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Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

For calcinual year 2015 of tax year beginning		, and ending		
Name of foundation	NAME AND A MET CALL		A Employer identificatio	n number
MENTAL HEALTH AWARENESS FO C/O ANGELA POLK	DUNDATION		F7 000000	.
Number and street (or P O box number if mail is not delivered to street	t address)	Room/suite	57-0898098	3
1411 SECOND LOOP ROAD	. 134. (45)	Troom/salte	B Telephone number 843-260-46	520
City or town, state or province, country, and ZIP or foreign p	nostal codo		-	·
FLORENCE, SC 29505	Justal Code		C if exemption application is	pending, check here
G Check all that apply: Initial return	Initial return of a fo	ormer public charity	D 1. Foreign organization	s chack hare
Final return	Amended return	office public charity	1. Foreign organization	is, check here
Address change	Name change		Foreign organizations m check here and attach or	eeting the 85% test,
H Check type of organization: X Section 501(c)(3) e			1	
	Other taxable private founda	ation	E If private foundation sta under section 507(b)(1	
I Fair market value of all assets at end of year J Account		Accrual	1	
· —	ther (specify)		F If the foundation is in a under section 507(b)(1	
▶\$ 160,025. (Part I, colu		basis.)		//D/, 01100K 11010
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and	(b) Net investment	(c) Adjusted net	(d) Disbursements
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	expenses per books	income	income	for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received	98.		N/A	
2 Check X if the foundation is not required to attach Sch B				
nterest on savings and temporary				
d Dividends and interest from securities 5 Gross rents	8,075.	8,075.		STATEMENT 1
b Net rental income or (loss)				
	-6,810.	 		
b Gross sales price for all 109,987.				FOENED
7 Capital gain net income (from Part IV, line 2)		0.	1	VECTIVED TO
8 Net short-term capital gain			16	MAY 16 2016
9 Income modifications			8	MAY 16 SOID BY
banket gain or (loss) from sale of assets not on line 10 bassets on line 6a 7 Capital gain net income (from Part IV, line 2) 8 Net short-term capital gain 9 Income modifications Gross sales less returns 10a and allowances b Less Cost of goods sold 6 Gross profit or (loss)			(0)	Jox
b Less Cost of goods sold				COEN UT
© Gross profit or (loss)				/
11 Other income				ņ.
12 Total, Add lines 1 through 11	1,363.	8,075.		
13 Compensation of officers, directors, trustees, etc	0.	0 <u>.</u>		0.
14 Other employee salaries and wages				
η 15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees				
ŭ c Other professional fees		·		
9 17 Interest				
b Accounting fees c Other professional fees 17 Interest 18 Taxes STMT 2 19 Depreciation and depletion 20 Occupancy 21 Travel, conferences, and meetings 22 Printing and publications 23 Other expenses STMT 3 24 Total operating and administrative expenses. Add lines 13 through 23	98.	0.		0.
19 Depreciation and depletion	-	 :	-	
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications 23 Other expenses STMT 3	10.	10.		
23 Other expenses STMT 3	10.			0.
24 Total operating and administrative expenses. Add lines 13 through 23	108.	10.		
25 Contributions, gifts, grants paid	24,850.	TO•		24,850.
26 Total expenses and disbursements.	44,030.			44,030.
Add lines 24 and 25	24,958.	10.		24,850.
27 Subtract line 26 from line 12:	27,330 ·	<u> </u>		44,050.
& Excess of revenue over expenses and disbursements	-23,595.		1	_
b Net investment income (if negative, enter -0-)	20,000	8,065.		1
C Adjusted net income (if negative, enter -0-)		0,000.	N/A	
523501 11-24-15 LHA For Paperwork Reduction Act Notice, see	instructions.		-11-55	Form 990-PF (2015)

Fo	rm 99	00-PF (2015) C/O ANGELA POLK		57-	0898098 Page 2
ΓĒ	art	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of	
<u>.</u>	uis		(a) Book Value	(b) Book Value	(c) Fair Market Value
	1		400		
		Savings and temporary cash investments	139.	1,420.	1,420.
	3	Accounts receivable >			
		Less; allowance for doubtful accounts			
	4	Pledges receivable			
	١_	Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
	i _	disqualified persons			
	'	Other notes and loans receivable			
		Less: allowance for doubtful accounts			
Assets	1 -	Inventories for sale or use			
Ass	1				
•		Investments - U.S. and state government obligations	104 500	103,449.	74,298.
	1	Investments - corporate stock STMT 5	104,500.	103,445.	14,230.
	1	Investments - corporate bonds			
	11	tnvestments - land, buildings, and equipment: basis			
	40	Less accumulated depreciation			
		Investments - mortgage loans Investments - other STMT 6	123,140.	99,315.	84,307.
	13		123,140.	33,313.	04,307.
	14	Land, buildings, and equipment basis			
	15	Other assets (describe			
		Total assets (to be completed by all filers - see the	· · · · · · · · · · · · · · · · · · ·		
	10	Instructions. Also, see page 1, item I)	227,779.	204,184.	160,025.
_	17	Accounts payable and accrued expenses	221,113.	204,104.	100,023.
	18	Grants payable			
Ø	19	Deferred revenue			
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons	·		
ΪĢ	21	Mortgages and other notes payable			
Ë	1	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
_	\top	Foundations that follow SFAS 117, check here			
		and complete lines 24 through 26 and lines 30 and 31.			
Ses	24	Unrestricted			
Net Assets or Fund Balances	25	Temporarily restricted			
Ba	26	Permanently restricted			
P	1	Foundations that do not follow SFAS 117, check here			
Į		and complete lines 27 through 31.			
S	27	Capital stock, trust principal, or current funds	0.	0.	
set	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
Ā	29	Retained earnings, accumulated income, endowment, or other funds	227,779.	204,184.	
Š	30	Total net assets or fund balances	227,779.	204,184.	
_	31	Total liabilities and net assets/fund balances	227,779.	204,184.	
F	art	III Analysis of Changes in Net Assets or Fund Bala	ances		
=	Tota	I net assets or fund balances at beginning of year - Part II, column (a), line 30			
•		r het assets or fund balances at beginning of year - Part II, column (a), line 30 st agree with end-of-year figure reported on prior year's return)			227,779.
2	•	r amount from Part I, line 27a		1 2	-23,595.
3		r increases not included in line 2 (itemize)		3	0.
4		lines 1, 2, and 3		- 3	204,184.
5		reases not included in line 2 (itemize)		5	0.
-		I net assets or fund halances at end of year (line 4 minus line 5) - Part II colu	mn (h) line 20		204 184

523511 11-24-15

	ribe the kind(s) of property sold (e.c		i iiicoiiie	(b) How	acquired irchase	(c) Date a	acquired	(d) Date sold
2-story brick wa	rehouse; or common stock, 200 sh	s. MLC Co.)		D - Do	onation	` (mo., d	ay, yr.)	(mo., day, yr.)
1a STIFEL NICOLAU					P			
b STIFEL NICOLAU				ļ	P			
c CAPITAL GAINS	DIVIDENDS			ļ				
_d								
e (e) Gross sales price	(f) Depreciation allowed (or allowable)		st or other basis				ain or (loss) s (f) minus (
a 71,304.		 	78,64	1.			· · · · · ·	-7,337.
b 38,177.			38,15					21.
c 506.								506.
d								
<u>e</u>								
Complete only for assets showing	ng gain in column (h) and owned by	1					ol. (h) gain	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69		cess of col. (i) col. (j), if any		COI.		not less thar (from col. (h))
8						_		<u>-7,337.</u>
<u>b</u>	- · · · - · · · · · · · · · · · · · · ·							21.
<u>c</u>					_			506.
<u>d</u> e		<u> </u>						
2 Capital gain net income or (net ca	If gain, also ente	r in Part I, line)- in Part I, line	7 7	} 2				-6,810.
3 Net short-term capital gain or (los	•		•					
If gain, also enter in Part I, line 8,		(-),		}				
If (loss), enter -0- in Part I, line 8	Inder Section 4940(e) for	- Daduas	(T N.] 3	<u> </u>		N/A	
					unent inc	ome		
(For optional use by domestic private	e toundations subject to the section	4940(a) tax on	i net investment ir	icome.)				
If section 4940(d)(2) applies, leave to	his part blank.							
Was the foundation liable for the sec	tion 4942 tax on the distributable ar	nount of any v	ear in the hase per	riod?				Yes X No
If "Yes," the foundation does not qua		, ,	•					
	each column for each year; see the i			ntries.				
(a) Base period years Calendar year (or tax year beginni	ng in) Adjusted qualifying dis		Net value of no	(c) ncharitab	le-use assets		Distrib (col. (b) div	(d) ution ratio ided by col. (c))
2014		3,823.			15,208			.017764
2013		20,000.			05,515			.097316
2012		4,888.			04,893			.121468
2011		24,915.			26,136			.110177
2010		20,000.		2	24,695	•		.089010
2 Total of line 1, column (d)						2		.435735
• •	5-year base period - divide the total	on line 2 hy 5	or by the number	of veare		-		• 433733
the foundation has been in existe		on line z by J,	or by the number	Oi years		3		.087147
	noo n loob warr o your o							
4 Enter the net value of noncharitat	ole-use assets for 2015 from Part X,	line 5				4		185,769.
5 Multiply line 4 by line 3						5		<u>16,189.</u>
6 Enter 1% of net investment incor	ne (1% of Part I, line 27b)					6		81.
7 Add lines 5 and 6						7		16,270.
8 Enter qualifying distributions from	m Part XII, line 4					8		24,850.
If line 8 is equal to or greater thai	n line 7, check the box in Part VI, line	e 1b, and com	olete that part usin	ıg a 1% ta	ıx rate.			
See the Part VI instructions.		<u> </u>						
								orm 000-DE (2015)

MENTAL HEALTH AWARENESS FOUNDATION

	1990-PF (2015) C/O ANGELA POLK 57 Int VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 494	7-089 8-500			Page 4
	Exempt operating foundations described in section 4940(d)(2), check here \to \tag{\text{and enter "N/A" on line 1.}	0 - 300	1113616		
ıa	Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)				
h		,			81.
	of Part I, line 27b	' 			<u>01.</u>
^	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
		2			0.
3		3			81.
4		4			0.
5		5			81.
6	Credits/Payments:	-			<u> </u>
_	2015 estimated tax payments and 2014 overpayment credited to 2015				
	Exempt foreign organizations - tax withheld at source 6b				
	Tax paid with application for extension of time to file (Form 8868) 6c				
	Backup withholding erroneously withheld 6d				
		7			0.
8		, B			<u> </u>
9		9			81.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid				<u> </u>
		1			
	irt VII-A Statements Regarding Activities	'			
	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in			Yes	No
	any political campaign?		1a		X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?		1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published of	or	1.3		
	distributed by the foundation in connection with the activities.		1		
c	Did the foundation file Form 1120-POL for this year?		1c		х
	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:				
	(1) On the foundation. \blacktriangleright \$ 0 • (2) On foundation managers. \blacktriangleright \$ 0 •				
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation				İ
	managers.▶\$ 0.				
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?		2		X
	If "Yes," attach a detailed description of the activities.				
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or				
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3		X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4a		X
b	If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5		X
	If "Yes," attach the statement required by General Instruction T.				
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:				
	By language in the governing instrument, or				
	By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law	٧			
	remain in the governing instrument?		6	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV		7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)				
	SC				
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)				
-	of each state as required by General Instruction G? If "No," attach explanation		8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendary	ır			
	year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		9		X
10	Did any persons become substantial contributors during the tax year? If "Yes" attach a schedule listing their pames and addresses		10		X

Form **990-PF** (2015)

X

N/A

N/A

Yes X No

2b

3b

48

statement - see instructions.)

during the year?

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

b if "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time

Form 4720, to determine if the foundation had excess business holdings in 2015.)

had not been removed from jeopardy before the first day of the tax year beginning in 2015?

MENTAL HEALTH AWARENESS FOUNDATION Form 990-PF (2015) C/O ANGELA POLK 57-0898098 Page 6 Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required (continued) 5a During the year did the foundation pay or incur any amount to: Yes X No (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes X No (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes X No (4) Provide a grant to an organization other than a charitable, etc., organization described in section Yes X No 4945(d)(4)(A)? (see instructions) (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for Yes X No the prevention of cruelty to children or animals? b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A 5b Organizations relying on a current notice regarding disaster assistance check here c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained Yes No expenditure responsibility for the grant? N/A If "Yes," attach the statement required by Regulations section 53.4945-5(d). 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on Yes X No a personal benefit contract? b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6ь X If "Yes" to 6b, file Form 8870. Yes X No 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? 7Ь Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors 1 List all officers, directors, trustees, foundation managers and their compensation. (b) Title, and average hours per week devoted to position (d) Contributions to employee benefit plans and deterred compensation (c) Compensation (e) Expense account, other allowances (If not paid, enter -0-) (a) Name and address SEE STATEMENT 7 0 0 0. 2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE." (d) Contributions to employee benefit plans and deferred compensation (e) Expense account, other allowances (b) Title, and average (a) Name and address of each employee paid more than \$50,000 hours per week devoted to position (c) Compensation NONE

Form **990-PF** (2015)

Total number of other employees paid over \$50,000

MENTAL HEALTH AWARENESS FOUNDATION

Form 990-PF (2015)	<u> </u>	098 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)	Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter "NC		· · · · - ·
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		,,,,
Total number of others receiving over \$50,000 for professional services Part IX-A Summary of Direct Charitable Activities	<u> </u>	0
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical in number of organizations and other beneficiaries served, conferences convened, research papers produced,	etc.	Expenses
1N/A		
2		
3		
4		
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year on lines	1 and 2.	Amount
1 N/A		
2		 -
All other program-related investments. See instructions.		

Form **990-PF** (2015)

Total. Add lines 1 through 3

C/O ANGELA POLK

P	art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations, s	see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a		1a	190,009.
b	Average of monthly cash balances	1b	-1,411.
C	Fair market value of all other assets	1c	<u> </u>
đ	Total (add lines 1a, b, and c)	1d	188,598.
	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0 .		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	188,598.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,829.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	185,769.
6	Minimum investment return. Enter 5% of line 5	6	9,288.
Р	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and foreign organizations check here and do not complete this part.)	d certain	***************************************
1	Minimum investment return from Part X, line 6	1	9,288.
2a	Tax on investment income for 2015 from Part VI, line 5		
b	Income tax for 2015. (This does not include the tax from Part VI.)		
C	Add lines 2a and 2b	2c	81.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	9,207.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	9,207.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	9,207.
P	Qualifying Distributions (see instructions)		-
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a_	24,850.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	24,850.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income. Enter 1% of Part I, line 27b	_5	81.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	24,769.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation of 4940(e) reduction of tax in those years.	ualifies for	the section

C/O ANGELA POLK

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI,		- Care prior to 20 th		
line 7				9,207.
2 Undistributed income, if any, as of the end of 2015		··		
a Enter amount for 2014 only			0.	
b Total for prior years:				
, ,		0.		
3 Excess distributions carryover, if any, to 2015:			,	-
a From 2010 8,944.				
b From 2011 13,778.				
c From 2012 14,867.				
d From 2013 10,000.				
e From 2014				
f Total of lines 3a through e	47,589.		I	
4 Qualifying distributions for 2015 from				
Part XII, line 4: ►\$ 24,850.				
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus				
(Election required - see instructions)	0.			
d Applied to 2015 distributable amount				9,207.
e Remaining amount distributed out of corpus	15,643.			
5 Excess distributions carryover applied to 2015	0.			0.
(If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	63,232.			
b Prior years' undistributed income. Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years'				
undistributed income for which a notice of				
deficiency has been issued, or on which the section 4942(a) tax has been previously			•	
assessed		0.		
d Subtract line 6c from line 6b. Taxable				
amount - see instructions		0.		
e Undistributed income for 2014. Subtract line				
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2016				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3) (Election				
may be required - see instructions)	0.			
8 Excess distributions carryover from 2010			,	
not applied on line 5 or line 7	8,944.			
9 Excess distributions carryover to 2016.				
Subtract lines 7 and 8 from line 6a	54,288.			
10 Analysis of line 9:				
a Excess from 2011 13,778.				
b Excess from 2012 14,867.				
c Excess from 2013 10,000.				
d Excess from 2014				
e Excess from 2015 15,643.				Form 000 DE (0045)

523581 11-24-15

Part XV Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Recipient Purpose of grant or contribution show any relationship to Foundation status of Amount any foundation manager Name and address (home or business) recipient or substantial contributor a Paid during the year PEE DEE MENTAL HEALTH CENTER N/A N/A STAFF TRAINING -125 EAST CHEVES STREET MOTIVATIONAL FLORENCE SC 29506 INTERVIEWING 2,300. PEE DEE MENTAL HEALTH CENTER N/A N/A SUMMER PROGRAMMING FOR 125 EAST CHEVES STREET 4 CLINICS FLORENCE, SC 29506 (FLORENCE, LAKE CITY, HARTSVILLE, MARION) TRANSPORTATION 20,000. SUSAN CARTER C/O PDMHC NONE NONE CLINCIAL SUPERVISION 125 EAST CHEVES STREET COURSE FOR SKILLS AND FLORENCE, SC 29506 RESOURCES TO ENHANCE SUPERVISION TRAINING 1,750, FOR PDMHC - CHECK PEE DEE MENTAL HEALTH CENTER N/A N/A STAFF TRAINING -125 EAST CHEVES STREET SUICIDE PREVENTION FLORENCE SC 29506 800. Total ▶ 3a 24.850. b Approved for future payment NONE Total 3b

523611 11-24-15 ** SEE PURPOSE OF GRANT CONTINUATIONS

Part XVI-A Analysis of Income-Producing Activities

Inter gross amounts unless otherwise indicated.	Unrelate	ed business income		ided by section 512, 513, or 514	(e)
1 Program service revenue:	(a) Business code	(b) Amount	Exclu- sion code	(d) Amount	Related or exempt function income
a					
b					
c					·
d					
e			1		
f	_				
g Fees and contracts from government agencies					<u> </u>
Membership dues and assessments		- · · · · · · · · · · · · · · · · · · ·	<u> </u>		<u></u>
Interest on savings and temporary cash					
investments			<u> </u>		
Dividends and interest from securities		· · · · · · · · · · · · · · · · · · ·	14	8,075.	
Net rental income or (loss) from real estate:			<u> </u>		
a Debt-financed property					
b Not debt-financed property					
Net rental income or (loss) from personal					
property	ļ				
Other investment income					
Gain or (loss) from sales of assets other					
than inventory			18	-6,810.	
Net income or (loss) from special events			<u> </u>		
Gross profit or (loss) from sales of inventory					
Other revenue:	İ				
a	_				
b					
C					
c d					
e Subtotal. Add columns (b), (d), and (e)		0	•	1,265.	0
c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) ee worksheet in line 13 instructions to verify calculations	.)		-	13	1,265
c d E Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e)	s to the Acco	omplishment of E	xemp	13 t Purposes	1,265
c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) ee worksheet in line 13 instructions to verify calculations Part XVI-B Relationship of Activitie ine No. Explain below how each activity for which in	s to the Acco	omplishment of E	xemp	13 t Purposes	1,26
c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) ee worksheet in line 13 instructions to verify calculations Part XVI-B Relationship of Activitie ine No. Explain below how each activity for which in	s to the Acco	omplishment of E	xemp	13 t Purposes	1,26
c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) ee worksheet in line 13 instructions to verify calculations Part XVI-B Relationship of Activitie ne No. Explain below how each activity for which is	s to the Acco	omplishment of E	xemp	13 t Purposes	1,26
c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions to verify calculations art XVI-B Relationship of Activitie ne No. Explain below how each activity for which is	s to the Acco	omplishment of E	xemp	13 t Purposes	1,26
c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions to verify calculations art XVI-B Relationship of Activitie ne No. Explain below how each activity for which is	s to the Acco	omplishment of E	xemp	13 t Purposes	1,26
c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) ee worksheet in line 13 instructions to verify calculations Part XVI-B Relationship of Activitie ne No. Explain below how each activity for which is	s to the Acco	omplishment of E	xemp	13 t Purposes	1,26
c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) ee worksheet in line 13 instructions to verify calculations Part XVI-B Relationship of Activitie ine No. Explain below how each activity for which in	s to the Acco	omplishment of E	xemp	13 t Purposes	1,26

		Exempt Organ	izations				•			
1 Did t	he org	anization directly or indir	ectly engage in any o	f the followin	g with any other organization	on described in secti	on 501(c) of		Yes	No
the C	Code (other than section 501(c)	(3) organizations) or	ın section 52	7, relating to political organ	izations?				
a Tran	sfers f	rom the reporting founda	ation to a noncharitab	le exempt or	ganization of:					
(1)	Cash							1a(1)		_X_
(2)	Other	assets						1a(2)		X
b Othe	r trans	sactions:								l
(1)	Sales	of assets to a noncharital	ble exempt organizati	on				1b(1)		X
(2)	Purch	ases of assets from a nor	ncharitable exempt or	ganization				1b(2)		_X_
(3)	Rental	of facilities, equipment,	or other assets					1b(3)	<u> </u>	X
(4)	Reimb	ursement arrangements						1b(4)		X
(5)	Loans	or loan guarantees						1b(5)		_X_
(6)	Perfor	mance of services or me	mbership or fundrais	ıng solicitatio	ns			1b(6)	<u> </u>	X
c Shar	ing of	facilities, equipment, mai	ılıng lısts, other asset	s, or paid em	ployees			1c		X
					dule. Column (b) should alv	-			ets,	
		given by the reporting fo the value of the goods, o			ed less than fair market valu	ie in any transaction	or sharing arrangement,	show in		
(a) Line no	 -	b) Amount involved			exempt organization	(d) Description	of transfers, transactions, and	sharing an	rangeme	nts
				N/A						
	<u> </u>									
										
	-									
	-						· · · · · · · · · · · · · · · · · · ·			
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	+									
	1					- 				
	1				· · · · · · · · · · · · · · · · · · ·					
2a Is th	e foun	dation directly or indirect	tly affiliated with, or re	elated to, one	or more tax-exempt organi	zations described				
ın se	ection	501(c) of the Code (other	than section 501(c)	(3)) or in sect	ion 527?			Yes	X	No
		mplete the following sche								
		(a) Name of org	anization		(b) Type of organization		(c) Description of relations	ship		
		N/A								
							· · · · · · · · · · · · · · · · · · ·			
				· · · · · · · · · · · · · · · · · · ·				-		
	Under	penalties of persury. I declare t	that I have examined this	return includin	g accompanying schedules and	statements and to the	hest of my knowledge			_
Sign					n taxpayer) is based on all inform		has any knowledge re	ay the IRS of	e prepar	er
Here		1 lucala	I Poll		15/11/16	Tion	urer [own below Yes		7 I
ŀ	Sign	ature of officer or trustee	1-1000		Date	Title		res		_ No
	- 3.	Print/Type preparer's na	ime	Preparer's s		Date	Check If PTIN			
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							Phone no.			
							i	orm 99 0)-PF	(2015)

MENTAL HEALTH AWARENESS FOUNDATION

C/O ANGELA POLK	<u> 57-0898098</u>
Part XV Supplementary Information	
3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution	
NAME OF RECIPIENT - SUSAN CARTER C/O PDMHC	
CLINCIAL SUPERVISION COURSE FOR SKILLS AND RESOURCES TO E	ENHANCE
SUPERVISION TRAINING FOR PDMHC - CHECK WRITTEN TO PDMHC	

FORM 990-PF DIV	VIDENDS	AND INTER	EST I	FROM SECUR	ITIES S'	TATEMENT	1
	ROSS MOUNT	CAPITAL GAINS DIVIDEND		(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME		
STIFEL NICHOLAS	8,581.	50	6.	8,075.	8,075.		
TO PART I, LINE 4	8,581.	50	6. — —	8,075.	8,075.		
FORM 990-PF		TAX	ES		S	TATEMENT	2
DESCRIPTION			NET		(C) ADJUSTED NET INCOME	(D) CHARITA PURPOSI	
EXCISE TAXES		98.		0.			0.
TO FORM 990-PF, PG 1, LI	N 18	98.		0.			0.
FORM 990-PF		OTHER E	XPEN	SES	S	TATEMENT	3
DESCRIPTION		(A) EXPENSES PER BOOKS		(B) INVEST- I INCOME	(C) ADJUSTED NET INCOME	(D) CHARITA PURPOS	
ADR FEES		10.		10.			0.
TO FORM 990-PF, PG 1, LB	N 23 =	10.		10.			0.
		FOOTN	OTES		S'	TATEMENT	4

FORM 990-PF	CORPORATE STOCK		STATEMENT 5
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
STIFEL NICOLAUS CORPORATE STKS		103,449.	74,298.
TOTAL TO FORM 990-PF, PART II,	LINE 10B	103,449.	74,298.
FORM 990-PF	OTHER INVESTMENTS		STATEMENT 6
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
STIFEL NICOLAUS MUTUAL FDS IVY HIGH INCOME CLA	COST COST	99,307.	84,299.

	LIST OF OFFICERS, DI AND FOUNDATION MANAG		STAT	EMENT 7
NAME AND ADDRESS	TITLE AND AVRG HRS/WK		EMPLOYEE BEN PLAN CONTRIB	
ANGELA L POLK 1411 SECOND LOOP ROAD FLORENCE, SC 29505	TREASURER 0.25	0.	0.	0.
REBECCA SPARROW 128 SALT MARSH CIRCLE PAWLEYS ISLAND, SC 29585	SECRETARY 0.10	0.	0.	0.
FRANK G. BRAND 616 FAIRWAY DRIVE FLORENCE, SC 29501	PRESIDENT 0.25	0.	0.	0.
JOHN HANNA 1600 WEST PALMETTO STREET FLORENCE, SC 29501	VICE PRESIDENT 0.05	0.	0.	0.
KARL A. FOLKENS P. O. BOX 6139 FLORENCE, SC 29501	DIRECTOR 0.05	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PA	GE 6, PART VIII	0.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION STATEMENT PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MHAF PROGRAM COMMITTEE C/O ANGELA POLK 1411 SECOND LOOP RD FLORENCE, SC 29505

FORM AND CONTENT OF APPLICATIONS

INFORMATION TO INCLUDE: TARGET POPULATION, PROGRAM DESCRIPTION, PROGRAM GOALS AND OBJECTIVES, BUDGET, EVALUATION OF OUTCOME

ANY SUBMISSION DEADLINES

BY MARCH 1 OF THE CALENDAR YEAR

RESTRICTIONS AND LIMITATIONS ON AWARDS

HAS TO ENHANCE THE AWARENESS OF MENTAL HEALTH NEEDS OR ENHANCE THE SERVICES TO THE MENTALLY ILL AND EMOTIONALLY DISTURBED RESIDENTS OF FLORENCE, DARLINGTON AND MARION, SOUTH CAROLINA

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Stifel, Nicolaus & Company	2016 2016 2016 2016 2016 2016 2016 2016

This section of your tax information statement provides proceeds fransactions grouped by term (long, short or undetermined). For lax lots whose term is undetermined, use your historical documents to astablish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short-nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper beatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis is always presented as \$0.00 and the Proceeds is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related tlams: a quantity and a qualitier. For example, Proceeds of sale and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net fadjusted for any option premium). When these conditions exist, the qualitier is shown to the right of the amount

SHORT TERM TRANSACTIONS
Report on Form 8949, Part 1 with Box C. ch.

Description of property	өлү						
Date sold or		Proceeds	Date	Cost or	Adjustments &	Gain or loss(-) &	
disposed	Quantity	Shown (G)ross (N)et		other basis	Code(s), If any**	Loss not allowed(X)	Additional information
T&T INC / CUSIP: (AT&T INC / CUSIP; 00206R102 / Symbol: T						
04/17/15	100.000	3,161.89	06/02/14	3,622.62	0.00	-460.73	Sale
05/04/15	114.598	3,845.15	06/02/14	4,151.45	0.00	-306.30	Sale
	5 fransacti	5 fransactions for 07/01/15					
	3.875	134.65	08/01/14	138.00	0.00	-3.35	Sate
	4.033	140.14	11/03/14	139.78	0.00	0.36	Safe
	290.000	10,077.47	11/12/14	10,505.39	0.00	-427.92	Sale
	8.426	292.80	02/02/15	281.02	0.00	11.78	Safe
	8 264	287 18	05/01/15	284.98	0.00	2.20	Safe
07/01/15	314.598	10,932.24	Various	11,349.17	0.00	-416.93	Total of 5 transactions
	Security total:	17,939.28		19,123.24	00.0	-1,183.96	
TAX MINGD GLB	EV TAX MNGD GLB DIVRS EQ / CUSIP: 27829F108 / Symbol: EXG	129F108 / Symbol: EXG					
	9 transactit	9 transactions for 02/27/15					
	1,000.000	9,481.21	06/02/14	10,580.34	800	-1,099.13	Safe Note: AC Orinbal tasts: \$10 722 53
	7.870	74.61	06/30/14	80.20	0.00	.559	Safe Note: AC Original basis: \$81.30
	7.909	74 98	07/31/14	80.82	000	-5.84	Sale Note: AC Original basis: \$81.94
	7.940	75.28	08/29/14	81.46	0.00	-6.18	

^{**} D = adjustment amount is market discount. W = adjustment amount is a non-deductible wash sale loss. C = proceeds amount is from collectible assets.

Stifel, Nicolaus & Company, Inc.	ompany, inc.		voceeds N	Proceeds Not Reported to the IRS	o the IRS	A AND	Acciont -70472177
SHORT TERM TRANSACTIONS Report on Form 8949, Part I, with Box C checked	ANSACTIONS Part I, with Box C checke						
Description of property	~						
Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), If any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
EV TAX MNGD GLB DI	VRS EQ / CUSIP: 2782	EV TAX MNGD GLB DIVRS EQ / CUSIP: 27829F108 / Symbol: EXG (confd)	(Q)				
	8.210	77.84	09/30/14	82.07	0.00	4.23	Safe
	,						Nade: AC Original basis: \$83.23
	8.449	80.11	10/31/14	82.70	0.00	-2.59	Sale Note: 40
	8416	2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2	410044	67.68	8	79.0	Original basis: \$83.90
	2			4.70	3	10.0-	Sale Note: AC
	8.629	83.71	12/31/14	83.99	000	-0.28	Original basis: \$84.58 Sate
							Note: AC
	9.275	87.39	01/30/15	85.29	0.00	2.10	
							Note: AC Original basis: \$6598
02/27/15	1,066.838	10,114.92	Various	11,240.27	0.00	-1,125.35	Total of 9 transactions
	2 transaction 8.868	2 transactions for 04/17/15 8.868	02/27/15	86.10	000	-11.42	Safe
							Note: AC Oriolnal basis: \$86.73
	0.074	0 63	03/31/15	0.72	0.00	-0.09	Safe
04/17/15	8.942	75.31	Various	86.82	0.00	-11,51	Total of 2 transactions
	Security folai:	10,190 23		11,327.09	0.00	-1,136.86	
FED CAP INC A / CUSIP: 31420C878 / Symbol: CAPAX 08/28/15 81 306	P: 31420C878 / Symbol: 81 306	F: CAPAX 635.00	09/05/14	765.91	0:00	-130.91	Sale
FIRST EAGLE GLB A / CUSIP: 32008F507 / Symbol: SGENX	CUSIP: 32008F507 / Sy	mbol: SGENX					
	4 transaction	4 transactions for 12/11/15		i		•	
	4 247	37.76	12/18/14	37.34	200	0.16	Safe
	1.2.1	10.30	10101	04.41	9	0.30	Care

** D = adjustment amount is market discount. W = adjustment amount is a non-deductible wash sale toss. C = proceeds amount is from collectible assets.

2016			roceeds N	Proceeds Not Reported to the IRS	to the IRS	0/20	-02/08/2016
SHORT TERM TRANSACTIONS Report on Form 8949, Part I, with Box C of Description of property	SHORT TERM TRANSACTIONS Report on Form 8949, Part I, with Box C checked Description of property	ed.					
Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any™	Gain or loss(-) & Loss not allowed(X)	Additional information
ST EAGLE GLB A / C	USIP: 32008F507 / Sy	FIRST EAGLE GLB A / CUSIP: 32008F507 / Symbol. SGENX (contd)					
	9 081	466.49	12/18/14	464.13	0000	98.6	e)e)
1	85 649	4,399.83	02/27/15	4,900.00	000	-500.17	Safe
can in s	96.681	4,966.53	Various	5,463.88	0.00	-497.35	Total of 4 transactions
EQ INC A / CUSIP:	JPM EQ INC A / CUSIP: 4812C0464 / Symbol: OIEIX	OIEIX					
	14 transactio	14 transactions for 11/30/15					
	2 489	34.37	12/01/14	35.67	000	180	Calo
	3.407	47.05	12/15/14	46.74	000	0.1. 0.41	Sale
	32.349	446.76	12/15/14	443.83	00'0	2.93	Sac
	8.436	116.50	12/31/14	119.96	0.00	3.46	Sale
	2.703	37.33	02/26/15	38.63	000	-1.30	Sale
	4.366	60.29	03/30/15	22.09	000	0.48	Safe
	1.126	15.55	04/29/15	15.88	00.00	-0.33	Safe
	2.312	31.93	05/28/15	32.72	0.00	62 0-	Safe
	3.268	45.13	06/29/15	45.78	000	-0.65	Safe
	801.0	1.42	07/30/15	1.44	000	-0 05	Sale
	4.612	63.70	08/28/15	61.02	0.00	2.68	Sale
	3.176	43.87	09/29/15	40.08	000	3.79	Sale
	0.293	4.02	10/29/15	4 07	0.00	-0.03	Sale
44.200.40	4.424	61.12	11/27/15	61.19	0.00	-0.07	Sale
2	73.064	1,009.06	Various	1,007.78	0.00	1.28	Total of 14 transactions
ON COMMS INC /	VERIZON COMMS INC / CUSIP: 92343V104 / Symbol: VZ	symbol: VZ					
04/17/15	100.000	4,789.90	11/20/14	5,117.25	000	-327.35	Sala
04/01/15	83.000	3,760 32	11/20/14	4,247.31	0.00	486.99	Sale
	2 transactions for 08/28/15	s for 08/28/15					
	167.000	7,492 32	11/20114	8.545.79	000	-1 053 47	e)eV
	33.000		12/26/14	1.614.05	000	133 69	Sela
170000							200

** D = adjustment amount is market discount. W = adjustment amount is a non-deductible wash sale loss. C = proceeds amount is from collectible assets.

SHORT TERM TRANSACTIONS Proceeds	Stifel, Nicolaus 8 2015	Stifel, Nicolatis & Company, Inc. 2015		Proceeds N	Proceeds Not Reported to the IRS	o the IRS	000	Assount 70472177 1
Quantity Shown (Gjross (N)et acquired Coet or Adjustments & Gain or loss (J) any contol acquired Code(s), if any contol acquired Gain or loss (J) acquired Cotes or code(s), if any contol acquired Code(s), if any code (J) acquired Cose not allowed(X) 1 Coss (Contol) 4 transactions for 09/09/15 3.516.15 12/26/14 10,368.98 0.00 -852.83 2 12 000 3/18.03 02/02/15 337.75 0.00 -852.83 4 sec 222.74 08/02/15 334.11 0.00 -852.83 4 sec 222.74 08/02/15 334.11 0.00 -11.37 230.615 1,0,361.74 Various 11,261.48 0.00 -11.37 Security Idata 27,874.81 30,785.89 0.00 -1,448.91 1,70c.000 8,366.17 5,90.81 0.00 -1,448.91 1,70c.000 8,366.81 0.00 -1,448.91 1,0,41 5,1,23 0.00 -1,448.91 1,0,41 5,1,23 0.00 -1,448.91 1,0,41 5,1,22 <t< th=""><th>SHORT TERM Report on Form 894</th><th>TRANSACTIONS 49, Pert I, with Box C check</th><th>.ed.</th><th></th><th></th><th></th><th></th><th></th></t<>	SHORT TERM Report on Form 894	TRANSACTIONS 49, Pert I, with Box C check	.ed.					
ceeds Date Cost or Adjustments & Code(s), if any** Gain or loss(-) & Gain or loss(-) & Gain or loss(-) & Code(s), if any** 1.5 1222674 10,368.98 0.00 -852.83 1.03 020275 327.25 0.00 -852.83 1.2 0.00 -3.33.15 0.00 -36.23 1.7 0.00275 2.34.11 0.00 -11.37 1.7 Various 11,261.48 0.00 -2,911.08 1.1 1.2 30,785.89 0.00 -2,911.08 1.1 1.2 5.8 0.00 -1,448.91 1.1 5.0 0.00 -1,448.91 -2,911.08 1.1 5.0 0.00 -1,448.91 -2,911.08 1.1 5.0 0.00 -1,448.91 -2,911.08 1.1 5.0 0.00 -1,448.91 -2,911.08 1.1 5.0 0.00 -1,448.91 -2,911.08 1.1 5.0 0.00 -1,448.91 -2,911.08 1.2 <td< th=""><th>Description of pro-</th><th>party</th><th></th><th>1</th><th></th><th></th><th></th><th></th></td<>	Description of pro-	party		1				
15 1226/14 10,368.98 0,000 -852.83 -822 -822 327.25 0,000 -822 -822 -822 331.15 0,000 -11,37 -11,37 -11,261.48 0,000 -11,37 -11,37 -11,261.48 0,000 -2,911.08 -1,448.91 -2,911.08 -1,448.91 -2,911.08 -1,448.91 -2,911.08 -1,448.91 -2,911.08 -1,448.91 -2,911.08 -1,448.91 -2,911.08 -1,448.91 -2,911.08 -1,448.91 -2,911.08 -1,448.91 -2,911.08 -2,48 -2,38	Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et		Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	
15 12/26/14 10,368.98 0.00 -852.83 03 02/02/15 327.25 0.00 -9.22 82 05/01/15 331.15 0.00 -11.37 74 08/03/15 234.11 0.00 -908.75 14 Various 11,261.48 0.00 -2,911.08 81 30,785.89 0.00 -2,911.08 81 08/29/14 58.65 0.00 -7,42 23 08/29/14 59.01 0.00 -1,448.91 23 08/29/14 59.38 0.00 -1,448.91 24 59.76 0.00 -6.09 25 11/28/14 59.76 0.00 -2.36 17 01/30/15 59.76 0.00 -2.48 17 01/30/15 60.55 0.00 -2.36 14 Various 10,167.21 0.00 -7,336.95	VERIZON COMMS	INC / CUSIP: 92343V104 /	Symbol: VZ (confd)					
15 1222674 10,368.98 0.00 -852.83 03 0202715 327.25 0.00 -9.22 74 0802715 234.11 0.00 -11.37 74 0802715 234.11 0.00 -11.37 74 Various 11,261.48 0.00 -908.75 81 Various 11,261.48 0.00 -2,911.08 81 Various 0.00 -2,911.08 81 0.00 -2,911.08 -7,42 81 0.00 -1,448.91 -7,448.91 82 10.25674 59.07 0.00 -1,448.91 83 11/2814 59.76 0.00 -5.86 84 12/2614 60.00 -6.09 -6.09 85 10/3015 0.00 -2.38 17 01/3015 0.00 -2.38 14 Verious 10,167.21 0.00 -1,478.07 15 0.00 -2.338 -2.38 -2.38 17 0.00 -2.338 -2.38 -2.38 <		4 transactio	Ms for 09/09/15					
03 02/02/15 327.25 0.00 -9.22 82 05/01/15 331.15 0.00 -36.33 74 08/02/15 234.11 0.00 -11.37 74 Various 11,261.48 0.00 -908.75 81 Various 11,261.48 0.00 -2,911.08 81 08/27/2 0.00 -1,448.91 81 08/29/14 58.65 0.00 -1,448.91 83 08/29/14 59.01 0.00 -1,448.91 83 10/28/14 59.38 0.00 -5.86 83 11/28/14 59.36 0.00 -6.09 84 12/26/14 60.35 0.00 -2.38 84 14/28/14 0.00 -2.38 85 14/28/14 0.00 -2.38 84 14/28/14 0.00 -2.38 85 14/28/14 0.00 -2.38 86 12/26/14 0.00 -2.38 87 0.00 -2.38 88 0.00 -1,478.07 89 0.00 -1,478.07 80 0.00 -1,478.07 81 0.00 -1,438.95		212.000		12/26/14	10,368.98	00'0	-852.83	Saite
82 05/01/15 331.15 0.00 -36.33 74 08/02/15 234.11 0.00 -11.37 74 Various 11,261.49 0.00 -908.75 81 Various 11,261.49 0.00 -2,911.08 81 Various 30,785.89 0.00 -2,911.08 81 08/29/14 58.65 0.00 -1,448.91 83 08/29/14 59.01 0.00 -1,448.91 83 16/28/14 59.38 0.00 -5.86 83 16/28/14 59.38 0.00 -6.09 84 17/28/14 59.76 0.00 -2.38 85 17/28/14 60.35 0.00 -2.39 84 Various 10,167.21 0.00 -1,478.07 85 78,641.00 0.00 -7,336.95		7.085	318.03	02/02/15	327.25	0.00	-9.22	Sale
74 0802375 234.11 0.00 -11.37 74 Various 11,261.49 0.00 -908.75 81 30,785.89 0.00 -2,911.08 HY 30,785.89 0.00 -1,448.91 81 08/29/14 58.65 0.00 -1,448.91 23 08/29/14 58.65 0.00 -1,448.91 23 08/29/14 59.01 0.00 -1,448.91 24 09/26/14 59.38 0.00 -5.86 25 10/31/14 59.76 0.00 -6.09 25 11/28/14 60.14 0.00 -2.38 17 01/30/15 60.55 0.00 -2.39 14 Various 10,167.21 0.00 -1,478.07 15 09/26/14 0.00 -7,336.95		6.568	294.82	05/01/15	331.15	000	-36.33	Safe
74 Various 11,261.48 0.00 -908.75 81 30,765.89 0.00 -2,911.08 HY 58 65 0.00 -1,448 91 83 0.025/44 58 65 0.00 -7,42 23 0.025/44 59 01 0.00 -5.86 25 10.21/14 59 38 0.00 -6.99 29 10.25/14 59.38 0.00 -6.99 66 12.26/14 60.14 0.00 -2.39 17 01.30/15 0.05 -2.39 14 Various 10,167.21 0.00 -1,478.07 15 0.00 -7,336.95		4.962	222.74	0803/15	234.11	0.00	-11.37	Sale
B1 30,785.89 0.00 -2,911.08 HY 81 0.00 -1,448.91 23 0.0872974 58 65 0.00 -7,42 23 0.0872974 58 07 0.00 -7,42 24 59 07 0.00 -5.86 29 10.21/14 59.76 0.00 -4.93 66 12/26/14 60.14 0.00 -2.36 17 01/30/15 60.55 0.00 -2.36 14 Vasirous 10,167.21 0.00 -7,336.95	09/09/15	230.615	10,351.74	Various	11,261.49	0:00	-908.75	Total of 4 transactions
HY 81 08/13/14 9,809.72 0.00 -1,448.91 23 08/29/14 58.65 0.00 -7,42 24 59.01 0.00 -5.86 -5.86 29 10/31/14 59.76 0.00 -6.09 39 11/28/14 59.76 0.00 -2.48 47 01/30/15 60.55 0.00 -2.48 44 Vasitous 10,167.21 0.00 -1,478.07 45 78,641.00 0.00 -7,336.95		Security total:	27,874.81		30,785.89	0.00	-2,911.08	
7 transactions for 02/04/15 1,700.000 1,448.91 10.417 51.23 08/29/14 58.65 0.00 -7.42 -7.42 10.808 53.15 09/26/14 59.01 0.00 -5.86 -5.86 11.149 54.83 14/28/14 59.38 0.00 -6.09 -6.09 11.723 57.66 12/26/14 06.14 00.00 -2.48 11.826 58.17 01/30/15 10.167.21 0.00 -1,448.91 -7.42 -7.42 -7.42 -7.42 -7.42 -7.42 -7.42 -7.42 -7.42 -7.43 -7.44 -	WESTERN MINGD	HIGH INCOME / CUSIP: 99	5766L 107 / Symbol: MHY					
1,700.000 8,360 81 08/13/14 9,609.72 0.00 -1,448 91 51.23 08/29/14 58 65 0.00 -7.42 5.86 5.32 0.00 5.3.15 09/26/14 59 01 0.00 5.3.29 10/31/14 59.38 0.00 6.6.09 6.09 6.09 11.148 54.83 11/28/14 60.14 0.00 6.14 0.00 6.2.48 11.22 58.17 01/30/15 60.55 0.00 -2.38 11.22 58.17 01/30/15 60.55 0.00 -1,478.07 11.48 60.18 11.48 60.55 0.00 6.2.38 6.00 6.00 6.2.38 6.00 6.00 6.00 6.2.38 6.00 6.00 6.00 6.00 6.00 6.00 6.00 6.0		7 transactio	ns for 02/04/15 .					
10.417 51.23 082974 58 65 0.00 -7.42 10.808 53.15 092674 59 01 0.00 -5.86 10.836 53.29 10/3174 59.38 0.00 -6.09 11.149 54.83 172874 59.76 0.00 -4.93 11.723 57.66 122674 60.14 0.00 -2.49 1,766.759 8,689.14 Various 10,167.21 0.00 -1,478.07 Totals: 71,304.06 78,641.00 0.00 -7,336.95		1,700.000	8,360 81	08/13/14	9,809.72	00.00	-1,448 91	Sale
10.808 53.15 0926/14 59.01 0.00 -5.86 10.836 53.29 10/31/14 59.38 0.00 -6.09 11.149 54.83 11/28/14 59.76 0.00 -4.93 11.123 57.66 12/26/14 60.14 0.00 -2.49 1,766.759 8,689.14 Various 10,167.21 0.00 -1,478.07 Totals: 71,304.06 78,641.00 0.00 -7,336.95		10.417	51.23	08/29/14	58 65	0.00	-7.42	Safe
10.836 53.29 10/31/14 59.38 0.00 -6.09 -6.09 -6.09 11.149 54.83 11/28/14 59.76 0.00 -4.93 -4.93 11.723 57.66 12/26/14 60.14 0.00 -2.49 11.826 58.17 01/30/15 60.55 0.00 -2.38 11.766.759 8,689.14 Various 10,167.21 0.00 -1,478.07 11.478.07 11.304.06 11.826		10.808	53.15	09/26/14	59 01	0.00	-5.86	Safe
11.149 54.83 11/28/14 59.76 0.00 -4.93 11.723 57.66 12/26/14 60.14 0.00 -2.49 11.826 58.17 01/30/15 60.55 0.00 -2.39 11.826 58.17 01/30/15 60.55 0.00 -2.39 11.826		10.836	53.29	10/31/14	59.38	00:0	-6.09	Sale
11.723 57.66 1226/14 60.14 0.00 -2.49 11.826 58.17 01/30/15 60.55 0.00 -2.39 11.766.759 8,689.14 Various 10,167.21 0.00 -1,478.07 11,304.05 71,304.06 12.38		11.149	54.83	11/28/14	59.76	0.00	-4.93	Sale
11.826 58.17 01/30/15 60.55 0.00 -2.38 -		11.723	57.68	12/26/14	60.14	000	-2.48	Sale
1,766.759 8,689.14 Verious 10,167.21 0.00 -1,478.07 -1,478.07 70,4818: 71,304.06 78,641.00 0.00 -7,336.95		11.826	58.17	01/30/15	60.55	000	-2.38	Sale
71,304.05 78,641.00 0.00	02/04/15	1,766.759	8,689.14	Verious	10,167.21	0.00	-1,478.07	Total of 7 transactions
		77/9 k	71 304 05		78 E41.00	00'0	-7.336.95	
		- Ordins	60.400,11		20,100,1			•

** D = adjustment amount is market discount. W = adjustment amount is a non-deductate wash safe loss. C = proceeds amount is from collectible assets.

Proceeds Date Cost or Adjustments & Gain of Shown (Gjross (N)et acquired other basis Code(s), If any to Cost or Adjustments & Gain of Cost or Adjustments & Gain of Cost or Adjustments & Gain of Cost or Adjustments & Gain of Cost or Code(s), If any to Cost or Code(s), If any to Cost or Code(s), If any to Code(s),	Striej, Nicolaus & Company, Inc.	pany, Inc.		roceeds N	Proceeds Not Reported to the IRS (continued)	o the IRS	Acco	Account 70472177
Proceeds Date Cost or Adjustments & Gain or loss(-) & Cost or Adjustments & Gain or loss(-) & Cost or Adjustments & Gain or loss(-) & Cost or Cot of C	LONG TERM TRAN Report on Form 8949, Par	SACTIONS [II, with Box F check	led.					
Proceeds Dale Cost or Adjustments & Gain or loss() & SGENX 2,987.70 G6/02/14 3,093.78 0.00 -126.08 2,987.70 G6/02/14 3,093.78 0.00 -126.08 223.32 127/97/3 6,888.78 0.00 -136.08 223.32 127/97/3 988.50 0.00 -11.40 2,936.55 G2/37/4 3,000.00 0.00 -11.40 2,936.55 G2/37/4 3,000.00 0.00 -11.40 1,0832.52 Verious 1,550.64 0.00 1.62 1,0832.52 11/04/73 4,433.69 0.00 1.62 1,087/4 127/97/3 4,433.69 0.00 1.62 1,087/4 127/97/3 4,453.69 0.00 1.62 1,087/4 127/97/3 4,600.00 0.00 1.67 1,087/4 127/97/4 4,600.00 0.00 0.00 1,087/4 127/97/4 4,600.00 0.00 0.00 1,087/4 127/97/4 4,600.00 0.00 0.00 1,087/4 127/97/4 4,600.00 0.00 0.00 1,087/4 127/97/4 4,600.00 0.00 0.00 1,087/4 127/97/4 4,500.00 0.00 0.00 1,087/4 127/97/4 4,500.00 0.00 0.00 1,087/4 127/97/4 4,500.00 0.00 0.00 1,087/4 127/97/4 4,500.00 0.00 0.00 1,087/4 127/97/4 4,500.00 0.00 0.00 1,087/4 127/97/4 4,500.00 0.00 0.00 1,087/4 127/97/4 4,500.00 0.00 0.00 1,087/4 127/97/4 4,500.00 0.00 0.00 1,087/4 127/97/4 4,500.00 0.00 0.00 1,087/4 127/97/4 4,500.00 0.00 0.00 1,087/4 127/97/4 4,500.00 0.00 0.00 1,087/4 127/97/4 4,500.00 0.00 0.00 1,097/4 4,500.00 0.00 0.00 1,097/4 4,500.00 0.00 0.00 1,097/4 4,500.00 0.00 0.00 1,097/4 4,500.00 0.00 0.00 1,097/4 4,500.00 0.00 0.00 1,097/4 4,500.00 0.00 0.00 1,097/4 4,500.00 0.00 0.00 1,097/4 4,500.00 0.00 1,097/4 4,500.00 0.00 1,097/4 4,500.00 0.00 1,097/4 4,500.00 0.00 1,097/4 4,500.00 0.00 1,097/4 4,500.00 0.00 1,097/4 4,500.00 0.00 1,097/4 4,500.00 0.00 1,097/4 4,500.00 0.00 1,097/4 4,500.00 0.00 1,097/4 4,500.00 0.00 1,097/4 4,500.00 1,097	Description of property							
2,967.70 06/02/14 3,093.78 0.000 -128.08 222.82 127.97/3 6,888.78 0.000 -2.69 222.32 127.97/3 224.97 0.000 -2.69 443.27 127.97/3 224.97 0.000 -163.45 97.7 10 127.97/3 224.97 0.000 -163.45 10,832.52 Various 11,550.64 0.00 -163.45 10,832.52 Various 11,550.64 0.00 -163.45 15.50 11.07/1/3 25.79 0.00 1.42 9,155.29 11.06/1/3 25.79 0.00 1.42 9,155.29 11.06/1/3 25.79 0.00 1.52 9,155.29 11.06/1/3 25.79 0.00 1.52 9,155.29 11.06/1/3 25.79 0.00 1.52 9,155.29 11.06/1/3 25.79 0.00 1.52 9,155.29 11.06/1/3 25.79 0.00 1.52 9,155.29 11.06/1/3 25.79 0.00 1.52 9,155.29 11.06/1/3 25.79 0.00 1.52 9,155.29 11.06/1/3 25.79 0.00 1.52 9,155.29 11.06/1/3 25.79 0.00 1.52 9,155.29 11.06/1/3 25.79 0.00 1.52 9,155.29 11.06/1/3 25.79 0.00 1.52 9,155.29 11.06/1/3 25.79 0.00 1.52 9,155.29 11.06/1/3 25.79 0.00 1.52 9,155.29 11.06/1/3 25.79 0.00 1.52 9,155.29 11.06/1/3 25.79 0.00 1.52 9,155.29 11.06/1/3 25.79 0.00 0.00 1.52 9,155.29 11.06/1/4 7.72 9,000.00 0.00 1.52 9,155.29 11.06/1/4 15.76 0.00 0.00 0.00 1,42 9,155.29 12.07 9,155.20 0.002/1/4 15.76 0.00 0.00 0.00 1,55.39 1.07 1,53.39 9,14.39 0.002/1/4 15.76 0.00 0.00 0.00 1,53.39 9,14.39 0.002/1/4 15.76 0.00 0.00 0,00 0.0	Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et		Cost or other basis	Adjustments & Code(s), If any**	Gain or loss(-) & Loss not allowed(X)	
SGENX 6,353.28	AT&T INC / CUSIP. 00206 07/01/15	R102 / Symbol: T 85.402	2,967.70	06/02/14	3.093.78	000	-126.08	Gac
6,353.28 09/12/13 6,888.78 0.00 -535.50 -2.59 443.27 12/19/13 224.91 0.00 -2.59 -5 18 443.27 12/19/13 224.91 0.00 -514.40 -518 97.7 to 12/19/13 3,000.00 0.00 -114.40 -114.40 -115.50 G0/13/14 3,000.00 0.00 -163.45 -116.50 G0/13/14 4453.69 0.00 -163.45 -1160/13 8,860.00 0.00 227.09 1.42 9,165.29 11/06/13 8,860.00 0.00 1.42 9,165.29 11/06/13 8,860.00 0.00 1.42 9,165.29 11/06/13 9,25.79 0.00 1.62 1.62 1.62 1.62 1.62 1.62 1.62 1.62	FIRST EAGLE GLB A / CL	JSIP: 32008F507 / S	ymbal: SGENX		•			
6,353.28 09/2/13 6,888.78 0.00 -535.50 -2.59 483.27 12/19/13 224.91 0.00 -5.59 483.27 12/19/13 224.91 0.00 -5.59 483.27 12/19/13 988.50 0.00 -163.45 14.40 -11.40 -11.40 -11.50.64 0.00 -163.45 10.832.52 Various 11,550.64 0.00 -163.45 15.50 11/01/13 14.08 0.00 0.00 -163.45 15.50 11/01/13 14.08 0.00 0.00 14.22 17.81 12.81 12/13/13 8,860.00 0.00 16.27 0.00 16.37 12/13/13 8,860.00 0.00 16.00 16.91 16.83 12/13/13 326.27 0.00 16.91 16.87 12/13/13 326.27 0.00 16.91 16.87 12/13/13 326.27 0.00 16.91 16.87 12/13/13 326.27 0.00 16.91 16.87 12/13/14 15.70 0.00 0.00 0.00 15.70 16.57 16.59 0.500/14 15.76 0.00 0.00 0.00 1.53 15.50 16.59 16.59 0.500/14 15.76 0.00 0.00 0.00 0.00 16.53 15.50 15.50 15.50 15.50 16.59 0.500 0.00 0.00 0.00 0.00 17.50 15.50		5 transaction	ns for 12/11/15					
222.32 12/19/13 224.91 0 000 -2.59 443.27 12/19/13 988.50 0.00 -114.0 2,836.55 03/13/14 3,000.00 0.00 -1163.45 10,832.52 Various 11,550.64 0.00 -1163.45 10,832.52 Various 11,550.64 0.00 -118.12 4,680.78 140/18/13 4,453.69 0.00 1.84 165.29 11/06/13 8,860.00 0.00 1.84 166.37 12/13/13 25.79 0.00 1.84 166.37 12/13/13 95.67 0.00 1.84 166.37 12/13/13 95.67 0.00 1.84 167.59 12/13/13 4,800.00 0.00 1.84 167.59 07/22/14 4,500.00 0.00 1.87 4,806.78 07/22/14 4,500.00 0.00 1.87 81.17 04/01/14 77.24 0.00 1.00 1.53 52.16 07/21/14 15.76 0.00 0.00 0.78 43.49 05/02/14 15.78 0.00 0.00 0.78 52.16 07/21/14 15.78 0.00 0.00 0.07 47.83 08/02/14 15.78 0.00 0.00 0.00 43.48 08/02/14 34.88 0.00 0.00 0.00 47.48 10/07/14 46.69 0.00 0.00 0.00 47.48 10/07/14 46.69 0.00 0.00 0.00 6.59		123.677	6,353.28	09/12/13	6,888.78	00 0	-535,50	Safe
443.27 12/19/13 448.45 0.00 -11.40 2,836.55 02/13/14 3,000.00 0.00 0.00 -11.40 2,836.55 02/13/14 3,000.00 0.00 0.00 -163.45 10,832.52 Various 11,550.64 0.00 0.00 -163.45 11/20/15 4,680.78 10/18/13 4,453.69 0.00 227.09 16.50 11/01/13 8,860.00 0.00 1.42 3,165.29 11/06/13 8,860.00 0.00 1.64 106.37 12/13/13 326.27 0.00 16.91 4,967.98 12/18/13 326.27 0.00 36.51 4,957.98 12/18/13 4,800.00 0.00 1.57 81.17 04/01/14 77.24 0.00 0.00 1.57 81.17 04/01/14 77.24 0.00 0.00 1.57 81.17 04/01/14 77.24 0.00 0.00 0.00 16.54 42.69 06/02/14 47.96 0.00 0.00 0.24 42.49 06/02/14 6.59 0.00 0.00 0.00 16.54 16.54 06/02/14 47.96 0.00 0.00 0.00 16.54 16.55 06/02/14 47.96 0.00 0.00 0.00 16.54 16.55 06/02/14 47.96 0.00 0.00 0.00 17.57 17.54 06/02/14 6.59 0.00 0.00 0.00 17.57 17.54 0.00 0.00 0.00 0.00 17.54 17.55 0.00/1/14 6.59 0.00 0.00 0.00 17.55 0.00 0.00 0.00 17.55 0.00/1/14 46.69 0.00 0.00 0.00		4.328	222.32	12/19/13	224.91	000	-2.59	Sale
977 10 12/19/13 988.50 0.00 -11.40 2,836.55 03/13/14 3,000.00 0.00 -163.45 10,832.52 Various 11,550.64 0.00 -163.45 4,680.78 10/18/13 4,453.69 0.00 227.09 15.50 11/01/13 14.08 0.00 17.62 3,165.29 11/06/13 8,860.00 0.00 162.29 3,165.29 11/06/13 326.27 0.00 167.98 4,957.38 12/13/13 326.27 0.00 167.98 4,957.38 12/13/13 326.27 0.00 167.98 4,06.75 01/22/14 4,800.00 0.00 167.98 101.57 01/02/14 4,500.00 0.00 167.98 4,17 04/01/14 4,600.00 0.00 0.00 167.98 4,18 02/03/14 44.69 0.00 0.00 0.078 4,45,600.70 166.75 47.83 02/03/14 41.69 0.00 0.00 0.078 43.49 00001/14 51.60 0.00 0.00 0.00 47.83 03/03/14 51.60 0.00 0.00 0.00 47.84 03/04/14 51.60 0.00 0.00 0.00 47.85 03/03/14 51.60 0.00 0.00 0.00 47.85 03/03/14 66.69 0.00 0.00 0.00 47.86 0.00 0.00 0.00 47.89 0.00 0.00 0.00 47.89 0.00 0.00 0.00		8.629	443.27	12/19/13	448.45	0.00	-5 18	Sale
2,836.55 037374 3,000.00 0.00 -163.45 10,832.52 Various 11,550.64 0.00 -1718.12 11/30/15 11/30/15 11/30/15 11/30/15 11/30/15 11/30/15 11/30/15 11/30/15 11/30/15 11/30/15 11/30/15 11/30/15 11/30/15 11/30/15 11/30/15 11/30/15 11/30/16 11/3		19.021	977 10	12/19/13	988.50	0.00	-11.40	Sale
10,832.52 Various 11,550.64 0.00 -718.12 11/30/15 11/30/16 11/50.64 11/5		55.218	2,836.55	03/13/14	3,000.00	0.00	-163.45	Sale
4,680.78 10/18/13 4,453.69 0.00 227.09 15.50 11/01/13 14.08 0.00 1,42 165.59 11/06/13 8,860.00 0.00 1,42 27.63 12/02/13 25.79 0.00 1,84 105.37 12/13/13 326.77 0.00 1,84 4,957.98 12/13/13 326.27 0.00 16.79 4,957.98 12/13/13 326.27 0.00 16.79 4,957.98 12/13/13 326.27 0.00 16.79 4,957.98 12/13/13 326.27 0.00 16.79 4,957.98 12/13/13 48.00 0.00 6.91 4,506.75 01/22/14 4,500.00 0.00 6.91 4,506.75 01/22/14 4,500.00 0.00 6.91 4,507.04 0.00 0.00 0.00 0.78 4,549.79 0.00 0.00 0.00 0.00 4,549.79 0.00 0.00 0.00 4,549.79 0.00 0.00 0.00 4,549.79 0.00 0.00 0.00 52.16 070/14 46.69 0.00 0.00 6.59 0.00 0.00	12/11/15	210.873	10,832.52	Various	11,550.64	0.00	-718.12	Total of 5 fransactions
4,680.78 10/18/13 4,453.69 0.00 227.09 15.50 11/01/13 4,453.69 0.00 1,42 9,165.29 11/06/13 8,860.00 0.00 1,62 27.63 12/02/13 25.79 0.00 1,84 106.37 12/13/13 326.27 0.00 10.70 362.78 12/13/13 326.27 0.00 10.70 362.78 12/13/13 326.27 0.00 10.70 4,957.98 12/13/13 4,800.00 0.00 36.51 4,606.75 01/22/14 4,500.00 0.00 6.91 4,606.75 01/22/14 4,600.00 0.00 6.91 4,506.75 01/22/14 4,600.00 0.00 0.00 4,549 0500.1/4 15.76 0.00 0.00 4,949 06002/14 47.96 0.00 0.00 6,83 08001/14 51.60 0.00 0.00 6,83 08001/14 46.69 0.00 0.00 47.48 10/01/14 46.69 0.00 0.00 6,83 08001/14 46.69 0.00 0.00 6,83 08001/14 46.69 0.00 0.00	JPM EQ INC A / CUSIP: 41	812C0464 / Symbol:	OIEIX					
4,680.78 10/18/13 4,453.69 0.00 227.09 15.50 11/01/13 14.08 0.00 1.42 27.63 1202/13 25.79 0.00 1.84 106.37 12/13/13 326.7 0.00 10.70 362.78 12/13/13 326.27 0.00 10.70 362.78 12/13/13 326.27 0.00 10.70 4,957.98 12/13/13 326.27 0.00 36.51 4,606.75 0/122/14 4,600.00 0.00 6.91 4,606.75 0/122/14 4,600.00 0.00 3.14 81.17 0401/14 77.24 0.00 0.78 49.49 0602/14 47.96 0.00 0.00 52.16 0701/14 51.60 0.00 0.00 6.83 0802/14 46.69 0.00 0.00 47.49 10/01/14 46.69 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00		18 fransacht	ons for 11/30/15					
15.50 11/01/13 14.08 0.00 1.42 27.63 1202/13 25.79 0.00 1.84 27.63 1202/13 25.79 0.00 1.84 106.37 12/13/13 326.7 0.00 1.84 4,957.98 12/13/13 326.27 0.00 36.51 4,957.98 12/13/13 4,800.00 0.00 36.51 4,606.75 0/122/14 4,500.00 0.00 6.91 4,606.75 0/122/14 4,500.00 0.00 3.14 81.17 0401/14 77.24 0.00 0.78 49.49 0602/14 47.96 0.00 0.00 52.16 0701/14 51.60 0.00 0.00 6.83 0801/14 51.60 0.00 0.00 47.49 1001/14 46.69 0.00 0.00		338.942	4,680.78	10/18/13	4,453 69	0.00	227.09	Sale
9,165.29 11/06/13 8,860.00 0 000 305.29 27.63 1202/13 25 79 0.00 1.84 106.37 12/13/13 326.77 0.00 16.70 362.78 12/13/13 326.27 0.00 36.51 4,957.98 12/18/13 4,800.00 0.00 157.98 101.57 01/02/14 4,500.00 0.00 16.75 47.63 02/03/14 77.24 0.00 3.93 11.7 04/01/14 77.24 0.00 0.00 11.53 19.34 49.49 06/02/14 15 76 0.00 0.00 52.16 07/01/14 51.60 0.00 0.00 0.24 34.95 09/02/14 46.69 0.00 0.00 0.00 47.48 10/01/14 46.69 0.00 0.00 0.00		1.123	15.50	11/01/13	14.08	000	1.42	Safe
27.63 1202/13 25 79 0.00 1.84 106.37 12/13/13 95.67 0.00 10.70 362.78 12/13/13 326 27 0.00 36 51 4,957.98 12/18/13 4,800 00 0.00 157 98 101.57 01/02/14 4,800 00 0.00 6.91 4,606.75 01/22/14 4,500.00 0.00 106.75 47.83 03/03/14 47.69 0.00 3.14 81.17 04/01/14 77.24 0.00 0.78 49.49 06/02/14 47.96 0.00 0.00 52.16 07/01/14 51.60 0.00 0.00 6.83 08/02/14 46.69 0.00 0.00 47.49 10/01/14 46.69 0.00 0.00		663 670	9,165.29	11/06/13	8,860.00	000	305.29	Safe
4,957.98 12/13/13 95.67 0.00 16.70 362.78 12/13/13 326.27 0.00 36.51 4,957.98 12/18/13 4,800.00 0.00 157.98 101.57 01/02/14 4,600.00 0.00 6.91 4,606.75 01/22/14 4,500.00 0.00 6.91 47.83 03/03/14 47.69 0.00 3.14 81.17 04/01/14 77.24 0.00 0.78 49.49 06/02/14 47.96 0.00 0.78 52.16 07/01/14 51.60 0.00 0.24 6.83 08/01/14 6.59 0.00 0.00 47.48 10/01/14 46.69 0.00 0.00		2.001	27.63	1202/13	25 79	00:0	1.84	Safe
362.78 12/13/13 326 27 0.00 36 51 4,957.98 12/18/13 4,800 00 0.00 157 98 101.57 01/02/14 4,800 00 0.00 6.91 4,606.75 01/22/14 4,500.00 0.00 6.91 47.83 03/03/14 77 24 0.00 3.14 81.17 04/01/14 77 24 0.00 0.78 49.49 06/02/14 47.96 0.00 0.78 52.16 07/01/14 51.60 0.00 0.56 6.83 08/01/14 6.59 0.00 0.07 47.48 10/01/14 46.69 0.00 0.00		7.703	106.37	12/13/13	95.67	000	10.70	Sale
4,957.98 12/18/13 4,800 00 0.00 157 98 101.57 01/02/14 94.66 0.00 6.91 4,606.75 01/22/14 4,500.00 0.00 106.75 47.83 03/03/14 77.24 0.00 3.14 81.17 04/01/14 77.24 0.00 0.78 49.49 06/02/14 47.96 0.00 0.78 52.16 07/01/14 51.60 0.00 0.56 6.83 08/01/14 6.59 0.00 0.24 47.48 10/01/14 46.69 0.00 0.09 0.79		26.270	362.78	12/13/13	326 27	000	36 51	Sale
4,606.75 01/02/14 94.66 0.00 6.91 4,606.75 01/22/14 4,500.00 0.00 106.75 47.83 03/03/14 44.69 0.00 3.14 81.17 04/01/14 77.24 0.00 3.93 16.54 05/01/14 15.76 0.00 0.78 49.49 06/02/14 47.96 0.00 0.78 52.16 07/01/14 51.60 0.00 0.56 6.83 08/01/14 6.59 0.00 0.24 47.48 10/01/14 46.69 0.00 0.79		359.013	4,957.98	12/18/13	4,800 00	00:0	157 98	Sale
4,606.75 01/22/14 4,500.00 0.00 106.75 47.83 03/03/14 44.69 0.00 3.14 81.17 04/01/14 77.24 0.00 3.93 16.54 05/01/14 15.76 0.00 0.78 49.49 06/02/14 47.96 0.00 0.78 52.16 07/01/14 51.60 0.00 0.56 6.83 08/01/14 6.59 0.00 0.24 47.48 10/01/14 46.69 0.00 0.79		7.355	101.57	01/02/14	94.66	0.00	6.91	Sale
47.83 0303/14 44.69 0.00 3.14 81.17 0401/14 77.24 0.00 3.93 16.54 0501/14 15.76 0.00 0.78 49.49 0602/14 47.96 0.00 0.78 52.16 07701/14 51.60 0.00 0.56 6.83 0801/14 6.59 0.00 0.24 47.49 1001/14 46.69 0.00 0.79		333.580	4,606.75	01/22/14	4,500.00	0.00	106.75	Safe
81.17 04/01/14 77.24 0.00 3.93 16.54 05/01/14 15.76 0.00 0.78 49.49 06/02/14 47.96 0.00 1.53 52.16 07/01/14 51.60 0.00 0.56 6.83 08/01/14 6.59 0.00 0.24 34.95 09/02/14 46.69 0.00 0.79		3.464	47.83	03/03/14	44.69	0.00	3.14	Safe
16.54 05/01/14 15.76 0.00 0.78 49.49 06/02/14 47.96 0.00 1.53 52.16 07/01/14 51.60 0.00 0.56 6.83 08/01/14 6.59 0.00 0.24 34.95 09/02/14 46.69 0.00 0.79		5.878	81.17	04/01/14	77.24	0.00	3.93	Sale
49.49 06/02/14 47.96 0.00 1.53 52.16 07/01/14 51.60 0.00 0.56 6.83 08/01/14 6.59 0.00 0.24 34.95 09/02/14 34.88 0.00 0.07 47.48 10/01/14 46.69 0.00 0.79		1.198	16.54	05/01/14	15 76	00'0	0.78	Sale
52.16 07/01/14 51.60 0.00 0.56 6.83 08/01/14 6.59 0.00 0.24 34.95 09/02/14 34.88 0.00 0.07 47.48 10/01/14 46.69 0.00 0.79		3.584	49.49	06/02/14	47.96	00.0	1.53	Sale
6.83 08/01/14 6.59 0.00 0.24 34.95 09/02/14 34.88 0.00 0.07 47.48 10/01/14 46.69 0.00 0.79		3.777	52.16	07/01/14	51.60	0.00	0.56	Sale
34.95 09/02/14 34.88 0.00 0.07 47.48 10/01/14 46.69 0.00 0.79		0.495	6.83	08/01/14	6.59	0.00	0.24	Sale
47.48 10/01/14 46.69 0.00 0.79		2.531	34.95	09/02/14	34.88	000	0.07	Sale
		3.438	47.48	10/01/14	46.69	000	62.0	08.fe

* D = adjustment amount is market discount. W = adjustment amount is a non-deductible wash sale loss. C = proceeds amount is from collectible assets.

11111			mal Information			Total of 18 transactions	
Account 704	į		Addition		Sale	Totalo	
Mo.			Gain or loss(-) & Loss not allowed(X) Additional Information		-0.21	865.32	21.12
b the IRS			Adjustments & Code(s), if any**		000	00.00	0.00
oceeds Not Reported to the IRS			Cost or other basis		16 16	23,511.73	38,156.16
Speeds			Date acquired		11/03/14	Various	
4	ed.		Proceeds Date Shown (G)ross (N)et acqu	OIEIX (cont'd)	15.95	24,377.05	38,177.27
Strel, Nicolaus & Company Into	LONG TERM TRANSACTIONS Report on Form 8949, Part II, with Box F checked.	fys	Quantity	JPM EQ INC A / CUSIP: 4812C0464 / Symbol: OIEIX (cont'd)	1.155	1,765,177	Totals:
Sufel, Nicolaus & 2015	LONG TERM TRANSACTIONS Report on Form 8949, Parl II, with Box F	Description of property	Date sold or disposed	JPM EQ INCA / CUS		11/30/15	

^{**} D = adjustment amount is market discount. W = adjustment amount is a non-deductible wash sale toss. C = proceeds amount is from collectible assets.