



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

- ▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning

, 2015, and ending

Name of foundation

Mildred V Horn Foundation

Number and street (or P O box number if mail is not delivered to street address)

PMB 324 2028 South Highway 53

City or town, state or province, country, and ZIP or foreign postal code

LaGrange

KY 40031-9119

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at end of year  
(from Part II, column (c), line 16)

▶ \$ 34,345,673.

J Accounting method:

☐ Cash☐ Accrual☒ Other (specify) Modified Cash  
(Part I, column (d) must be on cash basis)

A Employer identification number

61-6166544

B Telephone number (see instructions)

(502) 895-2622

C If exemption application is pending, check here. ▶ ☐D 1 Foreign organizations, check here . . . . . ▶ ☐2 Foreign organizations meeting the 85% test, check here and attach computation . . . . . ▶ ☐E If private foundation status was terminated under section 507(b)(1)(A), check here . . . . . ▶ ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . . . ▶ ☐

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (attach schedule) . . .

2 Ck ▶ ☒ If the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments . . . . .

43.

43.

4 Dividends and interest from securities . . . . .

-97,906.

-97,906.

5a Gross rents . . . . .

b Net rental income or (loss) . . . . .

6a Net gain or (loss) from sale of assets not on line 10 . . . . .

852,053.

b Gross sales price for all assets on line 6a . . . . .

3,039,110.

7 Capital gain net income (from Part IV, line 2) . . . . .

852,053.

8 Net short-term capital gain . . . . .

9 Income modifications . . . . .

10a Gross sales less returns and allowances . . . . .

b Less Cost of goods sold . . . . .

c Gross profit or (loss) (attach schedule) . . . . .

11 Other income (attach schedule) . . . . .

Mutual Fund/K1 Income

568,861.

568,861.

12 Total. Add lines 1 through 11. . . . .

1,323,051.

1,323,051.

13 Compensation of officers, directors, trustees, etc. . . . .

106,230.

5,312.

100,918.

14 Other employee salaries and wages . . . . .

84,470.

4,224.

80,246.

15 Pension plans, employee benefits . . . . .

67,562.

3,378.

64,184.

16a Legal fees (attach schedule) . . . . .

b Accounting fees (attach sch) . . . . .

1,655.

83.

1,572.

c Other prof fees (attach sch) . . . . .

49,058.

48,998.

60.

17 Interest . . . . .

18 Taxes (attach schedule)(see Instrs) Taxes - See Attached Schedule

2,277.

388.

1,889.

19 Depreciation (attach schedule) and depletion . . . . .

20 Occupancy . . . . .

19,552.

978.

18,574.

21 Travel, conferences, and meetings . . . . .

3,004.

150.

2,854.

22 Printing and publications . . . . .

4,171.

209.

3,962.

23 Other expenses (attach schedule) . . . . .

See Schedule

1,259.

63.

1,196.

24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .

339,238.

63,783.

275,455.

25 Contributions, gifts, grants paid . . . . .

1,579,200.

1,579,200.

26 Total expenses and disbursements. Add lines 24 and 25 . . . . .

1,918,438.

63,783.

1,854,655.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements . . . . .

-595,387.

b Net investment income (if negative, enter -0-) . . . . .

1,259,268.

c Adjusted net income (if negative, enter -0-) . . . . .

SCANNED MAY 10 2016

REVENUE

ADMINISTRATIVE AND EXPENSES

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

|                                                                                                                   |                                                                                                                                     | Beginning of year | End of year    |                       |
|-------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                                                                                                                   |                                                                                                                                     | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| <b>A<br/>S<br/>S<br/>E<br/>T<br/>S</b>                                                                            | 1 Cash — non-interest-bearing . . . . .                                                                                             |                   |                |                       |
|                                                                                                                   | 2 Savings and temporary cash investments . . . . .                                                                                  | 661,444.          | 811,144.       | 811,144.              |
|                                                                                                                   | 3 Accounts receivable . . . . .                                                                                                     |                   |                |                       |
|                                                                                                                   | Less: allowance for doubtful accounts . . . . .                                                                                     |                   |                |                       |
|                                                                                                                   | 4 Pledges receivable . . . . .                                                                                                      |                   |                |                       |
|                                                                                                                   | Less: allowance for doubtful accounts . . . . .                                                                                     |                   |                |                       |
|                                                                                                                   | 5 Grants receivable . . . . .                                                                                                       |                   |                |                       |
|                                                                                                                   | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . . |                   |                |                       |
|                                                                                                                   | 7 Other notes and loans receivable (attach sch) . . . . .                                                                           |                   |                |                       |
|                                                                                                                   | Less: allowance for doubtful accounts . . . . .                                                                                     |                   |                |                       |
|                                                                                                                   | 8 Inventories for sale or use . . . . .                                                                                             |                   |                |                       |
|                                                                                                                   | 9 Prepaid expenses and deferred charges . . . . .                                                                                   |                   |                |                       |
|                                                                                                                   | 10a Investments — U.S. and state government obligations (attach schedule) . . . . . L-10a. Stmt . .                                 | 4,769,844.        | 4,778,305.     | 4,683,999.            |
|                                                                                                                   | b Investments — corporate stock (attach schedule) . . . . .                                                                         |                   |                |                       |
|                                                                                                                   | c Investments — corporate bonds (attach schedule) . . . . .                                                                         |                   |                |                       |
|                                                                                                                   | 11 Investments — land, buildings, and equipment: basis . . . . .                                                                    |                   |                |                       |
| Less: accumulated depreciation (attach schedule) . . . . .                                                        |                                                                                                                                     |                   |                |                       |
| 12 Investments — mortgage loans . . . . .                                                                         |                                                                                                                                     |                   |                |                       |
| 13 Investments — other (attach schedule) . . . . . L-13. Stmt . .                                                 | 13,396,953.                                                                                                                         | 12,379,822.       | 27,572,819.    |                       |
| 14 Land, buildings, and equipment: basis . . . . .                                                                |                                                                                                                                     |                   |                |                       |
| Less: accumulated depreciation (attach schedule) . . . . .                                                        |                                                                                                                                     |                   |                |                       |
| 15 Other assets (describe . . . . . L-15. Stmt . . . . .)                                                         | 1,109,665.                                                                                                                          | 1,109,665.        | 1,277,711.     |                       |
| 16 <b>Total assets</b> (to be completed by all filers — see the instructions. Also, see page 1, item I) . . . . . | 19,937,906.                                                                                                                         | 19,078,936.       | 34,345,673.    |                       |
| <b>L<br/>I<br/>A<br/>B<br/>I<br/>L<br/>I<br/>T<br/>I<br/>E<br/>S</b>                                              | 17 Accounts payable and accrued expenses . . . . .                                                                                  |                   |                |                       |
|                                                                                                                   | 18 Grants payable . . . . .                                                                                                         |                   |                |                       |
|                                                                                                                   | 19 Deferred revenue . . . . .                                                                                                       |                   |                |                       |
|                                                                                                                   | 20 Loans from officers, directors, trustees, & other disqualified persons . . . . .                                                 |                   |                |                       |
|                                                                                                                   | 21 Mortgages and other notes payable (attach schedule) . . . . .                                                                    |                   |                |                       |
|                                                                                                                   | 22 Other liabilities (describe . . . . .)                                                                                           |                   |                |                       |
|                                                                                                                   | 23 <b>Total liabilities</b> (add lines 17 through 22) . . . . .                                                                     |                   |                |                       |
| <b>F<br/>U<br/>N<br/>D<br/><br/>A<br/>S<br/>S<br/>E<br/>T<br/>B<br/>A<br/>L<br/>A<br/>N<br/>C<br/>E<br/>S</b>     | <b>Foundations that follow SFAS 117, check here . . . . . and complete lines 24 through 26 and lines 30 and 31.</b>                 |                   |                |                       |
|                                                                                                                   | 24 Unrestricted . . . . .                                                                                                           |                   |                |                       |
|                                                                                                                   | 25 Temporarily restricted . . . . .                                                                                                 |                   |                |                       |
|                                                                                                                   | 26 Permanently restricted . . . . .                                                                                                 |                   |                |                       |
|                                                                                                                   | <b>Foundations that do not follow SFAS 117, check here . . . . . X</b>                                                              |                   |                |                       |
|                                                                                                                   | 27 Capital stock, trust principal, or current funds . . . . .                                                                       |                   |                |                       |
|                                                                                                                   | 28 Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .                                                         |                   |                |                       |
|                                                                                                                   | 29 Retained earnings, accumulated income, endowment, or other funds . . . . .                                                       | 19,937,906.       | 19,078,936.    |                       |
|                                                                                                                   | 30 <b>Total net assets or fund balances</b> (see instructions) . . . . .                                                            | 19,937,906.       | 19,078,936.    |                       |
|                                                                                                                   | 31 <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .                                               | 19,937,906.       | 19,078,936.    |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|                                                                                                                                                                        |   |             |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . . | 1 | 19,937,906. |
| 2 Enter amount from Part I, line 27a . . . . .                                                                                                                         | 2 | -595,387.   |
| 3 Other increases not included in line 2 (itemize) . . . . .                                                                                                           | 3 |             |
| 4 Add lines 1, 2, and 3 . . . . .                                                                                                                                      | 4 | 19,342,519. |
| 5 Decreases not included in line 2 (itemize) . . . . . <u>Unrealized Loss — Alternative Investments</u>                                                                | 5 | 263,583.    |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30 . . . . .                                                      | 6 | 19,078,936. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company) | (b) How acquired<br>P — Purchase<br>D — Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1 a Periodically Traded Securities— Gain/Loss                                                                                            | P                                                | 01/01/01                             | 12/31/15                         |
| b Traded Securities — Gain Distributions                                                                                                 | P                                                | 01/01/01                             | 12/31/15                         |
| c                                                                                                                                        |                                                  |                                      |                                  |
| d                                                                                                                                        |                                                  |                                      |                                  |
| e                                                                                                                                        |                                                  |                                      |                                  |

  

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a 2,759,370.          |                                            | 2,187,057.                                      | 572,313.                                     |
| b 279,740.            |                                            | 0.                                              | 279,740.                                     |
| c                     |                                            |                                                 |                                              |
| d                     |                                            |                                                 |                                              |
| e                     |                                            |                                                 |                                              |

  

| (i) F.M.V.<br>as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (col. (h)<br>gain minus col. (k), but not less<br>than -0-) or Losses (from col. (h)) |
|------------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| a                            |                                      |                                                 | 572,313.                                                                                        |
| b                            |                                      |                                                 | 279,740.                                                                                        |
| c                            |                                      |                                                 |                                                                                                 |
| d                            |                                      |                                                 |                                                                                                 |
| e                            |                                      |                                                 |                                                                                                 |

  

|                                                                                                                                                                                                              |   |          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|----------|
| 2 Capital gain net income or (net capital loss). . . . .                                                                                                                                                     | 2 | 852,053. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).<br>If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-<br>in Part I, line 8 . . . . . | 3 |          |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . . ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

| (a) Base period years<br>Calendar year (or tax year<br>beginning in) | (b) Adjusted qualifying distributions | (c) Net value of<br>noncharitable-use assets | (d) Distribution ratio<br>(col. (b) divided by col. (c)) |
|----------------------------------------------------------------------|---------------------------------------|----------------------------------------------|----------------------------------------------------------|
| 2014                                                                 | 1,914,079.                            | 32,268,205.                                  | 0.059318                                                 |
| 2013                                                                 | 1,705,693.                            | 32,082,385.                                  | 0.053166                                                 |
| 2012                                                                 | 1,451,421.                            | 28,696,789.                                  | 0.050578                                                 |
| 2011                                                                 | 1,561,593.                            | 27,865,485.                                  | 0.056040                                                 |
| 2010                                                                 | 1,049,450.                            | 26,133,089.                                  | 0.040158                                                 |

  

|                                                                                                                                                                                             |   |             |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 2 Total of line 1, column (d) . . . . .                                                                                                                                                     | 2 | 0.259260    |
| 3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the<br>number of years the foundation has been in existence if less than 5 years . . . . . | 3 | 0.051852    |
| 4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5. . . . .                                                                                                     | 4 | 34,641,366. |
| 5 Multiply line 4 by line 3 . . . . .                                                                                                                                                       | 5 | 1,796,224.  |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) . . . . .                                                                                                                      | 6 | 12,593.     |
| 7 Add lines 5 and 6. . . . .                                                                                                                                                                | 7 | 1,808,817.  |
| 8 Enter qualifying distributions from Part XII, line 4 . . . . .                                                                                                                            | 8 | 1,854,655.  |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)**

|                                                                                                                                                                                                                               |     |         |          |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------|----------|
| 1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1.<br>Date of ruling or determination letter. (attach copy of letter if necessary — see Instrs) |     |         |          |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                  |     | 1       | 12,593.  |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)                                                                                                     |     |         |          |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                   |     | 2       | 0.       |
| 3 Add lines 1 and 2.                                                                                                                                                                                                          |     | 3       | 12,593.  |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                 |     | 4       | 0.       |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                     |     | 5       | 12,593.  |
| 6 Credits/Payments:                                                                                                                                                                                                           |     |         |          |
| a 2015 estimated tax pmts and 2014 overpayment credited to 2015                                                                                                                                                               | 6 a | 58,261. |          |
| b Exempt foreign organizations — tax withheld at source                                                                                                                                                                       | 6 b |         |          |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                         | 6 c |         |          |
| d Backup withholding erroneously withheld                                                                                                                                                                                     | 6 d |         |          |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                         | 7   | 58,261. |          |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                           | 8   | 0.      |          |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                               | 9   |         |          |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                  | 10  | 45,668. |          |
| 11 Enter the amount of line 10 to be Credited to 2016 estimated tax                                                                                                                                                           | 11  | 45,668. | Refunded |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                      | Yes | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                             |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?                                                                                                                                                                                         |     | X  |
| If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.                                                                                                                                        |     |    |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                               |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation <input type="checkbox"/> \$ (2) On foundation managers <input type="checkbox"/> \$                                                                                                                      |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$                                                                                                                                                                   |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If 'Yes,' attach a detailed description of the activities                                                                                                                                                                       |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes                                                                                                         |     | X  |
| 4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                      |     | X  |
| b If 'Yes,' has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                   |     | X  |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If 'Yes,' attach the statement required by General Instruction T.                                                                                                                                                                |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col. (c), and Part XV                                                                                                                                                                                                  | X   |    |
| 8 a Enter the states to which the foundation reports or with which it is registered (see instructions)                                                                                                                                                                                                                               |     |    |
| KY - Kentucky                                                                                                                                                                                                                                                                                                                        |     |    |
| b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation                                                                                                                        | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If 'Yes,' complete Part XIV                                                                               |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses                                                                                                                                                                                                |     | X  |

BAA

Form 990-PF (2015)

**Part VII-A Statements Regarding Activities (continued)**

|    |                                                                                                                                                                                                                                                                                                                                                   |    |   |   |
|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions) . . . . .                                                                                                                                                 | 11 |   | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions) . . . . .                                                                                                                                                | 12 | X |   |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .<br>Website address . . . . .                                                                                                                                                                                        | 13 | X |   |
| 14 | The books are in care of <u>Scott Davis, Trustee</u> Telephone no. <u>(502) 641-0138</u><br>Located at <u>PMB 2028 South Highway 53, Suite 3, LaGrange, KY</u> ZIP +4 <u>40031-9119</u>                                                                                                                                                           |    |   |   |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . .<br>and enter the amount of tax-exempt interest received or accrued during the year . . . . .                                                                                                                                        | 15 |   |   |
| 16 | At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .<br>See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country . . . . . | 16 |   | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Yes                                                                 | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----|
| <b>1 a</b> During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                                               | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                     | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .                                                                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . .                                                                                                                                                                                                                                                          | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| <b>b</b> If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . .                                                                                                                                                                                                                                                                            | 1 b                                                                 | X  |
| Organizations relying on a current notice regarding disaster assistance check here . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                     |    |
| <b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? . . . . .                                                                                                                                                                                                                                                                                                         | 1 c                                                                 | X  |
| <b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                            |                                                                     |    |
| <b>a</b> At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? . . . . .<br>If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| <b>b</b> Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.) . . . . .                                                                                                                                                                                       | 2 b                                                                 | X  |
| <b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br><u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>                                                                                                                                                                                                                                                                                                                                         |                                                                     |    |
| <b>3 a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| <b>b</b> If 'Yes,' did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) . . . . . | 3 b                                                                 |    |
| <b>4 a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .                                                                                                                                                                                                                                                                                                                                                                               | 4 a                                                                 | X  |
| <b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? . . . . .                                                                                                                                                                                                                                                               | 4 b                                                                 | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5 a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

7 b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address                                                                | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|-------------------------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| Walter T. Crutcher<br>PMB 324, 2028 S. Hgy 53, Suite 3<br>LaGrange KY 40031-9119    | Trustee                                                   | 3.00                                      | 5,730.                                                                | 1,432.                                |
| H. Scott Davis, Jr.<br>PMB 324, 2028 S. Hgy 53, Suite 3,<br>LaGrange, KY 40031-9119 | Trustee                                                   | 40.00                                     | 88,770.                                                               | 22,217.                               |
| Louisa M. Gaines<br>PMB 324, 2028 S. Hgy 53, Suite 3<br>LaGrange KY 40031-9119      | Trustee                                                   | 3.00                                      | 5,730.                                                                | 1,432.                                |
| See Information about Officers, Directors, Trustees, Etc.                           |                                                           |                                           |                                                                       |                                       |
|                                                                                     |                                                           | 6,000.                                    | 1,500.                                                                | 0.                                    |

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| none                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

None

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

| (a) Name and address of each person paid more than \$50,000              | (b) Type of service | (c) Compensation |
|--------------------------------------------------------------------------|---------------------|------------------|
| None                                                                     |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
| Total number of others receiving over \$50,000 for professional services |                     | None             |

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|   | Expenses |
|---|----------|
| 1 |          |
| 2 |          |
| 3 |          |
| 4 |          |

**Part IX-B** Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|-------------------------------------------------------------------------------------------------------------------|--------|
| 1                                                                                                                 |        |
| 2                                                                                                                 |        |
| All other program-related investments. See instructions.                                                          |        |
| 3                                                                                                                 |        |
| Total. Add lines 1 through 3                                                                                      |        |

BAA

Form 990-PF (2015)

**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                            |     |             |
|---|------------------------------------------------------------------------------------------------------------|-----|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes |     |             |
| a | Average monthly fair market value of securities                                                            | 1 a | 34,949,041. |
| b | Average of monthly cash balances                                                                           | 1 b | 219,859.    |
| c | Fair market value of all other assets (see instructions)                                                   | 1 c |             |
| d | Total (add lines 1a, b, and c)                                                                             | 1 d | 35,168,900. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)  | 1 e |             |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                       | 2   |             |
| 3 | Subtract line 2 from line 1d                                                                               | 3   | 35,168,900. |
| 4 | Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)  | 4   | 527,534.    |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4       | 5   | 34,641,366. |
| 6 | Minimum investment return. Enter 5% of line 5                                                              | 6   | 1,732,068.  |

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|     |                                                                                                    |     |            |
|-----|----------------------------------------------------------------------------------------------------|-----|------------|
| 1   | Minimum investment return from Part X, line 6                                                      | 1   | 1,732,068. |
| 2 a | Tax on investment income for 2015 from Part VI, line 5                                             | 2 a | 12,593.    |
| b   | Income tax for 2015. (This does not include the tax from Part VI.)                                 | 2 b |            |
| c   | Add lines 2a and 2b                                                                                | 2 c | 12,593.    |
| 3   | Distributable amount before adjustments. Subtract line 2c from line 1                              | 3   | 1,719,475. |
| 4   | Recoveries of amounts treated as qualifying distributions                                          | 4   |            |
| 5   | Add lines 3 and 4                                                                                  | 5   | 1,719,475. |
| 6   | Deduction from distributable amount (see instructions)                                             | 6   |            |
| 7   | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7   | 1,719,475. |

**Part XII** Qualifying Distributions (see instructions)

|   |                                                                                                                                                      |     |            |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------|-----|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.                                                           |     |            |
| a | Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26                                                                        | 1 a | 1,854,655. |
| b | Program-related investments — total from Part IX-B.                                                                                                  | 1 b |            |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                            | 2   |            |
| 3 | Amounts set aside for specific charitable projects that satisfy the:                                                                                 |     |            |
| a | Suitability test (prior IRS approval required)                                                                                                       | 3 a |            |
| b | Cash distribution test (attach the required schedule)                                                                                                | 3 b |            |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                           | 4   | 1,854,655. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5   | 12,593.    |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4                                                                                       | 6   | 1,842,062. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                                      | (a)<br>Corpus | (b)<br>Years prior to 2014 | (c)<br>2014 | (d)<br>2015 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2015 from Part XI, line 7 . . . . .                                                                                                                       |               |                            |             | 1,719,475.  |
| 2 Undistributed Income, if any, as of the end of 2015:                                                                                                                               |               |                            |             |             |
| a Enter amount for 2014 only . . . . .                                                                                                                                               |               |                            | 0.          |             |
| b Total for prior years: 20 __, 20 __, 20 __                                                                                                                                         |               |                            |             |             |
| 3 Excess distributions carryover, if any, to 2015:                                                                                                                                   |               |                            |             |             |
| a From 2010 . . . . .                                                                                                                                                                | 0.            |                            |             |             |
| b From 2011 . . . . .                                                                                                                                                                | 176,505.      |                            |             |             |
| c From 2012 . . . . .                                                                                                                                                                | 32,988.       |                            |             |             |
| d From 2013 . . . . .                                                                                                                                                                | 121,728.      |                            |             |             |
| e From 2014 . . . . .                                                                                                                                                                | 334,121.      |                            |             |             |
| f Total of lines 3a through e . . . . .                                                                                                                                              | 665,342.      |                            |             |             |
| 4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ 1,854,655.                                                                                                           |               |                            |             |             |
| a Applied to 2014, but not more than line 2a . . . . .                                                                                                                               |               |                            |             |             |
| b Applied to undistributed income of prior years (Election required — see instructions) . . . . .                                                                                    |               |                            |             |             |
| c Treated as distributions out of corpus (Election required — see instructions) . . . . .                                                                                            |               |                            |             |             |
| d Applied to 2015 distributable amount . . . . .                                                                                                                                     |               |                            |             | 1,718,497.  |
| e Remaining amount distributed out of corpus . . . . .                                                                                                                               | 136,158.      |                            |             |             |
| 5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)                                                  |               |                            |             |             |
| 6 Enter the net total of each column as indicated below:                                                                                                                             |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .                                                                                                                          | 801,500.      |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b . . . . .                                                                                                         |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |               |                            |             |             |
| d Subtract line 6c from line 6b. Taxable amount — see instructions . . . . .                                                                                                         |               | 0.                         |             |             |
| e Undistributed income for 2014 Subtract line 4a from line 2a. Taxable amount — see instructions . . . . .                                                                           |               |                            | 0.          |             |
| f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016 . . . . .                                                              |               |                            |             | 978.        |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions) . . . . .       |               |                            |             |             |
| 8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . . . .                                                                              | 0.            |                            |             |             |
| 9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a . . . . .                                                                                              | 801,500.      |                            |             |             |
| 10 Analysis of line 9:                                                                                                                                                               |               |                            |             |             |
| a Excess from 2011 . . . . .                                                                                                                                                         | 176,505.      |                            |             |             |
| b Excess from 2012 . . . . .                                                                                                                                                         | 32,988.       |                            |             |             |
| c Excess from 2013 . . . . .                                                                                                                                                         | 121,728.      |                            |             |             |
| d Excess from 2014 . . . . .                                                                                                                                                         | 334,121.      |                            |             |             |
| e Excess from 2015 . . . . .                                                                                                                                                         | 136,158.      |                            |             |             |

BAA

Form 990-PF (2015)

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1** a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or☐ 4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

| Tax year | Prior 3 years |          |          | (e) Total |
|----------|---------------|----------|----------|-----------|
| (a) 2015 | (b) 2014      | (c) 2013 | (d) 2012 |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |
|          |               |          |          |           |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Scott Davis

PMB 324, 2028 South Highway 53, Suite 3

LaGrange KY 40031-9119 (502) 641-0138

**b** The form in which applications should be submitted and information and materials they should include:

1-2 page letter — plus a copy of current IRS Exemption Letter

**c** Any submission deadlines.

None unless special consideration is necessary or annual funds are expended.

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Oldham Co., Ky and contiguous counties except public historic homes in KY, OH, IN, WVA, TN, IL, VA &amp; MO

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| <div>Recipient</div> <div>Name and address (home or business)</div> | <div>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</div> | <div>Foundation status of recipient</div> | <div>Purpose of grant or contribution</div> | <div>Amount</div>    |
|---------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------------------------|---------------------------------------------|----------------------|
| <b>a Paid during the year</b>                                       |                                                                                                                      |                                           |                                             |                      |
| Charitable<br>See<br>Schedule KY 40207                              | None                                                                                                                 | PC - All<br>509(a) (1)<br>or (a) (2)      | Various<br>Purposes                         | 818,900.             |
| Educational<br>See<br>Schedule KY 40207                             | None                                                                                                                 | PC - All<br>509(a) (1)<br>or 509 (a) (2)  | Various<br>Purposes                         | 612,800.             |
| Scientific<br>See<br>Schedule KY 40207                              | None                                                                                                                 | PC - All<br>509(a) (1)<br>or 509(a) (2)   | Various<br>Purposes                         | 20,500.              |
| Literary<br>See<br>Schedule KY 40207                                | None                                                                                                                 | PC - All<br>509(a) (1)<br>or 509(a) (2)   | Various<br>Purposes                         | 125,000.             |
| Religious<br>See<br>Schedule KY 40207                               | None                                                                                                                 | PC - All<br>509(a) (1)<br>or 509(a) (2)   | Various<br>Purposes                         | 2,000.               |
| <b>Total . . . . .</b>                                              |                                                                                                                      |                                           |                                             | <b>3a</b> 1,579,200. |
| <b>b Approved for future payment</b>                                |                                                                                                                      |                                           |                                             |                      |
| <b>Total . . . . .</b>                                              |                                                                                                                      |                                           |                                             | <b>3b</b>            |

## **Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

| Enter gross amounts unless otherwise indicated. |                                                              | Unrelated business income |                               | Excluded by section 512, 513, or 514 |            | (e)                                                         |
|-------------------------------------------------|--------------------------------------------------------------|---------------------------|-------------------------------|--------------------------------------|------------|-------------------------------------------------------------|
|                                                 | (a)<br>Business<br>code                                      | (b)<br>Amount             | (c)<br>Exclu-<br>sion<br>code | (d)<br>Amount                        |            | Related or exempt<br>function income<br>(See instructions.) |
| 1                                               | Program service revenue:                                     |                           |                               |                                      |            |                                                             |
| a                                               |                                                              |                           |                               |                                      |            |                                                             |
| b                                               |                                                              |                           |                               |                                      |            |                                                             |
| c                                               |                                                              |                           |                               |                                      |            |                                                             |
| d                                               |                                                              |                           |                               |                                      |            |                                                             |
| e                                               |                                                              |                           |                               |                                      |            |                                                             |
| f                                               |                                                              |                           |                               |                                      |            |                                                             |
| g                                               | Fees and contracts from government agencies . .              |                           |                               |                                      |            |                                                             |
| 2                                               | Membership dues and assessments . . . . .                    |                           |                               |                                      |            |                                                             |
| 3                                               | Interest on savings and temporary cash investments . . . .   |                           | 14                            | 43.                                  |            |                                                             |
| 4                                               | Dividends and interest from securities . . . . .             |                           | 14                            | -97,906.                             |            |                                                             |
| 5                                               | Net rental income or (loss) from real estate:                |                           |                               |                                      |            |                                                             |
| a                                               | Debt-financed property . . . . .                             |                           |                               |                                      |            |                                                             |
| b                                               | Not debt-financed property . . . . .                         |                           |                               |                                      |            |                                                             |
| 6                                               | Net rental income or (loss) from personal property . . . . . |                           |                               |                                      |            |                                                             |
| 7                                               | Other investment income . . . . .                            |                           |                               |                                      |            |                                                             |
| 8                                               | Gain or (loss) from sales of assets other than inventory . . |                           | 18                            | 852,053.                             |            | 0.                                                          |
| 9                                               | Net income or (loss) from special events . . . . .           |                           |                               |                                      |            |                                                             |
| 10                                              | Gross profit or (loss) from sales of inventory . . .         |                           |                               |                                      |            |                                                             |
| 11                                              | Other revenue:                                               |                           |                               |                                      |            |                                                             |
| a                                               | Mutual Fund Income                                           |                           | 14                            | 568,861.                             |            |                                                             |
| b                                               |                                                              |                           |                               |                                      |            |                                                             |
| c                                               |                                                              |                           |                               |                                      |            |                                                             |
| d                                               |                                                              |                           |                               |                                      |            |                                                             |
| e                                               |                                                              |                           |                               |                                      |            |                                                             |
| 12                                              | Subtotal. Add columns (b), (d), and (e) . . . . .            |                           |                               | 1,323,051.                           |            | 0.                                                          |
| 13                                              | Total. Add line 12, columns (b), (d), and (e) . . . . .      |                           |                               | 13                                   | 1,323,051. |                                                             |

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



Form 990-PF, Page 6, Part VIII, Line 1

**Information about Officers, Directors, Trustees, Etc.**

| (a)<br>Name and address                                                                                                                                                                                                                             | (b)<br>Title, and<br>average hours<br>per week<br>devoted to<br>position | (c)<br>Compensation<br>(If not paid,<br>enter -0-) | (d)<br>Contributions<br>to employee<br>benefit plans<br>and deferred<br>compensation | (e)<br>Expense<br>account, other<br>allowances |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|----------------------------------------------------|--------------------------------------------------------------------------------------|------------------------------------------------|
| Person.. <input checked="" type="checkbox"/> Business . <input type="checkbox"/><br>Dale A. Davis<br>PMB 324, 2028 S. Hgy 53, Suite 3<br>LaGrange KY 40031-9119<br>Person.. <input checked="" type="checkbox"/> Business . <input type="checkbox"/> | Trustee<br>7.00                                                          | 6,000.                                             | 1,500.                                                                               | 0.                                             |
|                                                                                                                                                                                                                                                     |                                                                          |                                                    |                                                                                      |                                                |
|                                                                                                                                                                                                                                                     |                                                                          |                                                    |                                                                                      |                                                |

Total

6,000.    1,500.    0.

Form 990-PF, Page 1, Part I

**Line 16c - Other Professional Fees**

| Name of<br>Provider | Type of Service<br>Provided | Amount<br>Paid<br>Per Books | Net<br>Investment<br>Income | Adjusted<br>Net<br>Income | Disbursements<br>for Charitable<br>Purposes |
|---------------------|-----------------------------|-----------------------------|-----------------------------|---------------------------|---------------------------------------------|
| Investment Advisors | Investment Management       | 47,858.                     |                             |                           |                                             |
| Legal Fees          | Legal Fees                  | 1,200.                      |                             |                           |                                             |

Total

49,058.

Form 990-PF, Page 2, Part II, Line 10a

**L-10a Stmt**

| Line 10a - Investments -<br>US and State Government<br>Obligations: | End of Year                                  |                                       | End of Year                                |                                     |
|---------------------------------------------------------------------|----------------------------------------------|---------------------------------------|--------------------------------------------|-------------------------------------|
|                                                                     | State and Local<br>Obligations<br>Book Value | State and Local<br>Obligations<br>FMV | US Government<br>Obligations<br>Book Value | US Government<br>Obligations<br>FMV |
| U.S. Treas. Bonds TIPS                                              |                                              |                                       | 4,778,305.                                 | 4,683,999.                          |
| Total                                                               |                                              |                                       | <u>4,778,305.</u>                          | <u>4,683,999.</u>                   |

Form 990-PF, Page 2, Part II, Line 13

**L-13 Stmt**

| <b>Line 13 - Investments - Other:</b> | <b>End of Year</b> |                          |
|---------------------------------------|--------------------|--------------------------|
|                                       | <b>Book Value</b>  | <b>Fair Market Value</b> |
| Various Mutual Funds - See Schedule   | 12,152,177.        | 27,335,150.              |
| Vanguard Short-Term Treasury Fund     | 227,645.           | 237,669.                 |
| <b>Total</b>                          | <u>12,379,822.</u> | <u>27,572,819.</u>       |

Form 990-PF, Page 2, Part II, Line 15

**Other Assets Stmt**

| <b>Line 15 - Other Assets:</b>    | <b>Beginning Year Book Value</b> | <b>End of Year</b> |                          |
|-----------------------------------|----------------------------------|--------------------|--------------------------|
|                                   |                                  | <b>Book Value</b>  | <b>Fair Market Value</b> |
| Charitable Use Assets-Collections | 936,150.                         | 936,150.           | 1,104,196.               |
| Charitable Use - Real Estate      | 173,515.                         | 173,515.           | 173,515.                 |
| <b>Total</b>                      | <u>1,109,665.</u>                | <u>1,109,665.</u>  | <u>1,277,711.</u>        |

**Supporting Statement of:**

Form 990-PF, p1/Line 15(a)

| Description                         | Amount         |
|-------------------------------------|----------------|
| Taxes withheld/403(b) contributions | 67,562.        |
| Total                               | <u>67,562.</u> |

**Supporting Statement of:**

Form 990-PF, p1/Line 18(a)-1

| Description                                      | Amount        |
|--------------------------------------------------|---------------|
| Jefferson Co. KY Property Tax                    | 247.          |
| Mike Armstrong, Sheriff, Ritter Ln. Property Tax | 1,741.        |
| Vanguard Funds - Foreign Tax Paid                | 289.          |
| Total                                            | <u>2,277.</u> |

**Supporting Statement of:**

Form 990-PF, p1/Line 18(b)-1

| Description                       | Amount      |
|-----------------------------------|-------------|
| Jeff. Co. Prop. Tax \$247 * 5%    | 12.         |
| Ritter Lane Prop. Tax \$1,741*5%  | 87.         |
| Vanguard Funds - Foreign Tax Paid | 289.        |
| Total                             | <u>388.</u> |

**Supporting Statement of:**

Form 990-PF, p1/Line 18(d)-1

| Description                                    | Amount        |
|------------------------------------------------|---------------|
| Jeff. Prop Tax after 5% deduction \$247 - \$12 | 235.          |
| Ritter Lane Prop. Tax after %5 Ded. 1,741 - 87 | 1,654.        |
| Total                                          | <u>1,889.</u> |

**Supporting Statement of:**

Form 990-PF, pl/Line 23(a)-1

| Description                                | Amount        |
|--------------------------------------------|---------------|
| Philadelphia Insurance Co. D & O Insurance | 1,259.        |
| Total                                      | <u>1,259.</u> |

Mildred V. Horn Foundation  
Part VII-A, 12

The Community Foundation of Louisville, Inc. is a publically supported section 501(c)(3) community foundation which has served the charitable needs and interests of the Louisville, Kentucky region for many years. The Mildred V. Horn Foundation made a distribution to a donor advised fund in the Community Foundation of Louisville, Inc. which it treated as a qualifying distribution. The Mildred V. Horn Foundation's distribution will support the Community Foundation of Louisville, Inc. and in so doing will serve the charitable needs and interests of the Louisville, Kentucky region. The Community Foundation of Louisville, Inc.'s knowledge of and experience in the charitable and philanthropic community will ensure the most effective and efficient use of the Mildred V. Horn Foundation's charitable distribution.

## Mildred V. Horn Foundation - Assets

As of 12/31/15

\* Estimated Prices

Page 1

| Security                       | Shares        | Curr Price      | Cost Basis          | Gain/Loss         | Balance             |
|--------------------------------|---------------|-----------------|---------------------|-------------------|---------------------|
| Bond-U.S. Gov't                |               |                 |                     |                   |                     |
| U S. Treas. 1.375% July 18     | 30144.9457... | 100.000         | 2,693,682.48        | 320,812.09        | 3,014,494.57        |
| U.S.T. TIP 2% 1-15-16          | 1669505       | 1.000           | 2,084,622.38        | -415,117.97       | 1,669,504.41        |
| Vang.ST TreasAdmiral           | 22316.3090... | 10.650000       | 227,645.52          | 10,023.17         | 237,668.69          |
| <b>TOTAL Bond-U.S. Gov't</b>   |               |                 | <b>5,005,950.38</b> | <b>-84,282.71</b> | <b>4,921,667.67</b> |
| CD - (FDIC)                    |               |                 |                     |                   |                     |
| Centra Credit Un2.05% 10-6-'19 | 2,597.027400  | 100.000000      | 259,702.74          | 0.00              | 259,702.74          |
| First CapitBk 5-20 #69892      | 1,185.454300  | 100.000000      | 118,545.43          | 0.00              | 118,545.43          |
| River Valley Fin. 1 25% 4 -'18 | 1,147.034300  | 100 000000      | 114,703.43          | 0.00              | 114,703.43          |
| <b>TOTAL CD - (FDIC)</b>       |               |                 | <b>492,951.60</b>   | <b>0.00</b>       | <b>492,951.60</b>   |
| Checking Acct.                 |               |                 |                     |                   |                     |
| Centra Credit Union Savings    | 5.000000      | 1.000000 *      | 5 00                | 0.00              | 5.00                |
| Checking Account               | 276024.000... | 1.000000        | 276,024.00          | 0.00              | 276,024 00          |
| <b>TOTAL Checking Acct.</b>    |               |                 | <b>276,029.00</b>   | <b>0.00</b>       | <b>276,029.00</b>   |
| Collections                    |               |                 |                     |                   |                     |
| 2 \$20 Gold Coins              | 2.000000      | 493.430000 *    | 870.00              | 116.86            | 986.86              |
| 3 Oversized \$1Bill            | 3.000000      | 1.136667 *      | 3.00                | 0.41              | 3.41                |
| 32 Silver Dollars              | 1.000000      | 181.500000 *    | 160.00              | 21 50             | 181.50              |
| 5 Amer. Lithographs-StCharles  | 1 000000      | 867.990000 *    | 715.00              | 152.99            | 867.99              |
| 6 \$2 Bills                    | 1.000000      | 13.610000 *     | 12.00               | 1.61              | 13 61               |
| Antebellum Presid.Wall Chart   | 1.000000      | 682 280000 *    | 605.00              | 77.28             | 682.28              |
| Bags & Horns                   | 1.000000      | 409 520000 *    | 375 00              | 34.52             | 409.52              |
| Barouche Carriage              | 4.000000      | 8,582.460000 *  | 25,900.00           | 8,429.84          | 34,329.84           |
| Batty Peache Flask             | 1.000000      | 522.380000 *    | 450.00              | 72.38             | 522.38              |
| Bristol GlassVases             | 1.000000      | 233.280000 *    | 176.00              | 57 28             | 233 28              |
| Bryant/'73 Winchester/45 Colt  | 1.000000      | 28707.3600 .. * | 24,200.00           | 4,507.36          | 28,707 36           |
| Cash \$19/64                   | 1.000000      | 21.040000 *     | 19.64               | 1.40              | 21.04               |
| Christian Rifle                | 1.000000      | 3,809.600000 *  | 2,750.00            | 1,059.60          | 3,809.60            |
| Daniel Boone Family Bible      | 2.000000      | 8,663.940000 *  | 14,515.00           | 2,812.88          | 17,327 88           |
| Death Of Washington Lithograph | 1.000000      | 115.450000 *    | 100.63              | 14.82             | 115 45              |
| Dutch Clock                    | 1.000000      | 54.350000 *     | 41.00               | 13.35             | 54.35               |
| English Powder Horn-Carved     | 1.000000      | 2,899.320000 *  | 2,500.00            | 399.32            | 2,899.32            |
| Flintlock Rifle - Philadelphia | 1.000000      | 25512 0900... * | 22,000.00           | 3,512 09          | 25,512 09           |
| Flintlock Rifle-Model 1817     | 1.000000      | 3,482.510000 *  | 3,000.00            | 482.51            | 3,482.51            |
| Flintstock KY Rifle-PeterKuntz | 1.000000      | 15084.9400... * | 13,000.00           | 2,084.94          | 15,084 94           |
| Foot Officer Sword Model 1850  | 1.000000      | 4,417.050000 *  | 3,850.00            | 567.05            | 4,417.05            |
| G & M Washington P             | 1.000000      | 2,650.880000 *  | 2,000.00            | 650.88            | 2,650.88            |
| Gilt Over Mirror               | 1.000000      | 1,895.360000 *  | 1,430 00            | 465 36            | 1,895.36            |
| Gothic Clock                   | 1.000000      | 43.740000 *     | 33.00               | 10.74             | 43.74               |
| Hawken Rifle-Sam Hawken        | 1.000000      | 46415.1900... * | 40,000.00           | 6,415.19          | 46,415.19           |
| Henry Clay Hand-Colored Litho  | 1.000000      | 334.940000 *    | 297.00              | 37 94             | 334 94              |
| Henry Clay Mourning Ribbon     | 1 000000      | 464 630000 *    | 412.50              | 52 13             | 464.63              |
| Henry Rifle7169/1865Psalms     | 1.000000      | 132338.130... * | 110,000.00          | 22,338.13         | 132,338 13          |
| Henry Rydell 19 Brass Finish   | 1.000000      | 94996.5100... * | 80,000.00           | 14,996.51         | 94,996.51           |
| J.Loyd Precus.Rifle            | 1.000000      | 1,376.750000 *  | 1,200.00            | 176 75            | 1,376.75            |
| James Madison Print            | 1.000000      | 82.460000 *     | 71.87               | 10.59             | 82.46               |
| Jewelry - Misc                 | 2.000000      | 22370.4300... * | 41,751.00           | 2,989 86          | 44,740.86           |
| Knight Rifle                   | 1.000000      | 629.600000 *    | 475.00              | 154.60            | 629.60              |
| Ky. Long Rifle -Stodeneur PA   | 1.000000      | 11288.6600... * | 10,000.00           | 1,288 66          | 11,288.66           |
| Ky. Rifle -Henry Dowling LouKy | 1.000000      | 15775.2000... * | 13,750.00           | 2,025.20          | 15,775.20           |
| Ky. Rifle MarkedVictor Brisban | 1.000000      | 787.030000 *    | 700.00              | 87.03             | 787.03              |
| Ky. Rifle-Budd/McGullough      | 1.000000      | 899.480000 *    | 800.00              | 99.48             | 899.48              |
| Le Matt Revolver #2014         | 1 000000      | 29222.0300... * | 25,000.00           | 4,222.03          | 29,222.03           |
| Lindsey Two Shot Rifle Musket  | 1.000000      | 5,801.900000 *  | 5,000.00            | 801.90            | 5,801.90            |
| Mahogany Ottoman               | 1.000000      | 1,749.580000 *  | 1,320.00            | 429.58            | 1,749 58            |

## Mildred V. Horn Foundation - Assets

As of 12/31/15

\* Estimated Prices

Page 2

| Security                         | Shares         | Curr Price       | Cost Basis        | Gain/Loss         | Balance             |
|----------------------------------|----------------|------------------|-------------------|-------------------|---------------------|
| Misc. Coins                      | 1.000000       | 23.130000 *      | 20.00             | 3.13              | 23.13               |
| Music Box - Victor               | 1.000000       | 27.840000 *      | 21.00             | 6.84              | 27.84               |
| Officer Cavalry Sword Model 1860 | 1.000000       | 7,940.290000 *   | 6,600.00          | 1,340.29          | 7,940.29            |
| Outlaw/73 Winchester/Colt Sng    | 1.000000       | 97866.0400... *  | 82,500.00         | 15,366.04         | 97,866.04           |
| Peat Bucket-Will 4th-St Charles  | 1.000000       | 3,909.010000 *   | 3,220.00          | 689.01            | 3,909.01            |
| Pipes, Horns & Powder            | 1.000000       | 132.560000 *     | 100.00            | 32.56             | 132.56              |
| Pocket Patterson Pistol          | 1.000000       | 145571.940... *  | 121,000.00        | 24,571.94         | 145,571.94          |
| Porcelain coff/tea Serv. Neal A  | 1.000000       | 1,186.570000 *   | 977.50            | 209.07            | 1,186.57            |
| Powder Can                       | 1.000000       | 99.410000 *      | 75.00             | 24.41             | 99.41               |
| Powder Horn - 1835 Tansel        | 1.000000       | 2,842.470000 *   | 2,500.00          | 342.47            | 2,842.47            |
| Powder Horn - 1841 Tansel        | 1.000000       | 5,102.940000 *   | 4,500.00          | 602.94            | 5,102.94            |
| Powder Horn-Carved               | 1.000000       | 112.670000 *     | 85.00             | 27.67             | 112.67              |
| Reeves Rifle                     | 1.000000       | 994.070000 *     | 750.00            | 244.07            | 994.07              |
| Ridgeway Toilette - Neal Auct.   | 1.000000       | 1,814.760000 *   | 1,495.00          | 319.76            | 1,814.76            |
| Rosewood Teapoy                  | 1.000000       | 2,332.770000 *   | 1,760.00          | 572.77            | 2,332.77            |
| Ruby Glass Vase                  | 1.000000       | 291.600000 *     | 220.00            | 71.60             | 291.60              |
| Rusch Rifle                      | 1.000000       | 1,524.260000 *   | 1,150.00          | 374.26            | 1,524.26            |
| S.G. Robinson Carbine #315       | 1.000000       | 28055.3900... *  | 24,000.00         | 4,055.39          | 28,055.39           |
| Samuel Golden Rifle 1/2 Stock    | 1.000000       | 3,313.590000 *   | 2,500.00          | 813.59            | 3,313.59            |
| Schuetzenfest Mech Target        | 1.000000       | 5,490.260000 *   | 4,700.00          | 790.26            | 5,490.26            |
| Sharps, "Berdan" #56761          | 1.000000       | 21,224.7700... * | 18,500.00         | 2,724.77          | 21,224.77           |
| Smith Jennings #1 Rifle          | 1.000000       | 27,402.4800... * | 23,100.00         | 4,302.48          | 27,402.48           |
| Spiller & Burr Revolver #517     | 1.000000       | 46,747.8800... * | 40,000.00         | 6,747.88          | 46,747.88           |
| Springfield 1855 Pistol/Carbin   | 1.000000       | 12,156.0700... * | 10,500.00         | 1,656.07          | 12,156.07           |
| Stag Handle Knife                | 1.000000       | 198.810000 *     | 150.00            | 48.81             | 198.81              |
| Suddarth Rifle                   | 1.000000       | 629.600000 *     | 475.00            | 154.60            | 629.60              |
| Tanzel Powder Horn               | 1.000000       | 5,102.940000 *   | 3,850.00          | 1,252.94          | 5,102.94            |
| Taylor-Fillmore Ballot           | 1.000000       | 198.480000 *     | 176.00            | 22.48             | 198.48              |
| Throwing Ax                      | 1.000000       | 265.090000 *     | 200.00            | 65.09             | 265.09              |
| Tools & Molds                    | 1.000000       | 528.130000 *     | 400.00            | 128.13            | 528.13              |
| U.S. Rifle Model 1819 Dtd 1838   | 1.000000       | 6,195.560000 *   | 5,300.00          | 895.56            | 6,195.56            |
| U.S. Rifle/Musket 1863           | 1.000000       | 3,506.920000 *   | 3,000.00          | 506.92            | 3,506.92            |
| Van Buren-Adams Handbill         | 1.000000       | 391.690000 *     | 357.50            | 34.19             | 391.69              |
| Victorian Clock-Glass Dome       | 10.000000      | 1.322000 *       | 10.00             | 3.22              | 13.22               |
| Vieux Perfume Botl.              | 1.000000       | 1,676.680000 *   | 1,265.00          | 411.68            | 1,676.68            |
| Winchester 1876 1 of 1000        | 1.000000       | 85,579.6000... * | 75,000.00         | 10,579.60         | 85,579.60           |
| Winchester/Hotchkiss Berdan 1870 | 1.000000       | 3,323.320000 *   | 2,800.00          | 523.32            | 3,323.32            |
| Wing Rifle                       | 1.000000       | 132.440000 *     | 1,000.00          | -867.56           | 132.44              |
| Wolf Derringer                   | 1.000000       | 47,545.2500... * | 41,000.00         | 6,545.25          | 47,545.25           |
| Zach Taylor Campaign Button      | 1.000000       | 465.190000 *     | 412.50            | 52.69             | 465.19              |
| Zach Taylor Campaign Ribbon      | 1.000000       | 927.580000 *     | 825.00            | 102.58            | 927.58              |
| Zachary Taylor Lithographs(2)    | 1.000000       | 115.450000 *     | 100.63            | 14.82             | 115.45              |
| Zachary Taylor Print             | 1.000000       | 82.460000 *      | 71.87             | 10.59             | 82.46               |
| <b>TOTAL Collections</b>         |                |                  | <b>936,149.64</b> | <b>168,046.73</b> | <b>1,104,196.37</b> |
| <b>Money Mkt. Fds.</b>           |                |                  |                   |                   |                     |
| Vang Tax-Exempt MM               | 28826.8500...  | 1.000000         | 28,826.85         | 0.00              | 28,826.85           |
| Vang UST MMkt                    | 13336.2500...  | 1.000000         | 13,336.25         | 0.00              | 13,336.25           |
| <b>TOTAL Money Mkt. Fds.</b>     |                |                  | <b>42,163.10</b>  | <b>0.00</b>       | <b>42,163.10</b>    |
| <b>Mutual Funds</b>              |                |                  |                   |                   |                     |
| Comfund Capit. Part. III LP      | 968533.000...  | 1.000349         | 968,533.00        | 338.00            | 968,871.00          |
| DFA Small Value Fund             | 9,817.939000   | 30.490000        | 251,106.23        | 48,242.73         | 299,348.96          |
| DFA U.S. Micro                   | 13,444.4140... | 17.510000        | 132,397.07        | 103,014.62        | 235,411.69          |
| Fidelity Low Price               | 2,730.072000   | 47 3/4           | 60,407.68         | 69,953.26         | 130,360.94          |
| First Eag SoGen GlobA            | 17,098.2400... | 51.350000        | 516,473.93        | 361,520.69        | 877,994.62          |
| Forum Capital Mgt.               | 1186320        | 1.000            | 1,186,319.13      | 0.00              | 1,186,319.13        |
| Harbor Intl. Inst. Fund          | 11,083.6450... | 59.430000        | 493,655.23        | 165,045.79        | 658,701.02          |
| Vang. Emergi Mkt. Viper          | 9,982.731800   | 32.710000        | 239,605.51        | 86,929.65         | 326,535.16          |
| Vang. EuropeanIdx Admr           | 2,846.998000   | 62.510000        | 175,946.43        | 2,019.41          | 177,965.84          |

## Mildred V. Horn Foundation - Assets

As of 12/31/15  
\* Estimated Prices

Page 3

| Security                  | Shares        | Curr Price | Cost Basis           | Gain/Loss            | Balance              |
|---------------------------|---------------|------------|----------------------|----------------------|----------------------|
| Vang MidCapAdmir.Fund     | 26384.0760... | 148.720000 | 1,326,205.73         | 2,597,634.05         | 3,923,839.78         |
| VangInstIdx500            | 99398.7860..  | 186.620000 | 6,801,527.14         | 11,748,274.30        | 18,549,801.44        |
| <b>TOTAL Mutual Funds</b> |               |            | <b>12,152,177.08</b> | <b>15,182,972.50</b> | <b>27,335,149.58</b> |
| Other                     |               |            |                      |                      |                      |
| 800 Ritter Lane, KY       | 173515.450... | 1.000 *    | 173,515.45           | 0.00                 | 173,515.45           |
| <b>TOTAL Other</b>        |               |            | <b>173,515.45</b>    | <b>0.00</b>          | <b>173,515.45</b>    |
| <b>TOTAL Investments</b>  |               |            | <b>19,078,936.25</b> | <b>15,266,736.52</b> | <b>34,345,672.77</b> |

| MILDRED V. HORN FOUNDATION GRANTS MADE-2015   |                                                   |          |                                                      |      |                       |                   |
|-----------------------------------------------|---------------------------------------------------|----------|------------------------------------------------------|------|-----------------------|-------------------|
|                                               |                                                   |          |                                                      |      |                       |                   |
| Description of                                |                                                   |          |                                                      |      |                       |                   |
| CONTRIBUTED                                   |                                                   |          |                                                      |      |                       |                   |
| NAME                                          | ADDRESS                                           | PROPERTY | PURPOSE                                              | SHIP | STATUS                | TOTAL COST OF FMV |
|                                               |                                                   |          |                                                      |      |                       | AMOUNT            |
| 21st Century Park, Inc                        | 471 West Main #202, Louisville Ky 40202           | Cash     | Edith & Jacob Horn Maintenance Fund                  | None | PC- 509(a)(1)or(a)(2) | \$55,000 00       |
| A Choice For Life                             | 706 East Chestnut, Louisville KY 40202            | Cash     | Capital Campaign                                     | None | PC- 509(a)(1)or(a)(2) | \$10,000 00       |
| Actors Theatre Louisville, Inc                | 316 West Main, Louisville KY 40202                | Cash     | Operating support                                    | None | PC- 509(a)(1)or(a)(2) | \$5,000 00        |
| African American Heritage Foundation          | 1701 W Muhammed Ali Blvd 40202                    | Cash     | Operating support                                    | None | PC- 509(a)(1)or(a)(2) | \$1,000 00        |
| Alice Lloyd College                           | 100 Purpose Road, Pippa Passes KY 41844           | Cash     | Scholarship Program                                  | None | PC- 509(a)(1)or(a)(2) | \$5,000.00        |
| Alzheimers Association                        | 6100 Dutchmans Ln #401 Louisville KY 40205        | Cash     | Operating support                                    | None | PC- 509(a)(1)or(a)(2) | \$1,000 00        |
| American Diabetes Association                 | 161 St Matthews Ave, Louisville KY 40207          | Cash     | Operating support                                    | None | PC- 509(a)(1)or(a)(2) | \$1,000 00        |
| American Heart Association                    | 240 Whittington Pk, Louisville KY 40222           | Cash     | Operating support                                    | None | PC- 509(a)(1)or(a)(2) | \$500 00          |
| American Institute for Economic Research      | 250 Division St, Great Barrington MA 01730        | Cash     | Operating support                                    | None | PC- 509(a)(1)or(a)(2) | \$100 00          |
| American National Red Cross                   | 510 East Chestnut St, Louisville KY 40202         | Cash     | General Support-Oldham County                        | None | PC- 509(a)(1)or(a)(2) | \$3,000 00        |
| American Printing House for the Blind, Inc    | 1839 Frankfort Avenue, Louisville KY 40206        | Cash     | Paper Cutter Machine                                 | None | PC- 509(a)(1)or(a)(2) | \$15,500.00       |
| Americana Community Center, Inc               | 4801 Southside Dr, Louisville KY 40214            | Cash     | One Evening-99 Countines                             | None | PC- 509(a)(1)or(a)(2) | \$7 000 00        |
| Animal Care Society                           | 12207 westport Road, Louisville KY 40245          | Cash     | Operating Support                                    | None | PC- 509(a)(1)or(a)(2) | \$1,000 00        |
| Association of Fundraising Professionals      | P O Box 99125, Louisville KY 40239                | Cash     | National Philanthropic Day                           | None | PC- 509(a)(1)or(a)(2) | \$1,000 00        |
| Battle of Franklin Trust, INC                 | 1345 Camton Ln, Franklin TN 37064                 | Cash     | Operating Support                                    | None | PC- 509(a)(1)or(a)(2) | \$1,000 00        |
| Bellarmine University                         | 2001 Newburg road, Louisville KY 40205            | Cash     | Operating Support                                    | None | PC- 509(a)(1)or(a)(2) | \$10,000 00       |
| Belle Grove Plantation, Inc.                  | 336 Belle Grove Rd, Middletown VA 22645           | Cash     | Operating Support                                    | None | PC- 509(a)(1)or(a)(2) | \$1,000 00        |
| Belmont Mansion                               | 1900 Belmont Road, Nashville TN 37212-3757        | Cash     | Gasoliers Installation                               | None | PC- 509(a)(1)or(a)(2) | \$5,400 00        |
| Big Brothers Big Sisters of Kentuckiana, Inc  | 1519 Gardiner Lane, Louisville KY 40218           | Cash     | Oldham County At Risk Children Program               | None | PC- 509(a)(1)or(a)(2) | \$4,800 00        |
| Boys & Girls Club, Inc                        | P O Box 4989 Louisville KY 40204                  | Cash     | Operating Support                                    | None | PC- 509(a)(1)or(a)(2) | \$4,000 00        |
| Bluegrass Center for Autism                   | 1250 Bardstown Road, Louisville KY 40205          | Cash     | Playground Renovation                                | None | PC- 509(a)(1)or(a)(2) | \$8,000 00        |
| Bridgehaven, Inc                              | 950 S Fourth St, Louisville KY 40203              | Cash     | Golf Challenge                                       | None | PC- 509(a)(1)or(a)(2) | \$750 00          |
| Bullitt County Public Schools                 | 1040 Highway 44 East, Shepherdsville KY 40165     | Cash     | More to Math Lego Education Kits                     | None | PC- 509(a)(1)or(a)(2) | \$3,000 00        |
| Cabbage Patch Settlement House, Inc           | 1413 S Sixth St, Louisville KY 40208              | Cash     | Exterior Painting, Educ Trng, Memory - Ted Merham    | None | PC- 509(a)(1)or(a)(2) | \$10,000 00       |
| Campbell House Foundation                     | 1508 Locust St, St. Louis MO 63103                | Cash     | Operating Support                                    | None | PC- 509(a)(1)or(a)(2) | \$1,000.00        |
| Campbellsville University, Inc                | 1 University Drive Campbellsville KY 42718        | Cash     | Scholarship Program                                  | None | PC- 509(a)(1)or(a)(2) | \$1,000 00        |
| Catholic Charities of Louisville Inc          | 2220 W Market St, Louisville KY 40212             | Cash     | Match-LYPC- Refugee Agricultural Partnership         | None | PC- 509(a)(1)or(a)(2) | \$5,000 00        |
| Cedar Lake Foundation, Inc                    | 9505 Williamsburg Plaza, Louisville KY 40222      | Cash     | Adult care-intellectual & developmental disabilities | None | PC- 509(a)(1)or(a)(2) | \$2,000 00        |
| Center for Nonprofit Excellence               | 323 West Broadway, Suite 501, Louisville KY 40202 | Cash     | Membership, Operating Support                        | None | PC- 509(a)(1)or(a)(2) | \$26,500 00       |
| Central Catholic High School                  | 4720 Fifth Ave., Pittsburg PA 15213               | Cash     | Staley Family Endowed Scholarship                    | None | PC- 509(a)(1)or(a)(2) | \$5,000 00        |
| Chance School, Inc.                           | 4200 Lime Kiln Lane, Louisville KY 40222          | Cash     | Ipads/Computers                                      | None | PC- 509(a)(1)or(a)(2) | \$5,000 00        |
| Citizens Louisville Organized United Together | 1113 S Fourth St, #350, Louisville KY 40202       | Cash     | Operating Support                                    | None | PC- 509(a)(1)or(a)(2) | \$1,000 00        |
| City of Louisville, Cultural Pass Program     | 416 W Jefferson Louisville KY 40202               | Cash     | Cultural Pass Program                                | None | PC- 509(a)(1)or(a)(2) | \$5,000 00        |
| Coalition for the Homeless                    | 1300 S Fourth St, Louisville KY 40208-2314        | Cash     | Housing for Homeless Vets                            | None | PC- 509(a)(1)or(a)(2) | \$5,000 00        |
| Commonwealth Fund for KET, Inc                | 560 Cooper Dr, Lexington KY 40502                 | Cash     | Operating Support                                    | None | PC- 509(a)(1)or(a)(2) | \$1,000 00        |
| Crimson Mission (duPont Manual)               | 120 W Lee, Louisville KY 40208                    | Cash     | Operating Support                                    | None | PC- 509(a)(1)or(a)(2) | \$1,000 00        |

| MILDRED V. HORN FOUNDATION GRANTS MADE-2015     |                                                  |                |                                               |             |  |           |                       |             |  |
|-------------------------------------------------|--------------------------------------------------|----------------|-----------------------------------------------|-------------|--|-----------|-----------------------|-------------|--|
|                                                 |                                                  | Description of |                                               | CONTRIBUTED |  | RELATION- |                       | TOTAL COST  |  |
|                                                 |                                                  | PROPERTY       |                                               | PURPOSE     |  | SHIP      |                       | OF FMV      |  |
| NAME                                            | ADDRESS                                          |                |                                               |             |  |           | STATUS                | AMOUNT      |  |
| Custom Quality Services, Inc                    | 3401 Jewell Ave , Louisville, KY 40212           | Cash           | Software/hardware upgrade                     |             |  | None      | PC- 509(a)(1)or(a)(2) | \$3,000.00  |  |
| Cystic Fibrosis Foundation                      | 1941 Bishop Lane, Ste 109,Louisville KY 40218    | Cash           | Operating Support                             |             |  | None      | PC- 509(a)(1)or(a)(2) | \$1,000.00  |  |
| Dare to Care, Inc.                              | 5803 Fern Valley Road, Louisville KY 40228       | Cash           | Operating Support                             |             |  | None      | PC- 509(a)(1)or(a)(2) | \$5,000.00  |  |
| Day Spring, Inc                                 | 3430 Day Spring Court, Louisville KY 40213       | Cash           | Handicapped Accessible automatic door         |             |  | None      | PC- 509(a)(1)or(a)(2) | \$2,000.00  |  |
| Delta Upsilon Educational Foundation            | 8705 Founders Road, Indianapolis IN46228         | Cash           | Scholarship Program Louisville Chapter        |             |  | None      | PC- 509(a)(1)or(a)(2) | \$5,000.00  |  |
| Dewey Dam Dog & Cat Protection Society, Inc     | PO Box 1502, Prestonsburg KY 41653               | Cash           | Operating Support                             |             |  | None      | PC- 509(a)(1)or(a)(2) | \$3,000.00  |  |
| Doctors Without Borders                         | 333 Seventh Ave , 2nd Floor, New York NY 10006   | Cash           | Operating Support                             |             |  | None      | PC- 509(a)(1)or(a)(2) | \$1,000.00  |  |
| Donors Forum of Kentuckiana                     | Louisville KY                                    | Cash           | Dues                                          |             |  | None      | PC- 509(a)(1)or(a)(2) | \$550.00    |  |
| Down Syndrome of Louisville, Inc                | 4604 Bardstown Rd , Louisville KY 40218          | Cash           | Operating Support                             |             |  | None      | PC- 509(a)(1)or(a)(2) | \$1,000.00  |  |
| Exponent Philanthropy                           | 1720 N Street, NW, Washington DC 20036           | Cash           | Operating Support, Renew Membership           |             |  | None      | PC- 509(a)(1)or(a)(2) | \$1,750.00  |  |
| Eyes That See Inc                               | 3131 S Second St , Suite 210, Louisville KY40208 | Cash           | Operating Support                             |             |  | None      | PC- 509(a)(1)or(a)(2) | \$5,000.00  |  |
| Fabulous Leopard Percussionists, Inc            | P O Box 3291, Louisville KY 40291                | Cash           | Operating Support                             |             |  | None      | PC- 509(a)(1)or(a)(2) | \$5,000.00  |  |
| Family & Children's Place                       | 525 Zane St , Louisville KY 40203                | Cash           | Programming & Services                        |             |  | None      | PC- 509(a)(1)or(a)(2) | \$5,000.00  |  |
| Family Scholar House, Inc                       | 403 Reg Smun Circle, Louisville, KY 40208        | Cash           | MATCH-LYPC-Healthy Relationship Workshop      |             |  | None      | PC- 509(a)(1)or(a)(2) | \$7,000.00  |  |
| Father Maloney's Boys Haven, Inc                | 2301 Goldsmith Lane, Louisville KY 40218         | Cash           | Vocational Training Program                   |             |  | None      | PC- 509(a)(1)or(a)(2) | \$1,000.00  |  |
| Franciscan Shelter House, Inc                   | 748 S Preston, Louisville KY 40201-1673          | Cash           | Operating Support                             |             |  | None      | PC- 509(a)(1)or(a)(2) | \$5,000.00  |  |
| Friends of the Culbertson Mansion, Inc.         | 914 E Main St , New Albany IN 47150              | Cash           | Operating Support                             |             |  | None      | PC- 509(a)(1)or(a)(2) | \$1,000.00  |  |
| Friends of the David Farnsley House, Inc        | c/o 400 W Market St , Louisville KY 40202        | Cash           | Operating Support                             |             |  | None      | PC- 509(a)(1)or(a)(2) | \$1,000.00  |  |
| Fund for the Arts, Inc                          | 623 West Main St , Louisville KY 40202           | Cash           | Operating Support and 5+5 education program   |             |  | None      | PC- 509(a)(1)or(a)(2) | \$25,000.00 |  |
| Good Health Ideas, Inc                          | P O Box 4039, Louisville KY 40204                | Cash           | Colon Cancer Prevention Program               |             |  | None      | PC- 509(a)(1)or(a)(2) | \$2,500.00  |  |
| Goodwill Industries of Kentucky, Inc            | 907 East Broadway, Louisville KY 40204-3000      | Cash           | Operating Support                             |             |  | None      | PC- 509(a)(1)or(a)(2) | \$3,000.00  |  |
| Green Hill Therapy, Inc                         | 1410 Long Run Rd , Louisville, KY 40245          | Cash           | Capital Improvements                          |             |  | None      | PC- 509(a)(1)or(a)(2) | \$30,000.00 |  |
| Habitat for Humanity of Metro Louisville, Inc   | 2777 S Floyd St , Louisville KY 40209            | Cash           | Operating Support                             |             |  | None      | PC- 509(a)(1)or(a)(2) | \$1,000.00  |  |
| Harbor House of Louisville, Inc                 | 2231 Lower Hunters Trace, Louisville KY 40203    | Cash           | Operating Support                             |             |  | None      | PC- 509(a)(1)or(a)(2) | \$1,000.00  |  |
| Heuser Hearing & Language Institute             | 111 E Kentucky Louisville KY 40203               | Cash           | Education for Deaf                            |             |  | None      | PC- 509(a)(1)or(a)(2) | \$3,000.00  |  |
| Historic Eleutheran College Inc                 | P O Box 705, Madison IN 47250                    | Cash           | Challenge Grant Jeffns Family Foundation      |             |  | None      | PC- 509(a)(1)or(a)(2) | \$3,000.00  |  |
| Historic Homes Foundation, Inc                  | 3110 Lexington Rd , Louisville KY 40206          | Cash           | Whitehall Restoration, Farmington Restoration |             |  | None      | PC- 509(a)(1)or(a)(2) | \$56,099.00 |  |
| Historic Madison, Inc.                          | 500 West St , Madison IN 47250                   | Cash           | Challenge Grant-Shrewsbury-Windle House       |             |  | None      | PC- 509(a)(1)or(a)(2) | \$50,000.00 |  |
| Historic Pans Bourbon City, Inc ,Hopwell Museum | 800 Pleasant St , Paris KY 40361                 | Cash           | Matthew Jouett Manuscript                     |             |  | None      | PC- 509(a)(1)or(a)(2) | \$1,000.00  |  |
| Home of the Innocents, Inc.                     | 1100 East Market, Louisville KY 40206            | Cash           | Aftercare Program, Operating Support          |             |  | None      | PC- 509(a)(1)or(a)(2) | \$5,000.00  |  |
| Hosea's House                                   | 2828 Montgomery St Louisville KY 40212           | Cash           | Purchase Furnace                              |             |  | None      | PC- 509(a)(1)or(a)(2) | \$6,000.00  |  |
| Hosparus, Inc                                   | 3532 Ephraim McDowell Dr ,Louisville KY 40205    | Cash           | Memory Debi Magnes                            |             |  | None      | PC- 509(a)(1)or(a)(2) | \$5,000.00  |  |
| House of Ruth, Inc                              | 607 East St Catherine St , Louisville KY 40203   | Cash           | Glade House Emergency Shelter                 |             |  | None      | PC- 509(a)(1)or(a)(2) | \$5,000.00  |  |
| Jefferson County Historical Society             | 615 West 1st Street, Madison, IN 47250           | Cash           | Education Programs                            |             |  | None      | PC- 509(a)(1)or(a)(2) | \$3,891.00  |  |
| Jefferson County Pub Schools, Waggener High     | 330 S Hubbards Lane, Louisville KY 40207         | Cash           | Benefit Concert, Athletic football equipment  |             |  | None      | PC- 509(a)(1)or(a)(2) | \$14,500.00 |  |
| Jefferson Street Baptist Center, Inc            | 733 East Jefferson St , Louisville KY 40202      | Cash           | Louisville Rescue Mission                     |             |  | None      | PC- 509(a)(1)or(a)(2) | \$5,000.00  |  |
| Junior Achievement of Kentuckiana, Inc          | 1401 W Muhammad Ali Blvd,Louisville,KY40203      | Cash           | Operating Support                             |             |  | None      | PC- 509(a)(1)or(a)(2) | \$2,000.00  |  |





| MILDRED V. HORN FOUNDATION GRANTS MADE-2015  |                                                |          |                                             |      |                       |        |            |        |                |
|----------------------------------------------|------------------------------------------------|----------|---------------------------------------------|------|-----------------------|--------|------------|--------|----------------|
| Description of                               |                                                |          |                                             |      |                       |        |            |        |                |
| CONTRIBUTED                                  |                                                |          |                                             |      |                       |        |            |        |                |
| NAME                                         | ADDRESS                                        | PROPERTY | PURPOSE                                     | SHIP | RELATION-             | STATUS | TOTAL COST | OF FMV | AMOUNT         |
| The Filson Historical Society                | 1310 S Third St, Louisville KY 40208           | Cash     | Storage Cabinets                            | None | PC- 509(a)(1)or(a)(2) |        |            |        | \$5,000.00     |
| The Healing Place, Inc                       | 1020 West Market St, Louisville KY 40202       | Cash     | Men's Campus Expansion                      | None | PC- 509(a)(1)or(a)(2) |        |            |        | \$10,000.00    |
| The Library Foundation                       | 301 York St, Louisville KY 40203-2257          | Cash     | C Butthod Author series, South CentReg Libr | None | PC- 509(a)(1)or(a)(2) |        |            |        | \$110,000.00   |
| The Morton Center, Inc                       | 1028 Barrett Ave Louisville KY 40204           | Cash     | Operating Support                           | None | PC- 509(a)(1)or(a)(2) |        |            |        | \$3,000.00     |
| The Salvation Army                           | P. O. Box 1149, Louisville KY 40201-1149       | Cash     | Angel Tree Program                          | None | PC- 509(a)(1)or(a)(2) |        |            |        | \$25,000.00    |
| U S Catholic Conference Xaverian High School | 7100 Shore Road, Brooklyn NY 11209             | Cash     | Scholarship Program-Honor Louise Blaney     | None | PC- 509(a)(1)or(a)(2) |        |            |        | \$2,000.00     |
| United Crescent Hill Ministries              | 150 S State St, Louisville KY 40206            | Cash     | Operating Support                           | None | PC- 509(a)(1)or(a)(2) |        |            |        | \$1,000.00     |
| University of the Cumberland                 | 6191 College Station Dr, Williamsburg KY 40769 | Cash     | Scholarship Program                         | None | PC- 509(a)(1)or(a)(2) |        |            |        | \$3,000.00     |
| University of Louisville Foundation, Inc     | Belknap Campus S Third, Louisville KY 40208    | Cash     | Academic Ctr of Exc, Const Chg order DU;    | None | PC- 509(a)(1)or(a)(2) |        |            |        |                |
|                                              |                                                |          | Endowed Chair, Scholarship funds,           |      |                       |        |            |        | \$127,210.00   |
| University of Pikeville                      | 147 Sycamore St, Pikeville KY 41501            | Cash     | Operating Support                           | None | PC- 509(a)(1)or(a)(2) |        |            |        | \$5,000.00     |
| Uspiritus, Inc.                              | 3121 Brooklawn Campus Dr, Louisville KY 40218  | Cash     | Operating Support                           | None | PC- 509(a)(1)or(a)(2) |        |            |        | \$1,000.00     |
| Volunteers of America                        | 933 Goss Ave, Louisville KY 40217-1268         | Cash     | Operating Support                           | None | PC- 509(a)(1)or(a)(2) |        |            |        | \$10,000.00    |
| Walden School                                | Westport Road, Louisville KY 40207             | Cash     | Laptop Computers                            | None | PC- 509(a)(1)or(a)(2) |        |            |        | \$5,000.00     |
| Walden Theatre/Blue Apple Players            | 1123 Payne St, Louisville KY 40204             | Cash     | Earlychildhood programs/Oldham County       | None | PC- 509(a)(1)or(a)(2) |        |            |        | \$25,000.00    |
| Water With Blessings, Inc                    | 11714 W Main, Middletown KY 40243              | Cash     | Support for Water Filters                   | None | PC- 509(a)(1)or(a)(2) |        |            |        | \$1,000.00     |
| Wayside Christian Mission                    | P O Box 7249, Louisville KY 40257-0249         | Cash     | Operating Support                           | None | PC- 509(a)(1)or(a)(2) |        |            |        | \$20,000.00    |
| YMCA of Greater Louisville, Inc              | 2400 Crittenden Dr, Louisville KY 40217        | Cash     | LIVEStrong at the Y, Safe House'            | None | PC- 509(a)(1)or(a)(2) |        |            |        | \$10,000.00    |
|                                              |                                                |          |                                             |      |                       |        |            |        | \$1,579,200.00 |