Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning , 2015, and ending , 20

Name of foundation: SBMT Charitable Trust

A Employer identification number: 65-6312009

Number and street (or P O box number if mail is not delivered to street address): 201 SE 24 Avenue

Room/suite:

B Telephone number (see instructions): 954-941-5533

City or town, state or province, country, and ZIP or foreign postal code: Pompano Beach, FL 33062-5307

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 1,340,326

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	43,241	43,241		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	31,546			
	b	Gross sales price for all assets on line 6a $101,017				
	7	Capital gain net income (from Part IV, line 2)		31,546		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	340	340		
	12	**Total.** Add lines 1 through 11	75,127	75,127		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	2,979	2,979		2,979
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	5,635	5,635		5,635
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	631	631		631
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	9,245	9,245		9,245
	25	Contributions, gifts, grants paid	90,000			90,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	99,245	9,245		99,245
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	(24,118)			
	b	**Net investment income** (if negative, enter -0-)		65,882		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	1,307	1,307	1,307
	2	Savings and temporary cash investments	42,107	47,835	47,835
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less. allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	570,130	565,057	739,318
	c	Investments—corporate bonds (attach schedule)	478,829	454,056	456,357
	11	Investments—land, buildings, and equipment· basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	90,000	90,000	95,509
	14	Land, buildings, and equipment. basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,182,373	1,158,255	1,340,326
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	1,182,373	1,158,255	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,182,373	1,158,255	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,182,373
2	Enter amount from Part I, line 27a	2	(24,118)
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,158,255
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,158,255

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	Publicly Traded Securities - See statement of capital gains and losses			
b	attached	P	Various	Various
c	Capital Gain Distributions from investment securities	P		
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b	101,017		87,114	13,903
c				17,643
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	31,546
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	(2,185)

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	89,225	1,475,551	0.0605
2013	70,000	1,439,160	0 0486
2012	104,205	1,379,625	0.0755
2011	60,000	1,417,873	0.0423
2010	70,593	1,373,554	0 0514

2	**Total** of line 1, column (d)	2	0.2783
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.0557
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	1,410,958
5	Multiply line 4 by line 3	5	78,590
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	659
7	Add lines 5 and 6	7	79,249
8	Enter qualifying distributions from Part XII, line 4	8	99,245

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter. ________ **(attach copy of letter if necessary—see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	659	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0	
3	Add lines 1 and 2	3	659	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	659	
6	Credits/Payments:			
a	2015 estimated tax payments and 2014 overpayment credited to 2015 — 6a — 530			
b	Exempt foreign organizations—tax withheld at source — 6b			
c	Tax paid with application for extension of time to file (Form 8868) — 6c			
d	Backup withholding erroneously withheld — 6d			
7	Total credits and payments Add lines 6a through 6d	7	530	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	129	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10		
11	Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶ ______ **Refunded** ▶	11		

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✓
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ Florida			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✓

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	

Website address ▶ NONE

14 The books are in care of ▶ Daniel J. Trunk, Administrative Trustee Telephone no. ▶ 954-785-4732

Located at ▶ 1390 S Ocean Blvd Unit 5-D, Pompano Beach, FL ZIP+4 ▶ 33062

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly).			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☑ No If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to·			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☑ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	✓
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
James J. Trunk 1390 S. Ocean Blvd Unit 5-D, Pompano Beach, FL 33062	Trustee & Advisory Board - 1 hour	0	0	0
Michelle T Raia 1250 SW 21 Lane, Boca Raton, FL 33486	Trustee & Advisory Board - 1 hour	0	0	0
Ross Raia 1250 SW 21 Lane, Boca Raton, FL 33486	Trustee & Advisory Board - 1 hour	0	0	0
Daniel J. Trunk 2115 S Ocean Blvd Unit 9, Delray Beach, FL 33483	Trustee & Advisory Board - 1 hour	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,431,138
b	Average of monthly cash balances	1b	1,307
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,432,445
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,432,445
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	21,487
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,410,958
6	**Minimum investment return.** Enter 5% of line 5	6	70,548

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	70,548
2a	Tax on investment income for 2015 from Part VI, line 5 — 2a: 659		
b	Income tax for 2015. (This does not include the tax from Part VI.) — 2b: 0		
c	Add lines 2a and 2b	2c	659
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	69,889
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	69,889
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	69,889

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	99,245
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	99,245
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	659
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	98,586

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1	Distributable amount for 2015 from Part XI, line 7				69,889
2	Undistributed income, if any, as of the end of 2015:				
a	Enter amount for 2014 only			14,142	
b	Total for prior years. 20 11 ,20 12 ,20 13		0		
3	Excess distributions carryover, if any, to 2015:				
a	From 2010				
b	From 2011				
c	From 2012				
d	From 2013				
e	From 2014				
f	**Total** of lines 3a through e	0			
4	Qualifying distributions for 2015 from Part XII, line 4: ▶ $ 99,245				
a	Applied to 2014, but not more than line 2a			14,142	
b	Applied to undistributed income of prior years (Election required—see instructions)		0		
c	Treated as distributions out of corpus (Election required—see instructions)	0			
d	Applied to 2015 distributable amount				55,747
e	Remaining amount distributed out of corpus	0			
5	Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e Subtract line 5	0			
b	Prior years' undistributed income Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d	Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e	Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f	Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				14,142
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)	0			
8	Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	0			
9	**Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	0			
10	Analysis of line 9:				
a	Excess from 2011				
b	Excess from 2012				
c	Excess from 2013				
d	Excess from 2014				
e	Excess from 2015				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c .					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test—enter. (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . .					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization . . .					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See attached list				90,000
Total . . . . ▶ 3a				90,000
b *Approved for future payment*				
NONE				
Total . . . . ▶ 3b				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	43,241	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	31,546	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a Civil action proceeds			14	340	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				75,129	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 75,127

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
	NONE

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 8/9/2016 Title: Co-Trustee

May the IRS discuss this return with the preparer shown below (see instructions)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Larry F. Witte	[signature]	8/4/2016		P014779791
Firm's name ▶ Witte & Craig, P.A.			Firm's EIN ▶	59-2098061
Firm's address ▶ 201 SE 24 Avenue, Pompano Beach, FL 33062			Phone no	954-941-5533

The SBMT Charitable Trust
EIN: 65-6312009
Schedule of Information for Form 990-PF
Calendar Year 2015

Part I, Line 11
Other Income
Civil Class Action Proceeds $ 340.

Part I, Line 16
Legal Fees
Witte & Craig, P.A. for legal services and preparation of Form 990-PF $ 2,979.

Other Professional Fees
BNY, Mellon, N.A. - Investment, custodial and accounting services $ 5,635.

Part I, Line 18
Taxes
Foreign dividend taxes withheld $ 631.

Part II, Line 10, Investments, Securities
SEE ATTACHED STATEMENT

Part IV, Line 1a, Capital Gains and Losses
SEE ATTACHED STATEMENTS

SBMT Charitable Trust
EIN: 65-6312009
Schedule of Information for Form 990-PF
Calendar Year 2015

Part XV

3a. Grants and Contributions Paid During the Year

Name and address	Amount
Surfaid International USA 191 Calle Magdalena, Suite 290 Encinitas, CA 92024	$ 20,000.
The Piarist School Highway 80. Box 870 Martin, KY 41649	20,000.
The Independence Fund 330 Coosaw Way #1 Ridgeland, SC 29936	30,000.
Chief Petty Officers of Miami, Inc. 5088 N.W. 105 Drive Coral Springs, FL 33076	10,000.
Boca Helping Hands, Inc. 1500 N.W. 1st Court Boca Raton, FL 33432	10,000.

Note: All of the above contributions have been made to tax exempt qualified charitable organizations for their unrestricted use.

Asset detail

Cash and cash equivalents

	Description	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
32,845.37	CRA (BNY Mellon, N.A., Member FDIC) (Principal Holding)	$ 32,845.37	$ 32,845.37		$ 0.00	0.0%	2.5%
14,989.34	CRA (BNY Mellon, N.A., Member FDIC) (Income Holding)	14,989.34	14,989.34		0.00	0.0	1.1
	Principal Cash						
	Income Cash						
Total cash and cash equivalents		**$ 47,834.71**	**$ 47,834.71**	**$ 0.00**	**$ 0.00**		**3.6%**

Fixed income

Taxable

Pooled vehicle

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
6,019.262	BNY Mellon Corporate Bond Fund	$ 12.3900	$ 74,578.66	$ 75,000.00	$ -421.34	$ 2,546.15	3.4%	5.6%
28,340.741	BNY Mellon Intermediate Bond Fund	12.4900	353,975.86	349,055.54	4,920.32	6,915.14	2.0	26.4
Total taxable pooled vehicle			$ 428,554.52	$ 424,055.54	$ 4,498.98	$ 9,461.29		32.0%
Total taxable fixed income			**$ 428,554.52**	**$ 424,055.54**	**$ 4,498.98**	**$ 9,461.29**		**32.0%**

High yield

Pooled vehicle

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
4,777.07	Dreyfus High Yield Fund	$ 5.8200	$ 27,802.55	$ 30,000.00	$ -2,197.45	$ 1,901.27	6.8%	2.1%
Total high yield pooled vehicle			$ 27,802.55	$ 30,000.00	$ -2,197.45	$ 1,901.27		2.1%
Total high yield fixed income			**$ 27,802.55**	**$ 30,000.00**	**$ -2,197.45**	**$ 1,901.27**		**2.1%**
Total fixed income			**$ 456,357.07**	**$ 454,055.54**	**$ 2,301.53**	**$ 11,362.56**		**34.1%**

Include w/B

Equities

U.S. large cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
15	Allergan PLC (AGN)	$ 312.5000	$ 4,687.50	$ 2,976.92	$ 1,710.58	$ 0.00	0.0%	0.4%
33	Accenture PLC (ACN)	104.5000	3,448.50	2,808.79	639.71	72.60	2.1	0.3
60	Eaton Corp PLC (ETN)	52.0400	3,122.40	3,375.39	-252.99	132.00	4.2	0.2
80	Ingersoll-Rand PLC (IR)	55.2900	4,423.20	4,358.24	64.96	92.80	2.1	0.3
140	Invesco Limited (IVZ)	33.4800	4,687.20	4,754.54	-67.34	151.20	3.2	0.4
27	Avago Technologies (AVGO)	145.1500	3,919.05	1,448.15	2,470.90	47.52	1.2	0.3
60	AT&T Inc (T)	34.4100	2,064.60	1,782.05	282.55	115.20	5.6	0.2
50	Abbott Laboratories (ABT)	44.9100	2,245.50	1,893.81	351.69	52.00	2.3	0.2
40	Abbvie Inc. (ABBV)	59.2400	2,369.60	2,198.45	171.15	91.20	3.9	0.2
20	Activision Blizzard Inc (ATVI)	38.7100	774.20	640.47	133.73	4.60	0.6	0.1
40	Adobe Systems Inc (ADBE)	93.9400	3,757.60	1,892.86	1,864.74	0.00	0.0	0.3
40	Agilent Technologies Inc (A)	41.8100	1,672.40	1,650.21	22.19	18.40	1.1	0.1
140	Ally Financial Inc. (ALLY)	18.6400	2,609.60	3,088.66	-479.06	0.00	0.0	0.2
9	Alphabet, Inc. (GOOGL)	778.0100	7,002.09	5,219.77	1,782.32	0.00	0.0	0.5
10	Amazon.Com Inc (AMZN)	675.8900	6,758.90	2,728.99	4,029.91	0.00	0.0	0.5
20	American Tower Corporation (AMT)	96.9500	1,939.00	1,889.00	50.00	39.20	2.0	0.1
14	Amgen Inc (AMGN)	162.3300	2,272.62	1,820.63	451.99	56.00	2.5	0.2

Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
12	Anacor Pharmaceuticals Inc (ANAC)	112.9700	1,355.64	1,605.04	-249.40	0.00	0.0	0.1
50	Analog Devices Inc (ADI)	55.3200	2,766.00	3,094.18	-328.18	80.00	2.9	0.2
65	Apple Inc (AAPL)	105.2600	6,841.90	1,275.46	5,566.44	135.20	2.0	0.5
340	Bank Of America Corporation (BAC)	16.8300	5,722.20	5,610.82	111.38	68.00	1.2	0.4
13	Biomarin Pharmaceutical Inc (BMRN)	104.7600	1,361.88	1,385.94	-24.06	0.00	0.0	0.1
8	Biogen Idec Inc (BIIB)	306.3500	2,450.80	2,476.56	-25.76	0.00	0.0	0.2
30	Bristol-Myers Squibb Company (BMY)	68.7900	2,063.70	1,311.43	752.27	45.60	2.2	0.2
26	CVS Caremark Corporation (CVS)	97.7700	2,542.02	2,649.23	-107.21	44.20	1.7	0.2
60	Capital One Financial Corporation (COF)	72.1800	4,330.80	3,201.51	1,129.29	96.00	2.2	0.3
30	Cardinal Health Inc (CAH)	89.2700	2,678.10	2,130.01	548.09	46.44	1.7	0.2
50	Celanese Corp (CE) Series A	67.3300	3,366.50	2,530.45	836.05	60.00	1.8	0.3
11	Celgene Corp (CELG)	119.7600	1,317.36	1,131.05	186.31	0.00	0.0	0.1
40	The Cheesecake Factory (CAKE)	46.1100	1,844.40	1,973.26	-128.86	32.00	1.7	0.1
30	Chevron Corporation (CVX)	89.9600	2,698.80	3,668.49	-969.69	128.40	4.8	0.2
40	Cognizant Technology Solutions Corp (CTSH) Cl A	60.0200	2,400.80	2,498.86	-98.06	0.00	0.0	0.2
90	Comcast Corp Cl A (CMCSA)	56.4300	5,078.70	4,479.94	598.76	90.00	1.8	0.4
13	Constellation Brands Inc-A (STZ)	142.4400	1,851.72	1,658.08	193.64	16.12	0.9	0.1
12	Costco Whsl Corp New (COST)	161.5000	1,938.00	1,474.12	463.88	19.20	1.0	0.1
20	The Walt Disney Company (DIS)	105.0800	2,101.60	916.73	1,184.87	28.40	1.4	0.2
40	Dow Chemical Co (DOW)	51.4800	2,059.20	1,978.93	80.27	73.60	3.6	0.2
30	Electronic Arts Inc (EA)	68.7200	2,061.60	1,095.62	965.98	0.00	0.0	0.2
90	Exelon Corp (EXC)	27.7700	2,499.30	3,062.87	-563.57	111.60	4.5	0.2
52	Exxon Mobil Corp (XOM)	77.9500	4,053.40	2,770.12	1,283.28	151.84	3.8	0.3
70	Facebook Inc. (FB)	104.6600	7,326.20	3,842.33	3,483.87	0.00	0.0	0.6
70	Halliburton Co (HAL)	34.0400	2,382.80	3,083.92	-701.12	50.40	2.1	0.2

Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
50	Harley Davidson Inc (HOG)	45.3900	2,269.50	3,043.59	-774.09	62.00	2.7	0.2
80	Hartford Finl Svcs Group Inc (HIG)	43.4600	3,476.80	2,918.37	558.43	67.20	1.9	0.3
31	Hershey Co (HSY)	89.2700	2,767.37	3,239.31	-471.94	72.29	2.6	0.2
40	Home Depot Inc (HD)	132.2500	5,290.00	3,154.43	2,135.57	94.40	1.8	0.4
50	Honeywell Intl Inc (HON)	103.5700	5,178.50	4,308.73	869.77	119.00	2.3	0.4
40	Illinois Tool Wks Inc (ITW)	92.6800	3,707.20	3,471.73	235.47	88.00	2.4	0.3
21	Intercontinentalexchange Group, Inc.(ICE)	256.2600	5,381.46	3,097.56	2,283.90	63.00	1.2	0.4
42	Johnson & Johnson (JNJ)	102.7200	4,314.24	4,181.03	133.21	126.00	2.9	0.3
30	Marathon Petroleum Corp (MPC)	51.8400	1,555.20	1,641.70	-86.50	38.40	2.5	0.1
50	Merck & Co Inc (MRK)	52.8200	2,641.00	2,603.82	37.18	92.00	3.5	0.2
60	Microsoft Corporation (MSFT)	55.4800	3,328.80	2,616.80	712.00	86.40	2.6	0.3
25	Monster Beverage Corporation (MNST)	148.9600	3,724.00	1,715.74	2,008.26	0.00	0.0	0.3
40	Nike Inc Class B Stock (NKE)	62.5000	2,500.00	2,325.59	174.41	25.60	1.0	0.2
60	Nucor Corp (NUE)	40.3000	2,418.00	2,995.71	-577.71	90.00	3.7	0.2
40	PNC Financial Services Group (PNC)	95.3100	3,812.40	3,037.25	775.15	81.60	2.1	0.3
22	Palo Alto Networks Inc (PANW)	176.1400	3,875.08	3,342.82	532.26	0.00	0.0	0.3
55	Pepsico Inc (PEP)	99.9200	5,495.60	2,592.81	2,902.79	154.55	2.8	0.4
130	Pfizer Inc (PFE)	32.2800	4,196.40	2,425.53	1,770.87	156.00	3.7	0.3
50	Philip Morris International Inc (PM)	87.9100	4,395.50	2,911.30	1,484.20	204.00	4.6	0.3
20	Phillips 66 (PSX)	81.8000	1,636.00	1,447.72	188.28	44.80	2.7	0.1
19	Pioneer Natural Resources Company (PXD)	125.3800	2,382.22	2,913.33	-531.11	1.52	0.1	0.2
30	The Procter & Gamble Company (PG)	79.4100	2,382.30	2,425.42	-43.12	79.56	3.3	0.2
50	Salesforce.Com Inc (CRM)	78.4000	3,920.00	2,255.12	1,664.88	0.00	0.0	0.3
41	Schlumberger Ltd (SLB)	69.7500	2,859.75	3,422.85	-563.10	82.00	2.9	0.2
120	Schwab Charles Corp New (SCHW)	32.9300	3,951.60	3,841.73	109.87	28.80	0.7	0.3
42	Sempra Energy (SRE)	94.0100	3,948.42	3,989.12	-40.70	117.60	3.0	0.3

Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
40	Servicenow, Inc. (NOW)	86.5600	3,462.40	1,739.30	1,723.10	0.00	0.0	0.3
18	3M Co (MMM)	150.6400	2,711.52	2,565.58	145.94	73.80	2.7	0.2
50	Time Warner Inc (TWX)	64.6700	3,233.50	3,756.39	-522.89	70.00	2.2	0.2
2,500	US Bancorp (USB)	42.6700	106,675.00	0.03	106,674.97	2,550.00	2.4	8.0
38	Union Pac Corp (UNP)	78.2000	2,971.60	3,314.11	-342.51	83.60	2.8	0.2
38	United Technologies Corp (UTX)	96.0700	3,650.66	4,115.57	-464.91	97.28	2.7	0.3
16	Universal Health Services Inc Cl B (UHS)	119.4900	1,911.84	2,220.71	-308.87	6.40	0.3	0.1
40	Valero Energy Corp New (VLO)	70.7100	2,828.40	1,490.02	1,338.38	80.00	2.8	0.2
40	Verizon Communications Inc (VZ)	46.2200	1,848.80	1,891.45	-42.65	90.40	4.9	0.1
40	Visa Inc-Class A Shrs (V)	77.5500	3,102.00	3,062.29	39.71	22.40	0.7	0.2
60	Voya Financial Inc (VOYA)	36.9100	2,214.60	2,415.29	-200.69	2.40	0.1	0.2
80	Wells Fargo & Company (WFC)	54.3600	4,348.80	2,744.47	1,604.33	120.00	2.8	0.3
50	Yum! Brands Inc (YUM)	73.0500	3,652.50	3,777.27	-124.77	92.00	2.5	0.3
7,288.63	BNY Mellon Income Stock Fund (MPISX)	8.0500	58,673.47	50,000.00	8,673.47	1,290.09	2.2	4.4
Total U.S. large cap			**$ 425,539.81**	**$ 264,443.47**	**$ 161,096.34**	**$ 8,702.81**		**31.8%**

U.S. mid cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
2,679.418	The BNY Mellon Mid Cap (MPMCX) Multi-Strategy Fund	$ 13.9200	$ 37,297.50	$ 23,089.98	$ 14,207.52	$ 49.03	0.1%	2.8%
1,024.595	Dreyfus Midcap Index Fund (PESPX)	32.3100	33,104.66	21,040.88	12,063.78	444.67	1.3	2.5
Total U.S. mid cap			**$ 70,402.16**	**$ 44,130.86**	**$ 26,271.30**	**$ 493.70**		**5.3%**

U.S. small cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
540.387	Dreyfus Select Managers Small Cap (DSGYX) Growth Fund	$ 21.7800	$ 11,769.63	$ 10,000.00	$ 1,769.63	$ 0.00	0.0%	0.9%
781.551	Dreyfus Select Managers Small Cap (DMVYX) Value Fund	19.5000	15,240.24	15,000.00	240.24	49.78	0.3	1.1
Total U.S. small cap			**$ 27,009.87**	**$ 25,000.00**	**$ 2,009.87**	**$ 49.78**		**2.0%**

U.S. small-mid cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
2,094.018	BNY Mellon Small/Mid Cap Fund (MMCMX)	$ 11.5000	$ 24,081.21	$ 28,290.19	$ -4,208.98	$ 1.05	0.0%	1.8%
Total U.S. small-mid cap			**$ 24,081.21**	**$ 28,290.19**	**$ -4,208.98**	**$ 1.05**		**1.8%**

Developed international

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
19	Anheuser Busch Inbev Spn (BUD)	$ 125.0000	$ 2,375.00	$ 2,052.26	$ 322.74	$ 61.26	2.6%	0.2%
8,094.434	Dreyfus Diversified International (DFPIX) Fund	10.8500	87,824.61	80,000.00	7,824.61	809.44	0.9	6.6
Total developed international			**$ 90,199.61**	**$ 82,052.26**	**$ 8,147.35**	**$ 870.70**		**6.7%**

Emerging markets

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
9,509.562	BNY Mellon Emerging Markets Fund (MEMKX)	$ 7.7200	$ 73,413.82	$ 96,140.49	$ -22,726.67	$ 708.46	1.0%	5.5%
Total emerging markets			**$ 73,413.82**	**$ 96,140.49**	**$ -22,726.67**	**$ 708.46**		**5.5%**

Equity reits

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
3,337.784	Dreyfus Global Real Estate (DRLYX) Securities Fund	$ 8.5900	$ 28,671.56	$ 25,000.00	$ 3,671.56	$ 694.26	2.4%	2.1%
Total equity reits			**$ 28,671.56**	**$ 25,000.00**	**$ 3,671.56**	**$ 694.26**		**2.1%**
Total equities			**$ 739,318.04**	**$ 565,057.27**	**$ 174,260.77**	**$ 11,520.76**		**55.2%**

Alternative investments

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
1,559.484	Advantage Dynamic Total Return Fund	$ 15.8200	$ 24,671.04	$ 20,000.00	$ 4,671.04	$ 0.00	0.0%	1.8%
2,109.705	ASG Managed Futures Strategy Fund	10.4000	21,940.93	20,000.00	1,940.93	489.45	2.2	1.6
4,595.588	ASG Global Alternatives Fund-Y	10.6400	48,897.06	50,000.00	-1,102.94	0.00	0.0	3.7
Total alternative investments			**$ 95,509.03**	**$ 90,000.00**	**$ 5,509.03**	**$ 489.45**		**7.1%**
Total assets			**$ 1,339,018.85**	**$ 1,156,947.52**	**$ 182,071.33**	**$ 23,372.77**		**100.0%**

Income for 2015

This section reports your sales transactions during the year. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for current year's return of capital.

The following items are provided to you to assist in the preparation of your tax returns. We have included the following Codes and Adjustment amounts: "W" for wash sales, "C" for collectibles, and "D" for Market Discount. Typically, collectibles are taxed at 28% rates. For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses and Market Discount in the column titled "Portion Subject to 988 and Market Discount". We have also includes gains on collectibles taxed at 28% rates in the last column. If we have included a dagger symbol (†) next to the sale transaction with a net loss, this indicates that you can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure.

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AT&T INC		00206R102							
12/08/15	08/04/15	20	$675 02	$694 78	$-19.76		$0.00	$0.00	$0.00
ABBVIE INC		00287Y109							
07/15/15	07/18/14	10	$696 98	$549 61	$147 37		$0 00	$0.00	$0 00
ALEXION PHARMACEUTICALS INC		015351109							
01/08/15	02/04/14	9	$1,653 09	$1,411 40	$241 69		$0 00	$0 00	$0.00
ANADARKO PETE CORP		032511107							
11/12/15	05/22/15	10	$589.00	$861.89	$-272 89		$0 00	$0 00	$0 00

Short Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CISCO SYS INC		**17275R102**							
07/17/15	04/21/15	30	$840 37	$865 17	$-24.80		$0.00	$0 00	$0.00
07/17/15	01/08/15	20	$560 25	$560.80	$-0.55		$0.00	$0 00	$0.00
10/21/15	01/08/15	30	$851.10	$841.20	$9.90		$0 00	$0.00	$0 00
12/01/15	01/06/15	50	$1,371.45	$1,366.11	$5 34		$0.00	$0.00	$0 00
EXXON MOBIL CORP		**30231G102**							
01/07/15	06/18/14	10	$904 98	$1,024 73	$-119.75		$0.00	$0.00	$0 00
GOOGLE INC		**38259P508**							
01/08/15	06/23/14	1	$506 00	$571 78	$-65.78		$0.00	$0 00	$0.00
01/08/15	06/16/14	1	$506.00	$551.80	$-45 80		$0 00	$0 00	$0.00
HCA HOLDINGS INC		**40412C101**							
01/20/15	06/04/14	10	$677 02	$547 80	$129 22		$0 00	$0.00	$0 00
01/21/15	05/21/14	10	$691.57	$525 72	$165.85		$0.00	$0.00	$0 00
01/21/15	06/04/14	20	$1,383 14	$1,095 59	$287.55		$0.00	$0.00	$0.00
HUMANA INC COM		**444859102**							
06/26/15	01/21/15	8	$1,585 31	$1,226 32	$358.99		$0.00	$0.00	$0 00
08/03/15	02/10/15	2	$363 77	$302 21	$61.56		$0 00	$0 00	$0 00
08/03/15	01/21/15	4	$727.55	$613 16	$114.39		$0 00	$0 00	$0.00
08/04/15	.02/10/15	3	$546 92	$453.32	$93.60		$0.00	$0 00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ANADARKO PETE CORP		032511107							
11/12/15	01/07/15	20	$1,177.99	$1,539.61	$-361 62		$0 00	$0 00	$0 00
ANHEUSER BUSCH INBEV SPN ADR		03524A108							
01/07/15	04/14/14	4	$438.15	$432.66	$5.49		$0 00	$0.00	$0 00
APPLE COMPUTER INC COM		037833100							
07/08/15	04/15/15	3	$369.61	$379 09	$-9.48		$0.00	$0 00	$0 00
BIOMARIN PHARMACEUTICAL INC		09061G101							
07/09/15	02/23/15	4	$558 08	$430.78	$127 30		$0 00	$0.00	$0.00
BRISTOL MYERS SQUIBB CO COM		110122108							
06/22/15	01/08/15	10	$670 77	$609 19	$61.58		$0.00	$0.00	$0 00
CARDINAL HEALTH INC COM		14149Y108							
02/10/15	06/05/14	10	$846 53	$711 86	$134 67		$0 00	$0 00	$0 00
CELGENE CORP		151020104							
07/09/15	10/24/14	5	$583 26	$514.11	$69 15		$0 00	$0.00	$0.00
08/25/15	10/24/14	7	$812 17	$719 76	$92 41		$0 00	$0.00	$0.00
09/21/15	10/24/14	6	$713 15	$616 93	$96 22		$0.00	$0.00	$0 00
CENTERPOINT ENERGY INC		15189T107							
05/06/15	06/11/14	10	$203.07	$239.04	$-35 97		$0 00	$0.00	$0 00

Short Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
HUMANA INC COM		**444859102**							
08/04/15	01/20/15	6	$1,093 83	$894 83	$199.00		$0 00	$0 00	$0.00
INTEL CORPORATION		**458140100**							
04/21/15	09/04/14	30	$969 61	$1,048.19	$-78.58		$0.00	$0 00	$0 00
05/07/15	09/15/14	10	$322.42	$346.03	$-23 61		$0 00	$0.00	$0 00
05/07/15	09/04/14	20	$644 85	$698.80	$-53 95		$0.00	$0.00	$0 00
05/07/15	09/02/14	70	$2,256.96	$2,417.88	$-160 92		$0.00	$0.00	$0.00
J P MORGAN CHASE & CO		**46625H100**							
02/25/15	07/07/14	20	$1,221 29	$1,132.64	$88.65		$0 00	$0.00	$0 00
LAM RESH CORP COM		**512807108**							
03/31/15	10/29/14	10	$699.24	$757 50	$-58.26		$0 00	$0 00	$0 00
04/15/15	10/06/14	20	$1,449.28	$1,492 61	$-43.33		$0.00	$0 00	$0.00
MARATHON OIL CORP		**565849106**							
08/21/15	11/20/14	40	$632 14	$1,327 87	$-695 73		$0 00	$0.00	$0 00
08/21/15	11/24/14	20	$316 07	$668 95	$-352.88		$0 00	$0.00	$0.00
08/21/15	12/10/14	20	$316.07	$528.79	$-212 72		$0.00	$0 00	$0.00
08/21/15	12/11/14	20	$316 07	$533.73	$-217.66		$0.00	$0.00	$0 00
MERCK & CO INC		**58933Y105**							
08/25/15	06/22/15	10	$525.41	$588.80	$-63 39		$0.00	$0 00	$0 00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
MONSTER BEVERAGE CORP		**611740101**							
01/13/15	01/13/14	6	$682.57	$418.07	$264.50		$0 00	$0 00	$0 00
OUTFRONT MEDIA INC		**69007J106**							
01/08/15	08/15/14	0.78	$20.67	$26.47	$-5.80		$0 00	$0 00	$0.00
06/11/15	08/14/14	0.78	$20.84	$26 18 *	$-5 34		$0 00	$0 00	$0.00
06/11/15	08/15/14	9 22	$246 77	$313.16 *	$-66.39		$0 00	$0 00	$0.00
06/12/15	08/14/14	1	$26.76	$33 61 *	$-6 85		$0 00	$0 00	$0 00
06/15/15	08/14/14	2	$53 01	$67.23 *	$-14.22		$0.00	$0 00	$0.00
06/16/15	08/14/14	3	$78.76	$100.84 *	$-22 08		$0.00	$0 00	$0.00
06/17/15	08/14/14	3 22	$84.05	$108 26 *	$-24 21		$0.00	$0.00	$0 00
06/17/15	08/05/14	10	$260.93	$334 01 *	$-73 08		$0.00	$0.00	$0 00
06/17/15	08/13/14	10	$260 93	$335 15 *	$-74 22		$0 00	$0 00	$0.00
06/17/15	08/06/14	7 78	$202 98	$258.37 *	$-55.39		$0 00	$0.00	$0.00
06/18/15	08/07/14	0 78	$20.38	$25 24 *	$-4 86		$0.00	$0.00	$0.00
06/18/15	08/06/14	12 22	$319 71	$405 92 *	$-86 21		$0 00	$0 00	$0.00
06/24/15	08/07/14	6	$158.34	$194.49 *	$-36 15		$0.00	$0.00	$0.00
06/25/15	08/07/14	3 22	$84 94	$104.41 *	$-19.47		$0.00	$0.00	$0.00
06/25/15	08/08/14	7.78	$205.12	$250.92 *	$-45.80		$0 00	$0 00	$0 00
06/26/15	02/23/15	7 78	$205.55	$233.39 *	$-27 84		$0 00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
OUTFRONT MEDIA INC		**69007J106**							
06/26/15	08/08/14	2 22	$58.69	$71.64 *	$-12 95		$0 00	$0 00	$0.00
06/29/15	02/23/15	2.22	$58 45	$66 64 *	$-8 19		$0.00	$0 00	$0 00
06/29/15	02/20/15	0 78	$20 50	$23 30 *	$-2 80		$0 00	$0.00	$0 00
06/30/15	02/20/15	3	$76 52	$89 72 *	$-13 20		$0 00	$0 00	$0.00
07/01/15	02/20/15	6	$150.79	$179.43 *	$-28.64		$0 00	$0.00	$0 00
07/02/15	12/31/14	0.78	$19 44	$20 91 *	$-1.47		$0.00	$0.00	$0 00
07/02/15	02/19/15	10	$249.60	$297.99 *	$-48.39		$0.00	$0.00	$0.00
07/02/15	02/20/15	0 22	$5 52	$6 62 *	$-1.10		$0.00	$0.00	$0 00
07/06/15	12/31/14	13	$319 68	$349 05 *	$-29 37		$0 00	$0 00	$0.00
PVH CORP		**693656100**							
12/04/15	04/01/15	6	$488 93	$642 62	$-153.69		$0 00	$0.00	$0 00
PHILLIPS 66		**718546104**							
07/22/15	11/20/14	10	$824.10	$776.67	$47 43		$0 00	$0.00	$0 00
SALESFORCE COM INC		**79466L302**							
05/04/15	06/16/14	10	$716.37	$546 40	$169 97		$0.00	$0 00	$0.00
09/22/15	07/22/15	10	$712.98	$739.98	$-27.00		$0.00	$0.00	$0.00
SCHLUMBERGER LIMITED COM		**806857108**							
01/07/15	10/10/14	10	$811.76	$939.78	$-128.02		$0.00	$0.00	$0 00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
SERVICENOW INC		81762P102							
07/15/15	08/29/14	10	$777 01	$611 65	$165.36		$0 00	$0 00	$0.00
SOUTHWESTERN ENERGY CO		845467109							
05/22/15	11/24/14	10	$270 79	$336 23	$-65.44		$0.00	$0 00	$0.00
05/26/15	11/24/14	10	$265.42	$336.23	$-70.81		$0 00	$0.00	$0.00
05/26/15	12/10/14	20	$530 85	$581 78	$-50.93		$0.00	$0.00	$0 00
05/26/15	12/11/14	10	$265 42	$298 19	$-32.77		$0.00	$0 00	$0.00
TWITTER INC		90184L102							
06/29/15	10/16/14	20	$684 07	$989.57	$-305 50		$0.00	$0 00	$0.00
06/29/15	10/06/14	20	$684.07	$1,078.82	$-394.75		$0.00	$0 00	$0.00
TE CONNECTIVITY LTD		H84989104							
07/17/15	12/16/14	10	$621 53	$614.62	$6.91		$0.00	$0.00	$0.00
NXP SEMICONDUCTORS N V		N6596X109							
08/19/15	05/08/15	10	$876.22	$1,038 19	$-161.97		$0 00	$0.00	$0.00
09/22/15	05/07/15	10	$867.64	$1,003 75	$-136 11		$0 00	$0.00	$0.00
09/22/15	05/08/15	10	$867.64	$1,038.19	$-170.55		$0 00	$0.00	$0.00
Total Short Term Gain/Loss			*$46,421.84*	*$48,606 73*	*$-2,184 89*		*$0 00*	*$0 00*	*$0.00*

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AFLAC INC		**001055102**							
09/10/15	06/10/14	10	$576.30	$633 42	$-57.12		$0.00	$0 00	$0.00
10/19/15	06/10/14	10	$609.17	$633 42	$-24 25		$0 00	$0 00	$0.00
10/20/15	09/22/14	8	$484 91	$467 81	$17 10		$0.00	$0.00	$0.00
10/20/15	09/17/14	1	$60 61	$58 88	$1.73		$0.00	$0 00	$0 00
10/20/15	09/16/14	1	$60.61	$59 09	$1 52		$0 00	$0 00	$0 00
10/20/15	06/09/14	30	$1,818 41	$1,895.16	$-76.75		$0 00	$0.00	$0.00
ABBOTT LABORATORIES		**002824100**							
07/15/15	06/19/14	10	$493.46	$408.27	$85 19		$0.00	$0 00	$0.00
08/24/15	04/08/14	20	$875 17	$757.53	$117 64		$0 00	$0.00	$0 00
ABBVIE INC		**00287Y109**							
08/25/15	07/18/14	10	$623 46	$549 61	$73.85		$0.00	$0.00	$0.00
10/12/15	07/18/14	20	$1,117 72	$1,099.22	$18 50		$0.00	$0.00	$0 00
AMAZON COM INC		**023135106**							
04/01/15	08/09/13	2	$739 93	$596 40	$143 53		$0.00	$0 00	$0 00
09/29/15	08/09/13	2	$1,009 03	$596.40	$412.63		$0 00	$0.00	$0.00
AMGEN INC		**031162100**							
07/09/15	09/16/13	4	$611.68	$467 60	$144.08		$0 00	$0 00	$0 00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
APPLE COMPUTER INC COM		**037833100**							
07/08/15	08/09/13	6	$739 23	$390 05	$349.18		$0.00	$0.00	$0 00
08/04/15	08/09/13	11	$1,264.96	$715.09	$549 87		$0.00	$0 00	$0.00
08/04/15	07/28/08	4	$459.99	$91.31	$368.68		$0 00	$0 00	$0.00
08/12/15	07/28/08	12	$1,340.84	$273 93	$1,066.91		$0.00	$0.00	$0 00
12/14/15	07/09/09	5	$556.03	$98 11	$457 92		$0.00	$0.00	$0 00
12/14/15	07/28/08	5	$556 03	$114.14	$441.89		$0 00	$0.00	$0 00
BRISTOL MYERS SQUIBB CO COM		**110122108**							
06/22/15	08/09/13	10	$670.77	$437.15	$233.62		$0.00	$0.00	$0.00
CATERPILLAR INC		**149123101**							
09/03/15	08/09/13	20	$1,483.31	$1,677.16	$-193 85		$0 00	$0.00	$0.00
09/03/15	01/08/14	10	$741.65	$896 43	$-154.78		$0.00	$0 00	$0 00
CENTERPOINT ENERGY INC		**15189T107**							
02/13/15	08/09/13	20	$434 32	$484.44	$-50.12		$0.00	$0.00	$0 00
02/17/15	08/09/13	50	$1,080 30	$1,211 08	$-130.78		$0.00	$0.00	$0 00
05/06/15	01/08/14	50	$1,015 34	$1,147 17	$-131.83		$0 00	$0.00	$0.00
05/06/15	08/09/13	10	$203 07	$242 22	$-39 15		$0.00	$0.00	$0 00
COSTCO WHSL CORP NEW		**22160K105**							
04/30/15	08/09/13	11	$1,577 61	$1,277 52	$300.09		$0.00	$0 00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
COSTCO WHSL CORP NEW		22160K105							
10/07/15	01/08/14	5	$739 08	$571.51	$167.57		$0 00	$0 00	$0.00
DOVER CORPORATION		260003108							
08/03/15	08/09/13	2	$125.46	$145.92	$-20 46		$0.00	$0.00	$0 00
08/03/15	01/08/14	10	$627.28	$784 97	$-157 69		$0.00	$0.00	$0 00
08/04/15	08/09/13	28	$1,771.28	$2,042.88	$-271.60		$0.00	$0 00	$0 00
ELECTRONIC ARTS		285512109							
07/27/15	07/18/14	10	$713 35	$383 34	$330 01		$0 00	$0 00	$0.00
09/22/15	07/18/14	10	$685.36	$383.34	$302.02		$0.00	$0.00	$0.00
10/09/15	07/18/14	10	$647 90	$383 34	$264 56		$0 00	$0 00	$0.00
12/09/15	07/18/14	10	$670 58	$383 34	$287 24		$0.00	$0 00	$0.00
FNF GROUP		31620R303							
09/10/15	12/10/13	10	$358 26	$252 36	$105 90		$0.00	$0 00	$0.00
09/10/15	01/08/14	30	$1,074.77	$793 34	$281 43		$0 00	$0 00	$0 00
10/19/15	12/10/13	17	$602 26	$429.02	$173 24		$0.00	$0.00	$0.00
10/20/15	12/10/13	20	$698.06	$504.73	$193.33		$0.00	$0.00	$0.00
10/21/15	12/10/13	3	$103 94	$75 71	$28 23		$0.00	$0.00	$0.00
10/21/15	12/09/13	12	$415 74	$294.95	$120.79		$0 00	$0 00	$0 00
10/22/15	12/09/13	18	$619.40	$442.42	$176.98		$0 00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
GILEAD SCIENCES INC		**375558103**							
07/09/15	08/09/13	13	$1,480 83	$772 58	$708 25		$0.00	$0 00	$0.00
09/21/15	08/09/13	7	$735 79	$416 01	$319 78		$0.00	$0.00	$0.00
09/22/15	08/09/13	20	$2,095 18	$1,188 59	$906 59		$0.00	$0.00	$0 00
GOOGLE INC		**38259P706**							
01/06/15	08/09/13	3	$1,514.27	$1,339 00	$175 27		$0.00	$0 00	$0.00
INTERCONTINENTALEXCHANGE GRO		**45866F104**							
09/03/15	08/09/13	2	$457.25	$371 89	$85.36		$0 00	$0 00	$0.00
09/03/15	06/09/14	3	$685.88	$572.20	$113.68		$0.00	$0.00	$0.00
J P MORGAN CHASE & CO		**46625H100**							
02/25/15	01/29/14	20	$1,221 29	$1,108.90	$112 39		$0 00	$0.00	$0 00
02/25/15	03/03/09	49	$2,992 17	$1,061.34	$1,930.83		$0 00	$0 00	$0.00
JOHNSON CONTROLS INC		**478366107**							
08/19/15	08/09/13	10	$446.85	$414 09	$32.76		$0 00	$0.00	$0 00
08/19/15	01/08/14	30	$1,340 55	$1,543 41	$-202 86		$0 00	$0 00	$0 00
08/20/15	08/09/13	30	$1,311 24	$1,242 25	$68.99		$0 00	$0 00	$0 00
LAS VEGAS SANDS CORP		**517834107**							
04/21/15	08/09/13	40	$2,211.63	$2,235 02	$-23.39		$0 00	$0 00	$0 00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
MEAD JOHNSON NUTRITION CO-A		582839106							
08/13/15	06/19/14	10	$840 49	$923 85	$-83 36		$0.00	$0 00	$0 00
08/14/15	06/18/14	10	$837 93	$917.05	$-79.12		$0 00	$0 00	$0 00
MERCK & CO INC		58933Y105							
02/19/15	01/14/14	20	$1,158 66	$1,049 35	,$109.31		$0.00	$0 00	$0.00
MONSTER BEVERAGE CORP		61174X109							
10/07/15	01/13/14	7	$941.75	$487.75	$454.00		$0.00	$0 00	$0 00
PVH CORP		693656100							
10/30/15	04/24/14	3	$274.08	$363 13	$-89 05		$0.00	$0 00	$0.00
10/30/15	08/09/13	5	$456.80	$650.37	$-193.57		$0 00	$0 00	$0.00
10/30/15	04/25/14	2	$182 72	$244 49	$-61.77		$0.00	$0 00	$0 00
12/04/15	11/03/11	12	$977 87	$884 15	$93 72		$0.00	$0.00	$0.00
12/04/15	04/24/14	1	$81.49	$121 04	$-39 55		$0 00	$0 00	$0 00
12/04/15	11/03/11	1	$81.49	$72.50	$8 99		$0.00	$0.00	$0.00
SALESFORCE COM INC		79466L302							
10/21/15	08/09/13	10	$757 55	$451 03	$306.52		$0.00	$0 00	$0 00
SERVICENOW INC		81762P102							
07/22/15	04/16/14	10	$781.48	$530.27	$251.21		$0 00	$0 00	$0.00
09/22/15	08/15/13	10	$725 82	$434 82	$291.00		$0 00	$0 00	$0.00

Long Term Transactions

DESCRIPTION / Date sold or disposed	Date acquired	CUSIP / Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
SOUTHWESTERN ENERGY CO		**845467109**							
05/22/15	08/09/13	30	$812 36	$1,125 97	$-313.61		$0.00	$0 00	$0.00
STATE ST CORP COM		**857477103**							
01/26/15	01/08/14	20	$1,439 40	$1,500.88	$-61 48		$0 00	$0.00	$0.00
05/11/15	08/09/13	30	$2,347.81	$2,082.67	$265.14		$0 00	$0 00	$0.00
05/12/15	08/09/13	20	$1,549.53	$1,388.44	$161.09		$0.00	$0.00	$0.00
TCW EMERGING MARKETS INCOME FUND-I		**87234N765**							
10/08/15	01/10/12	3015.68	$22,949.34	$24,715 23 *	$-1,765 89		$0 00	$0.00	$0 00
THERMO ELECTRON CORP		**883556102**							
02/19/15	12/20/13	23	$2,931.98	$2,497 93	$434.05		$0 00	$0.00	$0 00
02/19/15	01/08/14	1	$127 48	$113 86	$13 62		$0.00	$0 00	$0.00
VALERO ENERGY CORP NEW		**91913Y100**							
07/22/15	06/25/14	10	$675 24	$510 95	$164 29		$0 00	$0 00	$0 00
07/27/15	06/25/14	10	$646 74	$510 95	$135 79		$0 00	$0 00	$0.00
12/08/15	08/09/13	10	$707.66	$372 51	$335.15		$0.00	$0 00	$0 00
VERIZON COMMUNICATIONS INC		**92343V104**							
12/08/15	08/09/13	10	$457.09	$491 05	$-33.96		$0 00	$0.00	$0 00
WELLS FARGO & CO NEW		**949746101**							
01/07/15	02/14/02	40	$2,091.87	$932 80	$1,159.07		$0.00	$0 00	$0.00

Long Term Transactions

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Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ACTAVIS PLC		**G0083B108**							
02/19/15	09/30/13	4	$1,151 91	$576 04	$575 87		$0.00	$0.00	$0.00
MALLINCKRODT PLC		**G5785G107**							
09/29/15	09/02/14	20	$1,156 39	$1,641 94	$-485.55		$0 00	$0.00	$0.00
TE CONNECTIVITY LTD		**H84989104**							
10/21/15	06/03/14	10	$614 94	$603.91	$11 03		$0.00	$0 00	$0.00
10/21/15	05/02/14	20	$1,229 89	$1,185.65	$44 24		$0.00	$0.00	$0 00
10/27/15	05/01/14	10	$620 86	$592.53	$28 33		$0.00	$0.00	$0 00
10/29/15	04/30/14	10	$640 01	$590.41	$49.60		$0 00	$0 00	$0 00
AVAGO TECHNOLOGIES LTD		**Y0486S104**							
01/08/15	09/20/13	10	$1,028.28	$420.65	$607.63		$0.00	$0.00	$0.00
03/25/15	09/20/13	5	$625 92	$210 33	$415 59		$0 00	$0.00	$0 00
06/02/15	09/20/13	5	$719 59	$210 33	$509 26		$0 00	$0 00	$0.00
08/19/15	09/20/13	7	$845.41	$294.46	$550 95		$0 00	$0 00	$0.00
Total Long Term Gain/Loss			*$101,017.39*	*$87,114.35*	*$13,903 04*		*$0.00*	*$0 00*	*$0.00*