



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2015

Department of the Treasury
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2015 or tax year beginning , 2015, and ending , 20

Name of foundation Norma D. Hanley and Thomas R. Hanley Foundation		A Employer identification number 61-1326779
Number and street (or P O box number if mail is not delivered to street address) 7504 Chestnut Hill Drive	Room/suite	B Telephone number (see instructions) 502-228-0161
City or town, state or province, country, and ZIP or foreign postal code Prospect, KY 40059-9484		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,814,997.03	J Accounting method: ☐ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	712,688.63			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	74,897.36	74,897.36	74,897.36	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	236,265.03			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		236,265.03		
	8 Net short-term capital gain			(100,351.69)	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	1,023,851.02	311,162.39	(25,454.33)		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	25,000.00	25,000.00	25,000.00	25,000.00
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	22,923.01	22,923.01	22,923.01	22,923.01
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	282.54	282.54	282.54	282.54
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	2,931.64	2,931.64	2,931.64	2,931.64
	22 Printing and publications				
	23 Other expenses (attach schedule)	15.00	15.00	15.00	15.00
	24 Total operating and administrative expenses. Add lines 13 through 23	51,152.19	51,152.19	51,152.19	51,152.19
	25 Contributions, gifts, grants paid	106,825.00			106,825.00
26 Total expenses and disbursements. Add lines 24 and 25	157,977.19	51,152.19	51,152.19	157,977.19	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	865,873.83				
b Net investment income (if negative, enter -0-)		260,010.20			
c Adjusted net income (if negative, enter -0-)			0.00		

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2015)

SCANNED MAY 20 2016

215

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	316,701.34	101,733.36	101,733.36
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	1,338,621.53	2,475,390.04	2,713,263.67
	c Investments—corporate bonds (attach schedule)	6,351.70		
	11 Investments—land, buildings, and equipment: basis ▶			
Liabilities	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,661,674.57	2,577,123.40	2,814,997.03
	17 Accounts payable and accrued expenses			
	18 Grants payable	2,100.00	51,675.00	
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	2,100.00	51,675.00	
	Foundations that follow SFAS 117, check here ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐			
	and complete lines 27 through 31.			
Net Assets or Fund Balances	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	1,659,574.57	2,525,448.40	
	30 Total net assets or fund balances (see instructions)	1,659,574.57	2,525,448.40	
	31 Total liabilities and net assets/fund balances (see instructions)	1,659,574.57	2,525,448.40	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,659,574.57
2 Enter amount from Part I, line 27a	2	865,873.83
3 Other increases not included in line 2 (itemize) ▶	3	0.00
4 Add lines 1, 2, and 3	4	2,525,448.40
5 Decreases not included in line 2 (itemize) ▶	5	0.00
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,525,448.40

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	Merrill-Lynch 655-04086 (attached)	P	various	various
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	236,265.03	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	(100,351.69)	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	51,152	2,814,997	0.0182
2013	20,375	2,042,062	0.0100
2012	9,050	161,141	0.0421
2011	4,400	155,762	0.0273
2010	3,850	156,679	0.0247
2	Total of line 1, column (d)	2	0.1223
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.0245
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	2,875,299.38
5	Multiply line 4 by line 3	5	70,444.83
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,600.10
7	Add lines 5 and 6	7	73,044.93
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	157,977.19

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	2,600	10
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3	2,600	10
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,600	10
6	Credits/Payments:			
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	260	00
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,600	10
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11	Enter the amount of line 10 to be: Credited to 2016 estimated tax Refunded	11	2860	10

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		✓
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ Kentucky		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		✓
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		✓
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	✓	
14 The books are in care of ▶ Thomas R. Hanley Telephone no. ▶ 502-228-0161 Located at ▶ 7504 Chestnut Hill Drive, Prospect, KY ZIP+4 ▶ 40059-9484		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here. ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		✓
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	✓
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☐ No		
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.</i>)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:				
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes	☒ No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?			5b
	Organizations relying on a current notice regarding disaster assistance check here			☐
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b
	If "Yes" to 6b, file Form 8870.			✓
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Information attached for seven (7) officers and directors				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,877,027.46
b	Average of monthly cash balances	1b	42,058.20
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	2,919,085.66
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,919,085.66
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	43,786.28
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,875,299.38
6	Minimum investment return. Enter 5% of line 5	6	143,764.97

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	143,764.97
2a	Tax on investment income for 2015 from Part VI, line 5	2a	2,600.10
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,600.10
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	141,164.87
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	141,164.87
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	141,164.87

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	157,977.19
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	157,977.19
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,600.10
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	155,377.09

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				141,164.87
2 Undistributed income, if any, as of the end of 2015:				
a Enter amount for 2014 only			0.00	
b Total for prior years: 20____, 20____, 20____		0.00		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				6,522.23
e From 2014				7,866.67
f Total of lines 3a through e	14,388.90			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ 157,977.19				
a Applied to 2014, but not more than line 2a			0.00	
b Applied to undistributed income of prior years (Election required—see instructions)		0.00		
c Treated as distributions out of corpus (Election required—see instructions)	0.00			
d Applied to 2015 distributable amount				141,164.87
e Remaining amount distributed out of corpus	16,812.32			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.00			0.00
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	31,201.22			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.00		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.00		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0.00		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount—see instructions			0.00	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.00
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)	0.00			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	0.00			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	31,201.22			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				6,522.23
d Excess from 2014				7,866.67
e Excess from 2015				16,812.32

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling	N/A				
b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Attached				
Total				3a
b <i>Approved for future payment</i>				
NONE				
Total				3b

Enter gross amounts unless otherwise indicated.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2015)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|---|--|-------|----|
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | ✓ |
| | (2) Other assets | 1a(2) | ✓ |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | ✓ |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | ✓ |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | ✓ |
| | (4) Reimbursement arrangements | 1b(4) | ✓ |
| | (5) Loans or loan guarantees | 1b(5) | ✓ |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | ✓ |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | ✓ |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Thomas R. Hanley
Signature of officer or trustee

5/12/16
Date

Vice President
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN

Firm's name ▶

Firm's EIN ►

Firm's address ►

Phone no

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2015

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.**Name of the organization****Norma D. Hanley and Thomas R. Hanley Foundation****Employer identification number****61-1326779****Organization type** (check one):**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☐
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
Norma D. Hanley and Thomas R. Hanley Foundation	61-1326779

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-----	Margaret E. Dickens Foundation 7504 Chestnut Hill Drive Prospect, KY 40059-9484	\$ 902,315.70	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
-----		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
-----		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
-----		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
-----		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
-----		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
-----		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
-----		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
-----		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
-----		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Norma D. Hanley and Thomas R. Hanley Foundation

Employer identification number

61-1326779

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	Attached - all cash and noncash distributions were transferred from the Margaret E. Dickens Foundation by Merrill-Lynch ----- ----- -----	\$ 844,596.64	01/05/2015
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----

Norma D. Hanley and Thomas R. Hanley Foundation Corporation
EIN 61-1326779

SCHEDULE OF INFORMATION FOR FORM 990-PF

Part I – Line 1

Funds Received from Margaret Dickins Foundation (book value) \$ 712,688.63

NOTE: These funds were transferred in January 2015.

Part I – Line 16c

Annual Merrill Lynch Account Fees \$ 22,923.01

Part I – Line 18

Federal Excise Tax on Investment Income \$ 282.54

Part I – Line 21

Travel, conferences and meetings \$ 2,931.64

Part I – Line 23

Kentucky Corporation Renewal Fee \$ 15.00

Part VIII – Line 1

List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Norma D. Hanley, 7504 Chestnut Hill Drive, Prospect, KY 40059-9484	President, Director 1.00	\$12,500	0	0
Thomas R. Hanley, 7504 Chestnut Hill Drive, Prospect, KY 40059-9484	Vice President, Director – 0.50	\$12,500	0	0
Margaret M. Decker, 13600 LaGrange Road, Louisville, KY 40245	Director - 0.25	0	0	0
Thomas J. Hanley, 4508 Guam Street, Virginia Beach, VA 23455	Director - 0.25	0	0	0
Alan M. Hanley, 234 W. Magnolia Ave., Unit 315, Auburn, AL 36830	Director - 0.25	0	0	0
Andrew R. Hanley, 7512 Norbourne Ave., Louisville, KY 40222	Director - 0.25	0	0	0
Caitlin M. Hanley, 7504 Chestnut Hill Drive, Prospect, KY 40059-9484	Director - 0.25	0	0	0

Part XV – Line 3a

Tau Beta Pi, Knoxville, TN	N/A	Public	Unrestricted Donation	50.00
University of Dayton, Dayton, OH	N/A	Public	Unrestricted Donation	3,000.00
Bellarmino University, Louisville, KY	N/A	Public	Unrestricted Donation	1,500.00
University of Louisville, Louisville, KY	N/A	Public	Unrestricted Donation	21,000.00
Trinity High School, Louisville, KY	N/A	Public	Unrestricted Donation	250.00
Unbridled Children's Fund, Louisville, KY	N/A	Public	Unrestricted Donation	25,000.00
V Foundation, Louisville, KY	N/A	Public	Unrestricted Donation	250.00
United Way, Lee County, AL	N/A	Public	Unrestricted Donation	100.00
Auburn University, Auburn, AL	N/A	Public	Unrestricted Donation	1,000.00
AIChE, New York NY	N/A	Public	Unrestricted Donation	1,000.00
Virginia Tech, Blacksburg, VA	N/A	Public	Unrestricted Donation	1,000.00
University of Louisville, Louisville, KY	N/A	Public	Unrestricted Donation	2,000.00
University of Dayton, Dayton, OH	N/A	Public	Unrestricted Donation	1,000.00
Bellarmino University, Louisville, KY	N/A	Public	Unrestricted Donation	3,000.00
Christ Church United Methodist, Louisville, KY	N/A	Public	Unrestricted Donation	1,000.00
North Oldham High School, Crestwood, KY	N/A	Public	Unrestricted Donation	675.00
Unbridled Children's Fund, Louisville, KY	N/A	Public	Unrestricted Donation	45,000.00
TOTAL				106,825.00

Fiscal Statement
CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
	CASH		2,927 21	2,927 21			
96,357	ML BANK DEPOSIT PROGRAM	01/27/15	96,357.00	96,357 00			19.27
	Total Cash and Money Funds		99,284.21	99,284.21			19.27

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Annual Income
Equities						
388	ALTRIA GROUP INC	03/27/09	6,452.45	22,585 48	16,133 03	877 00
93	ALTRIA GROUP INC	01/21/15	5,013.63	5,413 53	399.90	211 00
1	ALTRIA GROUP INC	01/26/15	54 28	58 21	3 93	3 00
66	ALASKA AIR GROUP INC COM	11/25/13	2,570.34	5,313 66	2,743 32	53 00
269	ALASKA AIR GROUP INC COM	11/25/13	10,476.10	21,657.19	11,181.09	216.00
16	ALASKA AIR GROUP INC COM	01/02/14	586 67	1,288.16	701 49	13 00
12	ALASKA AIR GROUP INC COM	01/15/14	472 14	966 12	493.98	10 00
10	ALASKA AIR GROUP INC COM	04/03/14	473 57	805 10	331.53	8 00
14	ALASKA AIR GROUP INC COM	04/30/14	658 82	1,127 14	468 32	12 00

PLEASE SEE REVERSE SIDE

Page 5 of 102

Statement Period Year Ending 12/31/15

Account No 655-04086



Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Annual Income
Equities						
9	ALASKA AIR GROUP INC COM	10/27/14	465.87	724.59	258.72	8.00
9	ALASKA AIR GROUP INC COM	10/28/14	476.13	724.59	248.46	8.00
41	AMAZON COM INC COM	08/26/15	20,471.49	27,711.49	7,240.00	
25	AMAZON COM INC COM	09/01/15	12,411.75	16,897.25	4,485.50	
26	ASBURY AUTOMOTIVE GROUP	08/03/12	698.70	1,753.44	1,054.74	
201	ASBURY AUTOMOTIVE GROUP	08/03/12	5,401.43	13,555.44	8,154.01	
57	ASBURY AUTOMOTIVE GROUP	08/13/12	1,523.79	3,844.08	2,320.29	
5	ASBURY AUTOMOTIVE GROUP	09/07/12	149.01	337.20	188.19	
1	ASBURY AUTOMOTIVE GROUP	10/04/12	29.26	67.44	38.18	
26	ASBURY AUTOMOTIVE GROUP	04/01/13	948.62	1,753.44	804.82	
50	ASBURY AUTOMOTIVE GROUP	01/21/15	3,554.50	3,372.00	(182.50)	
5	ABBVIE INC SHS	02/26/15	302.80	296.20	(6.60)	12.00
223	ABBVIE INC SHS	06/01/15	14,965.02	13,210.52	(1,754.50)	509.00
332	ABBVIE INC SHS	07/23/15	23,424.43	19,667.68	(3,756.75)	757.00
2	ALIBABA GROUP HOLDING LT	09/22/14	179.88	162.54	(17.34)	
36	ALIBABA GROUP HOLDING LT	09/22/14	3,303.81	2,925.72	(378.09)	
91	APPLE INC	06/01/10	3,451.31	9,578.66	6,127.35	190.00

Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Annual Income
Equities						
151	APPLE INC	08/22/11	7,787.29	15,894.26	8,106.97	315.00
27	APPLE INC	06/15/12	2,201.29	2,842.02	640.73	57.00
14	APPLE INC	06/19/12	1,173.15	1,473.64	300.49	30.00
21	APPLE INC	06/29/12	1,748.96	2,210.46	461.50	44.00
42	APPLE INC	11/15/12	3,174.90	4,420.92	1,246.02	88.00
28	APPLE INC	11/15/12	2,111.70	2,947.28	835.58	59.00
35	APPLE INC	02/15/13	2,325.80	3,684.10	1,358.30	73.00
5	BLACKROCK INC	09/14/11	750.34	1,702.60	952.26	44.00
20	BLACKROCK INC	05/24/12	3,375.03	6,810.40	3,435.37	175.00
25	BLACKROCK INC	11/28/12	4,948.26	8,513.00	3,564.74	218.00
60	BLACKROCK INC	11/28/12	11,875.82	20,431.20	8,555.38	524.00
3	BLACKROCK INC	12/05/12	578.05	1,021.56	443.51	27.00
9	BLACKROCK INC	12/10/12	1,759.32	3,064.68	1,305.36	79.00
16	BLACKROCK INC	12/11/12	3,170.52	5,448.32	2,277.80	140.00
13	BLACKROCK INC	12/12/12	2,605.54	4,426.76	1,821.22	114.00
8	BLACKROCK INC	12/13/12	1,604.92	2,724.16	1,119.24	70.00
2	BLACKROCK INC	12/18/12	413.68	681.04	267.36	18.00

EMASM Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Annual Income
Equities						
60	BLACKROCK INC	01/21/15	20,908.80	20,431.20	(477.60)	524.00
11	BLACKROCK INC	07/08/15	3,722.70	3,745.72	23.02	96.00
181	BOEING COMPANY	07/23/15	26,427.34	26,170.79	(256.55)	790.00
117	BOEING COMPANY	10/21/15	16,513.33	16,917.03	403.70	511.00
154	COMCAST CORP NEW CL A	05/24/11	3,750.86	8,690.22	4,939.36	154.00
448	COMCAST CORP NEW CL A	01/21/15	24,804.28	25,280.64	476.36	448.00
3	COMCAST CORP NEW CL A	01/26/15	169.89	169.29	(0.60)	3.00
157	COMCAST CORP NEW CL A	06/01/15	9,220.50	8,859.51	(360.99)	157.00
597	CARNIVAL CORP PAIRED SHS	07/13/15	30,960.72	32,524.56	1,563.84	717.00
26	COSTCO WHOLESALE CRP DEL	05/13/11	2,148.81	4,199.00	2,050.19	42.00
20	CELGENE CORP COM	05/11/12	709.73	2,395.20	1,685.47	
32	CELGENE CORP COM	06/18/12	1,056.88	3,832.32	2,775.44	
2	CELGENE CORP COM	08/20/12	70.21	239.52	169.31	
29	CELGENE CORP COM	02/15/13	1,439.42	3,473.04	2,033.62	
862	BLACKSTONE MORTGAGE TRUS INC	10/21/15	24,391.76	23,067.12	(1,324.64)	2,138.00
18	CIGNA CORP	06/25/13	1,278.36	2,633.94	1,355.58	1.00

EMASM Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Annual Income
Equities						
54	CIGNA CORP	07/01/13	3,934.43	7,901.82	3,967.39	3.00
18	CIGNA CORP	07/01/13	1,311.48	2,633.94	1,322.46	1.00
1	CIGNA CORP	11/25/13	87.93	146.33	58.40	1.00
19	CIGNA CORP	04/14/14	1,469.06	2,780.27	1,311.21	1.00
91	CIGNA CORP	01/21/15	10,009.08	13,316.03	3,306.95	4.00
1	CIGNA CORP	01/26/15	109.01	146.33	37.32	1.00
779	CISCO SYSTEMS INC COM	02/26/15	23,123.91	21,153.75	(1,970.16)	655.00
48	CISCO SYSTEMS INC COM	10/21/15	1,356.35	1,303.44	(52.91)	41.00
262	CUMMINS INC COM	11/18/15	25,735.71	23,058.62	(2,677.09)	1,022.00
65	DELTA AIR LINES INC	07/29/13	1,396.94	3,294.85	1,897.91	36.00
33	DELTA AIR LINES INC	06/11/14	1,345.50	1,672.77	327.27	18.00
82	DELTA AIR LINES INC	07/15/14	3,121.12	4,156.58	1,035.46	45.00
357	DELTA AIR LINES INC	07/15/14	13,588.28	18,096.33	4,508.05	193.00
20	DELTA AIR LINES INC	07/25/14	763.11	1,013.80	250.69	11.00
22	DELTA AIR LINES INC	08/18/14	867.13	1,115.18	248.05	12.00
58	DELTA AIR LINES INC	10/20/14	2,092.62	2,940.02	847.40	32.00
16	DELTA AIR LINES INC	10/28/14	637.42	811.04	173.62	9.00

Fiscal Statement
CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Annual Income
Equities						
6	DELTA AIR LINES INC	01/26/15	299 88	304 14	4.26	4 00
107	DELTA AIR LINES INC	03/12/15	4,846 97	5,423 83	576 86	58.00
28	EXXON MOBIL CORP COM	07/20/11	2,345 28	2,182.60	(162 68)	82.00
19	EXXON MOBIL CORP COM	06/21/12	1,607.48	1,481.05	(126 43)	56 00
19	EXXON MOBIL CORP COM	06/25/12	1,545 30	1,481.05	(64 25)	56.00
1,703	FORD MOTOR CO	06/17/15	25,579.57	23,995 27	(1,584.30)	1,022 00
635	FORD MOTOR CO	07/29/15	9,569 45	8,947.15	(622.30)	381 00
51	GENL DYNAMICS CORP COM	02/20/13	3,405.88	7,005.36	3,599.48	141 00
10	GENL DYNAMICS CORP COM	02/21/13	663 98	1,373 60	709 62	28 00
238	GENL DYNAMICS CORP COM	01/21/15	32,965.28	32,691.68	(273.60)	657 00
17	GENL DYNAMICS CORP COM	01/21/15	2,361.00	2,335.12	(25.88)	47 00
121	GARMIN LTD	07/09/15	5,286 47	4,497 57	(788 90)	247 00
803	GARMIN LTD	07/15/15	37,221.22	29,847.51	(7,373.71)	1,639 00
432	GENERAL MOTORS CO COMMON SHARES	08/18/15	13,675.65	14,692.32	1,016 67	623 00
344	GENERAL MOTORS CO COMMON SHARES	10/06/15	11,066 45	11,699 44	632 99	496 00
224	GILEAD SCIENCES INC COM	05/06/15	22,892.17	22,666.56	(225 61)	386 00

PLEASE SEE REVERSE SIDE
 Statement Period
 Year Ending 12/31/15

EMASM Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Annual Income
Equities						
57	HOME DEPOT INC	12/09/15	7,521.19	7,538.25	17.06	135.00
16	INTEL CORP	10/20/11	379.88	551.20	171.32	16.00
7	INTEL CORP	02/15/13	148.26	241.15	92.89	7.00
1,119	INTEL CORP	01/21/15	40,347.00	38,549.55	(1,797.45)	1,075.00
13	INTEL CORP	01/26/15	464.98	447.85	(17.13)	13.00
1,139	JETBLUE AIRWAYS CORP DEL	04/21/15	22,626.46	25,798.35	3,171.89	
193	JETBLUE AIRWAYS CORP DEL	11/19/15	4,950.35	4,371.45	(578.90)	
212	KROGER CO	07/11/14	5,190.74	8,867.96	3,677.22	90.00
510	KROGER CO	07/11/14	12,487.15	21,333.30	8,846.15	215.00
72	KROGER CO	10/20/14	1,900.42	3,011.76	1,111.34	31.00
34	KROGER CO	12/23/14	1,088.02	1,422.22	334.20	15.00
452	KROGER CO	01/21/15	15,089.07	18,907.16	3,818.09	190.00
2	KROGER CO	01/26/15	68.74	83.66	14.92	1.00
209	LOCKHEED MARTIN CORP	01/21/15	40,853.69	45,384.35	4,530.66	1,380.00
2	LOCKHEED MARTIN CORP	01/26/15	390.92	434.30	43.38	14.00
22	LOCKHEED MARTIN CORP	06/01/15	4,222.75	4,777.30	554.55	146.00
18	LOCKHEED MARTIN CORP	07/29/15	3,777.72	3,908.70	130.98	119.00



Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Annual Income
Equities						
81	LOCKHEED MARTIN CORP	09/01/15	16,050 98	17,589 15	1,538 17	535 00
11	LITHIA MOTORS INC CL A	09/07/12	339.34	1,173 37	834 03	9 00
83	LITHIA MOTORS INC CL A	09/07/12	2,560 52	8,853 61	6,293 09	67 00
2	LITHIA MOTORS INC CL A	10/04/12	71.49	213 34	141 85	2 00
1	LITHIA MOTORS INC CL A	11/05/12	34.81	106.67	71 86	1 00
33	LITHIA MOTORS INC CL A	02/20/13	1,364 93	3,520 11	2,155 18	27 00
174	LITHIA MOTORS INC CL A	01/21/15	14,448 94	18,560 58	4,111.64	140 00
93	LEAR CORP SHS	02/02/15	9,487 88	11,423 19	1,935.31	93 00
151	LEAR CORP SHS	02/03/15	16,412 48	18,547 33	2,134 85	151 00
6	LEAR CORP SHS	02/17/15	648.26	736 98	88 72	6 00
75	LEAR CORP SHS	03/02/15	8,399.95	9,212 25	812 30	75 00
153	ELI LILLY & CO	01/15/14	8,174.97	12,891 78	4,716 81	313 00
51	ELI LILLY & CO	01/16/14	2,790 09	4,297 26	1,507.17	105 00
266	ELI LILLY & CO	01/21/15	19,093.11	22,413.16	3,320 05	543 00
2	ELI LILLY & CO	01/26/15	143 84	168 52	24 68	5 00
147	ELI LILLY & CO	06/01/15	11,690 84	12,386 22	695 38	300 00
641	MICROSOFT CORP	11/18/15	34,240.75	35,562 68	1,321 93	924 00

EMASM Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Annual Income
Equities						
118	O'REILLY AUTOMOTIVE INC	03/30/15	25,782.75	29,903.56	4,120.81	
19	O'REILLY AUTOMOTIVE INC	07/01/15	4,387.52	4,814.98	427.46	
28	O'REILLY AUTOMOTIVE INC	09/16/15	7,078.90	7,095.76	16.86	
2	THE PRICELINE GROUP INC	09/06/12	1,216.99	2,549.90	1,332.91	
2	THE PRICELINE GROUP INC	09/07/12	1,238.74	2,549.90	1,311.16	
3	THE PRICELINE GROUP INC	09/17/12	1,932.84	3,824.85	1,892.01	
3	THE PRICELINE GROUP INC	03/01/13	2,086.96	3,824.85	1,737.89	
10	THE PRICELINE GROUP INC	03/01/13	6,956.54	12,749.50	5,792.96	
1	THE PRICELINE GROUP INC	03/12/13	719.12	1,274.95	555.83	
1	THE PRICELINE GROUP INC	03/22/13	696.43	1,274.95	578.52	
3	THE PRICELINE GROUP INC	01/21/15	3,122.04	3,824.85	702.81	
302	REYNOLDS AMERICAN INC	12/20/10	4,930.05	13,937.30	9,007.25	435.00
194	REYNOLDS AMERICAN INC	08/25/15	8,060.20	8,953.10	892.90	280.00
421	REYNOLDS AMERICAN INC	10/06/15	19,095.00	19,429.15	334.15	607.00
32	REGENERON PHARMACTCLS	09/02/15	16,456.80	17,371.84	915.04	
9	REGENERON PHARMACTCLS	09/22/15	4,748.81	4,885.83	137.02	
10	REGENERON PHARMACTCLS	10/07/15	4,832.13	5,428.70	596.57	



Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Annual Income
Equities						
165	SIMON PROPERTY GROUP DEL REIT	01/21/15	32,764.53	32,082.60	(681.93)	999.00
14	SBA COMMUNICATIONS CRP A	06/27/12	806.43	1,470.98	664.55	
24	SBA COMMUNICATIONS CRP A	11/27/12	1,631.03	2,521.68	890.65	
10	SBA COMMUNICATIONS CRP A	02/15/13	689.80	1,050.70	360.90	
13	SKYWORKS SOLUTIONS INC	10/28/14	724.71	998.79	274.08	14.00
26	SKYWORKS SOLUTIONS INC	11/07/14	1,553.12	1,997.58	444.46	28.00
879	SKYWORKS SOLUTIONS INC	01/05/15	63,446.13	67,533.57	4,087.44	915.00
14	SPIRIT AEROSYSTEMS HLDGS INC	01/04/13	250.36	700.98	450.62	
20	SPIRIT AEROSYSTEMS HLDGS INC	02/15/13	337.19	1,001.40	664.21	
43	SPIRIT AEROSYSTEMS HLDGS INC	08/19/13	1,010.07	2,153.01	1,142.94	
132	SPIRIT AEROSYSTEMS HLDGS INC	08/18/14	4,878.60	6,609.24	1,730.64	
366	SPIRIT AEROSYSTEMS HLDGS INC	08/18/14	13,527.03	18,325.62	4,798.59	
24	SPIRIT AEROSYSTEMS HLDGS INC	08/29/14	920.24	1,201.68	281.44	

PLEASE SEE REVERSE SIDE
Page 14 of 102
Statement Period Year Ending 12/31/15
Account No 655-04086

EMASM Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Annual Income
Equities						
22	SPIRIT AEROSYSTEMS HLDGS INC	10/20/14	809.42	1,101.54	292.12	
25	SPIRIT AEROSYSTEMS HLDGS INC	10/28/14	971.26	1,251.75	280.49	
9	SPIRIT AEROSYSTEMS HLDGS INC	12/23/14	389.87	450.63	60.76	
263	SPIRIT AEROSYSTEMS HLDGS INC	01/21/15	11,054.15	13,168.41	2,114.26	
759	SYNCHRONY FINL COM	08/31/15	25,015.50	23,081.19	(1,934.31)	
349	SYNCHRONY FINL COM	09/22/15	10,644.29	10,613.09	(31.20)	
44	STARBUCKS CORP	09/30/13	1,691.97	2,641.32	949.35	36.00
68	STARBUCKS CORP	10/31/13	2,766.74	4,082.04	1,315.30	55.00
42	STARBUCKS CORP	11/08/13	1,701.86	2,521.26	819.40	34.00
77	TRACTOR SUPPLY CO	11/20/14	5,824.39	6,583.50	759.11	62.00
171	TRACTOR SUPPLY CO	11/20/14	12,934.68	14,620.50	1,685.82	137.00
13	TRACTOR SUPPLY CO	11/28/14	1,003.85	1,111.50	107.65	11.00
202	TRACTOR SUPPLY CO	01/20/15	15,181.45	17,271.00	2,089.55	162.00
180	VERIZON COMMUNICATNS COM	03/27/09	5,128.96	8,319.60	3,190.64	407.00
37	VERIZON COMMUNICATNS COM	12/06/13	1,821.20	1,710.14	(111.06)	84.00



Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Annual Income
Equities						
37	VERIZON COMMUNICATNS COM	12/09/13	1,818.60	1,710.14	(108.46)	84.00
22	VERIZON COMMUNICATNS COM	12/10/13	1,081.50	1,016.84	(64.66)	50.00
14	VERIZON COMMUNICATNS COM	12/23/13	681.01	647.08	(33.93)	32.00
411	VERIZON COMMUNICATNS COM	01/21/15	19,736.22	18,996.42	(739.80)	929.00
6	VERIZON COMMUNICATNS COM	01/26/15	281.58	277.32	(4.26)	14.00
164	VISA INC CL A SHRS	05/10/11	3,296.87	12,718.20	9,421.33	92.00
148	VISA INC CL A SHRS	12/31/12	5,528.23	11,477.40	5,949.17	83.00
240	VISA INC CL A SHRS	12/31/12	8,964.69	18,612.00	9,647.31	135.00
46	VISA INC CL A SHRS	09/22/15	3,233.62	3,567.30	333.68	26.00
8	ZOETIS INC	06/19/13	248.37	383.36	134.99	4.00
50	ZOETIS INC	06/21/13	1,531.94	2,396.00	864.06	19.00
39	ZOETIS INC	07/15/13	1,228.57	1,868.88	640.31	15.00
8	ZOETIS INC	12/27/13	261.54	383.36	121.82	4.00
50	ACE LIMITED	05/24/11	3,389.63	5,842.50	2,452.87	134.00
249	ACE LIMITED	01/21/15	27,536.79	29,095.65	1,558.86	668.00
1	ACE LIMITED	01/26/15	112.95	116.85	3.90	3.00
71	ACE LIMITED	10/21/15	8,086.89	8,296.35	209.46	191.00

EMASM Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Annual Income
Equities						
384	WELLS FARGO & CO NEW DEL	11/07/08	10,570.91	20,874.24	10,303.33	576.00
200	WELLS FARGO & CO NEW DEL	05/24/11	5,518.64	10,872.00	5,353.36	300.00
44	WELLS FARGO & CO NEW DEL	12/17/12	1,498.84	2,391.84	893.00	66.00
41	WELLS FARGO & CO NEW DEL	01/21/15	2,137.74	2,228.76	91.02	62.00
127	WELLS FARGO & CO NEW DEL	06/01/15	7,140.75	6,903.72	(237.03)	191.00
Total Equities			1,358,142.12	1,636,717.91	278,575.79	33,238.00
Mutual Funds/Closed End Funds/UIT						
2,605	FIRST EAGLE GLOBAL CLASS I .6340 Fractional Share	02/18/11 12/18/15	131,522.34 32.45	134,287.75 32.68	2,765.41 0.23	1,159.00 1.00
8,978	DOUBLELINE TOTAL RETURN BOND FUND CL I .6310 Fractional Share	01/21/15 01/26/15	99,387.27 6.98	96,782.84 6.80	(2,604.43) (0.18)	3,969.00 1.00
8,196	CALAMOS MARKET NEUTRAL INCOME FD CL I .2810 Fractional Share	01/30/13 01/26/15	104,088.78 3.60	103,433.52 3.55	(655.26) (0.05)	1,394.00 1.00
12,541	BLACKROCK STRATEGIC INCOME OPPRTNTS PTF INST .6800 Fractional Share	07/15/13 01/26/15	125,770.19 6.93	122,525.57 6.64	(3,244.62) (0.29)	2,708.00 1.00

78

EMASM Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/15	Unrealized Gain or (Loss)	Est Annual Income
Mutual Funds/Closed End Funds/UIT						
17,335	BLACKROCK MULTI ASSET INCOME PORTFOLIO INSTL .7990 Fractional Share	10/23/12 01/26/15	189,796.25 9.00	182,190.85 8.40	(7,605.40) (0.60)	9,932.00 1.00
12,427	ALLIANZGI INCOME AND GROWTH FUND CL P .3770 Fractional Share	01/21/15 01/26/15	154,597.41 4.71	140,176.56 4.25	(14,420.85) (0.46)	4,461.00 1.00
6,410	ANGEL OAK MULTI STRATEGY INCOME FUND CL INSTL 1220 Fractional Share	01/21/15 01/26/15	77,305.75 1.47	73,715.00 1.40	(3,590.75) (0.07)	5,154.00 1.00
6,264	GOTHAM ABSOLUTE RETURN FUND INSTL CLASS 4200 Fractional Share	12/17/13 01/26/15	84,831.23 5.77	77,109.84 5.17	(7,721.39) (0.60)	
7,784	BLACKSTONE ALT MULTI STRATEGY FUND CLASS I 3300 Fractional Share	07/23/15 07/23/15	81,809.84 3.47	78,774.08 3.34	(3,035.76) (0.13)	
7,036	BLACKROCK LOW DURATION BD INSTL CL .2380 Fractional Share	08/15/11 01/26/15	68,062.17 2.31	67,475.24 2.28	(586.93) (0.03)	1,098.00 1.00
Total Mutual Funds/Closed End Funds/UIT				1,076,545.76	(40,702.16)	29,883.00

PLEASE SEE REVERSE SIDE
Statement Period
Page 18 of 102
Year Ending 12/31/15
Account No. 655-04086

Foundation Balanced

Account Number: 655-04086

24-Hour Assistance: (800) MERRILL

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
WHOLE FOODS MKT INC COM	22 0000	11/10/14	01/21/15	1,165 54	1,042 73	122 81	
WOLTERS KLUWR NV SPN ADR	19 0000	11/06/14	01/21/15	580 82	523 27	57 55	
J HNCK GLB ABS RTN STR I	5465 0000	02/18/14	01/21/15	60,661.50	60,825.45	(163 95)	
JP MORGAN STRATEGIC	7011 0000	02/18/14	01/21/15	82,098 81	83,641 23	(1,542 42)	
<i>Subtotal (Short-Term)</i>						(12,109 16)	(12,109 16)
TOTAL				1,299,829.96	1,055,141.99	247,440.40	247,440.40

⚡ - Excludes transactions for which we have insufficient data

N/C - Results may not be calculated for transactions which involve the sale of partnership interests, short term debt instruments, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues

⚡ This transaction has been affected by a "Wash Sale" based on IRS regulations. There are two different types of adjustments that may be occurring

(A) If the gain/loss displays as N/C, this transaction has been identified as a "Wash Sale" based on IRS regulations and the loss has been added to the cost basis of the related purchase

(B) If the gain/loss is calculated, the cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and your gain or loss will be inclusive of this amount

SECURITIES YOU TRANSFERRED IN/OUT

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
01/05	ACUTY BRANDS INC TR FROM 65521942	Journal Entry	13	1,774.76	
01/05	ADOBE SYS DEL PV\$ 0 001 TR FROM 65521942	Journal Entry	63	4,534.74	
01/05	AFFILIATED MANAGERS GRP TR FROM 65521942	Journal Entry	8	1,622.40	
01/05	ALEXION PHARMS INC TR FROM 65521942	Journal Entry	31	5,647 27	
01/05	AIRGAS INC COM TR FROM 65521942	Journal Entry	10	1,135 60	
01/05	A N S Y S INC COM TR FROM 65521942	Journal Entry	30	2,425 65	
01/05	AXA -SPONS ADR TR FROM 65521942	Journal Entry	73	1,590 67	

+

010

3740

281 of 313

Foundation Balanced

Account Number: 655-04086

January 01, 2015 - January 30, 2015

YOUR EMA TRANSACTIONS

SECURITIES YOU TRANSFERRED IN/OUT (continued)

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
01/05	AMAZON COM INC COM TR FROM 65521942	Journal Entry	16	4,835.04	
01/05	AKZO NOBEL N V SPNSD ADR TR FROM 65521942	Journal Entry	61	1,364.26	
01/05	ARM HLDGS PLC SPD ADR TR FROM 65521942	Journal Entry	82	3,713.37	
01/05	ASTRAZENECA PLC SPND ADR TR FROM 65521942	Journal Entry	52	3,632.72	
01/05	ASSOC BRIT FOODS ADR NEW TR FROM 65521942	Journal Entry	17	803.93	
01/05	ARYZTA AG-UNSPON ADR TR FROM 65521942	Journal Entry	22	826.54	
01/05	AMERICAN INTERNATIONAL GROUP INC TR FROM 65521942	Journal Entry	59	3,245.00	
01/05	ANHEUSER-BUSCH INBEV ADR TR FROM 65521942	Journal Entry	59	6,377.31	
01/05	ACCENTURE PLC SHS TR FROM 65521942	Journal Entry	7	611.38	
01/05	AVIVA PLC SHS TR FROM 65521942	Journal Entry	81	1,162.35	
01/05	AMADEUS IT HOLDING-UNSP TR FROM 65521942	Journal Entry	20	770.80	
01/05	ASHTREAD GROUP PLC SHS ADR TR FROM 65521942	Journal Entry	14	1,013.74	
01/05	AMERICAN TOWER REIT INC (HLDG CO) SHS TR FROM 65521942	Journal Entry	63	6,188.49	
01/05	ABBVIE INC SHS TR FROM 65521942	Journal Entry	94	6,077.10	

+

010

3740

282 of 313

Foundation Balanced

Account Number: 655-04086

24-Hour Assistance: (800) MERRILL

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)		Transaction Type	Quantity	Value of Securities	Year To Date
Date	Description				
01/05	ARTISAN PARTNERS ASSET MGMT INC CLASS A	Journal Entry	17	836 40	
	TR FROM 65521942				
01/05	ALIBABA GROUP HOLDING LT	Journal Entry	38	3,838 00	
	TR FROM 65521942				
01/05	AMERIPRISE FINL INC	Journal Entry	32	4,091 20	
	TR FROM 65521942				
01/05	AMER EXPRESS COMPANY	Journal Entry	45	4,075.20	
	TR FROM 65521942				
01/05	ANGLO AMERN PLC ADR	Journal Entry	43	369.37	
	TR FROM 65521942				
01/05	ASSA ABLOY AB ADR	Journal Entry	60	1,569.00	
	TR FROM 65521942				
01/05	ADECCO SA ADR	Journal Entry	29	972 66	
	TR FROM 65521942				
01/05	ATLANTIA SPA SHS	Journal Entry	84	958 44	
	TR FROM 65521942				
01/05	AMGEN INC COM PV \$0 0001	Journal Entry	68	10,743.32	
	TR FROM 65521942				
01/05	ANALOG DEVICES INC COM	Journal Entry	58	3,162.45	
	TR FROM 65521942				
01/05	ANADARKO PETE CORP	Journal Entry	33	2,501 40	
	TR FROM 65521942				
01/05	APPLE INC	Journal Entry	167	17,743 75	
	TR FROM 65521942				
01/05	APPLIED MATERIAL INC	Journal Entry	172	4,155 52	
	TR FROM 65521942				
01/05	APTARGROUP INC	Journal Entry	21	1,356 60	
	TR FROM 65521942				
01/05	AUTOZONE INC NEVADA COM	Journal Entry	4	2,433.96	
	TR FROM 65521942				

+

010

3740

283 of 313

Foundation Balanced

Account Number: 655-04086

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)					
Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
01/05	BANCO SANTANDER SA ADR TR FROM 65521942	Journal Entry	69	547 17	
01/05	BAYER AG SP ADR TR FROM 65521942	Journal Entry	25	3,255.00	
01/05	BRITISH AMN TOBACO SPADR TR FROM 65521942	Journal Entry	18	1,879 74	
01/05	BIOMEN IDEC INC TR FROM 65521942	Journal Entry	20	6,734.80	
01/05	BB&T CORPORATION TR FROM 65521942	Journal Entry	100	3,743 00	
01/05	BLACKBAUD INC TR FROM 65521942	Journal Entry	31	1,307 89	
01/05	BARCLAYS PLC ADR TR FROM 65521942	Journal Entry	96	1,373 76	
01/05	BP PLC SPON ADR TR FROM 65521942	Journal Entry	25	902 50	
01/05	BLACKROCK INC TR FROM 65521942	Journal Entry	25	8,687 50	
01/05	BG GROUP PLC SPON ADR TR FROM 65521942	Journal Entry	50	647 00	
01/05	BAIDU INC SPON ADR TR FROM 65521942	Journal Entry	26	5,714 41	
01/05	BNP PARIBAS SPONSORD ADR TR FROM 65521942	Journal Entry	71	2,002 20	
01/05	BHP BILLITON LTD ADR TR FROM 65521942	Journal Entry	19	871 15	
01/05	BAXTER INTERNL INC TR FROM 65521942	Journal Entry	42	3,002 58	
01/05	BAYERISCHE MOTORENWERKE AG BMW SHS TR FROM 65521942	Journal Entry	19	643 15	

+

010

3740

284 of 313

Foundation Balanced

Account Number: 655-04086

24-Hour Assistance: (800) MERRILL

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)		Transaction Type	Quantity	Value of Securities	Year To Date
Date	Description				
01/05	BIO RAD LABS CL A	Journal Entry	13	1,565 07	
	TR FROM 65521942				
01/05	BRISTOL-MYERS SQUIBB CO	Journal Entry	29	1,698 82	
	TR FROM 65521942				
01/05	COMCAST CORP NEW CL A	Journal Entry	154	8,617 84	
	TR FROM 65521942				
01/05	COPART INC COM	Journal Entry	27	977.94	
	TR FROM 65521942				
01/05	COGNIZANT TECH SOLUTNS A	Journal Entry	78	4,082 52	
	TR FROM 65521942				
01/05	CVS HEALTH CORP	Journal Entry	101	9,510 16	
	TR FROM 65521942				
01/05	CREDIT SUISSE GP SP ADR	Journal Entry	39	945 36	
	TR FROM 65521942				
01/05	CARNIVAL PLC ADR	Journal Entry	36	1,629 00	
	TR FROM 65521942				
01/05	COLUMBIA SPORTSWEAR CO	Journal Entry	20	893 80	
	TR FROM 65521942				
01/05	COSTAR GROUP INC COM	Journal Entry	16	2,824 80	
	TR FROM 65521942				
01/05	COSTCO WHOLESALE CRP DEL	Journal Entry	26	3,640 00	
	TR FROM 65521942				
01/05	CNOOC LTD ADR	Journal Entry	7	929 53	
	TR FROM 65521942				
01/05	CARLISLE COS INC	Journal Entry	19	1,687 77	
	TR FROM 65521942				
01/05	CHEVRON CORP	Journal Entry	67	7,241 36	
	TR FROM 65521942				
01/05	CELGENE CORP COM	Journal Entry	83	9,290.19	
	TR FROM 65521942				
01/05	C & C GROUP PLC SPON ADR	Journal Entry	57	766.70	
	TR FROM 65521942				

+

010

3740

285 of 313

Foundation Balanced

Account Number: 655-04086

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)		Transaction Type	Quantity	Value of Securities	Year To Date
Date	Description				
01/05	CBS CORP NEW CL B TR FROM 65521942	Journal Entry	58	3,147.08	
01/05	CHIPOTLE MEXICAN GRILL TR FROM 65521942	Journal Entry	7	4,673.83	
01/05	CIE FINANCIERE RICHEMONT SA SHS TR FROM 65521942	Journal Entry	105	898.80	
01/05	CERNER CORP COM TR FROM 65521942	Journal Entry	64	4,106.88	
01/05	CARLSBERG AS SPONSOREDAD TR FROM 65521942	Journal Entry	35	522.55	
01/05	COVIDIEN PLC SHS NEW TR FROM 65521942	Journal Entry	59	6,033.34	
01/05	CITIGROUP INC COM NEW TR FROM 65521942	Journal Entry	151	7,935.05	
01/05	CHOW TAI FOOK JEWELLERY GROUP LTD UNSPON ADR TR FROM 65521942	Journal Entry	33	453.22	
01/05	CORBION NV SHS ADR TR FROM 65521942	Journal Entry	64	1,048.96	
01/05	CHURCH&DWIGHT CO INC TR FROM 65521942	Journal Entry	19	1,485.99	
01/05	CISCO SYSTEMS INC COM TR FROM 65521942	Journal Entry	349	9,443.94	
01/05	CITY NATIONAL CORP TR FROM 65521942	Journal Entry	23	1,786.64	
01/05	CLARCOR INC TR FROM 65521942	Journal Entry	21	1,352.82	
01/05	CULLEN FRST BKRS PV\$0 01 TR FROM 65521942	Journal Entry	13	877.50	

+

010

3740

286 of 313

Foundation Balanced

Account Number: 655-04086

24-Hour Assistance: (800) MERRILL

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)		Transaction Type	Quantity	Value of Securities	Year To Date
Date	Description				
01/05	DENTSPLY INTL INC	Journal Entry	46	2,372.22	
	TR FROM 65521942				
01/05	DANAHER CORP DEL COM	Journal Entry	45	3,819.15	
	TR FROM 65521942				
01/05	DIAGEO PLC SPSD ADR NEW	Journal Entry	28	3,109.68	
	TR FROM 65521942				
01/05	DRIL QUIP	Journal Entry	7	514.15	
	TR FROM 65521942				
01/05	DAIMLER A	Journal Entry	12	949.80	
	TR FROM 65521942				
01/05	DAIWA HOUSE IND LTD ADR	Journal Entry	77	1,440.67	
	TR FROM 65521942				
01/05	DELTA AIR LINES INC	Journal Entry	98	4,739.28	
	TR FROM 65521942				
01/05	DAIKIN INDUSTRIES LTD SHS	Journal Entry	12	1,532.40	
	TR FROM 65521942				
01/05	DANONE-SPONS ADR	Journal Entry	49	619.85	
	TR FROM 65521942				
01/05	DOLLAR GENERAL CORP	Journal Entry	52	3,656.64	
	TR FROM 65521942				
01/05	DISNEY (WALT) CO COM STK	Journal Entry	49	4,526.62	
	TR FROM 65521942				
01/05	DONALDSON CO INC	Journal Entry	22	827.42	
	TR FROM 65521942				
01/05	DOW CHEMICAL CO	Journal Entry	79	3,490.22	
	TR FROM 65521942				
01/05	DU PONT E I DE NEMOURS	Journal Entry	30	2,151.60	
	TR FROM 65521942				
01/05	E M C CORPORATION MASS	Journal Entry	205	5,994.20	
	TR FROM 65521942				

+

010

3740

287 of 313

Foundation Balanced

Account Number: 655-04086

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
01/05	EDISON INTL CALIF	Journal Entry	45	2,940 75	
	TR FROM 65521942				
01/05	EBAY INC COM	Journal Entry	30	1,672 05	
	TR FROM 65521942				
01/05	EXXON MOBIL CORP COM	Journal Entry	66	5,959.14	
	TR FROM 65521942				
01/05	EOG RESOURCES INC	Journal Entry	44	3,813 92	
	TR FROM 65521942				
01/05	ECOLAB INC	Journal Entry	32	3,263 36	
	TR FROM 65521942				
01/05	ERICSSON AMERICAN	Journal Entry	102	1,215 84	
	SEK 10 NEW				
	TR FROM 65521942				
01/05	EMBRAER S A SPONSRD ADR	Journal Entry	14	501 20	
	TR FROM 65521942				
01/05	EATON CORP PLC	Journal Entry	72	4,688 64	
	TR FROM 65521942				
01/05	ENVISION HEALTHCARE HLDG INC	Journal Entry	78	2,644.98	
	TR FROM 65521942				
01/05	AIRBUS GROUP	Journal Entry	61	758.23	
	TR FROM 65521942				
01/05	EQUIFAX INC	Journal Entry	22	1,774 08	
	TR FROM 65521942				
01/05	FAIR ISAAC CORPORATION	Journal Entry	27	1,926 72	
	TR FROM 65521942				
01/05	FLIR SYSTEMS INC	Journal Entry	32	1,007 36	
	TR FROM 65521942				
01/05	FACTSET RESH SYS INC	Journal Entry	9	1,245 51	
	TR FROM 65521942				

+

010

3740

288 of 313

Foundation Balanced

Account Number: 655-04086

24-Hour Assistance: (800) MERRILL

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
01/05	FRESENIUS SE & CO-SPN ADR TR FROM 65521942	Journal Entry	84	1,062.60	
01/05	FLETCHER BUILDING LTD SHS ADR	Journal Entry	81	1,044.09	
01/05	TR FROM 65521942 FORTUNE BRANDS HOME AND SECURITY INC SHS	Journal Entry	95	4,198.05	
01/05	TR FROM 65521942 FACEBOOK INC CLASS A COMMON STOCK	Journal Entry	95	7,333.05	
01/05	TR FROM 65521942 FOREST CITY ENTRPRS CL A TR FROM 65521942	Journal Entry	51	1,083.24	
01/05	GARTNER INC TR FROM 65521942	Journal Entry	12	985.20	
01/05	GOLDMAN SACHS GROUP INC TR FROM 65521942	Journal Entry	23	4,331.82	
01/05	QAO GAZPROM SPON ADR TR FROM 65521942	Journal Entry	150	667.50	
01/05	GENERAL ELECTRIC TR FROM 65521942	Journal Entry	207	5,092.20	
01/05	GOOGLE INC CL A TR FROM 65521942	Journal Entry	11	5,714.06	
01/05	GDF SUEZ ADR TR FROM 65521942	Journal Entry	14	308.00	
01/05	G4S PLC SHS TR FROM 65521942	Journal Entry	16	331.87	
01/05	GREEK ORGANISATION OF FOOTBALL PROGNOSTI TR FROM 65521942	Journal Entry	88	455.84	
01/05	GOOGLE INC SHS CL C TR FROM 65521942	Journal Entry	11	5,652.57	

+

010

3740

289 of 313

Foundation Balanced

Account Number: 655-04086

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)						
Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date	
01/05	GENTEX CORP TR FROM 65521942	Journal Entry	43	752.93		
01/05	GILEAD SCIENCES INC COM TR FROM 65521942	Journal Entry	84	8,129.94		
01/05	GRACO INC TR FROM 65521942	Journal Entry	12	949.08		
01/05	HALLIBURTON COMPANY TR FROM 65521942	Journal Entry	80	3,096.80		
01/05	HONEYWELL INTL INC DEL TR FROM 65521942	Journal Entry	58	5,702.56		
01/05	HCC INS HOLDING INC TR FROM 65521942	Journal Entry	51	2,692.29		
01/05	HOST HOTELS & RESORTS REIT TR FROM 65521942	Journal Entry	160	3,779.20		
01/05	HSBC HLDG PLC SP ADR TR FROM 65521942	Journal Entry	22	1,011.78		
01/05	HOYA CORP ADR TR FROM 65521942	Journal Entry	44	1,469.16		
01/05	HYPERMARCAS S.A-UNSP ADR TR FROM 65521942	Journal Entry	84	493.92		
01/05	HEIDELBERGCEMENT AG SHS TR FROM 65521942	Journal Entry	81	1,100.79		
01/05	H LUNDBECK A/S SPONSORED ADR LEVEL 1 TR FROM 65521942	Journal Entry	35	699.65		
01/05	HILTON WORLDWIDE HLDGS INC TR FROM 65521942	Journal Entry	204	5,120.40		
01/05	JACK HENRY & ASSOC INC TR FROM 65521942	Journal Entry	25	1,540.75		

+

010

3740

290 of 313

Foundation Balanced

Account Number: 655-04086

24-Hour Assistance: (800) MERRILL

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)					
Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
01/05	HITACHI LTD 10 NEW ADR	Journal Entry	15	1,109.25	
	TR FROM 65521942				
01/05	HOME DEPOT INC	Journal Entry	36	3,645.36	
	TR FROM 65521942				
01/05	HUNT J B TRANS SVCS INC	Journal Entry	16	1,309.60	
	TR FROM 65521942				
01/05	IDEX CORP DELAWARE COM	Journal Entry	23	1,745.47	
	TR FROM 65521942				
01/05	ILLINOIS TOOL WORKS INC	Journal Entry	32	2,954.88	
	TR FROM 65521942				
01/05	IDEX LAB INC DEL \$0 10	Journal Entry	8	1,170.48	
	TR FROM 65521942				
01/05	INTEL CORP	Journal Entry	205	7,369.75	
	TR FROM 65521942				
01/05	INTL PAPER CO	Journal Entry	53	2,777.20	
	TR FROM 65521942				
01/05	INVECO LTD	Journal Entry	85	3,230.00	
	TR FROM 65521942				
01/05	INCITEC PIVOT LTD SHS	Journal Entry	420	1,066.80	
	TR FROM 65521942				
01/05	INDRA SISTEMAS SA SHS	Journal Entry	214	1,016.50	
	TR FROM 65521942				
01/05	ITAU UNIBANCO BANCO HOLD	Journal Entry	94	1,167.48	
	SA SPONSORED ADR				
	TR FROM 65521942				
01/05	INGERSOLL-RAND PLC	Journal Entry	47	2,921.52	
	TR FROM 65521942				
01/05	INTRPUBLIC GRP OF CO	Journal Entry	126	2,478.42	
	TR FROM 65521942				
01/05	INTERCONTINENTAL	Journal Entry	19	4,157.77	
	EXCHANGE INC				
	TR FROM 65521942				

+

010

3740

291 of 313

Foundation Balanced

Account Number: 655-04086

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
01/05	INFORMA PLC SHS ADR	Journal Entry	75	1,062 00	
	TR FROM 65521942				
01/05	JONES LANG LASALLE INC	Journal Entry	5	734 75	
	TR FROM 65521942				
01/05	JACOBS ENGN GRP INC DELA	Journal Entry	13	559.39	
	TR FROM 65521942				
01/05	JPMORGAN CHASE & CO	Journal Entry	187	11,322 85	
	TR FROM 65521942				
01/05	JAPAN TOBACCO INC ADR	Journal Entry	55	729 02	
	TR FROM 65521942				
01/05	JOHNSON AND JOHNSON COM	Journal Entry	27	2,802.33	
	TR FROM 65521942				
01/05	KOMATSU NEW NEW SPNSDADR	Journal Entry	40	872 00	
	TR FROM 65521942				
01/05	KT CORP ADR	Journal Entry	41	580 15	
	TR FROM 65521942				
01/05	KDDI CORP SHS	Journal Entry	110	1,721 50	
	TR FROM 65521942				
01/05	KRAFT FOODS GROUP INC SHS	Journal Entry	62	3,834 70	
	TR FROM 65521942				
01/05	KIRBY CORP COM	Journal Entry	26	2,075 58	
	TR FROM 65521942				
01/05	LLOYDS BANKING GROUP PLC ADR	Journal Entry	475	2,147 00	
	TR FROM 65521942				
01/05	LG DISPLAY CO LTD	Journal Entry	64	947 20	
	TR FROM 65521942				
01/05	LKQ CORP	Journal Entry	49	1,344 31	
	TR FROM 65521942				

+

010

3740

292 of 313

Foundation Balanced

Account Number: 655-04086

24-Hour Assistance: (800) MERRILL

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
01/05	LANDSTAR SYS INC COM TR FROM 65521942	Journal Entry	10	694 90	
01/05	LINKEDIN CORP CLASS A COMMON STOCK TR FROM 65521942	Journal Entry	26	5,915 26	
01/05	LIXIL GROUP CORPORATION ADR TR FROM 65521942	Journal Entry	24	1,018 32	
01/05	LIBERTY GLOBAL PLC CL C TR FROM 65521942	Journal Entry	128	5,904 64	
01/05	LOWE'S COMPANIES INC TR FROM 65521942	Journal Entry	72	4,757.76	
01/05	MGM RESORTS INTERNATIONAL SHS TR FROM 65521942	Journal Entry	58	1,185 52	
01/05	MORGAN STANLEY TR FROM 65521942	Journal Entry	117	4,387 50	
01/05	METTLER-TOLEDO INTL INC TR FROM 65521942	Journal Entry	3	886 35	
01/05	MANHATTAN ASSOCS INC TR FROM 65521942	Journal Entry	17	674 73	
01/05	METLIFE INC COM TR FROM 65521942	Journal Entry	83	4,325.13	
01/05	MCKESSON CORPORATION COM TR FROM 65521942	Journal Entry	39	8,147.10	
01/05	MARVELL TECHNOLOGY GROUP TR FROM 65521942	Journal Entry	262	3,741 36	
01/05	MONSANTO CO NEW DEL COM TR FROM 65521942	Journal Entry	66	7,742 46	
01/05	MARATHON OIL CORP TR FROM 65521942	Journal Entry	114	3,108.78	

+

010

3740

293 of 313

Foundation Balanced

Account Number: 655-04086

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)					
Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
01/05	MORNINGSTAR INC TR FROM 65521942	Journal Entry	37	2,376.14	
01/05	MASTERCARD INC TR FROM 65521942	Journal Entry	76	6,328.52	
01/05	MEDIOLANUM SPA SHS TR FROM 65521942	Journal Entry	60	747.90	
01/05	MERCK AND CO INC SHS TR FROM 65521942	Journal Entry	153	8,880.12	
01/05	MARINE HARVEST ASA ADR TR FROM 65521942	Journal Entry	90	1,226.70	
01/05	MAKITA CORP SPOND ADR TR FROM 65521942	Journal Entry	21	919.90	
01/05	MARKEL CORP COM TR FROM 65521942	Journal Entry	5	3,341.55	
01/05	MARSH & MCLENNAN COS INC TR FROM 65521942	Journal Entry	83	4,695.31	
01/05	MAXIM INTEGRATED PRODS TR FROM 65521942	Journal Entry	151	4,704.40	
01/05	MICROSOFT CORP TR FROM 65521942	Journal Entry	114	5,281.05	
01/05	NIDEC CORPORATION ADR TR FROM 65521942	Journal Entry	35	566.65	
01/05	NSK LTD ADR TR FROM 65521942	Journal Entry	53	1,236.49	
01/05	NOVARTIS ADR TR FROM 65521942	Journal Entry	36	3,328.20	
01/05	NEWELL RUBBERMAID INC TR FROM 65521942	Journal Entry	94	3,517.48	
01/05	NEXTERA ENERGY INC SHS TR FROM 65521942	Journal Entry	20	2,111.20	

+

010

3740

294 of 313

Foundation Balanced

Account Number: 655-04086

24-Hour Assistance: (800) MERRILL

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
01/05	NIELSEN NV	Journal Entry	86	3,748 74	
	TR FROM 65521942				
01/05	NXP SEMICONDUCTORS N.V.	Journal Entry	10	760 00	
	TR FROM 65521942				
01/05	TWENTY-FIRST CENTURY	Journal Entry	204	7,564 32	
	FOX INC CLASS A				
	TR FROM 65521942				
01/05	NORWEGIAN CRUISE LINE	Journal Entry	52	2,393 56	
	HLDGS LTD				
	TR FROM 65521942				
01/05	NESTLE S A REP RG SH ADR	Journal Entry	18	1,277 28	
	TR FROM 65521942				
01/05	NIKE INC CL B	Journal Entry	73	6,825 50	
	TR FROM 65521942				
01/05	NORDSTROM INC	Journal Entry	43	3,323 04	
	TR FROM 65521942				
01/05	NORSK HYDRO AS SPNRD ADR	Journal Entry	116	626 40	
	TR FROM 65521942				
01/05	NORTHEAST UTILITIES COM	Journal Entry	81	4,314 06	
	TR FROM 65521942				
01/05	NOVO NORDISK A S ADR	Journal Entry	34	1,441 94	
	TR FROM 65521942				
01/05	NUCOR CORPORATION	Journal Entry	33	1,555 62	
	TR FROM 65521942				
01/05	ORKLA AS A SHS ADR	Journal Entry	39	253 11	
	TR FROM 65521942				
01/05	OTSUKA HOLDINGS CO LTD	Journal Entry	82	1,216 06	
	SHS ADR				
	TR FROM 65521942				
01/06	OCCIDENTAL PETE CORP CAL	Journal Entry	30	2,310 00	
	TR FROM 65521942				

+

010

3740

295 of 313

Foundation Balanced

Account Number: 655-04086

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
01/06	OCEANEERING INTL INC TR FROM 65521942	Journal Entry	17	946.56	
01/06	PPG INDUSTRIES INC SHS TR FROM 65521942	Journal Entry	14	3,121.02	
01/06	PULTE GROUP TR FROM 65521942	Journal Entry	155	3,208.50	
01/06	PALL CORP PV \$0.10 TR FROM 65521942	Journal Entry	8	795.76	
01/06	THE PRICELINE GROUP INC TR FROM 65521942	Journal Entry	7	7,559.72	
01/06	PIONEER NATURAL RES CO TR FROM 65521942	Journal Entry	14	1,952.44	
01/06	PRINCIPAL FINANCIAL GRP TR FROM 65521942	Journal Entry	50	2,470.00	
01/06	POSCO SPN ADR TR FROM 65521942	Journal Entry	13	816.79	
01/06	PNC FINCL SERVICES GROUP TR FROM 65521942	Journal Entry	87	7,461.12	
01/06	PHILIP MORRIS INTL INC TR FROM 65521942	Journal Entry	1	81.10	
01/06	PVH CORP TR FROM 65521942	Journal Entry	31	3,626.38	
01/06	PFIZER INC TR FROM 65521942	Journal Entry	84	2,639.28	
01/06	PRECISION CASTPARTS TR FROM 65521942	Journal Entry	21	4,850.58	
01/06	PRUDENTIAL PLC ADR TR FROM 65521942	Journal Entry	53	2,308.15	
01/06	QINETIQ GROUP PLC ADR TR FROM 65521942	Journal Entry	49	552.72	

+

010

3740

296 of 313

Foundation Balanced

Account Number: 655-04086

24-Hour Assistance: (800) MERRILL

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)		Transaction Type	Quantity	Value of Securities	Year To Date
Date	Description				
01/06	ROYAL KPN N V SP ADR TR FROM 65521942	Journal Entry	529	1,565.84	
01/06	RIO TINTO PLC SPNSRD ADR TR FROM 65521942	Journal Entry	13	584.48	
01/06	RYANAIR HLDGS PLC SP ADR	Journal Entry	19	1,290.67	
01/06	TR FROM 65521942 ROYAL DUTCH SHELL PLC SPONS ADR A	Journal Entry	22	1,386.44	
01/06	TR FROM 65521942 RED ELECTRICA CORP UNSPN ADR	Journal Entry	44	741.84	
01/06	TR FROM 65521942 RYOHIN KEIKAKU CO LTD ADR	Journal Entry	24	592.56	
01/06	TR FROM 65521942 REXAM PLC SHS ADR	Journal Entry	33	1,112.43	
01/06	TR FROM 65521942 ROGERS COMMUNICATIONS B TR FROM 65521942	Journal Entry	27	1,030.05	
01/06	ROLLS ROYCE GRP SPN ADR TR FROM 65521942	Journal Entry	16	1,039.76	
01/06	SEI INVT CO PA PV \$0.01 TR FROM 65521942	Journal Entry	48	1,842.24	
01/06	SCHEIN (HENRY) INC COM TR FROM 65521942	Journal Entry	16	2,157.12	
01/06	STEEL DYNAMICS INC COM TR FROM 65521942	Journal Entry	118	2,142.88	
01/06	SHIRE PLC-ADR TR FROM 65521942	Journal Entry	5	1,035.70	
01/06	SAMPO PLC SHS TR FROM 65521942	Journal Entry	53	1,220.06	

+

010

3740

297 of 313

Foundation Balanced

Account Number 655-04086

January 01, 2015 - January 30, 2015

YOUR EMA TRANSACTIONS

SECURITIES YOU TRANSFERRED IN/OUT (continued)		Transaction Type	Quantity	Value of Securities	Year To Date
Date	Description				
01/06	SBA COMMUNICATIONS CRP A TR FROM 65521942	Journal Entry	48	5,215.20	
01/06	SANOFI ADR TR FROM 65521942	Journal Entry	10	451.10	
01/06	SALESFORCE COM INC TR FROM 65521942	Journal Entry	85	4,862.00	
01/06	SWATCH GROUP AG UNSPOND ADR	Journal Entry	25	535.50	
01/06	TR FROM 65521942 SWEDBANK A B ADR	Journal Entry	62	1,494.82	
01/06	TR FROM 65521942 SPIRIT AEROSYSTEMS HLDGS INC	Journal Entry	77	3,237.85	
01/06	TR FROM 65521942 SALLY BEAUTY HLDGS INC	Journal Entry	77	2,289.21	
01/06	TR FROM 65521942 SAFRAN SA-UNSPON ADR	Journal Entry	85	1,287.75	
01/06	TR FROM 65521942 SCHNEIDER ELEC SE ADR	Journal Entry	50	681.50	
01/06	TR FROM 65521942 SIGNET JEWELERS LTD SHS	Journal Entry	7	899.01	
01/06	TR FROM 65521942 SEVEN AND I HOLDINGS CO LTD SHS	Journal Entry	82	1,450.58	
01/06	TR FROM 65521942 SOFTBANK CORP SHS	Journal Entry	52	1,525.16	
01/06	TR FROM 65521942 SANDS CHINA LTD UNSP ADR	Journal Entry	16	733.92	
01/06	TR FROM 65521942				

+

010

3740

Foundation Balanced

Account Number: 655-04086

24-Hour Assistance: (800) MERRILL

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
01/06	SUMITOMO MITSUI-UNSPONS ADR	Journal Entry	438	3,092.28	
	TR FROM 65521942				
01/06	SUMITOMO MITSUI TR HLDGS INC SPONSORED ADR	Journal Entry	128	467.20	
	TR FROM 65521942				
01/06	SPLUNK INC COMMON SHARES	Journal Entry	30	1,673.40	
	TR FROM 65521942				
01/06	SERVICENOW INC	Journal Entry	58	3,847.14	
	TR FROM 65521942				
01/06	SONY CORP ADR NEW	Journal Entry	44	891.00	
	TR FROM 65521942				
01/06	WOLSELEY PLC SHS ADR	Journal Entry	196	1,070.16	
	TR FROM 65521942				
01/06	SOUTHWESTERN ENERGY CO	Journal Entry	103	2,545.13	
	TR FROM 65521942				
01/06	STARBUCKS CORP	Journal Entry	77	6,100.71	
	TR FROM 65521942				
01/06	STERIS CORP	Journal Entry	8	516.40	
	TR FROM 65521942				
01/06	SYMANTEC CORP COM	Journal Entry	178	4,421.52	
	TR FROM 65521942				
01/06	THOMSON REUTERS CORP	Journal Entry	74	2,854.92	
	TR FROM 65521942				
01/06	TDK CORP AMERN DEP SHR	Journal Entry	13	755.95	
	TR FROM 65521942				
01/06	TOTAL S A SP ADR	Journal Entry	21	1,000.86	
	TR FROM 65521942				
01/06	TELEFLEX INC	Journal Entry	11	1,216.27	
	TR FROM 65521942				

+

010

3740

299 of 313

Foundation Balanced

Account Number: 655-04086

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)					
Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
01/06	TEVA PHARMACTCL INDS ADR	Journal Entry	61	3,350.73	
	TR FROM 65521942				
01/06	TEXAS INSTRUMENTS	Journal Entry	57	2,951.46	
	TR FROM 65521942				
01/06	TURKIYE GRTI BK SPN ADR	Journal Entry	87	350.61	
	TR FROM 65521942				
01/06	3M COMPANY	Journal Entry	22	3,490.30	
	TR FROM 65521942				
01/06	TRANSDIGM GROUP INC	Journal Entry	5	984.75	
	TR FROM 65521942				
01/06	TELEPERFORMANCE UNSPOND ADR	Journal Entry	37	1,249.12	
	TR FROM 65521942				
01/06	TRAVELSKY TECHNOLOGY LTD SPON ADR	Journal Entry	84	866.88	
	TR FROM 65521942				
01/06	TWITTER INC	Journal Entry	77	2,984.52	
	TR FROM 65521942				
01/06	TOYOTA MOTOR CORP ADR	Journal Entry	6	732.60	
	TR FROM 65521942				
01/06	UNUM GROUP	Journal Entry	53	1,744.76	
	TR FROM 65521942				
01/06	UMPQUA HLDGS CORP ORE	Journal Entry	71	1,131.03	
	TR FROM 65521942				
01/06	UNILEVER NV NY REG SHS	Journal Entry	22	828.08	
	TR FROM 65521942				
01/06	UNILEVER PLC NEW ADR	Journal Entry	21	819.63	
	TR FROM 65521942				
01/06	UNITEDHEALTH GROUP INC	Journal Entry	121	11,969.32	
	TR FROM 65521942				

+

010

3740

300 of 313

Foundation Balanced

Account Number: 655-04086

24-Hour Assistance: (800) MERRILL

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)					
Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
01/06	ULTA SALON COSMETICS & FRAGRAN	Journal Entry	34	4,316.30	
	TR FROM 65521942				
01/06	UNDER ARMOUR INC	Journal Entry	25	1,605.50	
	TR FROM 65521942				
01/06	UBS GROUP AG NAMEN-AKT	Journal Entry	79	1,296.39	
	TR FROM 65521942				
01/06	UNION PACIFIC CORP	Journal Entry	116	13,018.68	
	TR FROM 65521942				
01/06	UNITED TECHS CORP COM	Journal Entry	51	5,687.52	
	TR FROM 65521942				
01/06	VALEO SPONSORED ADR	Journal Entry	19	1,129.93	
	TR FROM 65521942				
01/06	VARIAN MEDICAL SYS INC	Journal Entry	12	1,018.32	
	TR FROM 65521942				
01/06	VERIZON COMMUNICATNS COM	Journal Entry	110	5,174.40	
	TR FROM 65521942				
01/06	VISA INC CL A SHRS	Journal Entry	54	13,905.00	
	TR FROM 65521942				
01/06	WIENERBERGER BAUST SPADR	Journal Entry	393	1,041.45	
	TR FROM 65521942				
01/06	ZOETIS INC	Journal Entry	105	4,476.15	
	TR FROM 65521942				
01/06	WORKDAY INC CL A	Journal Entry	43	3,415.06	
	TR FROM 65521942				
01/06	WEX INC	Journal Entry	8	752.00	
	TR FROM 65521942				
01/06	WPP PLC NEW/ADR	Journal Entry	12	1,190.64	
	TR FROM 65521942				
01/06	ACE LIMITED	Journal Entry	50	5,579.00	
	TR FROM 65521942				

+

010

3740

301 of 313

Foundation Balanced

Account Number: 655-04086

YOUR EMA TRANSACTIONS

January 01, 2015 - January 30, 2015

SECURITIES YOU TRANSFERRED IN/OUT (continued)		Transaction Type	Quantity	Value of Securities	Year To Date
Date	Description				
01/06	WHOLE FOODS MKT INC COM	Journal Entry	65	3,214.90	
	TR FROM 65521942				
01/06	WOLTERS KLUWR NV SPN ADR	Journal Entry	19	565.82	
	TR FROM 65521942				
01/06	WELLS FARGO & CO NEW DEL	Journal Entry	244	12,709.96	
	TR FROM 65521942				
01/27	FIRST EAGLE	Journal Entry	1	53.41	
	GLOBAL CLASS I				
	FULL SHARE ACCUM				
	SHARE VALUE \$53.41				
01/27	BLACKROCK STRATEGIC	Journal Entry	1	10.18	
	INCOME OPPRTNTS PTF INST				
	FULL SHARE ACCUM				
	SHARE VALUE \$10.18				
01/27	ALLIANZGI INCOME AND	Journal Entry	1	12.40	
	GROWTH FUND CL P				
	FULL SHARE ACCUM				
	SHARE VALUE \$12.40				
01/27	ANGEL OAK MULTI STRATEGY	Journal Entry	1	12.08	
	INCOME FUND CL INSTL				
	FULL SHARE ACCUM				
	SHARE VALUE \$12.08				
NET TOTAL				844,596.64	844,596.64

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
01/05	Journal Entry		INV ADVISORY FEE JAN	1,360.99	
01/15	Journal Entry		TR FROM 65515208		3,842.76
01/15	Journal Entry		TR FROM 65521937		2,824.59
01/15	Journal Entry		TR FROM 65521938		14,706.61

+

010

3740

302 of 313

