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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2015

Open to Public Inspection

Department of the Treasury Internal Revenue Service

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For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation THE NEEL FOUNDATION
A Employer identification number 54-0761588
B Telephone number (see instructions) (914) 271-3428
C If exemption application is pending, check here
D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation
E If private foundation status was terminated under section 507(b)(1)(A), check here
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27-29).

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
<b>Assets</b>	<b>1</b>	Cash—non-interest-bearing . . . . .				
	<b>2</b>	Savings and temporary cash investments . . . . .	112,136	64,464	64,464	
	<b>3</b>	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	<b>4</b>	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	<b>5</b>	Grants receivable . . . . .				
	<b>6</b>	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions). . . . .				
	<b>7</b>	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____				
	<b>8</b>	Inventories for sale or use . . . . .				
	<b>9</b>	Prepaid expenses and deferred charges . . . . .				
	<b>10a</b>	Investments—U S and state government obligations (attach schedule)	215,449	54,579	54,012	
	<b>b</b>	Investments—corporate stock (attach schedule) . . . . .	942,978	1,038,860	1,353,345	
	<b>c</b>	Investments—corporate bonds (attach schedule) . . . . .		172,910	187,184	
	<b>11</b>	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
	<b>12</b>	Investments—mortgage loans . . . . .				
	<b>13</b>	Investments—other (attach schedule) . . . . .	482,408	462,526	442,574	
	<b>14</b>	Land, buildings, and equipment basis ▶ _____ 7,394 Less accumulated depreciation (attach schedule) ▶ _____ 7,084				
<b>15</b>	Other assets (describe ▶ _____)					
<b>16</b>	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	1,753,587	1,793,649	2,101,579		
<b>Liabilities</b>	<b>17</b>	Accounts payable and accrued expenses . . . . .				
	<b>18</b>	Grants payable . . . . .				
	<b>19</b>	Deferred revenue . . . . .				
	<b>20</b>	Loans from officers, directors, trustees, and other disqualified persons				
	<b>21</b>	Mortgages and other notes payable (attach schedule). . . . .				
	<b>22</b>	Other liabilities (describe ▶ _____)				
	<b>23</b>	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		0		
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/>			<b>and complete lines 24 through 26 and lines 30 and 31.</b>		
	<b>24</b>	Unrestricted . . . . .				
	<b>25</b>	Temporarily restricted . . . . .				
	<b>26</b>	Permanently restricted . . . . .				
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/>			<b>and complete lines 27 through 31.</b>		
	<b>27</b>	Capital stock, trust principal, or current funds . . . . .	444,469	444,469		
	<b>28</b>	Paid-in or capital surplus, or land, bldg, and equipment fund				
	<b>29</b>	Retained earnings, accumulated income, endowment, or other funds	1,309,118	1,349,180		
<b>30</b>	<b>Total net assets or fund balances</b> (see instructions) . . . . .	1,753,587	1,793,649			
<b>31</b>	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	1,753,587	1,793,649			

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b>	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	1,753,587
<b>2</b>	Enter amount from Part I, line 27a . . . . .	<b>2</b>	40,062
<b>3</b>	Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	
<b>4</b>	Add lines 1, 2, and 3 . . . . .	<b>4</b>	1,793,649
<b>5</b>	Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	
<b>6</b>	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	<b>6</b>	1,793,649

**Part IV Capital Gains and Losses for Tax on Investment Income**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired	Date acquired	Date sold
	<b>(b)</b> P—Purchase D—Donation	<b>(c)</b> (mo, day, yr)	<b>(d)</b> (mo, day, yr)
<b>1a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> FMV as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b>	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	7,258
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		}	<b>3</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )  
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

<b>(a)</b> Base period years Calendar year (or tax year beginning in)	<b>(b)</b> Adjusted qualifying distributions	<b>(c)</b> Net value of noncharitable-use assets	<b>(d)</b> Distribution ratio (col (b) divided by col (c))
2014	81,244	1,932,492	0.042041
2013	106,277	1,700,428	0.062500
2012	95,092	1,944,226	0.048910
2011	85,578	1,913,070	0.044733
2010	86,104	1,776,125	0.048479
<b>2</b>	<b>Total</b> of line 1, column (d).		<b>2</b> 0.246663
<b>3</b>	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		<b>3</b> 0.049333
<b>4</b>	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.		<b>4</b> 0
<b>5</b>	Multiply line 4 by line 3.		<b>5</b>
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b).		<b>6</b> 699
<b>7</b>	Add lines 5 and 6.		<b>7</b> 699
<b>8</b>	Enter qualifying distributions from Part XII, line 4.		<b>8</b> 100,775

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

Table with 11 rows for excise tax calculation. Includes sub-rows 6a-6d for credits and payments. Values include 699, 18, and 717.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political influence, tax on political expenditures, and substantial contributors. Includes 'Yes' and 'No' columns.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of JAMES NEEL Telephone no (914) 271-3428 Located at 32 VAN WYCK STREET CROTON ON HUDSON NY ZIP+4 10520
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here.

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945–5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

**Total** number of other employees paid over \$50,000.

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services. . . . . 

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	

**Total.** Add lines 1 through 3 . . . . . 

**Part X Minimum Investment Return**

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	0
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	0
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	0
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	0
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	0
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	0
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	0

**Part XI Distributable Amount**

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	
<b>2a</b>	Tax on investment income for 2015 from Part VI, line 5. . . . .	<b>2a</b>	699
<b>b</b>	Income tax for 2015 (This does not include the tax from Part VI ). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	699
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	<b>3</b>	
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	<b>7</b>	0

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
<b>a</b>	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	<b>1a</b>	100,775
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	100,775
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	<b>5</b>	699
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	100,076

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7				0
<b>2</b> Undistributed income, if any, as of the end of 2015				
<b>a</b> Enter amount for 2014 only. . . . .			13,879	
<b>b</b> Total for prior years 20___, 20___, 20___				
<b>3</b> Excess distributions carryover, if any, to 2015				
<b>a</b> From 2010. . . . .				
<b>b</b> From 2011. . . . .				
<b>c</b> From 2012. . . . .				
<b>d</b> From 2013. . . . .				
<b>e</b> From 2014. . . . .				
<b>f</b> <b>Total</b> of lines 3a through e. . . . .				
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ <u>100,775</u>				
<b>a</b> Applied to 2014, but not more than line 2a			13,879	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2015 distributable amount. . . . .				
<b>e</b> Remaining amount distributed out of corpus	86,896			
<b>5</b> Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	86,896			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . . . .				
<b>9 Excess distributions carryover to 2016.</b> Subtract lines 7 and 8 from line 6a . . . . .	86,896			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2011. . . . .				
<b>b</b> Excess from 2012. . . . .				
<b>c</b> Excess from 2013. . . . .				
<b>d</b> Excess from 2014. . . . .				
<b>e</b> Excess from 2015. . . . .	86,896			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . .

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			<b>(e) Total</b>
	<b>(a) 2015</b>	<b>(b) 2014</b>	<b>(c) 2013</b>	<b>(d) 2012</b>	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

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**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

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**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

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**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

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**b** The form in which applications should be submitted and information and materials they should include

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**c** Any submission deadlines

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**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b>				<b>3a</b> 100,775
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>				<b>3b</b>





**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**

<b>(a)</b> Name and address	Title, and average hours per week <b>(b)</b> devoted to position	<b>(c)</b> Compensation (If not paid, enter -0-)	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	Expense account, <b>(e)</b> other allowances
JAMES NEEL 32 VAN WYCK STREET CROTON ON HUDSON, NY 10520	PRESIDENT 10 00	0	0	0
MARY NEEL GILBERT 155 ANSON VALLEY ROAD NEW VINYARD, ME 04956	VICE PRESIDE 1 00	0	0	0
WENDY NEEL ELLSWORTH 1378 COBBLER ROAD QUAKERTOWN, PA 18951	SECRETARY 1 00	0	0	0
KATHRYN LEEDS NEEL 32 VAN WYCK STREET CROTON ON HUDSON, NY 10520	TREASURER 1 00	0	0	0
SOPHIA NEEL KOUNTZ 446 SUGARLAND RUN DRIVE STERLING, VA 20164	DIRECTOR 1 00	0	0	0
AMY BOWEN NEEL 26483 DUTCHER CREEK ROAD COLVERDALE CA, CA 95425	DIRECTOR 1 00	0	0	0

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
A VOICE IS HEARD 42 N DECATUR STREET STRASBURG, PA 17579			DONATION	2,950
AAUW CA SPF 1331 GARDEN HIGHWAY SACRAMENTO, CA 95833			DONATION	850
ACUMEN FUND 40 WORTH ST 303 NEW YORK, NY 10013			DONATION	500
ALLIANCE EARTH PO BOX 1636 BASALT, CO 81621			DONATION	3,000
AMAZON CONSERVATION ASSN 1822 R ST NW WASHINGTON, DC 20009			DONATION	500
AMERICAN ASSOC OF WOOD TURNING 222 LANDMARK CENTER ST PAUL, MN 55102			DONATION	1,000
AMERICAN REFUGEE COMMITTEE INT 615 1ST AVE NE MINNEAPOLIS, MN 55413			DONATION	500
AMERICAN UNIV SCH OF COMMUNICATION 4400 MASSACHUSETTS AVE WASHINGTON, DC 20016			DONATION	12,000
ANTLER RIDGE 52 COUNTRY ROAD 661 NEWTOWN, NJ 07860			DONATION	500
ASSN TO BENEFIT CHILDREN 419 E 86TH STREET NEW YORK, NY 10022			DONATION	500
BEADS FOR EDUCATION 5501 VENTNOR AVE VENTNOR, NJ 08406			DONATION	750
BEAUTY I AM PO BOX PASADENA, CA 91107			DONATION	300
BEST FRIENDS ANIMAL SOCIETY 5001 ANGEL CANYON RD KANAB, UT 84741			DONATION	500
BIG LIFE FDN 24010 NE TREE HILL DRIVE WOOD VILLAGE, OR 97060			DONATION	1,000
BLINK NOW PO BOX 453 MENDHAM, NJ 07945			DONATION	300
<b>Total . . . . .</b>			<b>3a</b>	100,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BLUE HILL HERITAGE TRUST 258 MOUNTAIN RD BLUE HILL, ME 04614			DONATION	250
BRIARCLIFF CONGREGATIONAL CH S STATE STREET BRIARCLIFF MANOR, NY 10510			DONATION	3,750
BRIARCLIFF SPCA 590 NORTH STATE ROAD BRIARCLIFF MANOR, NY 10510			DONATION	1,250
BROADLEAF HEALTH & EDU ALLIANCE 919 MAIN ST STROUDSBURG, PA 18360			DONATION	500
BROOKLIN VOL FIRE DEPT 25 BAY RD BROOKLIN, ME 04616			DONATION	100
CA JUMPSTART COALITION 700 R STREET SACRAMENTO, CA 95811			DONATION	500
CENTER FOR ART AND WOOD 141 N 3RD STREET PHILADELPHIA, PA 19106			DONATION	1,000
CERF 2 UNITED NATIONS PLAZA NEW YORK, NY 10017			DONATION	500
CLOVERDALE ARTS ALLIANCE 204 N CLOVERDALE BLVD CLOVERDALE, CA 95425			DONATION	2,500
CLOVERDALE PERFORMING ARTS CTR 209 N CLOVERDALE BLVD CLOVERDALE, CA 95425			DONATION	2,500
CLOVERDALE SR CTR 311 N MAIN ST CLOVERDALE, CA 95425			DONATION	500
COMPASSION INTL 12290 VOYAGER PARKWAY COLORADO SPRINGS, CO 80921			DONATION	1,000
CRAILO NHT 9 RIVERSIDE AVE RENSSELAER, NY 12144			DONATION	1,000
CROTON CARING COMMITTEE 38 PENFIELD AVE CROTON ON HUDSON, NY 10520			DONATION	200
CROTON LIONS CLUB PO BOX 296 CROTON ON HUDSON, NY 10520			DONATION	750
<b>Total . . . . .</b>			<b>3a</b>	100,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
EAGLEBROOK SCHOOL 271 PINE NOON ROAD DEERFIELD,MA 01342			DONATION	250
ELECTRONIC FRONTIER FDN 815 EDDY ST SAN FRANCISCO,CA 94109			DONATION	300
ELEPHANT SANCTUARY 27 E MAIN STREET HOHENWALD,TN 38462			DONATION	500
ENDANGERED SPECIES INTERNATIONAL 2112 HAYES STREET SAN FRANCISCO,CA 94117			DONATION	500
EVERY NATION CITY CHURCH 15055 OXNARD ST VAN NUYS,CA 91411			DONATION	700
FALCONRIDGE EQUINE RESCUE PO BOX 1500 VALLEY CENTER,CA 92082			DONATION	500
FARM SANCTUARY 3150 AIKENS RD WATKINS GLEN,NY 14891			DONATION	500
FOOD BANK OF THE ROCKIES 10700 E 45TH STREET DENVER,CO 80239			DONATION	300
FOOTHILLS ANIMAL SHELTER 580 MCINTYRE STREET GOLDEN,CO 80401			DONATION	800
FORGOTTEN FELINES OF VALHALLA PO BOX 430 VALHALLA,NY 10595			DONATION	500
FOUNTAIN VALLEY SCHOOL 6155 FOUNTAIN VLY SCH RD COLORADO SPRINGS,CO 80911			DONATION	250
FOXCROFT SCHOOL PO BOX 5555 MIDDLEBURG,VA 20118			DONATION	1,000
FRIEND MEMORIAL LIBRARY 1 REACH ROAD BROOKLIN,ME 04616			DONATION	500
FRIENDS OF FIBER ART INTL BOX 468 WESTERN SPRINGS,IL 60558			DONATION	100
FRIENDS OF THE NATIONAL ZOO PO BOX 37012 WASHINGTON,DC 20013			DONATION	500
<b>Total . . . . .</b>				<b>100,775</b>

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
FRONTIER NURSING UNIVERSITY 195 SCHOOL STREET HYDEN, KY 41749			DONATION	5,000
GODFREY DANIELS FOLK MUSIC CLUB 7 E 4TH STREET BETHLEHEM, PA 18015			DONATION	100
GRACE HUDSON MUSEUM 431 S MAIN STREET UKIAH, CA 95482			DONATION	2,500
HAYCOCK VOLUNTEER FIRE CO NO 1 850 OLD BETHLEHEM RD QUAKERTOWN, PA 18951			DONATION	50
HEIFER INTERNATIONAL 1 WORLD AVE LITTLE ROCK, AR 72202			DONATION	600
HOPE'S DOOR 39 WASHINGTON AVE PLEASANTVILLE, NY 10570			DONATION	1,000
INNOCENCE PROJECT 40 WORTH STREET STE 701 NEW YORK, NY 10013			DONATION	1,500
INTL EDUCATION OF STUDENTS 33 WEST MONROE STREET CHICAGO, IL 60603			DONATION	2,000
INTL WOMENS HEALTH COALITION 333 SEVENTH AVE NEW YORK, NY 10001			DONATION	325
JACK STRAW-TELEVISION WAD 4261 ROOSEVELT WAY NE SEATTLE, WA 98105			DONATION	1,000
K9'S 4 COPS INC 1210 WEST CLAY STREET HOUSTON, TX 77019			DONATION	300
KENNEBEC COMM COLLEGE 92 WESTERN AVE FAIRFIELD, ME 04937			DONATION	6,000
KRCB PUBLIC RADIO 5850 LABATH AVE ROHNERT PARK, CA 94928			DONATION	500
LAB RESCUE OF THE LRCP PO BOX 1814 ANNANDALE, VA 22003			DONATION	250
LAKSHMI COW SANCTUARY PO BOX 227 SHILOH, NJ 08353			DONATION	150
<b>Total . . . . .</b>			<b>3a</b>	100,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
LAST CHANCE RANCH 9 BECK ROAD QUAKERTOWN, PA 18951			DONATION	100
LITTLE ORPHAN ANIMALS 960 WASHINGTON ST PEEKSKILL, NY 10566			DONATION	500
MIDDLEBURY COLLEGE 14 OLD CHAPEL ROAD MIDDLEBURY, VT 05753			DONATION	500
MULTIPLE MYELOMA RESEARCH FDN 383 MAIN AVE 5 NORWALK, CT 06851			DONATION	500
NATL TRUST FOR HISTORIC PRESER 2600 VIRGINIA AVE WASHINGTON, DC 20037			DONATION	200
NATURES BEST FDN 1130 BELLVIEW ROAD MCLEAN, VA 22102			DONATION	2,000
NEW YORK MILITARY ACADEMY 78 ACADEMY AVENUE CORNWALL ON HUDSON, NY 12510			DONATION	200
OAK HILL CEMETARY 3001 R STREET WASHINGTON, DC 20007			DONATION	1,000
PEBBLE HILL CHURCH 320 EDISON FURLONG RD DOYLESTOWN, PA 18901			DONATION	250
PENDLETON HISTORIC FDN PO BOX 444 PENDELTON, SC 29670			DONATION	1,000
PEPPERTREE DOG RESCUE PO BOX 2396 ALBANY, NY 12220			DONATION	1,000
PHYSICIAN'S COMM RESPONSIBLE MED 5100 WISCONSIN AVE NW WASHINGTON, DC 20016			DONATION	500
PLANNED PARENTHOOD 1108 16TH STREET NW WASHINGTON, DC 20036			DONATION	1,300
PLAY RUGBY CA INC 630 9TH AVE NEW YORK, NY 10036			DONATION	500
POTOMAC SCH PERFORMING ARTS 1301 POTAOMAC SCHOOL RD MCLEAN, VA 22101			DONATION	1,000
<b>Total . . . . .</b>			<b>3a</b>	100,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
RAINFOREST ACTION NETWORK 425 BUSH ST STE 300 SAN FRANCISCO, CA 94108			DONATION	500
REACH OUT WORLDWIDE 700 S FLOWER ST 201 BURBANK, CA 91502			DONATION	500
RICHLANDTOWN FIRE COMPANY PO BOX 640 RICHLANDTOWN, PA 18955			DONATION	250
RSE FDN PO BOX 7007 ALBERT LEA, MN 56007			DONATION	1,000
SALVATION ARMY PO BOX 269 ALEXANDRIA, VA 22314			DONATION	75
SAMBURU YOUTH EDUCATION FUND 640 PETERSON STREET FORT COLLINS, CO 80524			DONATION	1,200
SOC OF THE CINCINNATI 2118 MASSACHUSETTS AVE WASHINGTON, DC 20008			DONATION	200
STERLING VOLUNTEER FIRE CO 46700 MIDDLEFIELD DRIVE STERLING, VA 20165			DONATION	250
THE ALS ASSOCIATION 1275 K STREET NW STE 250 WASHINGTON, DC 20005			DONATION	1,000
THE BLUE DEER CTR 1153 COUNTRY ROAD MAGARETVILLE, NY 12455			DONATION	2,000
THE DANNY FUND PO BOX 8271 PELHAM, NY 10803			DONATION	500
THE DEERWOOD FOUNDATION 16 DEE ROAD LEXINGTON, MA 02420			DONATION	1,500
THE GORILLA FDN 1733 WOODSIDE ROAD REDWOOD CITY, CA 94061			DONATION	500
THE ITY BITY KITTY INC PO BOX ALLENTOWN, PA 18104			DONATION	500
THE LOUDON LITERACY COUNCIL 17 ROYAL ST SW LEESBURG, VA 20175			DONATION	250
<b>Total . . . . .</b>				<b>3a</b> 100,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
THE MADEIRA SCH FUND 8328 GEORGETOWN PIKE MCLEAN,VA 22102			DONATION	1,150
THE NATURE CONSERVANCY 4245 NO FAIRFAX DRIVE ARLINGTON,VA 22203			DONATION	100
THE ORANGUTAN FDN INTERNATIONAL 824 S WELLESLEY AVE LOS ANGELES,CA 90049			DONATION	500
THE WOUNDED WARRIOR PROJECT 4899 BELFORD ROAD JACKSONVILLE,FL 32256			DONATION	1,500
TUCK SCHOOL OF BUSINESS 100 TUCK HALL HANOVER,NH 03755			DONATION	1,500
TURPENTINE CREEK WILDLIFE REFUGE 239 TURPENTINE CREEK LANE EUREKA SPRINGS,AR 72632			DONATION	500
UNITED FRIENDS SCHOOL 1018 W BROAD STREET QUAKERTOWN,PA 18951			DONATION	250
UNITY CHURCH OF DAYTONA BEACH 908 RIDGEWOOD AVE HOLLY HILL,FL 32117			DONATION	1,500
US FRIENDS DAVID SHELDRICK 201 N ILLINOIS AVE INDIANAPOLIS,IN 46204			DONATION	375
WAMU 4400 MASSACHUSETTS AVE WASHINGTON,DC 20016			DONATION	250
WASHINGTON NATL CATHEDRAL 3101 WISCONSIN AVE WASHINGTON,DC 20016			DONATION	500
WATER IS LIFE KENYA 910 BAYLOR DRIVE NEWARK,DE 19711			DONATION	1,200
WILDCAT SANCTUARY PO BOX 314 SANDSTONE,MN 55072			DONATION	500
WWF 1250 24TH ST NW WASHINGTON,DC 20037			DONATION	250
<b>Total . . . . .</b>			<b>3a</b>	100,775

## TY 2015 Accounting Fees Schedule

**Name:** THE NEEL FOUNDATION

**EIN:** 54-0761588

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT ACCOUNTING FEES	2,400			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2015 Depreciation Schedule

**Name:** THE NEEL FOUNDATION

**EIN:** 54-0761588

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
EQUIPMENT	2009-10-31	661	661	S/L	5 0000				
COMPUTER	2010-05-11	4,580	4,481	200DB	5 0000	99			
COMPUTER	2013-07-31	2,153	1,636	200DB	5 0000	207			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2015 Gain/Loss from Sale of Other Assets Schedule

**Name:** THE NEEL FOUNDATION

**EIN:** 54-0761588

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
GENERAL ELECTRIC	2004-01	PURCHASE	2015-01		23,413	33,780			-10,367	
KRAFT FOODS GROUP	2005-06	PURCHASE	2015-07		1,700				1,700	
MERRILL LYNCH		PURCHASE			964,842	833,751			131,091	
MERRILL LYNCH		PURCHASE			800,414	828,520			-28,106	
MERRILL LYNCH		PURCHASE			30				30	

## TY 2015 Investments Corporate Bonds Schedule

**Name:** THE NEEL FOUNDATION

**EIN:** 54-0761588

Name of Bond	End of Year Book Value	End of Year Fair Market Value
	172,910	187,184

## TY 2015 Investments Corporate Stock Schedule

**Name:** THE NEEL FOUNDATION

**EIN:** 54-0761588

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED	1,038,860	1,353,345

## TY 2015 Investments Government Obligations Schedule

**Name:** THE NEEL FOUNDATION

**EIN:** 54-0761588

**US Government Securities - End of  
Year Book Value:**

54,579

**US Government Securities - End of  
Year Fair Market Value:**

54,012

**State & Local Government  
Securities - End of Year Book  
Value:**

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

## TY 2015 Investments - Other Schedule

**Name:** THE NEEL FOUNDATION

**EIN:** 54-0761588

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS - SEE ATTACHED	AT COST	462,526	442,574

**TY 2015 Land, Etc.  
Schedule**

**Name:** THE NEEL FOUNDATION

**EIN:** 54-0761588

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
	7,394	7,084	310	

# TY 2015 Other Expenses Schedule

**Name:** THE NEEL FOUNDATION

**EIN:** 54-0761588

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
OFFICE	157			
FOREIGN TAX	224			
FOREIGN TAX	709			

## TY 2015 Other Professional Fees Schedule

**Name:** THE NEEL FOUNDATION

**EIN:** 54-0761588

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
	18,790			