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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation DE LA PENA FOUNDATION INC 141002200		A Employer identification number 65-1057681
Number and street (or P O box number if mail is not delivered to street address) Room/suite C/O FIDUCIARY TRUST CO INTL - 600 F		B Telephone number (see instructions) (212) 632-3000
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10020		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,378,859	J Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		Revenue and expenses per (a) books	Net investment (b) income	Adjusted net (c) income	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	39,417	38,867		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	47,931			
	b Gross sales price for all assets on line 6a 348,386				
	7 Capital gain net income (from Part IV, line 2)		47,931		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	1,677	401			
12 Total. Add lines 1 through 11	89,025	87,199			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)	1,750	1,400	0	350
	c Other professional fees (attach schedule)	25,990	20,795		5,195
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	504	504		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings	4,000	800	0	3,200
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	32,244	23,499	0	8,745
	25 Contributions, gifts, grants paid	114,600			114,600
26 Total expenses and disbursements. Add lines 24 and 25	146,844	23,499	0	123,345	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-57,819				
b Net investment income (if negative, enter -0-)		63,700			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	301,573	183,216	183,216
	2 Savings and temporary cash investments	74,000	198,000	198,000
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	1,087,576	1,075,068	1,498,298
	c Investments—corporate bonds (attach schedule)	326,914	400,866	401,157
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	232,309	107,309	98,188
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,022,372	1,964,459	2,378,859	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule).			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	2,022,372	1,964,459	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	2,022,372	1,964,459	
31 Total liabilities and net assets/fund balances (see instructions)	2,022,372	1,964,459		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 2,022,372
2	Enter amount from Part I, line 27a	2 -57,819
3	Other increases not included in line 2 (itemize) ▶ _____	3 904
4	Add lines 1, 2, and 3	4 1,965,457
5	Decreases not included in line 2 (itemize) ▶ _____	5 998
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 1,964,459

Part IV Capital Gains and Losses for Tax on Investment Income

	List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	How acquired	Date acquired	Date sold
		(b) P—Purchase D—Donation	(c) (mo, day, yr)	(d) (mo, day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	47,931
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		}	3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
 If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	108,202	2,442,965	0.044291
2013	107,029	2,427,851	0.044084
2012	107,223	2,371,437	0.045214
2011	169,959	2,366,754	0.071811
2010	159,973	1,909,629	0.083772

2	Total of line 1, column (d).	2	0.289172
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.057834
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	2,412,249
5	Multiply line 4 by line 3.	5	139,510
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	637
7	Add lines 5 and 6.	7	140,147
8	Enter qualifying distributions from Part XII, line 4.	8	123,345

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

Table with 11 rows for excise tax calculation. Includes categories like 'Exempt operating foundations', 'Domestic foundations', and 'Credits/Payments'. Total tax due is 1,274.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political influence, tax on political expenditures, and foundation status. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No		
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No		
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ _____	13	Yes			
14	The books are in care of ▶ <u>FIDUCIARY TRUST COMPANY INTL</u> Telephone no ▶ <u>(212) 632-3000</u> Located at ▶ <u>600 FIFTH AVENUE NEW YORK NY</u> ZIP+4 ▶ <u>10020</u>					
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ <table border="1"><tr><td>15</td><td></td></tr></table>	15				
15						
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶ _____	16	Yes	No		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? 1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) 2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) 3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? 4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
MARIO T DE LA PENA 1000 SW 184 TERRACE PEMBROKE PINES, FL 33029	DIRECTOR 2	0		
MIRIAM DE LA PENA 1000 SW 184 TERRACE PEMBROKE PINES, FL 33029	DIRECTOR 2	0		
MICHAEL T DE LA PENA 24540 TOWN CENTER DRIVE VALENCIA, CA 91355	DIRECTOR 2	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3. ▶

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	2,116,405
b	Average of monthly cash balances.	1b	332,579
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,448,984
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,448,984
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	36,735
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,412,249
6	Minimum investment return. Enter 5% of line 5.	6	120,612

Part XI Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	120,612
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	1,274
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,274
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	119,338
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	119,338
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	119,338

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	123,345
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	123,345
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	123,345

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				119,338
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			0	
b Total for prior years 2013, 20__, 20__		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010.				0
b From 2011.	5,701			
c From 2012.				0
d From 2013.				0
e From 2014.				0
f Total of lines 3a through e.	5,701			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ <u>123,345</u>				
a Applied to 2014, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				119,338
e Remaining amount distributed out of corpus	4,007			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	9,708			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	9,708			
10 Analysis of line 9				
a Excess from 2011.	5,701			
b Excess from 2012.	0			
c Excess from 2013.	0			
d Excess from 2014.	0			
e Excess from 2015.	4,007			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				114,600
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
125 INTERNATIONAL BUSINESS MACH CORP		2011-04-28	2015-01-27
25000 AFLAC INC SR NT DTD 5/21/2009 8 5% 5/15/2019		2009-05-19	2015-04-13
250 DIAGEO PLC SPONSORED ADR NEW		2014-03-20	2015-04-20
0961 GOOGLE INC CL C		2008-11-03	2015-05-04
10 AMAZON COM INC		2010-07-23	2015-05-14
300 AMERICAN EXPRESS CO		2012-03-05	2015-05-29
190 CUMMINS ENGINE INC		2010-03-29	2015-06-04
12192 894 FRANKLIN LOW DURATION TOTAL RETURN FUND DTD 4/1/2009		2013-07-19	2015-06-04
100 STARBUCKS CORP		2014-04-15	2015-06-04
500 BORG WARNER AUTOMOTIVE INC		2013-08-07	2015-10-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,331		15,082	4,249
31,781		26,000	5,781
27,909		30,448	-2,539
52		17	35
4,314		1,115	3,199
23,893		15,768	8,125
25,824		11,942	13,882
122,661		125,000	-2,339
5,182		3,412	1,770
22,765		20,677	2,088

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,249
			5,781
			-2,539
			35
			3,199
			8,125
			13,882
			-2,339
			1,770
			2,088

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
20 AMAZON COM INC		2010-07-23	2015-11-11
2200 BANK AMER CORP		2013-08-07	2015-11-20
540 WILLIAMS COS INC		2013-07-18	2015-12-21
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,465		2,230	11,235
38,923		28,916	10,007
11,847		19,848	-8,001
			439

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11,235
			10,007
			-8,001

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WDNA - SERIOUS JAZZ RADIO 2921 CORAL WAY MIAMI, FL 33145	NONE	PUBLIC	GENERAL PURPOSES	800
INSTITUTO CUBANO POR LA LIBERTAD DE EXPRESION Y PRENSA 66 W 27 ST APT C HIALEAH, FL 33010	NONE	PUBLIC	GENERAL PURPOSES	15,000
IN DEFENSE OF CHRISTIANS PO BOX 75061 WASHINGTON, DC 20013	NONE	PUBLIC	GENERAL PURPOSES	2,000
CENTER FOR A FREE CUBA 4620 LEE HWY STE 202 ARLINGTON, VA 22207	NONE	PUBLIC	GENERAL PURPOSES	10,000
UNIVERSITY OF MIAMI - ICCAS PO BOX 248106 CORAL GABLES, FL 331242912	NONE	PUBLIC	GENERAL PURPOSES	10,000
JACKSON HEALTH FOUNDATION 1501 NORTH RIVER DRIVE 1ST FLOOR MIAMI, FL 33125	NONE	PUBLIC	GENERAL PURPOSES	10,000
MOTHERS AGAINST REPRESSION 9731 SW 20TH STREET MIAMI, FL 33165	NONE	PUBLIC	GENERAL PURPOSES	1,000
DIRECTORIO DEMOCRATICO CUBANO 790 NW 107TH AVENUE STE 117 MIAMI, FL 33172	NONE	PUBLIC	GENERAL PURPOSES	60,300
BELEN JESUIT PREP SCHOOL 500 SW 127TH AVENUE MIAMI, FL 33184	NONE	PUBLIC	GENERAL PURPOSES	5,000
HUMANITARIAN AID PROJECT FOR CUBA'S CIVIL SOCIETY (THE CUBA CORPS) CIVIL SOCIETY INC 340 SEVILLA CORAL GABLES, FL 33134	NONE	PUBLIC	GENERAL PURPOSES	500
Total				3a 114,600

TY 2015 Accounting Fees Schedule

Name: DE LA PENA FOUNDATION INC 141002200

EIN: 65-1057681

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE	1,750	1,400		350

TY 2015 Investments Corporate Bonds Schedule

Name: DE LA PENA FOUNDATION INC 141002200

EIN: 65-1057681

Name of Bond	End of Year Book Value	End of Year Fair Market Value
50M GE SR NT 1.625% 4/2/18	49,805	
50M THE HOME DEPOT 2.25% 9/10/	50,675	
50M JOHN DEERE 1.95% 12/13/18	49,930	
50M AT&T 2.3% 3/11/19	50,076	
50M BP CAPITAL MKTS 2.237% 5/1	50,000	
25M AFLAC 8.5% 5/15/19		
50M VERIZON COMM 2.55% 6/17/19	50,127	
50M JPMORGAN CHASE 1.625% 5/15	50,080	
50M EBAY 2.2% 8/1/19	50,173	

TY 2015 Investments Corporate Stock Schedule**Name:** DE LA PENA FOUNDATION INC 141002200**EIN:** 65-1057681

Name of Stock	End of Year Book Value	End of Year Fair Market Value
470 SHS ABBVIE	31,559	
300 SHS ACE LTD	21,738	
150 SHS ACTAVIS		
70 SHS AMAZON	7,804	
300 SHS AMERICAN EXPRESS		
500 SHS AMERICAN INTL GROUP	27,004	
500 SHS AMETEK	24,947	
335 SHS ANADARKO	27,350	
420 SHS APPLE	5,328	
2200 SHS BANK OF AMERICA		
500 SHS BORG WARNER		
400 SHS CHEVRON	20,258	
50 SHS CHIPOTLE MEXICAN GRILL	26,714	
500 SHS COMCAST	28,540	
1980 SHS CUMMINS		
250 SHS DIEAGO PLC		
450 SHS DOW CHEMICAL	22,493	
380 SHS EXPRESS SCRIPTS	21,646	
335 SHS FACEBOOK	20,460	
400 SHS GILEAD SCIENCES	11,287	
35 SHS GOOGLE CL A		
35 SHS GOOGLE CL C		
350 SHS HONEY WELL	26,918	
125 SHS IBM		
550 SHS JPMORGAN CHASE	23,325	
700 SHS LOWES	28,655	
500 SHS MASTERCARD	28,015	
160 SHS MCKESSON	28,323	
370 SHS MEAD JOHNSON	18,520	
700 SHS MICROCHIP	12,773	

Name of Stock	End of Year Book Value	End of Year Fair Market Value
500 SHS NESTLE S A	21,404	
800 SHS ORACLE	14,678	
200 SHS PRAXAIR	26,745	
600 SHS SALESFORCE	20,267	
190 SHS SIGNATURE BANK	23,442	
700 SHS STARBUCKS	23,887	
300 THERMO FISHER SCI	9,809	
400 SHS UNION PACIFIC	19,676	
300 SHS UNITED TECHNOLOGIES	17,020	
550 SHS WELLS FARGO	15,182	
540 SHS WILLIAMS COS		
39,985.6890 SHS FRANKLIN INCOM	95,000	
500 SHS ISHARES RUSSELL 2000	48,984	
1432.9760 SHS OPPENHEIMER DEVE	57,650	
1507.5970 SHS TEMPLETON INSTL	35,484	
150 SHS ALLERGAN	31,880	
35 SHS ALPHABET CL A	6,179	
35 SHS ALPHABET CL C	6,178	
150 SHS CVS HEALTH	15,095	
200 SHS DISNEY	18,925	
450 SHS METLIFE	22,981	
1846.7220 SHS FGT FRANKLIN INT	20,000	
865 SHS ISHARES CURR HEDGED MS	25,044	
1150 SHS ISHARES CURR HEDGED M	35,901	

TY 2015 Investments - Other Schedule

Name: DE LA PENA FOUNDATION INC 141002200

EIN: 65-1057681

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
5090.4950 SHS TEMPLETON INC TR	AT COST	67,525	
500 SHS VANGUARD ST CORP BD FD	AT COST	39,784	
12192.8940 FRANKLIN LOW DURATI			

TY 2015 Other Decreases Schedule

Name: DE LA PENA FOUNDATION INC 141002200

EIN: 65-1057681

Description	Amount
RETURN OF CAPITAL ADJUSTMENT	996
ROUNDING FACTOR	2

TY 2015 Other Income Schedule

Name: DE LA PENA FOUNDATION INC 141002200

EIN: 65-1057681

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
SCHERING PLOUGH - SECURITES LITIGATION	401	401	
EXCISE TAX REFUND	1,276	0	

TY 2015 Other Increases Schedule

Name: DE LA PENA FOUNDATION INC 141002200

EIN: 65-1057681

Description	Amount
MUTUAL FUNDS CASH/TAX ADJUSTMENT	904

TY 2015 Other Professional Fees Schedule

Name: DE LA PENA FOUNDATION INC 141002200

EIN: 65-1057681

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADR FEE	14	14		
FTCI INVESTMENT MANAGEMENT FEE	25,976	20,781		5,195

TY 2015 Taxes Schedule

Name: DE LA PENA FOUNDATION INC 141002200

EIN: 65-1057681

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHELD	504	504		0