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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation
JULIA & ISADORE KLEIN FAMILY
FOUNDATION INC

A Employer identification number
61-0648689

Number and street (or P O box number if mail is not delivered to street address) Room/suite
6714 ELMCROFT CIRCLE

B Telephone number (see instructions)
(502) 292-0597

City or town, state or province, country, and ZIP or foreign postal code
LOUISVILLE, KY 40241

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 6,037,460

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ____ *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	1,027	1,027		
	4	Dividends and interest from securities	54,616	54,616		
	5a	Gross rents				
	b	Net rental income or (loss) ____				
	6a	Net gain or (loss) from sale of assets not on line 10	71,882			
	b	Gross sales price for all assets on line 6a 568,037				
	7	Capital gain net income (from Part IV, line 2)		71,882		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	391	391		
	12	**Total.** Add lines 1 through 11	127,916	127,916		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	24,000	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	5,266	5,266		0
	b	Accounting fees (attach schedule)	4,125	4,125		0
	c	Other professional fees (attach schedule)	8,803	8,803		0
	17	Interest	2,122	2,122		0
	18	Taxes (attach schedule) (see instructions)	377	377		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	23,553	23,553		0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	68,246	44,246		0
	25	Contributions, gifts, grants paid	318,288			318,288
	26	**Total expenses and disbursements.** Add lines 24 and 25	386,534	44,246		318,288
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-258,618			
	b	**Net investment income** (if negative, enter -0-)		83,670		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets 1	Cash—non-interest-bearing	272,757	218,362	218,362
2	Savings and temporary cash investments	3,415,195	894,822	894,822
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	2,439,060	4,769,589	4,924,276
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets**(to be completed by all filers—see the instructions Also, see page 1, item I)	6,127,012	5,882,773	6,037,460
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶** ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds	0	0	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29	Retained earnings, accumulated income, endowment, or other funds	6,127,012	5,882,773	
30	**Total net assets or fund balances**(see instructions)	6,127,012	5,882,773	
31	**Total liabilities and net assets/fund balances**(see instructions)	6,127,012	5,882,773	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,127,012
2	Enter amount from Part I, line 27a	2	-258,618
3	Other increases not included in line 2 (itemize) ▶	3	14,379
4	Add lines 1, 2, and 3	4	5,882,773
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,882,773

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	71,882
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	315,911	6,433,529	0 049104
2013	493,544	5,781,103	0 085372
2012	308,107	5,920,937	0 052037
2011	341,127	6,648,818	0 051306
2010	362,794	6,706,843	0 054093

2	**Total** of line 1, column (d)	2	0 291912
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 058382
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	6,168,507
5	Multiply line 4 by line 3	5	360,130
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	837
7	Add lines 5 and 6	7	360,967
8	Enter qualifying distributions from Part XII, line 4	8	318,288

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	1,673
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	1,673
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,673
6	Credits/Payments				
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	2,400		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	2,400
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	7
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	720
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 720 **Refunded** ▶			11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶KY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶BETH KLEIN Telephone no ▶(502) 562-5413

Located at ▶6714 ELMCROFT CIRCLE LOUISVILLE KY ZIP+4 ▶40241

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . . ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year . . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here . . . ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(Continued)*

			Line	Yes	No
5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		No
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶	0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NOT APPLICABLE	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	4,730,744
b	Average of monthly cash balances	1b	1,531,700
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	6,262,444
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	6,262,444
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	93,937
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,168,507
6	**Minimum investment return.** Enter 5% of line 5	6	308,425

Part XI Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	308,425
2a	Tax on investment income for 2015 from Part VI, line 5 — 2a: 1,673		
b	Income tax for 2015 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	1,673
3	Distributable amount before adjustments Subtract line 2c from line 1	3	306,752
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	306,752
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	306,752

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	318,288
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	318,288
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	318,288

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7					306,752
2 Undistributed income, if any, as of the end of 2015					
a Enter amount for 2014 only.				0	
b Total for prior years 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2015					
a From 2010.	29,500				
b From 2011.	9,124				
c From 2012.	12,915				
d From 2013.	206,881				
e From 2014.					
f **Total** of lines 3a through e.		258,420			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 318,288					
a Applied to 2014, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2015 distributable amount.					306,752
e Remaining amount distributed out of corpus		11,536			
5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		269,956			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . .		29,500			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a		240,456			
10 Analysis of line 9					
a Excess from 2011. . . .	9,124				
b Excess from 2012. . . .	12,915				
c Excess from 2013. . . .	206,881				
d Excess from 2014. . . .					
e Excess from 2015. . . .	11,536				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	**(b)** 2014	**(c)** 2013	**(d)** 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

BERTRAM W KLEIN
3101 SOUTH OCEAN DRIVE 2008
HOLLYWOOD BEACH, FL 33019
(502) 568-6533

b The form in which applications should be submitted and information and materials they should include

THERE IS NO PRESCRIBED FORMAT

c Any submission deadlines

SUBMISSIONS ACCEPTED THROUGHOUT THE YEAR

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THERE ARE NO SPECIFIC LIMITATIONS OR RESTRICTIONS ON AWARDS

Part XV Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				318,288
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	1,027	
4 Dividends and interest from securities. . .			14	54,616	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			15	1,128	
8 Gain or (loss) from sales of assets other than inventory			14	71,882	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a OLD WESTBURY PEF XII LLC			14	-602	
b OLD WESTBURY PEF XI LLC			14	-135	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		127,916	0

13 **Total.** Add line 12, columns (b), (d), and (e). 13 127,916

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2016-10-27 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
John LeMastus				P00225963

Firm's name ▶ BUETOW LEMASTUS & DICK PLLC — Firm's EIN ▶ 61-1315679

Firm's address ▶ 500 W JEFFERSON STREET STE 1510 LOUISVILLE, KY 40202 — Phone no (502) 568-6533

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
YAHOO INC		2014-03-18	2015-01-29
AETNA INC		2015-02-06	2015-06-22
AMERICAN WATER WORKS CO			2015-09-10
BNP PARIBAS SPON ADR		2015-01-27	2015-09-24
ASTRAZENECA PLC		2015-01-28	2015-09-24
BRIDGESTONE CORP			2015-06-04
CDW CORP			2015-11-12
CAMERON INTL CORP		2015-06-29	2015-09-23
CHINA TELECOM ADR			2015-04-22
CUMMINS INC			2015-01-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21,416		19,460	1,956
2,579		1,892	687
12,888		14,017	-1,129
1,430		1,399	31
4,814		5,486	-672
4,200		4,248	-48
8,545		7,657	888
8,025		6,485	1,540
2,936		2,473	463
3,633		4,500	-867

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,956
			687
			-1,129
			31
			-672
			-48
			888
			1,540
			463
			-867

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DBS GROUP		2015-01-09	2015-06-23
DAIHATSU MOTOR CO		2015-01-09	2015-02-25
DOLLAR GENERAL CORP			2015-06-23
HITACHI LTD			2015-12-24
IMPERIAL TOBACCO LT		2015-01-09	2015-01-20
ITOCHU CORP			2015-06-05
KDDI CORP			2015-06-05
KEYCORP NEW			2015-10-14
KIRBY CORP			2015-06-24
MACY'S INC		2015-01-23	2015-06-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,743		3,629	114
673		593	80
2,353		2,083	270
5,724		7,677	-1,953
3,227		3,209	18
8,235		6,973	1,262
3,940		4,086	-146
5,866		6,366	-500
8,035		8,730	-695
350		330	20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			114
			80
			270
			-1,953
			18
			1,262
			-146
			-500
			-695
			20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MYLAN			2015-03-02
NIKE INC			2015-09-23
ORIX CORP			2015-06-05
OW REAL RETURN FUND		2014-05-21	2015-03-17
PANDORA A/S		2015-01-09	2015-08-10
PFIZER INC		2015-02-06	2015-06-23
QUALCOMM INC			2015-06-23
RSA INS GRP INC SPON ADR			2015-09-08
SKANDINAVISKA ENSKILDA ADR			2015-02-27
SKY PLC ADR			2015-06-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,622		23,918	1,704
5,785		4,800	985
6,321		5,499	822
22,955		31,885	-8,930
4,295		2,873	1,422
2,582		2,489	93
9,510		10,303	-793
7,717		6,966	751
6,055		5,878	177
7,887		7,297	590

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,704
			985
			822
			-8,930
			1,422
			93
			-793
			751
			177
			590

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
SUMITOMO METAL MIN CO			2015-10-15
SVENSKA CL AKTIBLGT		2015-02-06	2015-06-05
TERADATA CORP			2015-10-22
TOKYO GAS LTD			2015-06-05
VALEANT PH			2015-10-21
VALERO ENERGY NEW			2015-08-14
VIACOM INC		2015-01-09	2015-09-24
YUM BRANDS INC			2015-12-09
UNILEVER NV		2015-01-28	2015-06-03
MYLAN		2015-03-02	2015-10-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
3,630		4,285	-655
1,934		1,795	139
9,771		14,887	-5,116
4,373		4,817	-444
6,299		13,011	-6,712
5,887		4,421	1,466
3,836		6,297	-2,461
14,840		12,949	1,891
3,206		3,258	-52
24,718		25,621	-903

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-655
			139
			-5,116
			-444
			-6,712
			1,466
			-2,461
			1,891
			-52
			-903

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AVAGO TECHNOLOGIES			2015-11-03
AETNA INC			2015-06-22
AMERICAN INTL GROUP		2013-05-07	2015-02-25
BNP PARIBAS SPON ADR		2013-04-05	2015-09-24
BRIDGESTONE CORP		2013-07-12	2015-06-04
CHINA TELECOM ADR			2015-04-22
CUMMINS INC		2013-11-01	2015-10-09
DBS GROUP		2014-01-16	2015-06-23
DAIHATSU MOTOR CO			2015-02-25
DOLLAR GENERAL CORP			2015-06-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,450		2,117	333
10,317		3,756	6,561
2,736		2,231	505
2,861		2,534	327
1,661		1,629	32
8,066		6,032	2,034
1,703		1,932	-229
2,495		2,176	319
2,334		3,221	-887
7,451		4,230	3,221

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			333
			6,561
			505
			327
			32
			2,034
			-229
			319
			-887
			3,221

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
IMPERIAL TOBACCO LT			2015-01-20
ITOCHU CORP			2015-04-23
KDDI CORP			2015-04-30
LORILLARD INC		2013-10-03	2015-02-23
MACY'S INC			2015-06-22
NIKE INC		2014-09-09	2015-09-23
OW REAL RETURN FUND			2015-03-17
PFIZER INC		2013-05-07	2015-06-22
QUALCOMM INC			2015-06-24
RSA INS GRP INC SPON ADR			2015-07-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
3,136		2,490	646
5,241		4,830	411
3,636		1,736	1,900
1,716		1,140	576
4,896		2,606	2,290
2,892		2,050	842
63,114		118,540	-55,426
4,292		3,630	662
4,669		4,513	156
3,387		3,945	-558

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			646
			411
			1,900
			576
			2,290
			842
			-55,426
			662
			156
			-558

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SUMITOMO METAL MIN CO		2013-10-02	2015-10-15
SVENSKA CL AKTIBLGT			2015-06-05
TERADATA CORP		2014-06-27	2015-10-22
TIM PARTICIPACOES SA			2015-10-09
VALERO ENERGY NEW		2014-01-16	2015-08-13
VIACOM INC		2013-04-15	2015-09-24
YUM BRANDS INC		2014-09-09	2015-12-09
ACE LIMITED			2015-02-25
AVAGO TECHNOLOGIES			2015-11-03
MERCK & CO INC			2015-02-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
177		197	-20
3,957		4,258	-301
1,554		2,236	-682
979		2,297	-1,318
2,447		1,786	661
866		1,302	-436
1,861		1,803	58
1,712		1,297	415
3,675		1,099	2,576
459			459

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-20
			-301
			-682
			-1,318
			661
			-436
			58
			415
			2,576
			459

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SCHERING PLOUGH CORP			2015-03-11
OLD WESTBURY PEF XI	P		
OLD WESTBURY PEF XI	P		
OLD WESTBURY PEF XII	P		
OLD WESTBURY PEF XII	P		
Capital Gains Dividends	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
144			144
336			336
12,106			12,106
590			590
329			329
107,957			107,957

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			144
			336
			12,106
			590
			329
			107,957

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BERTRAM W KLEIN 3101 SOUTH OCEAN DRIVE 2008 HOLLYWOOD BEACH, FL 33019	PRESIDENT 0 00	0	0	0
DAVID KLEIN 7107 WINDHAM PARKWAY PROSPECT, KY 40059	DIRECTOR 0 00	0	0	0
STEPHEN KLEIN POBOX 201 MCKENNA, WA 98558	DIRECTOR 0 00	0	0	0
RICHARD KLEIN 6714 ELMCROFT CIRCLE LOUISVILLE, KY 40241	TREASURER/DIRECTOR 0 00	0	0	0
BETH PAXTON KLEIN 6714 ELMCROFT CIRCLE LOUISVILLE, KY 40241	SECRETARY 15 00	24,000	0	0
ELAINE KLEIN 3101 SOUTH OCEAN DRIVE 2008 HOLLYWOOD BEACH, FL 33019	DIRECTOR 0 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
American Diabetes Associaiton Louisville 161 ST MATTHEWS AVENUE STE 3 LOuisville, KY 40207	NONE		CHARITABLE	1,000
American Heart Association 240 WHITTINGTON PKWY LOUisville, KY 40222	NONE		CHARITABLE	1,000
American Printing House for the Blind 1839 FRANKFORT AVE LOUisville, KY 40206	NONE		CHARITABLE	1,000
Association from Macular Diseases Inc 210 EAST 64TH STREET New York, NY 10065	NONE		CHARITABLE	1,000
Camp Nebagamon Scholarship Fund PO BOX 331 EAST TROY, WI 53120	NONE		CHARITABLE	1,200
CENTER FOR ENVIRONMENTAL LAW & POLICY 911 WESTERN AVENUE SEATTLE, WA 98104	NONE		CHARITABLE	500
Center for Science in the Public Interest 1220 L STREET NW SUITE 300 WASHINGTON, DC 20005	NONE		CHARITABLE	150
Centre College 600 WEST WALNUT STREET DANVILLE, KY 40422	NONE		CHARITABLE	5,250
Chi Omega Foundation PO BOX 2121 MEMPHIS, TN 38159	NONE		CHARITABLE	100
Congregation Adath Jeshurun 42401 WOODBOURNE AVENUE LOUISVILLE, KY 40205	NONE		CHARITABLE	616
Congregation Anshei Sfard 3700 DUTCHMANS LANE Louisville, KY 40205	NONE		CHARITABLE	200
Court Appointed Special Advocate 982 EASTERN PARKWAY Louisville, KY 40217	NONE		CHARITABLE	100
GRAYSON-JOCKEY CLUB RESEARCH FOUNDATION INC 821 CORPORATE DRIVE LEXington, KY 40503	NONE		CHARITABLE	15,000
Green Country Distinguished Young Women 406 E COLUMBIA AVE GREENSBURG, KY 42743	NONE		CHARITABLE	110
Green Hill Therapy Inc 1410 LONG RUN ROAD LOuisville, KY 40245	NONE		CHARITABLE	1,000
Total . . . . ▶ **3a**				318,288

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HOSPARUS OF LOUISVILLE 3532 EPHRAIM MCDOWELL DRIVE LOUisville, KY 40205	NONE		CHARITABLE	25
Kappa Alpha Theta Foundation CENTRE COLLEGE 600 W WALNUT STREET DANVILLE, KY 40422	NONE		CHARITABLE	100
Keneseth Israel Congregation 2531 Taylorsville Road Louisville, KY 40205	NONE		CHARITABLE	693
Kentucky Country Day School 4100 Springdale Road Louisville, KY 40241	NONE		CHARITABLE	300
Kentucky Humane Society 241 STEEDLY DRIVE LOUisville, KY 40214	NONE		CHARITABLE	2,000
Louisville Ballet 315 EAST MAIN STREET LOUISVille, KY 40202	NONE		CHARITABLE	10,000
Louisville Orchestra 323 WEST BROADWAY SUITE 700 LOUISVILLE, KY 40202	NONE		CHARITABLE	1,250
LOUISVILLE ORCHESTRA FOUNDATION 4010 DUPONT CIRCLE STE L 07 LOUIsville, KY 40207	NONE		CHARITABLE	5,000
Metro United Way PO Box 950148 Louisville, KY 402950148	NONE		CHARITABLE	206,000
Natural Resources Defense Council 40 West 20th Street New York, NY 10011	NONE		CHARITABLE	1,250
Nisqually Land Trust 1420 Marvin Road NE Suite C Lacey, WA 985163878	NONE		CHARITABLE	500
Northwest Vipassana Center 445 Gore Road Onalaska, WA 98570	NONE		CHARITABLE	1,000
Rainter Education Foundation PO Box 1017 Rainier, WA 98576	NONE		CHARITABLE	1,000
South of the Sound Community Farm Land Trust 415 Olympia Avenue NE Olympia, WA 98501	NONE		CHARITABLE	250
SPALDING UNIVERSITY 845 SOUTH THIRD STREET Louisville, KY 40203	NONE		CHARITABLE	100
Total . . . . ▶ **3a**				318,288

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ST JOHN CENTER 700 EAST MUHAMMED ALI BLVD Louisville, KY 40202	NONE		CHARITABLE	100
ST JUDE CHILDREN'S RESEARCH HOSPITAL 502 ST JUDE PLACE MEMPHIS, TN 38105	NONE		CHARITABLE	100
Temple Emanu-El 2100 Highland Avenue South Birmingham, AL 35205	NONE		CHARITABLE	500
Temple Shalom 4615 Lowe Road Louisville, KY 40220	NONE		CHARITABLE	400
THE HUMANE FARMING ASSOCIATION PO BOX 3577 SAN RAFAEL, CA 94912	NONE		CHARITABLE	50
The Temple 5101 US Highway 42 Louisville, KY 40241	NONE		CHARITABLE	41,158
TOYS FOR TOTS 911 SOUTH BROOK STREET LOUisville, KY 40203	NONE		CHARITABLE	400
United States Holocause Memorial 100 Raoul Wallenberg Place SW Washington, DC 200242126	NONE		CHARITABLE	1,000
University of Kentucky Alumni Association King Alumni House 400 Rose Street Lexington, KY 405060119	NONE		CHARITABLE	36
University of Kentucky Gluck Equine 108 Gluck Equine Research Center Drive Lexington, KY 405460099	NONE		CHARITABLE	1,000
University of Louisville 9286 Reliable Parkway Chicago, IL 606860092	NONE		CHARITABLE	8,000
University of Miami PO Box 248002 Coral Gables, FL 331241514	NONE		CHARITABLE	3,500
WHAS Crusade for Children PO Box 1100 Louisville, KY 40202	NONE		CHARITABLE	2,000
WOUNDED WARRIOR PROJECT NATIONAL PROCESSING CENTER PO BOX 758540 TOPEKA, KS 66675	NONE		CHARITABLE	25
Yelm Coopertative 308 Yelm Avenue E POB 7530 PMB 92 Yelm, WA 98597	NONE		CHARITABLE	500
Total . . . . ▶ **3a**				318,288

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BOYS AND GIRLS CLUB OF KENTUCKIANA 3900 CRITTENDEN DRIVE LOUIsville, KY 40209	NONE		CHARITABLE	1,000
MARYHURST 1015 DORSEY LANE LOUisville, KY 40223	NONE		CHARITABLE	500
MEADOWVIEW PRESBYTERIAN CHURCH 2944 BRECKINRIDGE LANE LOuisville, KY 40220	NONE		CHARITABLE	25
PRECIOUS BLOOD CATHOLIC CHURCH 1385 WEST SIXTH STREET JASPER, IN 47546	NONE		CHARITABLE	100
TEMPLE BROTHERHOOD 5101 US HIGHWAY 42 LOUisville, KY 40241	NONE		CHARITABLE	150
TRINITY HIGH SCHOOL 4011 SHELBYVILLE ROAD LOUisville, KY 40207	NONE		CHARITABLE	50
Total . . . . ▶ **3a**				318,288

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TY 2015 Accounting Fees Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	4,125	4,125		0

TY 2015 Investments Corporate Stock Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VARIOUS STOCKS	4,769,589	4,924,276
COMMODOTIES	0	0

TY 2015 Legal Fees Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ATTORNEY	5,266	5,266		0

TY 2015 Other Expenses Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
POSTAGE & STAMPS	38	38		0
OFFICE SUPPLIES	125	125		0
FILING FEE	15	15		0
PORTFOLIO DEDUCTIONS FROM FLOW THRU	22,941	22,941		0
OTHER DEDUCTIONS FROM FLOW THRU	396	396		0
INVESTMENT EXPENSE	38	38		0

TY 2015 Other Income Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
BESSEMER 9D3L43 - CLASS ACTION PAYMENT	603	603	603
OLD WESTBURY PEF XI LLC	525	525	525
OLD WESTBURY PEF XII LLC	-602	-602	-602
OLD WESTBURY PEF XI LLC	-135	-135	-135

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TY 2015 Other Increases Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Description	Amount
ADJUSTMENTS IN BOOK VALUE OF ASSETS	14,379

TY 2015 Other Professional Fees Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BESSEMER TRUST	8,803	8,803		0

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TY 2015 Taxes Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	377	377		0