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Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

2015

Open to Public Inspection

Department of the Treasury Internal Revenue Service

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For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation: The Shepard Broad Foundation Inc. Employer identification number: 59-0998866. Telephone number: (305) 372-5790. City: Miami, FL 33131. Accounting method: Cash.

Part I Analysis of Revenue and Expenses

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include contributions (1,344,571), interest (697), dividends (375,552), net gain (397,143), and total revenue (2,118,713).

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	522,838	459,329	459,329
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	862,233	832,039	840,867
	b Investments—corporate stock (attach schedule)	10,438,113	11,169,755	13,736,244
	c Investments—corporate bonds (attach schedule)	1,759,432	1,830,445	1,831,089
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans.			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	150,000			
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	13,732,616	14,291,568	16,867,529	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule).			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	13,732,616	14,291,568	
	30 Total net assets or fund balances (see instructions)	13,732,616	14,291,568	
31 Total liabilities and net assets/fund balances (see instructions)	13,732,616	14,291,568		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,732,616
2	Enter amount from Part I, line 27a	2	558,952
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	14,291,568
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	14,291,568

Part IV Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired (b) P—Purchase D—Donation	Date acquired (c) (mo, day, yr)	Date sold (d) (mo, day, yr)
1 a	Publicly-traded Securities			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,715,872		3,318,729	397,143
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			397,143
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	397,143
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) { If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	1,463,869	16,874,968	0.086748
2013	1,559,900	15,184,553	0.102729
2012	1,390,898	13,132,204	0.105915
2011	1,339,917	12,563,008	0.106656
2010	1,447,991	11,332,112	0.127778
2	Total of line 1, column (d).		2 0.529826
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 0.105965
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.		4 17,088,550
5	Multiply line 4 by line 3.		5 1,810,788
6	Enter 1% of net investment income (1% of Part I, line 27b).		6 6,873
7	Add lines 5 and 6.		7 1,817,661
8	Enter qualifying distributions from Part XII, line 4.		8 1,458,177

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	13,746
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	13,746
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	13,746
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	10,653
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868).	6c	3,254
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	13,907
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	161
11	Enter the amount of line 10 to be Credited to 2015 estimated tax <input type="checkbox"/> 161 Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> FL _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	Yes	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ _____	13	Yes	
14	The books are in care of ▶ <u>Foundation Source</u> Telephone no ▶ <u>(800) 839-1754</u> Located at ▶ <u>501 Silverside Road Suite 123 Wilmington DE</u> ZIP+4 ▶ <u>198091377</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶ _____	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)	Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? 1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). 2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.</i>) 3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? 4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Hewins Financial Advisors 400 S El Camino Real Ste 800 SAN MATEO, CA 94402	Investment Managemen	86,659
Foundation Source 55 Walls Drive Fairfield, CT 06824	Administrative	63,648

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	16,991,650
b	Average of monthly cash balances.	1b	357,132
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	17,348,782
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	17,348,782
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	260,232
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	17,088,550
6	Minimum investment return. Enter 5% of line 5.	6	854,428

Part XI Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	854,428
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	13,746
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	13,746
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	840,682
4	Recoveries of amounts treated as qualifying distributions.	4	150,000
5	Add lines 3 and 4.	5	990,682
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	990,682

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,458,177
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,458,177
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,458,177

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				990,682
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 2013, 2012, 2011				
3 Excess distributions carryover, if any, to 2015				
a From 2010.	886,305			
b From 2011.	719,784			
c From 2012.	742,094			
d From 2013.	815,091			
e From 2014.	634,266			
f Total of lines 3a through e.	3,797,540			
4 Qualifying distributions for 2015 from Part XII, line 4 \blacktriangleright \$ <u>1,458,177</u>				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2015 distributable amount.				990,682
e Remaining amount distributed out of corpus	467,495			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,265,035			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions.				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions.				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions).	886,305			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a.	3,378,730			
10 Analysis of line 9				
a Excess from 2011.	719,784			
b Excess from 2012.	742,094			
c Excess from 2013.	815,091			
d Excess from 2014.	634,266			
e Excess from 2015.	467,495			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total				3a 1,380,730
b <i>Approved for future payment</i>				
Total				3b

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Karen Berman 801 Brickell Ave Miami, FL 33131	Dir 1 0	0	0	0
Morris Broad 801 Brickell Ave Miami, FL 33131	Dir / Chairman 1 0	0	0	0
Ann B Bussel 801 Brickell Ave Miami, FL 33131	VP / Dir 1 0	0	0	0
Daniel J Bussel 801 Brickell Ave Miami, FL 33131	Dir 1 0	0	0	0
Deborah Bussel 801 Brickell Ave Miami, FL 33131	Dir / Sec / Treas 1 0	0	0	0
John M Bussel 801 Brickell Ave Miami, FL 33131	Pres / Dir 1 0	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
AHRC NEW YORK CITY FOUNDATION INC 83 MAIDEN LN NEW YORK, NY 10038	N/A	PC	General & Unrestricted	1,000
AISH HATORAH JERUSALEM FUND INC 3150 SHERIDAN AVE MIAMI, FL 33140	N/A	PC	General & Unrestricted	1,000
AMERICAN COMMITTEE FOR THE WEIZMANN INSTITUTE OF S 633 3RD AVE FL 20 NEW YORK, NY 10017	N/A	PC	Scholarships for the Arrow Young Researchers Program	25,000
AMERICAN FRIENDS OF THE HEBREW UNIVERSITY INC ONE BATTERY PARK PLZ 25TH FL NEW YORK, NY 10004	N/A	PC	General & Unrestricted	2,500
AMERICAN HEBREW ACADEMY INC 4334 HOBBS RD GREENSBORO, NC 27410	N/A	PC	Scholarship Fund	25,000
AMERICAN JEWISH COMMITTEE 165 E 56TH ST NEW YORK, NY 10022	N/A	PC	General & Unrestricted	2,500
AMERICAN JEWISH COMMITTEE 165 E 56TH ST NEW YORK, NY 10022	N/A	PC	AJC Access Leadership program in Miami	10,000
AMERICAN JEWISH WORLD SERVICE INC 45 W 36TH ST 11TH FL NEW YORK, NY 10018	N/A	PC	General & Unrestricted	500
AMERICAN SOCIETY FOR TECHNION- ISRAEL INSTITUTE OF 55 E 59TH ST NEW YORK, NY 10022	N/A	PC	Scholarship Fund for the Engineer and Educator Program	10,000
AMERICAN SOCIETY OF THE UNIVERSITY OF HAIFA 80 BROAD ST STE 2102 NEW YORK, NY 10004	N/A	PC	Expectant Parenting Program	20,000
AMERICANS FOR IMMIGRANT JUSTICE 3000 BISCAYNE BLVD STE 400 MIAMI, FL 33137	N/A	PC	Charitable Event	3,000
ANCHORAGE CHILDRENS HOME OF BAY COUNTY INC 2121 LIENBY AVE PANAMA CITY, FL 32405	N/A	PC	General & Unrestricted	1,500
AVON WALK FOR BREAST CANCER ONE AVON PLZ RYE, NY 10580	N/A	PC	Charitable Event	1,800
BARRY UNIVERSITY INC 11300 NE 2ND AVE MIAMI SHORES, FL 33161	N/A	PC	General & Unrestricted	25,000
BARRY UNIVERSITY INC 11300 NE 2ND AVE MIAMI SHORES, FL 33161	N/A	PC	Barry's 75th Anniversary Scholarship Fund	1,000
Total				1,380,730

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BIG BROTHERS AND BIG SISTERS OF GREATER MIAMI 701 SW 27TH AVE STE 80 MIAMI, FL 33135	N/A	PC	General & Unrestricted	8,000
BOYS TOWN JERUSALEM FOUNDATION OF AMERICA INC 1 PENN PLZ NEW YORK, NY 10119	N/A	PC	General & Unrestricted	10,000
CAMP FIESTA INC 2750 N E 183RD ST NORTH MIAMI BEACH, FL 33160	N/A	PC	General & Unrestricted	3,000
CANCER PATIENT SUPPORT PROGRAM INC PO BOX 1804 WILLISTON, VT 05495	N/A	PC	General & Unrestricted	500
CHILDRENS HOME SOCIETY OF FLORIDA 800 NW 15TH ST MIAMI, FL 33136	N/A	PC	General & Unrestricted	2,500
CORAL GABLES MUSEUM CORPORATION 285 ARAGON AVE CORAL GABLES, FL 33134	N/A	PC	General & Unrestricted	150
DUKE UNIVERSITY 710 W MAIN ST STE 200 DURHAM, NC 27701	N/A	PC	Ruth K Broad Biomedical Research Foundation	15,000
DUKE UNIVERSITY 710 W MAIN ST STE 200 DURHAM, NC 27701	N/A	PC	Ruth K Broad Biomedical Research Foundation's lecture on neurobiology and disease	20,000
DUKE UNIVERSITY PO BOX 90581 DURHAM, NC 27708	N/A	PC	Doctor Richard Goldner Hand Education Fund	10,000
DUKE UNIVERSITY PO BOX 90581 DURHAM, NC 27708	N/A	PC	Research support for Dr Nina T Sherwood	10,000
DURHAM ACADEMY INC 3130 PICKETT RD DURHAM, NC 27705	N/A	PC	Sheppy Vann Preschool Scholarship Fund	10,000
DURHAM-CHAPEL HILL JEWISH FEDERATION 1937 W CORNWALLIS RD DURHAM, NC 27705	N/A	PC	Camp Scholarship	150
EXCHANGE FOR CHANGE 2103 CORAL WAY STE 202 CORAL GABLES, FL 33145	N/A	PC	General & Unrestricted	3,000
FLORIDA INTERNATIONAL UNIVERSITY FOUNDATION INC 11200 SW 8TH ST MARC 531 MIAMI, FL 33199	N/A	PC	General & Unrestricted	5,000
FLORIDA INTERNATIONAL UNIVERSITY FOUNDATION INC 11200 SW 8TH ST MARC 531 MIAMI, FL 33199	N/A	PC	School of International and Public Affairs	10,000
Total				3a 1,380,730

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FLORIDA INTERNATIONAL UNIVERSITY FOUNDATION INC 11200 SW 8TH ST MARC 531 MIAMI, FL 33199	N/A	PC	School of International and Public Affairs for the Morris & Anita Broad Fellowship Awards Program	10,000
FLORIDA INTERNATIONAL UNIVERSITY FOUNDATION INC 11200 SW 8TH ST MARC 531 MIAMI, FL 33199	N/A	PC	First Generation Scholarships for Student Athletes Fund	5,000
FLORIDA LIONS EYE BANK INC 900 NW 17TH ST 3RD FL MIAMI, FL 33136	N/A	PC	General & Unrestricted	5,000
FLORIDA STATE UNIVERSITY FOUNDATION INC 2010 LEVY AVE BLDG B NO 300 TALLAHASSEE, FL 32310	N/A	PC	Shepard Broad Foundation Scholarship Fund for Intl Studies -School of Science & Public Policy 2015/2016 school year	2,000
FREEDOM OF RELIGION FOR ISRAEL INC C/O BARDOSH 85 4TH AVE NO 800 NEW YORK, NY 10003	N/A	PC	General & Unrestricted	5,000
FRIENDS OF HUMANITY CORPORATION 1440 NE 101ST ST MIAMI SHORES, FL 33138	N/A	PC	General & Unrestricted	5,000
FRIENDS OF ROBINSON GARDENS INC 1008 ELDEN WAY BEVERLY HILLS, CA 90210	N/A	PC	General & Unrestricted	1,000
FRIENDS OF THE DANIEL CENTERS FOR PROGRESSIVE JUDA 4108 LELAND ST CHEVY CHASE, MD 20815	N/A	PC	Bar and Bat Mitzvah in the City program	10,000
GABLESTAGE INC 1200 ANASTASIA AVE STE 230 CORAL GABLES, FL 33134	N/A	PC	Educational Program with Miami-Dade County Public Schools including Ruth K Broad K-8 Center 2015/2016 school year	3,000
GAINESVILLE HILLEL INC 2020 W UNIVERSITY AVE GAINESVILLE, FL 32603	N/A	PC	Innovative Projects for Hillel for the 21st Century	10,000
GRACE FOUNDATION OF NEW YORK 460 BRIELLE AVE STATEN ISLAND, NY 10314	N/A	PC	General & Unrestricted	1,000
GREATER MIAMI JEWISH FEDERATION 4200 BISCAYNE BLVD MIAMI, FL 33137	N/A	PC	2015 Annual Regular Campaign	50,000
GREATER MIAMI JEWISH FEDERATION 4200 BISCAYNE BLVD MIAMI, FL 33137	N/A	PC	Miami Beach Jewish Community Center Fund	10,000
GREATER MIAMI JEWISH FEDERATION 4200 BISCAYNE BLVD MIAMI, FL 33137	N/A	PC	Morris N Broad Philanthropic Fund	234,870
GREATER MIAMI JEWISH FEDERATION 4200 BISCAYNE BLVD MIAMI, FL 33137	N/A	PC	2015 Annual Regular Campaign	22,500
Total				3a 1,380,730

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

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Name and address (home or business)				
a <i>Paid during the year</i>				
GREEN MOUNTAIN COLLEGE 1 BRENNAN CIR POULTNEY,VT 05764	N/A	PC	General & Unrestricted	5,000
H3 HEALTH HOPE HEALING INC 1935 CALAIS DR APT 205 MIAMI BEACH,FL 33141	N/A	PC	General & Unrestricted	1,500
HEARING RESEARCH INSTITUTE INC 7000 SW 62ND AVE STE 210 SOUTH MIAMI,FL 33143	N/A	PC	hearing screenings at Ruth K Broad Bay Harbor School for the 2015/2016 school year	5,000
HILLEL THE FOUNDATION FOR JEWISH CAMPUS LIFE 574 HILGARD AVE LOS ANGELES,CA 90024	N/A	PC	Seidler-Feller Institute for Jewish Learning Campaign	3,500
HISTORIC SALEM COURTHOUSE PRESERVATION ASSOCIATION PO BOX 140 SALEM,NY 12865	N/A	PC	General & Unrestricted	8,500
JEWISH COMMUNAL FUND 575 MADISON AVE NEW YORK,NY 10022	N/A	PC	General & Unrestricted	25,000
JEWISH LEADERSHIP INSTITUTE INC 925 ARTHUR GODFREY RD STE 101 MIAMI BEACH,FL 33140	N/A	PC	Scholarship Fund	5,000
JOE DIMAGGIO CHILDRENS HOSPITAL FOUNDATION INC 3329 JOHNSON ST HOLLYWOOD,FL 33021	N/A	PC	General & Unrestricted	1,000
JUDEA REFORM CONGREGATION 1933 W CORNWALLIS RD DURHAM,NC 27705	N/A	PC	Rabbi Endowment Framing Our Future Campaign	7,000
LIBERTY IN NORTH KOREA 1751 TORRANCE BLVD STE L TORRANCE,CA 90501	N/A	PC	General & Unrestricted	500
LOOMIS INSTITUTE 4 BATCHELDER RD WINDSOR,CT 06095	N/A	PC	Endowment fund and lecture series	30,000
LOOMIS INSTITUTE 4 BATCHELDER RD WINDSOR,CT 06095	N/A	PC	2015-16 Annual Fund	10,000
LOOMIS INSTITUTE 4 BATCHELDER RD WINDSOR,CT 06095	N/A	PC	Student Travel and Study Abroad Program	75,000
MEMORIAL SLOAN-KETTERING CANCER CENTER 633 3RD AVE 28TH FL NEW YORK,NY 10017	N/A	PC	Pediatric Department	500
MIAMI CHILDRENS MUSEUM INC 980 MACARTHUR CSWY MIAMI,FL 33132	N/A	PC	MCM Players In-School Performances Fund	1,500
Total			3a	1,380,730

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Name and address (home or business)				
a <i>Paid during the year</i>				
MIAMI COUNTRY DAY SCHOOL INC 601 NE 107TH ST MIAMI, FL 33161	N/A	PC	General & Unrestricted	1,500
MIAMI LIGHTHOUSE FOR THE BLIND AND VISUALLY IMPAIR 601 SW 8TH AVE MIAMI, FL 33130	N/A	PC	visual screenings at Ruth K Broad Bay Harbor K-8 Center 2015/2016 school year	6,000
MIAMI MUSIC PROJECT INC 2125 BISCAYNE BLVD STE 215 MIAMI, FL 33137	N/A	PC	General & Unrestricted	20,000
MIAMI THEATER CENTER INC 9806 NE 2ND AVE MIAMI SHORES, FL 33138	N/A	PC	Miami Theatre Center Program for Miami-Dade County Public Schools including rkbh k-8 school	5,000
MOUNT SINAI MEDICAL CENTER FOUNDATION INC 4300 ALTON RD MIAMI BEACH, FL 33140	N/A	PC	General & Unrestricted	2,300
NEW ISRAEL FUND 2100 M ST NW STE 619 WASHINGTON, DC 20037	N/A	PC	General & Unrestricted	35,000
NEW ISRAEL FUND 2100 M ST NW STE 619 WASHINGTON, DC 20037	N/A	PC	Nazareth Nurseries Institute	1,500
NEW YORK LAW SCHOOL 185 W BROADWAY NEW YORK, NY 10013	N/A	PC	creation of the Center for Chemical and Experimental learning	100,000
NORTH BROWARD HOSPITAL DISTRICT CHARITABLE FOUNDAT 1201 S ANDREWS AVE FORT LAUDERDALE, FL 33316	N/A	SO I	renovations at Broward Health Children's Hospital	10,000
NORTHWESTERN UNIVERSITY 1201 DAVIS ST 3RD FL EVANSTON, IL 60208	N/A	PC	Richard W Leopold Professorship in American History Endowment fund	2,500
NOVA SOUTHEASTERN UNIVERSITY INC 3301 COLLEGE AVE DAVIE, FL 33314	N/A	PC	Shepard Broad Law College Division	5,000
OAKWOOD SCHOOL 11600 MAGNOLIA BLVD N HOLLYWOOD, CA 91601	N/A	PC	Annual Fund	7,500
OAKWOOD SCHOOL 11600 MAGNOLIA BLVD N HOLLYWOOD, CA 91601	N/A	PC	Tribute Book Scholarship Fund	3,000
OAKWOOD SCHOOL 11600 MAGNOLIA BLVD N HOLLYWOOD, CA 91601	N/A	PC	Magnolia Avenue Bridge Project	17,500
OUR PRIDE ACADEMY INC 10100 SW 107TH AVE MIAMI, FL 33176	N/A	PC	General & Unrestricted	2,000
Total				3a 1,380,730

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Name and address (home or business)				
a <i>Paid during the year</i>				
P E F ISRAEL ENDOWMENT FUNDS INC Interreligious C 317 MADISON AVE STE 607 NEW YORK, NY 10017	N/A	PC	Interreligious Coordinating Council in Israel	1,800
PELICAN HARBOR SEABIRD STATION INC 1279 NE 79 ST CAUSEWAY MIAMI, FL 33138	N/A	PC	General & Unrestricted	500
PTA FLORIDA CONGRESS - BROAD BAY HARBOR K-8 CENTER 1155 93RD ST BAY HARBOR ISLANDS, FL 33154	N/A	PC	Teacher of the Year Award Fund	1,000
RANSOM EVERGLADES SCHOOL INC 3575 MAIN HWY COCONUT GROVE, FL 33133	N/A	PC	5000 Fund	5,000
RANSOM EVERGLADES SCHOOL INC 3575 MAIN HWY COCONUT GROVE, FL 33133	N/A	PC	Annual Fund	15,000
SCHOOL BOARD OF MIAMI-DADE COUNTY FLORIDA DIVISION 1155 93RD ST BAY HARBOR ISLANDS, FL 33154	N/A	PC	2014/2015 School Tutoring Program at RKBBH K-8 Center	10,000
SCHOOL BOARD OF MIAMI-DADE COUNTY FLORIDA DIVISION 1155 93RD ST BAY HARBOR ISLANDS, FL 33154	N/A	PC	2014/2015 School Tutoring Program at RKBBH K-8 Center	10,000
SKYS THE LIMIT FUND SOBRATO CENTER FOR NONPROFITS 510A MILPITAS, CA 95035	N/A	PC	General & Unrestricted	10,000
SLATE VALLEY MUSEUM FOUNDATION 17 WATER ST GRANVILLE, NY 12832	N/A	SO III FI	General & Unrestricted	5,000
SLATE VALLEY MUSEUM FOUNDATION 17 WATER ST GRANVILLE, NY 12832	N/A	SO III FI	Annual 2015 grant for the High School Field Trip	500
SOUTH FLORIDA PBS INC 14901 NE 20TH AVE NORTH MIAMI, FL 33181	N/A	PC	General & Unrestricted	10,000
SOUTHERN SCHOLARSHIP FOUNDATION INC 322 STADIUM DR TALLAHASSEE, FL 32304	N/A	PC	Scholarship Needs Program	3,000
ST STEPHEN CATHOLIC CHURCH 6044 SW 19TH ST MIRAMAR, FL 33023	N/A	PC	General & Unrestricted	1,000
STANFORD LAW SCHOOL CROWN QUADRANGLE 559 NATHAN ABBOTT STANFORD, CA 94305	N/A	PC	General & Unrestricted	10,000
SUNDARI FOUNDATION INC 1514 NW 2ND AVE APT 1 MIAMI, FL 33136	N/A	PC	General & Unrestricted	30,000
Total				3a 1,380,730

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SYRACUSE UNIVERSITY 820 COMSTOCK AVE STE 100 SYRACUSE, NY 13244	N/A	PC	Ruth Kugel Broad endowed scholarship fund 2015/2016	10,000
TEMPLE BETH SHOLOM 4144 CHASE AVE MIAMI BEACH, FL 33140	N/A	PC	General & Unrestricted	1,800
TEMPLE BETH SHOLOM 4144 CHASE AVE MIAMI BEACH, FL 33140	N/A	PC	Endowment Fund to promote innovative and creative Jewish programming in the arts	20,000
TEMPLE BETH SHOLOM 4144 CHASE AVE MIAMI BEACH, FL 33140	N/A	PC	Initial 5 years of annual programming cost for innovative and creative Jewish programming in the arts	5,000
TEMPLE ISRAEL 200 STATE ST PORTSMOUTH, NH 03801	N/A	PC	The Rabbi's Fund	1,000
TEMPLE ISRAEL OF HOLLYWOOD 7300 HOLLYWOOD BLVD LOS ANGELES, CA 90046	N/A	PC	General & Unrestricted	6,000
THE INSTITUTE FOR THE ADVANCEMENT OF EDUCATION IN 171-06 76TH AVE FLUSHING, NY 11366	N/A	PC	2015 scholarships fund	3,000
THE LERNER JEWISH COMMUNITY DAY SCHOOL 1935 W CORNWALLIS RD DURHAM, NC 27705	N/A	PC	Annual Fund	2,200
THE MIAMI CLASSICAL GUITAR SOCIETY INC 10630 SW 126TH AVE MIAMI, FL 33186	N/A	PC	General & Unrestricted	5,000
THE MIAMI FOUNDATION INC 200 S BISCAYNE BLVD STE 505 MIAMI, FL 33131	N/A	PC	1st installment of the matching grant for the Diane W Camber exhibition endowment fund	10,000
THE MIAMI FOUNDATION INC 200 S BISCAYNE BLVD STE 505 MIAMI, FL 33131	N/A	PC	Diane W Camber Exhibition Endowment Fund	50,000
THE PAINTED TURTLE 1300 4TH ST STE 300 SANTA MONICA, CA 90401	N/A	PC	Care and Feeding of Ladybug in the equine program	2,500
THE UCLA FOUNDATION 405 HILGARD AVE LOS ANGELES, CA 90095	N/A	PC	top rising 2Is fund	8,500
THE WORLD UNION FOR PROGRESSIVE JUDAISM LTD 633 3RD AVE NEW YORK, NY 10017	N/A	PC	IRAC Division	500
THOMAS ARMOUR YOUTH BALLET INC 5818 SW 73RD ST SOUTH MIAMI, FL 33143	N/A	PC	General & Unrestricted	1,000
Total			3a	1,380,730

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TOWN OF BAY HARBOR ISLANDS 9665 BAY HARBOR TERRACE BAY HARBOR ISLANDS, FL 33154	N/A	PC	Annual Picnic Program	20,000
TOWN OF BAY HARBOR ISLANDS 9665 BAY HARBOR TERRACE BAY HARBOR ISLANDS, FL 33154	N/A	PC	Annual Summer Tutorial program	8,960
TRIANGLE COMMUNITY FOUNDATION 324 BLACKWELL ST STE 1220 DURHAM, NC 27701	N/A	PC	Tomorrow Fund	1,000
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA The Pe 601 FRANKLIN BLDG 3451 WALNUT ST PHILADELPHIA, PA 19104	N/A	PC	College of Arts & Sciences	4,000
UNIVERSITY OF FLORIDA FOUNDATION INC PO BOX 14425 GAINESVILLE, FL 32604	N/A	PC	Lastinger Center - College of Education	20,000
UNIVERSITY OF MIAMI PO BOX 248073 CORAL GABLES, FL 33124	N/A	PC	University of Miami School of Education and Human Development Student Teaching Program	25,000
UNIVERSITY OF MIAMI PO BOX 248161 Coral Gables, FL 33124	N/A	PC	Sue and Leonard Miller Center for Contemporary Judaic Studies 2016 education programs	500
UNIVERSITY OF MIAMI 1500 NW 12TH AVE STE 1020E MIAMI, FL 33136	N/A	PC	Spine Institute	15,000
UNIVERSITY OF MIAMI 1500 NW 12TH AVE STE 1020E MIAMI, FL 33136	N/A	PC	Michael S Gordon Center for Research and Medical Education	1,000
UNIVERSITY OF MIAMI 4600 RICKENBACKER CAUSEWAY MIAMI, FL 33149	N/A	PC	2016 Sea Secrets Lecture series	5,000
UNIVERSITY OF MIAMI - BASCOM PALMER EYE INSTITUTE PO BOX 016880 MIAMI, FL 33101	N/A	PC	Dr Dubovy's Research Fund	5,000
UNIVERSITY OF MIAMI - DEPARTMENT OF PEDIATRICS 1120 NW 14TH ST CRB 583 D-48 MIAMI, FL 33136	N/A	PC	Oliver's Hearing Aid Bank Program	5,000
UPWELLING FOUNDATION PO BOX 140 KEESEVILLE, NY 12944	N/A	PC	General & Unrestricted	5,000
WORK ORIENTED REHABILITATION CENTER INC 1100 JIMMY ANN DR DAYTONA BEACH, FL 32117	N/A	PC	General & Unrestricted	2,500
WORK ORIENTED REHABILITATION CENTER INC 1100 JIMMY ANN DR DAYTONA BEACH, FL 32117	N/A	PC	Charitable Event	5,000
Total				3a 1,380,730

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Name and address (home or business)				
a <i>Paid during the year</i>				
WWP INC - WOUNDED WARRIOR PROJECT INC 4899 BELFORT RD STE 300 JACKSONVILLE, FL 32256	N/A	PC	General & Unrestricted	1,000
YOUNG PATRONESSES OF THE OPERA INC PO BOX 347616 MAIMI, FL 33234	N/A	PC	General & Unrestricted	5,000
ZOO MIAMI FOUNDATION INC 12400 SW 152ND ST MIAMI, FL 33177	N/A	PC	Programming at Ruth K Broad Bay K-8 Harbor school 2015-2016	3,200
Total	3a			1,380,730

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Depreciation Schedule

Name: The Shepard Broad Foundation Inc

EIN: 59-0998866

TY 2015 Investments Corporate Bonds Schedule

Name: The Shepard Broad Foundation Inc

EIN: 59-0998866

Name of Bond	End of Year Book Value	End of Year Fair Market Value
7TH JUBILEE FIX 10Y - 3.700% -	149,999	150,001
9TH JUBILEE FIX 5Y - 2.560% -	99,999	100,000
ACE INA HLDG INC DEB - 8.875%	6,610	7,031
AFLAC INC SR NT - 2.400% - 03/	10,045	9,981
ALTRIA GROUP INC - 9.250% - 08	6,383	6,125
ALTRIA GROUP INC GTD NT - 4.75	11,049	10,862
AMER EXP - 5.500% - 09/12/2016	10,019	10,293
AMERICAN EXPRESS CO - 7.000% -	11,742	11,090
AMERITECH CAP FUNDING CORP - 6	10,418	11,167
AMGEN INC - 4.100% - 06/15/202	16,052	15,797
ANHEUSER BUSCH - 6.800% - 08/2	13,364	12,129
ANHEUSER-BUSCH INBEV - 0.800%	25,042	25,000
APACHE CORP - 5.100% - 09/01/2	4,998	4,264
APPLE - 3.850% - 05/04/2043	5,060	4,609
ARCHER DANIELS - 7.500% - 03/1	12,608	12,787
AVALON BAY - 5.700% - 03/15/20	17,589	15,693
BANK AMER FDG CORP SR NT - 7.6	12,105	11,570
BANK OF NEW YORK MELLON - 5.45	10,002	11,020
BBT CORP - 3.950% - 04/29/2016	20,364	20,199
BECTON DCKNSN - 7.000% - 08/01	11,959	12,724

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BERKSHIRE HATHAWAY - 3.000% -	9,952	10,173
BERKSHIRE HATHAWAY FIN CORP -	16,839	16,344
BERKSHIRE HATHAWAY FIN CORP -	21,501	21,708
BESTFOODS MTS - 6.625% - 04/15	11,562	12,807
BLACKROCK INC - 5.000% - 12/10	10,639	11,049
BOEING CO - 7.250% - 06/15/202	12,597	13,058
BURLINGTON NORTHN SANTA - 6.15	4,810	5,864
CAMPBELL SOUP - 8.875% - 05/01	12,851	12,672
CATERPILLAR - 6.625% - 07/15/2	11,712	12,499
CHUBB - 6.600% - 08/15/2018	16,698	16,702
CHUBB CORP DEB - 6.800% - 11/1	6,753	6,473
CINTAS CORP NO - 4.300% - 06/0	15,955	15,882
CLOROX CO DEL SR NT - 5.950% -	16,697	16,111
CME GROUP INC - 3.000% - 09/15	15,062	15,072
COMCAST CORP - 9.455% - 11/15/	15,439	13,806
COMCAST CORP NOTES - 5.150% -	16,818	16,760
COMM MTG A4 - 3.147% - 08/15/2	20,490	20,212
CONOCOPHILLIPS - 4.300% - 11/1	5,425	4,136
CONOCOPHILLIPS - 5.750% - 02/0	16,563	16,235
CONS EDISON CO N Y INC - 5.500	5,846	5,679

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CVS CAREMARK CORP - 3.500% - 0	15,600	15,263
DEERE & CO DEB - 8.100% - 05/1	13,311	14,132
DEVON ENERGY CORP - 4.750% - 0	4,488	3,664
DEVON ENERGY CORP NOTE - 6.300	10,094	10,303
DOVER - 6.650% - 06/01/2028	11,846	12,197
DU PONT E I DE NEMOURS - 6.000	21,277	21,806
EATON CORP - 5.600% - 05/15/20	14,897	16,223
EMERSON ELEC CO - 4.875% - 10/	27,949	27,458
EQUITABLE COS - 7.000% - 04/01	11,698	12,310
FIFTH THIRD BANCORP - 2.300% -	15,053	15,014
FLORIDA POWER CORP - 5.800% -	10,036	10,715
GE CAP CORP - 5.500% - 01/08/2	5,530	5,608
GE CAP CORP - 6.875% - 01/10/2	6,718	6,806
GENERAL ELECTRIC CO - 5.250% -	10,591	10,685
GOLDMAN SACHS GROUP NTS - 7.50	30,652	28,523
GOLDMAN SACHS GRP INC - 6.000%	5,077	5,653
GS MTG - 2.773% - 11/13/2045	14,987	14,785
HALLIBURTON COMPANY - 6.700% -	4,997	5,895
HARTFORD FINL SVC GRP NT - 6.3	9,249	10,896
HOME DEPOT INC SR NT - 4.400%	5,678	5,497

Name of Bond	End of Year Book Value	End of Year Fair Market Value
INTERNATIONAL BUSINESS MACHS -	26,502	24,716
ISRAELI 4TH JUBILEE 10 YR BD -	149,999	150,001
ISRAELI JUBILEE 8TH 5YR - 2.80	99,999	100,001
JPMORGAN CHASE & CO - 4.350% -	5,003	5,322
JPMORGAN CHASE & CO SR NT - 4.	15,219	15,911
KELLOGG CO - 4.000% - 12/15/20	15,910	15,777
KEYCORP SER I - 5.100% - 03/24	10,786	10,930
KRAFT FOODS INC - 6.125% - 08/	9,822	11,022
LINCOLN NATIONAL CORP - 8.750%	24,530	24,020
LOCKHEED MARTIN CORP - 4.850%	9,920	10,342
MARKEL CORP SR NT - 5.350% - 0	21,193	21,973
MCDONALD'S CORP - 5.350% - 03/	5,016	5,361
MCDONALDS CORP - 2.200% - 05/2	24,690	24,753
MERRILL LYNCH MTN BE - 6.400%	15,661	16,103
METLIFE INC - 6.500% - 12/15/2	6,733	6,142
MICROSOFT CORP - 5.200% - 06/0	11,396	11,366
MORGAN STANLEY - 5.625% - 09/2	5,719	5,518
MORGAN STANLEY - 6.625% - 04/0	5,457	5,477
OCCIDENTAL PETROLEUM - 2.500%	25,191	25,022
ORACLE CORP SR GLBL NT - 2.500	19,642	19,630

Name of Bond	End of Year Book Value	End of Year Fair Market Value
PARKER-HANNIFIN CORP - 6.250%	6,327	6,340
PEPSI BOTTLING GRP INC GTD - 7	13,495	13,411
PFIZER INC - 6.200% - 03/15/20	15,000	16,875
PHILLIPS 66 - 5.875% - 05/01/2	5,898	5,036
PNC FDG CORP - 5.625% - 02/01/	9,949	10,401
PNC FUNDING CORP - 2.700% - 09	10,147	10,098
PNC FUNDING CORP - 3.300% - 03	15,375	15,268
PROCTER & GAMBLE CO DEB - 8.00	14,886	13,639
PROGRESSIVE CORP - 3.750% - 08	20,807	21,091
PRUDENTIAL FINL INC - 6.100% -	10,081	10,595
ROYAL BK OF CANADA - 2.300% -	10,138	10,074
SIMON PROPERTY GROUP INC - 2.7	19,173	19,521
SUNTRUST BKS INC SR NT - 3.600	25,604	25,131
SYSCO - 5.375% - 03/17/2019	17,212	16,404
THERMO FISHER SCIENTIFIC INC -	10,111	10,143
TIME WARNER CO - 6.625% - 05/1	5,675	5,854
TWENTY FIRST CENT - 8.500% - 0	4,798	6,497
UNION PACIFIC CORP - 7.875% -	12,151	11,589
UNITED PARCEL SERVC - 6.200% -	6,522	6,427
UNITED TECHNOLOGIES CORP - 5.7	6,511	5,855

Name of Bond	End of Year Book Value	End of Year Fair Market Value
UNITED TECHNOLOGIES CORP - 8.7	6,865	6,457
UNITED TECHNOLOGIES CORP - 9.0	6,789	6,198
VERIZON COMMUNICATIONS INC - 6	11,385	10,927
VERIZON GLOBAL FDG CORP - 7.75	6,828	6,383
VIRGINIA ELEC & PWR CO - 8.875	6,235	7,856
WAL MART STORES INC - 6.500% -	6,306	6,445
WELLS FARGO - 2.625% - 12/15/2	20,378	20,280
WELLS FARGO - 5.125% - 09/15/2	14,136	15,422
WELLS FARGO - 5.625% - 12/11/2	16,935	16,093
WISCONSIN PWR & LT CO - 6.375%	6,996	6,375

TY 2015 Investments Corporate Stock Schedule

Name: The Shepard Broad Foundation Inc

EIN: 59-0998866

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ALEXION PHARMACEUTICALS, INC	37,655	60,468
ALPHABET INC CL C	60,116	77,406
AMAZON COM	24,710	110,846
AMERN AADV INTL EQ FD INTL CL	510,171	431,836
APPLE INC	30,990	62,103
ARM HOLDINGS PLC	33,418	44,561
BAIDU.COM - ADR	42,668	35,350
BIOGEN INC	51,832	46,872
BIOMARIN PHARMACEUTICAL INC	23,071	19,485
CELGENE CORP	60,743	95,329
DFA EMERGING MARKETS CORE EQ P	1,113,200	869,745
DFA INTERNATIONAL SMALL CO POR	454,309	481,512
DFA INTERNATIONAL VALUE PORTFO	498,090	448,654
DFA U.S. LARGE CAP VALUE PORTF	1,166,624	2,058,528
DFA U.S. SMALL CAP VALUE PORTF	808,821	835,270
DOMINOS PIZZA INC	22,891	23,363
FACEBOOK INC	70,993	180,957
HOTCHKIS & WILEY HIGH YLD-I	817,037	687,635
ILLUMINA INC COM	69,530	70,638
ING FLOATING RATE FUND CLASS I	369,500	346,823
ISHARES RUSSELL 2000 GROWTH IN	506,577	894,456
LINKEDIN CORPORATION	75,726	125,144
MARKET VECTORS ISRAEL	264,827	236,407
MASTERCARD INC	36,336	98,042
MIDDLEBY CORPORATION (THE)	36,489	46,492
NETFLIX INC	16,912	16,471
NIKE INC-CL B	35,637	34,500
O'REILLY AUTOMOTIVE INC	11,869	11,911
PALO ALTO NETWORKS INC	54,755	59,183
PAYPAL HOLDINGS, INC	36,250	34,245

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PIMCO EMERGING MARKETS FULL SP	288,671	223,338
PIMCO FD PAC INV MGMT FOREIGN	281,615	241,246
PRICELINE.COM INCORPORATED	19,140	114,746
REGENERON PHARMACEUTICALS, INC	46,979	111,288
RESTORATION HARDWARE	22,677	18,194
SALESFORCE.COM	52,926	128,890
SPLUNK INC	32,901	42,931
STARBUCKS CORP COM	73,559	154,517
TJX COMPANIES INC	50,153	52,473
TRANSDIGM GRP INC	28,981	59,397
TRIPADVISOR LLC	40,385	37,766
ULTA SALON, COSMETICS & FRAGRA	83,856	95,645
UNDER ARMOUR INC	20,146	79,723
VANGUARD 500 INDEX FUND ADM	1,437,042	2,255,903
VANGUARD INTL GROWTH FD ADMIRA	925,521	929,973
VANGUARD SMALL CAP GROWTH INDE	299,057	421,324
VISA INC	35,400	126,251
WALT DISNEY HOLDINGS CO	52,247	60,001
WORKDAY INC	36,752	38,406

TY 2015 Investments Government Obligations Schedule

Name: The Shepard Broad Foundation Inc

EIN: 59-0998866

**US Government Securities - End of
Year Book Value:**

832,039

**US Government Securities - End of
Year Fair Market Value:**

840,867

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2015 Other Expenses Schedule

Name: The Shepard Broad Foundation Inc

EIN: 59-0998866

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	63,648			63,648
Bank Charges	163	163		
CREDIT CARD ANNUAL FEE	165			165
State or Local Filing Fees	61			61

TY 2015 Other Income Schedule

Name: The Shepard Broad Foundation Inc

EIN: 59-0998866

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Interest income from PRI	750	750	

TY 2015 Other Professional Fees Schedule

Name: The Shepard Broad Foundation Inc

EIN: 59-0998866

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	86,659	86,659		

TY 2015 Taxes Schedule

Name: The Shepard Broad Foundation Inc

EIN: 59-0998866

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2015	10,300			
990-PF Extension for 2014	4,462			

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Schedule of Contributors

OMB No 1545-0047

Department of the Treasury
Internal Revenue Service

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at**
www.irs.gov/form990.

2015

Name of the organization

The Shepard Broad Foundation Inc

Employer identification number

59-0998866

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization The Shepard Broad Foundation Inc	Employer identification number 59-0998866
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Shepard Broad Charitable Lead Annui 801 Brickell Avenue 2350 Miami, FL 33131	\$ 1,344,571	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization
The Shepard Broad Foundation Inc

Employer identification number
59-0998866

Part II **Noncash Property**
(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____	_____ \$	_____

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____	_____ \$	_____

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____	_____ \$	_____

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____	_____ \$	_____

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____	_____ \$	_____

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____	_____ \$	_____

Name of organization
The Shepard Broad Foundation Inc

Employer identification number
59-0998866

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____
--	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____
--	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____
--	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____
--	_____