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EXTENDED TO NOVEMBER 15, 2016

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2015

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

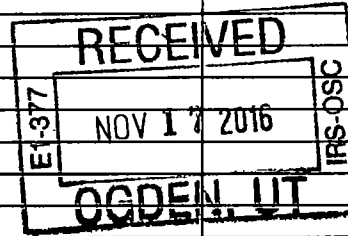
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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2015 or tax year beginning

, and ending

Name of foundation JAMES S. MCDONNELL FOUNDATION		A Employer identification number 54-2074788
Number and street (or P O box number if mail is not delivered to street address) 1034 S BRENTWOOD BLVD	Room/suite 1860	B Telephone number (314) 862-1040
City or town, state or province, country, and ZIP or foreign postal code ST. LOUIS, MO 63117-1229		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 418,558,840.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		2,000.		N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		1,658,161.	1,658,161.		STATEMENT 1
4 Dividends and interest from securities		7,674,393.	7,674,393.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		20,119,561.			
b Gross sales price for all assets on line 6a 45,389,318.					
7 Capital gain net income (from Part IV, line 2)			20,119,561.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		<611,798.>	<598,564.>		STATEMENT 3
12 Total. Add lines 1 through 11		28,842,317.	28,853,551.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages		495,067.	0.		495,067.
15 Pension plans, employee benefits		63,605.	0.		63,605.
16a Legal fees STMT 4		29,540.	25,830.		3,710.
b Accounting fees					
c Other professional fees STMT 5		572,355.	548,140.		24,215.
17 Interest					
18 Taxes STMT 6		196,381.	169,427.		26,672.
19 Depreciation and depletion					
20 Occupancy		47,164.	0.		47,164.
21 Travel, conferences, and meetings		16,395.	0.		16,395.
22 Printing and publications					
23 Other expenses STMT 7		3,401,388.	2,742,806.		658,523.
24 Total operating and administrative expenses. Add lines 13 through 23		4,821,895.	3,486,203.		1,335,351.
25 Contributions, gifts, grants paid		26,581,744.			26,581,744.
26 Total expenses and disbursements. Add lines 24 and 25		31,403,639.	3,486,203.		27,917,095.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<2,561,322.>			
b Net investment income (if negative, enter -0-)			25,367,348.		
c Adjusted net income (if negative, enter -0-)				N/A	



LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2015)

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	7,264,943.	9,913,461.	9,913,461.
	3 Accounts receivable ▶ 118,857.			
	Less: allowance for doubtful accounts ▶	205,557.	118,857.	118,857.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 9	130,198.	130,198.	0.
	c Investments - corporate bonds STMT 10	34,828,888.	35,000,000.	0.
	11 Investments - land, buildings, and equipment basis ▶			
Liabilities	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 11	197,946,492.	202,109,275.	165,620,222.
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶ STATEMENT 12)	200,771,608.	201,208,087.	242,906,300.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	441,147,686.	448,479,878.	418,558,840.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	441,147,686.	448,479,878.	
	30 Total net assets or fund balances	441,147,686.	448,479,878.	
	31 Total liabilities and net assets/fund balances	441,147,686.	448,479,878.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	441,147,686.
2 Enter amount from Part I, line 27a	2	<2,561,322.>
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	9,893,514.
4 Add lines 1, 2, and 3	4	448,479,878.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	448,479,878.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 45,389,318.		25,269,757.	20,119,561.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			20,119,561.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	20,119,561.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	29,008,669.	512,280,513.	.056627
2013	29,045,926.	493,108,135.	.058904
2012	29,127,076.	473,337,449.	.061536
2011	29,007,853.	479,171,477.	.060538
2010	26,878,863.	464,440,606.	.057874

2 Total of line 1, column (d)	2	.295479
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.059096
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	494,979,062.
5 Multiply line 4 by line 3	5	29,251,283.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	253,673.
7 Add lines 5 and 6	7	29,504,956.
8 Enter qualifying distributions from Part XII, line 4	8	27,917,095.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	507,347.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	507,347.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	507,347.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	664,472.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	100,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	764,472.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	257,125.	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax ☐ 257,125. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2015)

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.JSMF.ORG</u>	X	
14 The books are in care of ► <u>J&J MANAGEMENT SERVICES, INC.</u> Telephone no. ► <u>314-862-1040</u> Located at ► <u>1034 S. BRENTWOOD, #1860, ST. LOUIS, MO</u> ZIP+4 ► <u>63117-1229</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ► _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

Form 990-PF (2015)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2015)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
J&J MANAGEMENT SERVICES, INC - 1034 S. BRENTWOOD BLVD, SUITE 1860, ST LOUIS, MO MERCER	FINANCIAL ADMINISTRATIVE SERVI	242,152.
	FINANCIAL SERVICES	117,184.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2015)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	492,068,806.
b	Average of monthly cash balances	1b	10,400,199.
c	Fair market value of all other assets	1c	47,809.
d	Total (add lines 1a, b, and c)	1d	502,516,814.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	502,516,814.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	7,537,752.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	494,979,062.
6	Minimum investment return. Enter 5% of line 5	6	24,748,953.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	24,748,953.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	507,347.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	8,609.
c	Add lines 2a and 2b	2c	515,956.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	24,232,997.
4	Recoveries of amounts treated as qualifying distributions	4	457,924.
5	Add lines 3 and 4	5	24,690,921.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	24,690,921.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	27,917,095.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	27,917,095.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	27,917,095.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2015)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				24,690,921.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014	1,355,670.			
f Total of lines 3a through e	1,355,670.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$ 27,917,095.				
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				24,690,921.
e Remaining amount distributed out of corpus	3,226,174.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (c), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,581,844.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	4,581,844.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014	1,355,670.			
e Excess from 2015	3,226,174.			

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
FROM SCHEDULE'S K-1				377.
SEE ATTACHED STATEMENT				26,581,367.
Total			3a	26,581,744.
b Approved for future payment				
SEE ATTACHED STATEMENT				54,658,878.
Total			3b	54,658,878.

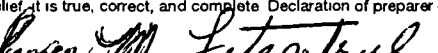
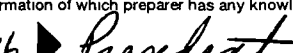
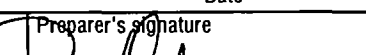
Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No		
	 Signature of officer or trustee		11/14/2016 Date		 President Title		
Paid Preparer Use Only	Print/Type preparer's name		Preparer's signature		Date	Check ☐ if self-employed	PTIN
	JOSEPH P. DRISH				11/14/16		P00964962
	Firm's name ▶ J. & J. MANAGEMENT SERVICES, INC.					Firm's EIN ▶ 43-1725668	
	Firm's address ▶ 1034 S. BRENTWOOD SUITE 1860 ST. LOUIS, MO 63117-1229					Phone no. (314) 862-1040	

JAMES S. MCDONNELL FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BANK OF AMERICA LT SALES	P	VARIOUS	VARIOUS
b	BANK OF AMERICA ST SALES	P	VARIOUS	VARIOUS
c	DRAWBRIDGE GLOBAL MACRO FUND LTD DIST IN EXCESS O	P	03/01/07	06/10/15
d	FROM K-1- AMERICAN SECURITIES PARTNERS VI, LP	P	VARIOUS	VARIOUS
e	FROM K-1- AMERICAN SECURITIES PARTNERS VI, LP	P	VARIOUS	VARIOUS
f	FROM K-1- BC EUROPEAN CAPITAL IX-4 LP	P	VARIOUS	VARIOUS
g	FROM K-1- BERKSHIRE MULTIFAMILY VALUE FUND II, LP	P	VARIOUS	VARIOUS
h	FROM K-1- BPEA III, LP	P	VARIOUS	VARIOUS
i	FROM K-1- CERBERUS INSTITUTIONAL PARTNERS, LP (SE	P	VARIOUS	VARIOUS
j	FROM K-1- CERBERUS INSTITUTIONAL PARTNERS, LP (SE	P	VARIOUS	VARIOUS
k	FROM K-1- CERBERUS INSTITUTIONAL PARTNERS, LP (SE	P	VARIOUS	VARIOUS
l	FROM K-1- CERBERUS INSTITUTIONAL PARTNERS, LP (SE	P	VARIOUS	VARIOUS
m	FROM K-1- CERBERUS INSTITUTIONAL PARTNERS, LP (SE	P	VARIOUS	VARIOUS
n	FROM K-1- CIP4 AIV, LP	P	VARIOUS	VARIOUS
o	FROM K-1- CORNERSTONE REAL ESTATE FUND VIII, LP	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 13,918,633.		13,440,807.	477,826.
b 6,042,138.		6,070,886.	<28,748.>
c 956,211.			956,211.
d		957.	<957.>
e 13,643.			13,643.
f 169,133.			169,133.
g 1,648,858.			1,648,858.
h 154.			154.
i		21,341.	<21,341.>
j		65,736.	<65,736.>
k 1,921.			1,921.
l		3,245.	<3,245.>
m 27,110.			27,110.
n 147,054.			147,054.
o 293,584.			293,584.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			477,826.
b			<28,748.>
c			956,211.
d			<957.>
e			13,643.
f			169,133.
g			1,648,858.
h			154.
i			<21,341.>
j			<65,736.>
k			1,921.
l			<3,245.>
m			27,110.
n			147,054.
o			293,584.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

JAMES S. MCDONNELL FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1- DAVIDSON KEMPNER INSTITUTIONAL PARTNERS	P	VARIOUS	VARIOUS
b	FROM K-1- DAVIDSON KEMPNER INSTITUTIONAL PARTNERS	P	VARIOUS	VARIOUS
c	FROM K-1- DAVIDSON KEMPNER INSTITUTIONAL PARTNERS	P	VARIOUS	VARIOUS
d	FROM K-1- EUROPEAN SECONDARY DEVELOPMENT FUND V,	P	VARIOUS	VARIOUS
e	FROM K-1- EUROPEAN SECONDARY DEVELOPMENT FUND V,	P	VARIOUS	VARIOUS
f	FROM K-1- GREAT HILL EQUITY PARTNERS IV, LP	P	VARIOUS	VARIOUS
g	FROM K-1- GREAT HILL EQUITY PARTNERS IV, LP	P	VARIOUS	VARIOUS
h	FROM K-1- INSTITUTIONAL VENTURE PARTNERS XIII, L.	P	VARIOUS	VARIOUS
i	FROM K-1- INSTITUTIONAL VENTURE PARTNERS XIII, L.	P	VARIOUS	VARIOUS
j	FROM K-1- JP MORGAN US REAL ESTATE INCOME & GROWTH	P	VARIOUS	VARIOUS
k	FROM K-1- KINETIC VENTURES IX, L.P.	P	VARIOUS	VARIOUS
l	FROM K-1- LIME ROCK PARTNERS V, L.P.	P	VARIOUS	VARIOUS
m	FROM K-1- LIME ROCK RESOURCES II-C, L.P.	P	VARIOUS	VARIOUS
n	FROM K-1- LOVELL MINNICK EQUITY PARTNERS III-A, L	P	VARIOUS	VARIOUS
o	FROM K-1- NEWBURY EQUITY PARTNERS, L.P.	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	149,334.		149,334.
b	77,466.		77,466.
c	478.		478.
d	425.		425.
e	36,046.		36,046.
f	9,842.		9,842.
g	780,644.		780,644.
h	95,959.		95,959.
i	9,662.		9,662.
j	8,301.		8,301.
k	270.		270.
l	16,728.		16,728.
m	20,825.		20,825.
n	34,341.		34,341.
o	2,362.		2,362.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			149,334.
b			77,466.
c			478.
d			425.
e			36,046.
f			9,842.
g			780,644.
h			95,959.
i			9,662.
j			8,301.
k			270.
l			16,728.
m			20,825.
n			34,341.
o			2,362.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3	

JAMES S. MCDONNELL FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1- NEWBURY EQUITY PARTNERS, L.P.	P	VARIOUS	VARIOUS
b	FROM K-1- NEWBURY EQUITY PARTNERS, L.P.- SECTION	P	VARIOUS	VARIOUS
c	FROM K-1- OAKTREE OPPORTUNITIES FUND IX AIF (CAYM	P	VARIOUS	VARIOUS
d	FROM K-1- OAKTREE OPPORTUNITIES FUND IX AIF (CAYM	P	VARIOUS	VARIOUS
e	FROM K-1- OAKTREE OPPORTUNITIES FUND IX, L.P.	P	VARIOUS	VARIOUS
f	FROM K-1- OAKTREE OPPORTUNITIES FUND IX, L.P.	P	VARIOUS	VARIOUS
g	FROM K-1- OAKTREE OPPORTUNITIES FUND VIII, AIF (C	P	VARIOUS	VARIOUS
h	FROM K-1- OAKTREE OPPORTUNITIES FUND VIII, AIF (D	P	VARIOUS	VARIOUS
i	FROM K-1- OAKTREE OPPORTUNITIES FUND VIII, AIF (D	P	VARIOUS	VARIOUS
j	FROM K-1- OAKTREE OPPORTUNITIES FUND VIII, L.P.-	P	VARIOUS	VARIOUS
k	FROM K-1- OAKTREE OPPORTUNITIES FUND VIII, LP	P	VARIOUS	VARIOUS
l	FROM K-1- OAKTREE OPPORTUNITIES FUND VIII, LP	P	VARIOUS	VARIOUS
m	FROM K-1- OCM OPPORTUNITIES FUND V, L.P.	P	VARIOUS	VARIOUS
n	FROM K-1- OCM OPPORTUNITIES FUND V, L.P.	P	VARIOUS	VARIOUS
o	FROM K-1- OCM OPPORTUNITIES FUND VI AIF (DELAWARE	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 878,552.			878,552.
b 19.			19.
c 6.			6.
d 57,250.			57,250.
e		2,223.	<2,223.>
f 6,727.			6,727.
g		1,341.	<1,341.>
h 170.			170.
i 7,023.			7,023.
j 17,215.			17,215.
k 122.			122.
l		42,165.	<42,165.>
m 9,907.			9,907.
n 1.			1.
o 47.			47.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			878,552.
b			19.
c			6.
d			57,250.
e			<2,223.>
f			6,727.
g			<1,341.>
h			170.
i			7,023.
j			17,215.
k			122.
l			<42,165.>
m			9,907.
n			1.
o			47.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

JAMES S. MCDONNELL FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1- OCM OPPORTUNITIES FUND VI, L.P.	P	VARIOUS	VARIOUS
b	FROM K-1- OCM OPPORTUNITIES FUND VI, L.P.	P	VARIOUS	VARIOUS
c	FROM K-1- OCM OPPORTUNITIES FUND VI, L.P.- SECTIO	P	VARIOUS	VARIOUS
d	FROM K-1- OCM OPPORTUNITIES FUND VII AIF, (DELAWA	P	VARIOUS	VARIOUS
e	FROM K-1- OCM OPPORTUNITIES FUND VII, L.P.	P	VARIOUS	VARIOUS
f	FROM K-1- OCM OPPORTUNITIES FUND VII, L.P. - SECT	P	VARIOUS	VARIOUS
g	FROM K-1- OCM OPPORTUNITIES FUND VIIB AIF (CAYMAN	P	VARIOUS	VARIOUS
h	FROM K-1- OCM OPPORTUNITIES FUND VIIB AIF (CAYMAN	P	VARIOUS	VARIOUS
i	FROM K-1- OCM OPPORTUNITIES FUND VIIB AIF (DELAWA	P	VARIOUS	VARIOUS
j	FROM K-1- OCM OPPORTUNITIES FUND VIIB, L.P.- SECT	P	VARIOUS	VARIOUS
k	FROM K-1- OCM OPPORTUNITIES FUND VIIB, LP	P	VARIOUS	VARIOUS
l	FROM K-1- PRISA LP	P	VARIOUS	VARIOUS
m	FROM K-1- REALTY ASSOCIATES FUND X UTP, LP	P	VARIOUS	VARIOUS
n	FROM K-1- RESOURCE CAPITAL FUND V L.P.	P	VARIOUS	VARIOUS
o	FROM K-1- RHO VENTURES V, LP	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,658.			9,658.
b 22,614.			22,614.
c 307.			307.
d 8,335.			8,335.
e 11,758.			11,758.
f 21,273.			21,273.
g		22,660.	<22,660.>
h 2,827.			2,827.
i		122.	<122.>
j 18,239.			18,239.
k		205,071.	<205,071.>
l 80,988.			80,988.
m 27,707.			27,707.
n		9,831.	<9,831.>
o		73,924.	<73,924.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			9,658.
b			22,614.
c			307.
d			8,335.
e			11,758.
f			21,273.
g			<22,660.>
h			2,827.
i			<122.>
j			18,239.
k			<205,071.>
l			80,988.
m			27,707.
n			<9,831.>
o			<73,924.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

JAMES S. MCDONNELL FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1- SAIF PARTNERS IV LP	P	VARIOUS	VARIOUS
b	FROM K-1- SAIF PARTNERS IV LP	P	VARIOUS	VARIOUS
c	FROM K-1- SAVANNA REAL ESTATE FUND II, LP	P	VARIOUS	VARIOUS
d	FROM K-1- SAYBROOK CORPORATE OPPORTUNITY FUND II,	P	VARIOUS	VARIOUS
e	FROM K-1- THE COLCHESTER GLOBAL BOND FUND	P	VARIOUS	VARIOUS
f	FROM K-1- THE COLCHESTER GLOBAL BOND FUND	P	VARIOUS	VARIOUS
g	FROM K-1- THOMAS WEISEL GLOBAL GROWTH PARTNERS IV	P	VARIOUS	VARIOUS
h	FROM K-1- THOMPSON STREET CAPITAL PARTNERS III, L	P	VARIOUS	VARIOUS
i	FROM K-1- VECTIS HEALTHCARE & LIFE SCIENCES FUND	P	VARIOUS	VARIOUS
j	FROM K-1- VECTIS HEALTHCARE & LIFE SCIENCES FUND	P	VARIOUS	VARIOUS
k	FROM K-1- VECTIS LIFE SCIENCES FUND I, L.P.	P	VARIOUS	VARIOUS
l	FROM K-1- VECTIS LIFE SCIENCES FUND I, L.P.	P	VARIOUS	VARIOUS
m	FROM K-1- WARBURG PINCUS PRIVATE EQUITY (E&P) X-B	P	VARIOUS	VARIOUS
n	FROM K-1- WARBURG PINCUS PRIVATE EQUITY X O&G, LP	P	VARIOUS	VARIOUS
o	FROM K-1- WARBURG PINCUS PRIVATE EQUITY X, L.P.	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	30,803.		30,803.
b	5,825.		5,825.
c	794,833.		794,833.
d		119,728.	<119,728.>
e	4,805.		4,805.
f	147,494.		147,494.
g	77,376.		77,376.
h	438,359.		438,359.
i	1,063,972.		1,063,972.
j	27,257.		27,257.
k	88,398.		88,398.
l	266,788.		266,788.
m		54,468.	<54,468.>
n	411.		411.
o	18,467.		18,467.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			30,803.
b			5,825.
c			794,833.
d			<119,728.>
e			4,805.
f			147,494.
g			77,376.
h			438,359.
i			1,063,972.
j			27,257.
k			88,398.
l			266,788.
m			<54,468.>
n			411.
o			18,467.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

JAMES S. MCDONNELL FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

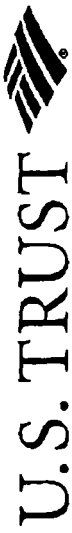
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1- WARBURG PINCUS PRIVATE EQUITY X, L.P.	P	VARIOUS	VARIOUS
b	FROM K-1- WARBURG PINCUS PRIVATE EQUITY XI, LP	P	VARIOUS	VARIOUS
c	FROM K-1- WARBURG PINCUS PRIVATE EQUITY XI, LP	P	VARIOUS	VARIOUS
d	FROM K-1- WLR RECOVERY FUND V, L.P.	P	VARIOUS	VARIOUS
e	FROM K-1- WLR RECOVERY FUND V, L.P.	P	VARIOUS	VARIOUS
f	FROM K-1- WLR RECOVERY FUND V, L.P.- SECTION 1256	P	VARIOUS	VARIOUS
g	SOCIETE GENERALE SWAP SETTLEMENT	P	VARIOUS	VARIOUS
h	TIAA-CREF DISTRIBUTION IN EXCESS OF BASIS	P	01/04/05	01/16/15
i	DISPOSITION OF JAT CAPITAL OFFSHORE FUND, LTD.	P	03/30/11	09/24/15
j	CAPITAL GAINS DIVIDENDS			
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,439,567.			1,439,567.
b		42.	<42.>
c 43,716.			43,716.
d		226.	<226.>
e 117,182.			117,182.
f 34,834.			34,834.
g		224,554.	<224,554.>
h 2,621,198.			2,621,198.
i 5,000,000.		4,910,430.	89,570.
j 7,526,166.			7,526,166.
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,439,567.
b			<42.>
c			43,716.
d			<226.>
e			117,182.
f			34,834.
g			<224,554.>
h			2,621,198.
i			89,570.
j			7,526,166.
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	20,119,561.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A



Bank of America Private Wealth Management

CUST JAMES S MCDONNELL FDN

Account Num

Page 6

2015 Tax Information Letter

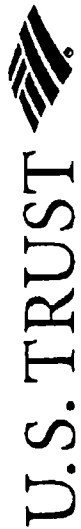
Income for 2015

This section reports your sales transactions during the year. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for current year's return of capital.

The following items are provided to you to assist in the preparation of your tax returns. We have included the following Codes and Adjustment amounts: "W" for wash sales, "C" for collectibles, and "D" for Market Discount. Typically, collectibles are taxed at 28% rates. For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses and Market Discount in the column titled "Portion Subject to 988 and Market Discount". We have also included gains on collectibles taxed at 28% rates in the last column. If we have included a dagger symbol (†) next to the sale transaction with a net loss, this indicates that you can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure.

Short Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	SYMBOL	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FEDERAL FARM CR BKS												
	05/13/15	09/23/14	400000			\$402,512.00	\$402,526.62	\$-14.62		\$0.00	\$0.00	\$0.00
FEDERAL NATL MTG ASSN												
	01/31/15	10/27/14	979.95	3138WCZ71	FN3040000	\$979.95	\$1,020.76	\$-40.81		\$0.00	\$0.00	\$0.00
	02/28/15	10/27/14	2527.3			\$2,527.30	\$2,632.54	\$-105.24		\$0.00	\$0.00	\$0.00
	03/31/15	10/27/14	2828.98			\$2,828.98	\$2,946.78	\$-117.80		\$0.00	\$0.00	\$0.00
	04/30/15	10/27/14	1579.9			\$1,579.90	\$1,645.69	\$-65.79		\$0.00	\$0.00	\$0.00
	05/31/15	10/27/14	3586.88			\$3,586.88	\$3,736.24	\$-149.36		\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

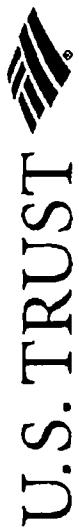
2015 Tax Information Letter

Account

CUST JAMES S MCDONNELL FDN

Short Term Transactions

DESCRIPTION	CUSIP	SYMBOL	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FEDERAL NATL MTG ASSN 3138WCZ71 FN3000000												
	06/30/15	10/27/14	2892.4	\$2,892.40		\$3,012.84	\$-120.44	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	07/31/15	10/27/14	2727.47	\$2,727.47		\$2,841.04	\$-113.57	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	08/31/15	10/27/14	1655.62	\$1,655.62		\$1,724.56	\$-68.94	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	09/30/15	10/27/14	882.31	\$882.31		\$919.05	\$-36.74	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INTERNATIONAL BUSINESS MACHS 459200HP9 IBM 23												
	11/25/15	02/27/15	450000	\$458,959.50		\$467,192.60	\$-8,233.10	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
UNITED STATES TREAS NT 912828G38 UNIT24												
	11/19/15	02/04/15	350000	\$350,751.95		\$363,188.02	\$-12,436.07	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
UNITED STATES TREAS NT 912828RU6 UNIT16												
	12/29/15	11/16/15	340000	\$340,332.03		\$340,777.33	\$-445.30	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
UNITED STATES TREAS NT 912828UX6 UNIT18												
	04/20/15	09/16/14	674276.75	\$692,187.23		\$684,613.14	\$7,574.09	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
UNITED STATES TREAS NT 912828UZ1 UNIT18												
	06/25/15	04/20/15	275000	\$271,949.22		\$273,130.86	\$-1,181.64	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	08/18/15	04/20/15	175000	\$173,325.20		\$173,810.55	\$-485.35	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
VANGUARD BD INDEX FD INC 921937702 VBIR X												
	12/11/15	05/04/15	317679.74	\$3,332,460.52		\$3,345,167.70	\$-12,707.18	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Short Term Gain/Loss				\$6,042,138.46		\$6,070,886.32	\$-28,747.86	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

CUST JAMES S MCDONNELL FDN

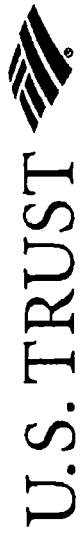
2015 Tax Information Letter

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Page 8

Long Term Transactions

DESCRIPTION	DATE	DATE ACQUIRED	UNITS	CUSIP	SYMBOL	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	CODE	ADJUSTMENTS	PORTION SUBJECT TO 988 AND MARKET DISCOUNT (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
ANTERO RES CORP				03674X106	AR							
	11/11/15	04/07/08	228			\$5,151.06	\$4,062.96	\$1,088.10		\$0.00	\$0.00	\$0.00
	11/11/15	04/07/08	- 1452			\$32,804.14	\$5,706.36	\$27,097.78		\$0.00	\$0.00	\$0.00
APPLE INC				037833AJ9	AAPL18							
	07/24/15	04/02/14	675000			\$666,947.25	\$656,403.75	\$10,543.50	D	\$5,957.77	\$5,957.77	\$0.00
COCA COLA CO				191216AM2	KO 19							
	03/30/15	10/19/10	465000			\$524,496.75	\$499,491.00	\$25,005.75		\$0.00	\$0.00	\$0.00
FEDERAL FARM CR BKS				31331J2S1	FEDE15							
	11/16/15	09/23/14	400000			\$400,000.00	\$400,000.00	\$0.00		\$0.00	\$0.00	\$0.00
FEDERAL HOME LN MTG CORP				3137EADC0	FHLM17							
	11/10/15	08/07/14	500000			\$501,815.00	\$501,342.57	\$472.43		\$0.00	\$0.00	\$0.00
FEDERAL NATL MTG ASSN				3138EG6D1	FN3000000							
	01/31/15	10/17/11	7749.42			\$7,749.42	\$8,044.87	\$-295.45		\$0.00	\$0.00	\$0.00
	02/28/15	10/17/11	10797.75			\$10,797.75	\$11,209.41	\$-411.66		\$0.00	\$0.00	\$0.00
	03/31/15	10/17/11	9696.19			\$9,696.19	\$10,065.86	\$-369.67		\$0.00	\$0.00	\$0.00
	04/30/15	10/17/11	13142.36			\$13,142.36	\$13,643.41	\$-501.05		\$0.00	\$0.00	\$0.00
	05/31/15	10/17/11	10098.98			\$10,098.98	\$10,484.00	\$-385.02		\$0.00	\$0.00	\$0.00
	06/30/15	10/17/11	12231.05			\$12,231.05	\$12,697.36	\$-466.31		\$0.00	\$0.00	\$0.00
	07/31/15	10/17/11	11803.48			\$11,803.48	\$12,253.49	\$-450.01		\$0.00	\$0.00	\$0.00
	08/31/15	10/17/11	8502.27			\$8,502.27	\$8,826.42	\$-324.15		\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

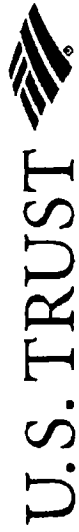
CUST JAMES S MCDONNELL FDN

2015 Tax Information Letter

Page 9

Long Term Transactions

DESCRIPTION		CUSIP	SYMBOL	Units	Date acquired	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
Date sold or disposed			Proceeds								
FEDERAL NATL MTG ASSN 3138EG6D1 FN3000000											
09/30/15	10/17/11	8888.17	\$8,888.17	8888.17	\$9,227.03	\$-338.86	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
10/31/15	10/17/11	8424.82	\$8,424.82	8424.82	\$8,746.02	\$-321.20	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
11/30/15	10/17/11	7362.56	\$7,362.56	7362.56	\$7,643.26	\$-280.70	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12/31/15	10/17/11	9040.09	\$9,040.09	9040.09	\$9,384.74	\$-344.65	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
FEDERAL NATL MTG ASSN 3138LYVU3 FN3000000											
01/31/15	06/06/12	10546.35	\$10,546.35	10546.35	\$10,958.97	\$-412.62	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
02/28/15	06/06/12	11561.83	\$11,561.83	11561.83	\$12,014.18	\$-452.35	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/31/15	06/06/12	8303.25	\$8,303.25	8303.25	\$8,628.11	\$-324.86	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
04/30/15	06/06/12	17154.11	\$17,154.11	17154.11	\$17,825.26	\$-671.15	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
05/31/15	06/06/12	16714.29	\$16,714.29	16714.29	\$17,368.23	\$-653.94	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/30/15	06/06/12	9243.61	\$9,243.61	9243.61	\$9,605.26	\$-361.65	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
07/31/15	06/06/12	8867.07	\$8,867.07	8867.07	\$9,213.99	\$-346.92	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
08/31/15	06/06/12	8082.26	\$8,082.26	8082.26	\$8,398.48	\$-316.22	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
09/30/15	06/06/12	12283.19	\$12,283.19	12283.19	\$12,763.77	\$-480.58	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
10/31/15	06/06/12	13602.05	\$13,602.05	13602.05	\$14,134.23	\$-532.18	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
11/30/15	06/06/12	12856.62	\$12,856.62	12856.62	\$13,359.63	\$-503.01	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12/31/15	06/06/12	17039.06	\$17,039.06	17039.06	\$17,705.71	\$-666.65	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
FEDERAL NATL MTG ASSN 3138M3QK3 FN3000000											
01/31/15	07/19/12	3781.22	\$3,781.22	3781.22	\$3,972.47	\$-191.25	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

CUST JAMES S MCDONNELL FDN

Long Term Transactions

DESCRIPTION	DATE SOLD OR DISPOSED	DATE ACQUIRED	UNITS	CUSIP	SYMBOL	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	CODE	ADJUSTMENTS	PORTION SUBJECT TO 988 AND MARKET DISCOUNT (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
FEDERAL NATL MTG ASSN				3138M3QK3	FN30000000							
	02/28/15	07/19/12	6833.36			\$6,833.36	\$7,178.98	\$-345.62		\$0.00	\$0.00	\$0.00
	03/31/15	07/19/12	6825.92			\$6,825.92	\$7,171.16	\$-345.24		\$0.00	\$0.00	\$0.00
	04/30/15	07/19/12	5495.06			\$5,495.06	\$5,772.99	\$-277.93		\$0.00	\$0.00	\$0.00
	05/31/15	07/19/12	5117.43			\$5,117.43	\$5,376.26	\$-258.83		\$0.00	\$0.00	\$0.00
	06/30/15	07/19/12	2581.19			\$2,581.19	\$2,711.74	\$-130.55		\$0.00	\$0.00	\$0.00
	07/31/15	07/19/12	3557.47			\$3,557.47	\$3,737.40	\$-179.93		\$0.00	\$0.00	\$0.00
	08/31/15	07/19/12	5003.78			\$5,003.78	\$5,256.86	\$-253.08		\$0.00	\$0.00	\$0.00
	09/30/15	07/19/12	5191.08			\$5,191.08	\$5,453.63	\$-262.55		\$0.00	\$0.00	\$0.00
	10/31/15	07/19/12	6045.33			\$6,045.33	\$6,351.09	\$-305.76		\$0.00	\$0.00	\$0.00
	11/30/15	07/19/12	4877.72			\$4,877.72	\$5,124.42	\$-246.70		\$0.00	\$0.00	\$0.00
	12/31/15	07/19/12	5201.54			\$5,201.54	\$5,464.62	\$-263.08		\$0.00	\$0.00	\$0.00
FEDERAL NATL MTG ASSN				3138WCZ71	FN30000000							
	10/31/15	10/27/14	1603.51			\$1,603.51	\$1,670.28	\$-66.77		\$0.00	\$0.00	\$0.00
	11/30/15	10/27/14	1150.54			\$1,150.54	\$1,198.45	\$-47.91		\$0.00	\$0.00	\$0.00
	12/31/15	10/27/14	2502.3			\$2,502.30	\$2,606.50	\$-104.20		\$0.00	\$0.00	\$0.00
FEDERAL NATL MTG ASSN				31398A4M1	FANNI5							
	03/12/15	04/15/13	500000			\$504,240.00	\$504,013.72	\$226.28		\$0.00	\$0.00	\$0.00
	04/28/15	04/15/13	200000			\$201,407.80	\$201,273.57	\$134.23		\$0.00	\$0.00	\$0.00
	10/26/15	04/15/13	150000			\$150,000.00	\$150,000.00	\$0.00		\$0.00	\$0.00	\$0.00

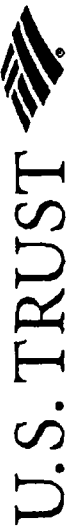


Bank of America Private Wealth Management

CUST JAMES S MCDONNELL FDN

Long Term Transactions

DESCRIPTION	DATE	DATE	UNITS	CUSIP	SYMBOL	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	CODE	ADJUSTMENTS	PORTION SUBJECT TO 988 AND MARKET DISCOUNT (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
	DATE SOLD OR DISPOSED	DATE ACQUIRED										
FEDERAL NATL MTG ASSN			31417D5D1		FN3000000							
	01/31/15	12/18/12	3724.94			\$3,724.94	\$3,889.43	\$-164.49		\$0.00	\$0.00	\$0.00
	02/28/15	12/18/12	3520.83			\$3,520.83	\$3,676.31	\$-155.48		\$0.00	\$0.00	\$0.00
	03/31/15	12/18/12	6507.4			\$6,507.40	\$6,794.77	\$-287.37		\$0.00	\$0.00	\$0.00
	04/30/15	12/18/12	5093.23			\$5,093.23	\$5,318.15	\$-224.92		\$0.00	\$0.00	\$0.00
	05/31/15	12/18/12	4747.84			\$4,747.84	\$4,957.51	\$-209.67		\$0.00	\$0.00	\$0.00
	06/30/15	12/18/12	5794.83			\$5,794.83	\$6,050.73	\$-255.90		\$0.00	\$0.00	\$0.00
	07/31/15	12/18/12	6556.76			\$6,556.76	\$6,846.31	\$-289.55		\$0.00	\$0.00	\$0.00
	08/31/15	12/18/12	7322.32			\$7,322.32	\$7,645.68	\$-323.36		\$0.00	\$0.00	\$0.00
	09/30/15	12/18/12	5267.66			\$5,267.66	\$5,500.28	\$-232.62		\$0.00	\$0.00	\$0.00
	10/31/15	12/18/12	4634.93			\$4,634.93	\$4,839.61	\$-204.68		\$0.00	\$0.00	\$0.00
	11/30/15	12/18/12	5873.9			\$5,873.90	\$6,133.29	\$-259.39		\$0.00	\$0.00	\$0.00
	12/31/15	12/18/12	5294.02			\$5,294.02	\$5,527.81	\$-233.79		\$0.00	\$0.00	\$0.00
FEDERAL NATL MTG ASSN			31417JMX5		FN3000000							
	01/31/15	09/01/10	15216.55			\$15,216.55	\$16,003.53	\$-786.98		\$0.00	\$0.00	\$0.00
	02/28/15	09/01/10	2378.94			\$2,378.94	\$2,501.98	\$-123.04		\$0.00	\$0.00	\$0.00
	03/31/15	09/01/10	2378.45			\$2,378.45	\$2,501.46	\$-123.01		\$0.00	\$0.00	\$0.00
	04/30/15	09/01/10	8255.33			\$8,255.33	\$8,682.29	\$-426.96		\$0.00	\$0.00	\$0.00
	05/31/15	09/01/10	16952			\$16,952.00	\$17,828.74	\$-876.74		\$0.00	\$0.00	\$0.00
	06/30/15	09/01/10	9703.48			\$9,703.48	\$10,205.33	\$-501.85		\$0.00	\$0.00	\$0.00



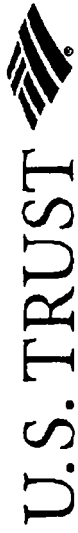
Bank of America Private Wealth Management

CUST JAMES S MCDONNELL FDN

2015 Tax Information Letter

Long Term Transactions

DESCRIPTION	DATE	DATE ACQUIRED	UNITS	CUSIP	SYMBOL	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	CODE	ADJUSTMENTS	PORTION SUBJECT TO 988 AND MARKET DISCOUNT (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
FEDERAL NATL MTG ASSN				31417JMX5	FN30000000							
	07/31/15	09/01/10	17980.73			\$17,980.73	\$18,910.67	\$-929.94		\$0.00	\$0.00	\$0.00
	08/31/15	09/01/10	3531.55			\$3,531.55	\$3,714.20	\$-182.65		\$0.00	\$0.00	\$0.00
	09/30/15	09/01/10	10306.44			\$10,306.44	\$10,839.48	\$-533.04		\$0.00	\$0.00	\$0.00
	10/31/15	09/01/10	6046.32			\$6,046.32	\$6,359.03	\$-312.71		\$0.00	\$0.00	\$0.00
	11/30/15	09/01/10	15181.73			\$15,181.73	\$15,966.91	\$-785.18		\$0.00	\$0.00	\$0.00
	12/31/15	09/01/10	1639.12			\$1,639.12	\$1,723.89	\$-84.77		\$0.00	\$0.00	\$0.00
FEDERAL NATL MTG ASSN				31418QWMI	FN30000000							
	01/31/15	05/21/10	40153.14			\$40,153.14	\$42,179.62	\$-2,026.48		\$0.00	\$0.00	\$0.00
	02/28/15	05/21/10	9060.47			\$9,060.47	\$9,517.74	\$-457.27		\$0.00	\$0.00	\$0.00
	03/31/15	05/21/10	13499.77			\$13,499.77	\$14,181.09	\$-681.32		\$0.00	\$0.00	\$0.00
	04/30/15	05/21/10	3762.89			\$3,762.89	\$3,952.80	\$-189.91		\$0.00	\$0.00	\$0.00
	05/31/15	05/21/10	2037.62			\$2,037.62	\$2,140.46	\$-102.84		\$0.00	\$0.00	\$0.00
	06/30/15	05/21/10	9081.44			\$9,081.44	\$9,539.77	\$-458.33		\$0.00	\$0.00	\$0.00
	07/31/15	05/21/10	1782.55			\$1,782.55	\$1,872.51	\$-89.96		\$0.00	\$0.00	\$0.00
	08/31/15	05/21/10	2036.58			\$2,036.58	\$2,139.36	\$-102.78		\$0.00	\$0.00	\$0.00
	09/30/15	05/21/10	1882.98			\$1,882.98	\$1,978.01	\$-95.03		\$0.00	\$0.00	\$0.00
	10/31/15	05/21/10	1872.31			\$1,872.31	\$1,966.80	\$-94.49		\$0.00	\$0.00	\$0.00
	11/30/15	05/21/10	1868.98			\$1,868.98	\$1,963.31	\$-94.33		\$0.00	\$0.00	\$0.00
	12/31/15	05/21/10	1892.27			\$1,892.27	\$1,987.77	\$-95.50		\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

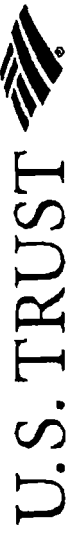
CUST JAMES S MCDONNELL FDN

2015 Tax Information Letter

Page 13

Long Term Transactions

DESCRIPTION	CUSIP	SYMBOL	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
J8 COM INC	31680Q104	WUBA										
11/11/15	1800	\$94,194.60	\$6,408.00	\$87,786.60	\$0.00	\$0.00	\$0.00	\$0.00				\$0.00
11/11/15	3360	\$175,829.93	\$11,961.60	\$163,868.33	\$0.00	\$0.00	\$0.00	\$0.00				\$0.00
INTERNATIONAL BUSINESS MACHS CORI	459200GJ4	IBM 17										
02/27/15	455000	\$507,616.20	\$472,390.88	\$35,225.32	\$0.00	\$0.00	\$0.00	\$0.00				\$0.00
LAREDO PETE HLDGS INC	516806106	LPI										
11/11/15	1140	\$13,579.88	\$13,554.60	\$25.28	\$0.00	\$0.00	\$0.00	\$0.00				\$0.00
11/11/15	840	\$10,006.23	\$9,987.60	\$18.63	\$0.00	\$0.00	\$0.00	\$0.00				\$0.00
LIFELOCK INC	53224V100	LOCK										
11/11/15	1323	\$18,841.82	\$7,004.00	\$11,837.82	\$0.00	\$0.00	\$0.00	\$0.00				\$0.00
MARKETO INC	57063L107	MKTO										
11/11/15	525	\$16,032.36	\$3,495.00	\$12,537.36	\$0.00	\$0.00	\$0.00	\$0.00				\$0.00
11/11/15	525	\$16,032.37	\$3,495.00	\$12,537.37	\$0.00	\$0.00	\$0.00	\$0.00				\$0.00
11/11/15	503	\$15,360.53	\$3,348.00	\$12,012.53	\$0.00	\$0.00	\$0.00	\$0.00				\$0.00
NUANCE COMMUNICATIONS INC	67020Y100	NUAN										
11/11/15	1584	\$27,125.97	\$190.08	\$26,935.89	\$0.00	\$0.00	\$0.00	\$0.00				\$0.00
11/11/15	5940	\$101,722.41	\$59,756.40	\$41,966.01	\$0.00	\$0.00	\$0.00	\$0.00				\$0.00
11/11/15	5076	\$86,926.42	\$51,064.56	\$35,861.86	\$0.00	\$0.00	\$0.00	\$0.00				\$0.00
PROCTER & GAMBLE CO	742718DV8	PG 16										
11/12/15	350000	\$352,310.00	\$350,031.21	\$2,278.79	\$0.00	\$0.00	\$0.00	\$0.00				\$0.00



Bank of America Private Wealth Management

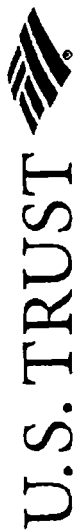
CUST JAMES S MCDONNELL FDN

2015 Tax Information Letter

Page 14

Long Term Transactions

DESCRIPTION	DATE SOLD OR DISPOSED	DATE ACQUIRED	UNITS	CUSIP	SYMBOL	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	CODE	ADJUSTMENTS	PORTION SUBJECT TO 988 AND MARKET DISCOUNT (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
RETAILMENOT INC				76132B106	SALE							
	11/11/15	10/28/11	473			\$4,912.19	\$7,745.00	\$-2,832.81		\$0.00	\$0.00	\$0.00
SIMON PTY GROUP L.P				828807CJ4	SPG 17							
	01/06/15	06/18/13	500000			\$510,590.00	\$507,128.23	\$3,461.77		\$0.00	\$0.00	\$0.00
UNITED STATES TREAS NT				912828QX1	UNIT16							
	10/28/15	09/30/14	250000			\$252,128.91	\$251,853.32	\$275.59		\$0.00	\$0.00	\$0.00
UNITED STATES TREAS NT				912828RM4	UNIT16							
	02/04/15	11/22/13	350000			\$353,472.66	\$352,598.84	\$873.82		\$0.00	\$0.00	\$0.00
	09/29/15	11/22/13	150000			\$150,949.22	\$150,699.14	\$250.08		\$0.00	\$0.00	\$0.00
UNITED STATES TREAS NT				912828RU6	UNIT16							
	08/18/15	11/22/13	175000			\$175,710.94	\$175,624.06	\$86.88		\$0.00	\$0.00	\$0.00
	09/29/15	11/22/13	500000			\$502,460.94	\$501,624.92	\$836.02		\$0.00	\$0.00	\$0.00
	10/28/15	11/22/13	350000			\$351,681.64	\$351,061.02	\$620.62		\$0.00	\$0.00	\$0.00
	12/29/15	11/22/13	60000			\$60,058.59	\$60,153.70	\$-95.11		\$0.00	\$0.00	\$0.00
UNITED STATES TREAS NTS				912828SF8	UNIT22							
	02/09/15	10/17/12	150000			\$152,050.78	\$153,071.99	\$-1,021.21		\$0.00	\$0.00	\$0.00
	02/09/15	09/27/12	375000			\$380,126.95	\$386,627.82	\$-6,500.87		\$0.00	\$0.00	\$0.00
	09/11/15	10/17/12	225000			\$227,443.36	\$229,241.17	\$-1,797.81		\$0.00	\$0.00	\$0.00
	11/10/15	10/17/12	125000			\$125,122.07	\$127,299.43	\$-2,177.36		\$0.00	\$0.00	\$0.00
	11/10/15	09/17/13	275000			\$275,268.55	\$262,141.60	\$13,126.95	D	\$3,020.89	\$3,020.89	\$0.00



Bank of America Private Wealth Management

CUST JAMES S MCDONNELL FDN

2015 Tax Information Letter

Page 15

Long Term Transactions

DESCRIPTION	CUSIP	SYMBOL	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
UNITED STATES TREAS NT												
	912828VB3	UNIT23										
09/11/15	225000		08/12/14			\$220,816.41	\$214,400.39	\$6,416.02	D	\$1,309.20	\$1,309.20	\$0.00
11/10/15	25000		08/12/14			\$24,293.95	\$23,822.27	\$471.68	D	\$167.56	\$167.56	\$0.00
UNITED STATES TREAS NT												
	912828WE6	UNIT23										
11/10/15	450000		03/06/14			\$468,966.80	\$451,648.18	\$17,318.62		\$0.00	\$0.00	\$0.00
VANGUARD BD INDEX FD INC												
	921937702	VBIR X										
11/24/15	286532.95		03/03/14			\$3,000,000.00	\$3,022,922.64	\$-22,922.64		\$0.00	\$0.00	\$0.00
12/11/15	158964.68		03/03/14			\$1,667,539.48	\$1,677,077.36	\$-9,537.88		\$0.00	\$0.00	\$0.00
Total Long Term Gain/Loss						\$13,918,632.74	\$13,440,807.11	\$477,825.63		\$10,455.42	\$10,455.42	\$0.00

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
FROM K-1- AMERICAN SECURITIES PARTNERS VI, LP	10.	10.	
FROM K-1- ARTIMAN VENTURES III, L.P.	944.	944.	
FROM K-1- ATLAS CAPITAL RESOURCES II (A2-CAYMAN) LP	3,934.	3,934.	
FROM K-1- ATLAS CAPITAL RESOURCES II (A3-F DE) LP	9,594.	9,594.	
FROM K-1- ATLAS CAPITAL RESOURCES II (A4) LP	1,081.	1,081.	
FROM K-1- AVALON VENTURES X, LP	1,207.	1,207.	
FROM K-1- AVALON VENTURES XI, LP	19.	19.	
FROM K-1- BC EUROPEAN CAPITAL IX-4	13,633.	13,633.	
FROM K-1- BLACKSTONE TACTICAL OPPORTUNITIES FUND II L.P.	1,852.	1,852.	
FROM K-1- BPEA III, LP	11,918.	11,918.	
FROM K-1- CERBERUS PARTNERS SERIES 3	914.	914.	
FROM K-1- CERBERUS PARTNERS SERIES 4	3,752.	3,752.	
FROM K-1- COLCHESTER GLOBAL BOND FUND	560,978.	560,978.	
FROM K-1- DAVIDSON KEMPNER INSTITUTIONAL PARTNERS, LP	173,493.	173,493.	
FROM K-1- ENCAP ENERGY CAPITAL FUND IX-C, LP	77,901.	77,901.	
FROM K-1- ENCAP ENERGY CAPITAL FUND VIII CO-INVESTORS B, LP	99,377.	99,377.	
FROM K-1- ENCAP ENERGY CAPITAL FUND VIII-B, L.P.	230,739.	230,739.	
FROM K-1- ENCAP ENERGY CAPITAL FUND X-C, L.P.	3,413.	3,413.	
FROM K-1- ENCAP FLATROCK MIDSTREAM FUND II-C LP	80,243.	80,243.	
FROM K-1- ENCAP FLATROCK MIDSTREAM FUND III-C LP	3,378.	3,378.	
FROM K-1- EUROPEAN SECONDARY DEVELOPMENT FUND V, L.P.	90.	90.	
FROM K-1- GENSTAR CAPITAL PARTNERS VI, LP	5,387.	5,387.	
FROM K-1- GREAT HILL EQUITY PARTNERS IV L.P.	10,128.	10,128.	
FROM K-1- GREAT HILL EQUITY PARTNERS V L.P.	1,932.	1,932.	

FROM K-1- GREAT HILL EQUITY PARTNERS V-A, L.P.	489.	489.
FROM K-1- IDG VENTURES USA III LP	1,962.	1,962.
FROM K-1- INSTITUTIONAL VENTURE PARTNERS XIII, L.P.	68.	68.
FROM K-1- JPM U.S. REAL ESTATE I&G FUND	68.	68.
FROM K-1- KINETIC VENTURES IX, L.P.	537.	537.
FROM K-1- LIME ROCK PARTNERS V, L.P.	8,582.	8,582.
FROM K-1- LIME ROCK RESOURCES II-C, LP	645.	645.
FROM K-1- LIME ROCK RESOURCES III-C, LP	37.	37.
FROM K-1- LOVELL MINNICK EQUITY PARTNERS IV-A, LP	8,008.	8,008.
FROM K-1- LOVELL MINNICK EQUITY PTNRS III-A, L.P.	29,973.	29,973.
FROM K-1- NEWBURY EQUITY PARTNERS, L.P.	26,184.	26,184.
FROM K-1- OAKTREE OPPORTUNITIES FUND IX	5,399.	5,399.
FROM K-1- OAKTREE OPPORTUNITIES FUND VIII AIF (DELAWARE), LP	654.	654.
FROM K-1- OAKTREE OPPORTUNITIES FUND VIII, L.P.	44,965.	44,965.
FROM K-1- OCM OPPORTUNITIES FUND V, LP	6.	6.
FROM K-1- OCM OPPORTUNITIES FUND VI AIF, (DELAWARE) LP	4.	4.
FROM K-1- OCM OPPORTUNITIES FUND VI, LP	366.	366.
FROM K-1- OCM OPPORTUNITIES FUND VII, LP	5,250.	5,250.
FROM K-1- OCM OPPORTUNITIES FUND VII, LP AIF (DELAWARE)	2.	2.
FROM K-1- OCM OPPORTUNITIES FUND VIIB AIF (DELAWARE) , LP	407.	407.
FROM K-1- OCM OPPORTUNITIES FUND VIIB, LP	57,818.	57,818.
FROM K-1- PROLOG CAPITAL IV LP	626.	626.
FROM K-1- QUANTUM ENERGY PARTNERS VI LP	16.	16.
FROM K-1- RESOURCE CAPITAL FUND V, L.P.	13,925.	13,925.
FROM K-1- RHO VENTURES V, LP	784.	784.
FROM K-1- RIVERVEST VENTURE FUND III	1,702.	1,702.
FROM K-1- SAIF PARTNERS IV, L.P.	207.	207.
FROM K-1- SAVANNA REAL ESTATE FUND II, L.P.	184.	184.

FROM K-1- SAYBROOK CORPORATE OPPORTUNITY FUND II, LP	46,848.	46,848.
FROM K-1- SLP IV RV FEEDER CAYMAN LP	339.	339.
FROM K-1- STIRLING SQUARE CAPITAL PARTNERS THIRD FUND A, L.P.	1.	1.
FROM K-1- THOMAS WEISEL GLOBAL PARTNERS IV	540.	540.
FROM K-1- THOMPSON STREET CAPITAL PARTNERS III, LP	1,003.	1,003.
FROM K-1- UBS (US) TRUMBULL PROPERTY FUND LP	1,390.	1,390.
FROM K-1- VECTIS HEALTHCARE & LIFE SCIENCES II	54,206.	54,206.
FROM K-1- VECTIS LIFE SCIENCES FUND I L.P.	12,824.	12,824.
FROM K-1- WARBURG PINCUS CALLISTO PRIVATE EQUITY XI, L.P. CAYMAN	6.	6.
FROM K-1- WARBURG PINCUS O & G PRIVATE EQUITY X	1.	1.
FROM K-1- WARBURG PINCUS PRIVATE EQUITY (E&P)X-B, L.P.	1,089.	1,089.
FROM K-1- WARBURG PINCUS PRIVATE EQUITY (E&P)XI-B, L.P.	9,548.	9,548.
FROM K-1- WARBURG PINCUS PRIVATE EQUITY X, L.P.	17,386.	17,386.
FROM K-1- WARBURG PINCUS PRIVATE EQUITY XI (INTERNATIONAL), LP	10.	10.
FROM K-1- WARBURG PINCUS PRIVATE EQUITY XI, L.P.	1,368.	1,368.
FROM K-1- WARBURG PINCUS PRIVATE EQUITY XII, LP	4.	4.
FROM K-1- WLR RECOVERY FUND V DSS AIV, LP	1,152.	1,152.
FROM K-1- WLR RECOVERY FUND V, LP	5,661.	5,661.
TOTAL TO PART I, LINE 3	1,658,161.	1,658,161.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES				STATEMENT	2
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
AMORT. OF US T-NOTE & BONDS	<195,597.>	0.	<195,597.>	<195,597.>		
BANK OF AMERICA	3,833,084.	0.	3,833,084.	3,833,084.		
BANK OF AMERICA- CAP GAIN DIST	2,477,100.	2,477,100.	0.	0.		
BANK OF AMERICA- OID AMORTIZATION	<8,925.>	0.	<8,925.>	<8,925.>		
CORPORATE BOND INTEREST	684,768.	0.	684,768.	684,768.		
DFA MICRO CAP	1,756.	0.	1,756.	1,756.		
DFA MICRO CAP- CAP GAIN DIST	21,094.	21,094.	0.	0.		
FROM K-1- AMERICAN SECURITIES						
PARTNERS VI, LP	22,366.	0.	22,366.	22,366.		
FROM K-1- BC EUROPEAN CAPITAL						
IX-4	9,994.	0.	9,994.	9,994.		
FROM K-1- BLACKSTONE REAL ESTATE PARTNERS	534.	0.	534.	534.		
FROM K-1- BLACKSTONE REAL ESTATE PARTNERS	386.	0.	386.	386.		
FROM K-1- BLACKSTONE TACTICAL	4,512.	0.	4,512.	4,512.		
FROM K-1- BLACKSTONE TACTICAL	4,673.	0.	4,673.	4,673.		
FROM K-1- BPEA III, LP	2,064.	0.	2,064.	2,064.		
FROM K-1- CERBERUS PARTNERS SERIES 3	79,660.	0.	79,660.	79,660.		
FROM K-1- CERBERUS PARTNERS SERIES 4	272,134.	0.	272,134.	272,134.		
FROM K-1- CIP AIV	11,334.	0.	11,334.	11,334.		
FROM K-1- COLCHESTER GLOBAL BOND FUND	17.	0.	17.	17.		
FROM K-1- CORNERSTONE REAL ESTATE FUND VIII,	21,477.	0.	21,477.	21,477.		
FROM K-1- DAVIDSON KEMPNER INSTITUTIONAL	26,324.	0.	26,324.	26,324.		

FROM K-1- DOVER STREET VI CAYMAN FUND, L.P.	197,981.	0.	197,981.	197,981.
FROM K-1- ENCAP FLATROCK MIDSTREAM FUND II-C LP	367.	0.	367.	367.
FROM K-1- EUROPEAN SECONDARY DEVELOPMENT FUND	128.	0.	128.	128.
FROM K-1- GENSTAR CAPITAL PARTNERS VI, LP	51,825.	0.	51,825.	51,825.
FROM K-1- GREAT HILL EQUITY PARTNERS IV L.P.	22,042.	0.	22,042.	22,042.
FROM K-1- INSTITUTIONAL VENTURE PARTNERS	6,152.	0.	6,152.	6,152.
FROM K-1- JPM U.S. REAL ESTATE I&G FUND	8,042.	0.	8,042.	8,042.
FROM K-1- KINETIC VENTURES IX, L.P.	3,194.	0.	3,194.	3,194.
FROM K-1- LIME ROCK PARTNERS V, L.P.	54,343.	0.	54,343.	54,343.
FROM K-1- LOVELL MINNICK EQUITY PARTNERS III-A, LP	18,656.	0.	18,656.	18,656.
FROM K-1- LOVELL MINNICK EQUITY PARTNERS IV-A, LP	4,390.	0.	4,390.	4,390.
FROM K-1- MERIT ENERGY PARTNERS I, LP	1.	0.	1.	1.
FROM K-1- NEWBURY EQUITY PARTNERS, L.P.	71,596.	0.	71,596.	71,596.
FROM K-1- OAKTREE OPPORTUNITIES FUND IX AIF (CAYMAN)	5,633.	0.	5,633.	5,633.
FROM K-1- OAKTREE OPPORTUNITIES FUND IX, L.P.	87,724.	0.	87,724.	87,724.
FROM K-1- OAKTREE OPPORTUNITIES FUND VIII AIF (CAYMAN),	22,087.	0.	22,087.	22,087.
FROM K-1- OAKTREE OPPORTUNITIES FUND VIII AIF	1,111.	0.	1,111.	1,111.
FROM K-1- OAKTREE OPPORTUNITIES FUND VIII, L.P.	24,017.	0.	24,017.	24,017.
FROM K-1- OCM OPPORTUNITIES FUND V, LP	14,330.	0.	14,330.	14,330.

FROM K-1- OCM OPPORTUNITIES FUND VI, LP	11,592.	0.	11,592.	11,592.
FROM K-1- OCM OPPORTUNITIES FUND VII, LP	72,931.	0.	72,931.	72,931.
FROM K-1- OCM OPPORTUNITIES FUND VII, LP AIF	20,480.	0.	20,480.	20,480.
FROM K-1- OCM OPPORTUNITIES FUND VIIB AIF (CAYMAN)	373,203.	0.	373,203.	373,203.
FROM K-1- OCM OPPORTUNITIES FUND VIIB, LP	9,355.	0.	9,355.	9,355.
FROM K-1- PRISA LP	115,692.	0.	115,692.	115,692.
FROM K-1- REALTY ASSOCIATES FUND X UTP, LP	24,203.	0.	24,203.	24,203.
FROM K-1- SAIF PARTNERS IV, L.P.	4,400.	0.	4,400.	4,400.
FROM K-1- THOMAS WEISEL GLOBAL PARTNERS IV	102.	0.	102.	102.
FROM K-1- UBS (US) TRUMBULL PROPERTY FUND LP	88,998.	0.	88,998.	88,998.
FROM K-1- VECTIS HEALTHCARE & LIFE SCIENCES II	84,931.	0.	84,931.	84,931.
FROM K-1- WARBURG PINCUS CALLISTO PRIVATE EQUITY XI,	5,462.	0.	5,462.	5,462.
FROM K-1- WARBURG PINCUS GANYMEDE-II PRIVATE EQUITY X,	22.	0.	22.	22.
FROM K-1- WARBURG PINCUS O & G PRIVATE EQUITY X	37.	0.	37.	37.
FROM K-1- WARBURG PINCUS PRIVATE EQUITY (E&P)X-B,	9.	0.	9.	9.
FROM K-1- WARBURG PINCUS PRIVATE EQUITY (E&P)XI-B,	5.	0.	5.	5.
FROM K-1- WARBURG PINCUS PRIVATE EQUITY X, L.P.	92,179.	0.	92,179.	92,179.
FROM K-1- WARBURG PINCUS PRIVATE EQUITY XI, L.P.	57,415.	0.	57,415.	57,415.
FROM K-1- WLR RECOVERY FUND V DSS AIV, L.P.	1,673.	0.	1,673.	1,673.

FROM K-1- WLR RECOVERY FUND V, L.P.	1,048.	0.	1,048.	1,048.
GB NEWCO, INC	1,851.	0.	1,851.	1,851.
GMO QUALITY FUND III	887,643.	0.	887,643.	887,643.
GMO QUALITY FUND III CAP GAIN DIST	4,114,205.	4,114,205.	0.	0.
JP MORGAN CHASE	4.	0.	4.	4.
JP MORGAN REIT DIVIDEND	120.	0.	120.	120.
REALTY ASSOCIATES FUND IX CORPORATION	142,457.	0.	142,457.	142,457.
REALTY ASSOCIATES FUND IX CORPORATION-CAP	913,767.	913,767.	0.	0.
ROYAL BANK OF CANADA	43.	0.	43.	43.
US TREASURY NOTE & BOND INT	314,358.	0.	314,358.	314,358.
TO PART I, LINE 4	<u>15,200,559.</u>	<u>7,526,166.</u>	<u>7,674,393.</u>	<u>7,674,393.</u>

FORM 990-PF

OTHER INCOME

STATEMENT

3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FROM K-1- ARTIMAN VENTURES III, LP	143.	143.	
FROM K-1- ATLAS CAPITAL RESOURCES II (A4) LP	17.	17.	
FROM K-1- BC EUROPEAN CAPITAL IX-4	4,312.	4,312.	
FROM K-1- BLACKSTONE REAL ESTATE PARTNERS VIII L.P.	<380.>	<380.>	
FROM K-1- BLACKSTONE REAL ESTATE PARTNERS VIII L.P.	<764.>	0.	
FROM K-1- BLACKSTONE REAL ESTATE PARTNERS VIII.TE.1-NQ L.P.	3.	3.	
FROM K-1- BLACKSTONE REAL ESTATE PARTNERS VIII.TE.1-NQ L.P.	518.	0.	
FROM K-1- BLACKSTONE TACTICAL OPPORTUNITIES FUND II (CAYMAN) L.P.	2,362.	2,362.	
FROM K-1- BLACKSTONE TACTICAL OPPORTUNITIES FUND II (CAYMAN) L.P.	650.	0.	
FROM K-1- BPEA III, LP	4,639.	4,639.	
FROM K-1- BPEA III, LP	<1,431.>	0.	
FROM K-1- CERBERUS PARTNERS SERIES 3	5,992.	5,992.	
FROM K-1- CERBERUS PARTNERS SERIES 4	36,975.	36,975.	

FROM K-1- COLCHESTER GLOBAL BOND FUND	299,382.	299,382.
FROM K-1- DAVIDSON KEMPNER INSTITUTIONAL PARTNERS, LP	66,537.	66,537.
FROM K-1- EUROPEAN SECONDARY DEVELOPMENT FUND V, L.P.	1,710.	1,710.
FROM K-1- GREAT HILL EQUITY PARTNERS IV L.P.	<962.>	0.
FROM K-1- GREAT HILL EQUITY PARTNERS IV L.P.	160.	160.
FROM K-1- KINETIC VENTURES IX, L.P.	<5,596.>	<5,596.>
FROM K-1- LIME ROCK RESOURCES II-C, LP	217,060.	217,060.
FROM K-1- LIME ROCK RESOURCES III-C, LP	54,265.	54,265.
FROM K-1- MERIT ENERGY PARTNERS I, LP	2,603.	2,603.
FROM K-1- NEWBURY EQUITY PARTNERS, L.P.	<111,841.>	<111,841.>
FROM K-1- NEWBURY EQUITY PARTNERS, L.P.	65,076.	0.
FROM K-1- OAKTREE OPPORTUNITIES FUND IX	<1,112.>	<1,112.>
FROM K-1- OAKTREE OPPORTUNITIES FUND VIII AIF (DELAWARE), LP	13,383.	13,383.
FROM K-1- OAKTREE OPPORTUNITIES FUND VIII AIF (DELAWARE), LP	397.	0.
FROM K-1- OAKTREE OPPORTUNITIES FUND VIII, L.P.	3,199.	3,199.
FROM K-1- OCM OPPORTUNITIES FUND V, LP	304.	304.
FROM K-1- OCM OPPORTUNITIES FUND VI, LP	591.	591.
FROM K-1- OCM OPPORTUNITIES FUND VI, LP	<2,177.>	0.
FROM K-1- OCM OPPORTUNITIES FUND VII AIF (DELAWARE), L.P.	<1.>	<1.>
FROM K-1- OCM OPPORTUNITIES FUND VII, LP	<2,338.>	<2,338.>
FROM K-1- OCM OPPORTUNITIES FUND VIIB AIF (DELAWARE), LP	3,861.	3,861.
FROM K-1- OCM OPPORTUNITIES FUND VIIB AIF (DELAWARE), LP	2,266.	0.
FROM K-1- OCM OPPORTUNITIES FUND VIIB, LP	3,861.	3,861.
FROM K-1- QUANTUM ENERGY PARTNERS VI LP	2,230.	2,230.
FROM K-1- RESOURCE CAPITAL FUND V, L.P.	1.	1.
FROM K-1- RIVERSIDE FUND V L.P.	<60,375.>	0.
FROM K-1- SAIF PARTNERS IV, L.P.	27.	27.
FROM K-1- SAYBROOK CORPORATE OPPORTUNITY FUND II, LP	14,714.	14,714.
FROM K-1- SENTINEL CAPITAL PARTNERS V	<13,218.>	0.

FROM K-1- STIRLING SQUARE CAPITAL PARTNERS THIRD FUND A, L.P.	2,821.	2,821.
FROM K-1- THOMAS WEISEL GLOBAL PARTNERS IV	27.	27.
FROM K-1- VECTIS HEALTHCARE & LIFE SCIENCES II	827.	827.
FROM K-1- VECTIS HEALTHCARE & LIFE SCIENCES II	<3,212.>	0.
FROM K-1- VECTIS LIFE SCIENCES FUND I L.P.	<2.>	0.
FROM K-1- VECTIS LIFE SCIENCES FUND I L.P.	262,124.	262,124.
FROM K-1- WLR RECOVERY FUND V DSS AIV, LP	8.	8.
SOCIETE GENERALE	<1,481,434.>	<1,481,434.>
TOTAL TO FORM 990-PF, PART I, LINE 11	<611,798.>	<598,564.>

FORM 990-PF	LEGAL FEES		STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AUDIT & LEGAL	29,540.	25,830.		3,710.
TO FM 990-PF, PG 1, LN 16A	29,540.	25,830.		3,710.

FORM 990-PF	OTHER PROFESSIONAL FEES		STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FINANCIAL SERVICE FEE - J&J	242,152.	217,937.		24,215.
HAMMOND FEES	117,184.	117,184.		0.
AGENCY FEES- BANK OF AMERICA	117,840.	117,840.		0.
BANK OF AMERICA INVESTMENT EXPENSES	12,332.	12,332.		0.
INVESTMENT MANAGER FEES	82,847.	82,847.		0.
TO FORM 990-PF, PG 1, LN 16C	572,355.	548,140.		24,215.

FORM 990-PF

TAXES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EMPLOYER FICA & MEDICARE	26,672.	0.		26,672.
FOREIGN TAXES PAID - BANK OF AMERICA 595	157,128.	157,128.		0.
STATE TAXES	282.	0.		0.
FOREIGN TAXES PAID - FROM K-1- BC EUROPEAN CAPITAL IX-4	7.	7.		0.
FOREIGN TAXES PAID - FROM K-1- CERBERUS PARTNERS SERIES 4	2.	2.		0.
FOREIGN TAXES PAID - FROM K-1- COLCHESTER GLOBAL BOND FUND	4,974.	4,974.		0.
FOREIGN TAXES PAID - FROM K-1- DAVIDSON KEMPNER INSTITUTIONAL PARTNERS, LP	1,656.	1,656.		0.
FOREIGN TAXES PAID - FROM K-1- GREAT HILL EQUITY PARTNERS IV L.P.	14.	14.		0.
FOREIGN TAXES PAID - FROM K-1- KINETIC VENTURES IX, L.P.	141.	141.		0.
FOREIGN TAXES PAID - FROM K-1- LIME ROCK PARTNERS V, L.P.	34.	34.		0.
FOREIGN TAXES PAID - FROM K-1- NEWBURY EQUITY PARTNERS, L.P.	1,450.	1,450.		0.
FOREIGN TAXES PAID - FROM K-1- OCM OPPORTUNITIES FUND V, LP	312.	312.		0.
FOREIGN TAXES PAID - FROM K-1- OCM OPPORTUNITIES FUND VII, LP	7.	7.		0.
FOREIGN TAXES PAID - FROM K-1- OCM OPPORTUNITIES FUND VIIB, LP	434.	434.		0.
FOREIGN TAXES PAID - FROM K-1- SAIF PARTNERS IV, L.P.	2,474.	2,474.		0.
FOREIGN TAXES PAID - FROM K-1- WARBURG PINCUS PRIVATE EQUITY XI, L.P.	794.	794.		0.
TO FORM 990-PF, PG 1, LN 18	196,381.	169,427.		26,672.

FORM 990-PF

OTHER EXPENSES

STATEMENT

7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
COMPUTER EQUIPMENT	4,246.	0.		4,246.
EQUIPMENT MAINTENANCE & RENTAL	4,280.	0.		4,280.
FROM K-1- AMERICAN SECURITIES PARTNERS VI, LP	15,439.	15,439.		0.
FROM K-1- ARTIMAN VENTURES III, L.P.	20,622.	20,622.		0.
FROM K-1- ATLAS CAPITAL RESOURCES II (A-1 CAYMAN) LP	28.	28.		0.
FROM K-1- ATLAS CAPITAL RESOURCES II (A-2 CAYMAN) LP	7.	7.		0.
FROM K-1- ATLAS CAPITAL RESOURCES II (A3-F) LP	10.	10.		0.
FROM K-1- ATLAS CAPITAL RESOURCES II (A4) LP	85.	85.		0.
FROM K-1- ATLAS CAPITAL RESOURCES II LP	3,282.	3,282.		0.
FROM K-1- AVALON VENTURES X, LP	30,376.	30,376.		0.
FROM K-1- AVALON VENTURES XI, LP	13,428.	13,428.		0.
FROM K-1- BC EUROPEAN CAPITAL IX-4	49,264.	49,264.		0.
FROM K-1- BERKSHIRE MULTIFAMILY VALUE FUND II	1,974.	1,974.		0.
FROM K-1- BLACKSTONE REAL ESTATE PARTNERS VIII L.P.	16,389.	16,389.		0.
FROM K-1- BLACKSTONE REAL ESTATE PARTNERS VIII. TE. 1-NQ L.P.	1,488.	1,488.		0.
FROM K-1- BLACKSTONE TACTICAL OPPORTUNITIES FUND II (CAYMAN) L.P.	648.	648.		0.
FROM K-1- BLACKSTONE TACTICAL OPPORTUNITIES FUND II L.P.	30,577.	30,577.		0.
FROM K-1- BLACKSTONE TACTICAL OPPORTUNITIES FUND II-Q L.P.	79.	79.		0.
FROM K-1- BPEA III, LP	45,950.	45,950.		0.
FROM K-1- CERBERUS PARTNERS SERIES 3	5,535.	5,535.		0.
FROM K-1- CERBERUS PARTNERS SERIES 4	19,339.	19,339.		0.
FROM K-1- CIP AIV	3,297.	3,297.		0.
FROM K-1- COLCHESTER GLOBAL BOND FUND	95,461.	95,461.		0.

FROM K-1- CORNERSTONE REAL ESTATE FUND VIII, LP	902.	902.	0.
FROM K-1- DAVIDSON KEMPNER INSTITUTIONAL PARTNERS, LP	163,614.	163,614.	0.
FROM K-1- DFJ GROWTH 2013	36,803.	36,803.	0.
FROM K-1- ENCAP ENERGY CAPITAL FUND IX-C, LP	1,187.	1,187.	0.
FROM K-1- ENCAP ENERGY CAPITAL FUND VIII			
CO-INVESTORS B, LP	713.	713.	0.
FROM K-1- ENCAP ENERGY CAPITAL FUND VIII-B, L.P.	1,471.	1,471.	0.
FROM K-1- ENCAP ENERGY CAPITAL FUND X-C, L.P.	12.	12.	0.
FROM K-1- ENCAP FLATROCK MIDSTREAM FUND II-C LP	699.	699.	0.
FROM K-1- ENCAP FLATROCK MIDSTREAM FUND III-C LP	100.	100.	0.
FROM K-1- EUROPEAN SECONDARY DEVELOPMENT FUND V, L.P.	19,093.	19,093.	0.
FROM K-1- GENSTAR CAPITAL PARTNERS VI, LP	33,489.	33,489.	0.
FROM K-1- GENSTAR CAPITAL PARTNERS VII, LP	17,816.	17,816.	0.
FROM K-1- GREAT HILL EQUITY PARTNERS IV L.P.	71,756.	71,756.	0.
FROM K-1- GREAT HILL EQUITY PARTNERS IV-A L.P.	270.	270.	0.
FROM K-1- GREAT HILL EQUITY PARTNERS V L.P.	15,823.	15,823.	0.
FROM K-1- GREAT HILL EQUITY PARTNERS V-A L.P.	464.	464.	0.
FROM K-1- IDG VENTURES USA III LP	70,773.	70,773.	0.
FROM K-1- INSTITUTIONAL VENTURE PARTNERS XIII, L.P.	25,562.	25,562.	0.
FROM K-1- INSTITUTIONAL VENTURE PARTNERS XV, LP	21,320.	21,320.	0.
FROM K-1- JPM U.S. REAL ESTATE I&G FUND	10,070.	10,070.	0.
FROM K-1- KINETIC VENTURES IX, L.P.	25,218.	25,218.	0.
FROM K-1- LIME ROCK PARTNERS V, L.P.	18,338.	18,338.	0.
FROM K-1- LIME ROCK RESOURCES II-C, LP	108,462.	108,462.	0.
FROM K-1- LIME ROCK RESOURCES III-C, LP	146,687.	146,687.	0.
FROM K-1- LOVELL MINNICK EQUITY PARTNERS IV-A, LP	52,957.	52,957.	0.
FROM K-1- LOVELL MINNICK EQUITY PTNRS III-A, L.P.	47,709.	47,709.	0.
FROM K-1- MERIT ENERGY PARTNERS I, LP	122.	122.	0.

FROM K-1- NEWBURY EQUITY PARTNERS, L.P.	294,011.	294,011.	0.
FROM K-1- OAKTREE OPPORTUNITIES FUND IX	51,860.	51,860.	0.
FROM K-1- OAKTREE OPPORTUNITIES FUND IX AIF (CAYMAN)	3,116.	3,116.	0.
FROM K-1- OAKTREE OPPORTUNITIES FUND VIII AIF (CAYMAN), L.P.	331.	331.	0.
FROM K-1- OAKTREE OPPORTUNITIES FUND VIII AIF (DELAWARE), LP	3,712.	3,712.	0.
FROM K-1- OAKTREE OPPORTUNITIES FUND VIII, L.P.	21,524.	21,524.	0.
FROM K-1- OCM OPPORTUNITIES FUND IV, LP	137.	137.	0.
FROM K-1- OCM OPPORTUNITIES FUND V, LP	2,599.	2,599.	0.
FROM K-1- OCM OPPORTUNITIES FUND VI AIF, (CAYMAN) LP	19.	19.	0.
FROM K-1- OCM OPPORTUNITIES FUND VI AIF, (DELAWARE) LP	179.	179.	0.
FROM K-1- OCM OPPORTUNITIES FUND VI, LP	12,079.	12,079.	0.
FROM K-1- OCM OPPORTUNITIES FUND VII, LP	12,377.	12,377.	0.
FROM K-1- OCM OPPORTUNITIES FUND VII, LP AIF (DELAWARE)	1,245.	1,245.	0.
FROM K-1- OCM OPPORTUNITIES FUND VIIB AIF (CAYMAN), LP	3,441.	3,441.	0.
FROM K-1- OCM OPPORTUNITIES FUND VIIB AIF (DELAWARE), LP	3,082.	3,082.	0.
FROM K-1- OCM OPPORTUNITIES FUND VIIB, LP	38,490.	38,490.	0.
FROM K-1- PRISA LP	223.	223.	0.
FROM K-1- PROLOG CAPITAL IV, LP	13,959.	13,959.	0.
FROM K-1- QUANTUM ENERGY PARTNERS VI LP	352.	352.	0.
FROM K-1- REALTY ASSOCIATES FUND X UTP, LP	37.	37.	0.
FROM K-1- RESOURCE CAPITAL FUND V, L.P.	15,383.	15,383.	0.
FROM K-1- RHO VENTURES V, LP	14,823.	14,823.	0.
FROM K-1- RIVERSIDE FUND V L.P.	19,995.	19,936.	0.
FROM K-1- RIVERVEST VENTURE FUND III	60,279.	60,279.	0.
FROM K-1- SAIF PARTNERS IV, L.P.	40,609.	40,609.	0.
FROM K-1- SAVANNA REAL ESTATE FUND II, L.P.	1,032.	1,032.	0.

FROM K-1- SAYBROOK CORPORATE OPPORTUNITY FUND II, LP	67,836.	67,836.	0.
FROM K-1- SENTINEL CAPITAL PARTNERS V	1,499.	1,499.	0.
FROM K-1- SHOREVIEW PARALLEL PARTNERS III	20,762.	20,762.	0.
FROM K-1- SILVER LAKE PARTNERSHIP IV CASTLE FEEDER I LP	2.	2.	0.
FROM K-1- SILVER LAKE PARTNERSHIP IV LP	31,964.	31,964.	0.
FROM K-1- STIRLING SQUARE CAPITAL PARTNERS THIRD FUND A, L.P.	43,935.	43,935.	0.
FROM K-1- THOMAS WEISEL GLOBAL PARTNERS IV	47,609.	47,609.	0.
FROM K-1- THOMPSON STREET CAPITAL PARTNERS III, LP	34,880.	34,880.	0.
FROM K-1- UBS (US) TRUMBULL PROPERTY FUND LP	38,567.	38,567.	0.
FROM K-1- VECTIS HEALTHCARE & LIFE SCIENCES II	398,483.	398,483.	0.
FROM K-1- VECTIS LIFE SCIENCES FUND I L.P.	87,685.	87,685.	0.
FROM K-1- WARBURG PINCUS CALLISTO PRIVATE EQUITY XI, L.P. CAYMAN	6,220.	6,220.	0.
FROM K-1- WARBURG PINCUS GANYMEDE-II PRIVATE EQUITY X, L.P.	2,680.	2,680.	0.
FROM K-1- WARBURG PINCUS O & G PRIVATE EQUITY X	4,377.	4,377.	0.
FROM K-1- WARBURG PINCUS PRIVATE EQUITY (E&P)X-B, L.P.	101.	101.	0.
FROM K-1- WARBURG PINCUS PRIVATE EQUITY (E&P)XI-B, L.P.	77.	77.	0.
FROM K-1- WARBURG PINCUS PRIVATE EQUITY X, L.P.	27,600.	27,600.	0.
FROM K-1- WARBURG PINCUS PRIVATE EQUITY XI (INTERNATIONAL), L.P.	576.	576.	0.
FROM K-1- WARBURG PINCUS PRIVATE EQUITY XI, L.P.	32,964.	32,964.	0.
FROM K-1- WARBURG PINCUS PRIVATE EQUITY XII (INTERNATIONAL), L.P.	6.	6.	0.
FROM K-1- WARBURG PINCUS PRIVATE EQUITY XII, L.P.	2,652.	2,652.	0.
FROM K-1- WLR RECOVERY FUND V DSS AIV, LP	489.	489.	0.
FROM K-1- WLR RECOVERY FUND V, LP	32,299.	32,299.	0.

INSURANCE	16,696.	0.	16,696.
MEDICAL INSURANCE	60,281.	0.	60,281.
MISCELLANEOUS EXPENSE	8,800.	0.	8,800.
MISCELLANEOUS EXPENSE	787.	0.	787.
OFFICE AND COMPUTER SUPPLIES	3,323.	0.	3,323.
POSTAGE	1,162.	0.	1,162.
PROGRAM ADMINISTRATION	303,959.	0.	303,959.
PROGRAM HONORARIUMS	249,000.	0.	249,000.
SUBS COMP	2,041.	0.	2,041.
SUBSCRIPTIONS, BOOKS, PROF.			
MEMBERSHIPS	3,348.	0.	3,348.
TELEPHONE EXPENSE	600.	0.	600.
TO FORM 990-PF, PG 1, LN 23	3,401,388.	2,742,806.	658,523.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
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DESCRIPTION	AMOUNT
ADJUSTMENT FROM FAIR MARKET VALUE TO BOOK VALUE	9,435,590.
RECOVERY OF AMOUNTS TREATED AS QUALIFYING DISTRIBUTIONS	457,924.
TOTAL TO FORM 990-PF, PART III, LINE 3	9,893,514.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
DIMENSIONAL FUND ADVISORS SMALL CO. FUND	130,198.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10B	130,198.	0.

FORM 990-PF	CORPORATE BONDS	STATEMENT	10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BANK OF AMERICA SHORT-TERM BOND FUND	35,000,000.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10C	35,000,000.	0.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 11	
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ABERDEEN EMERGING MARKETS INSTL FUND	COST	13,000,000.	10,205,943.
DODGE & COX INTL STOCK FUND	COST	17,000,000.	0.
GMO US QUALITY EQUITY FUND III	COST	36,260,887.	32,199,516.
ISHARES TR S&P GSSI NAT RES FD	COST	6,083,585.	4,249,140.
JENSEN FUND I	COST	15,587,344.	21,716,464.
MFS INTL VALUE FUND	COST	13,000,000.	13,010,927.
MORGAN STANLEY INTL EQUITY	COST	10,630,676.	11,910,099.
PIMCO ALL ASSET FUND	COST	5,913,621.	4,903,979.
VAN ECK GLOBAL HARD ASSETS FUND	COST	12,473,572.	6,150,950.
VANGUARD DEVELOPED MARKETS INDEX	COST	23,000,000.	20,381,273.
VANGUARD EMERGING MARKETS STOCK INDEX FUND	COST	22,000,000.	15,881,151.
VANGUARD INSTL INDEX FUND	COST	20,000,000.	25,010,780.
VANGUARD SHORT TERM BOND FUND	COST	7,159,590.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		202,109,275.	165,620,222.

FORM 990-PF	OTHER ASSETS	STATEMENT 12	
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
AMERICAN SECURITIES CAPITAL PARTNERS VI	1,286,582.	1,585,628.	2,473,864.
ANCHORAGE CAPITAL PARTNERS OFFSHORE LTD.	2,000,000.	2,000,000.	4,084,084.
ANCHORAGE SHORT CREDIT OFFSHORE FUND LTD	4,500,000.	5,568,000.	3,652,927.
ARTIMAN VENTURES III, LP	686,965.	764,930.	925,060.
ATLAS CAPITAL RESOURCES II LP	139,836.	367,793.	399,562.
AURELIUS CAPITAL INTERNATIONAL, LTD	6,000,000.	6,000,000.	7,667,718.
AVALON VENTURES X, LP	752,730.	1,208,561.	1,355,501.
AVALON VENTURES XI, LP	0.	74,598.	88,007.
BC EUROPEAN CAPITAL IX	1,021,569.	1,229,842.	1,298,132.
BENSELL US FEEDER III, LP	2,805,007.	3,337,093.	3,454,039.
BERKSHIRE MULTIFAMILY VALUE FUND II, LP	16,013,906.	7,576,190.	14,733,947.
BLACKSTONE REAL ESTATE PARTNERS VIII L.P.	0.	429,866.	195,571.
BLACKSTONE TACTICAL OPPORTUNITIES FUND II L.P.	0.	304,867.	570,726.
BROOKE PRIVATE EQUITY PARTNERS III LP	528,176.	1,025,700.	1,063,551.

CERBERUS INSTITUTIONAL PARTNERS, L.P.-SERIES 3	527,881.	504,220.	288,789.
CERBERUS INSTITUTIONAL PARTNERS, L.P.-SERIES 4	2,712,369.	1,662,057.	1,279,826.
COLCHESTER GLOBAL BOND FUND	17,250,723.	18,067,503.	15,381,825.
CORNERSTONE REAL ESTATE FUND VIII	1,175,170.	864,230.	1,020,734.
DAVIDSON KEMPNER INSTITUTIONAL PARTNERS LP	8,407,605.	8,735,967.	9,259,893.
DFJ GROWTH 2013 LP	512,578.	927,275.	1,108,965.
DOVER STREET VI	2,401,828.	2,011,440.	2,209,421.
ELLIOT INTERNATIONAL	12,000,000.	12,000,000.	22,154,381.
ENCAP ENERGY CAPITAL FUND IX-C, LP	682,810.	1,309,296.	1,102,758.
ENCAP ENERGY CAPITAL FUND VIII			
CO-INVESTORS B LP	1,078,415.	1,353,359.	766,683.
ENCAP ENERGY CAPITAL FUND VIII-B, LP	2,883,556.	3,269,307.	2,285,843.
ENCAP ENERGY CAPITAL FUND X-C, L.P.	0.	79,390.	90,978.
ENCAP FLATROCK MIDSTREAM FUND II-C LP	677,077.	1,179,462.	1,268,131.
ENCAP FLATROCK MIDSTREAM FUND III-C LP	25,159.	65,710.	53,523.
EUROPEAN SECONDARY DEVELOPMENT FUND V LP	230,723.	542,182.	778,596.
FORTRESS MACRO OFFSHORE FUND LP	3,500,000.	68,643.	195,634.
GENSTAR CAPITAL PARTNERS VI, LP	908,295.	1,590,804.	3,137,556.
GENSTAR CAPITAL PARTNERS VII, LP	0.	<3,747.>	14,069.
GREAT HILL EQUITY PARTNERS IV, LP	3,040,830.	2,515,149.	4,119,527.
GREAT HILL EQUITY PARTNERS V, LP	487,499.	1,012,633.	1,026,445.
HOPLITE OFFSHORE FUND, LTD	5,000,000.	5,000,000.	6,974,969.
IDG VENTURES USA III LP	0.	611,189.	646,300.
INSTITUTIONAL VENTURE PARTNERS XV, L.P.	0.	266,680.	272,249.
INSTITUTIONAL VENTURE PARTNERS, XIII, LP	687,468.	631,801.	1,179,905.
JAT CAPITAL OFFSHORE LTD	5,000,000.	0.	0.
JP MORGAN US RE INC & GR FUND	350,400.	335,191.	550,384.
KINETIC VENTURES IX, LP	204,808.	259,601.	339,349.
KING STREET CAPITAL LTD CL A SERIES 1	5,500,000.	5,500,000.	9,330,850.
LIME ROCK PARTNERS V, LP	1,286,823.	1,052,077.	873,621.
LIME ROCK RESOURCES II-C, LP	1,475,201.	1,551,036.	1,888,138.
LIME ROCK RESOURCES III-C, LP	1,007,135.	1,904,340.	1,456,652.
LOVELL MINNICK EQUITY PARTNERS III-A, LP	2,007,928.	2,130,807.	3,588,969.
LOVELL MINNICK EQUITY PARTNERS IV-A, LP	0.	473,178.	475,755.
MERIT ENERGY PARTNERS I LP	24,157.	23,290.	24,610.
NEWBURY SECONDARY FUND LP	6,187,457.	3,683,352.	8,026,072.
OAKTREE OPPORTUNITIES FUND IX	2,093,154.	2,697,582.	2,382,814.
OAKTREE OPPORTUNITIES FUND VIII, L.P.	1,184,798.	1,024,359.	992,282.
OCM OPPORTUNITIES FUND IV	3,350.	3,213.	11,983.

OCM OPPORTUNITIES FUND V	293,543.	160,577.	69,843.
OCM OPPORTUNITIES FUND VI	434,508.	460,190.	193,662.
OCM OPPORTUNITIES FUND VII, LP	699,565.	675,223.	364,181.
OCM OPPORTUNITIES FUND VIIB, LP	2,389,637.	2,324,937.	1,120,713.
OZ OVERSEAS FUND II LTD	6,000,000.	6,000,000.	10,112,421.
PRISA LP	3,537,479.	3,540,275.	6,178,249.
PROLOG CAPITAL IV	57,786.	155,503.	168,048.
QUANTUM ENERGY PARTNERS VI, LP	0.	172,346.	192,549.
REALTY ASSOCIATES FUND IX	4,450,878.	4,450,878.	8,093,789.
REALTY ASSOCIATES FUND X UTP, LP	1,655,567.	1,768,589.	2,098,544.
RESOURCE CAPITAL FUND V LP	779,340.	760,577.	388,954.
RHO VENTURES V, LP	1,452,203.	1,364,240.	1,275,102.
RIVERSIDE FUND V, LP	489,637.	855,445.	779,569.
RIVERVEST VENTURE FUND III LP	228,078.	387,001.	419,393.
SAIF PARTNERS IV LP	1,704,949.	1,773,128.	2,567,699.
SAVANNA REAL ESTATE FUND II, LP	584,488.	1,378,473.	40,966.
SAYBROOK CORPORATE OPPORTUNITY FUND II	941,534.	1,167,328.	913,743.
SECURITY DEPOSITS	2,992.	2,992.	0.
SENTINAL CAPITAL PARTNERS V	456,990.	656,743.	716,212.
SHELLBACK FUND LP	0.	7,000,000.	6,899,836.
SHOREVIEW CAPITAL PARTNERS III	161,469.	206,386.	257,757.
SILVER LAKE PARTNERSHIP IV, LP	196,138.	346,043.	995,071.
SILVER POINT CAPITAL OFFSHORE FUND LTD	4,000,000.	4,000,000.	6,775,802.
STARK GLOBAL OPPORTUNITIES	439,801.	433,054.	228,474.
STIRLING SQUARE CAP PART 3RD FUND A	0.	476,899.	558,228.
THOMAS WEISEL GLOBAL GROWTH PARTNERS IV (S), LP	1,900,721.	1,633,602.	1,849,370.
THOMPSON STREET CAPITAL III	776,802.	1,572,965.	1,899,626.
TIAA-CREF ASSET MANAGEMENT CORE PROPERTY	9,229,891.	9,155,288.	0.
TIGER ASIA	2,580.	258.	2,768.
UBS TRUMBULL PROPERTY FUND LP	2,977,102.	2,937,158.	3,363,136.
VECTIS HEALTHCARE & LIFE SCIENCES FUND II, LP	7,828,812.	8,413,637.	11,070,908.
VECTIS LIFE SCIENCE FUND I	5,045,549.	4,370,801.	4,215,530.
WARBURG PINCUS PRIVATE EQUITY X, LP	6,343,431.	5,091,167.	6,543,146.
WARBURG PINCUS PRIVATE EQUITY XI, LP	1,311,315.	1,917,894.	2,354,264.
WARBURG PINCUS PRIVATE EQUITY XII, LP	0.	28,346.	31,000.
WEXFORD OFFSHORE SPECTRUM FUND	6,270,064.	6,270,064.	8,932,585.
WLR RECOVERY FUND V, LP	1,878,791.	2,031,063.	1,820,621.
TRUSTBRIDGE PARTNERS V	0.	893,373.	893,373.
TO FORM 990-PF, PART II, LINE 15	200,771,608.	201,208,087.	242,906,300.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JAMES S. MCDONNELL III 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR & SECRETARY 1.00	0.	0.	0.
JOHN F. MCDONNELL 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR & TREASURER 2.50	0.	0.	0.
HOLLY M. JAMES 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR 1.00	0.	0.	0.
JEANNE M. CHAMPER 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR 1.00	0.	0.	0.
MARCELLA M. STEVENS 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR 1.00	0.	0.	0.
ALICIA S. MCDONNELL 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR 1.00	0.	0.	0.
SUSAN M. FITZPATRICK, PH.D. 1034 S. BRENTWOOD, #1850 ST LOUIS, MO 63117-1284	PRESIDENT 50.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 14

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

DR. SUSAN M. FITZPATRICK
1034 S. BRENTWOOD, #1850
ST LOUIS, MO 63117-1284

TELEPHONE NUMBER

(314) 721-1532

FORM AND CONTENT OF APPLICATIONS

REQUEST FOR PROPOSALS(RFP) SUBMISSION INFORMATION ON FUNDING PROGRAMS CAN
BE OBTAINED AT THE FOUNDATION'S WEBSITE ADDRESS: WWW.JSMF.ORG

ANY SUBMISSION DEADLINES

SEE 2.B. ABOVE AND THE FOUNDATION'S WEBSITE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

JAMES S. McDONNELL FOUNDATION
FEIN # 54-2074788
FORM 990-PF (2015)
PART VII – B, LINES 5(a)(4) AND 5(c)
REG. § 53.4945-5(d) STATEMENT
ATTACHED STATEMENT

1. Grantee:

The JSM Charitable Trust ("JSMCT")
1034 South Brentwood Blvd.
Suite 1860
St. Louis, MO 63117-1229

2. Dates and amounts of grants made to JSMCT by the James S. McDonnell Foundation (FEIN: 54-2074788, "JSMF"):

<u>DATE</u>	<u>AMOUNT</u>
5/22/2015	\$ 1,162,500.00
11/10/2015	\$ 6,459,000.00

3. JSMF made the above grants to JSMCT so that JSMCT could obtain matching grants and then make distributions of such grants and the matching grants in 2015 to various public charities described in section 509(a)(1) or (2) of the Internal Revenue Code.
4. The above grants totaling \$7,621,500 were distributed by JSMCT in 2015 to various public charities described in section 509(a)(1) or (2) of the Internal Revenue Code.
5. JSMCT has not diverted any portion of the above grants from their tax-exempt purpose.
6. JSMF receives a copy of JSMCT's Form 990-PF for 2015 at the time it is filed with the Internal Revenue Service.

James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3.a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Program Area
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Understanding Human Cognition

Collaborative

Paid 3/20/2015	220020346T	Emory University Office of Sponsored Programs 1784 N Decatur Rd Suite 510 Atlanta, Georgia 30322	Probing the overlap between sleep and anesthesia to enhance human cognition	\$391,015 00	21st Century Science Initiative-Understanding Human Cognition/UHC Collaborative
Paid 12/14/2015	220020346T	Emory University Office of Sponsored Programs 1784 N Decatur Rd Suite 510 Atlanta, Georgia 30322	Probing the overlap between sleep and anesthesia to enhance human cognition	\$406,771 36	21st Century Science Initiative-Understanding Human Cognition/UHC Collaborative
Paid 12/14/2015	220020449	Harvard University Office for Sponsored Programs 1350 Massachusetts Ave, Holyoke Center Suite 600	The Nature and Origins of the Human Capacity for Abstract Combinatorial Thought	\$296,056 00	21st Century Science Initiative-Understanding Human Cognition/UHC Collaborative
Paid 4/17/2015	220020132 01	The Salk Institute 10010 North Torrey Pines Road La Jolla, California 92037-1099	Adult neurogenesis Bridging the gap between model organisms and human cognition	\$500,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Collaborative
Paid 6/19/2015	220020387	University of Iowa Division of Sponsored Programs 2 Gilmore Hall Iowa City, Iowa 52242	Vulnerable hubs in human brain networks A new approach to neurological disease	\$500,038 00	21st Century Science Initiative-Understanding Human Cognition/UHC Collaborative
Paid 12/14/2015	220020387	University of Iowa Division of Sponsored Programs 2 Gilmore Hall Iowa City, Iowa 52242	Vulnerable hubs in human brain networks A new approach to neurological disease	\$513,277 00	21st Century Science Initiative-Understanding Human Cognition/UHC Collaborative

James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3.a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Pgm Area
Paid 8/7/2015	220020419	University of Michigan-Ann Arbor Office of Research & Sponsored Projects 1054 Wolverine Tower - 3003 S State St Ann Arbor, Michigan 48109-1274	Reconstructing Consciousness and Cognition, Phase 2	\$664,791 00	21st Century Science Initiative-Understanding Human Cognition/UHC Collaborative
Paid 9/11/2015	220020156 01	Weill Medical College of Cornell University Research & Sponsored Programs 1300 York Avenue, Box 89 New York, New York 10065	A collaborative study of recovery of consciousness after severe brain injury-Phase II	\$1,266,436 00	21st Century Science Initiative-Understanding Human Cognition/UHC Collaborative
UHC - Collaborative				\$4,538,384.36	
Planning Grant					
Paid 4/17/2015	220020418 [OFCR APPVD 3-9-15]	Harvard University Office for Sponsored Programs 1350 Massachusetts Ave, Holyoke Center Suite 600 Cambridge, Massachusetts 02138-3800	In support of a planning/overview workshop to carefully examine experimental designs	\$50,000 00	21st Century Science Initiative-Understanding Human Cognition/[OFCR APPVD] UHC Collaborative Planning Grant
Paid 11/30/2015	220020452 [OFCR APPVD 11-2-15]	Carnegie Mellon University Office of Sponsored Research 5000 Forbes Avenue, HBH 3000 Pittsburgh, Pennsylvania 15213	Planning Activity Peer & panel review of interdisciplinary grant proposals & research projects	\$50,000 00	21st Century Science Initiative-Understanding Human Cognition/[OFCR APPVD] UHC Planning Grant
UHC - Planning Grant				\$100,000.00	
Special Initiative					
Paid Scheduled. 7/17/2015 Paid 7/20/2015	220020443	Fundacion Universidad Torcuato Di Tella Av Figueros Alcorta 7350, C1428BCW, Ciudad Autonoma de Buenos Aires, Argentina	6th Latin American School for Education, Cognitive and Neural Sciences	\$350,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Special Initiative

James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3.a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Pgm Area
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UHC - Special Initiative

\$350,000.00

Scholar

Paid 11/30/2015	220020332	Brown University Office of Research Administration Box 1929, Angell Street Providence, RI 02912	Foundations of goal-directed behavior Neural and cognitive processes supporting cognitive control	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 12/14/2015	220020401	Carnegie Mellon University Office of Sponsored Research 5000 Forbes Avenue, HBH 3000 Pittsburgh, Pennsylvania 15213	Elucidating developmental change in the structure of semantic knowledge and inductive reasoning	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 7/20/2015	220020430	Colgate University 13 Oak Drive 108 Olin Hall Hamilton, New York 13346	Understanding early visual codes and their relative contribution to rapid perceptual inference	\$100,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 8/7/2015	220020426	Colby College Office of the Vice President for Administration 4774 Mayflower Hill Waterville, Maine 04901-8847	Integrating new and prior knowledge in semantic memory	\$100,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 12/14/2015	220020335	Ecole Normale Supérieure Direction Generale des Services 45 Rue d'Ulm - F-75230 Paris, Cedex 05 France	Causal inference with spiking neurons	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 2/23/2015	220020284	The Hebrew University of Jerusalem Authority for Research and Development 2 Keren Hayesod St., Terra Sancta Bldg Jerusalem 91904 Israel	The brain as a flexible, sensory-modality-independent task machine From basic research to visual rehabilitation	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar

James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3.a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Pgm Area
Paid 10/27/2015	220020407	Johns Hopkins University 600 North Wolfe Street Houck Building, First Floor Baltimore, Maryland 21205	How biology and experience shape mathematical thought	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 10/27/2015	220020406	Massachusetts General Hospital Research Management 50 Stamford Street, Suite 1001 Boston, Massachusetts 02114-2554	Reanimation and cognitive recovery from general anesthesia	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 10/27/2015	220020331	Massachusetts Institute of Technology Office of Sponsored Programs 77 Massachusetts Avenue NE18-901 Cambridge, Massachusetts 02139-4307	The computational basis of human audition Representation, recognition, and segregation	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 7/20/2015	220020431	Mount Holyoke College Sponsored Research Office 50 College Street South Hadley, Massachusetts 01035	Exploring auditory imagery through reading	\$100,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 12/14/2015	220020408	New York University Sponsored Programs Accounting 726 Broadway-9th Floor New York, New York 10003	Self-directed learning Understanding the interactions between decision making, learning, and memory	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 7/20/2015	220020425	Occidental College Office of the Vice President for Academic Affairs 1600 Campus Road Los Angeles, California 90041	The roles of intuition and reflection in understanding science	\$100,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 11/30/2015	220020427	Pomona College Office of Sponsored Research 333 N. College Avenue Claremont, California 91711-6349	The rational construction of preference	\$100,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar

James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3.a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Pgm Area
Paid 11/30/2015	220020373	Radboud University Nijmegen Donders Institute for Brain, Cognition and Behaviour Montessorilaan 3 6525 EN Nijmegen The Netherlands	Priors in perception How foreknowledge changes neural computations	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 10/27/2015	220020402	Stanford University Office of Sponsored Research 651 Serra Street, Suite 260 Stanford, California 94305-4125	The emergence of cognition from the biophysics of neurons and synapses	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 12/14/2015	220020369	Universita degli Studi di Milano Divisione Servizi per la Ricerca Ufficio Auditing e Consulenza Contabile Progetti Via Festa del Perdono, 7 20122 Milano, Italy	Consciousness and brain complexity From theory to practice	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 2/23/2015	220020282	University of Aberdeen Research & Innovation University Office King's College Aberdeen AB24 3FX (Scotland) United Kingdom	Attention, perceptual continuity, and action control	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 2/23/2015	220020288	University of California-Berkeley Sponsored Projects Office 336 Sproul Hall Berkeley, California 94720-5940	Knowledge from nowhere How thinking leads to learning	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 10/27/2015	220020329	University of California-San Diego MC 0934 9500 Gilman Drive La Jolla, California 92093	Linking attentional modulations in human visual cortex with perception and behavior	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar

James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3.a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Pgm Area
Paid 12/14/2015	220020375	University of California-San Diego MC 0934 9500 Gilman Drive La Jolla, California 92093	A stopping circuit for human self control	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 2/23/2015	220020289	University of Cambridge Research Operations Office 16 Mill Lane Cambridge CB2 1SB United Kingdom	Progress in understanding voluntary action	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 10/27/2015	220020333	University of Cambridge Research Operations Office 16 Mill Lane Cambridge CB2 1SB United Kingdom	Getting a grip on the subjective experience of remembering	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 12/14/2015	220020370	University of Cambridge Research Operations Office 16 Mill Lane Cambridge CB2 1SB United Kingdom	Cognitive and emotional background of mathematical development The role of working memory and executive attention to context	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 10/27/2015	220020236T	University of Chicago University Research Administration 6030 S Ellis Avenue, Room 114 Chicago, Illinois 60637	How experience shapes the mind Roles of language, culture, and body	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 10/27/2015	220020374	University of Edinburgh External Engagement Charles Stewart House 9-16 Chambers Street Edinburgh EH1 1HT United Kingdom	Understanding synergies in language acquisition through computational modeling	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar

James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3.a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Pgm Area
Paid 11/30/2015	220020330	University of Oxford 9 South Parks Road Oxford, OX1 3UD United Kingdom	Effects of attention disorders on developing cognition. Mechanisms and plasticity	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 12/14/2015	220020372	University of Oxford 9 South Parks Road Oxford, OX1 3UD United Kingdom	Cortical mechanisms underlying human behavioural control	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 10/27/2015	220020404	University of Oxford 9 South Parks Road Oxford, OX1 3UD United Kingdom	New frontiers to improve learning, cognition and neuroplasticity	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 12/14/2015	220020405	University of Oxford 9 South Parks Road Oxford, OX1 3UD United Kingdom	Stability of mind in a dynamic brain Neural principles of working memory for flexible human cognition	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 7/20/2015	220020428	University of Richmond Office of the President 28 Westhampton Way Richmond, Virginia 23173-0001	Beyond categories Understanding the factors underlying category specificity in the brain	\$100,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 9/11/2015	220020300	University of Rochester Office of Research & Project Administration 517 Hyman Hall Rochester, New York 14627	The origins and organization of numerical information in the mind and brain	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 12/14/2015	220020376	University of Toronto U S & International Programs 12 Queen's Park Crescent West 3rd Floor Toronto, Ontario M5S 1S8 Canada	Seeing is remembering Probing the common representations underlying memory and perception	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar

James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3.a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Pgm Area
Paid 12/14/2015	220020403	University of Western Ontario aka Western University Research Development & Services Support Services Building 1393 Western Road London, ON, Canada N6G 1G9	Moving to the beat The relationship between rhythm perception and movement	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 11/23/2015	220020283T	University of Western Ontario aka Western University Research Development & Services Support Services Building 1393 Western Road London, ON, Canada N6G 1G9	How does the brain learn movement? Bridging the gap between behavioral processes and functional imaging signals	\$141,062 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 7/20/2015	220020432	Wellesley College 106 Central Street Wellesley, Massachusetts 02481	Understanding the unique relationship between language and human cognition	\$100,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 9/11/2015	220020371	Williams College Provost's Office Hopkins Hall 880 Main Street Williamstown, Massachusetts 01267	Improving self-regulated learning	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Paid 10/27/2015	220020242	Yale University Grant & Contract Financial Administration 47 College Street, Suite 216 New Haven, Connecticut 06520-8047	To err is human? Exploring the evolutionary origins of cognitive biases	\$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
UHC - Scholar				\$5,191,062.00	

James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3.a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Pgm Area
Cognitive Rehabilitation					
Paid 8/7/2015	220020220 01	Johns Hopkins University 600 North Wolfe Street Houck Building, First Floor Baltimore, Maryland 21205	Tracking and altering the time course of spontaneous biological recovery after stroke Phase II	\$903,521 00	21st Century Science Initiative-Cognitive Rehabilitation-Collaborative
Cog Rehab - Collab				\$903,521.00	

Mathematical and Complex Systems Approaches for Brain Cancer

Collaborative					
Paid 11/30/2015	220020393	Bank of America Charitable Gift Fund c/o Brain Tumor Funders' Collaborative 225 Franklin Street MA1-225-04-02 Boston, Massachusetts 02110	Tracking and altering the time course of spontaneous biological recovery after stroke Phase II	\$500,000 00	21st Century Science Initiative-Mathematical and Complex Systems Approaches for Brain Cancer/M&CS-BC Collab
Paid 12/14/2015	220020400TT	Mayo Clinic Arizona Office of Sponsored Projects Administration 13400 E Shea Boulevard Scottsdale, Arizona 85259	The ENDURES study Environmental Dynamics Underlying Responsive Extreme Survivors of Glioblastoma	\$713,961 00	21st Century Science Initiative-Mathematical and Complex Systems Approaches for Brain Cancer/M&CS-BC Collab
Paid 11/30/2015	220020450	University of Castilla-La Mancha (Universidad de Castilla-La Mancha) E T S Ingenieros Industriales Avda Camilo Jose Cela, 3 13071 Ciudad Real, Spain	Therapy optimization in glioblastoma An integrative human data-based approach using mathematical models	\$313,000 00	21st Century Science Initiative-Mathematical & Complex Systems Approaches for Brain Cancer/M&CS-BC Collab

James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3.a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Pgm Area
Paid 2/23/2015	220020342	Washington University School of Medicine Grants & Contracts Campus Box 8018 660 South Euclid Avenue St Louis, Missouri 63110	Brain tumor ecology collaborative (BTEC)	\$524,238 00	21st Century Science Initiative-Mathematical & Complex Systems Approaches for Brain Cancer/M&CS-BC Collab
M&CS-BC - Collab				\$2,051,199.00	
Planning Grant					
Paid 4/17/2015	220020420 [OFCR APPVD 4-2-15]	University of Castilla-La Mancha (Universidad de Castilla-La Mancha) E T S Ingenieros Industriales Avda Camilo Jose Cela, 3 13071 Ciudad Real, Spain	Tumor heterogeneity in glioblastoma An integrative approach	\$ 49,000 00	21st Century Science Initiative- Mathematical & Complex Systems Approaches for Brain Cancer/ M&CS-BC Collab Pilot/M&CS- BC Special Initiative/[OFCR APPVD] Planning Grant
M&CS-BC - Collab/Pilot/Ping Gnt				\$ 49,000.00	
(Brain Cancer) Research					
Paid 11/30/2015	220020319	Ludwig Institute for Cancer Research Ltd 9500 Gilman Drive, MC-0660 CMM-East, Room 3041 La Jolla, California 92093-0600	EGFR inhibitor resistance in glioblastoma Deciphering the role of FGFR-mediated PTEN phosphorylation	\$111,511 00	21st Century Science Initiative-Brain Cancer/BC Research
Paid 11/30/2015	220020295	Northwestern University Rubloff Building 750 North Lake Shore Drive, 7th Floor Chicago, Illinois 60611-3008	The Bcl2L12-microRNA-182 axis in glioblastoma	\$109,164 00	21st Century Science Initiative-Brain Cancer/BC Research
Paid 11/30/2015	220020318	University of Texas M D Anderson Cancer Center - Office of Sponsored Programs Mid-Campus Building 1 (1MC7 2272), Unit 1676 1515 Holcombe Blvd Houston, Texas 77030	Regulation of PKM2 in glioblastoma development	\$ 90,000 00	21st Century Science Initiative-Brain Cancer/BC Research
BC - Rsrch				\$310,675.00	

James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3.a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Pgm Area
Studying Complex Systems					
Postdoctoral Fellowship					
Paid 11/30/2015	220020410	Columbia University Office of Grants & Contracts, P&S 2-241 630 West 168th Street, Mailbox 49 New York, New York 10032	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship
Paid 4/17/2015	220020384	Harvard University Office for Sponsored Programs 1350 Massachusetts Ave, Holyoke Center Suite 600 Cambridge, Massachusetts 02138-3800	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship
Paid 6/19/2015	220020424	Harvard University Office for Sponsored Programs 1350 Massachusetts Ave, Holyoke Center Suite 600 Cambridge, Massachusetts 02138-3800	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship
Paid 11/30/2015	220020436	Harvard University Office for Sponsored Programs 1350 Massachusetts Ave, Holyoke Center Suite 600 Cambridge, Massachusetts 02138-3800	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship
Paid 11/30/2015	220020411	Imperial College London Office of the Rector Prince Consort Road London SW7 SBB England, United Kingdom	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship
Paid 10/27/2015	220020409	Massachusetts Institute of Technology Office of Sponsored Programs 77 Massachusetts Avenue NE18-901 Cambridge, Massachusetts 02139-4307	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship

James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3.a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Pgm Area
Paid 6/19/2015	220020422	New Jersey Institute of Technology Office of Sponsored Research Administration 323 Dr Martin Luther King Jr Blvd University Heights Newark, New Jersey 07102-1982	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship
Paid 10/27/2015	220020382	Princeton University Office of Research and Project Administration 4th Floor, New South Building P O Box 36 Princeton, New Jersey 08544-0036	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship
Paid 5/22/2015	220020415	Princeton University Office of Research and Project Administration 4th Floor, New South Building P O Box 36 Princeton, New Jersey 08544-0036	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship
Paid 10/27/2015	220020388	Santa Fe Institute 1399 Hyde Park Rd Santa Fe, New Mexico 87501-8943	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship
Paid 8/7/2015	220020385	Stanford University Office of Sponsored Research 651 Serra Street, Suite 260 Stanford, California 94305-4125	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship
Paid 9/11/2015	220020444	University College London Office of Planning and Resources Gower Street London WC1E 6BT England, United Kingdom	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship

James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3.a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Pgm Area
Paid 11/30/2015	220020414	Universitat de Barcelona (University of Barcelona) International Research Projects Office Parc Cientific Torre D, 4a planta Baldun Rencac 4-8 08028 Barcelona, Spain	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship
Paid 7/20/2015	220020442	University of California-San Diego MC 0934 9500 Gilman Drive La Jolla, California 92093	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship
Paid 6/19/2015	220020423	University of Chicago University Research Administration 6030 S Ellis Avenue, Room 114 Chicago, Illinois 60637	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship
Paid 8/7/2015	220020390	University of Maryland-College Park Office of Research Administration 3112 Lee Building College Park, Maryland 20742-5141	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship
Paid 11/30/2015	220020451	University of Maryland-College Park Office of Research Administration 3112 Lee Building College Park, Maryland 20742-5141	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship
Paid 10/27/2015	220020447	University of Michigan-Ann Arbor Office of Research & Sponsored Projects 1054 Wolverine Tower - 3003 S State St Ann Arbor, Michigan 48109-1274	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship
Paid 5/22/2015	220020421	University of Washington Office of Research Grant and Contract Services 4333 Brooklyn Ave NE, Box 359472 Seattle, Washington 98195-9472	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship

James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3.a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Pgm Area
Paid 8/7/2015	220020386	Yale University Grant & Contract Financial Administration 47 College Street, Suite 216 New Haven, Connecticut 06520-8047	In support of the postdoctoral fellow's salary, fringe benefits and research plan	\$100,000 00	21st Century Science Initiative-Postdoctoral Program in Complexity Science/CS Fellowship
PD Fellows				\$2,000,000.00	

Scholar

Paid 9/11/2015	220020365	California Institute of Technology 1200 East California Blvd Mail Code 201-15 Pasadena, California 91125	Evolving diversity with few parts	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 11/30/2015	220020366	Carnegie Mellon University Office of Sponsored Research 5000 Forbes Avenue, HBH 3000 Pittsburgh, Pennsylvania 15213	Exploring similarities of network based information processing in biology and computer systems	\$168,750 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 12/14/2015	220020364	Case Western Reserve University Office of Foundation Relations 10900 Euclid Avenue Cleveland, OH 44106-7035	Alternative stable states and stochasticity in ecological dynamics	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 12/14/2015	220020397	Duke University Office of Research Administration 2200 West Main Street Suite 820, Erwin Square Plaza Durham, North Carolina 27705	Cohesion & Connectivity: methods for identifying endemic diffusion in dynamic networks	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 12/14/2015	220020399	Georgia Institute of Technology Office of Sponsored Programs 505 Tenth Street N W Atlanta, Georgia 30332-0420	Tracking time-varying low-dimensional structure to uncover the building blocks of complex dynamics	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 12/14/2015	220020322	Harvard Medical School Sponsored Programs Administration Gordon Hall, Suite 509 25 Shattuck Street Boston, Massachusetts 02115	Poverty traps and the ecology of economic development	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar

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James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3.a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Pgm Area
Paid 11/30/2015	220020324T	James Cook University Research Services 1 James Cook Drive Townsville, Qld, Australia, 4811	Complexity, networks, and spatial resilience in social-ecological systems	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 10/27/2015	220020323	Johns Hopkins University 600 North Wolfe Street Houck Building, First Floor Baltimore, Maryland 21205	Decoding complex animal behavior via sparsity	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 7/20/2015	220020434	New York University Sponsored Programs Accounting 726 Broadway-9th Floor New York, New York 10003	Understanding the complex urban system through remote imaging	\$150,000 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 12/14/2015	220020394	Northwestern University Rubloff Building 750 North Lake Shore Drive, 7th Floor Chicago, Illinois 60611-3008	Modeling Biocomplexity from molecular interactions to population genetics	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 9/11/2015	220020395	North Carolina State University Sponsored Programs & Regulatory Compliance Services 2701 Sullivan Drive, Suite 240, Campus Box 7514 Raleigh, North Carolina 27695-7514	A network approach to multi-scale materials	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 10/27/2015	220020368	The Ohio State University 1960 Kenny Road Columbus, Ohio 43210	Synchronization of spontaneous activity in the cardiac pacemaker	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 7/20/2015	220020435	Stevens Institute of Technology Office of Sponsored Programs Suite 322, Room B, 3rd Floor of the EAS Building 1 Castle Point on the Hudson Hoboken, New Jersey 07030	Multiscale causality across time and space	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar

James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3.a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Pgm Area
Paid 10/27/2015	220020367	Tulane University 6823 St Charles Avenue New Orleans, Louisiana 70118-5698	Migratory networks Exploring the dynamics of complex migration systems	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 11/30/2015	220020363	Universitat de Barcelona (University of Barcelona) International Research Projects Office Parc Cientific Torre D, 4a planta Baldri Rerac 4-8 08028 Barcelona, Spain	Mapping complexity Embedding networks in hidden metric spaces	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 2/23/2015	220020325	Universitat Rovira i Virgili European Projects Office Av Pausos Catalans 5-7 43007-Tarragona, Spain	Multilevel analysis of complex networked systems	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 10/27/2015	220020326	University of California-Los Angeles Office of Contract & Grant Administration 10920 Wilshire Blvd, Suite 211 Los Angeles, California 90024-1406	Uncovering mechanisms of diversity maintenance The interplay between abiotic environmental variation and non-linear biotic interactions	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 11/30/2015	220020437	University of California-Los Angeles Office of Contract & Grant Administration 10920 Wilshire Blvd, Suite 211 Los Angeles, California 90024-1406	Emergent interactions in complex networks Beyond pairwise parts in systems ranging from drugs and microbes to consumers and resources	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 11/30/2015	220020396	University of Chicago University Research Administration 6030 S Ellis Avenue, Room 114 Chicago, Illinois 60637	Measuring the predictability of evolution with adaptive immunity	\$150,000 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 7/20/2015	220020438	University of Colorado at Boulder Office of Contracts and Grants 3100 Marine Street, Room 479 Boulder, Colorado 80303-1058	Spatial aggregation and scaling of human networks in history	\$150,000 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar

James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3 a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Pgm Area
Paid 9/11/2015	220020441	University of Exeter, US Foundation 244 Fifth Avenue, Suite # S298 New York, New York 10001	Complexity and scaling in the long-range collective movement of animals	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 2/23/2015	220020327	University of Guelph Office of Research Room 437 University Centre Guelph, Ontario, Canada N1G 2W1	Coupled human-environment system dynamics and alternative stable states in complex mosaic ecosystems	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 11/30/2015	220020439	University of Illinois - Urbana/Champaign Office of Sponsored Programs and Research Administration M/C 685 1901 S First Street, Suite A Champaign, IL 61820-7406	The origins of emergent phenomena renormalization, coarse-graining, and the fingerprints of ecological and evolutionary processes	\$ 90,000 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 11/30/2015	220020440	University of Kansas Center for Research, Inc 2385 Irving Hill Road Lawrence, Kansas 66045-7568	Spatio-temporal climate impacts on complex ecosystems	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 9/11/2015	220020398	University of New Mexico 1 University of New Mexico Albuquerque, New Mexico 87131-0001	Emergent cooperative search in natural and engineered systems	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
Paid 2/23/2015	220020315	University of North Carolina at Chapel Hill Office of Sponsored Research 104 Airport Drive, Suite 2200, CB #1350 Chapel Hill, North Carolina 27599-1350	Community detection in networks across time	\$112,500 00	21st Century Science Initiative-Studying Complex Systems/CS-Scholar
CS Scholar				\$3,071,250.00	

James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3 a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Pgm Area
Research					
Paid 2/23/2015	220020274	Indiana University Foundation P.O. Box 66057 Indianapolis, Indiana 46266-6057	Contagion of ideas in online social networks	\$118,581 00	21st Century Science Initiative-Studying Complex Systems/SCS Research
Paid 2/23/2015	220020294	National Center for Scientific Research (CNRS) (Centre National de la Recherche Scientifique) Institut des Sciences de l'Evolution Universite Montpellier II UMR 5554, CC065, Place E Bataillon 34095 Montpellier Cedex 5, France	Coevolution across scales	\$ 76,200 00	21st Century Science Initiative-Studying Complex Systems/SCS Research
Paid 2/23/2015	220020228	Universitat Rovira i Virgili European Projects Office Av Paisos Catalans 5-7 43007-Tarragona, Spain	Discovery, decomposition and dynamics of complex networks	\$ 68,696 00	21st Century Science Initiative-Studying Complex Systems/SCS Research
Paid 2/23/2015	220020229	The University at Albany Foundation University Administration Bldg, Rm 226 1400 Washington Avenue Albany, New York 12222	Understanding the implications of a self-organized traffic grid	\$ 61,850 00	21st Century Science Initiative-Studying Complex Systems/SCS Research
Paid 12/14/2015	220020277	University of Connecticut Office for Sponsored Programs 438 Whitney Road Extension, Unit 1133 Storrs, Connecticut 06269-1133	Does evolution affect the assembly dynamics of biological communities?	\$ 69,449 00	21st Century Science Initiative-Studying Complex Systems/SCS Research
CS - Rsrch				\$394,776.00	

James S. McDonnell Foundation - 2015 Grants Paid by Program Area

As of 31Dec15

Form 990-PF, Part XV, Line 3 a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amount	Pgm Area
Special Interests					
Paid 5/22/2015	220020217	The JSM Charitable Trust 1034 South Brentwood Blvd Suite 1860 St Louis, Missouri 63117-1218	A charitable trust described in IRC Sections 501(C)(3), 509(a) and 170(b)(1)(F)(ii) Operating Fund	\$1,162,500.00	Special Interests/JSMF Transfers to JSMCT
Paid 11/10/2015	220020217	The JSM Charitable Trust 1034 South Brentwood Blvd Suite 1860 St Louis, Missouri 63117-1218	A charitable trust described in IRC Sections 501(C)(3), 509(a) and 170(b)(1)(F)(ii) Operating Fund	\$6,459,000.00	Special Interests/JSMF Transfers to JSMCT
Spec. Interest				\$7,621,500.00	

Grand Totals **\$26,581,367.36**

James S. McDonnell Foundation
Grant Commitment Schedule (6 years) by Program Area
As of 12/31/2015

Form 990-PF, Part XV, Line 3.b

Grantee Name/ Approved Amount/Title	Scheduled Payments				Remaining Balance
	2016	2017	2018	2019	
Understanding Human Cognition					
Collaborative					
Harvard University \$1,977,025.00 <i>The Nature and Origins of the Human Capacity for Abstract Combinatorial Thought</i>	\$0.00	\$436,037.00	\$444,197.00	\$452,604.00	\$348,131.00
University of Michigan-Ann Arbor \$1,560,439.00 <i>Reconstructing Consciousness and Cognition, Phase 2</i>	\$534,539.00	\$361,109.00	\$0.00	\$0.00	\$0.00
University of Oxford \$2,356,160.00 <i>Brain Rhythms in Cognition</i>	\$558,995.00	\$600,118.00	\$599,965.00	\$597,082.00	\$0.00
Weill Medical College of Cornell University \$5,028,733.00 <i>A collaborative study of recovery of consciousness after severe brain injury-Phase II</i>	\$1,310,789.00	\$0.00	\$0.00	\$0.00	\$0.00
UHC Collab. Subtotal	\$2,404,323.00	\$1,397,264.00	\$1,044,162.00	\$1,049,686.00	\$348,131.00

Scholar

Carnegie Mellon University \$600,000.00 <i>Elucidating developmental change in the structure of semantic knowledge and inductive reasoning</i>	\$150,000.00	\$150,000.00	\$0.00	\$0.00	\$0.00
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James S. McDonnell Foundation
Grant Commitment Schedule (6 years) by Program Area
As of 12/31/2015

Form 990-PF, Part XV, Line 3.b

Grantee Name/ Approved Amount/Title	Scheduled Payments					Remaining Balance
	2016	2017	2018	2019	2020	
Colby College \$600,000.00 <i>Integrating new and prior knowledge in semantic memory</i>	\$100,000.00	\$100,000.00	\$100,000.00	\$100,000.00	\$100,000.00	\$0.00
Colgate University \$600,000.00 <i>Understanding early visual codes and their relative contribution to rapid perceptual inference</i>	\$100,000.00	\$100,000.00	\$100,000.00	\$100,000.00	\$100,000.00	\$0.00
Fundacion Universidad Torcuato Di Tella \$600,000.00 <i>From single decisions to mental programs</i>	\$300,000.00	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00
Johns Hopkins University \$600,000.00 <i>How biology and experience shape mathematical thought</i>	\$150,000.00	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00
Knox College [transfer reqst to Skidmore College] \$600,000.00 <i>How does retrieval shape memory? Exploring the retrieval practice effect</i>	\$100,000.00	\$100,000.00	\$100,000.00	\$100,000.00	\$100,000.00	\$0.00
Massachusetts General Hospital \$600,000.00 <i>Reanimation and cognitive recovery from general anesthesia</i>	\$150,000.00	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00
Mount Holyoke College \$600,000.00 <i>Exploring auditory imagery through reading</i>	\$100,000.00	\$100,000.00	\$100,000.00	\$100,000.00	\$100,000.00	\$0.00

James S. McDonnell Foundation

Grant Commitment Schedule (6 years) by Program Area

As of 12/31/2015

Form 990-PF, Part XV, Line 3.b

Grantee Name/ Approved Amount/Title	2016	Scheduled Payments 2017	2018	2019	2020	2021	Remaining Balance
New York University \$600,000.00 <i>Self-directed learning. Understanding the interactions between decision making, learning, and memory</i>	\$0.00	\$150,000.00	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00
Occidental College \$600,000.00 <i>The roles of intuition and reflection in understanding science</i>	\$100,000.00	\$100,000.00	\$100,000.00	\$100,000.00	\$100,000.00	\$0.00	\$0.00
Pomona College \$600,000.00 <i>The rational construction of preference</i>	\$0.00	\$100,000.00	\$100,000.00	\$100,000.00	\$100,000.00	\$100,000.00	\$0.00
Radboud University Nijmegen/220020373 \$600,000.00 <i>Understanding motivation and cognition: Combining psychology and neuropharmacology</i>	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Radboud University Nijmegen/220020328 \$600,000.00 <i>Priors in perception How foreknowledge changes neural computations</i>	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Stanford University \$600,000.00 <i>The emergence of cognition from the biophysics of neurons and synapses</i>	\$150,000.00	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Universita degli Studi di Milano \$600,000.00 <i>Consciousness and brain complexity: From theory to practice</i>	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of California-San Diego \$600,000.00 <i>Mental representations of abstract domains</i>	\$125,130.06	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

James S. McDonnell Foundation
Grant Commitment Schedule (6 years) by Program Area
As of 12/31/2015

Form 990-PF, Part XV, Line 3.b

Grantee Name/ Approved Amount/Title	2016	Scheduled Payments 2017	2018	2019	2020	2021	Remaining Balance
University of California-San Diego \$600,000.00 <i>A stopping circuit for human self control</i>	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of Cambridge \$600,000.00 <i>Cognitive and emotional background of mathematical development: The role of working memory and executive attention to context</i>	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of Edinburgh \$600,000.00 <i>Understanding synergies in language acquisition through computational modeling</i>	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of Oxford \$600,000.00 <i>Cortical mechanisms underlying human behavioural control</i>	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of Oxford \$600,000.00 <i>New frontiers to improve learning, cognition and neuroplasticity</i>	\$150,000.00	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of Oxford \$600,000.00 <i>Stability of mind in a dynamic brain: Neural principles of working memory for flexible human cognition</i>	\$150,000.00	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of Richmond \$600,000.00 <i>Beyond categories. Understanding the factors underlying category specificity in the brain</i>	\$100,000.00	\$100,000.00	\$100,000.00	\$100,000.00	\$100,000.00	\$0.00	\$0.00
University of Toronto \$600,000.00	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

James S. McDonnell Foundation

Grant Commitment Schedule (6 years) by Program Area

As of 12/31/2015

Form 990-PF, Part XV, Line 3.b

Grantee Name/ Approved Amount/Title	2016	Scheduled Payments 2017	2018	2019	2020	2021	Remaining Balance
<i>Seeing is remembering. Probing the common representations underlying memory and perception</i>							
University of Western Ontario \$600,000.00 <i>How does the brain learn movement? Bridging the gap between behavioral processes and functional imaging signals</i>	\$141,062.00	\$141,061.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of Western Ontario \$600,000.00 <i>Moving to the beat. The relationship between rhythm perception and movement</i>	\$0.00	\$150,000.00	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00
Wellesley College \$600,000.00 <i>Understanding the unique relationship between language and human cognition</i>	\$100,000.00	\$100,000.00	\$100,000.00	\$100,000.00	\$100,000.00	\$0.00	\$0.00
Williams College \$600,000.00 <i>Improving self-regulated learning</i>	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
UHC Schol. Subtotal	\$3,516,192.06	\$2,441,061.00	\$1,100,000.00	\$800,000.00	\$800,000.00	\$0.00	\$0.00
Cognitive Rehabilitation							
Collaborative							
The Florey Institute of Neuroscience and Mental Health \$528,500.00 <i>Advancing the science of rehabilitation: Translating neuroscience and rehabilitation into everyday life</i>	\$159,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CR Collab. Subtotal	\$159,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

James S. McDonnell Foundation
Grant Commitment Schedule (6 years) by Program Area
As of 12/31/2015

Form 990-PF, Part XV, Line 3.b

Grantee Name/ Approved Amount/Title	2016	Scheduled Payments 2017	2018	2019	2020	2021	Remaining Balance
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Mathematical and Complex Systems Approaches for Brain Cancer

Collaborative

Mayo Clinic Arizona \$1,850,584.00 <i>The ENDURES study: Environmental Dynamics Underlying Responsive Extreme Survivors of Glioblastoma</i>	\$590,015.00	\$249,860.80	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
M&CSA-BC Collab. Subtotal	\$590,015.00	\$249,860.80	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

(BC) Research

University of Texas M.D. Anderson Cancer Center \$450,000.00 <i>Regulation of PKM2 in glioblastoma development</i>	\$90,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
BC Rsrch. Subtotal	\$90,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Studying Complex Systems

Postdoctoral Fellowship

Harvard University/220020424 \$200,000.00 <i>In support of the postdoctoral fellow's salary, fringe benefits and research plan</i>	\$100,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Harvard University/220020436 \$200,000.00 <i>In support of the postdoctoral fellow's salary, fringe benefits and research plan</i>	\$100,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

James S. McDonnell Foundation
Grant Commitment Schedule (6 years) by Program Area
As of 12/31/2015

Form 990-PF, Part XV, Line 3.b

Grantee Name/ Approved Amount/Title	2016	Scheduled Payments 2017	2018	2019	2020	2021	Remaining Balance
New Jersey Institute of Technology \$200,000.00 <i>In support of the postdoctoral fellow's salary, fringe benefits and research plan</i>	\$100,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Princeton University \$200,000.00 <i>In support of the postdoctoral fellow's salary, fringe benefits and research plan</i>	\$100,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University College London \$200,000.00 <i>In support of the postdoctoral fellow's salary, fringe benefits and research plan</i>	\$100,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of California-San Diego \$200,000.00 <i>In support of the postdoctoral fellow's salary, fringe benefits and research plan</i>	\$100,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of Chicago \$200,000.00 <i>In support of the postdoctoral fellow's salary, fringe benefits and research plan</i>	\$100,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of Maryland-College Park \$200,000.00 <i>In support of the postdoctoral fellow's salary, fringe benefits and research plan</i>	\$100,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of Michigan-Ann Arbor \$200,000.00 <i>In support of the postdoctoral fellow's salary, fringe benefits and research plan</i>	\$100,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of Washington \$200,000.00 <i>In support of the postdoctoral fellow's salary, fringe benefits and research plan</i>	\$100,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

James S. McDonnell Foundation

Grant Commitment Schedule (6 years) by Program Area

As of 12/31/2015

Form 990-PF, Part XV, Line 3.b

Grantee Name/ Approved Amount/Title	2016	Scheduled Payments 2017	2018	2019	2020	2021	Remaining Balance
Postdoctoral Program in Complexity Science \$200,000.00 Ref: Board approval of Resolution #101 on 06Oct14 for up to ten awards for \$200K each Nine institutions included in the list above approved under this Resolution negotiated the awards One institution did not come forward which leaves \$100K payable as a future commitment for years 2016 and 2017.	\$100,000.00	\$100,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Postdoctoral Program in Complexity Science \$1,800,000.00 Ref: Board approval of Resolution #105 on 27Oct15 for up to nine awards for \$200K each One of nine institutions approved under this Resolution came forward negotiating an award (University of Maryland) included in the list above Eight institutions have yet to come forward which leaves \$800K payable as a future commitment for years 2016 and 2017.	\$800,000.00	\$800,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CS-PDF Subtotal	\$1,900,000.00	\$900,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Scholar							
California Institute of Technology \$450,000.00 Evolving diversity with few parts	\$112,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Case Western Reserve University \$450,000.00 Alternative stable states and stochasticity in ecological dynamics	\$0.00	\$112,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Duke University \$450,000.00 Cohesion & Connectivity: methods for identifying endemic diffusion in dynamic networks	\$112,500.00	\$112,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

James S. McDonnell Foundation

Grant Commitment Schedule (6 years) by Program Area

Form 990-PF, Part XV, Line 3.b

As of 12/31/2015

Grantee Name/ Approved Amount/Title	2016	Scheduled Payments 2017	2018	2019	2020	2021	Remaining Balance
Emory University \$450,000.00 <i>In search of simplicity. Coarse-graining cellular information processing networks</i>	\$112,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Georgia Institute of Technology \$450,000.00 <i>Tracking time-varying low-dimensional structure to uncover the building blocks of complex dynamics</i>	\$112,500.00	\$112,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
James Cook University [formerly University of Cape Town] \$450,000.00 <i>Complexity, networks, and spatial resilience in social-ecological systems</i>	\$116,166.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
New York University \$450,000.00 <i>Understanding the complex urban system through remote imaging</i>	\$150,000.00	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
North Carolina State University \$450,000.00 <i>A network approach to multi-scale materials</i>	\$112,500.00	\$112,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Northwestern University \$450,000.00 <i>Modeling Biocomplexity: from molecular interactions to population genetics</i>	\$0.00	\$112,500.00	\$112,500.00	\$0.00	\$0.00	\$0.00	\$0.00

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Grant Commitment Schedule (6 years) by Program Area
As of 12/31/2015

Form 990-PF, Part XV, Line 3.b

Grantee Name/ Approved Amount/Title	Scheduled Payments				Remaining Balance	
	2016	2017	2018	2019	2020	2021
The Ohio State University \$450,000.00 <i>Synchronization of spontaneous activity in the cardiac pacemaker</i>	\$112,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Stevens Institute of Technology \$450,000.00 <i>Multiscale causality across time and space</i>	\$112,500.00	\$112,500.00	\$112,500.00	\$0.00	\$0.00	\$0.00
Tulane University \$450,000.00 <i>Migratory networks Exploring the dynamics of complex migration systems</i>	\$112,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Universitat de Barcelona (University of Barcelona) \$450,000.00 <i>Mapping complexity Embedding networks in hidden metric spaces</i>	\$112,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Universitat Rovira i Virgili \$450,000.00 <i>Multilevel analysis of complex networked systems</i>	\$112,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of California-Los Angeles \$450,000.00 <i>Emergent interactions in complex networks: Beyond pairwise parts in systems ranging from drugs and microbes to consumers and resources</i>	\$0.00	\$112,500.00	\$112,500.00	\$112,500.00	\$0.00	\$0.00

James S. McDonnell Foundation

Grant Commitment Schedule (6 years) by Program Area

As of 12/31/2015

Form 990-PF, Part XV, Line 3.b

Grantee Name/ Approved Amount/Title	2016	2017	2018	2019	2020	2021	Remaining Balance
University of Chicago \$450,000.00 <i>Measuring the predictability of evolution with adaptive immunity</i>	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of Colorado at Boulder \$450,000.00 <i>Spatial aggregation and scaling of human networks in history</i>	\$150,000.00	\$150,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of Exeter, US Foundation \$450,000.00 <i>Complexity and scaling in the long-range collective movement of animals</i>	\$112,500.00	\$112,500.00	\$112,500.00	\$0.00	\$0.00	\$0.00	\$0.00
University of Guelph \$450,000.00 <i>Coupled human-environment system dynamics and alternative stable states in complex mosaic ecosystems</i>	\$112,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of Illinois - Urbana/Champaign \$450,000.00 <i>The origins of emergent phenomena renormalization, coarse-graining, and the fingerprints of ecological and evolutionary processes</i>	\$0.00	\$90,000.00	\$90,000.00	\$90,000.00	\$90,000.00	\$0.00	\$0.00
University of Kansas Center for Research, Inc. \$450,000.00 <i>Spatio-temporal climate impacts on complex ecosystems</i>	\$0.00	\$112,500.00	\$112,500.00	\$112,500.00	\$0.00	\$0.00	\$0.00

James S. McDonnell Foundation
Grant Commitment Schedule (6 years) by Program Area
As of 12/31/2015

Form 990-PF, Part XV, Line 3.b

Grantee Name/ Approved Amount/Title	2016	2017	2018	2019	2020	2021	Remaining Balance
University of New Mexico \$450,000.00 <i>Emergent cooperative search in natural and engineered systems</i>	\$112,500.00	\$112,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of North Carolina at Chapel Hill \$450,000.00	\$112,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<i>Community detection in networks across time</i>							
CS-Schol. Subtotal	\$2,141,166.00	\$1,515,000.00	\$652,500.00	\$315,000.00	\$90,000.00	\$0.00	\$0.00
Research							
National Center for Scientific Research (CNRS) (Centre National de la Recherche Scientifique) \$446,780.00 <i>Coevolution across scales</i>	\$77,124.00	\$78,066.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CS-Rsrch. Subtotal	\$77,124.00	\$78,066.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Special Interests							
JSMF Transfers to JSMCT							
The JSM Charitable Trust <i>Operating Fund</i> <i>A charitable trust described in IRC Sections 501(C)(3), 509(a) and 170(b)(1)(F)(ii)</i>	\$7,164,500.00	\$6,734,500.00	\$5,950,000.00	\$5,366,878.00	\$5,093,000.00	\$5,100,000.00	\$19,250,000.00
JSMCT Subtotal	\$7,164,500.00	\$6,734,500.00	\$5,950,000.00	\$5,366,878.00	\$5,093,000.00	\$5,100,000.00	\$19,250,000.00
Grand Total	\$17,965,696.06	\$13,237,685.80	\$8,746,662.00	\$7,531,564.00	\$6,331,131.00	\$5,100,000.00	\$19,250,000.00

Total All Future Commitments **\$78,102,738.86**

James S. McDonnell Foundation
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As of 12/31/2015

Form 990-PF, Part XV, Line 3.b

Grantee Name/ Approved Amount/Title	Scheduled Payments				Remaining	
	2016	2017	2018	2019	2020	2021

Auditor Footnote
How to account for change in The JSM
Charitable Trusts' Future Commitments from
January to December 2015

<i>Future Commitments ending year 2015</i>	\$7,164,500	\$6,734,500	\$5,950,000	\$5,366,878	\$5,093,000	\$5,100,000	\$19,250,000
<i>Future Commitments beginning year 2015</i>	(\$7,285,000)	(\$6,925,000)	(\$5,950,000)	(\$4,972,878)	(\$4,750,000)	(\$4,300,000)	(\$7,100,000)
	(\$120,500)	(\$190,500)	\$0	\$394,000	\$343,000	\$800,000	\$12,150,000

<i>Total increase in cumulative amount committed by JSMCT during 2015</i>	\$13,376,000
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