Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation
WARDEN FAMILY FOUNDATION

A Employer identification number
54-1901227

Number and street (or P O box number if mail is not delivered to street address)
4332 ALFRIENDS TRAIL

Room/suite

B Telephone number (see instructions)
(757) 499-4020

City or town, state or province, country, and ZIP or foreign postal code
VIRGINIA BEACH, VA 23455

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,597,112

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	32,359	32,359	32,359	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-18,977			
	b	Gross sales price for all assets on line 6a 333,009				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	13,382	32,359	32,359	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	2,500			
	c	Other professional fees (attach schedule)	60			
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	4,824			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	12,791			
	24	**Total operating and administrative expenses.** Add lines 13 through 23	20,175	0		0
	25	Contributions, gifts, grants paid	78,000			78,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	98,175	0		78,000
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-84,793			
	b	**Net investment income** (if negative, enter -0-)		32,359		
	c	**Adjusted net income** (if negative, enter -0-)			32,359	

Part II	**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing	16,058	59,022	59,022
2	Savings and temporary cash investments	4,904	2,488	2,487
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges	1,200	3,600	3,600
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	1,541,802	1,414,061	1,532,003
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,563,964	1,479,171	1,597,112
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	1,563,964	1,479,171	
30	**Total net assets or fund balances** (see instructions)	1,563,964	1,479,171	
31	**Total liabilities and net assets/fund balances** (see instructions)	1,563,964	1,479,171	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,563,964
2	Enter amount from Part I, line 27a	2	-84,793
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,479,171
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,479,171

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	UBS #22335-SEE ATTACHED-S/T	P	2015-01-01	2015-12-31
b	UBS # 22335 - SEE ATTACHED - L/T	P	2000-01-01	2015-12-31
c	180 000 PENGROWTH ENERGY CORP	P	2014-02-10	2015-01-15
d	SCHWAB # 1143-6058 - SEE ATTACHED - S/T	P	2015-01-01	2015-12-31
e	SCHWAB # 1143-6058 - SEE ATTACHED - L/T	P	2001-01-01	2015-12-31

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	33,420		34,919	-1,499
b	132,519		131,775	744
c	523		1,184	-661
d	4,466		4,845	-379
e	162,081		179,263	-17,182

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-1,499
b				744
c				-661
d				-379
e				-17,182

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-18,977
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-2,539

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	85,033	1,736,281	0 04897
2013	85,888	1,672,816	0 05134
2012	79,599	1,611,379	0 04940
2011	83,813	1,643,838	0 05099
2010	81,770	1,586,074	0 05156

2	**Total** of line 1, column (d).	2	0 252256
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 050451
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	1,680,280
5	Multiply line 4 by line 3.	5	84,772
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	324
7	Add lines 5 and 6.	7	85,096
8	Enter qualifying distributions from Part XII, line 4.	8	78,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		**1**	647
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		**2**	
3	Add lines 1 and 2		**3**	647
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		**4**	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		**5**	647
6	Credits/Payments			
a	2015 estimated tax payments and 2014 overpayment credited to 2015	**6a** 3,600		
b	Exempt foreign organizations—tax withheld at source	**6b**		
c	Tax paid with application for extension of time to file (Form 8868)	**6c**		
d	Backup withholding erroneously withheld	**6d**		
7	Total credits and payments Add lines 6a through 6d		**7**	3,600
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		**8**	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		**9**	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		**10**	2,953
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 800 **Refunded** ▶		**11**	2,153

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	**1a**		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	**1b**		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	**1c**		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	**2**		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	**3**		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	**4a**		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	**4b**		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	**5**		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	**6**	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	**7**	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ________			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation.*	**8b**	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	**9**		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	**10**		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶WILLIAM B WARDEN Telephone no ▶(757) 499-4020

Located at ▶4332 ALFRIENDS TRAIL VIRGINIA BEACH VA ZIP+4 ▶23455

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** ***(Continued)***

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . . ☐ **Yes** ☑ **No**			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . . ☐ **Yes** ☑ **No**			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. . . . . ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		**No**
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. . . . . ☐ **Yes** ☑ **No**			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. . . . . ☐ **Yes** ☑ **No**			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?. . . .	**6b**		**No**
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		**No**

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. . . . . ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,678,146
b	Average of monthly cash balances	1b	27,722
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,705,868
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,705,868
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	25,588
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,680,280
6	**Minimum investment return.** Enter 5% of line 5	6	84,014

Part XI Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	84,014
2a	Tax on investment income for 2015 from Part VI, line 5 2a 647		
b	Income tax for 2015 (This does not include the tax from Part VI) 2b		
c	Add lines 2a and 2b	2c	647
3	Distributable amount before adjustments Subtract line 2c from line 1	3	83,367
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	83,367
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	83,367

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	78,000
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	78,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	78,000

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7					83,367
2 Undistributed income, if any, as of the end of 2015					
a Enter amount for 2014 only.					
b Total for prior years 20___ , 20___ , 20___					
3 Excess distributions carryover, if any, to 2015					
a From 2010.	3,252				
b From 2011.	2,391				
c From 2012.	256				
d From 2013.	4,027				
e From 2014.	3,040				
f **Total** of lines 3a through e.		12,966			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 78,000					
a Applied to 2014, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2015 distributable amount.					78,000
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		5,367			5,367
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		7,599			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)					
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a		7,599			
10 Analysis of line 9					
a Excess from 2011.	276				
b Excess from 2012.	256				
c Excess from 2013.	4,027				
d Excess from 2014.	3,040				
e Excess from 2015.					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	**(b)** 2014	**(c)** 2013	**(d)** 2012	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

CYRUS DOLPH IV
PO BOX 13109
NORFOLK,VA 23506
(757) 466-0464

b The form in which applications should be submitted and information and materials they should include

TYPED WITH VERIFICATION OF RECIPIENT TAX EXEMPT STATUS

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

QUALIFIED CHARITABLE USE ONLY

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				78,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . .			14	32,359	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					-18,977
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				32,359	-18,977

13 Total. Add line 12, columns (b), (d), and (e). **13** 13,382

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2016-06-17	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
SALLY A HAYNES				P00366727

Firm's name ▶ Sally A Haynes CPA PC

Firm's EIN ▶

Firm's address ▶ 301 Bendix Road Suite 460 Virginia Beach, VA 23452

Phone no (757) 333-8515

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
WILLIAM B WARDEN 4332 ALFRIENDS TRAIL VA BEACH, VA 23455	President 0 10	0		
SANDRA S WARDEN 4332 ALFRIENDS TRAIL VA BEACH, VA 23455	SECY/TREASURER 0 40	0		
MARK R WARDEN 5340 EDGEWATER DRIVE NORFOLK, VA 23508	Director 0 10	0		
WILLIAM S WARDEN 13846 ATLANTIC BLVD UNIT 403 JACKSONVILLE, FL 32225	Director 0 10	0		
JEFFREY B WARDEN 2233 SWAYING LIMB LANE VA BEACH, VA 23456	Director 0 10	0		
SUSAN C WARDEN POPE 4613 PLAYER LANE VA BEACH, VA 23462	Director 0 10	0		

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NORFOLK CITY UNION OF KING'S DAUGHT 601 CHILDRENS LANE NORFOLK, VA 23507	N/A	501(c)(3)	CHILD HEALTH/WELFARE	5,000
BEACH HEALTH CLINIC 3396 HOLLAND ROAD VA BEACH, VA 23452	N/A	501(c)(3)	HEALTH CARE FOR UNINSURED	5,000
FOODBANK OF SE VIRGINIA BOX 1940 NOFROLK, VA 23501	N/A	501(c)(3)	FOOD PROGRAMS	5,000
EQUIKIDSEQUIVETS BOX 4095 VA BEACH, VA 23454	N/A	501(c)(3)	CHILD/VETERANS DEVELOPMENT	5,000
ST MARY'S HOME FOR DISABLED CHILDRE 6171 KEMPSVILLE CIRCLE NORFOLK, VA 23502	N/A	501(c)(3)	CARE FOR DISABLED CHILDREN	5,000
CHILDRENS HOSPITAL OF KINGS DAUGHTE 601 CHILDRENS LANE NORFOLK, VA 23501	N/A	501(c)(3)	CHILD HEATH/WELFARE	6,500
JUDEO-CHRISTIAN OUTREACH CENTER 1053 VIRGINIA BEACH BLVD VA BEACH, VA 23451	N/A	501(c)(3)	FAMILY WELFARE	4,000
FOOD FOR THE POOR 6401 LYONS ROAD COCONUT CREEK, FL 33073	N/A	501(c)(3)	FOOD PROGRAMS	3,000
ST COLUMBA ECUMENICAL MINISTRIES 2114 LAFAYETTE BLVD NORFOLK, VA 23509	N/A	501(c)(3)	SERVICE TO INDIGENT & HOMELESS FAMILIES	2,000
TOGETHER WE CAN 5101 CLEVELAND ST SUITE 308 VA BEACH, VA 23462	N/A	501(c)(3)	PROVIDES FUNDING FOR CHARITABLE ORGANIZATIONS	3,000
UNION MISSION 5100 E VIRGINIA BEACH BLVD NORFOLK, VA 23502	N/A	501(c)(3)	WELFARE & SHELTER	2,500
GOVERNORS SCHOOL FOR THE ARTS 1542 W 49TH ST NORFOLK, VA 23508	N/A	501(c)(3)	EDUCATION	1,000
FRIENDS OF THE PORTSMOUTH JUVENILE 503 CRAWFORD ST PORTSMOUTH, VA 23704	N/A	501(c)(3)	SUPPORT FOR AT-RISK JUVENILES	1,000
RECOVERY FOR LIFE 228 N LYNNHAVEN ROAD VIRGINIA BEACH, VA 23452	N/A	501(c)(3)	ADDICTION RECOVERY PROGRAM	2,500
SAMARITAN HOUSE PO BOX 2400 SUITE 226 VA BEACH, VA 23450	N/A	501(c)(3)	FAMILY WELFARE	2,000
Total . . . . ▶ **3a**				78,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CRISIS PREGNANCY CENTER PO BOX 119 NORFOLK,VA 23501	N/A	501(c)(3)	CRISIS PREGNANCY COUNSELING	2,000
VIRGINIA BEACH CASA INC 2425 NIMMO PARKWAY VA BEACH,VA 23456	N/A	501(c)(3)	CHILD WELFARE	2,000
HUMANKIND 150 LINDEN AVE LYNCHBURG,VA 24503	N/A	501(c)(3)	FAMILY WELFARE	2,000
TIDEWATER PASTORAL COUNSELING 7305 HAMPTON BLVD SUITE B NORFOLK,VA 23505	N/A	501(c)(3)	COUNSELING AND EDUCATION FOR THOSE IN NEED	1,500
PHYSICIANS FOR PEACE 229 W BUTE ST NORFOLK,VA 23510	N/A	501(c)(3)	VOLUNTEER MEDICAL MISSIONS	1,000
EDMARC HOSPICE FOR CHILDREN 516 LONDON ST PORTSMOUTH,VA 23704	N/A	501(c)(3)	CHILD WELFARE	1,500
BOYS AND GIRLS CLUBS OF S HAMPTON R 3415 AZALEA GARDEN RD NORFOLK,VA 23501	N/A	501(c)(3)	CHILD DEVELOPMENT	1,000
CHESAPEAKE BAY FOUNDATION 6 HERNDON AVE ANNAPOLIS,MD 21403	N/A	501(c)(3)	ENVIRONMENT PROTECTION/PRESERVATION	1,000
SETON YOUTH SHELTERS 3333-28 VIRGINIA BEACH BLVD VA BEACH,VA 23452	N/A	501(c)(3)	FAMILY WELFARE	1,000
VA STAGE COMPANY BOX 3770 NORFOLK,VA 23525	N/A	501(c)(3)	CULTURAL AND ARTS	1,500
EVMS FOUNDATION PO BOX 5 NORFOLK,VA 23501	N/A	501(c)(3)	EDUCATION	1,000
CEREBRAL PALSY OF VIRGINIA 5825 ARROWHEAD DRIVE SUITE 201 VA BEACH,VA 23462	N/A	501(c)(3)	CHILD HEATH/WELFARE	1,000
VIRGINIA MUSEUM OF CONTEMPORARY ART 2200 PARKS AVE VA BEACH,VA 23451	N/A	501(c)(3)	CULTURAL AND ARTS	1,000
CHIPCHESAPEAKE HEALTH SERVICES 1302 JEFFERSON ST CHESAPEAKE,VA 23324	N/A	501(c)(3)	CHILDRENS HEALTH AND WELLNESS	1,000
CHESAPEAKE SERVICE SYSTEMS 1100 EXECUTIVE BLVD CHESAPEAKE,VA 22320	N/A	501(c)(3)	PROVIDES AID FOR PEOPLE WITH DISABILITIES	500
Total . . . . ▶ 3a				78,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DOORWAYS 612 EAST MARSHALL ST RICHMOND,VA 23219	N/A	501(c)(3)	LONG TERM MEDICAL CRISIS CENTER	500
SQUARE ONE CO GROW SMART FOUNDATION 4526 MAIN STREET STE 700 VIRGINIA BEACH,VA 23462	N/A	501(c)(3)	CHILD HEALTH/WELFARE	500
FOR KIDS INC PO BOX 6044 NORFOLK,VA 23508	N/A	501(c)(3)	WELFARE AND SHELTER	4,000
THE STOREHOUSE 3921 PLEASANT AVENUE NORFOLK,VA 23518	N/A	501(c)(3)	FOOD PROGRAM	1,000
CITIZENS COMMITTEE FOR ELDERLY P O BOX 10100 VIRGINIA BEACH,VA 23450	N/A	501(C) (3	ADULT SERVICES	500
Total . . . . ▶ **3a**				78,000

TY 2015 Accounting Fees Schedule

Name: WARDEN FAMILY FOUNDATION

EIN: 54-1901227

Software ID: 15000324

Software Version: 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING/TAX RETURN FEE	2,500	0	0	0

TY 2015 Other Expenses Schedule

Name: WARDEN FAMILY FOUNDATION
EIN: 54-1901227
Software ID: 15000324
Software Version: 2015v2.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	150			
COMMISSION FEES	8			
INVESTMENT FEES	12,610			
REGISTRATION FEES	23			

TY 2015 Other Professional Fees Schedule

Name: WARDEN FAMILY FOUNDATION

EIN: 54-1901227

Software ID: 15000324

Software Version: 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEE	60	0	0	0

TY 2015 Taxes Schedule

Name: WARDEN FAMILY FOUNDATION

EIN: 54-1901227

Software ID: 15000324

Software Version: 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INTERNAL REVENUE SERVICE	4,824			

charles SCHWAB

1143-6058 WARDEN FAMILY FOUNDATION

Charity Nonprof 4332 ALFRIENDS TRAIL

VIRGINIA BEACH VA

Total Known Realized Gain/(Loss) $/%
($17,560 74)/(9 54%)

Total Known Short-Term Gain/(Loss)
($378.91)

Total Known Long-Term Gain/(Loss)
($17,181 83)

Total Known Proceeds
$166,546.38

Total Known Cost Basis
$184,107.12

Cost Basis - Realized Gain/(Loss) - Filtered by: Previous Year **Results 9**

	Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis	Short Term	Long Term	Total	Total %	Notes[1]
⊟	BSCI	GUGGENHEIM ETF BULLETSHARES 2018 CORP BOND	01/29/2015	Hide Lots	2,415 00000	$51,294 44	$50,133 83		$1,160 61	$1,160 61	2 32%	
			01/29/2015	08/01/2013	100 00000	$2,124 00	$2,073 00		$51 00	$51 00	2 46%	
			01/29/2015	08/01/2013	1,215 00000	$25,806 52	$25,225 83		$580 69	$580 69	2 30%	
			01/29/2015	08/01/2013	1,000 00000	$21,239 93	$20,762 00		$477 93	$477 93	2 30%	
			01/29/2015	08/01/2013	100 00000	$2,123 99	$2,073 00		$50 99	$50 99	2 46%	
	EFV	ISHARES MSCI EAFE VALUE ETF	11/24/2015	01/15/2014	155 00000	$7,501 59	$8,885 77		($1 384 18)	($1,384 18)	(15 58%)	
⊟	FEHIX	FIRST EAGLE HIGH YIELD FD I	12/15/2015	Hide Lots	59 59500	$480 00	$576 88	($96 88)		($96 88)	(16 79%)	
			12/15/2015	02/27/2015	6 49400	$52 30	$62 34	($10 04)		($10 04)	(16 11%)	
			12/15/2015	04/30/2015	26 73900	$215 37	$258 83	($43 46)		($43 46)	(16 79%)	
			12/15/2015	05/29/2015	26 36200	$212 33	$255 71	($43 38)		($43 38)	(16 96%)	
⊟	FEHIX	FIRST EAGLE HIGH YIELD FD I	12/17/2015	Hide Lots	5,669 45300	$47 376 63	$57,557 33	($251 78)	($9 928 92)	($10 180 70)	(17 69%)	
			12/17/2015	08/22/2014	5,246 81700	$43,844 88	$53,600 00		($9,755 12)	($9,755 12)	(18 20%)	
			12/17/2015	08/29/2014	4 42400	$36 97	$45 21		($8 24)	($8 24)	(18 23%)	
			12/17/2015	09/30/2014	24 12100	$201 57	$241 21		($39 64)	($39 64)	(16 43%)	
			12/17/2015	10/31/2014	25 03300	$209 19	$249 33		($40 14)	($40 14)	(16 10%)	

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis	Short Term	Long Term	Total	Total %	Notes[1]
		12/17/2015	11/28/2014	25 81900	$215 76	$253 54		($37 78)	($37 78)	(14 90%)	
		12/17/2015	12/16/2014	33 18200	$277 29	$308 92		($31 63)	($31 63)	(10 24%)	
		12/17/2015	12/16/2014	17 16300	$143 42	$159 79		($16 37)	($16 37)	(10 24%)	
		12/17/2015	12/31/2014	34.94200	$291 99	$330 55	($38 56)		($38 56)	(11 67%)	
		12/17/2015	01/30/2015	28 50800	$238 23	$268 26	($30 03)		($30 03)	(11 19%)	
		12/17/2015	02/27/2015	20 52000	$171 47	$196 99	($25 52)		($25 52)	(12 95%)	
		12/17/2015	03/31/2015	27 42200	$229 15	$261 33	($32 18)		($32 18)	(12 31%)	
		12/17/2015	06/30/2015	27 72200	$231 66	$264 19	($32 53)		($32 53)	(12 31%)	
		12/17/2015	07/31/2015	28 36400	$237 02	$264 64	($27 62)		($27 62)	(10 44%)	
		12/17/2015	08/31/2015	30 70900	$256 62	$278 84	($22 22)		($22 22)	(7 97%)	
		12/17/2015	09/30/2015	31 09600	$259 85	$274 58	($14 73)		($14 73)	(5 36%)	
		12/17/2015	10/30/2015	31 01100	$259 14	$276 00	($16 86)		($16 86)	(6 11%)	
		12/17/2015	11/30/2015	32 60000	$272 42	$283 95	($11 53)		($11 53)	(4 06%)	
IEMG	ISHARES CORE MSCI EMERGING ETF	09/18/2015	05/27/2014	120 00000	$4,953 08	$6,135 67		($1 182 59)	($1 182 59)	(19 27%)	
IEMG	ISHARES CORE MSCI EMERGING ETF	11/24/2015	05/27/2014	180 00000	$7,631 19	$9,203 50		($1 572 31)	($1,572 31)	(17 08%)	
IWN	ISHARES RUSSELL 2000 VALUE ETF	11/24/2015	01/15/2014	105 00000	$10,183 63	$10,414 11		($230 48)	($230 48)	(2 21%)	
⊟ TGBAX	TEMPLETON GLOBAL BOND FUND ADV CL	11/24/2015	Hide Lots	1,867 53800	$22,353 11	$24,252 19	($30 25)	($1 868 83)	($1 899 08)	(7 83%)	
		11/24/2015	01/15/2014	1,721 38400	$20 603 75	$22,456 58		($1 852 83)	($1 852 83)	(8 25%)	
		11/24/2015	02/18/2014	6 34300	$75 92	$81 44		($5 52)	($5 52)	(6 78%)	
		11/24/2015	03/17/2014	6 40900	$76 71	$82 10		($5 39)	($5 39)	(6 57%)	
		11/24/2015	04/15/2014	4 84500	$57 99	$63 08		($5 09)	($5 09)	(8 07%)	
		11/24/2015	12/15/2014	70 19600	$840 20	$862 71	($22 51)		($22 51)	(2 61%)	
		11/24/2015	12/15/2014	3 97600	$47 59	$48 87	($1 28)		($1 28)	(2 62%)	
		11/24/2015	01/15/2015	4 79700	$57 42	$59 29	($1 87)		($1 87)	(3 15%)	
		11/24/2015	02/17/2015	4 75000	$56 85	$58 90	($2 05)		($2 05)	(3 48%)	
		11/24/2015	03/16/2015	4 83100	$57 82	$59 42	($1 60)		($1 60)	(2 69%)	
		11/24/2015	04/15/2015	4 78600	$57 29	$59 58	($2 29)		($2 29)	(3 84%)	
		11/24/2015	05/15/2015	4 81700	$57 66	$59 73	($2 07)		($2 07)	(3 47%)	
		11/24/2015	06/15/2015	4 89000	$58 53	$59 71	($1 18)		($1 18)	(1 98%)	
		11/24/2015	07/15/2015	4 90200	$58 67	$60 05	($1 38)		($1 38)	(2 30%)	

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis	Short Term	Long Term	Total	Total %	Notes[1]
		11/24/2015	08/17/2015	5 12400	$61 33	$60 21	$1 12		$1 12	1 86%	
		11/24/2015	09/15/2015	5 19300	$62 15	$59 82	$2 33		$2 33	3 90%	
		11/24/2015	10/15/2015	5 16800	$61 86	$60 36	$1 50		$1 50	2 49%	
		11/24/2015	11/16/2015	5 12700	$61 37	$60 34	$1 03		$1.03	1 71%	
⊟ VWO	VANGUARD FTSE EMERGING MARKETS ETF	09/18/2015	Hide Lots	425 00000	$14,772 71	$16,947 84		($2,175 13)	($2 175 13)	(12 83%)	
		09/18/2015	01/15/2014	190 00000	$6,604 27	$7,576 68		($972 41)	($972 41)	(12 83%)	
		09/18/2015	01/15/2014	35 00000	$1,216 58	$1,395 70		($179 12)	($179 12)	(12 83%)	
		09/18/2015	01/15/2014	100 00000	$3,475 93	$3,987 73		($511 80)	($511 80)	(12 83%)	
		09/18/2015	01/15/2014	100 00000	$3,475 93	$3,987 73		($511 80)	($511 80)	(12 83%)	

Disclosures
The Realized Gain/Loss page provides summary information of closed transactions Not all closed transactions appear on this page
We calculate cost basis using data that you or your client have provided to us or that we have obtained from market data supplied by third party sources We may also display cost basis data that we have not calculated but that has been supplied by you or your client, a prior custodian or another third party source Because the accuracy of cost basis data depends upon these third party inputs we are not able to guarantee the availability, accuracy or completeness of such cost basis data
Only covered transactions will be reported to the IRS Schwab does not provide tax or legal advice Please have your clients consult with a tax advisor regarding their specific tax situation

Footnotes apply where indicated on the data view
Missing: Indicates cost basis has not been provided for this security
Not Tracked: Indicates original cost basis is not available

1 a Data for this holding has been edited or provided by the Advisor
e Data for this holding has been edited or provided by the end client
t Data for this holding has been edited or provided by a third party
w Cost Basis adjusted due to a wash sale
3 Cost Basis for fixed income securities is now adjusted to reflect amortized/accreted values Click the acquired/opened date to view original cost in the Details page Note Some securities including variable rate/term instruments and mortgage backed securities, may only reflect original cost even when the amortization/accretion preference is on
8 Total excludes missing cost basis information, or values not tracked by Schwab

(0307-0369)

	S/T	L/T
GROSS PROCEEDS	4465.69	162,080.69
COST BASIS	<4844.60>	<179,262.52>
NET GAIN(LOSS)	<378.91>	<17,181.83>

UBS

UBS Financial Services Inc
141 East Palace Avenue
Coronado Bldg
Santa Fe NM 87501-3001

CPP1000467879 1215 X12 KK 0

2015 Year End Summary

Account name: WARDEN FAMILY FOUNDATION
SWP ACCOUNT
Friendly account name: SWP Combined
Account number: KK 22335 J7

22335

Your Financial Advisor:
MACUKAS WEALTH MANAGEMENT
CONS
Phone 505-988-7291/800-634-2386

WARDEN FAMILY FOUNDATION
SWP ACCOUNT
WILLIAM B WARDEN, PRESIDENT
SANDRA S WARDEN, SEC-TREAS
4332 ALFRIENDS TRAIL
VIRGINIA BEACH VA 23455-6102

Summary of gains and losses

	Amount ($)
Short term	-1,498 34
Long term	744 13
Total	**$-754.21**

Realized gains and losses

Estimated 2015 gains and losses for transactions with trade dates through 12/31/15 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See Important information about your statement on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ADVENT SOFTWARE INC **MERGER EFF 07/2015**	FIFO	2 000	Sep 24, 14	Jan 30, 15	83 39	63 15			20 24
	FIFO	10 000	Sep 24, 14	Jul 09, 15	442 50	315 77			126 73
	FIFO	11 000	Sep 25, 14	Jul 09, 15	486 75	340 23			146 52
	FIFO	27 000	Oct 07, 14	Jul 09, 15	1,194 75	869 83			324 92
	FIFO	1 000	Oct 16, 14	Jul 09, 15	44 25	31 33			12 92
AGCO CORP	FIFO	15 000	Mar 03, 14	Mar 02, 15	747 73	787 05		-39 32	
	FIFO	3 000	Mar 05, 14	Mar 02, 15	149 55	158 89		-9 34	
	FIFO	3 000	May 01, 14	Apr 21, 15	144 29	166 88		-22 59	
	FIFO	6 000	Aug 18, 14	Apr 21, 15	288 58	294 75		-6.17	
AKORN INC	FIFO	4 000	Feb 10, 14	Jan 30, 15	170 95	91 87			79 08
ALBEMARLE CORP	FIFO	2 000	Feb 10, 14	Jan 30, 15	96 26	127 10		-30 84	
AUTOLIV INC $0 20	FIFO	2 000	Feb 10, 14	Jan 30, 15	214 81	186 27			28 54
AVIS BUDGET GROUP INC	FIFO	1 000	Mar 18, 15	Dec 11, 15	35 14	59 74		-24 60	
B/E AEROSPACE INC	FIFO	1 000	Feb 10, 14	Jan 30, 15	58 77	56 93			1 84
BGC PARTNERS INC CL A	FIFO	3 000	Dec 04, 15	Dec 21, 15	28 27	28 27	-0 70		
BIOMED REALTY TRUST INC REITS	FIFO	7 000	Jul 20, 15	Nov 09, 15	163 06	142 41			20 65
BITAUTO HLDGS LTD SPON ADR	FIFO	2 000	Jun 06, 14	Jan 30, 15	119 99	81 60			38 39
BLACKROCK HIGH YIELD BOND I	FIFO	8 813	Dec 22, 14	Dec 16, 15	63 37	69 45		-6 08	
	FIFO	3 561	Dec 22, 14	Dec 16, 15	25 60	28 06		-2 46	
	FIFO	3 283	Dec 31, 14	Dec 16, 15	23 61	25 87		-2 26	
	FIFO	2 919	Jan 30, 15	Dec 16, 15	20 98	22 94		-1 96	
	FIFO	2 600	Feb 27, 15	Dec 16, 15	18 70	20 85		-2 15	

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UBS Strategic Wealth Portfolio

Account name. WARDEN FAMILY FOUNDATION
Friendly account name SWP Combined
Account number: KK 22335 J7

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MACUKAS WEALTH MANAGEMENT CONS
505-988-7291/800-634-2386

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	3 010	Mar 31, 15	Dec 16, 15	21 64	23 90		-2 26	
	FIFO	2 986	Apr 30, 15	Dec 16, 15	21 47	23 92		-2 45	
	FIFO	3 116	May 29, 15	Dec 16, 15	22 40	24 96		-2 56	
	FIFO	3 140	Jun 30, 15	Dec 16, 15	22 58	24 68		-2 10	
	FIFO	3 318	Jul 31, 15	Dec 16, 15	23 86	25 98		-2.12	
	FIFO	3 337	Aug 31, 15	Dec 16, 15	23 99	25 63		-1 64	
	FIFO	3 146	Sep 30, 15	Dec 16, 15	22 62	23 34		-0 72	
	FIFO	3 152	Oct 30, 15	Dec 16, 15	22 66	23 89		-1 23	
	FIFO	3 086	Nov 30, 15	Dec 16, 15	22 19	22 87		-0 68	
CARRIZO OIL & GAS INC	FIFO	4 000	Feb 10, 14	Jan 30, 15	170 35	162 87			7 48
CATALYST INSIDER BUYING FUND CLASS I	FIFO	1 161	Dec 18, 14	Dec 16, 15	16 40	17 40		-1 00	
	FIFO	12 954	Dec 18, 14	Dec 16, 15	182 92	194 06		-11 14	
	FIFO	0 089	Dec 18, 14	Dec 16, 15	1 27	1 35		-0 08	
CBRE GROUP INC CL A	FIFO	4 000	Feb 10, 14	Jan 30, 15	130 61	105 06			25 55
CENTENE CORP	FIFO	1 000	Jun 09, 14	Jan 30, 15	109 50	73 40			36 10
CIENA CORP NEW	FIFO	6 000	Feb 10, 14	Jan 30, 15	113 99	137 16		-23 17	
COMMUNITY TRUST BANCORP INC	FIFO	9 000	Feb 10, 14	Jan 02, 15	319 68	314 50			5 18
COMPUTER PROGRAMS & SYSTEMS INC	FIFO	3 000	May 05, 14	Mar 23, 15	157 01	186 85		-29 84	
CONSTELLIUM NV CL A EUR	FIFO	1 000	Feb 10, 14	Jan 30, 15	18 50	24 67		-6 17	
CORE LABORATORIES N V EUR	FIFO	1 000	Jun 24, 14	Jan 30, 15	93 11	165 51		-72 40	
DECKERS OUTDOOR CORP	FIFO	21 000	Oct 01, 14	May 29, 15	1,511 43	1,953 30		-441 87	
	FIFO	3 000	Jan 30, 15	May 29, 15	215 92	207 09			8 83
DEXCOM INC	FIFO	1,000	Sep 10, 14	Jan 30, 15	60 69	43 31			17 38
DIAMONDBACK ENERGY INC	FIFO	1 000	May 20, 15	Dec 11, 15	76 80	77 70		-0 90	
DOLBY LABORATORIES INC CL A	FIFO	3 000	Feb 10, 14	Jan 30, 15	116 63	123 32		-6 69	
ENTEGRIS INC	FIFO	4 000	Feb 10, 14	Jan 30, 15	53 27	45 82			7 45

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
EXPEDIA INC	FIFO	4 000	Feb 10, 14	Jan 30, 15	347 07	296 80			50 27
FEI COMPANY	FIFO	1 000	Feb 10, 14	Jan 30, 15	82 06	96 97		-14 91	
FIRST REP BANK	FIFO	2 000	Feb 10, 14	Jan 30, 15	101 61	98 78			2 83
FLOWSERVE CORP	FIFO	1 000	Jul 13, 15	Dec 21, 15	40 61	49 88		-9 27	
FOOT LOCKER INC	FIFO	3 000	Mar 12, 14	Jan 30, 15	161 12	138 11			23 01
FRANKS INTL N V	FIFO	46 000	Sep 15, 14	Mar 26, 15	812 42	907 64		-95 22	
F5 NETWORKS INC	FIFO	2 000	Feb 10, 14	Jan 30, 15	226 05	219 70			6 35
GARTNER INC	FIFO	2 000	Feb 10, 14	Jan 30, 15	169 83	128 42			41 41
GENTEX CORP	FIFO	5 000	Feb 10, 14	Jan 30, 15	82 89	78 24			4 65
GLOBAL PAYMENTS INC	FIFO	1 000	Mar 14, 14	Jan 30, 15	87 41	71 23			16 18
GRAMERCY PPTY TRUST REIT	FIFO	0 373	Nov 09, 15	Dec 18, 15	2 96	2 65			0 31
GUIDEWIRE SOFTWARE INC	FIFO	1 000	Jul 07, 15	Dec 11, 15	59 33	53 61			5 72
HAIN CELESTIAL GROUP INC	FIFO	2 000	Dec 23, 14	Dec 11, 15	79 13	117 29		-38 16	
HD SUPPLY HLDGS INC	FIFO	1 000	Dec 16, 14	Jan 30, 15	28 69	27 46			1 23
	FIFO	3 000	Dec 16, 14	Dec 11, 15	88 79	82 36			6 43
HEARTLAND PAYMENT SYSTEMS INC	FIFO	2 000	Feb 10, 14	Jan 30, 15	100 63	82 09			18 54
HELMERICH & PAYNE INC	FIFO	6 000	Jan 22, 15	Mar 31, 15	407 80	383 93			23 87
	FIFO	2 000	Jan 22, 15	Dec 21, 15	100 39	127 98		-27 59	
IAC INTERACTIVECORP	FIFO	3 000	Feb 10, 14	Jan 30, 15	180 99	200 47		-19 48	
ICON PLC EUR	FIFO	1 000	Sep 09, 14	Jan 30, 15	56 40	54.31			2 09
IMAX CORP CAD	FIFO	3 000	Jan 30, 15	Dec 11, 15	112 97	99 72			13 25
INFINERA CORP	FIFO	2 000	Mar 20, 15	Dec 11, 15	40 23	38 20			2 03
INFORMATICA CORP **MERGER EFF 08/2015**	FIFO	2 000	Feb 10, 14	Jan 30, 15	83 53	77 24			6 29
	FIFO	23 000	Mar 18, 15	Aug 07, 15	1,121 25	1,011 78			109 47
INSULET CORP	FIFO	15 000	Oct 07, 14	May 28, 15	412 51	570 90		-158 39	
	FIFO	8 000	Dec 17, 14	May 28, 15	220 00	352 05		-132 05	
INTERACTIVE BROKERS GROUP INC CL A	FIFO	1 000	Feb 10, 14	Jan 30, 15	30 44	21 88			8 56

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UBS Strategic Wealth Portfolio

Account name: WARDEN FAMILY FOUNDATION
Friendly account name: SWP Combined
Account number KK 22335 J7

Your Financial Advisor
MACUKAS WEALTH MANAGEMENT CONS
505-988-7291/800-634-2386

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ISIS PHARMACEUTICALS INC*NAME CHANGE 12/2015*	FIFO	1 000	Feb 10, 14	Jan 30, 15	69 49	48 38			21 11
JAZZ PHARMACEUTICALS PLC	FIFO	1 000	Feb 10, 14	Jan 30, 15	172 10	152 11			19 99
KAPSTONE PAPER AND PACKAGING CORP	FIFO	4 000	Feb 10, 14	Jan 30, 15	119 71	117 83			1 88
KERYX BIOPHARMACEUTICALS INC	FIFO	7 000	Feb 10, 14	Jan 30, 15	88 54	106 66		-18 12	
KLX INC	FIFO	12 000	Feb 10, 14	Jan 06, 15	492 53	516 77		-24 24	
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS Y	FIFO	2 942	Dec 16, 14	Dec 16, 15	43.28	46 95		-3 67	
	FIFO	1 051	Dec 16, 14	Dec 16, 15	15.46	16 78		-1 32	
	FIFO	10 759	Dec 16, 14	Dec 16, 15	158 27	171 71		-13 44	
	FIFO	1 511	Jan 28, 15	Dec 16, 15	22 22	24 42		-2 20	
	FIFO	1 709	Feb 25, 15	Dec 16, 15	25 14	27 99		-2 85	
	FIFO	1 112	Mar 25, 15	Dec 16, 15	16 36	17 89		-1 53	
	FIFO	1 312	Apr 23, 15	Dec 16, 15	19 30	21 31		-2 01	
	FIFO	1 658	May 22, 15	Dec 16, 15	24 39	26 83		-2 44	
	FIFO	1 539	Jun 23, 15	Dec 16, 15	22 64	24 56		-1 92	
	FIFO	1 431	Jul 24, 15	Dec 16, 15	21 05	22.02		-0 97	
	FIFO	1 718	Aug 24, 15	Dec 16, 15	25 27	25 50		-0 23	
	FIFO	1 188	Sep 24, 15	Dec 16, 15	17 47	17 52		-0 05	
	FIFO	1 116	Oct 26, 15	Dec 16, 15	16 42	16 97		-0 55	
	FIFO	1 552	Nov 24, 15	Dec 16, 15	22 83	23 20		-0 37	
MAINGATE MLP FUND CL I	FIFO	6 118	Jan 26, 15	Dec 16, 15	50 48	80 39		-29 91	
	FIFO	6 126	Apr 24, 15	Dec 16, 15	50 54	81 35		-30 81	
	FIFO	6 770	Jul 24, 15	Dec 16, 15	55 85	82 32		-26 47	
	FIFO	8 422	Oct 26, 15	Dec 16, 15	69 48	83 38		-13 90	
MANHATTAN ASSOC INC	FIFO	1 000	Feb 10, 14	Jan 30, 15	44 21	32 99			11 22
MARKETAXESS HOLDINGS INC	FIFO	1 000	Feb 10, 14	Jan 30, 15	75 39	60 09			15 30
MEDIVATION INC	FIFO	2 000	Feb 10, 14	Jan 30, 15	214 37	154 24			60 13

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
MIDDLEBY CORP DELA	FIFO	1 000	Sep 02, 15	Dec 11, 15	105 09	105 62		-0 53	
MOMENTA PHARMACEUTICALS INC	FIFO	1 000	Feb 10, 14	Jan 30, 15	10 84	16 48		-5 64	
MSA SAFETY INC COM	FIFO	1 000	Jun 16, 15	Dec 21, 15	42 63	49 04		-6 41	
NETSCOUT SYSTEMS INC	FIFO	4 000	Feb 28, 14	Jan 30, 15	141 69	152 06		-10 37	
	FIFO	15 000	Oct 07, 14	Jun 12, 15	567 58	661 17		-93 59	
	FIFO	2 000	Oct 16, 14	Jun 12, 15	75 68	64 70			10 98
NEUSTAR INC CL A	FIFO	2 000	Feb 10, 14	Jan 30, 15	53 03	69 81		-16 78	
	FIFO	18 000	Jun 09, 14	Mar 20, 15	395 65	440 89		-45 24	
NORDSON CORP	FIFO	1 000	Feb 10, 14	Jan 30, 15	73 52	69 34			4 18
OLD DOMINION FREIGHT LINES INC	FIFO	2 000	Feb 10, 14	Jan 30, 15	140 77	104 24			36 53
OMEGA HEALTHCARE INVS INC	Adjustment	6 000	Feb 10, 14	Jan 08, 15	252 16	183 34			68 82
	Adjustment	9 000	Feb 10, 14	Jan 22, 15	400 92	275 02			125 90
ONE GAS INC	FIFO	17 000	Feb 10, 14	Jan 23, 15	784 70	545 67			239 03
PARTNERRE LTD ORD	FIFO	2 000	Feb 10, 14	Feb 09, 15	242.03	198 54			43 49
PENGROWTH ENERGY CORP CAD	FIFO	180 000	Feb 10, 14	Jan 15, 15	523 21	1,183 52		-660 31	
	FIFO	97 000	Feb 10, 14	Jan 20, 15	268 82	637.78		-368 96	
	FIFO	99 000	Feb 10, 14	Jan 21, 15	263 45	650 94		-387 49	
	FIFO	10 000	Aug 18, 14	Jan 21, 15	26 61	61 57		-34 96	
	FIFO	23 000	Aug 18, 14	Jan 22, 15	59 98	141 60		-81 62	
	FIFO	51.000	Sep 30, 14	Jan 22, 15	133 00	268 27		-135 27	
	FIFO	153 000	Nov 17, 14	Jan 22, 15	399 00	582 26		-183 26	
PETSMART INC **MERGER EFF 03/2015**	FIFO	2 000	Feb 10, 14	Jan 30, 15	163 13	128 72			34 41
	FIFO	9 000	Sep 02, 14	Mar 12, 15	747 00	646 97			100.03
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS P	FIFO	29 491	Dec 30, 14	Dec 16, 15	230 33	269 25		-38 92	
	FIFO	2 691	Mar 19, 15	Dec 16, 15	21 01	24 27		-3 26	
	FIFO	6 563	Jun 18, 15	Dec 16, 15	51 26	59 13		-7 87	

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UBS Strategic Wealth Portfolio

Account name: WARDEN FAMILY FOUNDATION
Friendly account name: SWP Combined
Account number: KK 22335 J7

Your Financial Advisor:
MACUKAS WEALTH MANAGEMENT CONS
505-988-7291/800-634-2386

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	10 471	Sep 17, 15	Dec 16, 15	81 78	85 76		-3 98	
POLARIS INDUSTRIES INC (MINN)	FIFO	2 000	Feb 10, 14	Jan 30, 15	293 17	247 92			45 25
	FIFO	1 000	Oct 16, 14	May 20, 15	147 15	144 39			2 76
RECEPTOS INC	FIFO	4 000	May 26, 15	Sep 03, 15	928 00	654.85			273 15
	FIFO	3 000	Jun 12, 15	Sep 03, 15	696 00	548 12			147 88
ROBERT HALF INTL INC	FIFO	4 000	Feb 10, 14	Jan 30, 15	234 55	158 38			76 17
ROVI CORP COM	FIFO	3 000	Feb 10, 14	Jan 30, 15	67 16	65 00			2 16
	FIFO	2 000	Oct 16, 14	May 20, 15	32 58	37 70		-5 12	
SANDERSON FARMS INC	FIFO	6 000	Mar 26, 15	Dec 21, 15	462 65	469 75		-7 10	
SIGNET JEWELERS LTD	FIFO	1 000	Feb 10, 14	Jan 30, 15	121 79	79 45			42 34
SILGAN HOLDINGS INC	FIFO	1 000	Feb 10, 14	Jan 30, 15	50 94	45 91			5 03
SPLUNK INC	FIFO	1 000	Oct 10, 14	Jan 30, 15	52.22	54 76		-2 54	
STERIS CORP **MERGER EFF 11/2015**	FIFO	4 000	Jun 12, 15	Nov 03, 15	302 18	255 28			46 90
	FIFO	5 000	Jun 25, 15	Nov 03, 15	377 72	330 35			47 37
STERIS PLC GBP	FIFO	1 000	Nov 03, 15	Dec 21, 15	70 86	75 55		-4 69	
TABLEAU SOFTWARE INC CL A	FIFO	2 000	Jun 09, 14	Jan 30, 15	159 47	123.37			36 10
TEMPLETON GLBAL BOND ADV	FIFO	0 859	Jan 15, 15	Dec 16, 15	9 98	10.62		-0 64	
	FIFO	0 851	Feb 17, 15	Dec 16, 15	9 89	10.55		-0 66	
	FIFO	0 865	Mar 16, 15	Dec 16, 15	10 05	10 64		-0 59	
	FIFO	0 857	Apr 15, 15	Dec 16, 15	9 96	10 67		-0 71	
	FIFO	0 863	May 15, 15	Dec 16, 15	10 03	10 70		-0 67	
	FIFO	0 876	Jun 15, 15	Dec 16, 15	10 18	10 70		-0.52	
	FIFO	0 878	Jul 15, 15	Dec 16, 15	10 20	10 76		-0 56	
	FIFO	0 917	Aug 17, 15	Dec 16, 15	10 66	10 77		-0 11	
	FIFO	0 930	Sep 15, 15	Dec 16, 15	10 80	10 71			0 09
	FIFO	0 926	Oct 15, 15	Dec 16, 15	10 76	10 82		-0 06	
	FIFO	0 918	Nov 16, 15	Dec 16, 15	10 67	10 80		-0 13	

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
THORNBURG DEVELOPING WORLD FUND CLASS I	FIFO	0 155	Dec 24, 14	Dec 16, 15	2 42	2 87		-0 45	
	FIFO	2 573	Sep 24, 15	Dec 16, 15	40.14	39 57			0 57
TOTAL SYSTEM SERVICES INC	FIFO	4 000	Feb 10, 14	Jan 30, 15	144 15	117 59			26 56
TOWERS WATSON & CO CL A	FIFO	1 000	Feb 10, 14	Jan 30, 15	118 45	108 87			9 58
TRANSPARENT VALUE DIRECTIONAL ALLOCATION FUND CLASS I	FIFO	72 340	Dec 16, 14	Dec 16, 15	910 76	878 93			31.83
	FIFO	0 826	Dec 16, 14	Dec 16, 15	10 40	10 04			0 36
UNIVERSAL HEALTH SVCS INC CL B	FIFO	1 000	Feb 10, 14	Jan 30, 15	103 57	78 21			25 36
UNTD RENTALS INC	FIFO	37 000	Feb 10, 14	Jan 12, 15	3,177 60	2,931 25			246 35
VALMONT INDUSTRIES INC	FIFO	1 000	Feb 10, 14	Jan 30, 15	119 54	146 39		-26 85	
VERINT SYSTEMS INC	FIFO	1 000	Nov 25, 14	Jan 30, 15	53 00	59 86		-6 86	
WABTEC INC	FIFO	2 000	Feb 10, 14	Jan 30, 15	168 37	144 27			24 10
WHITING PETROLEUM CORP NEW	FIFO	4 000	Feb 10, 14	Jan 30, 15	112 71	227 09		-114 38	
	FIFO	1 000	Oct 16, 14	May 26, 15	32 99	59 27		-26 28	
WILLIAMS SONOMA INC	FIFO	1 000	Feb 10, 14	Jan 30, 15	78 85	55 17			23 68
WUXI PHARAMATECH CAYMAN INC*MERGER EFF 12/2015* SPON ADR	FIFO	2 000	Feb 10, 14	Jan 30, 15	81 08	71 94			9 14
	FIFO	14 000	Mar 18, 15	Dec 14, 15	643 30	507 06			136 24
WYNDHAM WORLDWIDE CORP	FIFO	1 000	Feb 10, 14	Jan 30, 15	84 30	70 42			13 88
XL GROUP PLC SHS	FIFO	3 000	Feb 10, 14	Jan 30, 15	103 52	86 72			16 80
YELP INC	FIFO	1 000	Jun 11, 14	Jan 30, 15	52 38	66 11		-13 73	
	FIFO	23 000	Jun 11, 14	Mar 18, 15	1,082 18	1,520 52		-438 34	
YY INC UNSPONSORED CL A ADR	FIFO	2 000	Jun 05, 14	Jan 30, 15	144 37	131 01			13 36

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UBS Strategic Wealth Portfolio

Account name: WARDEN FAMILY FOUNDATION
Friendly account name: SWP Combined
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MACUKAS WEALTH MANAGEMENT CONS
505-988-7291/800-634-2386

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ZULILY INC **MERGER EFF 10/2015** CL A	FIFO	2 000	Jun 10, 14	Jan 30, 15	36 33	67 25		-30 92	
	FIFO	31 000	Jun 10, 14	Mar 18, 15	393 01	1,042 42		-649 41	
Total					**$33,420.60**	**$34,918.94**		**-$4,844.64**	**$3,346.30**
Net short-term capital gains and losses								**-$1,498.34**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AGCO CORP	FIFO	12 000	Mar 05, 14	Apr 07, 15	561 18	635 57		-74 39	
	FIFO	6 000	Mar 21, 14	Apr 07, 15	280 59	317 73		-37 14	
	FIFO	6 000	Mar 28, 14	Apr 16, 15	288 33	324 35		-36 02	
AGREE REALTY CORP	FIFO	10 000	Feb 10, 14	Oct 16, 15	308 18	283 63			24 55
	FIFO	4 000	Feb 10, 14	Oct 19, 15	124 12	113 45			10 67
ALBEMARLE CORP	FIFO	21 000	Feb 10, 14	Mar 18, 15	1,097 49	1,232 95	-101 59	-135 46	
	FIFO	4 000	Jul 17, 14	Nov 02, 15	218 92	277 26		-58 34	
	FIFO	1 000	Jul 17, 14	Dec 21, 15	51 46	69 32		-17 86	
ALIGN TECHNOLOGY INC	FIFO	4 000	Sep 09, 14	Dec 11, 15	260 11	222 25			37 86
ALLETE INC NEW	FIFO	6 000	Apr 22, 14	Oct 13, 15	308 65	310 51		-1.86	
	FIFO	1 000	Apr 22, 14	Dec 21, 15	49 88	51 75		-1 87	
ALLIANT ENERGY CORP	FIFO	1 000	Feb 10, 14	Dec 21, 15	62 44	51 51			10 93
ALLIANZGI INCOME & GROWTH FUND CLASS P	FIFO	172 813	Jul 18, 12	Dec 11, 15	1,945 88	2,028 02	-4 92	-82 14	
ALPS ETF TR ALERIAN MLP	FIFO	27 000	Feb 10, 14	Mar 26, 15	445 66	466 05		-20 39	
	FIFO	3 000	Feb 10, 14	Dec 21, 15	31 43	51 78		-20 35	
AMG YACKTMAN FUND FUND CLASS SVC	FIFO	4 927	Jul 18, 12	Jan 30, 15	118 19	91 29			26 90
AMTRUST FINANCIAL SERVICES INC	FIFO	1 000	Jul 17, 14	Dec 21, 15	61 49	41 86			19 63
APPLIED INDL TECH INC	FIFO	1 000	Feb 10, 14	Dec 21, 15	39 01	48 58		-9 57	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ARES CAPITAL CORP	FIFO	17.000	Feb 10, 14	Dec 21, 15	241 58	306 79		-65 21	
AUTOLIV INC $0 20	FIFO	4 000	Feb 10, 14	May 11, 15	484 33	372 54			111 79
	FIFO	1 000	Feb 10, 14	Dec 21, 15	124 60	93 14			31 46
AVNET INC	FIFO	3 000	Sep 11, 14	Dec 21, 15	130 73	132 06		-1 33	
B&G FOODS INC CL A	FIFO	18 000	Feb 10, 14	Mar 02, 15	509 01	556 87		-47 86	
	FIFO	20 000	Feb 10, 14	Mar 23, 15	583 19	618 74		-35 55	
	FIFO	9 000	Mar 03, 14	Mar 23, 15	262 43	257 63			4 80
BIOMED REALTY TRUST INC REITS	FIFO	72 000	Feb 10, 14	Oct 13, 15	1,683 19	1,444 18			239 01
	FIFO	28 000	Feb 10, 14	Nov 03, 15	653 81	561 63			92 18
	FIFO	26.000	Feb 10, 14	Nov 09, 15	605 65	521 51			84 14
BLACKROCK HIGH YIELD BOND I	FIFO	542 173	Jul 18, 13	Dec 16, 15	3,898 23	4,429 55		-531 32	
	FIFO	1 304	Jul 31, 13	Dec 16, 15	9 37	10 60		-1 23	
	FIFO	3 974	Aug 30, 13	Dec 16, 15	28 58	32 03		-3 45	
	FIFO	3 698	Sep 30, 13	Dec 16, 15	26 58	30 14		-3 56	
	FIFO	3 533	Oct 31, 13	Dec 16, 15	25 41	29 25		-3 84	
	FIFO	3 230	Nov 29, 13	Dec 16, 15	23 22	26 84		-3.62	
	FIFO	0 783	Dec 20, 13	Dec 16, 15	5 63	6 42		-0 79	
	FIFO	9 239	Dec 20, 13	Dec 16, 15	66 43	75 76		-9 33	
	FIFO	3 558	Dec 31, 13	Dec 16, 15	25 58	29 21		-3 63	
	FIFO	71 063	Jan 17, 14	Dec 16, 15	510 94	588 40		-77 46	
	FIFO	3 889	Jan 31, 14	Dec 16, 15	27 97	32 01		-4 04	
	FIFO	3 243	Feb 28, 14	Dec 16, 15	23 31	27 14		-3 83	
	FIFO	3 663	Mar 31, 14	Dec 16, 15	26 34	30 55		-4 21	
	FIFO	3 131	Apr 30, 14	Dec 16, 15	22 51	26 08		-3 57	
	FIFO	3 216	May 30, 14	Dec 16, 15	23 12	26 98		-3 86	
	FIFO	3 355	Jun 30, 14	Dec 16, 15	24 13	28 32		-4 19	
	FIFO	3 566	Jul 31, 14	Dec 16, 15	25 64	29 60		-3.96	
	FIFO	1 175	Aug 29, 14	Dec 16, 15	8 45	9 89		-1 44	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	3 580	Sep 30, 14	Dec 16, 15	25 74	29 90	0 54	-4 16	
	FIFO	3 541	Oct 31, 14	Dec 16, 15	25 46	29 71	0 53	-4 25	
	FIFO	3 129	Nov 28, 14	Dec 16, 15	22 49	25 69		-3 20	
BROADRIDGE FINANCIAL SOLUTIONS INC	FIFO	1 000	Oct 15, 14	Dec 21, 15	53 89	38.75			15 14
CABOT CORP	FIFO	7 000	Feb 10, 14	Nov 05, 15	285 59	343 44		-57 85	
	FIFO	6 000	Feb 10, 14	Dec 02, 15	262 78	294 38		-31 60	
CAPSTEAD MTG CORP NEW 2001 REITS	FIFO	45 000	Feb 10, 14	Jul 31, 15	499 48	564 99		-65 51	
	FIFO	39 000	Feb 10, 14	Aug 03, 15	433 62	489 66		-56 04	
	FIFO	49 000	Feb 10, 14	Aug 19, 15	529 55	615 21		-85 66	
	FIFO	21 000	Mar 03, 14	Aug 19, 15	226 96	270 43		-43 47	
	FIFO	27 000	Jul 08, 14	Aug 19, 15	291 79	352 09		-60 30	
CATALYST INSIDER BUYING FUND CLASS I	FIFO	355 319	Oct 16, 14	Dec 16, 15	5,017 10	5,226 52		-209 42	
CBRE GROUP INC CL A	FIFO	3 000	Feb 10, 14	Dec 11, 15	104 79	78 79			26 00
CENTENE CORP	FIFO	1 000	Jun 09, 14	Dec 11, 15	57 48	36 70			20 78
CIENA CORP NEW	FIFO	5 000	Feb 10, 14	Dec 11, 15	100 16	114 30		-14 14	
CITY HOLDING CO W VA	FIFO	6 000	Feb 10, 14	Mar 23, 15	285 63	254 82			30 81
COLONY CAP INC REIT	FIFO	4 000	Feb 10, 14	Dec 21, 15	78 83	89 14		-10 31	
COMMUNITY TRUST BANCORP INC	FIFO	8 000	Feb 10, 14	Feb 12, 15	256 07	279 54		-23 47	
	FIFO	7 000	Feb 10, 14	Feb 13, 15	224 17	244 61		-20 44	
	FIFO	3 000	Feb 10, 14	Feb 17, 15	96 01	104 83		-8 82	
COMPUTER PROGRAMS & SYSTEMS INC	FIFO	12 000	Feb 10, 14	Mar 11, 15	625 07	780 00		-154 93	
	FIFO	18 000	Feb 10, 14	Mar 23, 15	942 09	1,170 00		-227 91	
CONSOLIDATED COMMUNICATIONS HOLDINGS INC	FIFO	4 000	Feb 10, 14	Dec 21, 15	84 75	73 71			11 04
CONSTELLIUM NV CL A EUR	FIFO	28 000	Feb 10, 14	May 28, 15	386 98	690 76		-303 78	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
COPA HOLDINGS SA CL A	FIFO	4 000	Sep 17, 14	Oct 13, 15	229 84	462 80		-232 96	
	FIFO	4 000	Sep 17, 14	Oct 21, 15	238 39	462 79		-224 40	
	FIFO	1 000	Sep 17, 14	Dec 21, 15	51 51	115 70		-64 19	
	FIFO	2 000	Sep 19, 14	Dec 21, 15	103 01	231 24		-128 23	
CRANE CO	FIFO	2 000	Feb 10, 14	Dec 21, 15	91 95	126 64		-34 69	
CULLEN FROST BANKERS INC	FIFO	6 000	Dec 05, 14	Jun 05, 15	452 15	475 72	25 12	-23 57	
	FIFO	1 000	Dec 05, 14	Dec 21, 15	60 32	79 27	4 18	-18 95	
CYS INVTS INC SBI	FIFO	54 000	Feb 10, 14	Sep 03, 15	418 31	449 14		-30 83	
	FIFO	76 000	Feb 10, 14	Dec 09, 15	542 05	632 13		-90 08	
	FIFO	2 000	Feb 10, 14	Dec 21, 15	14 49	16 63		-2 14	
DIGITAL REALTY TRUST INC REIT	FIFO	2 000	Feb 10, 14	Dec 21, 15	150 75	104 92			45 83
DILLARDS INC CL A	FIFO	1 000	Feb 10, 14	Dec 11, 15	71 42	87 19		-15 77	
ENTEGRIS INC	FIFO	1 000	Feb 10, 14	Dec 11, 15	13 36	11 46			1 90
EPR PROPERTIES REIT	FIFO	1 000	Feb 10, 14	Dec 21, 15	57 25	50 07			7 18
EXPEDIA INC	FIFO	17 000	Feb 10, 14	May 27, 15	1,885 87	1,261 40			624 47
	FIFO	31 000	Feb 10, 14	Nov 09, 15	4,028 25	2,300 20			1,728 05
	FIFO	1 000	Oct 16, 14	Nov 09, 15	129.94	74 55			55 39
FEI COMPANY	FIFO	21 000	Feb 10, 14	Mar 20, 15	1,639.85	2,036 37		-396 52	
FIDELITY NATIONAL FINANCIAL IN COM	FIFO	9 000	Feb 10, 14	Feb 24, 15	335 28	231 02			104 26
	FIFO	1 000	Feb 10, 14	Dec 21, 15	32 92	25 66			7 26
FIRST FINCL BANCORP OHIO MONROE	FIFO	13 000	Feb 10, 14	Jun 15, 15	232 68	209 81			22 87
	FIFO	15.000	Feb 10, 14	Jun 16, 15	270 86	242 09			28 77
	FIFO	7 000	Feb 10, 14	Jun 17, 15	125 84	112 98			12 86
FIRST REP BANK	FIFO	1 000	Feb 10, 14	Dec 11, 15	65 78	49 38			16 40
FIRSTMERIT CORPORATION	FIFO	21 000	Feb 10, 14	May 14, 15	410 67	418.53		-7 86	
	FIFO	14 000	Feb 10, 14	Aug 06, 15	263 94	279 02		-15 08	
	FIFO	14 000	Feb 10, 14	Oct 16, 15	248 25	279 02		-30 77	
	FIFO	1 000	Feb 10, 14	Dec 21, 15	18 68	19 93		-1 25	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
FLY LEASING LTD SPON ADR	FIFO	38 000	Feb 10, 14	Nov 16, 15	507 42	577 34		-69 92	
FOOT LOCKER INC	FIFO	1 000	Mar 12, 14	Dec 11, 15	66 60	46 03			20 57
GALLAGHER ARTHUR J & CO	FIFO	3 000	Apr 10, 14	Dec 21, 15	120 08	131 68		-11 60	
GARTNER INC	FIFO	18 000	Feb 10, 14	Mar 20, 15	1,479 04	1,155 79			323 25
	FIFO	1 000	Feb 10, 14	Dec 11, 15	88 22	64 21			24 01
GENTEX CORP	FIFO	93 000	Feb 10, 14	Jun 11, 15	1,598 22	1,455.36			142 86
GLOBAL PAYMENTS INC	FIFO	2 000	Mar 14, 14	Dec 11, 15	140 31	71.22			69 09
GREAT PLAINS ENERGY INC	FIFO	12 000	Feb 10, 14	Oct 13, 15	330 31	295 78			34 53
HARRIS CORP DELA	FIFO	4 000	Feb 10, 14	Dec 02, 15	337.09	283 34			53 75
	FIFO	1 000	Feb 10, 14	Dec 21, 15	83 97	70 84			13 13
HASBRO INC	FIFO	6 000	Jun 21, 13	Mar 23, 15	369 34	265 74			103 60
	FIFO	3 000	Jun 21, 13	Apr 21, 15	222 62	132 87			89 75
	FIFO	7 000	Jun 21, 13	Jun 25, 15	550 21	310 02			240 19
	FIFO	4 000	Jun 21, 13	Jul 15, 15	312 93	177 16			135 77
	FIFO	1 000	Jun 21, 13	Dec 21, 15	66 15	44 29			21 86
HATTERAS FINANCIAL CORP REIT	FIFO	48 000	Feb 10, 14	Dec 09, 15	648 24	910 87		-262 63	
	FIFO	1 000	Feb 10, 14	Dec 21, 15	13 30	18 98		-5 68	
HCC INSURANCE HLDGS INC **MERGER EFF 10/2015**	FIFO	22 000	Feb 10, 14	Jun 11, 15	1,698 21	927 30			770 91
	FIFO	13 000	Feb 10, 14	Jun 24, 15	1,003 58	547 95			455 63
HEARTLAND PAYMENT SYSTEMS INC	FIFO	1 000	Feb 10, 14	Dec 11, 15	82 19	41 05			41 14
HERCULES TECHNOLOGY GROWTH CAPITAL INC	FIFO	8 000	Feb 10, 14	Dec 21, 15	95 35	127 15		-31 80	
HNI CORP	FIFO	9 000	Feb 10, 14	Mar 12, 15	460 45	299 71			160 74
HOLLYFRONTIER CORP COM	FIFO	2 000	Feb 10, 14	Dec 21, 15	85 03	86.85		-1 82	
HUNTSMAN CORP	FIFO	4 000	Feb 10, 14	Dec 21, 15	42 83	87 26		-44 43	
IAC INTERACTIVECORP	FIFO	1 000	Feb 10, 14	Dec 11, 15	58 51	66 82		-8 31	
ICON PLC EUR	FIFO	1 000	Sep 09, 14	Dec 11, 15	74 37	54.30			20 07

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
IDEX CORP	FIFO	2 000	Feb 10, 14	Dec 21, 15	151 47	140 70			10 77
INFORMATICA CORP **MERGER EFF 08/2015**	FIFO	34.000	Feb 10, 14	Aug 07, 15	1,657 50	1,313 08			344 42
INGREDION INC COM	FIFO	2 000	Sep 30, 14	Nov 03, 15	191 25	153 26			37 99
	FIFO	1 000	Sep 30, 14	Dec 21, 15	96 11	76 64			19 47
INTERACTIVE BROKERS GROUP INC CL A	FIFO	1 000	Feb 10, 14	Dec 11, 15	41 82	21 88			19 94
IVY MID CAP GROWTH FD CL I	FIFO	22 693	Jan 17, 14	Jan 30, 15	529 66	555 74		-26 08	
JP MORGAN LARGE CAP GROWTH FUND SELECT	FIFO	17 334	Jul 18, 12	Jan 30, 15	596 11	409 00			187 11
	FIFO	61 247	Jul 18, 12	Dec 11, 15	2,136 90	1,445 11			691 79
JPMORGAN INCOME BUILDER CLASS SELECT	FIFO	196 638	Feb 08, 13	Dec 11, 15	1,895 59	1,966 38	-1 85	-70 79	
J2 GLOBAL INC COM	FIFO	2 000	Feb 10, 14	Dec 21, 15	154 45	91 12			63 33
KAPSTONE PAPER AND PACKAGING CORP	FIFO	3 000	Feb 10, 14	Dec 11, 15	70 28	88 37		-18 09	
KERYX BIOPHARMACEUTICALS INC	FIFO	42 000	Feb 10, 14	Oct 23, 15	188 00	639 97		-451 97	
	FIFO	19 000	Sep 04, 14	Oct 23, 15	85 05	341 79		-256 74	
	FIFO	10 000	Sep 04, 14	Oct 26, 15	43 18	179 89		-136 71	
	FIFO	3 000	Oct 16, 14	Oct 26, 15	12 96	47 91		-34 95	
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS Y	FIFO	7 064	Jan 20, 11	Dec 16, 15	103 91	105 05		-1 14	
	FIFO	1 737	Jan 25, 11	Dec 16, 15	25 55	25 95		-0 40	
	FIFO	1 756	Feb 22, 11	Dec 16, 15	25 84	26 34		-0 50	
	FIFO	1 686	Mar 22, 11	Dec 16, 15	24 80	25 33		-0 53	
	FIFO	1 641	Apr 26, 11	Dec 16, 15	24 14	25 35		-1 21	
	FIFO	1 666	May 24, 11	Dec 16, 15	24 52	25 74		-1 22	
	FIFO	1 665	Jun 21, 11	Dec 16, 15	24 49	25 43		-0 94	
	FIFO	1 655	Jul 26, 11	Dec 16, 15	24 34	25 69		-1 35	
	FIFO	1 764	Aug 23, 11	Dec 16, 15	25 95	26 15		-0 20	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	1 728	Sep 20, 11	Dec 16, 15	25 42	25 37			0 05
	FIFO	0 892	Oct 25, 11	Dec 16, 15	13 13	13 17		-0 04	
	FIFO	1 252	Nov 22, 11	Dec 16, 15	18 43	17 85			0 58
	FIFO	2 569	Dec 20, 11	Dec 16, 15	37 79	36 57			1 22
	FIFO	0 728	Jan 24, 12	Dec 16, 15	10 72	10 74		-0 02	
	FIFO	0 963	Feb 23, 12	Dec 16, 15	14 17	14 52		-0 35	
	FIFO	0 730	Mar 23, 12	Dec 16, 15	10 75	11 04		-0 29	
	FIFO	0 857	Apr 23, 12	Dec 16, 15	12.61	12 86		-0 25	
	FIFO	1 219	May 23, 12	Dec 16, 15	17 94	17 74			0 20
	FIFO	0 874	Jun 22, 12	Dec 16, 15	12 87	12 88		-0 01	
	FIFO	155 623	Jul 18, 12	Dec 16, 15	2,289 22	2,315 88		-26 66	
	FIFO	1 332	Jul 23, 12	Dec 16, 15	19 61	19 61			
	FIFO	1 455	Aug 22, 12	Dec 16, 15	21 41	21 83		-0 42	
	FIFO	1 209	Sep 21, 12	Dec 16, 15	17 80	18 65		-0 85	
	FIFO	1 273	Oct 22, 12	Dec 16, 15	18.73	19 62		-0 89	
	FIFO	1 626	Nov 21, 12	Dec 16, 15	23 92	24.60		-0 68	
	FIFO	4 085	Dec 20, 12	Dec 16, 15	60 10	63 25		-3 15	
	FIFO	1 174	Jan 28, 13	Dec 16, 15	17 28	18.55		-1 27	
	FIFO	245 391	Feb 08, 13	Dec 16, 15	3,609 71	3,855 31		-245 60	
	FIFO	2 335	Feb 25, 13	Dec 16, 15	34 36	36 25		-1 89	
	FIFO	2 241	Mar 26, 13	Dec 16, 15	32 97	35 41		-2 44	
	FIFO	2 085	Apr 25, 13	Dec 16, 15	30 67	33 64		-2 97	
	FIFO	2.593	May 28, 13	Dec 16, 15	38 15	42 33		-4 18	
	FIFO	2 121	Jun 25, 13	Dec 16, 15	31 20	32 78		-1 58	
	FIFO	8 662	Jul 18, 13	Dec 16, 15	127 42	137 65		-10 23	
	FIFO	2 171	Jul 26, 13	Dec 16, 15	31 94	34 46		-2 52	
	FIFO	2 463	Aug 27, 13	Dec 16, 15	36 24	38 23		-1 99	
	FIFO	1.911	Sep 25, 13	Dec 16, 15	28 11	30 50		-2 39	
	FIFO	2 079	Oct 28, 13	Dec 16, 15	30 59	34 14		-3 55	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	2 522	Nov 26, 13	Dec 16, 15	37 10	41 12		-4 02	
	FIFO	3 561	Dec 16, 13	Dec 16, 15	52 39	57 58		-5 19	
	FIFO	0 066	Dec 16, 13	Dec 16, 15	0 97	1 07		-0 10	
	FIFO	1 619	Dec 16, 13	Dec 16, 15	23 83	26 19		-2 36	
	FIFO	1 472	Jan 28, 14	Dec 16, 15	21 66	23 95		-2 29	
	FIFO	1 532	Feb 24, 14	Dec 16, 15	22 55	25 42		-2 87	
	FIFO	1.546	Mar 25, 14	Dec 16, 15	22 76	25 71		-2 95	
	FIFO	1 499	Apr 24, 14	Dec 16, 15	22 06	25 21		-3 15	
	FIFO	1 895	May 23, 14	Dec 16, 15	27 88	32 07		-4 19	
	FIFO	1 630	Jun 23, 14	Dec 16, 15	23 99	27 99		-4 00	
	FIFO	1 341	Jul 25, 14	Dec 16, 15	19 73	23 13		-3 40	
	FIFO	1 618	Aug 25, 14	Dec 16, 15	23 81	27 72		-3 91	
	FIFO	1 463	Sep 24, 14	Dec 16, 15	21 52	24 75		-3 23	
	FIFO	1 455	Oct 24, 14	Dec 16, 15	21 40	24 25		-2 85	
	FIFO	1 935	Nov 24, 14	Dec 16, 15	28 46	32 74		-4 28	
MAINGATE MLP FUND CL I	FIFO	504 590	Oct 16, 14	Dec 16, 15	4,162.87	6,805 28		-2,642 41	
	FIFO	5 813	Oct 24, 14	Dec 16, 15	47 95	79 46		-31 51	
MAXIM INTEGRATED PRODS INC	FIFO	8 000	Feb 10, 14	Oct 19, 15	313 63	241 36			72.27
MCGRATH RENTCORP	FIFO	8 000	Feb 10, 14	Oct 22, 15	223.61	278 89		-55 28	
	FIFO	4 000	Feb 10, 14	Oct 23, 15	112 29	139 45		-27 16	
	FIFO	1 000	Feb 10, 14	Dec 21, 15	26 20	34 86		-8 66	
MEDICAL PROPERTIES TRUST INC REIT	FIFO	8 000	Feb 10, 14	Dec 21, 15	90 79	100 21		-9 42	
MEDIVATION INC	FIFO	2 000	Feb 10, 14	Dec 11, 15	82 25	77.12			5.13
MICROCHIP TECHNOLOGY INC	FIFO	1 000	Feb 10, 14	Dec 21, 15	46 47	43 21			3 26
MOMENTA PHARMACEUTICALS INC	FIFO	1 000	Feb 10, 14	Dec 11, 15	15 87	16 48		-0 61	
NETSCOUT SYSTEMS INC	FIFO	13 000	Feb 28, 14	Jun 11, 15	503 25	494 18			9 07
	FIFO	4 000	Feb 28, 14	Jun 12, 15	151 35	152 06		-0 71	
NEUSTAR INC CL A	FIFO	27 000	Feb 10, 14	Mar 20, 15	593 48	942 41		-348 93	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
NEWELL RUBBERMAID INC	FIFO	14 000	Feb 10, 14	Jun 10, 15	569 37	428 78			140 59
	FIFO	10 000	Feb 10, 14	Jul 09, 15	404 96	306 27			98 69
	FIFO	6 000	Feb 10, 14	Jul 13, 15	253 60	183 76			69 84
	FIFO	1 000	Feb 10, 14	Jul 23, 15	42 08	30 63			11 45
	FIFO	6 000	Mar 24, 14	Jul 23, 15	252 46	179 85			72 61
	FIFO	6 000	Mar 24, 14	Jul 29, 15	252 32	179 85			72 47
	FIFO	6 000	Mar 27, 14	Jul 29, 15	252 32	175 59			76 73
OAKMARK EQUITY & INCOME FUND CLASS I	FIFO	83 793	Mar 05, 09	Dec 11, 15	2,537 26	1,587 04			950 22
	FIFO	16 960	Dec 18, 09	Dec 11, 15	513 55	428 58			84 97
	FIFO	9 026	Dec 17, 10	Dec 11, 15	273 31	248 04			25 27
OLD DOMINION FREIGHT LINES INC	FIFO	1 000	Feb 10, 14	Dec 11, 15	60 07	52 12			7 95
OMEGA HEALTHCARE INVS INC	FIFO	1 000	Feb 10, 14	Dec 21, 15	34 17	30 55			3 62
ONE GAS INC	FIFO	10 000	Feb 10, 14	Sep 01, 15	426 68	320 99			105 69
	FIFO	8 000	Feb 10, 14	Nov 02, 15	385.64	256 78			128 86
	FIFO	1 000	Feb 10, 14	Dec 21, 15	48 80	32 10			16 70
	FIFO	2 000	Feb 10, 14	Dec 29, 15	102 63	64 20			38 43
	FIFO	3 000	Feb 13, 14	Dec 29, 15	153 94	98 49			55 45
PACKAGING CORP OF AMERICA	FIFO	2 000	Feb 10, 14	Dec 21, 15	120 93	130 69		-9 76	
PACWEST BANCORP	FIFO	2 000	Feb 10, 14	Dec 21, 15	86.27	79 06			7 21
PARTNERRE LTD ORD	FIFO	7 000	Feb 10, 14	Apr 15, 15	908.74	694 89			213 85
	FIFO	3 000	Feb 10, 14	Apr 27, 15	386.67	297 81			88 86
	FIFO	3 000	Mar 03, 14	Apr 27, 15	386 68	295 03			91 65
	FIFO	3 000	Apr 02, 14	Apr 27, 15	386 67	302 84			83 83
PETSMART INC **MERGER EFF 03/2015**	FIFO	36 000	Feb 10, 14	Mar 12, 15	2,988 00	2,316 98			671 02

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS P	FIFO	515 637	Jul 18, 12	Dec 16, 15	4,027 13	5,517 50		-1,490 37	
	FIFO	21 820	Jul 20, 12	Dec 16, 15	170 42	234 15		-63 73	
	FIFO	8 455	Sep 20, 12	Dec 16, 15	66 04	93 95		-27 91	
	FIFO	35 185	Dec 27, 12	Dec 16, 15	274 80	392 35		-117 55	
	FIFO	6 970	Dec 31, 12	Dec 16, 15	54 44	77 24		-22 80	
	FIFO	4 880	Mar 21, 13	Dec 16, 15	38 11	53 39		-15 28	
	FIFO	6 089	Jun 20, 13	Dec 16, 15	47 56	62 35		-14 79	
	FIFO	4 705	Sep 19, 13	Dec 16, 15	36 75	48 61		-11 86	
	FIFO	20 494	Dec 30, 13	Dec 16, 15	160 06	203 50		-43 44	
	FIFO	8 785	Jan 17, 14	Dec 16, 15	68 61	87 32		-18 71	
	FIFO	3 360	Mar 20, 14	Dec 16, 15	26 25	33 17		-6 92	
	FIFO	4 348	Jun 19, 14	Dec 16, 15	33 96	45 14		-11 18	
	FIFO	5.042	Sep 18, 14	Dec 16, 15	39 38	50 67		-11 29	
	FIFO	183 858	Oct 16, 14	Dec 16, 15	1,435 93	1,818 36		-382 43	
PNM RESOURCES INC (HOLDING CO)	FIFO	12 000	Feb 10, 14	Oct 16, 15	339 95	291 72			48 23
POLARIS INDUSTRIES INC (MINN)	FIFO	20 000	Feb 10, 14	May 20, 15	2,943 04	2,479 20			463 84
POWER INTEGRATIONS INC	FIFO	1 000	Feb 10, 14	Dec 11, 15	48 61	58 90		-10 29	
PROTO LABS INC	FIFO	1 000	Jun 02, 14	Dec 11, 15	64 28	66 93		-2 65	
ROBERT HALF INTL INC	FIFO	24 000	Feb 10, 14	May 20, 15	1,379 61	950 29			429 32
ROVI CORP COM	FIFO	50 000	Feb 10, 14	May 20, 15	814 44	1,083 40		-268 96	
RPM INTL INC (DELA)	FIFO	6 000	Feb 10, 14	Feb 26, 15	303 69	234 01			69 68
	FIFO	1 000	Feb 10, 14	Dec 21, 15	42 99	39 00			3 99
SIGNET JEWELERS LTD	FIFO	17 000	Feb 10, 14	Mar 18, 15	2,122 42	1,350 59			771 83
SILGAN HOLDINGS INC	FIFO	2 000	Feb 10, 14	Dec 11, 15	109 17	91 82			17 35
SINCLAIR BROADCAST GROUP INC CL A	FIFO	12 000	Feb 10, 14	Mar 23, 15	375 18	338 22			36 96
	FIFO	6 000	Feb 10, 14	Mar 31, 15	188 45	169 12			19 33
	FIFO	6 000	Feb 10, 14	Nov 09, 15	199 59	169 11			30 48

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UBS Strategic Wealth Portfolio

Account name: WARDEN FAMILY FOUNDATION
Friendly account name: SWP Combined
Account number: KK 22335 J7

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MACUKAS WEALTH MANAGEMENT CONS
505-988-7291/800-634-2386

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	1 000	Feb 10, 14	Dec 21, 15	32 18	28 18			4 00
SNAP ON INC	FIFO	4 000	Feb 10, 14	Aug 21, 15	652 53	431 54			220.99
STERIS CORP **MERGER EFF 11/2015**	FIFO	25 000	Feb 10, 14	Nov 03, 15	1,888 63	1,092 41			796 22
	FIFO	6 000	Mar 03, 14	Nov 03, 15	453 27	278 09			175 18
TAL INTL GROUP INC	FIFO	11 000	Feb 10, 14	Oct 09, 15	199 41	416 65		-217 24	
	FIFO	9 000	Feb 10, 14	Oct 12, 15	151 67	340 89		-189 22	
	FIFO	2 000	Jun 11, 14	Oct 12, 15	33 70	80 74		-47 04	
	FIFO	4 000	Jun 11, 14	Oct 13, 15	68 75	161.49		-92 74	
TANDEM DIABETES CARE INC	FIFO	2 000	Oct 07, 14	Dec 11, 15	23 65	26 36		-2 71	
TARGA RESOURCES INVESTMENTS	FIFO	2 000	Dec 17, 14	Dec 21, 15	48 83	48 83	-143 90		
TELEFLEX INC	FIFO	2 000	Feb 10, 14	Aug 21, 15	259 26	185 36			73 90
TEMPLETON GLBAL BOND ADV	FIFO	0 240	Jul 15, 11	Dec 16, 15	2 80	3 33		-0 53	
	FIFO	1.562	Aug 15, 11	Dec 16, 15	18 16	21 38		-3 22	
	FIFO	1 618	Sep 15, 11	Dec 16, 15	18 80	21 46		-2 66	
	FIFO	1 665	Oct 19, 11	Dec 16, 15	19 35	21 53		-2 18	
	FIFO	40 691	Oct 20, 11	Dec 16, 15	472 83	523 76		-50 93	
	FIFO	1 846	Nov 17, 11	Dec 16, 15	21 46	23 64		-2 18	
	FIFO	9 579	Dec 19, 11	Dec 16, 15	111 32	117 39		-6 07	
	FIFO	3 061	Dec 19, 11	Dec 16, 15	35 58	37 51		-1 93	
	FIFO	1 935	Jan 17, 12	Dec 16, 15	22 48	23 45	-0 92	-0 97	
	FIFO	1 866	Feb 15, 12	Dec 16, 15	21 69	24 46		-2 77	
	FIFO	1 866	Mar 15, 12	Dec 16, 15	21 68	24 56		-2.88	
	FIFO	1 908	Apr 16, 12	Dec 16, 15	22 17	24 66		-2 49	
	FIFO	1 967	May 15, 12	Dec 16, 15	22 86	24 75		-1 89	
	FIFO	1 984	Jun 15, 12	Dec 16, 15	23 06	24 85		-1 79	
	FIFO	1 940	Jul 16, 12	Dec 16, 15	22 55	24 96		-2 41	
	FIFO	1 179	Aug 15, 12	Dec 16, 15	13 70	15 51		-1 81	
	FIFO	0 934	Sep 17, 12	Dec 16, 15	10 86	12 45		-1 59	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	0 932	Oct 15, 12	Dec 16, 15	10 83	12 50		-1 67	
	FIFO	0 936	Nov 15, 12	Dec 16, 15	10 89	12 53		-1 64	
	FIFO	6 048	Dec 17, 12	Dec 16, 15	70 28	79 84		-9 56	
	FIFO	0 040	Dec 17, 12	Dec 16, 15	0 47	0 53		-0 06	
	FIFO	4 043	Dec 17, 12	Dec 16, 15	46 98	53 37		-6 39	
	FIFO	0.967	Jan 15, 13	Dec 16, 15	11 24	12 98		-1 74	
	FIFO	61 344	Feb 08, 13	Dec 16, 15	712 82	821 39		-108 57	
	FIFO	1 150	Feb 15, 13	Dec 16, 15	13 37	15 45		-2 08	
	FIFO	1 153	Mar 15, 13	Dec 16, 15	13 40	15 50		-2 10	
	FIFO	1 148	Apr 15, 13	Dec 16, 15	13 34	15 54		-2 20	
	FIFO	1 143	May 15, 13	Dec 16, 15	13 29	15 59		-2 30	
	FIFO	1 184	Jun 17, 13	Dec 16, 15	13 76	15 43		-1 67	
	FIFO	1 191	Jul 15, 13	Dec 16, 15	13 85	15 48		-1 63	
	FIFO	130 455	Jul 18, 13	Dec 16, 15	1,515 89	1,706 48		-190 59	
	FIFO	1 617	Aug 15, 13	Dec 16, 15	18 79	20 72		-1 93	
	FIFO	1 606	Sep 16, 13	Dec 16, 15	18 66	20 78		-2 12	
	FIFO	1 590	Oct 15, 13	Dec 16, 15	18 48	20 85		-2 37	
	FIFO	1 607	Nov 15, 13	Dec 16, 15	18 67	20 91		-2 24	
	FIFO	3 529	Dec 16, 13	Dec 16, 15	41 01	45 88		-4 87	
	FIFO	0 125	Dec 16, 13	Dec 16, 15	1 46	1 63		-0 17	
	FIFO	1 621	Jan 15, 14	Dec 16, 15	18 84	21 12		-2 28	
	FIFO	1 555	Feb 18, 14	Dec 16, 15	18 07	19 96		-1 89	
	FIFO	1 562	Mar 17, 14	Dec 16, 15	18 17	20 02		-1 85	
	FIFO	1 157	Apr 15, 14	Dec 16, 15	13 44	15 06		-1.62	
	FIFO	1 148	May 15, 14	Dec 16, 15	13 35	15 10		-1 75	
	FIFO	1 140	Jun 16, 14	Dec 16, 15	13 25	15 13		-1 88	
	FIFO	1 140	Jul 15, 14	Dec 16, 15	13 25	15 17		-1 92	
	FIFO	1 124	Aug 15, 14	Dec 16, 15	13 06	14 92		-1 86	
	FIFO	1 245	Sep 15, 14	Dec 16, 15	14 47	16 51		-2 04	
	FIFO	1 257	Oct 15, 14	Dec 16, 15	14 60	16 40		-1 80	

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UBS Strategic Wealth Portfolio

Account name: WARDEN FAMILY FOUNDATION
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505-988-7291/800-634-2386

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	0 773	Nov 17, 14	Dec 16, 15	8 98	10 16		-1 18	
	FIFO	12 570	Dec 15, 14	Dec 16, 15	146 07	154 49		-8 42	
	FIFO	0 712	Dec 15, 14	Dec 16, 15	8 27	8 75		-0 48	
THORNBURG DEVELOPING WORLD FUND CLASS I	FIFO	404 484	Jul 18, 13	Dec 16, 15	6,309 95	7,075 66		-765 71	
	FIFO	1 520	Sep 24, 14	Dec 16, 15	23 71	29.29		-5 58	
TOTAL SYSTEM SERVICES INC	FIFO	1 000	Feb 10, 14	Dec 11, 15	54 20	29 40			24 80
TOWERS WATSON & CO CL A	FIFO	1 000	Feb 10, 14	Dec 11, 15	131.22	108 86			22 36
TRANSPARENT VALUE DIRECTIONAL ALLOCATION FUND CLASS I	FIFO	12 564	Oct 28, 13	Jan 30, 15	156 05	174 93		-18 88	
	FIFO	131 730	Oct 28, 13	Dec 16, 15	1,658 48	1,834 10		-175 62	
	FIFO	16 098	Dec 27, 13	Dec 16, 15	202 69	226 09		-23 40	
	FIFO	0 029	Dec 27, 13	Dec 16, 15	0.37	0 42		-0.05	
	FIFO	464 459	Jan 17, 14	Dec 16, 15	5,847 54	6,466 72		-619 18	
TRIANGLE CAPITAL CORP	FIFO	15 000	Apr 04, 14	May 06, 15	345 93	398 33		-52 40	
	FIFO	5 000	Apr 04, 14	Aug 31, 15	100 07	132 78		-32 71	
	FIFO	2 000	Apr 04, 14	Sep 01, 15	38 75	53 11		-14 36	
	FIFO	2 000	Apr 04, 14	Sep 02, 15	38 03	53 11		-15 08	
	FIFO	6 000	Apr 10, 14	Sep 02, 15	114 07	156 73		-42 66	
	FIFO	3 000	Apr 10, 14	Sep 03, 15	58 00	78 37		-20 37	
	FIFO	1 000	Apr 10, 14	Dec 21, 15	18 89	26 12		-7 23	
UMPQUA HOLDINGS CORP OR	FIFO	18 000	Feb 10, 14	May 05, 15	305 06	303 60			1 46
	FIFO	15 000	Feb 10, 14	Jul 29, 15	268 16	253 00			15 16
UNITED THERAPEUTICS CORP	FIFO	1 000	Feb 10, 14	Dec 11, 15	153 03	97 84			55 19
UNIVERSAL HEALTH SVCS INC CL B	FIFO	17 000	Feb 10, 14	Jun 24, 15	2,233 38	1,329 69			903 69
	FIFO	1 000	Feb 10, 14	Dec 11, 15	120 66	78 22			42 44
VALMONT INDUSTRIES INC	FIFO	12 000	Feb 10, 14	Mar 20, 15	1,468 48	1,756 68		-288 20	
VECTREN CORP	FIFO	8 000	Feb 10, 14	Oct 28, 15	370 18	291 33			78 85

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
VERINT SYSTEMS INC	FIFO	1 000	Nov 25, 14	Dec 11, 15	40 13	59 86		-19 73	
WABTEC INC	FIFO	1 000	Feb 10, 14	Dec 11, 15	70 57	72 14		-1 57	
WASH TRUST BANCORP INC	FIFO	2 000	Feb 10, 14	Dec 21, 15	75 59	65 21			10 38
WHITING PETROLEUM CORP NEW	FIFO	32 000	Feb 10, 14	May 26, 15	1,055 53	1,816 75		-761 22	
WILLIAMS SONOMA INC	FIFO	1 000	Feb 10, 14	Dec 11, 15	61 60	55 17			6 43
WUXI PHARAMATECH CAYMAN INC*MERGER EFF 12/2015* SPON ADR	FIFO	48 000	Feb 10, 14	Dec 14, 15	2,205 60	1,726 56			479 04
WYNDHAM WORLDWIDE CORP	FIFO	1 000	Feb 10, 14	Dec 11, 15	73 75	70 42			3 33
XL GROUP PLC SHS	FIFO	68 000	Feb 10, 14	Mar 18, 15	2,500 13	1,965 56			534 57
YY INC UNSPONSORED CL A ADR	FIFO	1 000	Jun 05, 14	Dec 11, 15	62 21	65 50		-3 29	
Total					**$132,519.40**	**$131,775.27**		**-$15,351.21**	**$16,095.34**
Net long-term capital gains or losses									**$744.13**
Net capital gains/losses:								**-$754.21**	

UBS

Important information about your statement

UBS Financial Services Inc (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC The Firm's financial statement is available upon request The Firm's executive offices are at

UBS Financial Services Inc
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account Other records, except official tax documents, containing conflicting data should not be relied upon If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes Rely solely on year-end tax forms, (i e , Form 1099, 5498, 1042S, etc) when preparing your tax return The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA)
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution We informed you of this relationship when you opened this account In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms

  All account statements shall be deemed complete and accurate if not objected to in writing within 60 days

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8 00 A.M to 6 00 P M ET Monday through Friday, or in writing to UBS Financial Services Inc , Client Relations Department, P O Box 766 Union City, NJ 07087
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000 or write to UBS Financial Services Inc , 1000 Harbor Blvd , 6th floor, Weehawken, NJ 07086, Attn RMA/BSA Services

  Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared
  - Provide your name and account number (if any)
  - Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information
  - Provide the dollar amount of the suspected error

The Firm or Card Issuer will investigate your complaint and will correct any error promptly If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee

UBS Sweep Options
UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA)for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules For more information please visit www fdic gov Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch These deposits are not insured by the FDIC Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Brach's books

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC Money Fund shares are protected by SIPC See "UBS Financial Services Account Protection" below

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account

Further information about available sweep options, including current interest rates and yields, is available at www ubs com/sweepyields, from your Financial Advisor or by calling 800-762-1000

UBS Financial Services account protection
The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to $500,000 (including $250,000 for claims for cash) The SIPC asset protection limits apply to all accounts that you hold in a particular capacity The Firm, together with certain affiliates, has also purchased supplemental protection The maximum amount payable to all eligible clients, collectively under this protection is $500 million as of December 10, 2013

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to $1 9 million in the aggregate for all your accounts held in a particular capacity A full copy of the policy wording is available upon request

The SIPC protection and the supplemental protection both do not apply to

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e g certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e g , limited partnerships and private placements) that are not registered under the Securities Act of 1933 and
- Commodities contracts (e g , foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise)

More information is available upon request You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at *www sipc org*

Dividend Reinvestment Program (DRIP)
The price reflected is an average price You may obtain the actual price from your Financial Advisor Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold

Cash-in-lieu
Only whole units may be held in your account If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account

Investment objectives
The investment objectives and risk profile are specific to each account and may vary between Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives For each account held, you choose one of the following investment objectives

- *Produce Current Income* Investments seeking the generation of income only
- *Achieve Capital Appreciation* Investments seeking growth of principal rather than the generation of income
- *Produce Combination of Income and Capital Appreciation* Investments seeking both the generation of income and growth of principal

Overall risk profiles

- *Conservative* Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation
- *Moderate* Willing to accept some risk to principal and tolerate some volatility to seek higher returns
- *Aggressive* Willing to accept high risk to principal and high volatility to seek high returns over time

Statement "householding"
We may consolidate all related account statements with the same address in the same envelope Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address This practice is known as "householding " If you prefer to receive individual statements mailed in separate envelopes — you may decline householding by calling your Financial Advisor

Friendly account name
The Friendly account name reflects information that you entered on the Firm's online services website It is a customizable "nickname" chosen by you to assist you with your recordkeeping It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor

Account overview

- *Value of your account/portfolio* Net of assets and liabilities
- *Assets* Includes available cash balances, values for restricted security (est), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options
- *Liabilities* Includes debit balances, outstanding margin loans, credit line, short account balances
- *Cash/money balances* Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business These funds are payable upon your demand This total is included in the current period closing value

Lending information
For detailed information on the Firm's lending practices and disclosures refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions UBS Statement of Credit Practices available in *Agreements and Disclosures* at *www ubs com/accountdisclosures*

Your assets
Your statement itemizes securities and other assets held in the account at the end of the statement period You may ask for delivery of fully paid securities at any time You may receive securities used as loan collateral after paying any balance due on them Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period

- **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services

  In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm More historical information can be added by your Financial Advisor

- **Unrealized gains/losses** When data is available, estimated unrealized gains/losses are calculated for individual security lots The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot The "Trade date" column presents the original transaction trade date

- **Callable securities** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients Call feature information is obtained from third parties and its accuracy is not guaranteed Other call features may exist which could affect yield, complete information will be provided upon request.

- **Certificates of deposit (CDs)** CDs are FDIC insured up to $250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules

- **Price/value** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy
  - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities
  - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value
  - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security
  - Deposits or securities denominated in currencies other than U S dollars are reflected at the exchange rate as of the statement date
  - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation

  To obtain current quotations, when available, contact your Financial Advisor

- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws We provide estimated values for private investment securities and structured products for informational purposes only Accuracy is not guaranteed
  - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation
  - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm
  - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values
  - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor
  - Third party estimated values may be reflected as "Not priced" in several situations when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security
  - When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price
  - "Distributions to date" may include return of capital, income or both
  - "Original unit size" represents the initial offering price per unit and may not reflect your cost basis

- **Restricted securities** Restricted securities generally are not currently eligible for public sale UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock *for purposes of this statement only* To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity This estimate is only a guideline, accuracy and continued income are not guaranteed
  - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est income (and current yield) would be overstated
  - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts
  - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities/deposits will be held for one year from the statement date or until maturity Accuracy and continued yield are not guaranteed

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control These assets are displayed on your statement for informational purposes only Positions and values presented are provided by the issuing firm UBS Financial Services is not responsible for this information and does not guarantee its accuracy These assets are not protected by SIPC or the Firm's supplemental SIPC coverage

- **Revenue sharing and additional compensation.**
  - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell These amounts are based on two different components (i) the amount of sales by UBS of a particular mutual fund family to our clients, and (ii) the asset value of a particular mutual fund family's shares held at the firm
  - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family
  - In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute
  - Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute
  - We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to $25 per account sweeping to the banks under the UBS Bank Sweep Programs

Activity
Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request

Short selling
If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security

Open orders
Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you You are responsible for orders that are executed due to your failure to cancel existing open orders

Privacy
To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at *www ubs.com/privacypolicy*

UBS Financial Services is not a bank The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc

Investment, insurance, and annuity products
Not FDIC insured • No bank guarantee• May lose value

RMA Resource Management Account, Business Services Account BSA, IRMA and international Resource Management Account are registered service marks of UBS Financial Services Inc

Visa Signature is a registered service mark of Visa International UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U S A Incorporated

UBS

Additional terms and definitions about your security transactions

Payment and Delivery, Late Payment Interest. You agree that (1) you will make payment by the settlement date for all property purchased for your account, and (2) that you own all property sold for your account (except for short sales) or it has been or will be delivered to UBS for your account by the settlement date

Close-out Rights We may close transactions without further notice through liquidation or buy in, as applicable, if we do not receive payment for purchases or delivery of property sold by the settlement date, and we may charge late payment interest

Purchase for a Cash Account: If this transaction is a purchase of securities for a cash account, until we receive payment from you, these securities may be loaned, pledged, hypothecated and/or commingled with securities carried for other clients

Applicable Law All transactions are subject to the provisions of your account agreement and this confirmation, which are governed by New York law. All transactions are subject to applicable federal, state and foreign laws and regulations, and to the rules, regulations, interpretations and customs of the exchange or market on which they are made or the clearing agency through which comparison of terms, delivery and payment is effected

Other Fees and Charges: Amounts charged to your account may include a Transaction Fee (rounded to the nearest penny, or up to one penny if less than one, on each sale transaction), subscription fees for U.S. Government and Government agency issues, insurance premiums and other charges associated with the processing of transactions for your account. For the current Transaction Fee rate go to ubs.com/accountdisclosures

Market Maker As market maker, we or our affiliate may act as principal, may have a long or short position in the security and may profit from your transaction

Agency Trades For trades in which we acted as agent, the name of the person the security was purchased from or sold to and the source and amount of any other remuneration received by us, in addition to the amount shown on confirmations, is available upon written request. The other party may be an affiliate that may earn compensation in addition to the commission shown on confirmations

Dual Agent If the capacity shown on the front is dual agent, then we acted as agent for both you and another client

Principal Trades For trades in which we acted as principal, the price shown may reflect revenue received by us in addition to any amount shown under "Commission/Sales Charge" including revenue received from affiliated dealers

Multiple Capacity If the capacity shown on the front of the confirm is multiple, we executed the trade, acting in two or more of the following capacities: principal, agent or dual agent. Additional information is available upon written request

Reported Securities For trades in which we acted as principal in a reported security, the amount shown under "Price" is the reported trade price

Odd-Lot Differential The amount of any odd lot differential or equivalent fee to execute an order for an odd-lot number of shares, unit or principal amount of a security is disclosed on this confirmation or will be furnished upon request

Not-Rated Debt This security is not rated by a nationally recognized statistical rating organization. This information is based on a good faith inquiry of selected sources that we believe to be reliable and current, but we cannot guarantee its accuracy and completeness

Asset-Backed Security The actual yield on an asset-backed security may vary accordingly to the rate at which the underlying receivables or other financial assets are prepaid. Information about the factors that affect yield will be furnished upon written request. **EY**: Estimated Yield, **WAL**: Weighted Average Life, **PSA**: Prepayment Speed Assumption, **CPR**: Conditional Prepayment Rate

Zero Coupon Security: If this transaction involves a zero coupon security, no periodic interest payments will be made and, if the security is callable, it may be called below maturity value. Such securities may be called by mail without direct notice to the holder unless the holder is registered

U.S. Treasury Securities, Agency Debt and Agency Mortgage Backed Securities Transactions are subject to Fails Charge Trading Practice published by TMPG and SIFMA at http://www.sifma.org/TMPG

Redeemable/Callable Securities Redeemable or callable securities may be redeemed in whole or in part before maturity, which could adversely affect the yield represented. When an issuer redeems or calls a portion of an outstanding issue of securities, we will hold an impartial lottery. The probability of your securities being selected will depend on the proportion of your holdings to all such securities held by us. Additional information about callable equity securities indicated on the confirmation is available upon request

Average Price Trade. Your order may have been completed in multiple trade executions consisting of various quantities/prices and the price shown may be an average of these prices. Average price trade reporting simplifies record keeping and reduces the amount of correspondence you receive from us. Details of the individual trade executions and elements of total remuneration paid by you are available to you upon request

Aggregated Orders Your order may have been aggregated with orders of other customers for purposes of execution. Additional information is available upon request

Credits. In over the-counter transactions of debt and equity securities, we or an affiliated broker dealer may act as principal and pay a credit to the Financial Advisor handling your order. This credit represents an internal allocation of revenues received. Additional information is available upon request

Minimum Denomination The municipal security that you purchased may have a minimum denomination set by the issuer. The Municipal Securities Rulemaking Board has certain conditions for the purchase of below-minimum denomination securities by brokers, dealers and municipal securities dealers that may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security

Revenue Sharing and Additional Compensation In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients, as described in the prospectus, we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two components

- The amount of sales by UBS of a particular mutual fund family to our clients, and
- The asset value of a particular mutual fund family's shares held at the firm

We and/or our affiliate also receive record keeping or service fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a generally fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family

In addition to commissions received in connection with the sale or distribution of variable annuity contracts and unit investment trust units to our clients, as described in the prospectus, we and/or our affiliates receive revenue sharing compensation from many of the insurance companies underwriting the variable annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute. Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute

Foreign Market Transaction Settlement Date "Settlement date" is computed on the basis of the standard U.S. calendar and does not take into account holidays on foreign markets. The actual settlement date for a foreign market transaction may differ from the date listed on confirmations. Additional information is available upon request

Time of Execution The time of execution is available upon written request

Markets Many securities are traded in multiple markets. Unless you provide specific instructions, we will exercise discretion as to the market or markets in which your order is executed

Good-Til-Cancelled (GTC) A Good-Til-Cancelled (GTC) order is an order that lasts until the order is completed or cancelled. UBS Financial Services will automatically cancel all Equity GTC orders that are in effect for a 6-month duration (Good for 6 months) upon the opening of the next business day, these orders will be cancelled with immediate notification being sent via confirmation to the investor. This does not include any orders placed for Equity Plan Advisory Services (EPAS) clients or as part of a 10b-5-1 trading plan

Boston Options Exchange (BOX): UBS Securities LLC, an affiliate of UBS Financial Services Inc., has a beneficial ownership interest in the entity that operates The Boston Options Exchange

International Securities Exchange (ISE) UBS Securities LLC, an affiliate of UBS Financial Services Inc., has a beneficial ownership interest in the entity that operates the International Securities Exchange

Location of Execution
1 New York Stock Exchange (NYSE)
2 NYSE MKT (NYSEMKT)
3 NASDAQ OMX BX (BX)
4 Chicago Stock Exchange (CHX)
5 National Stock Exchange (NSX)
6 NYSE Arca
7 NASDAQ OMX PHLX (PHLX)
8 Chicago Board Options Exchange (CBOE)
9 Over-the-Counter (OTC)
10 Multiple Locations – Additional Information Upon Request (MULTIPLE)
11 International Securities Exchange (ISE)- See Disclosure Above
12 Boston Options Exchange (BOX) –See Disclosure Above

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