410	2.87%
02/12/15	STEEL DYNAMICS INC C STLD	872	17 87	15,582 64	19 9279	17,377.13 17,377.13	-1,794.49	479	3 08%
10/21/14	THOMSON REUTERS CORP C TRI	596	37.85	22,558.60	36.3067	21,638.81 21,638.81	919 79	798	3 54%
05/11/11	U S BANCORP DE NEW C USB	287	42.67	12,246.29	25.3582	7,277.80 7,277.80	4,968 49	292	2.39%
02/14/12		59		2,517.53	28.7851	1,698.32 1,698.32	819 21	60	
03/13/12		34		1,450.78	30 9300	1,051.62 1,051.62	399.16	34	
04/11/12		47		2,005.49	30.8900	1,451 83 1,451.83	553 66	47	
05/14/12		37		1,578.79	31.7800	1,175 86 1,175.86	402.93	37	

EQUITIES AND OPTIONS continue on page 51

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

The Peltier Foundation
Form 990-PF 2013
72-1416778
Schedule 9

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
06/18/12	U S BANCORP DE NEW (continued)	39		1,664.13	31.5600	1,230.84 1,230.84	433.29	39	
12/27/12		15		640.05	31.7200	475.80 475.80	164.25	15	
08/20/13		11		469.37	36.7800	404.58 404.58	64.79	11	
09/03/13		23		981.41	36 0200	828 46 828.46	152.95	23	
09/18/13		22		938.74	38.0100	836 22 836.22	102.52	22	
10/18/13		23		981 41	37.9400	872.62 872.62	108.79	23	
11/18/13		18		768.06	38.2300	688.14 688.14	79 92	18	
12/17/13		22		938.74	39.1900	862.18 862.18	76.56	22	
Total		637		27,180.79	29.5985	18,854.27 18,854.27	8,326.52	643	
02/07/12	UNION PACIFIC CORP C UNP	86	78.20	6,725.20	56.3891	4,849.46 4,849.46	1,875.74	189	2.81%

EQUITIES AND OPTIONS continue on page 52

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
02/14/12	UNION PACIFIC CORP (continued)	24		1,876.80	56.1800	1,348.32 1,348.32	528.48	52	
03/13/12		20		1,564.00	55.1800	1,103.60 1,103.60	460.40	44	
04/11/12		18		1,407.60	53.0000	954.00 954.00	453.60	39	
05/14/12		14		1,094.80	55.7850	780.99 780.99	313.81	30	
06/18/12		14		1,094.80	58.3450	816.83 816.83	277.97	30	
09/03/13		8		625 60	76.5700	612.56 612.56	13.04	17	
09/18/13		8		625.60	79.6200	636.96 636.96	-11.36	17	
10/18/13		8		625.60	76.2450	609 96 609.96	15 64	17	
12/17/13		16		1,251.20	80.5650	1,289.04 1,289.04	-37.84	35	
Total		216		16,891.20	60.1931	13,001.72 13,001.72	3,889.48	470	

EQUITIES AND OPTIONS continue on page 53

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/05/09	UNITED TECHNOLOGIES CORP C UTX	50	96.07	4,803.50	51.2500	2,562.50 2,562.50	2,241.00	128	2.66%
01/08/10		1		96.07	70 3800	70.38 70 38	25.69	2	
02/14/12		5		480.35	84.1900	420 95 420.95	59.40	12	
03/13/12		6		576.42	86.5100	519.06 519.06	57.36	15	
04/11/12		7		672.49	79.5900	557.13 557.13	115 36	17	
05/14/12		6		576.42	76 4200	458.52 458.52	117.90	15	
06/18/12		7		672.49	74.8500	523.95 523.95	148.54	17	
09/03/13		3		288.21	102 4300	307.29 307.29	-19.08	7	
09/18/13		4		384.28	110.4200	441.68 441.68	-57.40	10	
10/18/13		3		288.21	107.5300	322.59 322 59	-34.38	7	

EQUITIES AND OPTIONS continue on page 54

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
	UNITED TECHNOLOGIES CORP (continued)								
11/18/13		2		192.14	109.5800	219.16 219.16	-27.02	5	
12/17/13		6		576.42	107.6500	645.90 645.90	-69.48	15	
06/24/14		115		11,048.05	116.2074	13,363.85 13,363 85	-2,315.80	294	
Total		215		20,655.05	94.9440	20,412.96 20,412.96	242.09	544	
	VERIZON COMMUNICATIONS[c] INC VZ	251	46.22	11,601.22	28.8802	7,248.92 7,248.92	4,352.30	567	4.89%
05/05/09		23		1,063.06	29.6030	680.87 680.87	382.19	51	
01/08/10		10		462 20	32.7100	327.10 327.10	135.10	22	
11/18/10		49		2,264.78	37.8100	1,852.69 1,852.69	412 09	110	
02/14/12		33		1,525.26	39.4900	1,303.17 1,303.17	222.09	74	
03/13/12		43		1,987.46	37.4100	1,608.63 1,608.63	378.83	97	
04/11/12									

EQUITIES AND OPTIONS continue on page 55

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/14/12	VERIZON COMMUNICATIONS (continued)	37		1,710.14	40.9800	1,516.26 1,516.26	193.88	83	
06/18/12		38		1,756.36	43.9600	1,670.48 1,670 48	85 88	85	
12/27/12		18		831.96	43.0789	775.42 775.42	56.54	40	
08/20/13		8		369.76	47.6900	381.52 381.52	-11.76	18	
09/03/13		22		1,016.84	46.2800	1,018.16 1,018.16	-1 32	49	
09/18/13		22		1,016.84	48.5100	1,067 22 1,067.22	-50.38	49	
10/18/13		22		1,016.84	49.9500	1,098.90 1,098.90	-82.06	49	
11/18/13		20		924 40	50.5600	1,011.20 1,011 20	-86.80	45	
12/17/13		19		878.18	47.5500	903.45 903.45	-25.27	42	
Total		615		28,425.30	36.5268	22,463.99 22,463.99	5,961.31	1,381	

EQUITIES AND OPTIONS continue on page 56

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
03/04/14	WAL-MART STORES INC C WMT	393	61.30	24,090.90	75.0026	29,476.04 29,476.04	-5,385 14	770	3.20%
05/07/15		115		7,049.50	78.2483	8,998 56 8,998.56	-1,949.06	225	
Total		508		31,140.40	75.7374	38,474.60 38,474.60	-7,334.20	995	
05/05/09	WELLS FARGO & CO NEW C WFC	265	54.36	14,405.40	22.9075	6,070.50 6,070.50	8,334.90	397	2.76%
05/07/09		44		2,391.84	27.6600	1,217.04 1,217.04	1,174.80	66	
04/06/10		14		761.04	32.1793	450.51 450.51	310.53	21	
04/01/11		6		326.16	32.0700	192.42 192.42	133.74	9	
02/14/12		35		1,902.60	30.1700	1,055 95 1,055.95	846.65	52	
03/13/12		35		1,902.60	33.3800	1,168.30 1,168.30	734 30	52	
04/11/12		46		2,500.56	33.8500	1,557.10 1,557.10	943.46	69	

EQUITIES AND OPTIONS continue on page 57

1. *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
12/17/13	WELLS FARGO & CO NEW (continued)	27		1,467.72	43.6400	1,178.28 1,178.28	289.44	40	
Total		962		52,294.32	31.7731	30,565.69 30,565.69	21,728.63	1,439	
TOTAL EQUITIES AND OPTIONS				$1,635,726.08		$1,315,067.63	$320,658.45	$53,613	

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
07/21/15* Purchases	ALLIANZGI R SHORT DURATION HIGH INCOME CL P ASHPX	8,004.681	$14.47	$115,827.73	$15.48	$123,898.29 120,214.49	-$8,070.56	$6,504	5.62%
09/24/09* Purchases	ALLIANZGI R NFJ DIVIDEND VALUE CL P ADJPX	14,986.668	15 37	230,345 08	11.63	174,312.20 157,128.43	56,032 88	5,828	2.54%
07/25/13* Purchases	BARON R ASSET INSTL CL BARIX	1,709.183	58.55	100,072.66	60.06	102,652.66 79,135.15	-2,580.00	—	—
02/06/14* Purchases	FIDELITY ADVISOR R LEVERAGED COMPANY STOCK CL I FLVIX	963.111	53.30	51,333.81	52.40	50,464.03 49,633.21	869 78	411	0 81%
07/22/11* Purchases	HARBOR R CAP APRC INSTL CL HACAX	5,137.693	60.81	312,423.11	44 06	226,359.25 179,672.68	86,063.86	241	0.08%

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS continue on page 20

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

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MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[a]
11/19/13* *Purchases*	LOOMIS SAYLES R GROWTH CL Y LSGRX	14,594.259	11.49	167,688 03	9 08	132,446 72 130,390.51	35,241 31	1,033	0.62%
06/12/14* *Purchases*	MAINGATE R MLP CL I IMLPX	5,531 317	8 99	49,726 53	13.55	74,963.15 69,978 00	-25,236 62	3,480	7.01%
09/18/12* *Purchases*	MAINSTAY R EPOCH GLOBAL EQUITY YIELD CL I EPSYX	9,378.692	16.97	159,156.40	16 81	157,628.47 126,383.25	1,527.93	7,389	4.65%
02/22/13* *Purchases*	OPPENHEIMER R SENIOR FLOATING RATE CL Y OOSYX	16,297 044	7.58	123,531.59	8.24	134,314.77 123,056.98	-10,783.18	6,109	4.96%
04/04/11* *Purchases*	PRINCIPAL R PREFERRED SECURITIES CL P PPSPX	7,354.993	10 09	74,211 87	10 12	74,419.93 52,124.96	-208.06	3,784	5.13%
07/22/11* *Purchases*	ROYCE R DIVIDEND VALUE INVESTMENT CL RDVIX	26,885.048	6.84	183,893 72	7 18	192,910.33 142,596.60	-9,016.61	3,534	1.93%

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS continue on page 21

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[a]
05/08/13*	THORNBURG R	5,293.159	19.09	101,046.40	20.94	110,855.27	-9,808.87	4,746	4.70%
Purchases	INCOME BUILDER					97,955.85			
	CL I								
	TIBIX								
TOTAL MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS				**$1,669,256.93**		**$1,555,225.07**	**$114,031.86**	**$43,059**	

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
07/21/15* *Purchases*	ISHARES MBS ETF MBB	2,428	$107.70	$261,495.60	$109.01	$264,684.41 264,684.41	-$3,188.81	$6,950	2.66%
08/26/15 *Purchases*	ISHARES CORE S&P SMALL CAP ETF IJR	351	110.11	38,648.61	106.68	37,444.62 37,444.62	1,203.99	324	0.84%
10/14/15* *Purchases*	ISHARES CORE U.S. AGGREGATE BOND ETF AGG	1,802	108.01	194,634.02	109.95	198,128.76 198,128.76	-3,494.74	4,772	2.45%
03/20/13* *Purchases*	SPDR S&P MID CAP 400 ETF MDY	842	254.04	213,901.68	208.94	175,923.33 175,923.33	37,978.35	3,800	1.78%
09/16/14 *Purchases*	SPDR S&P 500 ETF SPY	195	203.87	39,754.65	199.28	38,859.60 38,859.60	895.05	1,041	2.62%
TOTAL MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS				$748,434.56		$715,040.72	$33,393.84	$16,887	

EQUITIES AND OPTIONS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
N/A	AXA SA SPONSORED ADR C AXAHY	53	$27.315	$1,447.69	N/A	N/A 1759.87 —	N/A	$56	3.90%
N/A	CAPITAL ONE C FINANCIAL CORP COF	5,992	72.18	432,502 56	N/A	N/A 403501.28 —	N/A	9,587	2.22%
N/A	CITIGROUP INC NEW C C	70	51.75	3,622.50	N/A	N/A 32004.00 —	N/A	14	0.39%
N/A	GENERAL ELECTRIC COMPANY C GE	900	31.15	28,035.00	N/A	N/A 34254.00 —	N/A	828	2.95%
N/A	JPMORGAN CHASE & C COMPANY JPM	191	66 03	12,611.73	N/A	N/A 8337.15 —	N/A	336	2.67%
N/A	NISSAN MTR LTD NEW C SPONSORED ADR NSANY	1,000	20.985	20,985.00	N/A	N/A 20710.00 —	N/A	607	2.89%
N/A	REGIONS FINANCIAL C CORP NEW RF	195	9.60	1,872.00	N/A	N/A 5672.55 —	N/A	46	2.50%

EQUITIES AND OPTIONS continue on page 4

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
N/A	TRAVELERS COMPANIES INC [C] TRV	39	112.86	4,401.54	N/A	N/A 1907.00	N/A	95	2.16%
N/A	WAL-MART STORES INC [C] WMT	550	61.30	33,715.00	N/A	N/A 25036.00	N/A	1,078	3 20%
TOTAL EQUITIES AND OPTIONS				**$539,193.02**		533181.85 **0.00**	—	**$12,647**	

C Dividends and/or capital gains distributed by this security will be distributed as cash.

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
N/A	AB [R] GROWTH & INCOME CL A CABDX	14,457.288	$5.33	$77,057.34	N/A	N/A	N/A	$635	0.83%
12/26/07* Purchases		4,282 907		22,827.89	4 48	~~19,198.28~~	3,629.61	183	
Total		18,740.195		99,885.23	N/A	~~19,198.28~~ 76775.91	3,629.61	818	
N/A	FRANKLIN [R] INCOME CL A FKINX	29,102.834	2 10	61,115.94	N/A	N/A	N/A	3,491	5.71%
02/05/07* Purchases		22,975.946		48,249.49	2.21	~~50,825.07~~	-2,575.58	2,707	
Total		52,078.78		109,365.43	N/A	~~50,825.07~~ 120931.22	-2,575.58	6,198	

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS continue on page 5

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/01/15* Purchases	HMS INCOME FUND INC 40427D102	10,237.826	9.55	97,771.23	9.88	101,185.56 100,000 00	-3,414.33	—	—
04/16/14* Purchases	OPPENHEIMER R STEELPATH MLP SELECT 40 CL C MLPEX	8,959.693	8.75	78,397.31	11.84	~~106,066.52~~ ~~95,745.31~~ 110386.15	-27,669.21	6,321	8.07%
TOTAL MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS				$385,419.20		409278.84 ~~$277,275.43~~ ~~$195,745.31~~	-$30,029.51	$13,337	

* Date of Earliest Acquisition
R Dividends and/or capital gains distributed by this security will be reinvested.

UNIT INVESTMENT TRUSTS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain or Loss	Accrued Interest	Estimated Annual Income
04/17/14	FIRST TRUST ALTERNATIVE INCOME 5 MO RE 30283L462	9,955	$7.8363	$78,010.37	$9.97	$99,218 96	-$21,208.59	—	$5,734
05/27/14		35 184		275 71	9.69	340.83	-65.12	—	20
06/25/14		38.936		305.11	10.01	389.90	-84.79	—	22
07/25/14		81.628		639 66	10.13	826.83	-187.17	—	47
08/25/14		30.287		237.34	10.16	307 82	-70 48	—	17

UNIT INVESTMENT TRUSTS continue on page 6

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

UNIT INVESTMENT TRUSTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain or Loss	Accrued Interest	Estimated Annual Income
09/25/14	FIRST TRUST (continued)	42.722		334.78	9 80	418 81	-84.03	—	24
10/27/14		82.299		644.92	9.62	791.50	-146.58	—	47
11/25/14		35.15		275.45	9.93	348.95	-73.50	—	20
12/26/14		45 219		354.35	9.68	437.93	-83.58	—	26
12/30/14		18.257		143.07	9 68	176.78	-33.71	—	10
01/26/15		89 451		700.96	9.64	862.48	-161.52	—	51
01/26/15		10.739		84.15	9.64	103.55	-19.40	—	6
02/25/15		34.99		274.19	9.77	341.85	-67.66	—	20
03/25/15		43 272		339.09	9.65	417.46	-78 37	—	24
04/27/15		89.996		705.24	9.55	859.42	-154.18	—	51

UNIT INVESTMENT TRUSTS continue on page 7

LPL Financial

UNIT INVESTMENT TRUSTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain or Loss	Accrued Interest	Estimated Annual Income
05/26/15	FIRST TRUST (continued)	33.11		259.46	9.43	312.28	-52.82	—	19
06/25/15		48.394		379.23	9.09	440.04	-60 81	—	27
07/27/15		99.157		777.03	8 69	861.44	-84 41	—	57
08/25/15		35.822		280.71	8.48	303.87	-23.16	—	20
08/25/15		12.876		100.90	8.48	109.22	-8.32	—	7
09/25/15		55.476		434 73	8.17	452.97	-18 24	—	31
10/27/15		98 271		770.08	8.33	818.85	-48.77	—	56
11/25/15		54 805		429.47	8.14	446.16	-16 69	—	31
12/28/15		60.206		471.79	7.67	461.66	10 13	—	34
Total		11,131.247		87,227.79	9.89	110,049.56	-22,821.77	—	6,401

UNIT INVESTMENT TRUSTS continue on page 8

UNIT INVESTMENT TRUSTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain or Loss	Accrued Interest	Estimated Annual Income
04/17/14	FIRST TRUST MLP ENERGY 33 MO CE RE 30283P166	9,761	5 5744	54,411.71	10.12	98,828.77	-44,417.06	—	4,654
05/27/14		46.584		259.68	10.18	474 35	-214.67	—	22
06/25/14		37.23		207.53	10.76	400.67	-193 14	—	17
07/25/14		30.788		171 62	11 11	342.11	-170 49	—	14
08/25/14		39.995		222.95	11 49	459 52	-236.57	—	19
09/25/14		35.724		199.14	11.19	399.68	-200.54	—	17
10/27/14		33.948		189.24	10.60	359.81	-170.57	—	16
11/25/14		41.324		230.36	11 02	455.19	-224.83	—	19
12/26/14		42.471		236.75	9.83	417.57	-180.82	—	20

UNIT INVESTMENT TRUSTS continue on page 9

UNIT INVESTMENT TRUSTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain or Loss	Accrued Interest	Estimated Annual Income
01/26/15	FIRST TRUST (continued)	40.625		226.46	9.49	385.65	-159.19	—	19
02/25/15		47.052		262.29	9.82	462 01	-199.72	—	22
03/25/15		45 364		252.88	9.34	423 54	-170 66	—	21
04/27/15		37.816		210.80	9.82	371.36	-160.56	—	18
05/26/15		50.58		281.95	9.60	485.37	-203.42	—	24
06/25/15		46.857		261.20	9.11	427.06	-165.86	—	22
07/27/15		61.327		341.86	7.96	487.92	-146.06	—	29
08/25/15		48.901		272.59	7.70	376.43	-103.84	—	23
09/25/15		59 561		332.02	7.33	436.71	-104 69	—	28
10/26/15		53.273		296.97	7.34	390 87	-93 90	—	25

UNIT INVESTMENT TRUSTS continue on page 10

UNIT INVESTMENT TRUSTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain or Loss	Accrued Interest	Estimated Annual Income
11/25/15	FIRST TRUST (continued)	79.465		442.97	6.33	502.68	-59.71	—	37
12/29/15		87.902		490.00	5.19	456.45	33.55	—	41
Total		10,727.787		59,800.97	10.01	107,343.72	-47,542.75	—	5,107
09/11/14	FIRST TRUST ALT INCM SER 8 MO RE 30284Q700	4,914	7.4584	36,650.57	10.15	49,869.48	-13,218.91	—	2,770
10/27/14		29.856		222.68	9.38	279.98	-57.30	—	16
10/27/14		0.21		1.57	9.33	1.96	-0.39	—	—
11/25/14		21.593		161.05	9.62	207.79	-46.74	—	12
12/26/14		29.168		217.55	9.34	272.34	-54.79	—	16
12/30/14		1.328		9.90	9.29	12.34	-2.44	—	—
01/08/15		10.4		77.57	9.26	96.33	-18.76	—	5

UNIT INVESTMENT TRUSTS continue on page 11

UNIT INVESTMENT TRUSTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain or Loss	Accrued Interest	Estimated Annual Income
01/26/15	FIRST TRUST (continued)	27.37		204.14	9.34	255.72	-51.58	—	15
01/26/15		1 714		12.78	9.35	16.02	-3.24	—	—
02/25/15		17.103		127.56	9.45	161.57	-34.01	—	9
03/25/15		21.686		161.74	9.29	201.46	-39.72	—	12
04/27/15		40 725		303 74	9 21	375.28	-71.54	—	22
04/28/15		0.165		1 23	9.21	1.52	-0.29	—	—
05/26/15		18.626		138.92	9.09	169.32	-30.40	—	10
05/26/15		2.42		18 05	9 09	22.00	-3 95	—	1
06/25/15		29.476		219 84	8.75	257.85	-38 01	—	16
07/27/15		43.145		321 79	8.36	360.58	-38.79	—	24

UNIT INVESTMENT TRUSTS continue on page 12

UNIT INVESTMENT TRUSTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain or Loss	Accrued Interest	Estimated Annual Income
08/25/15	FIRST TRUST (continued)	19 64		146.48	8.12	159.39	-12.91	—	11
09/25/15		34.196		255 05	7.75	265.09	-10.04	—	19
10/26/15		39.974		298.15	7.90	315.77	-17.62	—	22
11/25/15		25.178		187 79	7.73	194.61	-6 82	—	14
12/28/15		36 898		275 20	7.31	269.60	5.60	—	20
Total		5,364.871		40,013.35	10.02	53,766.00	-13,752.65	—	3,014
09/11/14	FIRST TRUST MLP ENERGY 37 MO CE RE 30284S466	4,970	4 5381	22,554.35	10 01	49,728.06	-27,173.71	—	2,068
10/27/14		16.658		75.60	8.87	147.81	-72.21	—	6
11/25/14		22.294		101.17	9.20	205.19	-104.02	—	9
12/26/14		26.717		121.24	8.15	217.83	-96.59	—	11

UNIT INVESTMENT TRUSTS continue on page 13

UNIT INVESTMENT TRUSTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain or Loss	Accrued Interest	Estimated Annual Income
01/26/15	FIRST TRUST (continued)	15 784		71.63	7.90	124.68	-53 05	—	6
02/25/15		24.754		112 34	8 21	203.24	-90 90	—	10
03/25/15		25.59		116.13	7.82	200 12	-83 99	—	10
04/27/15		15.886		72.09	8.21	130.36	-58 27	—	6
04/28/15		0.744		3 38	8 23	6.12	-2.74	—	—
05/26/15		26.715		121 24	7 97	212.93	-91 69	—	11
05/26/15		8.091		36.72	7 97	64.49	-27 77	—	3
06/25/15		26 716		121 24	7.52	200.98	-79 74	—	11
07/27/15		27.371		124.21	6.57	179.74	-55.53	—	11
08/25/15		25.802		117.09	6.40	165.07	-47.98	—	10

UNIT INVESTMENT TRUSTS continue on page 14

UNIT INVESTMENT TRUSTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain or Loss	Accrued Interest	Estimated Annual Income
9/25/15	FIRST TRUST (continued)	34.163		155.03	6.05	206.71	-51.68	—	14
10/26/15		22.598		102.55	6.04	136.42	-33.87	—	9
11/25/15		42.63		193.46	5 17	220 59	-27.13	—	17
12/29/15		54.478		247.23	4 27	232 50	14.73	—	22
Total		5,386.991		24,446.70	9.76	52,582.84	-28,136.14	—	2,234
TOTAL UNIT INVESTMENT TRUSTS				$211,488.81		$323,742.12	-$112,253.31	—	$16,756

ALTERNATIVE INVESTMENTS

Description/Security ID	Quantity	Price	Market Value
COLE CREDIT PROPERTY TRUST IV INC 19326U100	145.814	$9.70	$1,414 40
	141.898		1,376.41
	25,000		242,499.99
	117.173		1,136.58
	140.36		1,361.49

ALTERNATIVE INVESTMENTS continue on page 15

ALTERNATIVE INVESTMENTS (continued)

Description/Security ID	Quantity	Price	Market Value
COLE CREDIT PROPERTY (continued)	136.592		1,324.94
	141.908		1,376.51
	142.701		1,384.20
	129.612		1,257.24
	144.223		1,398 96
	140.351		1,361.40
	147.421		1,429.98
	148.245		1,437.98
	144.264		1,399.36
	149.879		1,453.83
	145.856		1,414 80
	151.533		1,469.87
	152 379		1,478.08
	138.402		1,342 50

ALTERNATIVE INVESTMENTS continue on page 16

ALTERNATIVE INVESTMENTS (continued)

Description/Security ID	*Quantity*	*Price*	*Market Value*
COLE CREDIT PROPERTY (continued)	154.004		1,493 84
	149 869		1,453.73
	155 702		1,510 31
	151 521		1,469 75
	157.419		1,526.97
	158 299		1,535.50
	150.872		1,463 46
	156.727		1,520.25
	152.501		1,479.26
Total	**28,945.525**		**$280,771.59**
FS ENERGY & PWR FUND J SHS BEN INT 30264D109	102.808	7.50	771.06
	13,953.488		104,651.16
	72.781		545.86
	91.452		685.89

TERNATIVE INVESTMENTS continue on page 17

ALTERNATIVE INVESTMENTS (continued)

Description/Security ID	Quantity	Price	Market Value
FS ENERGY & PWR FUND (continued)	73.637		552.28
	92.185		691.39
	74.502		558.76
	74.635		559.76
	74.94		562.05
	94.597		709.48
	76.171		571.28
	78.695		590 21
	101.551		761.63
	81.798		613.49
	82.812		621.09
	84.811		636.08
	117.489		881.17
	96.69		725.18

ALTERNATIVE INVESTMENTS continue on page 18

ALTERNATIVE INVESTMENTS (continued)

Description/Security ID	Quantity	Price	Market Value
FS ENERGY & PWR FUND (continued)	96.298		722 23
	121.123		908 42
	97.654		732 41
	97.261		729 46
	122.324		917.43
	100.15		751.12
	105.139		788.54
	139.841		1,048.81
	113.487		851.15
	119.786		898.40
	166 911		1,251.83
Total	16,705.016		$125,287.62
HINES GLOBAL REIT INC L 433240108	112.587	9.44	1,062.82
	116.968		1,104.18

ALTERNATIVE INVESTMENTS continue on page 19

ALTERNATIVE INVESTMENTS (continued)

Description/Security ID	*Quantity*	*Price*	*Market Value*
HINES GLOBAL REIT INC (continued)	19,455.253		183,657.58
	35.464		334.78
	106.581		1,006.12
	110 737		1,045.36
	107.773		1,017 38
	111.97		1,057.00
	112.605		1,062.99
	102 281		965.53
	112.548		1,062.45
	109.524		1,033.91
	113.797		1,074.24
	110.735		1,045.34
	115.053		1,086 10
	115.685		1,092.07

ALTERNATIVE INVESTMENTS continue on page 20

ALTERNATIVE INVESTMENTS (continued)

Description/Security ID	Quantity	Price	Market Value
HINES GLOBAL REIT INC (continued)	113 83		1,074 56
	118.254		1,116 32
	118.915		1,122 56
	108 004		1,019.56
	125.778		1,187.34
	122 443		1,155 86
	127 225		1,201.00
	123.845		1,169.10
	128.697		1,214.90
	129.451		1,222.02
	126.007		1,189.50
	130.957		1,236.23

ALTERNATIVE INVESTMENTS continue on page 21

ALTERNATIVE INVESTMENTS (continued)

Description/Security ID	Quantity	Price	Market Value
HINES GLOBAL REIT INC (continued)	127 468		1,203.30
Total	22,650.435		$213,820.10
TOTAL ALTERNATIVE INVESTMENTS			$619,879.31

691,708

Cost Basis and Unrealized Gain or Loss Totals include alternative investment totals when available
J Price of interests in an initial offering which closed no more than twelve months prior to the valuation date.
L Based on program management's estimate of net assets, as confirmed by an independent third party.

Value of Your LPL Financial Account

Market Value	Cost Basis/ Purchase Cost[2]	Unrealized Gain or Loss	Estimated Annual Income
1,755,980.34	1,957,910.00		

2 Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments

ALTERNATIVE INVESTMENTS (continued)

Description/Security ID	Quantity	Price	Market Value
HINES GLOBAL REIT INC (continued)			
Total	127.468		1,203.30
TOTAL ALTERNATIVE INVESTMENTS	22,650.435		$213,820.10
			$619,879.31

Cost Basis and Unrealized Gain or Loss Totals include alternative investment totals when available

J Price of interests in an initial offering which closed no more than twelve months prior to the valuation date.

L Based on program management's estimate of net assets, as confirmed by an independent third party.

Value of Your LPL Financial Account

Market Value	Cost Basis/ Purchase Cost 2	Unrealized Gain or Loss	Estimated Annual Income
$1,768,111.72	$1,298,849.25	-$208,103.83	$42,740
	$207,876.69		

2 Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments

THE PELTIER FOUNDATION
DONATIONS AS OF 12/31/2015

YEAR	TOTAL TO BE DONATED	PLEDGED AMOUNT	DATE PAID
2015	$ 1,045,302.00		
1)	**Thibodaux Lions Club** P.O. Box 461 Thibodaux, LA 70302 **for AllStar basketball game**	$ 3,000.00	2/24/2015
2)	**The Thibodaux Senior Citizens Center, Inc.** 1229 Canal Boulevard Thibodaux, LA 70301 **for the annual holiday social**	$ 5,000.00	2/24/2015
3)	**Nicholls Foundation** c/o Nicholls State University Department of Languages & Literature P.O. Box 2023 Thibodaux, LA 70310 **for "Semaine de la Francophonie"**	$ 600.00	2/24/2015
4)	**Swollfest, Inc.** 8519 Highland Road Baton Rouge, LA 70808 **for tracked chair for MD patient**	$ 4,000.00	5/11/2015
5)	**LSU Foundation** 3838 West Lakeshore Drive Baton Rouge, LA 70808 **for general fund**	$ 50,000.00	6/11/2015
6)	**E.D. White Catholic High School** 555 Cardinal Drive Thibodaux, LA 70301 **for building fund**	$ 50,000.00	6/11/2015
7)	**Nicholls Foundation** P.O. Box 2066 Thibodaux, LA 70310 **for general fund**	$ 50,000.00	6/11/2015

8)	**St. Thomas Acquinas Catholic Church** P.O. Box 2051 Thibodaux, LA 70310 **for church roof replacement**	$	12,000.00	6/11/2015
9)	**St. Genevieve Catholic Church** 815 Barbier Avenue Thibodaux, LA 70301 **for facilities improvements**	$	25,000 00	6/11/2015
10)	**St. Genevieve School** 807 Barbier Avenue Thibodaux, LA 70301 **for computer based math program**	$	25,000.00	6/11/2015
11)	**CASA of Lafourche** P.O. Box 1343 Thibodaux, LA 70302 **for general fund**	$	10,000.00	6/11/2015
12)	**Notre Dame Seminary** 2901 South Carrollton Avenue New Orleans, LA 70118-4391 **for general fund**	$	10,000.00	6/11/2015
13)	**Lafourche Crossing 308 Volunteer Fire Dept.** 691 Highway 308 Thibodaux, LA 70301 **for purchase of air packs**	$	12,000.00	6/11/2015
14)	**St. Mary's Residential Training School** P. O. Drawer 7768 Alexandria, LA 71306 **for general fund**	$	10,000.00	6/11/2015
15)	**Bayou Country Children's Museum** 211 Rue Betancourt Thibodaux, LA 70301 **for general fund**	$	25,000.00	6/11/2015

16)	**Bayou Community Foundation** P.O. Box 582 Houma, LA 70361 **for general fund**	$	50,000.00	6/11/2015
17)	**St. John the Evangelist Catholic Church** 2085 St. Mary Street Thibodaux, LA 70301 **for general fund**	$	10,000.00	6/11/2015
18)	**St. Charles Borromeo Catholic Church** 1985 Highway 308 Thibodaux, LA 70301 **for general fund**	$	10,000.00	6/11/2015
19)	**Christ the Redeemer Catholic Church** 720 Talbot Avenue Thibodaux, LA 70301 **for general fund**	$	10,000.00	6/11/2015
20)	**Our Lady of the Isle Church** P.O. Box 885 Grand Isle, LA 70358 **for general fund**	$	10,000.00	6/11/2015
21)	**Our Lady of Prompt Succor Catholic Church** 529 Highway 20 Thibodaux, LA 70301 **for general fund**	$	10,000.00	6/11/2015
22)	**Sisters of Mount Carmel** P.O. Box 476 Lacombe, LA 70445 **for general fund**	$	10,000.00	6/11/2015
23)	**Brothers of the Sacred Heart Foundation** 4600 Elysian Fields Avenue New Orleans, LA 70122 **for general fund**	$	10,000.00	6/11/2015

24)	**St. Joseph Abbey & Seminary College** 75376 River Road Saint Benedict, LA 70457 **for general fund**	$	10,000.00	6/11/2015
25)	**Diocese of Houma-Thibodaux** P.O. Box 505 Schriever, LA 70395 **for seminarian's burse**	$	15,000.00	6/11/2015
26)	**Bayou Community Band, Inc.** 402 Oak Street Thibodaux, LA 70301 **for operating fund**	$	5,000.00	6/11/2015
27)	**Hope for Animals** P.O. Box 1341 Thibodaux, LA 70302 **for general fund**	$	10,000.00	6/11/2015
28)	**Arete Scholars** P.O. Box 3148 St. Francisville, LA 70775 **for Catholic school scholarships**	$	25,000.00	8/3/2015
29)	**The Thibodaux Senior Citizens Center, Inc.** 1229 Canal Boulevard Thibodaux, LA 70301 **add'l grant for annual holiday social**	$	1,000.00	8/24/2015
30)	**The USO** P.O. Box 96860 Washington DC 20077-7677 **for general fund**	$	20,000.00	9/16/2015
31)	**Fletcher Foundation** 1407 Highway 311 Schriever, LA 70395 **for general fund**	$	25,000.00	9/16/2015

31)	**WYES-TV** P.O. Box 54075 New Orleans, LA 70154-4075 **for general fund**	$	10,000.00	9/16/2015
32)	**CASA of Lafourche** P.O. Box 1343 Thibodaux, LA 70302 **for general fund**	$	15,000.00	9/16/2015
33)	**Boy Scouts of America** **Southeast Louisiana Council** 4200 South I-10 Service Road W Suite 101 Metairie, LA 70001 **for general fund**	$	10,000.00	9/16/2015
34)	**Diocese of Houma-Thibodaux** P.O. Box 505 Schriever, LA 70395 **for Cursillo Movement**	$	3,000.00	9/16/2015
35)	**Nicholls Foundation** Attn: Mr. Rob Bernardi P.O. Box 2032 Thibodaux, LA 70310 **for Stopher Gym scoreboard**	$	25,000.00	9/16/2015
36)	**Thibodaux Main Street, Inc.** 409-B West Third Street Thibodaux, LA 70301 **for security cameras**	$	10,000.00	9/16/2015
37)	**Louisiana Pediatric Cardiology Foundation** 2137-A Quail Run Drive, Suite A Baton Rouge, LA 70808 **for general fund**	$	25,000.00	9/16/2015
38)	**National Shrine of Our Lady of Prompt Succor** 2734 Nashville Avenue New Orleans, LA 70115-7008 **for general fund**	$	15,000.00	9/16/2015

39)	**St. Luke the Evangelist Catholic Church** 300 East 11th Street Thibodaux, LA 70301 **for church repairs**	$	40,000.00	12/18/2015
40)	**Food for the Poor, Inc.** c/o Rev. Thomas R. Bouteric P.O. Box 56907 New Orleans, LA 70156-6907 **for general fund**	$	25,000.00	12/18/2015
41)	**Thibodaux Area Christmas Toy Drive** P.O. Box 1014 Thibodaux, LA 70302 **for general fund**	$	10,000.00	12/18/2015
42)	**UNICEF** U.S. Fund for UNICEF 125 Maiden Lane New York, NY 10038 **for general fund**	$	10,000.00	12/18/2015
43)	**Louisiana Heroes Project** 2515 Vance Avenue Alexandria, LA 71301 **for general fund**	$	25,000.00	12/18/2015
44)	**St. Alphonsus Catholic School** 504 Jackson Avenue Ocean Springs, MS 39564 **for general fund**	$	15,000.00	12/18/2015
45)	**Manresa House of Retreats** P.O. Box 89 Convent, LA 70723 **for general fund**	$	25,000.00	12/18/2015
46)	**Restore or Retreat, Inc.** P.O. Box 2048 - NSU Thibodaux, LA 70310 **for general fund**	$	25,000.00	12/18/2015

47)	**Good Samaritan Food Bank** 100 Birch Street Thibodaux, LA 70301 **for general fund**	$	25,000.00	12/18/2015
48)	**St. Vincent dePaul Triparish Community Pharmacy** 7385 Main Street Houma, LA 70360 **for general fund**	$	25,000.00	12/18/2015
49)	**Diocese of Houma-Thibodaux** P.O. Box 505 Schriever, LA 70395 **for priests' retirement fund**	$	50,000.00	12/18/2015
50)	**LSU Foundation** 3838 West Lakeshore Drive Baton Rouge, LA 70808 **for building fund**	$	50,000.00	12/18/2015
51)	**Nicholls Foundation** c/o Nicholls Alumni Federation P.O. Box 2158 Thibodaux, LA 70310 **for the Endowed NSU Alumni Federation Scholarship**	$	20,000.00	12/18/2015
52)	**Nicholls Foundation** c/o Rob Bernardi, Athletics Director P.O. Box 2032 Thibodaux, LA 70310 **for baseball stadium**	$	25,000.00	12/18/2015
53)	**Bayou Community Foundation** P.O. Box 582 Houma, LA 70361 **for use in Lafourche Parish**	$	40,000.00	12/18/2015
54)	**Public Affairs Research Council Of Louisiana, Inc.** P.O. Box 14776 Baton Rouge, LA 70898-4776 **for general fund**	$	10,000.00	12/18/2015

Total Committed for year 2015 ------------------------------$ 1,055,600.00

Total Uncommitted for year 2015------------------------$ 0.00