Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning , and ending

Name of foundation
THE MCCOOEY CHARITABLE FOUNDATION
C/O PKF O'CONNOR DAVIES, LLP

A Employer identification number 65-1260666

Number and street (or P O box number if mail is not delivered to street address): 665 5TH AVENUE

Room/suite:

B Telephone number: 212 286-2600

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10022-5305

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 1,199,897.

J Accounting method: ☐ Cash ☐ Accrual ☒ Other (specify) MODIFIED CASH
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

		Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received			N/A	
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	33.	33.		STATEMENT 1
	4	Dividends and interest from securities	25,146.	25,146.		STATEMENT 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	44,818.			
	b	Gross sales price for all assets on line 6a 325,455.				
	7	Capital gain net income (from Part IV, line 2)		44,818.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	**Total** Add lines 1 through 11	69,997.	69,997.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees STMT 3	2,168.	0.		2,168.
	b	Accounting fees STMT 4	3,090.	0.		3,090.
	c	Other professional fees STMT 5	6,569.	6,569.		0.
	17	Interest				
	18	Taxes STMT 6	3,517.	517.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 7	185.	60.		125.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	15,529.	7,146.		5,383.
	25	Contributions, gifts, grants paid	131,575.			131,575.
	26	**Total expenses and disbursements.** Add lines 24 and 25	147,104.	7,146.		136,958.
	27	Subtract line 26 from line 12:				
	a	*Excess of revenue over expenses and disbursements*	<77,107.>			
	b	**Net investment income** (if negative, enter -0-)		62,851.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

930 12

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	161,305.	82,985.	82,985.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 8	281,475.	291,569.	291,569.
	c	Investments - corporate bonds STMT 9	51,115.	51,125.	51,125.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 10	875,407.	774,218.	774,218.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,369,302.	1,199,897.	1,199,897.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	1,369,302.	1,199,897.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances**	1,369,302.	1,199,897.	
	31	**Total liabilities and net assets/fund balances**	1,369,302.	1,199,897.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,369,302.
2	Enter amount from Part I, line 27a	2	<77,107.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,292,195.
5	Decreases not included in line 2 (itemize) ▶ CHANGE IN INVESTMENT UNREALIZED LOSS	5	92,298.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,199,897.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SALE OF PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
b	CAPITAL GAINS DIVIDENDS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	283,862.		280,637.	3,225.
b	41,593.			41,593.
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				3,225.
b				41,593.
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	44,818.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	112,066.	1,424,424.	.078675
2013	148,453.	1,434,011.	.103523
2012	154,460.	1,468,685.	.105169
2011	132,363.	1,536,367.	.086153
2010	74,085.	1,572,238.	.047121

2 **Total** of line 1, column (d)	2	.420641
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.084128
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	1,302,156.
5 Multiply line 4 by line 3	5	109,548.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	629.
7 Add lines 5 and 6	7	110,177.
8 Enter qualifying distributions from Part XII, line 4	8	136,958.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	629.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	629.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	629.
6	Credits/Payments:				
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	3,743.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	3,743.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	3,114.
11	Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶ 3,114. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ PKF O'CONNOR DAVIES, LLP Telephone no. ▶ 212 286-2600

Located at ▶ 665 FIFTH AVENUE, NEW YORK, NY ZIP+4 ▶ 10022-5305

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly):

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No
- (6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No

			Yes	No
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No

If "Yes," list the years ▶ ______ , ______ , ______ , ______

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.

▶ ______ , ______ , ______ , ______

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | **5b** | |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | **6b** | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | **7b** | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation (If not paid, enter -0-)	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
MARY C. MCCOOEY C/O PKF O'CONNOR DAVIES, 665 5TH AVE NEW YORK, NY 10022-5305	PRESIDENT/TREASURER 1.00	0.	0.	0.
MICHAEL P. MCCOOEY C/O PKF O'CONNOR DAVIES, 665 5TH AVE NEW YORK, NY 10022-5305	VICE PRESIDENT 1.00	0.	0.	0.
MARY CATHERINE DODMAN C/O PKF O'CONNOR DAVIES, 665 5TH AVE NEW YORK, NY 10022-5305	SECRETARY 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	1,205,268.
b	Average of monthly cash balances		1b	116,718.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	1,321,986.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	1,321,986.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	19,830.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	1,302,156.
6	**Minimum investment return.** Enter 5% of line 5		6	65,108.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	65,108.
2a	Tax on investment income for 2015 from Part VI, line 5	2a 629.		
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	629.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	64,479.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	64,479.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	64,479.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	136,958.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	136,958.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	629.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	136,329.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7					64,479.
2 Undistributed income, if any, as of the end of 2015					
a Enter amount for 2014 only				0.	
b Total for prior years: ______, ______, ______			0.		
3 Excess distributions carryover, if any, to 2015:					
a From 2010					
b From 2011	55,555.				
c From 2012	83,616.				
d From 2013	77,732.				
e From 2014	42,913.				
f **Total** of lines 3a through e		259,816.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ $ 136,958.					
a Applied to 2014, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2015 distributable amount					64,479.
e Remaining amount distributed out of corpus		72,479.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		332,295.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7		0.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a		332,295.			
10 Analysis of line 9:					
a Excess from 2011	55,555.				
b Excess from 2012	83,616.				
c Excess from 2013	77,732.				
d Excess from 2014	42,913.				
e Excess from 2015	72,479.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) *Value of all assets*					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CATHOLIC CHARITIES - ARCHDIOCESE OF NEW YORK 1011 FIRST AVENUE NEW YORK, NY 10022	N/A	PC	ANNUAL CARDINAL'S CHRISTMAS LUNCHEON	1,750.
CONVENT OF THE SACRED HEART 1177 KING STREET GREENWICH, CT 06831	N/A	PC	2014-15 ANNUAL GIVING DRIVE	12,500.
CONVENT OF THE SACRED HEART 1177 KING STREET GREENWICH, CT 06831	N/A	PC	FRAMING OUR FUTURE CAPITAL CAMPAIGN	12,500.
CHURCH OF THE RESURRECTION 910 BOSTON POST ROAD RYE, NY 10580	N/A	PC	GENERAL	21,000.
THE EQUESTRIAN ORDER OF THE HOLY SEPULCHRE OF JERUSALEM 1011 FIRST AVENUE NEW YORK, NY 10022	N/A	PC	GENERAL	400.
Total SEE CONTINUATION SHEET(S)			▶ 3a	131,575.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	33.	
4 Dividends and interest from securities			14	25,146.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	44,818.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		69,997.	0.
13 **Total**. Add line 12, columns (b), (d), and (e)				13	69,997.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Henry McCooey 7/7/16 ▶ President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
THOMAS F. BLANEY, CPA, CFE		7/7/16		P00234022

Firm's name ▶ PKF O'CONNOR DAVIES, LLP | Firm's EIN ▶ 27-1728945

Firm's address ▶ 665 FIFTH AVENUE
NEW YORK, NY 10022-5305

Phone no. 212 286-2600

THE MCCOOEY CHARITABLE FOUNDATION
C/O PKF O'CONNOR DAVIES, LLP 65-1260666

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOLY ROSARY SCHOOL C/O CHURCH OF OUR LADY OF THE ROSARY; 22 DON BOSCO PLACE PORT CHESTER, NY 10573	N/A	PC	ALUMNI ASSOCIATION'S SCHOLARSHIP PROGRAM	100.
IRISH EDUCATIONAL DEVELOPMENT FOUNDATION, INC. 163 EXTERIOR STREET BRONX, NY 10451	N/A	PC	GENERAL	1,000.
INNER CITY SCHOLARSHIP FUND 1011 FIRST AVENUE, SUITE 1400 NEW YORK, NY 10022	N/A	PC	TUITION ASSISTANCE FOR UNDERPRIVILEGED CHILDREN	2,000.
IONA PREPARATORY 255 WILMOT ROAD NEW ROCHELLE, NY 10804	N/A	PC	FUND FOR IONA	25,000.
LADIES OF CHARITY 1011 FIRST AVENUE NEW YORK, NY 10022	N/A	PC	GENERAL	300.
LITTLE SISTERS OF THE POOR 2999 SCHURZ AVENUE BRONX, NY 10456	N/A	PC	GENERAL	2,100.
COMMITTEE FOR MISSION RESPONSIBILITY-SOCIETY FOR THE PROPAGATION OF FAITH 1011 FIRST AVENUE NEW YORK, NY 10022	N/A	PC	GENERAL	1,000.
SAN MIGUEL ACADEMY OF NEWBURGH 245 RENWICH STREET NEWBURGH, NY 12550	N/A	PC	GENERAL	5,000.
SISTERS OF LIFE 38 MONTEBELLO ROAD SUFFERN, NY 10901	N/A	PC	GENERAL	26,500.
ST. VINCENT'S HOSPITAL WESTCHESTER 275 NORTH STREET HARRISON, NY 10528	N/A	PC	GENERAL	1,325.
Total from continuation sheets				83,425.

THE MCCOOEY CHARITABLE FOUNDATION
C/O PKF O'CONNOR DAVIES, LLP 65-1260666

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST. WILLIAM CATHOLIC CHURCH 2300 FREDERICA ROAD ST. SIMONS ISLAND, GA 31522	N/A	PC	GENERAL	3,100.
SWIM ACROSS AMERICA PO BOX 217 LARCHMONT, NY 10538	N/A	PC	GENERAL	1,000.
THE CARDINAL'S ANNUAL STEWARDSHIP APPEAL 1011 FIRST AVENUE NEW YORK, NY 10022	N/A	PC	GENERAL	10,000.
GOOD COUNSEL, INC. 411 CLINTON STREET HOBOKEN, NJ 07030	N/A	PC	GENERAL	5,000.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MONEY MARKET ACCOUNTS	33.	33.	
TOTAL TO PART I, LINE 3	33.	33.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
EQUITIES, BONDS, MUTUAL FUNDS, AND ETF'S,	66,739.	41,593.	25,146.	25,146.	
TO PART I, LINE 4	66,739.	41,593.	25,146.	25,146.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FERGUSON COHEN, LLP	2,168.	0.		2,168.
TO FM 990-PF, PG 1, LN 16A	2,168.	0.		2,168.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PKF O'CONNOR DAVIES, LLP - TAX PREPARATION FEES	3,090.	0.		3,090.
TO FORM 990-PF, PG 1, LN 16B	3,090.	0.		3,090.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	6,569.	6,569.		0.
TO FORM 990-PF, PG 1, LN 16C	6,569.	6,569.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	517.	517.		0.
EXCISE TAX	3,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	3,517.	517.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	60.	60.		0.
FILING FEES	125.	0.		125.
TO FORM 990-PF, PG 1, LN 23	185.	60.		125.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT (PAGE 5 OF 11)	167,577.	167,577.
SEE ATTACHMENT (PAGE 11 OF 11)	123,992.	123,992.
TOTAL TO FORM 990-PF, PART II, LINE 10B	291,569.	291,569.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A (PAGE 2 OF 11)	51,125.	51,125.
TOTAL TO FORM 990-PF, PART II, LINE 10C	51,125.	51,125.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
EXCHANGE TRADED FUNDS - SEE ATTACHMENT A (PAGE 1 OF 11)	FMV	86,075.	86,075.
MUTUAL FUNDS - SEE ATTACHMENT A (PAGE 2 OF 11)	FMV	688,143.	688,143.
TOTAL TO FORM 990-PF, PART II, LINE 13		774,218.	774,218.

EQUITIES

Equities & Options

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
SPDR INDEX SHARES FUNDS SPDR EURO STOXX 50 ETF	FEZ	CASH	2,500	34.4300	86,075	2,608	3 0299
Total Equities& Options					$86,075	$2,608	
TOTAL EQUITIES					$86,075	$2,608	

MUTUAL FUNDS

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
ABERDEEN TOTAL RETURN BOND FUND CL I	JBGIX	CASH	5,442.198	12 9900	70,694	1,415	2 0016
DRIEHAUS MUT FDS EMERGING MKTS GROWTH FD	DREGX	CASH	2,867 875	26 5300	76,085		

MUTUAL FUNDS (Continued)

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
DOUBLELINE FDS TR TOTAL RETURN BD FD CL N	DLTNX	CASH	4,389 816	10 7800	47,322	1,848	3 9052
FMI FUNDS INC LARGE CAP FUND	FMIHX	CASH	8,036 178	18 6100	149,553	1,672	1 1180
ROYCE FUND ROYCE OPPORTUNITY FUND	RYPNX	CASH	10,369 795	10 5700	109,609		
TOUCHSTONE SANDS CAPITAL SELECT GROWTH FUND CL Y	CFSIX	CASH	11,026 54	16 9400	186,790		
VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE INV CL	VFICX	CASH	4,988 56	9 6400	48,090	1,357	2 8218
TOTAL MUTUAL FUNDS					$688,143	$6,292	

FIXED INCOME

Corporate Bonds

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
PRUDENTIAL FINL INC DATED DATE 11/19/12 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT PAR CALL 06/15/2023 DUE 06/15/2043 5 625% JD 15 RATING MOODY BAA2 S&P BBB+	744320AM4	CASH	50,000	102 2500	51,125	125	2,813	5 5022
Total CorporateBonds			50,000		$51,125	$125	$2,813	
TOTAL FIXED INCOME			50 000		$51 125	$125	$2,813	

EQUITIES

Equities & Options

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
ASTRAZENECA PLC EACH ADS REPRESENTING 1/2 OF AN ORDINARY SHARE	AZN	CASH	100	33 9500	3,395	138	4 0648
ALTRIA GROUP INC	MO	CASH	110	58.2100	6,403	249	3 8888
AT&T INC	T	CASH	170	34 4100	5,850	326	5 5726
ACE LIMITED	ACE	CASH	40	116 8500	4,674	107	2 2893
BCE INC NEW	BCE	CASH	140	38 6200	5,407	277	5 1230
BOEING CO	BA	CASH	20	144 5900	2,892	87	3.0083
CHEVRON CORPORATION	CVX	CASH	60	89 9600	5,398	257	4 7610
CONOCOPHILLIPS	COP	CASH	110	46 6900	5,136	326	6 3474
CISCO SYSTEMS INC	CSCO	CASH	190	27.1550	5,159	160	3 1014
CORNING INC	GLW	CASH	90	18 2800	1,645	43	2 6140
DIAGEO PLC-SPONSORED ADR REPSTG 4 ORD SHS	DEO	CASH	40	109 0700	4,363	137	3 1400
E I DU PONT DE NEMOURS & CO	DD	CASH	80	66 6000	5,328	122	2 2898

Equities & Options (Continued)

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
EXXON MOBIL CORP	XOM	CASH	60	77 9500	4,677	175	3 7417
GENERAL ELECTRIC COMPANY COM	GE	CASH	180	31 1500	5,607	166	2 9606
HSBC HOLDINGS PLC SPONSORED ADR	HSBC	CASH	80	39.4700	3,158	200	6 3331
HCP INC	HCP	CASH	110	38 2400	4,206	249	5 9201
INTEL CORP	INTC	CASH	100	34.4500	3,445	96	2 7866
JPMORGAN CHASE & CO	JPM	CASH	80	66 0300	5,282	141	2 6694
JOHNSON & JOHNSON	JNJ	CASH	50	102 7200	5,136	150	2 9206
KIMBERLY CLARK CORP	KMB	CASH	36	127 3000	4,583	127	2 7711
ELI LILLY & CO	LLY	CASH	40	84 2600	3,370	82	2 4332
METLIFE INC	MET	CASH	90	48 2100	4,339	135	3 1113
MERCK & CO INC	MRK	CASH	117	52 8200	6,180	215	3 4790
MICROSOFT CORP	MSFT	CASH	90	55 4800	4,993	130	2 6036
NEXTERA ENERGY INC	NEE	CASH	50	103 8900	5,195	154	2 9644
PHILIP MORRIS INTERNATIONAL INC	PM	CASH	70	87 9100	6,154	286	4 6474
PFIZER INC	PFE	CASH	160	32 2800	5,165	192	3 7173
RAYTHEON CO COM	RTN	CASH	40	124.5300	4,981	107	2 1482
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS	RDSB	CASH	110	46 0400	5,064	414	8 1754
SYMANTEC CORPORATION	SYMC	CASH	170	21 0000	3,570	102	2 8571
3M COMPANY	MMM	CASH	30	150 6400	4,519	123	2 7218
THE TRAVELERS COMPANIES INC	TRV	CASH	40	112 8600	4,514	98	2 1710

Equities & Options (Continued)

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
UNILEVER N V YORK SHS ADR	UN	CASH	120	43 3200	5,198	141	2 7126
VODAFONE GROUP PLC SPONSORED ADR NO PAR	VOD	CASH	110	32 2600	3,549	188	5 2973
WELLS FARGO & CO	WFC	CASH	90	54 3600	4,892	135	2 7596
WELLTOWER INC COM	HCN	CASH	61	68 0300	4,150	201	4 8434
Total Equities& Options					$167,577	$6,236	
TOTAL EQUITIES					$167,577	$6,236	

EQUITIES

Equities & Options

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
AIRBUS GROUP UNSPONSORED ADR	EADSY	CASH	53	16 8350	892	13	1 4574
ASSOCIATED BRITISH FOODS PLC ADR	ASBFY	CASH	15	49 4800	742	7	0 9434
ASTRAZENECA PLC EACH ADS REPRESENTING 1/2 OF AN ORDINARY SHARE	AZN	CASH	91	33 9500	3,089	126	4 0790
ASSA ABLOY AB ADR	ASAZY	CASH	338	10 4400	3,529	34	0 9634
AMADEUS IT HOLDING SA UNSPONSORED ADS	AMADY	CASH	32	44 1800	1,414	18	1 2730
AIA GROUP LTD SPONSORED ADR	AAGIY	CASH	180	24 1050	4,339	43	0 9910
ASML HOLDING N V N Y REGISTRY SHS 2012	ASML	CASH	31	88 7700	2,752	20	0 7267
AIR LIQUIDE-ADR	AIQUY	CASH	28	22.4300	628	12	1 9108
AJINOMOTO CO INC-ADR	AJINY	CASH	31	23 6900	734	5	0 6812

Equities & Options (Continued)

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
AKTIEBOLAGET ELECTROLUX SPONSORED ADR REPSTG SER B	ELUXY	CASH	12	48 2000	578	15	2.5952
BRITISH AMERICAN TOBACCO PLC SPONSORED ADR	BTI	CASH	13	110 4500	1,436	59	4 1086
BNP PARIBAS SPONSORED ADR REPSTG 1/2 SH	BNPQY	CASH	54	28 2600	1,526	31	2 0315
BAYERISCHE MOTOREN WERKE AG UNSPONSORED ADR	BAMXY	CASH	29	34 8650	1,011	22	2 1761
BANK OF CHINA LTD UNSPONSORED ADR REPRESENTING H SHARES	BACHY	CASH	99	11 0900	1,098	64	5 8288
BAYER AKTIENGESELLSCHAFT ADR	BAYRY	CASH	6	124 8350	749	11	1.4686
CANADIAN NATIONAL RAILWAYS	CNI	CASH	12	55 8800	671	11	1 6393
COMPAGNIE FINANCIERE RICHEMONT UNSPONSORED ADR	CFRUY	CASH	341	7 1200	2,428	32	1.3180
DENSOCORP-ADR	DNZOY	CASH	45	23 9100	1,076	20	1 8587
DIAGEO PLC-SPONSORED ADR REPSTG 4 ORD SHS	DEO	CASH	17	109 0700	1,854	58	3 1284
DBS GROUP HOLDINGS LTD SPONSORED ADR	DBSDY	CASH	37	46.6950	1,728	63	3 6458
DANONE SPONSORED ADR	DANOY	CASH	121	13 6100	1,647	26	1 5786
ENBRIDGE INC US LISTING	ENB	CASH	46	33 1900	1,527	73	4 7806
ESSILOR INTERNATIONAL SA ADR	ESLOY	CASH	19	62 6950	1 191	8	0 6717

Equities & Options (Continued)

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
FANUC CORPORATION UNSPONSORED ADR	FANUY	CASH	84	28 8050	2,420	65	2 6860
GIVAUDAN SA UNSPONSORED ADR	GVDNY	CASH	23	36 2550	834	23	2 7578
GEMALTO NV SPONSORED ADR	GTOMY	CASH	67	30 2100	2,024	12	0 5929
GRIFOLS S A SPONSORED ADR REPSTG 1/2 CL B NON VTG NEW	GRFS	CASH	46	32 4000	1,490	26	1 7450
ING GROEP NV-SPONSOREDADR	ING	CASH	46	13 4600	619	15	2 4233
IMPERIAL TOBACCO GROUP PLC SPONSORED ADR	ITYBY	CASH	15	105 7500	1,586	83	5 2333
HDFC BK LTD ADR REPSTG 3 SHS	HDB	CASH	20	61 6000	1,232	7	0 5682
JARDINE MATHESON HOLDINGS LTD UNSPONSORED ADR	JMHLY	CASH	31	48 2800	1,497	42	2.8056
KAWASAKI HEAVY INDUSTRIES LTD SPONSORED ADR	KWHIY	CASH	42	14 9200	627	13	2.0734
KDDI CORPORATION UNSPONSORED ADR	KDDIY	CASH	57	12 9450	738	11	1 4905
KEYENCE CORP US LISTED	KYCCF	CASH	9	555 6400	5,001		
KUBOTA CORPORATION ADR	KUBTY	CASH	8	77 3850	619	8	1 2924
LLOYDS BANKING GROUP PLC SPONSORED ADR	LYG	CASH	483	4 3600	2,106	44	2 0893
L OREAL CO-ADR	LRLCY	CASH	81	33 7500	2,734	37	1.3533

Equities & Options (Contunued)

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
LVMH MOET HENNESSY LOUIS VUITTON SA UNSPONSORED ADR	LVMUY	CASH	30	31 4950	945	15	1 5873
LIBERTY GLOBAL PLC CLASS A	LBTYA	CASH	66	42 3600	2,796		
MURATA MANUFACTURING CO LTD UNSPONSORED ADR	MRAAY	CASH	94	36 0650	3,390	29	0 8555
MEGGITT PLC UNSPONSORED ADR	MEGGY	CASH	79	10 8800	860	30	3 4884
MEDTRONIC PLC COM	MDT	CASH	15	76 9200	1,154	23	1 9931
MARUBENI CORP-ADR	MARUY	CASH	21	51,4000	1,079	34	3 1511
NOVARTIS AG AMERICAN DEPOSITARY SHARES	NVS	CASH	39	86 0400	3,356	88	2 6222
NTT DOCOMO INC SPONSORED ADR	DCM	CASH	42	20 5000	861	21	2.4390
NATIONAL GRID PLC NEW SPONSORED ADR	NGG	CASH	23	69 5400	1,599	76	4 7530
NISSAN MOTOR CO LTD SPONSORED ADR	NSANY	CASH	53	20 9850	1,112	26	2 3381
NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR)	NSRGY	CASH	30	74 4200	2,233	57	2 5526
NOVO NORDISK A/S-ADR REPSTG 1/2 CL B SH	NVO	CASH	91	58 0800	5,285	49	0 9272
PERNOD RICARD SA UNSPONSORED ADR	PDRDY	CASH	123	22 8050	2,805	33	1 1765
PRUDENTIAL PLC SPONS ADR	PUK	CASH	45	45 0800	2,029	53	2 6121
RIO TINTO PLC SPONSORED ADR	RIO	CASH	28	29 1200	815	62	7 6074

Equities & Options (Continued)

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
RECKITT BENCKISER PLC SPONSORED ADR	RBGLY	CASH	41	18 7400	768	15	1 9531
ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD	RHHBY	CASH	78	34 4700	2,689	64	2 3801
SAP SE SPONSORED ADR	SAP	CASH	20	79 1000	1.582	18	1 1378
SINGAPORE TELECOMMUNICATION LTD SPONSORED ADR 2006	SGAPY	CASH	30	25 7500	773	36	4 6572
SAFRAN SA UNSPONSORED ADR	SAFRY	CASH	171	17 2400	2,948	39	1 3229
SOFTBANK GROUP AMERICAN DEPOSITARY RECEIPTS UNSPONSORED	SFTBY	CASH	95	25 1850	2,393	11	0 4597
SAMPO OYJ UNSPONSORED ADR REPRESENTING A SHARES	SAXPY	CASH	127	25 2950	3,212	108	3 3624
SVENSKA HANDELSBANKEN UNSPONSORED ADR REPRESENTING A SHARES	SVNLY	CASH	127	6 6010	838	34	4 0573
SMC CORP US LISTED	SMECF	CASH	12	264.5000	3.174		
SUMITOMO MITSUI FINL GROUP INC SPONSORED ADR	SMFG	CASH	90	7.5900	683	19	2 7818
SYSMEX CORPORATION UNSPONSORED ADR	SSMXY	CASH	68	32 3000	2.196	10	0 4554
SAMSONITE INTERNATIONAL S.A ADR	SMSEY	CASH	55	14 7900	813	15	1 8450
TAIWAN SEMICONDUCTOR MFG CO LTD-SPONSORED ADR REPSTG 5 COM	TSM	CASH	108	22.7500	2,457	62	2.5234

Equities & Options (Continued)

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
TREND MICRO INC SPONSORED ADR	TMICY	CASH	31	40 4450	1,254	26	2 0734
TOTAL S A 1 ADR REPRESENTING 1 ORD SHS	TOT	CASH	52	44 9500	2,337	118	5 0492
UNILEVER PLC SPONSORED ADR	UL	CASH	50	43 1200	2,156	65	3 0148
UBS GROUP AG SHS	UBS	CASH	42	19 3700	814	23	2 8256
VODAFONE GROUP PLC SPONSORED ADR NO PAR	VOD	CASH	75	32 2600	2,420	128	5 2893
Total Equities& Options					$123,992	$2,544	
TOTAL EQUITIES					$123,992	$2,544	