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EXTENDED RETURN

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052
2015
Open to Public Inspection

For calendar year 2015 or tax year beginning , and ending

Name of foundation: GLASS FOUNDATION, INC.

A Employer identification number: 56-2196225

Number and street (or P O box number if mail is not delivered to street address): TWO TOWN SQUARE BLVD SUITE 310 — Room/suite:

B Telephone number (see instructions): 828-210-8120

City or town, state or province, country, and ZIP or foreign postal code: ASHEVILLE NC 28803

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 14,841,979

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc, received (attach schedule)	1,268,719			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	77,973	77,973		
	4	Dividends and interest from securities	203,949	203,949		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10 STMT 1	1,117,214			
	b	Gross sales price for all assets on line 6a 8,056,970				
	7	Capital gain net income (from Part IV, line 2)		35,800		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) STMT 2	108,361			
	12	**Total.** Add lines 1 through 11	2,776,216	317,722	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	71,250			
	14	Other employee salaries and wages		13,079		
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) STMT 3	7,175	6,458		
	c	Other professional fees (attach schedule) STMT 4	6,000	300		
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 5	12,742	2,753		
	19	Depreciation (attach schedule) and depletion STMT 6	20,000			
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (att sch) STMT 7 STMT 8	140,069	99,376		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	257,236	121,966	0	0
	25	Contributions, gifts, grants paid	1,003,012			1,003,012
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,260,248	121,966	0	1,003,012
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	1,515,968			
	b	**Net investment income** (if negative, enter -0-)		195,756		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash – non-interest-bearing			
	2	Savings and temporary cash investments	797,033	980,683	980,683
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (att schedule) ▶			
		Less: allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	11,000	8,292	
	10a	Investments – U.S. and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) SEE STMT 9	13,905,278	13,452,453	13,861,296
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ 2,007,639			
		Less accumulated depreciation (attach sch) ▶ STMT 10 28,541	630,166	1,979,098	
	15	Other assets (describe ▶ SEE STATEMENT 11)		426,501	
	16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	15,343,477	16,847,027	14,841,979
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ SEE STATEMENT 12)	18,327	5,909	
	23	**Total liabilities** (add lines 17 through 22)	18,327	5,909	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	15,325,150	16,841,118	
	30	**Total net assets or fund balances** (see instructions)	15,325,150	16,841,118	
	31	**Total liabilities and net assets/fund balances** (see instructions)	15,343,477	16,847,027	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,325,150
2	Enter amount from Part I, line 27a	2	1,515,968
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	16,841,118
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	16,841,118

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	CAPITAL GAIN DISTRIBUTIONS			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	35,800			35,800
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				35,800
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	35,800
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	1,061,115	16,151,749	0.065697
2013	697,295	14,608,195	0.047733
2012	673,039	12,410,564	0.054231
2011	456,538	11,193,266	0.040787
2010	440,022	9,587,411	0.045896

2 **Total** of line 1, column (d)	2	0.254344
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.050869
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	15,474,944
5 Multiply line 4 by line 3	5	787,195
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,958
7 Add lines 5 and 6	7	789,153
8 Enter qualifying distributions from Part XII, line 4	8	1,003,012

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	1,958
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	1,958
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,958
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015 — 6a: 8,292		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	8,292
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	6,334
11	Enter the amount of line 10 to be **Credited to 2016 estimated tax** ▶ 6,334 Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
	If "Yes," attach a detailed description of the activities			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either. • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NC			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STMT 13	8b		X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ LARA NOLLETTI Telephone no ▶ 828-210-8120
TWO TOWN SQUARE BLVD SUITE 310
Located at ▶ ASHEVILLE NC ZIP+4 ▶ 28803

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☒ Yes ☐ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).				
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement – see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to·

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X |

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address		(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KENNETH E. GLASS 28 PEACH KNOB DRIVE	ASHEVILLE NC 28804	BD DIR CHMN 0.00	0	0	0
NANCY J. GLASS 28 PEACH KNOB DRIVE	ASHEVILLE NC 28804	VP/SECRETARY 0.00	0	0	0
LARA NOLLETTI 44 COOPERS HAWK DRIVE	ASHEVILLE NC 28803	PRESIDENT 15.00	67,250	0	0
DAVID NOLLETTI 44 COOPERS HAWK DRIVE	ASHEVILLE NC 28803	VP/TREASURER 0.50	4,000	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	14,879,455
b	Average of monthly cash balances	1b	831,148
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	15,710,603
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	15,710,603
4	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)	4	235,659
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	15,474,944
6	**Minimum investment return.** Enter 5% of line 5	6	773,747

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	773,747
2a	Tax on investment income for 2015 from Part VI, line 5	2a	1,958		
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	1,958
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	771,789
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	771,789
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	771,789

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	1,003,012
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the.		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,003,012
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,958
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,001,054

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7					771,789
2 Undistributed income, if any, as of the end of 2015					
a Enter amount for 2014 only					
b Total for prior years: 20 ____, 20 ____, 20 ____					
3 Excess distributions carryover, if any, to 2015:					
a From 2010					
b From 2011					
c From 2012	57,107				
d From 2013					
e From 2014	258,930				
f **Total** of lines 3a through e		316,037			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ $ 1,003,012					
a Applied to 2014, but not more than line 2a					
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2015 distributable amount					771,789
e Remaining amount distributed out of corpus		231,223			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5		547,260			
b Prior years' undistributed income. Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount – see instructions					
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount – see instructions					
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)					
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)					
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a		547,260			
10 Analysis of line 9					
a Excess from 2011					
b Excess from 2012	57,107				
c Excess from 2013					
d Excess from 2014	258,930				
e Excess from 2015	231,223				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2015	Prior 3 years (b) 2014	(c) 2013	(d) 2012	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test – enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

REFER TO SCHEDULE OF CONTRIBUTORS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 14				1,003,012
Total			▶ 3a	1,003,012
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	77,973	
4 Dividends and interest from securities			14	203,949	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			14	35,800	1,081,414
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b LAND LEASE REVENUE			16	41,667	
c 75 ZILLICOA LEASE REVENUE			16	66,544	
d OTHER PORTFOLIO INCOME			16	150	
e					
12 Subtotal. Add columns (b), (d), and (e)		0		426,083	1,081,414

13 Total. Add line 12, columns (b), (d), and (e) 13 1,507,497

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Lara Nutter | 11-16-16 | BD DIR CHMN

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed
G. EDWARD TOWSON II C.P.A.	G. Edward Towson, II, CPA	11/16/16	
Firm's name ▶ GOULD KILLIAN CPA GROUP, P.A.		PTIN	P00060887
Firm's address ▶ 100 COXE AVE ASHEVILLE, NC 28801-2354		Firm's EIN ▶	56-1042836
		Phone no	828-258-0363

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.**

OMB No 1545-0047

2015

Name of the organization

GLASS FOUNDATION, INC.

Employer identification number

56-2196225

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization: GLASS FOUNDATION, INC.

Employer identification number: 56-2196225

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	KENNETH GLASS 28 PEACH KNOB ROAD ASHEVILLE NC 28804	$ 1,028,719	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
2	TECT-THOMASVILLE 1211 OLD ALBANY ROAD THOMASVILLE GA 31792	$ 240,000	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization
GLASS FOUNDATION, INC.

Employer identification number
56-2196225

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	AT AVERAGE HIGH/LOW VALUE 832.00 SHARE BOEING	$ 84,846	
1	AT AVGERAGE HIGH/LOW VALUE 1814.00 SHARES COCA COLA	$ 120,786	
1	AT AVERAGE HIGH/LOW VALUE 1187.00 SHARES IL TOOLWORKS	$ 76,297	
1	AT AVERAGE HIGH/LOW VALUE 1000.00 JOHNSON & JOHNSON	$ 108,818	
1	AT AVERAGE HIGH/LOW VALUE 2453.00 SHARES MICROSOFT CORP	$ 101,930	
1	AT AVERAGE HIGH/LOW VALUE 19968.35 SHARES ARBITRAGE FUND	$ 132,045	

Name of organization GLASS FOUNDATION, INC.

Employer identification number 56-2196225

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	AT AVERAGE HIGH/LOW VALUE	$ 253,997	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Description: Whom Sold	Date Acquired	Date Sold	How Received	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
SEE ATTACHED SCHEDULE D-1			PURCHASE	$ 470,150	$ 469,246	$	$	$ 904
SEE ATTACHED SCHEDULE D-1			PURCHASE	1,345,223	1,343,877			1,346
UBS #11273 PRINCIPAL PAYMENTS			PURCHASE	117,354	117,354			
SEE ATTACHED SCHEDULE D-2			PURCHASE	1,088,795	1,059,623			29,172
SEE ATTACHED SCHEDULE D-2			PURCHASE	4,999,648	3,810,830			1,188,818
ASTRAZENECA BASIS ADJUSTMENT			PURCHASE		21,136			-21,136
COCA COLA BASIS ADJUSTMENT			PURCHASE		23,886			-23,886
IL TOOLWORKS BASIS ADJUSTMENT			PURCHASE		51,253			-51,253
JOHNSON & JOHNSON BASIS ADJUSTMENT			PURCHASE		42,551			-42,551
TOTAL				$ 8,021,170	$ 6,939,756	$ 0	$ 0	$ 1,081,414

Statement 2 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
LAND LEASE REVENUE	$ 41,667	$	$
75 ZILLICOA LEASE REVENUE	66,544		
OTHER PORTFOLIO INCOME	150		
TOTAL	$ 108,361	$ 0	$ 0

UBS FINANCIAL SERVICES INC. Account A8 11273

Proceeds Not Reported to the IRS

2015 02/26/2016

This section of your tax information statement provides proceeds transactions grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis is always presented as $0.00 and the Proceeds is the net of proceeds received when the option was written and the cost to close the position

Several column headings describe two related items: a quantity and a qualifier. For example, Proceeds of sale and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
DEUTSCHE BANK AG LONDON NOTE RATE 2.50 % MATURES 02/13/2019 / CUSIP. 25152RVS9 / Symbol:							
	3 transactions for 01/23/15						
	30,000.000	*30,625.20*	*02/07/14*	*30,131.37*	*0.00*	*493.83*	*Sale* *Original basis: $30,160.20*
	15,000.000	*15,312.60*	*02/26/14*	*15,124.78*	*0.00*	*187.82*	*Sale* *Original basis: $15,151 20*
	25,000.000	*25,521.00*	*10/31/14*	*25,314.39*	*0.00*	*206.61*	*Sale* *Original basis: $25,331.50*
01/23/15	70,000.000	71,458.80	Various	70,570.54	0.00	888.26	Total of 3 transactions
DEUTSCHE BANK AG LONDON COUNTRY: DE NOTE RATE 3.25 % MATURES 01/11/2016 / CUSIP: 2515A14E8 / Symbol:							
	2 transactions for 01/23/15						
	14,000.000	*14,304.08*	*02/20/14*	*14,338.84*	*0.00*	*-34.76*	*Sale* *Original basis: $14,660.94*
	30,000.000	*30,651.60*	*02/28/14*	*30,729.49*	*0.00*	*-77.89*	*Sale* *Original basis: $31,406.40*
01/23/15	44,000.000	44,955.68	Various	45,068.33	0.00	-112.65	Total of 2 transactions
12/10/15	50,000.000	50,090.00	04/16/15	50,107.97	0.00	-17.97	Sale Original basis: $50,922.50
	Security total:	95,045.68		95,176.30	0.00	-130.62	
KELLOGG CO CALL@MW+15BP 01.125% 051515 DTD051712 FC111512 NTS B/E / CUSIP: 487836BG2 / Symbol:							
05/15/15	25,000.000	25,000.00	07/28/14	25,000.00	...	0.00	Sale

** D = adjustment amount is market discount. W = adjustment amount is a non-deductible wash sale loss. C = proceeds amount is from collectible assets.

UBS FINANCIAL SERVICES INC. Account A8 11273

Proceeds Not Reported to the IRS
(continued)

2015 02/26/2016

SHORT TERM TRANSACTIONS
Report on Form 8949, Part I, with Box C checked.

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
PRUDENTIAL FINL INC NTS 03.875% 011415 DTD011410 FC071410 B/E / CUSIP: 74432QBL8 / Symbol:							
01/14/15	24,000.000	24,000.00	03/04/14	24,000.00	...	0.00	Sale
US TSY NOTE 00.375 % DUE 04/15/15 DTD 04/15/12 FC 10/15/12 / CUSIP: 912828SP6 / Symbol:							
	2 transactions for 04/15/15						
	100,000.000	*100,000 00*	*04/16/14*	*100,000.00*	...	*0.00*	*Sale*
	100,000.000	*100,000.00*	*07/28/14*	*100,000.00*	...	*0.00*	*Sale*
04/15/15	200,000.000	200,000.00	Various	200,000.00	...	0.00	Total of 2 transactions
VODAFONE GROUP PLC NOTE RATE 1.50 % MATURES 02/19/2018 / CUSIP: 92857WBE9 / Symbol.							
05/26/15	55,000.000	54,645.80	01/21/15	54,498.95	0.00	146.85	Sale Original basis: $54,498.95
	Totals:	470,150.28		469,245.79	0.00	904.49	

LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked.

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
ANHEUSER-BUSCH INBEV FIN INC NOTE RATE 0.80 % MATURES 01/15/2016 / CUSIP: 035242AD8 / Symbol:							
	2 transactions for 09/09/15						
	27,000.000	*27,024.84*	*01/16/13*	*27,003.09*	*0.00*	*21.75*	*Sale* *Original basis: $27,026.19*
	13,000.000	*13,011.96*	*02/24/14*	*13,013.01*	*0.00*	*-1.05*	*Sale* *Original basis: $13,070.07*
09/09/15	40,000.000	40,036.80	Various	40,016.10	0.00	20.70	Total of 2 transactions

** D = adjustment amount is market discount. W = adjustment amount is a non-deductible wash sale loss. C = proceeds amount is from collectible assets.

UBS FINANCIAL SERVICES INC. Account A8 11273

2015 **Proceeds Not Reported to the IRS** (continued) 02/26/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
BK OF AMER CORP B/E 01.500% 100915 DTD101012 FC040913 / CUSIP: 06051GER6 / Symbol:							
	3 transactions for 10/09/15						
	27,000.000	*27,000.00*	*07/22/13*	*27,000.00*	...	*0.00*	*Sale*
	13,000.000	*13,000.00*	*02/21/14*	*13,000.00*	...	*0.00*	*Sale*
	35,000.000	*35,000.00*	*07/28/14*	*35,000.00*	...	*0.00*	*Sale*
10/09/15	75,000.000	75,000.00	Various	75,000.00	...	0.00	Total of 3 transactions
CVS CAREMARK CORP NOTE RATE 1.20 % MATURES 12/05/2016 / CUSIP: 126650CA6 / Symbol:							
09/25/15	50,000.000	50,074.50	05/05/14	50,199.02	0.00	-124.52	Sale Original basis: $50,428.00
CELGENE CORP NTS B/E 02.450% 101515 DTD100710 FC041511 CALL@MW+20BP / CUSIP: 151020AD6 / Symbol:							
06/18/15	75,000.000	75,301.50	04/16/14	75,425.73	0.00	-124.23	Sale Original basis: $76,954.50
CREDIT SUISSE FB USA INC 05.125% 081515 DTD081705 FC021506 NTS / CUSIP: 22541LBK8 / Symbol:							
	3 transactions for 08/15/15						
	13,000.000	*13,000.00*	*11/12/09*	*13,000.00*	...	*0.00*	*Sale*
	2,000.000	*2,000.00*	*10/11/11*	*2,000.00*	...	*0.00*	*Sale*
	10,000.000	*10,000.00*	*02/20/14*	*10,000.00*	...	*0.00*	*Sale*
08/15/15	25,000.000	25,000.00	Various	25,000.00	...	0.00	Total of 3 transactions
DEUTSCHE BANK AG LONDON COUNTRY: DE NOTE RATE 3.25 % MATURES 01/11/2016 / CUSIP: 2515A14E8 / Symbol:							
01/23/15	26,000.000	26,564.72	10/29/13	26,579.53	0.00	-14.81	Sale Original basis: $27,311.70
DIRECTV HLDGS LLC B/E 03.500% 030116 DTD031011 FC090111 CALL@MW +20BP / CUSIP: 25459HAY1 / Symbol:							
	4 transactions for 09/14/15						
	14,000.000	*14,196.36*	*09/07/11*	*14,074.76*	...	*121.60*	*Sale*
	2,000.000	*2,028.05*	*10/11/11*	*2,006.43*	...	*21.62*	*Sale*
	9,000.000	*9,126.23*	*02/24/14*	*9,105.35*	...	*20.88*	*Sale*

** D = adjustment amount is market discount. W = adjustment amount is a non-deductible wash sale loss. C = proceeds amount is from collectible assets.

UBS FINANCIAL SERVICES INC. Account A8 11273

Proceeds Not Reported to the IRS (continued)

2015 02/26/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
DIRECTV HLDGS LLC B/E 03.500% 030116 DTD031011 FC090111 CALL@MW +20BP / CUSIP: 25459HAY1 / Symbol: (cont'd)							
	25,000.000	25,350.63	02/28/14	25,292.46	...	58.17	Sale
09/14/15	50,000.000	50,701.27	Various	50,479.00	...	222.27	Total of 4 transactions
DOMINION RESOURCES INC 02.250% 090115 DTD090210 CALL@MW+15BP B/E / CUSIP: 25746UBJ7 / Symbol:							
	2 transactions for 09/01/15						
	45,000.000	45,000.00	04/16/14	45,000.00	..	0.00	Sale
	15,000.000	15,000.00	07/31/14	15,000.00	...	0.00	Sale
09/01/15	60,000.000	60,000.00	Various	60,000.00	...	0.00	Total of 2 transactions
DR PEPPER SNAPPLE GROUP INC NOTE RATE 2.90 % MATURES 01/15/2016 / CUSIP: 26138EAM1 / Symbol:							
	3 transactions for 12/09/15						
	26,000.000	26,040.82	03/18/13	26,051.74	0.00	-10.92	Sale Original basis: $27,446.64
	14,000.000	14,021.98	01/15/14	14,027.18	0 00	-5.20	Sale Original basis: $14,539.84
	4,000.000	4,006.28	03/10/14	4,008.44	0.00	-2.16	Sale Original basis: $4,155.04
12/09/15	44,000.000	44,069.08	Various	44,087.36	0.00	-18.28	Total of 3 transactions
EBAY INC NOTE RATE 1.35 % MATURES 07/15/2017 DATED DATE 07/24/2012 / CUSIP: 278642AG8 / Symbol:							
	2 transactions for 08/12/15						
	27,000.000	26,620.92	07/26/12	27,079.64	0.00	-458.72	Sale Original basis: $27,201.96
	13,000.000	12,817.48	02/26/14	13,041.44	0.00	-223.96	Sale Original basis: $13,072.28
08/12/15	40,000.000	39,438.40	Various	40,121.08	0.00	-682.68	Total of 2 transactions

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UBS FINANCIAL SERVICES INC. Account: A8 11273

Proceeds Not Reported to the IRS
(continued)

2015 02/26/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional Information
ENTERPRISE PRODUCTS OPER 01.250% 081315 DTD081312 FC021313 CALL@MW+15BP / CUSIP: 29379VAX1 / Symbol:							
	4 transactions for 08/13/15						
	20,000 000	*20,000.00*	*08/08/12*	*20,000.00*	...	*0.00*	*Sale*
	15,000 000	*15,000.00*	*04/22/13*	*15,000.00*	...	*0.00*	*Sale*
	20,000.000	*20,000.00*	*02/20/14*	*20,000.00*	...	*0.00*	*Sale*
	25,000.000	*25,000.00*	*07/28/14*	*25,000.00*	...	*0.00*	*Sale*
08/13/15	80,000.000	80,000.00	Various	80,000.00	...	0.00	Total of 4 transactions
FNMA PL MA1490 03.0000 DUE 06/25/33 FACTOR 0.794873130000 / CUSIP: 31418AUQ9 / Symbol:							
06/18/15	75,000.000	65,583 49	06/13/13	65,984.05	0 00	-400.56	Sale Note: 25
FORD MOTOR CREDIT CO LLC 07.000% 041515 DTD040910 FC101510 NTS B/E / CUSIP: 345397VN0 / Symbol:							
	2 transactions for 04/15/15						
	50,000.000	*50,000.00*	*01/15/14*	*50,000.00*	...	*0.00*	*Sale*
	25,000.000	*25,000.00*	*02/20/14*	*25,000.00*	...	*0.00*	*Sale*
04/15/15	75,000.000	75,000.00	Various	75,000.00	...	0.00	Total of 2 transactions
GENERAL ELEC CAP CORP MEDIUM TERM NOTE RATE 1.00 % MATURES 01/08/2016 / CUSIP: 36962G6R0 / Symbol:							
	2 transactions for 09/09/15						
	50,000.000	*50,074.50*	*05/02/13*	*50,043.40*	*0.00*	*31.10*	*Sale* *Original basis: $50,349.50*
	25,000.000	*25,037.25*	*02/24/14*	*25,039.86*	*0.00*	*-2.61*	*Sale* *Original basis: $25,225.00*
09/09/15	75,000.000	75,111.75	Various	75,083.26	0.00	28.49	Total of 2 transactions
J P MORGAN CHASE & CO 05.150% 100115 DTD100405 FC040106 NOTES / CUSIP: 46625HDF4 / Symbol:							
	5 transactions for 10/01/15						
	1,000.000	*1,000.00*	*09/16/08*	*938.08*	...	*61.92*	*Sale*
	20,000.000	*20,000.00*	*04/24/09*	*19,096.00*	...	*904.00*	*Sale*

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UBS FINANCIAL SERVICES INC. Account A8 11273

Proceeds Not Reported to the IRS
(continued)

2015 02/26/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property							
Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
J P MORGAN CHASE & CO 05.150% 100115 DTD100405 FC040106 NOTES / CUSIP: 46625HDF4 / Symbol: (cont'd)							
	3,000.000	*3,000.00*	*10/11/11*	*3,000.00*	...	*0.00*	*Sale*
	16,000.000	*16,000.00*	*02/20/14*	*16,000.00*	...	*0.00*	*Sale*
	30,000.000	*30,000.00*	*07/25/14*	*30,000.00*	...	*0.00*	*Sale*
10/01/15	70,000.000	70,000 00	Various	69,034.08	...	965.92	Total of 5 transactions
KELLOGG CO CALL@MW+15BP 01.125% 051515 DTD051712 FC111512 NTS B/E / CUSIP: 487836BG2 / Symbol:							
	2 transactions for 05/15/15						
	34,000.000	*34,000.00*	*03/18/13*	*34,000.00*	...	*0.00*	*Sale*
	16,000.000	*16,000.00*	*02/24/14*	*16,000.00*	...	*0.00*	*Sale*
05/15/15	50,000.000	50,000.00	Various	50,000.00	...	0.00	Total of 2 transactions
METLIFE INC NTS 05.000% 061515 DTD062305 FC121505 CALL@M/W+15BP. / CUSIP: 59156RAN8 / Symbol:							
	3 transactions for 06/15/15						
	13,000.000	*13,000.00*	*11/10/09*	*13,000.00*	..	*0.00*	*Sale*
	2,000.000	*2,000.00*	*10/11/11*	*2,000.00*	...	*0.00*	*Sale*
	10,000.000	*10,000.00*	*02/21/14*	*10,000.00*	...	*0.00*	*Sale*
06/15/15	25,000.000	25,000.00	Various	25,000.00	...	0.00	Total of 3 transactions
NOMURA HLDGS INC MEDIUM TERM NOTE RATE 2.75 % MATURES 03/19/2019 / CUSIP: 65535HAG4 / Symbol.							
06/18/15	65,000.000	65,756.60	03/13/14	64,870.65	0.00	885.95	Sale Original basis: $64,870.65
ONTARIO PROV CDA NTS B/E 00.950% 052615 DTD052412 FC112612 / CUSIP: 68323ABJ2 / Symbol:							
05/26/15	50,000.000	50,000.00	02/28/14	50,000.00	0.00	0.00	Sale
PFIZER INC 05.350% 031515 DTD032409 FC091509 CALL@MW T+50BPS / CUSIP: 717081DA8 / Symbol:							
	2 transactions for 03/15/15						
	26,000.000	*26,000.00*	*02/06/13*	*26,000.00*	...	*0.00*	*Sale*

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UBS FINANCIAL SERVICES INC Account - A8 11273

2015 **Proceeds Not Reported to the IRS** (continued) 02/26/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
PFIZER INC 05.350% 031515 DTD032409 FC091509 CALL@MW T+50BPS / CUSIP: 717081DA8 / Symbol: (cont'd)							
	14,000.000	*14,000.00*	*02/21/14*	*14,000.00*	...	*0.00*	*Sale*
03/15/15	40,000.000	40,000.00	Various	40,000.00	..	0.00	Total of 2 transactions
PRUDENTIAL FINL INC NTS 03.875% 011415 DTD011410 FC071410 B/E / CUSIP: 74432QBL8 / Symbol:							
01/14/15	26,000.000	26,000.00	01/11/13	26,000.00	..	0.00	Sale
US TSY NOTE 01.250 % DUE 09/30/15 DTD 09/30/10 FC 03/31/11 / CUSIP: 912828NZ9 / Symbol:							
	2 transactions for 06/18/15						
	48,000.000	*48,159.31*	*10/05/10*	*48,005.72*	*0.00*	*153.59*	*Sale* *Original basis: $48,097.66*
	2,000.000	*2,006.64*	*10/11/11*	*2,002.31*	*0.00*	*4.33*	*Sale* *Original basis: $2,031.80*
06/18/15	50,000.000	50,165.95	Various	50,008.03	0.00	157.92	Total of 2 transactions
	3 transactions for 09/09/15						
	4,000.000	*4,002.02*	*10/11/11*	*4,000.94*	*0.00*	*1.08*	*Sale* *Original basis: $4,063.61*
	26,000.000	*26,013.16*	*02/21/14*	*26,014.77*	*0.00*	*-1.61*	*Sale* *Original basis: $26,412.44*
	85,000.000	*85,043.01*	*06/04/14*	*85,051.62*	*0.00*	*-8.61*	*Sale* *Original basis: $86,188.81*
09/09/15	115,000.000	115,058.19	Various	115,067.33	0.00	-9.14	Total of 3 transactions
	Security total.	165,224.14		165,075.36	0.00	148.78	
WELLS FARGO & CO NOTE RATE 2.625 % MATURES 12/15/2016 / CUSIP: 94974BEZ9 / Symbol:							
	3 transactions for 09/25/15						
	25,000.000	*25,486.00*	*12/08/11*	*25,009.53*	*0.00*	*476.47*	*Sale* *Original basis: $25,037.25*
	15,000.000	*15,291.60*	*02/24/14*	*15,309.23*	*0.00*	*-17.63*	*Sale* *Original basis: $15,705.60*

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UBS FINANCIAL SERVICES INC. Account A8 11273

Proceeds Not Reported to the IRS

(continued)

2015 02/26/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
WELLS FARGO & CO NOTE RATE 2.625 % MATURES 12/15/2016 / CUSIP: 94974BEZ9 / Symbol. (cont'd)							
	30,000.000	*30,583.20*	*07/25/14*	*30,602.85*	*0.00*	*-19.65*	*Sale* *Original basis: $31,172.10*
09/25/15	70,000.000	71,360.80	Various	70,921.61	0.00	439.19	Total of 3 transactions
	Totals:	**1,345,223.05**		**1,343,876.83**	**0.00**	**1,346.22**	

UNDETERMINED TERM TRANSACTIONS

Report on Form 8949, Part I with Box C checked or Part II with Box F checked.

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
FHLMC PL G05923 05.5000 DUE 02/01/40 FACTOR 0.082417900000 / CUSIP: 3128M74G8 / Symbol:							
02/15/15	0.000	19.30	N/A	0.00	0.00	0.00	Principal payment
03/15/15	0.000	17.43	N/A	0.00	0.00	0.00	Principal payment
04/15/15	0.000	25.47	N/A	0.00	0.00	0.00	Principal payment
05/15/15	0.000	20.14	N/A	0.00	0.00	0.00	Principal payment
06/15/15	0.000	18.12	N/A	0.00	0.00	0.00	Principal payment
07/15/15	0.000	22.73	N/A	0.00	0.00	0.00	Principal payment
08/15/15	0.000	21.62	N/A	0.00	0.00	0.00	Principal payment
09/15/15	0.000	20.45	N/A	0.00	0.00	0.00	Principal payment
10/15/15	0.000	20.38	N/A	0.00	0.00	0.00	Principal payment
11/15/15	0.000	14.96	N/A	0.00	0.00	0.00	Principal payment
12/15/15	0.000	13.55	N/A	0.00	0.00	0.00	Principal payment
01/15/16	0.000	17.09	N/A	0.00	...	0.00	Principal payment
	Security total:	231.24		0.00	0.00	0.00	

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UBS FINANCIAL SERVICES INC. Account: A8 11273

Proceeds Not Reported to the IRS
(continued)

2015 02/26/2016

UNDETERMINED TERM TRANSACTIONS
Report on Form 8949, Part I with Box C checked or Part II with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
FHLMC PL G05409 05.5000 DUE 03/01/39 FACTOR 0.054728200000 / CUSIP: 3128M7KW5 / Symbol:							
02/15/15	0.000	23.96	N/A	0.00	0.00	0.00	Principal payment
03/15/15	0.000	22.68	N/A	0.00	0.00	0.00	Principal payment
04/15/15	0.000	27.85	N/A	0.00	0.00	0.00	Principal payment
05/15/15	0.000	29.60	N/A	0.00	0.00	0.00	Principal payment
06/15/15	0.000	24.20	N/A	0.00	0.00	0.00	Principal payment
07/15/15	0.000	29.03	N/A	0.00	0.00	0.00	Principal payment
08/15/15	0.000	26.65	N/A	0.00	0.00	0.00	Principal payment
09/15/15	0.000	24.96	N/A	0.00	0.00	0.00	Principal payment
10/15/15	0.000	22.05	N/A	0.00	0.00	0.00	Principal payment
11/15/15	0.000	22.61	N/A	0.00	0.00	0.00	Principal payment
12/15/15	0.000	17.96	N/A	0.00	0.00	0.00	Principal payment
01/15/16	0.000	17.54	N/A	0.00	...	0.00	Principal payment
	Security total:	289.09		0.00	0.00	0.00	
FHLMC PL G14783 03.5000 DUE 10/01/26 FACTOR 0.538059100000 / CUSIP: 3128MDRC9 / Symbol:							
02/15/15	0.000	1,976.32	N/A	0.00	0.00	0.00	Principal payment
03/15/15	0.000	2,225.88	N/A	0.00	0.00	0.00	Principal payment
04/15/15	0.000	2,806.33	N/A	0.00	0.00	0.00	Principal payment
05/15/15	0.000	2,807.17	N/A	0.00	0.00	0.00	Principal payment
06/15/15	0.000	2,440.92	N/A	0.00	0.00	0.00	Principal payment
07/15/15	0.000	3,638.89	N/A	0.00	0.00	0.00	Principal payment
08/15/15	0.000	2,690.92	N/A	0.00	0.00	0.00	Principal payment
09/15/15	0.000	2,804.52	N/A	0.00	0.00	0.00	Principal payment
10/15/15	0.000	2,246.88	N/A	0.00	0.00	0.00	Principal payment
11/15/15	0.000	1,944.58	N/A	0.00	0.00	0.00	Principal payment
12/15/15	0.000	2,003.39	N/A	0.00	0.00	0.00	Principal payment
01/15/16	0.000	1,818.47	N/A	0.00	...	0.00	Principal payment
	Security total:	29,404.27		0.00	0.00	0.00	

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UBS FINANCIAL SERVICES INC. Account A8 11273

Proceeds Not Reported to the IRS
(continued)

2015 02/26/2016

UNDETERMINED TERM TRANSACTIONS

Report on Form 8949, Part I with Box C checked or Part II with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
FHLMC PL A97040 04.0000 DUE 02/01/41 FACTOR 0.384174500000 / CUSIP: 312945ZD3 / Symbol:							
02/15/15	0.000	455.12	N/A	0.00	0.00	0.00	Principal payment
03/15/15	0.000	664.20	N/A	0.00	0.00	0.00	Principal payment
04/15/15	0.000	1,026.81	N/A	0.00	0.00	0.00	Principal payment
05/15/15	0.000	773 65	N/A	0.00	0 00	0 00	Principal payment
06/15/15	0.000	744 40	N/A	0.00	0.00	0.00	Principal payment
07/15/15	0.000	685.21	N/A	0.00	0.00	0 00	Principal payment
08/15/15	0.000	658.02	N/A	0.00	0.00	0.00	Principal payment
09/15/15	0 000	634.03	N/A	0.00	0 00	0 00	Principal payment
10/15/15	0.000	524.18	N/A	0.00	0.00	0.00	Principal payment
11/15/15	0.000	470.68	N/A	0.00	0.00	0.00	Principal payment
12/15/15	0.000	396.25	N/A	0.00	0.00	0.00	Principal payment
01/15/16	0.000	382.93	N/A	0.00	...	0.00	Principal payment
	Security total:	7,415.48		0.00	0.00	0.00	
FNMA PL AH0969 03.5000 DUE 12/01/25 FACTOR 0.276334450000 / CUSIP: 3138A2CF4 / Symbol:							
02/25/15	0.000	277.49	N/A	0.00	0.00	0.00	Principal payment
03/25/15	0.000	274.26	N/A	0.00	0.00	0.00	Principal payment
04/25/15	0.000	311.04	N/A	0.00	0.00	0.00	Principal payment
05/25/15	0.000	297.33	N/A	0.00	0.00	0.00	Principal payment
06/25/15	0.000	264.45	N/A	0.00	0.00	0.00	Principal payment
07/25/15	0.000	270.43	N/A	0.00	0.00	0.00	Principal payment
08/25/15	0.000	302.78	N/A	0.00	0.00	0.00	Principal payment
09/25/15	0.000	302.22	N/A	0.00	0.00	0.00	Principal payment
10/25/15	0 000	297 15	N/A	0.00	0.00	0.00	Principal payment
11/25/15	0.000	235.02	N/A	0.00	0.00	0.00	Principal payment
12/25/15	0.000	240.58	N/A	0.00	0.00	0.00	Principal payment
01/25/16	0.000	198.29	N/A	0.00	...	0 00	Principal payment
	Security total:	3,271.04		0.00	0.00	0.00	

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UBS FINANCIAL SERVICES INC. Account A8 11273

Proceeds Not Reported to the IRS
(continued)

2015 02/26/2016

UNDETERMINED TERM TRANSACTIONS

Report on Form 8949, Part I with Box C checked or Part II with Box F checked.

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
FNMA PL AH3431 03.5000 DUE 01/01/26 FACTOR 0.285789650000 / CUSIP: 3138A4Y58 / Symbol:							
02/25/15	0 000	1,401.65	N/A	0.00	0.00	0.00	Principal payment
03/25/15	0.000	1,427.02	N/A	0.00	0.00	0.00	Principal payment
04/25/15	0.000	1,497.70	N/A	0.00	0.00	0.00	Principal payment
05/25/15	0.000	1,538.21	N/A	0.00	0.00	0.00	Principal payment
06/25/15	0.000	1,458.96	N/A	0.00	0.00	0.00	Principal payment
07/25/15	0.000	1,556.73	N/A	0.00	0.00	0 00	Principal payment
08/25/15	0.000	1,729.43	N/A	0.00	0.00	0.00	Principal payment
09/25/15	0.000	1,232.91	N/A	0.00	0.00	0.00	Principal payment
10/25/15	0.000	1,493.54	N/A	0.00	0.00	0.00	Principal payment
11/25/15	0.000	1,489.88	N/A	0.00	0.00	0.00	Principal payment
12/25/15	0.000	1,242.58	N/A	0.00	0.00	0.00	Principal payment
01/25/16	0.000	1,177.19	N/A	0.00	...	0.00	Principal payment
	Security total:	17,245.80		0.00	0.00	0.00	
FNMA PL AH5583 04.5000 DUE 02/01/41 FACTOR 0 309796520000 / CUSIP: 3138A7FZ6 / Symbol:							
02/25/15	0.000	367.64	N/A	0.00	0.00	0.00	Principal payment
03/25/15	0.000	312.11	N/A	0.00	0.00	0.00	Principal payment
04/25/15	0.000	404.10	N/A	0.00	0.00	0.00	Principal payment
05/25/15	0.000	528.46	N/A	0.00	0.00	0.00	Principal payment
06/25/15	0 000	362.15	N/A	0.00	0 00	0.00	Principal payment
07/25/15	0 000	310.69	N/A	0.00	0.00	0.00	Principal payment
08/25/15	0.000	352.92	N/A	0.00	0.00	0.00	Principal payment
09/25/15	0.000	451.73	N/A	0.00	0.00	0.00	Principal payment
10/25/15	0.000	250.20	N/A	0.00	0.00	0.00	Principal payment
11/25/15	0.000	247.40	N/A	0.00	0.00	0.00	Principal payment
12/25/15	0.000	200.96	N/A	0.00	0.00	0.00	Principal payment
01/25/16	0 000	254.61	N/A	0.00	...	0.00	Principal payment
	Security total:	4,042.97		0.00	0.00	0.00	

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UBS FINANCIAL SERVICES INC. Account A8 11273

Proceeds Not Reported to the IRS

2015 (continued) 02/26/2016

UNDETERMINED TERM TRANSACTIONS

Report on Form 8949, Part I with Box C checked or Part II with Box F checked.

Description of property Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
FNMA PL AI4815 04.5000 DUE 06/01/41 FACTOR 0.217116840000 / CUSIP: 3138AJK50 / Symbol:							
02/25/15	0.000	134.54	N/A	0.00	0.00	0.00	Principal payment
03/25/15	0.000	154 07	N/A	0.00	0.00	0.00	Principal payment
04/25/15	0.000	210.93	N/A	0.00	0.00	0.00	Principal payment
05/25/15	0.000	176.89	N/A	0.00	0.00	0.00	Principal payment
06/25/15	0.000	151.87	N/A	0.00	0.00	0.00	Principal payment
07/25/15	0.000	118.54	N/A	0.00	0.00	0.00	Principal payment
08/25/15	0.000	172.69	N/A	0.00	0.00	0.00	Principal payment
09/25/15	0.000	119.34	N/A	0.00	0.00	0.00	Principal payment
10/25/15	0.000	118.57	N/A	0.00	0.00	0.00	Principal payment
11/25/15	0.000	122.60	N/A	0.00	0.00	0.00	Principal payment
12/25/15	0.000	102 49	N/A	0.00	0.00	0.00	Principal payment
01/25/16	0.000	110.94	N/A	0.00	...	0.00	Principal payment
	Security total:	1,693.47		0.00	0.00	0.00	
FNMA PL AL2417 03.5000 DUE 08/01/42 FACTOR 0.712126690000 / CUSIP: 3138EJVK1 / Symbol:							
02/25/15	0.000	148.99	N/A	0 00	0.00	0.00	Principal payment
03/25/15	0.000	224 47	N/A	0.00	0.00	0 00	Principal payment
04/25/15	0.000	361 47	N/A	0.00	0.00	0.00	Principal payment
05/25/15	0.000	173.63	N/A	0.00	0.00	0.00	Principal payment
06/25/15	0.000	256.91	N/A	0.00	0.00	0.00	Principal payment
07/25/15	0.000	343.97	N/A	0.00	0.00	0.00	Principal payment
08/25/15	0.000	335.79	N/A	0.00	0.00	0.00	Principal payment
09/25/15	0.000	253.79	N/A	0.00	0.00	0.00	Principal paymont
10/25/15	0.000	161.69	N/A	0.00	0.00	0.00	Principal payment
11/25/15	0.000	134.84	N/A	0.00	0.00	0.00	Principal payment
12/25/15	0.000	292.70	N/A	0.00	0.00	0 00	Principal payment
01/25/16	0.000	155.13	N/A	0.00	...	0.00	Principal payment
	Security total:	2,843.38		0.00	0.00	0.00	

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UBS FINANCIAL SERVICES INC. Account A8 11273

Proceeds Not Reported to the IRS (continued)

2015 02/26/2016

UNDETERMINED TERM TRANSACTIONS

Report on Form 8949, Part I with Box C checked or Part II with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
FNMA PL 725445 04.5000 DUE 05/01/19 FACTOR 0.039273530000 / CUSIP: 31402C5E8 / Symbol:							
02/25/15	0.000	203.44	N/A	0.00	0.00	0.00	Principal payment
03/25/15	0.000	156.70	N/A	0.00	0 00	0.00	Principal payment
04/25/15	0.000	173.11	N/A	0.00	0.00	0.00	Principal payment
05/25/15	0.000	158.23	N/A	0.00	0.00	0.00	Principal payment
06/25/15	0.000	154.58	N/A	0.00	0.00	0.00	Principal payment
07/25/15	0.000	162.35	N/A	0.00	0.00	0.00	Principal payment
08/25/15	0.000	143.99	N/A	0.00	0.00	0.00	Principal payment
09/25/15	0.000	161 63	N/A	0.00	0.00	0.00	Principal payment
10/25/15	0.000	153.99	N/A	0.00	0.00	0.00	Principal payment
11/25/15	0.000	136.33	N/A	-0.00	0.00	0.00	Principal payment
12/25/15	0.000	139.58	N/A	0.00	0.00	0.00	Principal payment
01/25/16	0.000	141.13	N/A	0.00	...	0.00	Principal payment
	Security total:	1,885.06		0.00	0.00	0.00	
FNMA PL 725027 05.0000 DUE 11/01/33 FACTOR 0.067066450000 / CUSIP: 31402CPL0 / Symbol:							
02/25/15	0.000	348.93	N/A	0.00	0.00	0.00	Principal payment
03/25/15	0.000	385 31	N/A	0.00	0.00	0.00	Principal payment
04/25/15	0.000	500.38	N/A	0.00	0.00	0.00	Principal payment
05/25/15	0.000	441.80	N/A	0.00	0.00	0.00	Principal payment
06/25/15	0.000	509.88	N/A	0.00	0.00	0.00	Principal payment
07/25/15	0.000	468.09	N/A	0.00	0.00	0.00	Principal payment
08/25/15	0.000	451.08	N/A	0.00	0.00	0.00	Principal payment
09/25/15	0.000	396.18	N/A	0.00	0.00	0.00	Principal payment
10/25/15	0.000	390.10	N/A	0.00	0.00	0.00	Principal payment
11/25/15	0.000	372.22	N/A	0.00	0.00	0.00	Principal payment
12/25/15	0.000	309.91	N/A	0.00	0.00	0.00	Principal payment
01/25/16	0.000	351 53	N/A	0.00	...	0.00	Principal payment
	Security total:	4,925.41		0.00	0.00	0.00	

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UBS FINANCIAL SERVICES INC. Account: A8 11273

Proceeds Not Reported to the IRS
(continued)

2015 02/26/2016

UNDETERMINED TERM TRANSACTIONS

Report on Form 8949, Part I with Box C checked or Part II with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
FNMA PL 931675 05 5000 DUE 01/01/18 FACTOR 0.041899600000 / CUSIP: 31412QCL1 / Symbol:							
02/25/15	0.000	61.18	N/A	0 00	0.00	0.00	Principal payment
03/25/15	0.000	61.48	N/A	0.00	0.00	0.00	Principal payment
04/25/15	0.000	58.88	N/A	0.00	0.00	0.00	Principal payment
05/25/15	0.000	61.52	N/A	0.00	0.00	0.00	Principal payment
06/25/15	0.000	53.77	N/A	0 00	0.00	0.00	Principal payment
07/25/15	0.000	56.65	N/A	0.00	0.00	0.00	Principal payment
08/25/15	0.000	56.52	N/A	0.00	0.00	0.00	Principal payment
09/25/15	0.000	52.64	N/A	0 00	0.00	0.00	Principal payment
10/25/15	0.000	51.62	N/A	0.00	0.00	0.00	Principal payment
11/25/15	0.000	48.39	N/A	0.00	0.00	0.00	Principal payment
12/25/15	0.000	48.87	N/A	0.00	0.00	0.00	Principal payment
01/25/16	0.000	49.01	N/A	0.00	...	0.00	Principal payment
	Security total:	660.53		0.00	0.00	0.00	
FNMA PL 995265 05.5000 DUE 01/01/24 FACTOR 0.069391850000 / CUSIP: 31416BTW8 / Symbol:							
02/25/15	0.000	236.43	N/A	0.00	0.00	0.00	Principal payment
03/25/15	0.000	204.52	N/A	0.00	0.00	0.00	Principal payment
04/25/15	0.000	218.18	N/A	0.00	0.00	0.00	Principal payment
05/25/15	0.000	194.97	N/A	0.00	0.00	0.00	Principal payment
06/25/15	0.000	211.51	N/A	0.00	0.00	0.00	Principal payment
07/25/15	0.000	193.66	N/A	0 00	0.00	0.00	Principal payment
08/25/15	0.000	216.43	N/A	0.00	0.00	0 00	Principal payment
09/25/15	0.000	179.45	N/A	0.00	0.00	0.00	Principal payment
10/25/15	0.000	180.23	N/A	0.00	0.00	0.00	Principal payment
11/25/15	0.000	192.36	N/A	0.00	0.00	0.00	Principal payment
12/25/15	0.000	176.70	N/A	0.00	0.00	0.00	Principal payment
01/25/16	0.000	159.98	N/A	0.00	...	0.00	Principal payment
	Security total:	2,364.42		0 00	0.00	0.00	

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UBS FINANCIAL SERVICES INC. Account: A8 11273

Proceeds Not Reported to the IRS
(continued)

2015 02/26/2016

UNDETERMINED TERM TRANSACTIONS

Report on Form 8949, Part I with Box C checked or Part II with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
FNMA PL AC8512 04.5000 DUE 12/01/39 FACTOR 0.184813450000 / CUSIP: 31417VN66 / Symbol:							
02/25/15	0 000	921.63	N/A	0.00	0.00	0.00	Principal payment
03/25/15	0.000	1,065.81	N/A	0.00	0.00	0.00	Principal payment
04/25/15	0.000	1,355.20	N/A	0.00	0.00	0.00	Principal payment
05/25/15	0.000	1,273.42	N/A	0.00	0.00	0.00	Principal payment
06/25/15	0.000	1,179.96	N/A	0.00	0.00	0.00	Principal payment
07/25/15	0.000	1,201.09	N/A	0.00	0.00	0.00	Principal payment
08/25/15	0.000	1,018.89	N/A	0.00	0.00	0.00	Principal payment
09/25/15	0.000	972 17	N/A	0.00	0.00	0.00	Principal payment
10/25/15	0.000	752.95	N/A	0.00	0.00	0.00	Principal payment
11/25/15	0.000	768.37	N/A	0.00	0.00	0.00	Principal payment
12/25/15	0.000	676.21	N/A	0.00	0.00	0.00	Principal payment
01/25/16	0.000	885.39	N/A	0.00	...	0.00	Principal payment
	Security total:	12,071.09		0.00	0.00	0.00	
FNMA PL MA0583 04.0000 DUE 12/01/40 FACTOR 0.276328210000 / CUSIP: 31417YUH8 / Symbol:							
02/25/15	0.000	142.07	N/A	0.00	0.00	0.00	Principal payment
03/25/15	0.000	224.42	N/A	0.00	0.00	0.00	Principal payment
04/25/15	0.000	294.43	N/A	0.00	0.00	0.00	Principal payment
05/25/15	0.000	234.67	N/A	0.00	0.00	0.00	Principal payment
06/25/15	0.000	235.01	N/A	0.00	0.00	0.00	Principal payment
07/25/15	0.000	221.64	N/A	0.00	0.00	0.00	Principal payment
08/25/15	0.000	161.50	N/A	0.00	0.00	0.00	Principal payment
09/25/15	0.000	141.91	N/A	0 00	0.00	0.00	Principal payment
10/25/15	0.000	123.49	N/A	0.00	0.00	0.00	Principal payment
11/25/15	0.000	141.75	N/A	0.00	0.00	0.00	Principal payment
12/25/15	0.000	99.79	N/A	0.00	0.00	0.00	Principal payment
01/25/16	0.000	143.61	N/A	0.00	...	0.00	Principal payment
	Security total:	2,164.29		0.00	0.00	0.00	

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UBS FINANCIAL SERVICES INC. Account A8 11273

Proceeds Not Reported to the IRS

(continued)

2015 02/26/2016

UNDETERMINED TERM TRANSACTIONS

Report on Form 8949, Part I with Box C checked or Part II with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
FNMA PL MA1490 03.0000 DUE 06/25/33 FACTOR 0 794873130000 / CUSIP: 31418AUQ9 / Symbol:							
02/25/15	0.000	395.21	N/A	0.00	0 00	0.00	Principal payment
03/25/15	0.000	601.70	N/A	0.00	0.00	0.00	Principal payment
04/25/15	0.000	764.15	N/A	0.00	0.00	0.00	Principal payment
05/25/15	0.000	660.04	N/A	0.00	0 00	0.00	Principal payment
06/25/15	0.000	704.57	N/A	0.00	0.00	0.00	Principal payment
	Security total:	3,125.67		0.00	0.00	0.00	
FNMA PL AE0949 04.0000 DUE 02/01/41 FACTOR 0.380032540000 / CUSIP: 31419BBT1 / Symbol:							
02/25/15	0.000	1,534.50	N/A	0.00	0.00	0.00	Principal payment
03/25/15	0.000	1,906.50	N/A	0.00	0 00	0.00	Principal payment
04/25/15	0.000	2,885 36	N/A	0.00	0 00	0.00	Principal payment
05/25/15	0.000	2,496.86	N/A	0.00	0.00	0.00	Principal payment
06/25/15	0.000	2,446.30	N/A	0.00	0.00	0.00	Principal payment
07/25/15	0.000	2,547.05	N/A	0.00	0.00	0.00	Principal payment
08/25/15	0.000	1,869.87	N/A	0 00	0.00	0.00	Principal payment
09/25/15	0.000	1,576.86	N/A	0.00	0.00	0.00	Principal payment
10/25/15	0.000	1,725.85	N/A	0.00	0.00	0.00	Principal payment
11/25/15	0.000	1,791.34	N/A	0.00	0.00	0.00	Principal payment
12/25/15	0.000	1,378.27	N/A	0.00	0.00	0.00	Principal payment
01/25/16	0.000	1,562.52	N/A	0.00	...	0.00	Principal payment
	Security total:	23,721.28		0.00	0.00	0.00	
	Totals:	**117,354.49**		**0.00**	**0.00**	**0.00**	

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UBS FINANCIAL SERVICES INC.

Account A8 11274

Proceeds Not Reported to the IRS

2015

11/07/2016

This section of your tax information statement provides proceeds transactions grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis is always presented as $0.00 and the Proceeds is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, Proceeds of sale and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

SHORT TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked.

Description of property Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
AFLAC INC COMMON STOCK / CUSIP· 001055102 / Symbol· AFL							
08/19/15	257.000	16,228.61	09/18/14	15,097.80	...	1,130.81	Sale
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol: KO							
06/18/15	360.000	14,630.13	09/18/14	14,974.38	...	-344.25	Sale
EMERSON ELECTRIC CO COMMON STOCK / CUSIP: 291011104 / Symbol: EMR							
02/27/15	230.000	13,347.35	09/18/14	14,903.15	...	-1,555.80	Sale
EVERSOURCE ENERGY COM / CUSIP: 30040W108 / Symbol: ES							
08/19/15	330.000	16,992.61	09/18/14	14,984.08	...	2,008.53	Sale
GENL DYNAMICS CORP COMMON STOCK / CUSIP: 369550108 / Symbol: GD							
01/14/15	1,000.000	136,748.18	04/09/14	107,754.15	...	28,994.03	Sale
CI GLBL INFRAS TIMBER & AGRIBSNS CLASS I / CUSIP: 461418113 / Symbol:							
	5 transactions for 06/18/15.						
	59.541	706.75	06/27/14	732.48	...	-25.73	Sale
	3,270.646	38,822.57	09/18/14	39,973.11	...	-1,150.54	Sale
	24.745	293.72	09/29/14	293.97	...	-0.25	Sale
	26.132	310.19	12/30/14	309.14	...	1.05	Sale
	7.043	83.60	03/27/15	82.68	...	0.92	Sale
06/18/15	3,388.107	40,216.83	Various	41,391.38	...	-1,174.55	Total of 5 transactions
ISHARES MSCI EAFE ETF / CUSIP: 464287465 / Symbol: EFA							
02/27/15	530.000	34,562.57	09/18/14	35,073.81	...	-511.24	Sale

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UBS FINANCIAL SERVICES INC. Account A8 11274

Proceeds Not Reported to the IRS
(continued)

2015 11/07/2016

SHORT TERM TRANSACTIONS
Report on Form 8949, Part I, with Box C checked.

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
Description of property							
ISHARES U.S TELECOMMUNICATIONS ETF / CUSIP. 464287713 / Symbol: IYZ							
02/27/15	1,227.000	37,784.53	06/03/14	36,429.51	...	1,355.02	Sale
ISHARES MSCI USA MINIMUM VOLATILITY ETF / CUSIP: 46429B697 / Symbol. USMV							
12/03/15	3,200.000	132,077.57	10/23/15	134,264.32	...	-2,186.75	Sale
JOHNSON & JOHNSON COM COMMON STOCK / CUSIP: 478160104 / Symbol· JNJ							
12/03/15	110.000	11,063.61	06/30/15	10,800.90	...	262.71	Sale
MEDTRONIC INC **MERGER EFF 01/2015** / CUSIP: 585055106 / Symbol:							
01/27/15	175.000	13,466.25	01/31/14	9,953.20		3,513.05	Merger
OPPENHEIMER DEVELOPING MARKETS CLASS Y / CUSIP: 683974505 / Symbol:							
	3 transactions for 06/18/15						
	496.032	17,549.61	09/18/14	20,000.00	...	-2,450.39	Sale
	35.026	1,239.22	12/05/14	1,276.70	..	-37.48	Sale
	93.633	3,312.74	12/05/14	3,412.92	...	-100.18	Sale
06/18/15	624.691	22,101.57	Various	24,689.62	...	-2,588.05	Total of 3 transactions
PEARSON PLC SPON ADR / CUSIP: 705015105 / Symbol: PSO							
06/18/15	758.000	15,054.35	09/18/14	15,080.79	...	-26.44	Sale
PRUDENTIAL JENNISON HEALTH SCIENCES FUND CLASS Z / CUSIP: 74441P866 / Symbol:							
01/14/15	3,778.575	200,000.00	02/06/14	170,383.53	...	29,616.47	Sale
QUALCOMM INC COMMON STOCK / CUSIP. 747525103 / Symbol: QCOM							
08/19/15	1,960.000	119,812.60	09/18/14	149,724.20	...	-29,911.60	Sale
CONSUMERS STAPLES SECTOR SPDR TRUST SHS / CUSIP: 81369Y308 / Symbol: XLP							
	2 transactions for 06/18/15						
	45.000	2,206.32	09/18/14	2,050.20	...	156.12	Sale
	1,855.000	90,949.16	02/27/15	92,895.80	...	-1,946.64	Sale
06/18/15	1,900.000	93,155.48	Various	94,946.00	...	-1,790.52	Total of 2 transactions

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UBS FINANCIAL SERVICES INC.

Account A8 11274

Proceeds Not Reported to the IRS
(continued)

2015

11/07/2016

SHORT TERM TRANSACTIONS
Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
UTILITIES SECTOR SPDR TRUST SHS / CUSIP· 81369Y886 / Symbol: XLU							
06/18/15	6.000	260.70	02/27/15	271.50	...	-10.80	Sale
08/19/15	200.000	9,154.53	02/27/15	9,049.92	...	104.61	Sale
	Security total:	9,415.23		9,321.42	...	93.81	
STANLEY BLACK & DECKER INC COM / CUSIP: 854502101 / Symbol: SWK							
08/19/15	108.000	11,426.05	09/18/14	10,017.11	...	1,408.94	Sale
UNITED PARCEL SERVICE INC CL B / CUSIP: 911312106 / Symbol: UPS							
02/27/15	150.000	15,297.42	09/18/14	14,967.15		330.27	Sale
VANGUARD SECTOR INDEX FD VANGUARD CONSUMER DISCRE ETF / CUSIP: 92204A108 / Symbol. VCR							
12/03/15	350.000	43,525.20	06/18/15	44,153.67	...	-628.47	Sale
VANGUARD SECTOR INDEX FD VANGUARD CONSUMER STAPLES ETF / CUSIP. 92204A207 / Symbol: VDC							
08/19/15	480.000	62,065.50	06/18/15	61,267.23	...	798.27	Sale
MEDTRONIC PLC FOREIGN STOCK / CUSIP: G5960L103 / Symbol: MDT							
08/19/15	385.000	29,823.51	01/27/15	29,445.35	0.00	378.16	Sale
	Totals:	1,088,795.15		1,059,622.75	0.00	29,172.40	

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UBS FINANCIAL SERVICES INC. — Account A8 11274

Proceeds Not Reported to the IRS
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2015 — 11/07/2016

LONG TERM TRANSACTIONS
Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
AFLAC INC COMMON STOCK / CUSIP: 001055102 / Symbol: AFL							
	4 transactions for 08/19/15						
	779.000	49,191.00	02/10/12	37,560.65	...	11,630.35	Sale
	264.000	16,670.63	10/04/12	12,745.08	...	3,925.55	Sale
	968.000	61,125.66	02/08/13	48,755.76	...	12,369.90	Sale
	158.000	9,977.12	01/31/14	10,006.95	...	-29.83	Sale
08/19/15	2,169.000	136,964.41	Various	109,068.44	...	27,895.97	Total of 4 transactions
ASTRAZENECA PLC SPON ADR / CUSIP: 046353108 / Symbol: AZN							
	2 transactions for 12/03/15						
	2,378.000	79,738.82	02/08/12	56,888.65	...	22,850.17	Sale
	278.000	9,321.86	03/27/13	6,821.66	...	2,500.20	Sale
12/03/15	2,656.000	89,060.68	Various	63,710.31	...	25,350.37	Total of 2 transactions
BOEING COMPANY COMMON STOCK / CUSIP: 097023105 / Symbol: BA							
08/19/15	210.000	29,993.54	02/10/12	15,701.03	...	14,292.51	Sale
	2 transactions for 12/03/15						
	755.000	109,299.34	02/08/12	56,886.60	...	52,412.74	Sale
	210.000	30,401.14	02/10/12	15,701.02	...	14,700.12	Sale
12/03/15	965.000	139,700.48	Various	72,587.62	...	67,112.86	Total of 2 transactions
	Security total:	169,694.02		88,288.65	...	81,405.37	
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol. KO							
	4 transactions for 06/18/15						
	1,110.000	45,109.57	02/10/12	37,601.25	..	7,508.32	Sale
	186.000	7,558.90	10/04/12	7,121.66	...	437.24	Sale
	1,306.000	53,074.86	02/08/13	50,681.94	...	2,392.92	Sale

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UBS FINANCIAL SERVICES INC. Account A8 11274

Proceeds Not Reported to the IRS
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Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol: KO (cont'd)							
	393.000	15,971.23	01/31/14	14,985.09	...	986 14	Sale
06/18/15	2,995.000	121,714.56	Various	110,389.94	...	11,324.62	Total of 4 transactions
	3 transactions for 12/03/15						
	1,263.000	53,543.77	01/21/10	34,359.91	...	19,183.86	Sale
	350.000	14,837.94	05/04/10	9,388.14	...	5,449.80	Sale
	201.000	8,521.22	10/15/14	8,662.36	...	-141.14	Sale
12/03/15	1,814.000	76,902.93	Various	52,410.41	...	24,492.52	Total of 3 transactions
	Security total:	198,617.49		162,800.35	...	35,817.14	
DOMINION RESOURCES INC VA (NEW) / CUSIP: 25746U109 / Symbol: D							
08/19/15	130.000	9,888.09	05/14/14	9,174.41	...	713.68	Sale
EMERSON ELECTRIC CO COMMON STOCK / CUSIP: 291011104 / Symbol. EMR							
	4 transactions for 02/27/15						
	719.000	41,724.96	02/10/12	37,524.61	...	4,200 35	Sale
	302.000	17,525.65	10/04/12	14,833 12	...	2,692.53	Sale
	736.000	42,711.50	02/08/13	42,495.09	...	216.41	Sale
	225.000	13,057.18	01/31/14	14,935.68	...	-1,878.50	Sale
02/27/15	1,982.000	115,019.29	Various	109,788.50	...	5,230.79	Total of 4 transactions
EVERSOURCE ENERGY COM / CUSIP. 30040W108 / Symbol: ES							
	4 transactions for 08/19/15						
	1,066.000	54,891.27	02/10/12	37,509.76	...	17,381.51	Sale
	215.000	11,070.95	10/05/12	8,419.40	...	2,651.55	Sale
	1,183.000	60,915.92	02/08/13	48,487.38	...	12,428.54	Sale
	345.000	17,765.00	01/31/14	15,124.77	...	2,640.23	Sale
08/19/15	2,809.000	144,643.14	Various	109,541.31	...	35,101.83	Total of 4 transactions

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Proceeds Not Reported to the IRS
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ILLINOIS TOOL WORKS INC COMMON STOCK / CUSIP: 452308109 / Symbol: ITW							
08/19/15	112.000	9,960.00	02/15/13	7,115.83	...	2,844.17	Sale
	3 transactions for 12/03/15						
	534.000	49,123.52	09/21/10	25,235.08	...	23,888.44	Sale
	480.000	44,155.97	04/19/11	25,334.93	...	18,821.04	Sale
	399.000	36,704.65	02/15/13	25,350.12	...	11,354.53	Sale
12/03/15	1,413.000	129,984.14	Various	75,920.13	...	54,064.01	Total of 3 transactions
	Security total:	139,944.14		83,035.96		56,908.18	
CI GLBL INFRAS TIMBER & AGRIBSNS CLASS I / CUSIP: 461418113 / Symbol:							
	7 transactions for 06/18/15						
	17,684.305	209,912.70	05/16/13	199,854.60	...	10,058.10	Sale
	29.473	349.84	06/27/13	314.80	...	35.04	Sale
	18.108	214.94	09/27/13	203.73	...	11.21	Sale
	5 460	64.82	12/27/13	63.84	...	0.98	Sale
	24 118	286.28	12/27/13	281.97	...	4.31	Sale
	50.511	599 56	12/27/13	590.56	...	9 00	Sale
	7.015	83.27	03/28/14	81.95	...	1.32	Sale
06/18/15	17,818.990	211,511.41	Various	201,391.45	...	10,119.96	Total of 7 transactions
ISHARES MSCI CANADA ETF / CUSIP: 464286509 / Symbol: EWC							
	3 transactions for 01/14/15						
	200.000	5,344.44	09/29/09	5,043.98	...	300.46	Sale
	1,800.000	48,099 98	09/29/09	45,396.00	...	2,703.98	Sale
	1,750.000	46,763.87	12/17/09	44,885.93	...	1,877.94	Sale
01/14/15	3,750.000	100,208.29	Various	95,325.91	...	4,882.38	Total of 3 transactions

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Proceeds Not Reported to the IRS
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Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
ISHARES MSCI EAFE ETF / CUSIP: 464287465 / Symbol: EFA							
	5 transactions for 02/27/15						
	1,203.000	78,450.52	01/22/07	88,612.98	...	-10,162.46	Sale
	113.000	7,369.00	04/18/07	8,984.63	...	-1,615.63	Sale
	200.000	13,042.48	07/05/07	16,394.00	...	-3,351.52	Sale
	286.000	18,650 75	07/05/07	23,446.28	...	-4,795.53	Sale
	120.000	7,825.49	11/29/07	9,920.40	...	-2,094.91	Sale
02/27/15	1,922.000	125,338.24	Various	147,358.29	...	-22,020.05	Total of 5 transactions
ISHARES S&P GLOBAL 100 INDEX FUND / CUSIP. 464287572 / Symbol: IOO							
	5 transactions for 01/14/15						
	937.000	69,413.52	02/14/08	67,782.58	...	1,630.94	Sale
	398.000	29,484.08	09/29/09	23,235.24	...	6,248.84	Sale
	400.000	29,632.24	09/29/09	23,364.00	..	6,268.24	Sale
	491.000	36,373.57	09/29/09	28,669.49	...	7,704.08	Sale
	1,111 000	82,303.55	09/29/09	64,904.62	...	17,398.93	Sale
01/14/15	3,337.000	247,206.96	Various	207,955.93	...	39,251.03	Total of 5 transactions
ISHARES U.S TELECOMMUNICATIONS ETF / CUSIP. 464287713 / Symbol: IYZ							
	2 transactions for 02/27/15						
	236.000	7,267.44	10/06/11	4,799.65	...	2,467.79	Sale
	780.000	24,019.50	02/06/14	21,962.15	...	2,057.35	Sale
02/27/15	1,016.000	31,286.94	Various	26,761.80	...	4,525.14	Total of 2 transactions
06/18/15	273.000	8,281.31	06/03/14	8,105.34	...	175.97	Sale
	Security total:	39,568.25		34,867.14	...	4,701.11	
ISHARES INTERNATIONAL SELECT DIVIDEND ETF / CUSIP: 464288448 / Symbol. IDV							
	2 transactions for 01/14/15						
	950.000	31,458.96	04/22/10	30,238.50	...	1,220.46	Sale

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UBS FINANCIAL SERVICES INC. Account A8 11274

Proceeds Not Reported to the IRS
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Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
ISHARES INTERNATIONAL SELECT DIVIDEND ETF / CUSIP: 464288448 / Symbol: IDV (cont'd)							
	3,000.000	99,344.08	01/21/11	102,930.00	...	-3,585.92	Sale
01/14/15	3,950.000	130,803.04	Various	133,168.50	...	-2,365.46	Total of 2 transactions
JOHNSON & JOHNSON COM COMMON STOCK / CUSIP: 478160104 / Symbol: JNJ							
	12 transactions for 08/19/15						
	28.000	2,780.63	06/16/10	1,660.65	...	1,119.98	Sale
	124.000	12,314.21	09/15/10	7,528.78	...	4,785.43	Sale
	1.000	99.31	12/15/10	60.91	...	38.40	Sale
	121.000	12,016.29	12/15/10	7,623.00	...	4,393.29	Sale
	95.000	9,434.28	03/16/11	5,552.46	...	3,881.82	Sale
	88.000	8,739.12	06/15/11	5,891.86	...	2,847.26	Sale
	93.000	9,235.66	09/14/11	5,961.67	...	3,273.99	Sale
	1.000	99.30	12/14/11	64.50	...	34.80	Sale
	94.000	9,334.97	12/14/11	5,961.94	...	3,373.03	Sale
	581.000	57,698.05	02/10/12	37,461.89	...	20,236.16	Sale
	135.000	13,406.60	10/05/12	9,381.15	...	4,025.45	Sale
	112 000	11,122.52	01/31/14	9,942.23	...	1,180.29	Sale
08/19/15	1,473.000	146,280.94	Various	97,091.04	...	49,189.90	Total of 12 transactions
	4 transactions for 12/03/15						
	175.000	17,601.19	01/21/10	11,196.50	...	6,404.69	Sale
	126.000	12,672.86	05/04/10	8,206.04	...	4,466.82	Sale
	500.000	50,289.13	04/19/11	31,207.65	...	19,081.48	Sale
	89.000	8,951.46	10/15/14	8,680.50	...	270.96	Sale
12/03/15	890.000	89,514.64	Various	59,290.69	...	30,223.95	Total of 4 transactions
	Security total:	235,795.58		156,381.73	...	79,413.85	

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UBS FINANCIAL SERVICES INC. Account A8 11274

Proceeds Not Reported to the IRS
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LONG TERM TRANSACTIONS
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MEDTRONIC INC **MERGER EFF 01/2015** / CUSIP: 585055106 / Symbol:							
	3 transactions for 01/27/15						
	944.000	72,640.80	02/10/12	37,473.77	...	35,167.03	Merger
	185.000	14,235 75	10/05/12	8,167.75	...	6,068.00	Merger
	1,029.000	79,181.55	02/08/13	48,400.04	...	30,781.51	Merger
01/27/15	2,158.000	166,058.10	Various	94,041.56	...	72,016.54	Total of 3 transactions
MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT							
	2 transactions for 12/03/15						
	2,250.000	121,504.51	05/02/14	89,942.63	...	31,561.88	Sale
	342.000	18,468.69	05/14/14	13,765.09	...	4,703.60	Sale
12/03/15	2,592.000	139,973.20	Various	103,707.72	...	36,265.48	Total of 2 transactions
NORDSTROM INC COMMON STOCK / CUSIP: 655664100 / Symbol· JWN							
08/19/15	250.000	19,703.02	05/14/14	15,518.17	...	4,184.85	Sale
OPPENHEIMER DEVELOPING MARKETS CLASS Y / CUSIP· 683974505 / Symbol:							
06/18/15	5,214.530	184,490.07	06/03/14	202,000.00	...	-17,509.93	Sale
PEARSON PLC SPON ADR / CUSIP: 705015105 / Symbol. PSO							
	3 transactions for 06/18/15						
	2,000.000	39,721.24	02/10/12	37,638 14	...	2,083.10	Sale
	450.000	8,937.28	10/05/12	9,126.00	...	-188.72	Sale
	2,878.000	57,158.87	02/08/13	54,778.13	...	2,380.74	Sale
06/18/15	5,328.000	105,817.39	Various	101,542.27	...	4,275.12	Total of 3 transactions
SPDR S&P DIVIDEND ETF / CUSIP: 78464A763 / Symbol: SDY							
	2 transactions for 02/27/15						
	2,347.000	186,494.82	01/21/11	122,184.82	...	64,310.00	Sale

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SPDR S&P DIVIDEND ETF / CUSIP: 78464A763 / Symbol: SDY (cont'd)							
	1,800.000	143,029.69	03/27/13	117,736.02	...	25,293 67	Sale
02/27/15	4,147.000	329,524.51	Various	239,920.84	...	89,603.67	Total of 2 transactions
SECTOR SPDR TRUST SHS BEN INT-MATERIALS / CUSIP: 81369Y100 / Symbol: XLB							
	4 transactions for 02/27/15						
	44.000	2,273.91	05/26/11	1,712.37	...	561.54	Sale
	255.000	13,178.33	10/06/11	8,018.86	...	5,159.47	Sale
	118.000	6,098.21	10/04/12	4,369.13	...	1,729.08	Sale
	452.000	23,359.25	02/08/13	17,766.73	...	5,592.52	Sale
02/27/15	869.000	44,909.70	Various	31,867.09	...	13,042.61	Total of 4 transactions
06/18/15	162.000	8,211.79	02/08/13	6,367.72	...	1,844.07	Sale
	Security total:	53,121.49		38,234.81	...	14,886.68	
CONSUMERS STAPLES SECTOR SPDR TRUST SHS / CUSIP: 81369Y308 / Symbol: XLP							
06/18/15	5,661.000	277,554.29	05/26/11	180,529.29	...	97,025.00	Sale
CONSUMER SECTOR SPDR TRUST SHS DISCRETIONARY / CUSIP: 81369Y407 / Symbol: XLY							
	4 transactions for 06/18/15						
	3,790.000	294,900.53	05/26/11	151,670.49	...	143,230.04	Sale
	376.000	29,256 63	10/04/12	17,817.32	...	11,439 31	Sale
	791.000	61,547.84	02/08/13	40,042.79	...	21,505.05	Sale
	670.000	52,132.81	09/26/13	40,619.49	..	11,513 32	Sale
06/18/15	5,627.000	437,837.81	Various	250,150.09	...	187,687.72	Total of 4 transactions
FINANCIAL SECTOR SPDR TRUST ETF / CUSIP: 81369Y605 / Symbol: XLF							
02/27/15	1,700.000	41,504.23	02/14/08	45,492.01	...	-3,987.78	Sale
06/18/15	2,562.000	64,433.81	02/14/08	68,559.12	...	-4,125.31	Sale
	Security total:	105,938.04		114,051.13	...	-8,113.09	

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LONG TERM TRANSACTIONS
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INDUSTRIAL SECTOR SPDR TRUST SHARES / CUSIP: 81369Y704 / Symbol: XLI							
02/27/15	780.000	45,007.67	09/29/09	20,832.86	...	24,174.81	Sale
06/18/15	756.000	42,439.89	09/29/09	20,191.85	...	22,248.04	Sale
08/19/15	434.000	23,459.35	09/29/09	11,591.62	...	11,867.73	Sale
	Security total:	110,906.91		52,616.33	...	58,290.58	
TECHNOLOGY SECTOR SPDR TRUST SHS / CUSIP: 81369Y803 / Symbol: XLK							
	4 transactions for 06/18/15						
	535.000	22,959.05	07/05/07	13,968.85		8,990 20	Sale
	124.000	5,321.35	10/30/07	3,489.36	...	1,831.99	Sale
	200.000	8,582.82	10/30/07	5,630.00	...	2,952.82	Sale
	1,034.000	44,373.20	11/29/07	27,369.98	...	17,003.22	Sale
06/18/15	1,893.000	81,236.42	Various	50,458.19	...	30,778.23	Total of 4 transactions
	3 transactions for 08/19/15						
	558.000	23,510.62	11/29/07	14,764.68	...	8,745.94	Sale
	1,508.000	63,537.66	11/29/07	39,916.76	...	23,620.90	Sale
	104.000	4,381.91	02/14/08	2,388.88	...	1,993 03	Sale
08/19/15	2,170.000	91,430.19	Various	57,070.32	...	34,359.87	Total of 3 transactions
	Security total:	172,666.61		107,528.51	...	65,138.10	
UTILITIES SECTOR SPDR TRUST SHS / CUSIP: 81369Y886 / Symbol. XLU							
06/18/15	410.000	17,814.21	10/04/12	15,115.51	...	2,698.70	Sale
STANLEY BLACK & DECKER INC COM / CUSIP. 854502101 / Symbol· SWK							
	2 transactions for 08/19/15						
	1,355.000	143,354.60	08/14/13	120,109.37	...	23,245.23	Sale
	64.000	6,770.99	01/31/14	4,976.64	..	1,794.35	Sale
08/19/15	1,419.000	150,125.59	Various	125,086.01	...	25,039.58	Total of 2 transactions

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TRAVELERS COS INC/THE / CUSIP: 89417E109 / Symbol: TRV							
08/19/15	1,445.000	155,050.46	05/14/14	133,904.22	...	21,146.24	Sale
UNITED PARCEL SERVICE INC CL B / CUSIP: 911312106 / Symbol: UPS							
	4 transactions for 02/27/15						
	490.000	49,971.58	02/10/12	37,507.78	...	12,463.80	Sale
	190.000	19,376.74	10/05/12	13,928 90	..	5,447.84	Sale
	554 000	56,498.48	02/08/13	45,518.63	...	10,979.85	Sale
	104 000	10,606.22	01/31/14	9,968.96		637 26	Sale
02/27/15	1,338.000	136,453.02	Various	106,924.27		29,528.75	Total of 4 transactions
VF CORP COMMON STOCK / CUSIP: 918204108 / Symbol: VFC							
08/19/15	526.000	39,731.26	12/11/12	19,823.78	...	19,907.48	Sale
	2 transactions for 10/23/15						
	806.000	51,091.24	12/11/12	30,376.37	...	20,714.87	Sale
	1,300.000	82,405.22	02/08/13	50,015.81	...	32,389.41	Sale
10/23/15	2,106.000	133,496.46	Various	80,392.18	...	53,104.28	Total of 2 transactions
	Security total:	173,227.72		100,215.96	...	73,011.76	
VANGUARD ENERGY ETF / CUSIP: 92204A306 / Symbol: VDE							
06/18/15	339.000	37,158.30	09/29/09	27,262.38	...	9,895.92	Sale
VANGUARD SECTOR INDEX FD VANGUARD HEALTH CARE ETF / CUSIP. 92204A504 / Symbol: VHT							
06/18/15	494.000	70,127.00	09/26/13	46,104.97	...	24,022.03	Sale
08/19/15	300.000	42,441.99	09/26/13	27,998.97	...	14,443.02	Sale
	Security total:	112,568.99		74,103.94	...	38,465.05	

** D = adjustment amount is market discount. W = adjustment amount is a non-deductible wash sale loss. C = proceeds amount is from collectible assets.

UBS FINANCIAL SERVICES INC.

Account A8 11274

Proceeds Not Reported to the IRS

(continued)

2015

11/07/2016

LONG TERM TRANSACTIONS

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds Shown (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any**	Gain or loss(-) & Loss not allowed(X)	Additional information
VANGUARD REIT ETF / CUSIP. 922908553 / Symbol. VNQ							
12/03/15	250.000	19,395.62	02/08/13	16,520.25	0.00	2,875.37	Sale
	Totals:	4,999,648.38		3,810,830.14	0.00	1,188,818.24	

** D = adjustment amount is market discount. W = adjustment amount is a non-deductible wash sale loss. C = proceeds amount is from collectible assets.

Federal Statements

Statement 3 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INDIRECT ACCOUNTING FEES	$ 7,175	$ 6,458	$	$
TOTAL	$ 7,175	$ 6,458	$ 0	$ 0

Statement 4 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
MANAGEMENT FEES	$ 6,000	$ 300	$	$
TOTAL	$ 6,000	$ 300	$ 0	$ 0

Statement 5 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
PAYROLL TAXES	$ 5,145	$ 694	$	$
FEDERAL INCOME TAXES	5,538			
FOREIGN TAX	2,059	2,059		
TOTAL	$ 12,742	$ 2,753	$ 0	$ 0

Statement 6 - Form 990-PF, Part I, Line 19 - Depreciation

Description							
Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
LAPTOP							
3/29/07	$ 1,534	$ 1,534	200DB	5	$	$	$
LAPTOP							
2/06/08	726	726	200DB	5			

Federal Statements

Statement 6 - Form 990-PF, Part I, Line 19 - Depreciation (continued)

Description / Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
LAPTOP COMPUTER							
3/06/10	$ 862	$ 837	200DB	5	$ 25	$	$
LAPTOP COMPUTER							
5/06/10	1,940	1,884	200DB	5	56		
IMAC COMPUTER							
3/11/14	2,320	464	200DB	5	742		
PRINTER							
9/06/14	128	26	200DB	5	41		
LAND-231 SARDIS LLC							
6/06/14	609,619			0			
GRANT LIFECYCLE SOFTWARE							
3/03/15	9,000		S/L	3	2,500		
BUILDING-75 ZILLICOA ST							
6/25/15	1,147,374		S/L	39	15,936		
LAND-75 ZILLICOA							
6/25/15	127,000			0			
IMPROVEMENTS-PAINTING							
12/02/15	37,600			39			
COMPRESSOR & FILTER							
8/04/15	2,215		200DB	5	332		
BLDG IMPROVEMENTS							
10/30/15	32,557		S/L	39	174		
DRIVEWAY							
12/09/15	15,553		150DB	15	194		
TOTAL	$ 1,988,428	$ 5,471			$ 20,000	$ 0	$ 0

Statement 7 - Form 990-PF, Part I, Line 23 - Amortization

Description	Date Acquired	Cost Basis	Prior Year Amortization	Life	Current Year Amortization	Net Investment Income	Adjusted Net Income	COGS
CAPITALIZED LEASE FEES	11/18/14	$ 8,154	$ 272	5	$ 1,631	$	$	
ORGANIZATIONAL COSTS	6/11/14	11,056	430	15	737			
TOTAL		$ 19,210	$ 702		$ 2,368	$ 0	$ 0	

Statement 8 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
EXPENSES				
AMORTIZATION	5,875			
RENT	16,219			
TELEPHONE	992	134		
INVESTMENT FEES	99,242	99,242		
WEBSITE MAINTENANCE	410			
PRINTING & REPRODUCTION	57			
MISCELLANEOUS	435			
POSTAGE AND DELIVERY	134			
231 SARDIS EXPENSES	150			
75 ZILLICOA EXPENSES	14,187			
TOTAL	$ 137,701	$ 99,376	$ 0	$ 0

Federal Statements

Statement 9 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
INVESTMENTS	$ 13,905,278	$ 13,452,453	COST	$ 13,861,296
TOTAL	$ 13,905,278	$ 13,452,453		$ 13,861,296

Statement 10 - Form 990-PF, Part II, Line 14 - Land, Building, and Equipment

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
COMPUTER EQUIPMENT	$ 20,547	$ 16,510	$ 8,835	$
75 ZILLICOA BLDG & EQUIP		1,235,300	16,636	
231 SARDIS INTANGIBLES		19,210	3,070	
	609,619	736,619		
TOTAL	$ 630,166	$ 2,007,639	$ 28,541	$ 0

Federal Statements

Statement 11 - Form 990-PF, Part II, Line 15 - Other Assets

Description	Beginning of Year	End of Year	Fair Market Value
NOTE RECEIVABLE FRANKLIN SCHOOL	$	$ 426,501	$
TOTAL	$ 0	$ 426,501	$ 0

Statement 12 - Form 990-PF, Part II, Line 22 - Other Liabilities

Description	Beginning of Year	End of Year
PAYROLL TAXES WITHHELD	$ 1,491	$ 1,573
DUE TO FRANKLIN SCHOOL	16,836	4,336
TOTAL	$ 18,327	$ 5,909

Statement 13 - Form 990-PF, Part VII-A, Line 8b - Not Filing with Attorney General Explanation

Description
NOT REQUIRED

Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or $5,000

Name of Manager	Amount
REFER TO SCHEDULE OF CONTRIBUTORS	$
TOTAL	$ 0

Federal Statements

Statement 14 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name / Address	Address / Relationship	Status	Purpose	Amount
ALL SAINTS PARISH			CHARITABLE	2,000
AMERICAN CANCER SOCIETY			CHARITABLE	1,000
ARTS FOR LIFE			CHARITABLE	4,400
ASHEVILLE CITY SCHOOLS			CHARITABLE	5,000
ASHEVILLE HUMANE SOCIETY			CHARITABLE	2,000
ASSOCIATION OF MARSHALL SCHOLARS			CHARITABLE	2,000
BEYOND SOCCER			CHARITABLE	1,000
BIG BROS/SISTERS OF WNC			CHARITABLE	8,992
BROTHER WOLF			CHARITABLE	100,000
CANDLELIGHTERS FOR CHILDREN WITH CA			CHARITABLE	5,000
CAREER RESOURCES			CHARITABLE	1,000
CHILD ABUSE PREVENTION SERVICES			CHARITABLE	5,000
CHILDREN AND FAMILY RESOURCES			CHARITABLE	10,000
CHILDREN FIRST OF BUNCOMB			CHARITABLE	15,000
CLEVELAND MUSEUM OF ART			CHARITABLE	4,500
COMMUNITY FOUNDATION OF WNC			CHARITABLE	12,000
DANA FARBER CANCER INST			CHARITABLE	3,000

Statement 14 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name / Address	Address Relationship	Status	Purpose	Amount
EASTER SEALS CENTRAL OHIO			CHARITABLE	1,500
EVERGREEN COMMUNITY CHARTER SCHOOL			CHARITABLE	10,000
FRANKLIN SCHOOL OF INNOVATION			CHARITABLE	60,000
FRIENDS OF THE SMOKIES			CHARITABLE	5,000
GIRLS ON THE RUN OF WNC			CHARITABLE	15,000
GOVERNORS WESTERN RESIDENCE ASSOCIA			CHARITABLE	7,500
HANDS ON! CHILDRENS GALLERY			CHARITABLE	16,000
HAYWOOD STRET CONGREGATION			CHARITABLE	3,000
HOLDERNESS SCHOOL			CHARITABLE	5,000
JOSHUA'S PROMISE INC			CHARITABLE	3,000
JUDICIAL WATCH			CHARITABLE	1,000
MADISON COUNTY ARTS COUNCIL			CHARITABLE	5,000
MAKE A WISH FOUNDATION			CHARITABLE	1,500
MANNA FOOD BANK			CHARITABLE	125,000
MEDINA COMMUNITY BAND			CHARITABLE	2,500
MERRIMACK VALLLEY HOSPICE			CHARITABLE	2,000
MILITARY ORDER OF PURPLE HEART			CHARITABLE	1,000

Federal Statements

Statement 14 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name / Address	Address / Relationship	Status	Purpose	Amount
MONTFORD HALL			CHARITABLE	33,500
MR HEALTHY ALLIANCE			CHARITABLE	5,000
NATIONAL MS SOCIETY			CHARITABLE	2,000
NC OUTWARD BOUND SCHOOL			CHARITABLE	34,000
OAKLEY ELEMENTARY SCHOOL PTA			CHARITABLE	5,000
ONE WAY FARMS CHILDRENS HOME			CHARITABLE	3,000
OPERATION OUTREACH			CHARITABLE	12,000
PHI GAMMA DELTA EDUCATIONAL FDTN			CHARITABLE	5,000
PLANTATION WILDLIFE ARTS FESTIVAL			CHARITABLE	2,500
PRATT & WHITNEY/UNITED WAY			CHARITABLE	21,050
PTA FAIRVIEW ELEMENTARY			CHARITABLE	25,000
RAINEY INSTITUTE			CHARITABLE	2,500
REACH OUT AND READ CAROLINAS			CHARITABLE	6,500
READ TO SUCCEED ASHEVILLE			CHARITABLE	5,000
RISA S ROSENGARD FOUNDATION			CHARITABLE	1,000
RONALD MCDONALD HOUSE			CHARITABLE	5,000
SOUTHMOUNTAIN CHILDREN & FAMILY SVC			CHARITABLE	3,580

Federal Statements

Statement 14 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name / Address	Address / Relationship	Status	Purpose	Amount
SPIRIT CHARITY CLASSICS			CHARITABLE	6,000
TC ROBERSON			CHARITABLE	4,000
TEPPER SCHOOL OF BUSINESS			CHARITABLE	5,000
THOMAS COUNTY PUBLIC LIBRARY			CHARITABLE	1,000
THOMASVILLE ANTIQUES SHOW FOUNDATIO			CHARITABLE	2,500
TPC RIVER'S BEND			CHARITABLE	10,920
UNITED WAY OF THE PLAINS			CHARITABLE	12,000
UNIVERSITY OF CINCINNATI FDTN			CHARITABLE	5,000
UTC UNITED WAY GOLF TOURNAMENT			CHARITABLE	5,000
VALLE CRUCIS COMMUNITY PARK			CHARITABLE	10,000
WELLINGTON COMMUNITY FOOD BANK			CHARITABLE	4,000
WEST SWAIN FIRE DEPT			CHARITABLE	500
WESTERN YOUTH NETWORK			CHARITABLE	23,070
WICHITA EDUCATIONAL FOUNDATION			CHARITABLE	10,000
WOUNDED WARRIOR PROJECT			CHARITABLE	7,500
WSU FOUNDATION			CHARITABLE	20,000
YALE UNIVERSITY			CHARITABLE	1,000

Federal Statements

Statement 14 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name / Address	Address / Relationship	Status	Purpose	Amount
YMCA OF WNC			CHARITABLE	252,000
HOLDERNESS SCHOOL			CHARITABLE	5,000
NO KID HUNGRY			CHARITABLE	1,000
TOTAL				1,003,012

Year Ended: December 31, 2015 56-2196225

GLASS FOUNDATION, INC.
TWO TOWN SQUARE BLVD SUITE 310
ASHEVILLE, NC 28803

Electing out of Bonus Depreciation Allowance for All Eligible Depreciable Property

The taxpayer elects out of first-year bonus depreciation allowance under IRC Section 168(k) for all eligible asset classes of depreciable property acquired after December 31, 2007. This election applies to all eligible depreciable property placed in service during the tax year.