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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning , 2015, and ending , 20

Name of foundation THE HAWKS FOUNDATION		A Employer identification number 47-6194021
Number and street (or P O box number if mail is not delivered to street address) 14302 FNB PARKWAY		B Telephone number (see instructions) (402) 691-9500
City or town, state or province, country, and ZIP or foreign postal code OMAHA, NE 68154		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 90,972,564.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)		342,308.			
2 Check ☐ if the foundation is not required to attach Sch. B.					
3 Interest on savings and temporary cash investments.		419,477.	419,477.		ATCH 1
4 Dividends and interest from securities		1,762,247.	1,762,247.		ATCH 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,968,680.			
b Gross sales price for all assets on line 6a 6,327,167.					
7 Capital gain net income (from Part IV, line 2)			1,968,680.		
8 Net short-term capital gain.					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) ATCH. 3		176,915.	176,915.		
12 Total. Add lines 1 through 11		4,669,627.	4,327,319.		
13 Compensation of officers, directors, trustees, etc.		0.			
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule)					
c Other professional fees (attach schedule) [4]		576,023.	462,809.		113,214.
17 Interest					
18 Taxes (attach schedule) (see instructions) [5].		112,745.	27,727.		
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) ATCH. 6.		36,540.	35,145.		1,395.
24 Total operating and administrative expenses. Add lines 13 through 23.		725,308.	525,681.		114,609.
25 Contributions, gifts, grants paid		4,977,600.			8,142,088.
26 Total expenses and disbursements. Add lines 24 and 25		5,702,908.	525,681.		8,256,697.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		-1,033,281.			
b Net investment income (if negative, enter -0-)			3,801,638.		
c Adjusted net income (if negative, enter -0-)					

661 20

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		168,864.	193,005.	193,005.
	2	Savings and temporary cash investments		653,737.	2,108,661.	2,108,661.
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ *		*	236,547.	ATCH 7
		Less allowance for doubtful accounts ▶		145,547.	236,547.	236,547.
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U S and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) ATCH 8		38,573,764.	36,992,791.	41,808,557.
	c	Investments - corporate bonds (attach schedule) ATCH 9		29,586,882.	28,358,846.	29,732,959.
	Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶ (attach schedule)				
12		Investments - mortgage loans				
13		Investments - other (attach schedule) ATCH 10		14,234,190.	14,420,553.	16,892,835.
14		Land, buildings, and equipment basis ▶				
		Less accumulated depreciation ▶ (attach schedule)				
15		Other assets (describe ▶)				
16		Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		83,362,984.	82,310,403.	90,972,564.
17		Accounts payable and accrued expenses				
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.						
24	Unrestricted					
25	Temporarily restricted					
26	Permanently restricted					
Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒						
27	Capital stock, trust principal, or current funds		83,362,984.	82,310,403.		
28	Paid-in or capital surplus, or land, bldg, and equipment fund					
29	Retained earnings, accumulated income, endowment, or other funds					
30	Total net assets or fund balances (see instructions)		83,362,984.	82,310,403.		
31	Total liabilities and net assets/fund balances (see instructions)		83,362,984.	82,310,403.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	83,362,984.
2	Enter amount from Part I, line 27a	2	-1,033,281.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	82,329,703.
5	Decreases not included in line 2 (itemize) ▶ ATCH 11	5	19,300.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	82,310,403.

Form 990-PF (2015)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	1,968,680.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }			3	0.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	7,255,894.	97,535,290.	0.074392
2013	2,891,557.	90,254,188.	0.032038
2012	5,003,590.	71,519,303.	0.069961
2011	2,130,809.	56,453,356.	0.037745
2010	3,983,980.	43,569,978.	0.091439
2 Total of line 1, column (d)			2 0.305575
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.061115
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			4 93,773,915.
5 Multiply line 4 by line 3			5 5,730,993.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 38,016.
7 Add lines 5 and 6			7 5,769,009.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 8,256,697.

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)	1	38,016.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .	2	
3	Add lines 1 and 2	3	38,016.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .	4	0.
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	38,016.
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015 . . .	6a	131,220.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	10,000.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	141,220.
8	Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	103,204.
11	Enter the amount of line 10 to be Credited to 2016 estimated tax ▶ 103,204. Refunded ▶	11	

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► NE, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address ☐ N/A		
14 The books are in care of ☐ JILL FEILMEIER Telephone no ☐ 402-691-9500		
Located at ☐ 14302 FNB PARKWAY OMAHA, NE ZIP+4 ☐ 68154		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ☐ 15		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ☐		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	☐ Yes ☒ No	
If "Yes," list the years ☐		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 12		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

Form 990-PF (2015)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 13		481,616.

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE

2

3

4

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE

2

All other program-related investments See instructions

3 NONE

Total. Add lines 1 through 3 ▶

Form 990-PF (2015)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	94,253,843.
b	Average of monthly cash balances	1b	770,596.
c	Fair market value of all other assets (see instructions).	1c	177,505.
d	Total (add lines 1a, b, and c)	1d	95,201,944.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	95,201,944.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions).	4	1,428,029.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	93,773,915.
6	Minimum investment return. Enter 5% of line 5	6	4,688,696.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	4,688,696.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	38,016.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	38,016.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	4,650,680.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	4,650,680.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	4,650,680.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	8,256,697.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,256,697.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	38,016.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	8,218,681.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2015)

Page **9****Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				4,650,680.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only,				
b Total for prior years 20 <u>13</u> , 20 <u>12</u> , 20 <u>11</u>				
3 Excess distributions carryover, if any, to 2015				
a From 2010	1,872,584.			
b From 2011				
c From 2012	1,603,368.			
d From 2013				
e From 2014	2,502,699.			
f Total of lines 3a through e	5,978,651.			
4 Qualifying distributions for 2015 from Part XII, line 4 ► \$ <u>8,256,697.</u>				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2015 distributable amount.				4,650,680.
e Remaining amount distributed out of corpus.	3,606,017.			
5 Excess distributions carryover applied to 2015 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	9,584,668.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	1,872,584.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	7,712,084.			
10 Analysis of line 9				
a Excess from 2011				
b Excess from 2012	1,603,368.			
c Excess from 2013				
d Excess from 2014	2,502,699.			
e Excess from 2015	3,606,017.			

Form **990-PF** (2015)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ATTACHMENT 14

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 15

b The form in which applications should be submitted and information and materials they should include:

ATCH 16

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

ATCH 17

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHMENT 18				8,142,088.
Total			3a	8,142,088.
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

▼

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Schedule of Contributors

OMB No 1545-0047

2015

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990

Name of the organization

THE HAWKS FOUNDATION

Employer identification number

47-6194021

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization **THE HAWKS FOUNDATION**Employer identification number
47-6194021**Part I** Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MCCARTHY GROUP, INC. 1125 S. 103RD STREET, SUITE 450 OMAHA, NE 68154	\$ 50,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	TOM HAWKS 980 1ST STREET GENEVA, NE 68361	\$ 7,600.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	MICHAEL AND PAM WEEKLY 26747 BLONDO COURT WATERLOO, NE 68069	\$ 15,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
4	MARY ARDINGER 11 WINDSOR RIDGE FRISCO, TX 75034	\$ 10,914.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
5	RYAN BEAL; BENEFICIAL PROPERTY CORP 6000 LEGACY DRIVE PLANO, TX 75024	\$ 7,276.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
6	HAL BROWN 4016 GATEWAY DRIVE COLLEYVILLE, TX 76034	\$ 6,420.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)

Name of organization **THE HAWKS FOUNDATION**Employer identification number
47-6194021**Part I** Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	<u>HAL BROWNING</u> <u>9996 HOLLOW WAY ROAD</u> <u>DALLAS, TX 75220</u>	\$ <u>7,276.</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
8	<u>DON CARTER</u> <u>2525 PEARL STREET, #1202</u> <u>DALLAS, TX 75201</u>	\$ <u>10,914.</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
9	<u>DALLAS COWBOYS</u> <u>ONE COWBOYS PARKWAY</u> <u>IRVING, TX 75063</u>	\$ <u>12,840.</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
10	<u>WADE DOSHIER</u> <u>2 ABBEY WOODS LANE</u> <u>DALLAS, TX 75248</u>	\$ <u>5,392.</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
11	<u>DR PEPPER; JIM JOHNSON</u> <u>5301 LEGACY DRIVE</u> <u>PLANO, TX 75024</u>	\$ <u>7,276.</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
12	<u>BRETT AND LORI LANDES</u> <u>3525 ST. JOHN</u> <u>DALLAS, TX 75205</u>	\$ <u>9,972.</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)

Name of organization **THE HAWKS FOUNDATION**Employer identification number
47-6194021**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
13	KIRK MOTSENBOCKER 600 EAST LAS COLINAS BLVD., SUITE 1800 IRVING, TX 75039	\$ 5,457.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
14	DR. RAY ORTIZ, JR. 209 LAKESIDE CIRCLE MURPHY, TX 75094	\$ 7,276.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
15	ROSS PEROT JR. 2300 W. PLANO PARKWAY PLANO, TX 75075	\$ 10,914.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
16	Q-INVESTMENTS 301 COMMERCE, SUITE 3200 FORT WORTH, TX 76102	\$ 12,840.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
17	THEODORE SKOKOS 5121 PARK LANE DALLAS, TX 75220	\$ 6,420.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
18	SHELDON STEIN 14911 QUORAM DRIVE DALLAS, TX 75254	\$ 5,392.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)

Name of organization THE HAWKS FOUNDATION

Employer identification number
47-6194021**Part I** Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
19	MARK THOMPSON 690 FOREST OAKS DRIVE FAIRVIEW, TX 75069	\$ 6,420.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
20	KENNY TROUTT 5956 SHERRY LANE, SUITE 1350 DALLAS, TX 75225	\$ 7,276.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
21	SONNY AND GRETCHEN WILLIAMS 12221 CREEK FOREST DRIVE DALLAS, TX 75230	\$ 7,276.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
22	EVAN WYLY 300 CRESCENT COURT, SUITE 850 DALLAS, TX 75201	\$ 6,420.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization THE HAWKS FOUNDATION

Employer identification number
47-6194021**Part II** Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
4	NBA TICKETS	\$ 10,914.	12/12/2015
5	NBA TICKETS	\$ 7,276.	12/12/2015
6	NBA TICKETS	\$ 6,420.	12/12/2015
7	NBA TICKETS	\$ 7,276.	12/12/2015
8	NBA TICKETS	\$ 10,914.	12/12/2015
9	NBA TICKETS	\$ 12,840.	12/12/2015

Name of organization THE HAWKS FOUNDATION

Employer identification number

47-6194021

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
10	NBA TICKETS	\$ 5,392.	12/12/2015
11	NBA TICKETS	\$ 7,276.	12/12/2015
12	NBA TICKETS	\$ 9,972.	12/12/2015
13	NBA TICKETS	\$ 5,457.	12/12/2015
14	NBA TICKETS	\$ 7,276.	12/12/2015
15	NBA TICKETS	\$ 10,914.	12/12/2015

Name of organization THE HAWKS FOUNDATION

Employer identification number

47-6194021

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
16	NBA TICKETS	\$ 12,840.	12/12/2015
17	NBA TICKETS	\$ 6,420.	12/12/2015
18	NBA TICKETS	\$ 5,392.	12/12/2015
19	NBA TICKETS	\$ 6,420.	12/12/2015
20	NBA TICKETS	\$ 7,276.	12/12/2015
21	NBA TICKETS	\$ 7,276.	12/12/2015

47-6194021

Part II

[illegible]

Name of organization THE HAWKS FOUNDATION

Employer identification number

47-6194021

Part III **Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
TOTAL GAIN(LOSS)							<u>1,968,680.</u>	

THE HAWKS FOUNDATION

47-6194021

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST INC	137.	137.
WESTWOOD HIGH YIELD BOND FUND	260,698.	260,698.
WESTWOOD TRUST EQUITIES FUND	9.	9.
WESTWOOD INCOME OPPORTUNITY FUND	149,229.	149,229.
WESTWOOD INCOME OPPORTUNITY FUND-US TREA	8,881.	8,881.
GOLDMAN SACHS	443.	443.
TD AMERITRADE	2.	2.
FNB INTEREST INCOME	78.	78.
TOTAL	<u>419,477.</u>	<u>419,477.</u>

THE HAWKS FOUNDATION

47-6194021

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
US DIVIDENDS	1,762,247.	1,762,247.
TOTAL	<u>1,762,247.</u>	<u>1,762,247.</u>

THE HAWKS FOUNDATION

47-6194021

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
CLASS ACTION SETTLEMENT	31,354.	31,354.
MISCELLANEOUS INCOME	145,561.	145,561.
TOTALS	<u>176,915.</u>	<u>176,915.</u>

THE HAWKS FOUNDATION

47-6194021

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
CONTRACT LABOR	109,160.		109,160.
MANAGEMENT FEE	455,592.	455,592.	
ACCOUNTING	6,075.	3,038.	3,037.
LEGAL FEES	2,034.	1,017.	1,017.
PASS-THROUGH EXPENSES	3,162.	3,162.	
TOTALS	<u>576,023.</u>	<u>462,809.</u>	<u>113,214.</u>

THE HAWKS FOUNDATION

47-6194021

ATTACHMENT 5

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAX	27,727.	27,727.
TAX PAYMENTS	85,018.	
TOTALS	<u>112,745.</u>	<u>27,727.</u>

THE HAWKS FOUNDATION

47-6194021

ATTACHMENT 6

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
OFFICE EXPENSE	2,791.	1,396.	1,395.
MISCELLANEOUS EXPENSE	5,142.	5,142.	
TRUST FUND FGN EXPENSE	14,100.	14,100.	
PASS THROUGH EXPENSES	14,507.	14,507.	
TOTALS	<u>36,540.</u>	<u>35,145.</u>	<u>1,395.</u>

ATTACHMENT 7FORM 990PF, PART II - OTHER NOTES AND LOANS RECEIVABLE

BORROWER: RESTORED HOPE

BEGINNING BALANCE DUE 145,547.

ENDING BALANCE DUE 236,547.ENDING FAIR MARKET VALUE 236,547.TOTAL BEGINNING OTHER NOTES AND LOANS RECEIVABLE 145,547.TOTAL ENDING BOOK - OTHER NOTES AND LOANS RECEIVABLE 236,547.TOTAL ENDING FMV - OTHER NOTES AND LOANS RECEIVABLE 236,547.

THE HAWKS FOUNDATION

47-6194021

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE ATTACHMENT 19	36,992,791.	41,808,557.
TOTALS	<u>36,992,791.</u>	<u>41,808,557.</u>

THE HAWKS FOUNDATION

47-6194021

ATTACHMENT 9

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CORPORATE BONDS	28,358,846.	29,732,959.
TOTALS	<u>28,358,846.</u>	<u>29,732,959.</u>

THE HAWKS FOUNDATION

47-6194021

ATTACHMENT 10

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
GS FUNDS	3,456,204.	3,456,204.
WHG DIV GROWTH INSTL	10,964,349.	13,436,631.
TOTALS	<u>14,420,553.</u>	<u>16,892,835.</u>

ATTACHMENT 11FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

UNREALIZED GAINS/ (LOSSES)

19,300.

TOTAL

19,300.

THE HAWKS FOUNDATION

47-6194021

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 12

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
HOWARD L HAWKS 14302 FNB PARKWAY OMAHA, NE 68154	TRUSTEE 2 00	0	0.	0
NEAL H. HAWKS 939 COUNTY ROAD 1308 BRIDGEPORT, TX 76426-6311	TRUSTEE 1.00	0	0	0.
TROY T. HAWKS 6905 EAST CHENEY DRIVE PARADISE VALLEY, AZ 85253	TRUSTEE 1 00	0	0.	0.
RHONDA A. HAWKS 14302 FNB PARKWAY OMAHA, NE 68154	TRUSTEE 40.00	0.	0	0
HEATHER L. HAWKS 6905 EAST CHENEY DRIVE PARADISE VALLEY, AZ 85253	TRUSTEE 1.00	0.	0	0.
	GRAND TOTALS	<u>0.</u>	<u>0</u>	<u>0</u>

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 13

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
GOLDMAN SACHS 71 SOUTH WACKER DRIVE, STE 500 CHICAGO, IL 60606	INVEST MANAGEMENT	148,101.
JULIE COBB ENTERPRISES, LLC 14302 FNB PARKWAY OMAHA, NE 68154	ADMINISTRATIVE	107,135.
WESTWOOD TRUST 1125 S. 103RD STREET, SUITE 580 OMAHA, NE 68124	INVEST MANAGEMENT	226,380.
TOTAL COMPENSATION		<u>481,616.</u>

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

HOWARD L. AND RHONDA A. HAWKS
NEAL H. HAWKS
TROY T. AND HEATHER L. HAWKS

ATTACHMENT 15

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

RHONDA A. HAWKS
14302 FNB PARKWAY
OMAHA, NE 68154
402-691-9500

ATTACHMENT 16990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

A SCHOLARSHIP APPLICANT SHOULD SUBMIT A COMPLETED SCHOLARSHIP FORM, WITH REQUIRED ATTACHMENTS INCLUDING FINANCIAL INFORMATION, GRADE TRANSCRIPT AND RESULTS OF ACT TEST. A MEDICAL PROGRAM APPLICANT SHOULD SUBMIT A COMPLETED MEDICAL PROGRAM FORM, WITH REQUIRED ATTACHMENTS INCLUDING FINANCIAL INFORMATION. APPLICATION FORMS ARE AVAILABLE AT THE FOUNDATION'S OFFICE - SEE ATTACHMENT 15.

ATTACHMENT 17

990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

A SCHOLARSHIP APPLICANT MUST BE ENROLLED AS A FULL-TIME STUDENT, MUST DEMONSTRATE "FINANCIAL NEED" AND MUST MAINTAIN AT LEAST A 2.8 CUMULATIVE GRADE POINT AVERAGE ON A 4.0 SCALE.

THE HAWKS FOUNDATION

47-6194021

PART XV LINE 3A	RELATIONSHIP	STATUS	PURPOSE	AMOUNT
Charitable Grants				
A TIME TO HEAL 7614 Grover Street Omaha, NE 68124	NONE	PC	SUPPORT ONCOLOGY SUPPORT PROGRAM	3,500
ABIDE NETWORK 3335 Fowler Avenue Omaha, NE 68111	NONE	PC	SUPPORT VIOLENCE PREVENTION	15,000
AKSARBEN SCHOLARSHIP FUND 8707 West Center Road, Suite 101 Omaha, NE 68124	NONE	PC	SUPPORT SCHOLARSHIP PROGRAM	10,000
ALS ASSOCIATION 10730 Pacific Street, #103 Omaha, NE 68114	NONE	PC	SUPPORT DISEASE RESEARCH AND CONSUMERS	1,000
AMBASSADOR I AM FOR THE LORD JESUS CHRIST c/o Lutheran Church of the Master 2617 S 114th Street Omaha, NE 68144	NONE	PC	SUPPORT MINISTRY PROGRAM	6,000
AMERICAN HEART ASSOCIATION 10100 J Street, Suite A Omaha, NE 68127	NONE	PC	SUPPORT HEART HEALTH EDUCATION	3,000
ANGELS AMONG US 11918 Poppleton Plz, Ste 2 Omaha, NE 68144	NONE	PC	SUPPORT FAMILIES CONFRONTING CHILDHOOD CANCER	5,000
ARTERY, THE 2740 N 60th Avenue, Suite 300 Omaha, NE 68104	NONE	PC	SUPPORT PERFORMING ARTS PROGRAM	5,000
ARTS FOR ALL INC P O Box 31578 Omaha, NE 68131	NONE	PC	SUPPORT ARTS PROGRAM	500
ASSISTANCE LEAGUE OF OMAHA 3569 Leavenworth Street Omaha, NE 68105-1907	NONE	PC	SUPPORT SCHOOL CHARITY PROGRAM	2,500
AT EASE USA P O Box 24465 Omaha, NE 68124	NONE	PC	SUPPORT PTSD PROGRAM	10,000
AUTISM ACTION PARTNERSHIP 14301 FNB Parkway, Suite 115 Omaha, NE 68154	NONE	PC	SUPPORT AUTISM EDUCATION	1,000
BELLEVUE UNIVERSITY FOUNDATION 1000 Galvin Road South Bellevue, NE 68005-3098	NONE	PC	SUPPORT SCHOLARSHIP PROGRAM	6,350
BETHLEHEM HOUSE 2301 South 15th Street Omaha, NE 68108	NONE	PC	SUPPORT TRANSITIONAL HOUSING PROGRAM	10,000
BLUE VALLEY LUTHERAN HOMES P O Box 166 Hebron, NE 68370	NONE	PC	SUPPORT PROGRAMS FOR ELDERLY	5,000
BUENA VISTA UNIVERSITY 610 W Fourth Street Storm Lake, IA 50588	NONE	PC	SUPPORT SCHOLARSHIP PROGRAM	6,000
CAMP LUTHER 1050 Road 4 Schuyler, NE 68661-7145	NONE	PC	SUPPORT MINISTRY CAMP PROGRAM	10,000
CAMPUS CRUSADE FOR CHRIST P O Box 628222 Orlando, FL 32862-8222	NONE	PC	SUPPORT MINISTRY PROGRAM	8,400
CATHOLIC CHARITIES 3300 N 60th Street Omaha, NE 68104	NONE	PC	SUPPORT ELECTRONIC MEDICAL RECORDS	108,750

THE HAWKS FOUNDATION

47-6194021

PART XV LINE 3A	RELATIONSHIP	STATUS	PURPOSE	AMOUNT
CHILD SAVING INSTITUTE 4545 Dodge Street Omaha, NE 68132	NONE	PC	SUPPORT SECURITY UPGRADES	13,000
CHILDREN'S HOSPITAL FOUNDATION 8401 West Dodge Road, Suite 160 Omaha, NE 68114-3493	NONE	PC	SUPPORT LODGING SERVICES FOR FAMILIES	6,043
CHRISTIAN URBAN EDUCATION SERVICES 2207 Wirt Street Omaha, NE 68110	NONE	PC	SUPPORT EDUCATION	250
COLLEGE OF ST MARY 7000 Mercy Road Omaha, NE 68106	NONE	PC	SUPPORT SCHOLARSHIP PROGRAM	41,300
COMMUNITY INFORMATION TRUST 10050 Regency Circle Omaha, NE 68114	NONE	PC	SUPPORT DIGITAL LIBRARY	2,750
COMPASSION INTERNATIONAL 3333 Quebec Street Denver, CO 80207	NONE	PC	SUPPORT MINISTRY PROGRAM	1,642
COMPLETELY KIDS 2566 St Mary's Avenue Omaha, NE 68105	NONE	PC	SUPPORT BACKPACK PROGRAM	20,000
COUNCIL BLUFFS PUBLIC LIBRARY 400 Willow Avenue Council Bluffs, IA 51503	NONE	PC	SUPPORT CHILDREN'S BOOK PROGRAM	1,000
CREIGHTON UNIVERSITY 2500 California Plaza Omaha, NE 68178	NONE	PC	SUPPORT EDUCATION AND ATHLETICS PROGRAMS	8,700
CYSTIC FIBROSIS FOUNDATION, NEBRASKA CHAPTER 11917 Pierce Plaza Omaha, NE 68144	NONE	PC	SUPPORT HEALTH RESEARCH PROGRAM	2,000
DOUGLAS COUNTY MENTAL HEALTH CENTER FOUNDATION 4102 Woolworth Omaha, NE 68105	NONE	PC	SUPPORT BEHAVIORAL HEALTH PROGRAM	1,000
DOWN SYNDROME ALLIANCE OF THE MIDLANDS 6805 Grover Street Omaha, NE 68107	NONE	PC	SUPPORT DEVELOPMENTAL DISABILITY PROGRAM	500
DUCKS UNLIMITED 2525 River Road Bismarck, ND 58503-9011	NONE	PC	SUPPORT WILDLIFE PROGRAM	33,000
EDUCATE UGANDA 1225 N 136th Avenue Omaha, NE 68154	NONE	PC	SUPPORT EDUCATION PROGRAM	5,000
FABRETTO CHILDREN'S FOUNDATION 3124 North 10th St , 2nd Floor Arlington, VA 22201	NONE	PC	SUPPORT CHILDREN'S PROGRAM	18,665
FAMILY HOUSING ADVISORY SERVICES 2401 Lake Street Omaha, NE 68111	NONE	PC	SUPPORT FINANCIAL LITERACY PROGRAM	20,000
FELLOWSHIP OF CHRISTIAN ATHLETES P O Box 540181 Omaha, NE 68154	NONE	PC	SUPPORT MINISTRY PROGRAM	20,000
FOOD BANK FOR THE HEARTLAND 10525 "J" Street Omaha, NE 68127	NONE	PC	SUPPORT FOOD DISTRIBUTION PROGRAM	20,000
FRIENDSHIP HOME OF LINCOLN P O Box 85358 Lincoln, NE 68501	NONE	PC	SUPPORT COUNSELOR	20,000
GIDEONS INTERNATIONAL P O Box 24483 Omaha, NE 68124	NONE	PC	SUPPORT MINISTRY PROGRAM	1,000

THE HAWKS FOUNDATION

47-6194021

PART XV LINE 3A	RELATIONSHIP	STATUS	PURPOSE	AMOUNT
GIRLS INCORPORATED OF OMAHA 2811 North 45th Street Omaha, NE 68104	NONE	PC	SUPPORT NEW FACILITY	17,343
GOOD NEWS JAIL & PRISON MINISTRY 710 South 17th Street Omaha, NE 68102	NONE	PC	SUPPORT JAIL MINISTRY PROGRAM	15,000
GREATER OMAHA CHAPTER OF COMPASSIONATE FRIENDS P O Box 540852 Omaha, NE 68154	NONE	PC	SUPPORT GRIEF PROGRAM FOR PARENTS	500
HABITAT FOR HUMANITY 1701 N 24th Street Omaha, NE 68110	NONE	PC	SUPPORT LOW INCOME HOUSING PROGRAM	50,000
HEART MINISTRY CENTER 2222 Binney Street Omaha, NE 68110	NONE	PC	SUPPORT WOMEN'S RECOVERY PROGRAM	20,000
HEARTLAND FAMILY SERVICE 2101 South 42nd Street Omaha, NE 68134	NONE	PC	SUPPORT RECOVERY PROGRAM FOR FAMILIES	78,003
HEARTLAND HOPE MISSION 2021 U Street Omaha, NE 68107	NONE	PC	SUPPORT FOOD PANTRY PROGRAM	30,000
HELP ADULT SERVICES 1941 S 42nd Street, Suite 200 Omaha, NE 68106	NONE	PC	SUPPORT PROVISION OF HEALTH APPARATUS TO UNDERSERVED	7,000
HERITAGE FOUNDATION 214 Massachusetts Avenue, NE Washington, DC 20002	NONE	PC	SUPPORT ECONOMIC RESEARCH	250
HOLY NAME HOUSING CORPORATION 4324 Fort Street Omaha, NE 68111	NONE	PC	SUPPORT LOW INCOME HOUSING	500
HOPE CENTER FOR KIDS 2209 North 20th Street Omaha, NE 68110	NONE	PC	SUPPORT EDUCATION PROGRAM	20,000
HOSPICE OF SOUTHWEST IOWA 427 E Kanesville, Suite 100 Council Bluffs, IA 51503	NONE	PC	SUPPORT HOSPICE PROGRAM	200
INSTITUTE OF LUTHERAN THEOLOGY 910 4th Street Brookings, SD 57006	NONE	PC	SUPPORT MINISTRY PROGRAM	50,000
IOWA WESTERN COMMUNITY COLLEGE 2700 College Road, Box 4C Council Bluffs, IA 51503	NONE	PC	SUPPORT SCHOLARSHIP PROGRAM	10,000
JESUIT ACADEMY 2311 North 22nd Street Omaha, NE 68110	NONE	PC	SUPPORT EDUCATION PROGRAM	39,250
JOEL KUDYM MEMORIAL FOUNDATION 4510 Pacific Street Omaha, NE 68106	NONE	PC	SUPPORT SCHOLARSHIP PROGRAM	500
JOSLYN ART MUSEUM 2200 Dodge Street Omaha, NE 68102	NONE	PC	SUPPORT ARTS PROGRAM	107,500
JOSLYN CASTLE TRUST 3902 Davenport Street Omaha NE 68131	NONE	PC	SUPPORT MURAL RESTORATION	5,040
JUNIOR ACHIEVEMENT 13506 W Maple Road Ste 101 Omaha, NE 68164	NONE	PC	SUPPORT EDUCATION PROGRAM	500
JUVENILE DIABETES RESEARCH FOUNDATION 9202 W Dodge Road, Suite 304 Omaha, NE 68114	NONE	PC	SUPPORT HEALTH RESEARCH AND EDUCATION	2,500

THE HAWKS FOUNDATION

47-6194021

PART XV LINE 3A	RELATIONSHIP	STATUS	PURPOSE	AMOUNT
KAREN BISHOP GODDARD FOUNDATION 3195 Red Hill Avenue, Suite G Costa Mesa, CA 92626	NONE	PC	SUPPORT MENTAL HEALTH	500
KICKS FOR A CURE, INC PO Box 241603 Omaha, NE 68124	NONE	PC	SUPPORT CANCER RESEARCH PROGRAM	500
KVNO 6001 Dodge Street, Unit 226 Omaha, NE 68182	NONE	PC	SUPPORT CLASSICAL MUSIC	200
LAURITZEN GARDENS 100 Bancroft Street Omaha, NE 68108	NONE	PC	SUPPORT NATURE PROGRAM	4,400
LEUKEMIA & LYMPHOMA SOCIETY 12100 W Center Road, Building 1, Suite 202 Omaha, NE 68144	NONE	PC	SUPPORT HEALTH RESEARCH PROGRAM	1,000
LITERACY CENTER OF GRAND ISLAND 410 West 2nd Street, Ste 8 Grand Island, NE 68801	NONE	PC	SUPPORT LITERACY PROGRAM	5,000
LUTHERAN CHURCH OF THE MASTER 2617 South 114th Street Omaha, NE 68144	NONE	PC	SUPPORT MINISTRY PROGRAM	63,000
LUTHERAN SERVICE CORP 6220 N 30th Street Omaha, NE 68111	NONE	PC	SUPPORT CHARITY PROGRAM	3,000
MADONNA SCHOOL 6402 North 71st Plaza Omaha, NE 68104	NONE	PC	SUPPORT EDUCATION PROGRAM	20,000
METHODIST HOSPITAL FOUNDATION 8401 West Dodge Road Suite 225 Omaha, NE 68114	NONE	PC	ONCOLOGY EDUCATION SUPPORT PROGRAMS	8,500
METROPOLITAN COMMUNITY COLLEGE P O Box 3777 Omaha, NE 68103-0777	NONE	PC	SUPPORT SCHOLARSHIP PROGRAM	5,000
METROPOLITAN SCIENCE & ENGINEERING FAIR INC 3701 So 10th Street Omaha, NE 68107	NONE	PC	SUPPORT SCIENCE EDUCATION	500
MIDLANDS COMMUNITY FOUNDATION 217 North Jefferson Street Papillion, NE 68046	NONE	PC	SUPPORT VETERANS PROGRAM	1,100
MIDLAND UNIVERSITY 900 North Clarkson Fremont, NE 68025	NONE	PC	SUPPORT SCHOLARSHIP PROGRAM	20,000
NAMI NEBRASKA 415 S 25th Street Omaha, NE 68131	NONE	PC	SUPPORT BEHAVIORAL HEALTH PROGRAM	6,500
NEBRASKA SHAKESPEARE FESTIVAL 2500 California Plaza Omaha, NE 68178	NONE	PC	SUPPORT PERFORMING ARTS PROGRAM	2,000
NEBRASKA WRITERS COLLECTIVE 9712 North 34th Street Omaha, NE 68112	NONE	PC	SUPPORT EDUCATION PROGRAM	1,000
NEBRASKANS FOR CIVIC REFORM 1327 H Street, Suite 102 Lincoln, NE 68508	NONE	PC	SUPPORT EDUCATION PROGRAM	2,500
NET FOUNDATION FOR TELEVISION 1800 North 33rd Street Lincoln, NE 68503	NONE	PC	SUPPORT EDUCATION PROGRAM	1,000
NORTH BIBLE CHURCH 7601 East Shea Boulevard Scottsdale, AZ 85260	NONE	PC	SUPPORT MINISTRY PROGRAM	156,000

THE HAWKS FOUNDATION

47-6194021

PART XV LINE 3A	RELATIONSHIP	STATUS	PURPOSE	AMOUNT
NORTHSTAR FOUNDATION 4242 N 49th Ave Omaha, NE 68104	NONE	PC	SUPPORT YOUTH PROGRAM	2,000
NOTRE DAME SISTERS 3501 State Street Omaha, NE 68112-1709	NONE	PC	SUPPORT HOUSING PROGRAM	2,500
OMAHA COMMUNITY PLAYHOUSE 6915 Cass Street Omaha, NE 68132	NONE	PC	SUPPORT THEATRE ARTS	5,000
OMAHA CONSERVATORY OF MUSIC 7023 Cass Omaha, NE 68132	NONE	PC	SUPPORT MUSIC EDUCATION	50,000
OMAHA PERFORMING ARTS CENTER AND SOCIETY 1200 Douglas Street Omaha, NE 68102	NONE	PC	SUPPORT PERFORMING ARTS PROGRAMS	25,000
OMAHA PUBLIC SCHOOLS 3215 Cuming Street Omaha, NE 68131	NONE	PC	SUPPORT EDUCATION	1,000
OMAHA SYMPHONIC CHORUS 9802 Nicholas St Suite 350 Omaha, NE 68114	NONE	PC	SUPPORT PERFORMING ARTS PROGRAM	1,500
OMAHA SYMPHONY 1605 Howard Street Omaha, NE 68102-2705	NONE	PC	SUPPORT PERFORMING ARTS PROGRAM	5,000
OMAHA THEATRE COMPANY 2001 Farnam Street Omaha, NE 68102	NONE	PC	SUPPORT PERFORMING ARTS PROGRAM	10,000
OMAHA ZOO FOUNDATION 3701 S 10th Street Omaha, NE 68107-2200	NONE	PC	SUPPORT ANIMAL EDUCATION PROGRAM	2,000
OPERA OMAHA 1850 Farnam Street Omaha, NE 68102	NONE	PC	SUPPORT OPERA	200
PARALYZED VETERANS OF AMERICA 7612 Maple Street Omaha, NE 68134-6502	NONE	PC	SUPPORT VETERANS PROGRAM	1,000
PLATEAU VALLEY HERITAGE DAYS RODEO COMMITTEE P O Box 212 Collbran, CO 81624	NONE	PC	SUPPORT RODEO PROGRAM	500
PLATTE INSTITUTE FOR ECONOMIC RESEARCH 900 South 74th Plaza, Suite 400 Omaha, NE 68114	NONE	PC	SUPPORT ECONOMIC RESEARCH	5,000
PROJECT HARMONY 11949 Q Street Omaha, NE 68144	NONE	PC	SUPPORT CHILD ADVOCACY PROGRAM	10,000
PROJECT HOPE 6201 North 60th Street Omaha, NE 68104	NONE	PC	SUPPORT FOOD PANTRY	500
PROJECT LINUS P O Box 2 Gretna, NE 68028	NONE	PC	SUPPORT CHILDREN'S CHARITY PROGRAM	1,000
RADIO TALKING BOOK SERVICES 7101 Newport Avenue #205 Omaha, NE 68152	NONE	PC	SUPPORT EDUCATION PROGRAM	500
RESPECT-2 Box 4282 Omaha, NE 68104	NONE	PC	SUPPORT ANTI-BULLYING PROGRAM	5,000
RESTORED HOPE 1954 Jones Street Omaha, NE 68102	NONE	PC	SUPPORT TRANSITIONAL HOUSING PROGRAM	20,000

THE HAWKS FOUNDATION

47-6194021

PART XV LINE 3A	RELATIONSHIP	STATUS	PURPOSE	AMOUNT
ROBIN HOOD FOUNDATION 29 East 72nd Street New York, NY 10021	NONE	PC	SUPPORT POVERTY RELIEF	1,400
SALVATION ARMY 3612 Cuming Street Omaha, NE 68131	NONE	PC	SUPPORT BEHAVIORAL HEALTH PROGRAM	2,500
SANTA MONICA INC 130 North 39th Street Omaha, NE 68131	NONE	PC	SUPPORT SUBSTANCE ABUSE PROGRAM	174,000
SIENA-FRANCIS HOUSE 1702 Nicholas Street Omaha, NE 68101	NONE	PC	SUPPORT HOMELESS PROGRAM	3,900
SHARP HEALTHCARE FOUNDATION 8695 Spectrum Center Blvd San Diego, CA 92123	NONE	PC	SUPPORT REHABILITATION PROGRAM	8,000
SPECIAL OLYMPICS 9427 F Street Omaha, NE 68127	NONE	PC	SUPPORT HEALTH FOR DISABLED	1,000
ST AUGUSTINE INDIAN MISSION P O Box GG Winnebago, NE	NONE	PC	SUPPORT EDUCATION PROGRAM	50,000
ST GREGORY CATHOLIC SCHOOL 3440 N 18th Ave Phoenix, AZ 85015	NONE	PC	SUPPORT YOUTH ATHLETICS	2,500
SUSAN G KOMEN NEBRASKA 12103 Pacific Street Omaha, NE 68154	NONE	PC	SUPPORT CANCER RESEARCH	1,000
TED E BEAR HOLLOW 7811 Farnam Drive Omaha, NE 68114	NONE	PC	SUPPORT GRIEF PROGRAM FOR CHILDREN	20,000
TRINITY LUTHERAN CHURCH 6340 North 30th Street Omaha, NE 68111	NONE	PC	SUPPORT READING PROGRAM	4,500
UNITED WAY OF THE MIDLANDS 1805 Hamey Street Omaha, NE 68102	NONE	PC	SUPPORT GENERAL CHARITY PROGRAM	38,000
UNIVERSITY OF NEBRASKA P O Box 82848 Lincoln, NE 68501-2848	NONE	PC	SUPPORT FOR AREA NON-PROFITS	800
UNIVERSITY OF NEBRASKA FOUNDATION 2285 S 67th Street #200 Omaha, NE 68106	NONE	PC	SUPPORT SCHOLARSHIP PROGRAM	1,000
UNIVERSITY OF NEBRASKA FOUNDATION 2285 S 67th Street #200 Omaha, NE 68106	NONE	PC	SUPPORT EDUCATION PROGRAM	65,000
UNIVERSITY OF NEBRASKA FOUNDATION 2285 S 67th Street #200 Omaha, NE 68106	NONE	PC	SUPPORT UNIVERSITY ATHLETICS PROGRAM	130,820
UNIVERSITY OF NEBRASKA - OMAHA 6001 Dodge Street Omaha, NE 68182	NONE	PC	SUPPORT EDUCATION PROGRAM	500
UNIVERSITY OF NEBRASKA - OMAHA 6001 Dodge Street Omaha, NE 68182	NONE	PC	SUPPORT SCHOLARSHIP PROGRAM	10,000
UNIVERSITY OF NEBRASKA - OMAHA 6001 Dodge Street Omaha, NE 68182	NONE	PC	SUPPORT UNIVERSITY ATHLETICS PROGRAM	262,934
UNIVERSITY OF SOUTH CAROLINA 902 Sumter Street Access Columbia, South Carolina 29208	NONE	PC	SUPPORT EDUCATION PROGRAM	50,000

THE HAWKS FOUNDATION

47-6194021

PART XV LINE 3A	RELATIONSHIP	STATUS	PURPOSE	AMOUNT
VISITING NURSES ASSOCIATION 12565 West Center Road, Ste 100 Omaha, NE 68144	NONE	PC	SUPPORT SHELTER NURSING HEALTH PROGRAM	2,956
VOLUNTEERS ASSISTING SENIORS 1941 South 42 nd Street, Suite 502 Omaha, NE 68105	NONE	PC	SUPPORT SENIOR FINANCIAL ASSISTANCE PROGRAM	2,000
WHYARTS? 1030 South 111th Plaza Omaha, NE 68154	NONE	PC	SUPPORT ARTS PROGRAM	1,000
WORLD HERALD GOODFELLOWS CHARITIES, INC 1314 Douglas Street, Suite 125 Omaha, NE 68102	NONE	PC	SUPPORT UTILITY ASSISTANCE PROGRAM	1,000
YMCA OF GREATER OMAHA 430 S 20th Street Omaha, NE 68102	NONE	PC	SUPPORT FACILITY CONSTRUCTION	100,000
YOUTH CARE AND BEYOND INC 2819 S 125th Ave, Suite 276 Omaha, NE 68144	NONE	PC	SUPPORT DEVELOPMENTALLY DISABLED PROGRAM	10,000
YOUTH EMERGENCY SERVICES OF OMAHA 2679 Farnam Street, Suite 205 Omaha, NE 68131	NONE	PC	SUPPORT YOUTH HOMELESS PROGRAM	30,000
YOUTH FRONTIERS INC 7101 Mercy Road, Suite 150 Omaha, NE 68106	NONE	PC	SUPPORT YOUTH LEADERSHIP PROGRAM	5,000
Scholarship Program - Pre-approved by IRS				
BLINN COLLEGE 902 College Avenue Brenham, TX 77833 Scholarship for LaJohn Williams	NONE	PC	SCHOLARSHIP PROGRAM	1,600
CLARK ATLANTA UNIVERSITY 223 James P. Brawley Drive SW Atlanta, GA 30314 Scholarship for Je'Ronelle McGhee	NONE	PC	SCHOLARSHIP PROGRAM	3,000
CREIGHTON UNIVERSITY 2500 California Plaza Omaha, NE 68178 Scholarship for Sara Schell	NONE	PC	SCHOLARSHIP PROGRAM	4,000
CREIGHTON UNIVERSITY 2500 California Plaza Omaha, NE 68178 Scholarship for Molly Thrasher	NONE	PC	SCHOLARSHIP PROGRAM	2,000
CREIGHTON UNIVERSITY 2500 California Plaza Omaha, NE 68178 Scholarship for Syed Shoab Ahmed	NONE	PC	SCHOLARSHIP PROGRAM	8,430
DRAKE UNIVERSITY 312 SW Clark Ln Grimes, IA 50111 Scholarship for Sophia Murphy	NONE	PC	SCHOLARSHIP PROGRAM	1,500
GORDON COLLEGE 255 Grapevine Road Wenham, MA 01984 Scholarship for Marshall Columbia	NONE	PC	SCHOLARSHIP PROGRAM	1,700
MISSOURI WESTERN STATE UNIVERSITY 4525 Downs Drive St. Joseph, MO 64507 Scholarship for Asya Beasley	NONE	PC	SCHOLARSHIP PROGRAM	500
MORNINGSIDE COLLEGE 3606 Peters Ave, PMB 611 Sioux City, IA 51106 Scholarship for Demetrice Marable	NONE	PC	SCHOLARSHIP PROGRAM	1,000

THE HAWKS FOUNDATION

47-6194021

PART XV LINE 3A	RELATIONSHIP	STATUS	PURPOSE	AMOUNT
OREGON STATE 104 Kerr Administrative Building Corvallis, OR 97331 Scholarship for Wes Johnston	NONE	PC	SCHOLARSHIP PROGRAM	2,300
SPRING HILL COLLEGE 121 E St Clair Irving, TX 75061 Scholarship for Enn Schell	NONE	PC	SCHOLARSHIP PROGRAM	2,000
TRINITY INTERNATIONAL UNIVERSITY 2065 Half Day Road Deerfield, IL 60015 Scholarship for John Lewis	NONE	PC	SCHOLARSHIP PROGRAM	1,000
UNION UNIVERSITY 1040 Union University Drve Jackson, TN 38305 Scholarship for Elizabeth Atchison	NONE	PC	SCHOLARSHIP PROGRAM	1,000
UNIVERSITY OF ARKANSAS 213 Arkansas Union Fayetteville, AR 72701 Scholarship for Gabnelle Kubas	NONE	PC	SCHOLARSHIP PROGRAM	3,000
UNIVERSITY OF FLORIDA 4505 SE 171st Street Hawthorne, FL 32640 Scholarship for Josh Lubben	NONE	PC	SCHOLARSHIP PROGRAM	500
UNIVERSITY OF KANSAS 4403 Gretchen Ct Lawrence, KS 66047 Scholarship for Nate Hulse	NONE	PC	SCHOLARSHIP PROGRAM	750
UNIVERSITY OF MIAMI 5501 San Amaro Dnve Coral Gables, FL 33146 Scholarship for Kieman King	NONE	PC	SCHOLARSHIP PROGRAM	1,500
UNIVERSITY OF NEBRASKA - LINCOLN 2616 Citta Dnve Bellevue, NE 68147 Scholarship for Donovan Allen	NONE	PC	SCHOLARSHIP PROGRAM	1,000
UNIVERSITY OF NEBRASKA - LINCOLN P O Box 880412 Lincoln, NE 68588-0412 Scholarship for Justin Galles	NONE	PC	SCHOLARSHIP PROGRAM	4,000
UNIVERSITY OF NEBRASKA - LINCOLN 880 N 17th Street #305 Lincoln, NE 68508 Scholarship for Alise Pape	NONE	PC	SCHOLARSHIP PROGRAM	1,500
WESTMINSTER COLLEGE 501 Westminster Avenue Fulton Fulton, MO 65251 Scholarship for Dylan Ross	NONE	PC	SCHOLARSHIP PROGRAM	1,000
Medical Relief Program - Preapproved by IRS				
ADULT AND PEDIATRIC UROLOGY 1411 Timbertane Glenwood, IA 51534 Scholarship for Ivan Houser	NONE	INDV	MEDICAL PROGRAM	1,300
BANNER BAYWOOD 6644 E Baywood Avenue Mesa, AZ 85206 Scholarship for Sharon Mulligan	NONE	PC	MEDICAL PROGRAM	3,066
BLUE CROSS BLUE SHIELD OF NEBRASKA P O Box 3248 Omaha, NE 68180-0001 Scholarship for Paige Hruza	NONE	PC	MEDICAL PROGRAM	690
CREIGHTON DENTAL SCHOOL 801 Pacific St Apt 404 Omaha, NE 68108	NONE	PC	MEDICAL PROGRAM	68

THE HAWKS FOUNDATION

47-6194021

PART XV LINE 3A	RELATIONSHIP STATUS			PURPOSE	AMOUNT
Scholarship for Paige Hruza					
GENERAL SERVICE BUREAU INC 1411 Timberlane Glenwood, IA 51534 Scholarship for Ivan Houser	NONE	PC		MEDICAL PROGRAM	500
GLHS INNERVISION P O Box 4849 Lafayette, IN 47903-4849 Scholarship for Douglas Wiedel	NONE	PC		MEDICAL PROGRAM	983
GLHS UNITY SURGICAL CENTER P O Box 4849 Lafayette, IN 47903-4849 Scholarship for Douglas Wiedel	NONE	PC		MEDICAL PROGRAM	869
JAY A RICH, MD AND ASSOCIATES, PC 11920 Burt Street, Suite 165 Omaha, NE 68154 Scholarship for Paige Hruza	NONE	PC		MEDICAL PROGRAM	317
LAFAYETTE ANESTHESIOLOGISTS P O Box 4699 Lafayette, IN 47903-4699 Scholarship for Douglas Wiedel	NONE	PC		MEDICAL PROGRAM	81
LAFAYETTE CANCER CARE P O Box 4699 Lafayette, IN 47903-4699 Scholarship for Douglas Wiedel	NONE	PC		MEDICAL PROGRAM	141
LAFAYETTE ORTHOPAEDIC CLINIC P O Box 4699 Lafayette, IN 47903-4699 Scholarship for Douglas Wiedel	NONE	PC		MEDICAL PROGRAM	347
ONE WORLD COMMUNITY HEALTH CENTER 4920 S 30th Street Omaha, NE 68107 Scholarship for Paige Hruza	NONE	PC		MEDICAL PROGRAM	113
ONE WORLD COMMUNITY HEALTH CENTER 4920 S 30th Street Omaha, NE 68107 Scholarship for Suzanne Noonan	NONE	PC		MEDICAL PROGRAM	1,288
PACIFIC SURGERY CENTER 1411 Timberlane Glenwood, IA 51534 Scholarship for Ivan Houser	NONE	PC		MEDICAL PROGRAM	1,345
BANNER BAYWOOD MEDICAL CENTER 6644 E Baywood Avenue Mesa, AZ 85209 Scholarship for Sharon Mulligan	NONE	PC		MEDICAL PROGRAM	2,997
ELDORADO DENTAL 1 Caliente Rd #E Santa Fe, NM 87508 Scholarship for Skye Beavers	NONE	PC		MEDICAL PROGRAM	3,676
CSV DEVARGAS HEALTH 510 N Guadalupe St, Suite C1-C2 Santa Fe, NM 87501 Scholarship for Skye Beavers	NONE	PC		MEDICAL PROGRAM	354
UNITY RADIOLOGY P O Box 4699 Lafayette, IN 47903-4699 Scholarship for Douglas Wiedel	NONE	PC		MEDICAL PROGRAM	34
URGENT CARE OF PAPIILLON 8419 S 73rd Plaza Ste 101 Papillion, NE 68046-1507 Scholarship for Suzanne Noonan	NONE	PC		MEDICAL PROGRAM	192
Total per above					<u>2,397,787</u>
Totals per Income Statement					

THE HAWKS FOUNDATION

47-6194021

PART XV LINE 3A	RELATIONSHIP STATUS	PURPOSE	AMOUNT
Cash Contributions			2,397,787
Seats for Soldiers - event on 12/12/15			264,208
Stock Contributions Made from Hawks Foundation to 501c3 Organizations			5,480,093
Grand Total			8,142,088

STOCK CONTRIBUTIONS FROM HAWKS FOUNDATION TO 501c3 ORGANIZATIONS.

Stock	Donee	Purchase Date	Cost Basis	Shares	Transfer Date	Total FMV
AMERISOURCEBERGEN	University of NE Foundation (A)	3/13/2013	329,473.44	8,750	3/26/2015	754,616.25
ANTHEM	University of NE Foundation (A)	Var LT	90,576.61	2,120	3/26/2015	325,483.60
APPLE INC	University of NE Foundation (A)	8/24/2013	269,190.45	4,700	3/26/2015	581,578.00
CVS HEALTH	University of NE Foundation (A)	2/6/2014	328,508.95	5,000	3/26/2015	511,675.00
JOHNSON & JOHNSON	University of NE Foundation (A)	Var LT	358,461.23	5,850	3/26/2015	588,082.25
MASTERCARD	University of NE Foundation (A)	1/7/2009	51,521.27	3,250	3/26/2015	284,147.50
MERCK & CO	University of NE Foundation (A)	Var LT	218,729.53	10,074	3/26/2015	582,327.57
PFIZER INCORPORATED	University of NE Foundation (A)	Var LT	265,443.47	14,000	3/26/2016	478,450.00
VISA	University of NE Foundation (A)	1/7/2009	160,385.89	11,200	3/26/2015	735,392.00
AT&T	Salvation Army (B)		-	396	8/13/2015	13,456.08
WESTWOOD DIV GROWTH	Salvation Army (B)	12/18/2008	39,207.42	5,510	8/13/2015	64,081.30
WESTWOOD DIV GROWTH	Salvation Army (B)	12/18/2008	13,541.14	1,903	8/13/2015	22,131.89
WESTWOOD DIV GROWTH	Salvation Army (B)	12/17/2009	16,522.62	2,322	8/13/2015	27,004.86
WESTWOOD DIV GROWTH	Salvation Army (B)	12/22/2010	25,894.74	3,611	8/13/2015	41,895.93
WESTWOOD DIV GROWTH	Salvation Army (B)	12/30/2011	50,877.14	7,150	8/13/2015	83,154.50
COSTCO	Do Space (C)	4/21/2009	28,076.33	620	10/23/2015	97,250.10
NIKE	College of St Mary (D)	10/9/2012	1,047.27	22	10/26/2016	2,887.50
NIKE	College of St Mary (D)	11/20/2009	21,888.76	683	10/26/2015	88,643.75
NIKE	College of St Mary (D)	11/20/2009	21,888.76	683	10/30/2015	89,367.14
NIKE	College of St Mary (D)	10/20/2009	1,694.40	52	10/30/2015	6,803.94
COSTCO	Visiting Nurses Assoc (E)	4/21/2009	13,585.32	300	11/6/2015	47,044.50
APACHE	Big Brothers Big Sisters of the Midlands (F)	10/26/1995	11,750.03	1,154	11/6/2015	55,518.94
Totals - Stock Contributions Made by Foundation to 501c3 Organizations			<u>2,315,604.87</u>			<u>5,480,092.60</u>

- (A) 2285 S 67th St #200, Omaha, NE 68106, No Relationship, PC Status, Support Education Program
 (B) 3612 Cuming St, Omaha, NE 68131, No Relationship, PC Status, Support Behavioral Health Program
 (C) 7205 Dodge St, Omaha, NE 68114, No Relationship, PC Status, Support Education Program
 (D) 7000 Mercy Rd, Omaha, NE 68106, No Relationship, PC Status, Support Scholarship Program
 (E) 12565 West Center Road, Ste 100, Omaha, NE 68144, No Relationship, PC Status, Support Shelter Nursing Health Program
 (F) 10831 Old Mill Road, Suite 400, Omaha, NE 68154, No Relationship, PC Status, Support Mentoring Program

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
Portfolio Value - As of 12/31/2015		
21ST CENT FOX CLASS A	85,770 14	90,958 84
ABBOTT LABS	111,592 29	157,319 73
ACCENTURE LTD	315,829 14	475,475 00
ACCURAY INC CMN	8,341 16	8,653 50
ACXIOM CORP CMN	15,749 20	19,225 48
AEROVIRONMENT, INC CMN -	7,840 80	9,283 05
AFFYMETRIX INC CMN	4,720 43	8,717 76
ALLIANCE SEMICONDUCTOR CO	3,613 47	532 8
ALLSCRIPTS HEALTHCARE SOL INC CMN	11,158 26	16,671 92
AMAZON COM INC CMN	65,801 52	229,802 60
AMDOCS LTD	415,201 83	474,759 00
American International Group Inc	690,549 37	1,004,347 79
AMERICAN TOWER CORPORATION CMN CLASS A	100,552 15	165,784 50
ANADARKO PETROLEUM CORP CMN	227,613 53	157,885 00
AON PLC CMN	195,638 08	223,609 25
APPLE COMPUTER INC	366,720 65	548,720 38
APPLIED MATERIAL INC	155,496 54	209,104 00
ARRIS GROUP INC	5,776 01	20,023 35
ATLANTIC POWER CORPORATION CMN	5,295 54	4,288 69
AVERY DENNISON CORPORATION CMN	9,686 38	18,171 40
AVIS BUDGET GROUP, INC CMN	8,893 19	7,548 32
BANK OF AMERICA CORP	182,667 77	206,621 91
BANKRATE INC CMN	8,963 09	9,057 30
BANKUNITED INC CMN	7,734 46	11,431 02
Berkshire Hathaway Incorporated	249,359 63	508,354 00
BLACKROCK, INC CMN	166,670 35	212,825 00
BOEING CO	58,166 06	143,433 28
BROCADE COMMUNICATIONS SYSTEMS CMN	3,812 53	7,793 82
CADENCE DESIGN SYSTEMS INC CMN	2,510 55	6,575 96
CARMAX, INC CMN	121,336 11	136,274 25
CATERPILLAR INC (DELAWARE) CMN	226,274 43	185,191 00
CAUSEWAY INTERNATIONAL VALUE I MUTUAL FUND CLASS INST SHARES	2,082,350.25	1,833,832 82
CBRE GROUP INC CMN	136,828 31	170,306 50
CEVA INC CMN	3,914 65	5,676 48
CHARLES RIV LABS INTL INC CMN	10,882 71	25,322 85
CHARTER COMMUNICATIONS, INC CMN	132,738 45	128,170 00
CHEMTURA CORP CMN	5,832 08	10,008 09
CHEVRONTXACO	284,620 99	278,876 00
CIENA CORPORATION CMN	12,870 24	15,662 33
CISCO SYSTEMS INC COM	324,247 10	486,074 50
CLEAN HARBORS INC CMN	13,848 21	10,704 05
CLIFFS NATURAL RESOURCES INC CMN	9,857 37	1,812 26
Coca Cola Co	301,082 45	399,528 00
COLGATE PALMOLIVE	740,199 00	930,015 20
COMERICA INCORPORATED CMN	5,045 01	9,035 28
COMMUNICATIONS SALES & LEASING INC CMN	7,425 22	5,943 42
COMVERSE TECHNOLOGY INC (NEW) CMN	-0.02	0
CONOCOPHILLIPS	242,912 36	266,133 00
CONVERGYS CORPORATION CMN	2,576 22	5,276 68
COOPER COMPANIES INC (NEW) CMN	-0.04	0
CORE LOGIC INC	10,422 59	19,164 76
COSTCO WHOLESALE CRP	4,528 43	16,150 00
COVANTA HOLDING CORP CMN	12,141 71	11,772 40
COVISINT CORPORATION CMN	6,175 60	3,372 50
CROCS, INC CMN	5,682 73	4,915 20
CROWN HOLDINGS INC	10,241 02	19,620 90
CROWN MEDIA HLDGS INC CMN CLASS A	2,327 84	2,681 58
CUMMINS INC COMMON STOCK	268,851 70	195,822 25
CYPRESS SEMICONDUCTOR CORPORAT CMN	3,043 14	7,779 33
DANAOS CORPORATION CMN	5,777 16	4,336 76
DECKERS OUTDOORS CORP CMN	7,394 68	5,900 00
DEVON ENERGY CORP	186,563 42	85,728 00
DOLBY LABORATORIES, INC CMN CLASS A	7,443 83	6,696 35
DST SYSTEM INC COMMON STOCK	3,930 24	10,379 46
DYNEGY INC NEW DEL CMN	13,424 94	8,120 40
EBAY INC	231,027 83	316,020 00
ELIZABETH ARDEN INC CMN	7,098 31	3,375 90
EMERGING MARKETS - PT	3,346,990 43	2,948,119 23
EMERSON ELECT CO	160,062 71	157,647 68
EXPRESS SCRIPTS COMMON CMN	903,069 91	1,183,706 22
EXPRESS, INC CMN	8,248 96	9,452 16
EXXON MOBIL CORP	454,404 91	464,971 75

FIRST NIAGARA FINANCIAL GROUP, CMN	3,537 41	4,730 60
FLUIDIGM CORP CMN	2,953 08	2,843 03
FORMFACTOR INC CMN	6,818 75	8,424 00
FRANKLIN RESOURCES INC CMN	724,864 16	582,676 50
GENERAL ELECTRIC	531,102 21	537,275 20
GENERAL MOTORS CORP	246,297 96	275,481 00
GIGAMON INC CMN	2,615 03	4,702 89
Global Equity - PT	6,292,623 98	6,262,573 76
GOOGLE INC CMN CLASS C	44,097.57	110,037 60
GOOGLE, INC CMN CLASS A	86,702 04	179,720 31
HARSCO CORPORATION CMN	13,137 51	5,807 56
HONEYWELL INTL INC DEL	345,285 02	562,902 95
HUNTINGTON BANCSHARES INC CMN	9,351 27	17,541 16
II-VI INC CMN	8,456 47	10,152 32
INFINERA CORPORATION CMN	5,309 82	11,850 48
INPUT/OUTPUT INC CMN	8,967 85	855 27
INTEL CORP	215,584 42	325,862 55
INTERCONTINENTAL EXCHANGE INC CMN	66,839 65	85,590 84
INTL BUSINESS MACH	508,798 95	454,146 00
IROBOT CORPORATION CMN	3,453 64	3,787 80
ITRON INC CMN	12,593 25	11,034 90
ITT CORPORATION CMN	4,211 13	7,264 00
J P MORGAN CHASE & CO	477,455 42	1,018,908 93
JOHNSON & JOHNSON	380,526 05	517,606 08
KBR, INC CMN	18,830 04	14,991 12
KEYW HOLDING CORP CMN	13,114 03	8,578 50
LABORATORY CORP OF AMERICA-DONATED	21,288 41	494,560 00
LEVEL3 CMNCATNS B	506,519 80	108,720 00
Lumentum Holdings Inc Cmn	3,328 77	2,840 58
MA COM TECHNOLOGY SOLUTIONS CMN	9,852 24	12,389 67
MANITOWOC CO INC	11,521 99	10,806 40
MAXWELL TECHNOLOGIES INC	6,089 67	6,011 88
MCDERMOTT INTL CMN	4,711 37	2,177 50
MELLANOX TECHNOLOGIES, LTD CMN	8,681 78	8,722 98
MERCURY COMPUTER SYSTEMS INC CMN	5,941 01	10,905 84
MERITOR INC CMN	3,286 62	5,836 65
MICROSOFT CORP	640,716 04	1,335,181 68
MONEYGRAM INTERNATIONAL, INC CMN	11,621 57	4,758 93
NEUSTAR INC CMN CLASS A	13,938 06	13,183 50
NEW YORK & COMPANY, INC CMN	2,057 82	1,051 11
NIKE CLASS-B CMN CLASS B	9,483 97	30,625 00
NOVARTIS AG	493,008 95	585,072 00
NOVO NORDISK	331,748 95	580,800 00
NRG ENERGY, INC CMN	19,662 70	9,733 79
NUANCE COMMUNICATIONS, INC CMN	13,502 87	17,602 65
OFFICE DEPOT INC CMN	3,244 21	6,768 00
ORACLE CORP \$0 01 DEL	369,584 74	531,146 20
ORMAT TECHNOLOGIES, INC CMN	4,574 25	8,716 33
OSI SYSTEMS INC CMN	6,163 39	9,397 96
OWENS CORNING CMN	11,923 21	15,425 84
PAYPAL HOLDINGS INC CMN	395,033 02	460,319 20
PEPSICO INC	339,585 65	562,050 00
PFIZER INC	166,946 38	177,281 76
PRAXAIR, INC CMN SERIES	689,709 50	603,033 60
PRICE T ROWE GROUP INC	276,669 68	386,046 00
PROCTER GAMBLE	417,087 30	621,383 25
PRUDENTIAL FINANCIAL INC CMN	143,365 20	184,475 06
QUALCOMM INC	458,909 76	420,123 93
QUANTUM CORPORATION DLT	4,198 10	2,519 37
RAMBUS INC CMN	11,379 04	17,883 37
ROVI CORPORATION CMN	18,250 54	15,543 78
RUDOLPH TECHNOLOGIES, INC CMN	2,499 08	3,967 38
RYDER SYSTEM INC	5,126 60	9,490 61
SEACHANGE INTERNATIONAL INC CMN	8,383 29	6,551 28
SELECT COMFORT CORPORATION CMN	4,403 94	5,502 37
SILVER SPRING NETWORKS, INC CMN	6,124 06	10,331 97
SmallCap Value Equity - PT	1,616,072 23	1,510,313 44
SmidCap Plus - PT	3,151,146 79	3,013,221 82
SONUS NETWORKS INC CMN	6,386 83	5,860 86
SPIRIT AEROSYSTEMS HOLDINGS INC CMN	7,470 82	21,179 61
STARBUCKS CORP CMN	71,179 96	87,643 80
SYSCO CORPORATION	316,638 54	430,500 00
TCF FINANCIAL CORP MINN	11,295 20	12,199 68
TELEDYNE TECHNOLOGIES INC	3,488 99	8,515 20
TELEPHONE AND DATA SYS INC CMN	4,471 51	4,427 19
TETRA TECHNOLOGIES INC (DEL) CMN	12,324 74	9,302 24
TEXAS CAPITAL BANCSHARES, INC CMN	3,717 58	9,834 58
TEXTRON INC DEL CMN	7,769 06	12,603 00
THE FRESH MARKET, INC CMN	2,663 95	3,114 86
TIFFANY & CO CMN	126,818 71	131,600 25
TRIBUNE MEDIA CO - A CMN CLASS A	255,257 12	145,383 00

TWIN DISC INCORPORATED CMN	6,180 17	2,787 80
ULTRATECH INC CMN	18,461 16	18,254 22
UMPQUA HLDGS CORP CMN	9,123 62	11,495 70
UNITED STATES CELLULAR CORPORA CMN	4,923 02	4,978 82
UNTD TECHNOLGIES CRP	249,563 95	244,017 80
US BANCORP (NEW)	422,201 93	526,846 49
VALMONT INDUSTRIES INC CMN	7,214 04	6,255 18
VEECO INSTRUMENTS INC CMN	11,265 86	7,771 68
VERIFONE SYSTEMS INC CMN	4,759 09	7,005 00
VERINT SYSTEMS, INC CMN	14,220 65	20,969 52
VERIZON CORP	141,168 53	132,928 72
VIAVI Solutions, INC Cmn	5,410 07	5,072 97
WAL MART STORES INC	373,600 73	405,989 90
WALGREENS BOOTS ALLI	93,952 39	92,733 80
WELLS FARGO & CO NEW DEL	713,192 21	1,235,331 00
XURA INC CMN	11,783 92	9,586 20
YUM BRANDS INC	-0 02	0
Totals	<u>36,992,790 90</u>	<u>41,808,557 29</u>

ST/LT	Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
LONG TERM	CHARLES SCHWAB	AICI CAPITAL TRUST PFD 9%	2,000.00	1/20/2000	3/16/2015	338	24,786	(24,448)
LONG TERM	CHARLES SCHWAB	AICI CAPITAL TRUST PFD 9%	200	1/25/2000	3/16/2015	34	2,329	(2,295)
LONG TERM	CHARLES SCHWAB	AICI CAPITAL TRUST PFD 9%	1,800.00	1/25/2000	3/16/2015	304	21,070	(20,766)
LONG TERM	GS-LC PCP	AMERICAN TOWER CORPORATION CMN CLAS:	78	3/21/2013	1/29/2015	7,634	5,907	1,727
LONG TERM	GS-LC PCP	APPLE COMPUTER INC	445	7/9/2009	1/14/2015	48,704	8,719	39,985
LONG TERM	GS-LC PCP	APPLE COMPUTER INC	11	7/9/2009	1/29/2015	1,289	216	1,074
LONG TERM	GS-NEUBERGER	ARRIS GROUP INC	12	4/1/2008	5/1/2015	404	72	333
LONG TERM	GS-NEUBERGER	AVERY DENNISON CORPORATION CMN	67	3/18/2010	5/18/2015	4,045	2,327	1,718
LONG TERM	GS-LC PCP	BOEING CO	32	2/11/2009	1/29/2015	4,665	1,286	3,380
LONG TERM	GS-LC PCP	BOEING CO	304	2/11/2009	2/27/2015	45,985	12,213	33,772
LONG TERM	GS-NEUBERGER	BROCADE COMMUNICATIONS SYSTEMS CMN	18	3/23/2010	5/1/2015	207	104	103
LONG TERM	GS-NEUBERGER	CADENCE DESIGN SYSTEMS INC CMN	58	10/29/2009	12/29/2015	1,225	348	877
LONG TERM	GS-NEUBERGER	CADENCE DESIGN SYSTEMS INC CMN	196	4/16/2010	12/29/2015	4,140	1,406	2,734
LONG TERM	GS-NEUBERGER	CAMBREX CORPORATION	99	12/8/2008	4/6/2015	4,052	389	3,663
LONG TERM	GS-NEUBERGER	CAMBREX CORPORATION	61	12/8/2008	4/9/2015	2,563	240	2,323
LONG TERM	GS-NEUBERGER	CAMBREX CORPORATION	57	12/8/2008	4/14/2015	2,458	224	2,234
LONG TERM	GS-NEUBERGER	CHARLES RIV LABS INTL INC CMN	23	8/4/2009	4/22/2015	1,793	792	1,001
LONG TERM	GS-NEUBERGER	CHARLES RIV LABS INTL INC CMN	25	8/5/2009	4/22/2015	1,949	786	1,163
LONG TERM	GS-NEUBERGER	CHARLES RIV LABS INTL INC CMN	3	12/21/2009	4/22/2015	234	96	138
LONG TERM	GS-NEUBERGER	CHARLES RIV LABS INTL INC CMN	3	12/21/2009	9/16/2015	215	96	119
LONG TERM	GS-NEUBERGER	CHEMTURA CORP CMN	9	12/8/2010	5/1/2015	277	136	141
LONG TERM	GS-NEUBERGER	CHEMTURA CORP CMN	111	12/8/2010	5/18/2015	3,307	1,680	1,626
LONG TERM	GS-NEUBERGER	CHEMTURA CORP CMN	136	12/8/2010	10/9/2015	4,182	2,059	2,123
LONG TERM	GS-NEUBERGER	CIENA CORPORATION CMN	10	12/18/2009	9/3/2015	227	107	121
LONG TERM	GS-NEUBERGER	COMERICA INCORPORATED CMN	1	5/17/2010	9/16/2015	43	25	18
LONG TERM	GS-NEUBERGER	COMERICA INCORPORATED CMN	4	9/1/2010	9/16/2015	173	84	89
LONG TERM	GS-NEUBERGER	CORE LOGIC INC	9	6/7/2010	5/1/2015	355	180	174
LONG TERM	GS-NEUBERGER	CORE LOGIC INC	39	6/7/2010	5/18/2015	1,567	782	785
LONG TERM	GS-NEUBERGER	CORE LOGIC INC	32	12/29/2010	5/18/2015	1,286	591	695
LONG TERM	GS-LC PCP	COSTCO WHOLESALE CRP	48	4/21/2009	1/29/2015	6,697	2,174	4,523
LONG TERM	GS-NEUBERGER	COVANTA HOLDING CORP CMN	10	4/19/2010	5/1/2015	203	163	40
LONG TERM	GS-NEUBERGER	CROWN HOLDINGS INC	5	10/17/2007	9/3/2015	247	121	126
LONG TERM	GS-NEUBERGER	CYTEC INDS INC	36	11/17/2010	7/29/2015	2,659	821	1,838
LONG TERM	GS-NEUBERGER	CYTEC INDS INC	54	12/29/2010	7/29/2015	3,988	1,469	2,519
LONG TERM	GS-NEUBERGER	DST SYSTEM INC COMMON STOCK	2	2/3/2010	9/3/2015	206	79	127
LONG TERM	GS-NEUBERGER	FIRST NIAGARA FINANCIAL GROUP, CMN	24	5/28/2010	5/1/2015	212	318	(106)
LONG TERM	GS-NEUBERGER	FIRST NIAGARA FINANCIAL GROUP, CMN	239	5/28/2010	9/25/2015	2,498	3,168	(671)
LONG TERM	GS-NEUBERGER	FIRST NIAGARA FINANCIAL GROUP, CMN	40	9/1/2010	9/25/2015	418	460	(42)
LONG TERM	GS-NEUBERGER	FIRST NIAGARA FINANCIAL GROUP, CMN	85	11/11/2010	9/25/2015	888	1,060	(172)
LONG TERM	GS-NEUBERGER	FIRST NIAGARA FINANCIAL GROUP, CMN	38	11/11/2010	10/30/2015	395	474	(78)
LONG TERM	GS-HARRIS	FRANKLIN RESOURCES INC CMN	775	2/6/2009	5/11/2015	40,358	14,434	25,924
LONG TERM	GS-HARRIS	FRANKLIN RESOURCES INC CMN	50	2/6/2009	5/13/2015	2,588	931	1,657
LONG TERM	GS-HARRIS	FRANKLIN RESOURCES INC CMN	375	2/6/2009	5/14/2015	19,505	6,984	12,521
LONG TERM	GS-HARRIS	FRANKLIN RESOURCES INC CMN	300	2/18/2009	5/14/2015	15,604	5,075	10,529
LONG TERM	GS-HARRIS	FRANKLIN RESOURCES INC CMN	300	3/5/2009	8/19/2015	13,025	4,243	8,782
LONG TERM	GS-NEUBERGER	FTI CONSULTING, INC CMN	38	4/23/2010	5/1/2015	1,521	1,577	(56)
LONG TERM	GS-NEUBERGER	FTI CONSULTING, INC CMN	19	4/23/2010	5/4/2015	763	789	(26)
LONG TERM	GS-NEUBERGER	FTI CONSULTING, INC CMN	33	4/28/2010	5/4/2015	1,325	1,351	(26)
LONG TERM	GS-NEUBERGER	FTI CONSULTING, INC CMN	41	7/7/2010	5/4/2015	1,646	1,325	320
LONG TERM	GS-LC PCP	HONEYWELL INTL INC DEL	54	2/11/2009	1/29/2015	5,310	1,726	3,583
LONG TERM	GS-LC PCP	GOOLE INC CMN			5/4/2015	222	-	222
LONG TERM	GS-NEUBERGER	HUNTINGTON BANCSHARES INC CMN	24	5/14/2010	9/3/2015	256	156	101
LONG TERM	GS-NEUBERGER	INFINERA CORPORATION CMN	78	11/23/2010	3/27/2015	1,507	654	853
LONG TERM	GS-NEUBERGER	INFINERA CORPORATION CMN	153	11/24/2010	3/27/2015	2,957	1,279	1,677
LONG TERM	GS-NEUBERGER	INFINERA CORPORATION CMN	105	12/29/2010	3/27/2015	2,029	1,091	938
LONG TERM	GS-HARRIS	INTEL CORP	475	1/25/2006	11/12/2015	15,589	10,171	5,418
LONG TERM	GS-NEUBERGER	MERCURY COMPUTER SYSTEMS INC CMN	32	3/28/2008	12/29/2015	611	173	438
LONG TERM	GS-NEUBERGER	MERCURY COMPUTER SYSTEMS INC CMN	41	4/1/2008	12/29/2015	783	236	547
LONG TERM	GS-NEUBERGER	MERCURY COMPUTER SYSTEMS INC CMN	5	4/7/2008	12/29/2015	95	31	64
LONG TERM	GS-NEUBERGER	MERCURY COMPUTER SYSTEMS INC CMN	7	4/7/2008	12/30/2015	132	44	89
LONG TERM	GS-NEUBERGER	MERCURY COMPUTER SYSTEMS INC CMN	36	4/10/2008	12/30/2015	681	225	456
LONG TERM	GS-NEUBERGER	MERCURY COMPUTER SYSTEMS INC CMN	1	4/10/2008	12/31/2015	18	6	12
LONG TERM	GS-HARRIS	NATIONAL OILWELL INC	300	6/21/2010	7/14/2015	13,694	10,373	3,321
LONG TERM	GS-HARRIS	NATIONAL OILWELL INC	400	7/12/2010	7/14/2015	18,259	12,708	5,551
LONG TERM	GS-NEUBERGER	ORMAT TECHNOLOGIES, INC CMN	7	1/17/2007	5/1/2015	254	267	(13)
LONG TERM	GS-NEUBERGER	ORMAT TECHNOLOGIES, INC CMN	11	1/17/2007	10/21/2015	413	420	(7)
LONG TERM	GS-NEUBERGER	ORMAT TECHNOLOGIES, INC CMN	35	3/2/2007	10/21/2015	1,313	1,355	(43)
LONG TERM	GS-NEUBERGER	ORMAT TECHNOLOGIES, INC CMN	5	3/2/2007	10/22/2015	187	194	(7)
LONG TERM	GS-NEUBERGER	ORMAT TECHNOLOGIES, INC CMN	30	4/30/2007	10/22/2015	1,121	1,134	(13)
LONG TERM	GS-NEUBERGER	ORMAT TECHNOLOGIES, INC CMN	20	5/1/2007	10/22/2015	748	725	23
LONG TERM	GS-HARRIS	PENN NATIONAL GAMING INC CMN	500	8/11/2010	1/15/2015	7,614	3,083	4,531
LONG TERM	GS-HARRIS	PENN NATIONAL GAMING INC CMN	350	8/12/2010	1/15/2015	5,330	2,152	3,177
LONG TERM	GS-HARRIS	PENN NATIONAL GAMING INC CMN	550	8/12/2010	1/16/2015	8,311	3,382	4,929
LONG TERM	GS-LC PCP	QUALCOMM INC	18	1/22/2008	1/29/2015	1,129	668	460
LONG TERM	GS-LC PCP	QUALCOMM INC	519	1/22/2008	4/13/2015	36,220	19,274	16,947
LONG TERM	GS-LC PCP	QUALCOMM INC	987	1/22/2008	6/16/2015	65,306	36,653	28,653
LONG TERM	GS-NEUBERGER	RYDER SYSTEM INC	3	12/18/2008	9/3/2015	244	107	136
LONG TERM	GS-LC PCP	SEALUMBER LTD	952	2/11/2009	1/14/2015	72,969	40,094	32,875
LONG TERM	GS-NEUBERGER	SEALED AIR CORPORATION CMN	17	3/18/2010	2/10/2015	768	370	398
LONG TERM	GS-NEUBERGER	SEALED AIR CORPORATION CMN	66	3/25/2010	2/10/2015	2,982	1,431	1,550

ST/LT	Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
LONG TERM	GS-NEUBERGER	SEALED AIR CORPORATION CMN	31	4/14/2010	2/10/2015	1,400	678	722
LONG TERM	GS-NEUBERGER	SEALED AIR CORPORATION CMN	45	4/14/2010	6/9/2015	2,199	985	1,214
LONG TERM	GS-HARRIS	STARWOOD HOTELS & RESORT	200	3/6/2009	3/26/2015	16,669	1,898	14,771
LONG TERM	GS-NEUBERGER	TCF FINANCIAL CORP MINN	12	5/27/2010	9/3/2015	183	195	(12)
LONG TERM	GS-NEUBERGER	TELEDYNE TECHNOLOGIES INC	5	12/27/2005	5/18/2015	524	152	372
LONG TERM	GS-NEUBERGER	TELEDYNE TECHNOLOGIES INC	30	1/25/2007	5/18/2015	3,141	1,152	1,989
LONG TERM	GS-NEUBERGER	TELEDYNE TECHNOLOGIES INC	4	1/26/2007	5/18/2015	419	152	267
LONG TERM	GS-NEUBERGER	ULTRATECH INC CMN	14	5/15/2007	5/1/2015	287	177	110
LONG TERM	GS-NEUBERGER	UMPQUA HLDGS CORP CMN	11	5/14/2010	9/3/2015	180	151	30
LONG TERM	GS-NEUBERGER	VERINT SYSTEMS, INC CMN	16	2/1/2007	4/22/2015	1,028	514	514
LONG TERM	GS-NEUBERGER	VERINT SYSTEMS, INC CMN	27	2/2/2007	4/22/2015	1,735	886	849
LONG TERM	GS-NEUBERGER	VERINT SYSTEMS, INC CMN	13	2/2/2007	5/18/2015	855	427	428
LONG TERM	GS-NEUBERGER	VERINT SYSTEMS, INC CMN	10	2/7/2007	5/18/2015	657	322	336
LONG TERM	GS-NEUBERGER	VERINT SYSTEMS, INC CMN	18	4/17/2007	5/18/2015	1,183	557	626
LONG TERM	CHARLES SCHWAB	WHG DIV GROWTH INSTL	0 846	12/19/2008	8/17/2015	9 94	6	4
LONG TERM	CHARLES SCHWAB	WHG DIV GROWTH INSTL	0 109	12/19/2008	8/17/2015	1 28	1	1
LONG TERM	CHARLES SCHWAB	WHG DIV GROWTH INSTL	0 533	12/21/2009	8/17/2015	6 26	4	2
LONG TERM	CHARLES SCHWAB	WHG DIV GROWTH INSTL	0 184	12/22/2010	8/17/2015	2 16	1	1
LONG TERM						555,931	294,505	261,425
LT COVERED	GS-LC PCP	ABBOTT LABS	133	2/28/2013	1/29/2015	5,946	4,561	1,385
LT COVERED	GS-HARRIS	AMAZON COM INC CMN	200	5/14/2014	7/13/2015	90,781	60,126	30,655
LT COVERED	GS-HARRIS	AMAZON COM INC CMN	75	5/14/2014	7/20/2015	36,539	22,547	13,991
LT COVERED	GS-HARRIS	AMAZON COM INC CMN	175	5/14/2014	7/24/2015	93,006	52,611	40,395
LT COVERED	GS-HARRIS	AMAZON COM INC CMN	50	9/23/2014	12/9/2015	33,385	16,328	17,057
LT COVERED	GS-HARRIS	AMAZON COM INC CMN	100	12/8/2014	12/9/2015	66,770	31,341	35,430
LT COVERED	GS-LC PCP	AMAZON COM INC CMN	12	4/30/2013	1/29/2015	3,655	3,035	620
LT COVERED	GS-HARRIS	APPLE COMPUTER INC	275	1/7/2014	1/28/2015	32,355	21,225	11,130
LT COVERED	GS-HARRIS	APPLE COMPUTER INC	225	1/7/2014	1/29/2015	26,392	17,366	9,026
LT COVERED	GS-HARRIS	APPLE COMPUTER INC	375	1/7/2014	2/5/2015	44,885	28,944	15,942
LT COVERED	GS-HARRIS	APPLE COMPUTER INC	350	1/7/2014	2/11/2015	43,395	27,014	16,381
LT COVERED	GS-LC PCP	BANK OF AMERICA CORP	219	7/31/2013	1/29/2015	3,354	3,231	123
LT COVERED	GS-NEUBERGER	BANKUNITED INC CMN	5	12/5/2011	9/16/2015	184	114	69
LT COVERED	GS-HARRIS	CARMAX, INC CMN	200	5/8/2012	2/10/2015	13,124	5,940	7,185
LT COVERED	GS-HARRIS	CARMAX, INC CMN	400	6/21/2012	2/10/2015	26,248	10,382	15,866
LT COVERED	GS-HARRIS	CARMAX, INC CMN	500	6/21/2012	2/11/2015	32,647	12,978	19,670
LT COVERED	GS-NEUBERGER	CEVA INC CMN	10	5/4/2012	5/1/2015	205	172	33
LT COVERED	GS-NEUBERGER	CITY NATIONAL CORP CMN	59	11/29/2011	2/4/2015	5,180	2,382	2,798
LT COVERED	GS-NEUBERGER	CITY NATIONAL CORP CMN	6	10/15/2012	2/4/2015	527	299	228
LT COVERED	GS-NEUBERGER	CITY NATIONAL CORP CMN	22	10/15/2012	2/6/2015	1,967	1,096	872
LT COVERED	GS-NEUBERGER	CITY NATIONAL CORP CMN	35	12/3/2012	2/6/2015	3,130	1,701	1,429
LT COVERED	GS-LC PCP	COLGATE PALMOLIVE	33	1/9/2014	1/29/2015	2,264	2,139	126
LT COVERED	GS-NEUBERGER	CYPRESS SEMICONDUCTOR CORPORAT CMN	0 611	1/16/2013	4/16/2015	8	3	5
LT COVERED	GS-NEUBERGER	CYTEC INDS INC	45	9/28/2011	7/29/2015	3,324	821	2,503
LT COVERED	GS-NEUBERGER	CYTEC INDS INC	1	9/28/2011	8/12/2015	74	18	56
LT COVERED	GS-NEUBERGER	CYTEC INDS INC	10	10/18/2013	8/12/2015	740	410	330
LT COVERED	GS-NEUBERGER	CYTEC INDS INC	42	11/22/2013	8/12/2015	3,106	1,827	1,279
LT COVERED	GS-NEUBERGER	CYTEC INDS INC	48	11/25/2013	8/12/2015	3,550	2,087	1,463
LT COVERED	GS-NEUBERGER	DANA CORPORATION CMN	193	1/28/2013	10/21/2015	3,263	3,202	61
LT COVERED	GS-NEUBERGER	DANA CORPORATION CMN	126	2/21/2013	10/21/2015	2,130	2,093	37
LT COVERED	GS-NEUBERGER	DANA CORPORATION CMN	82	10/29/2013	10/21/2015	1,387	1,608	(222)
LT COVERED	GS-NEUBERGER	DANA CORPORATION CMN	72	4/25/2014	10/21/2015	1,217	1,540	(323)
LT COVERED	GS-LC PCP	DEVON ENERGY CORP	3	3/18/2011	1/29/2015	174	174	-
LT COVERED	GS-NEUBERGER	DIGITAL RIVER INC CMN	167	5/3/2013	1/6/2015	4,087	2,413	1,675
LT COVERED	GS-NEUBERGER	DIGITAL RIVER INC CMN	9	5/3/2013	1/7/2015	220	130	90
LT COVERED	GS-NEUBERGER	DOLBY LABORATORIES, INC CMN CLASS A	81	11/25/2011	7/14/2015	3,027	2,428	599
LT COVERED	GS-NEUBERGER	DOLBY LABORATORIES, INC CMN CLASS A	39	12/7/2011	7/14/2015	1,457	1,251	207
LT COVERED	GS-NEUBERGER	DOLBY LABORATORIES, INC CMN CLASS A	6	3/27/2012	7/14/2015	224	233	(9)
LT COVERED	GS-LC PCP	EBAY INC	70	1/9/2014	1/29/2015	3,742	3,667	75
LT COVERED	GS-LC PCP	EBAY INC	918	8/20/2013	4/13/2015	52,489	48,088	4,401
LT COVERED	GS-LC PCP	EBAY INC	344	1/9/2014	4/13/2015	19,669	18,020	1,649
LT COVERED	GS-LC PCP	EBAY INC	767	8/20/2013	7/23/2015	21,769	15,778	5,991
LT COVERED	GS-LC PCP	EBAY INC	449	6/25/2014	7/23/2015	12,744	8,642	4,102
LT COVERED	GS-LC PCP	EMC CORPORATION MASS	132	2/15/2011	1/29/2015	3,458	3,561	(102)
LT COVERED	GS-NEUBERGER	EXPRESS, INC CMN	11	10/15/2012	9/3/2015	221	129	93
LT COVERED	GS-LC PCP	EXXON MOBIL CORP	47	10/15/2013	1/29/2015	4,070	4,103	(32)
LT COVERED	GS-HARRIS	FAMILY DOLLAR STORES INC	325	3/8/2013	1/8/2015	25,499	19,025	6,474
LT COVERED	GS-HARRIS	FAMILY DOLLAR STORES INC	500	3/11/2013	1/8/2015	39,230	29,201	10,029
LT COVERED	GS-HARRIS	FAMILY DOLLAR STORES INC	500	3/12/2013	1/8/2015	39,230	29,343	9,886
LT COVERED	GS-HARRIS	FAMILY DOLLAR STORES INC	500	3/13/2013	1/8/2015	39,230	29,327	9,903
LT COVERED	GS-NEUBERGER	FIRST NIAGARA FINANCIAL GROUP, CMN	136	8/17/2011	10/30/2015	1,415	1,480	(65)
LT COVERED	GS-NEUBERGER	FIRST NIAGARA FINANCIAL GROUP, CMN	169	10/20/2011	10/30/2015	1,758	1,515	244
LT COVERED	GS-NEUBERGER	FIRST NIAGARA FINANCIAL GROUP, CMN	43	12/7/2011	10/30/2015	447	382	65
LT COVERED	GS-HARRIS	FRANKLIN RESOURCES INC CMN	875	11/10/2011	8/19/2015	37,991	30,242	7,749
LT COVERED	GS-HARRIS	FRANKLIN RESOURCES INC CMN	625	11/10/2011	8/20/2015	26,761	21,601	5,160
LT COVERED	GS-HARRIS	FRANKLIN RESOURCES INC CMN	300	8/7/2013	8/20/2015	12,845	14,779	(1,934)
LT COVERED	GS-NEUBERGER	FREESCALE SEMICONDUCTOR CMN	276	12/23/2011	3/2/2015	10,935	3,587	7,348
LT COVERED	GS-NEUBERGER	FREESCALE SEMICONDUCTOR CMN	23	12/23/2011	3/6/2015	920	299	621
LT COVERED	GS-NEUBERGER	FREESCALE SEMICONDUCTOR CMN	74	3/19/2012	3/6/2015	2,961	1,176	1,785
LT COVERED	GS-NEUBERGER	FREESCALE SEMICONDUCTOR CMN	77	4/24/2012	3/6/2015	3,081	938	2,143

'ST/LT	Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
LT COVERED	GS-NEUBERGER	FREESCALE SEMICONDUCTOR CMN	36	5/22/2012	3/6/2015	1,440	356	1,084
LT COVERED	GS-NEUBERGER	FTI CONSULTING, INC CMN	9	2/24/2011	5/4/2015	361	307	55
LT COVERED	GS-NEUBERGER	FTI CONSULTING, INC CMN	45	2/21/2014	5/4/2015	1,806	1,351	455
LT COVERED	GS-NEUBERGER	FTI CONSULTING, INC CMN	5	2/21/2014	5/5/2015	200	150	50
LT COVERED	GS-LC PCP	GENERAL ELECTRIC	280	3/6/2013	1/29/2015	6,679	6,667	12
LT COVERED	GS-NEUBERGER	GIGAMON INC CMN	133	4/17/2014	7/23/2015	4,259	2,042	2,217
LT COVERED	GS-NEUBERGER	GIGAMON INC CMN	9	4/17/2014	9/16/2015	209	138	71
LT COVERED	GS-HARRIS	GLENCORE XSTRATA PLC ADR CMN	9,700 00	7/30/2014	12/18/2015	22,927	121,208	(98,281)
LT COVERED	GS-HARRIS	GLENCORE XSTRATA PLC ADR CMN	3,700 00	8/7/2014	12/18/2015	8,746	44,624	(35,879)
LT COVERED	GS-HARRIS	GLENCORE XSTRATA PLC ADR CMN	2,700 00	9/12/2014	12/18/2015	6,382	31,386	(25,004)
LT COVERED	GS-HARRIS	GLENCORE XSTRATA PLC ADR CMN	2,600 00	9/25/2014	12/18/2015	6,146	28,923	(22,777)
LT COVERED	GS-HARRIS	GLENCORE XSTRATA PLC ADR CMN	1,100 00	12/9/2014	12/18/2015	2,600	10,727	(8,127)
LT COVERED	GS-HARRIS	GLENCORE XSTRATA PLC ADR CMN	2,500 00	12/10/2014	12/18/2015	5,909	24,136	(18,227)
LT COVERED	GS-LC PCP	GOOGLE INC CMN CLASS C	5	11/9/2012	1/29/2015	2,519	1,647	872
LT COVERED	GS-MUTUAL FUNDS	GS STRATEGIC INCOME FUND INSTITUTIONAL	13,353 12	4/18/2013	5/12/2015	135,000	140,598	(5,598)
LT COVERED	GS-MUTUAL FUNDS	GS STRATEGIC INCOME FUND INSTITUTIONAL	19,345 24	4/18/2013	6/18/2015	195,000	203,827	(8,827)
LT COVERED	GS-MUTUAL FUNDS	GS STRATEGIC INCOME FUND INSTITUTIONAL	15,920 40	4/18/2013	7/13/2015	160,000	167,758	(7,758)
LT COVERED	WW TRUST	INCOME OPPORTUNITY - PT	1,907 20	6/15/2012	8/5/2015	300,000	276,411	23,589
LT COVERED	WW TRUST	INCOME OPPORTUNITY - PT	1,910 27	6/15/2012	8/6/2015	300,000	277,269	22,731
LT COVERED	WW TRUST	INCOME OPPORTUNITY - PT	639 881	6/15/2012	10/21/2015	100,000	92,722	7,278
LT COVERED	WW TRUST	INCOME OPPORTUNITY - PT	1,127 84	6/15/2012	10/26/2015	177,741	163,428	14,312
LT COVERED	WW TRUST	INCOME OPPORTUNITY - PT	1,259 74	6/15/2012	11/4/2015	199,166	182,876	16,291
LT COVERED	GS-NEUBERGER	INFINERA CORPORATION CMN	65	1/28/2011	3/27/2015	1,256	476	780
LT COVERED	GS-NEUBERGER	Lumentum Holdings Inc Cmn	0 4	6/27/2014	8/4/2015	8	-	8
LT COVERED	GS-NEUBERGER	MERCURY COMPUTER SYSTEMS INC CMN	48	10/1/2012	12/31/2015	888	480	408
LT COVERED	GS-NEUBERGER	MERCURY COMPUTER SYSTEMS INC CMN	33	10/5/2012	12/31/2015	610	257	353
LT COVERED	GS-LC PCP	MICROSOFT CORP	198	1/15/2014	1/29/2015	8,156	7,210	946
LT COVERED	GS-LC PCP	MICROSOFT CORP	577	10/15/2013	4/13/2015	24,074	20,161	3,914
LT COVERED	GS-LC PCP	MICROSOFT CORP	336	1/15/2014	4/13/2015	14,019	12,235	1,784
LT COVERED	GS-LC PCP	MICROSOFT CORP	248	7/31/2013	5/5/2015	11,891	7,940	3,951
LT COVERED	GS-LC PCP	MICROSOFT CORP	253	10/15/2013	5/5/2015	12,130	8,840	3,291
LT COVERED	GS-HARRIS	NATIONAL OILWELL INC	400	11/10/2011	7/14/2015	18,259	24,322	(6,063)
LT COVERED	GS-HARRIS	NATIONAL OILWELL INC	600	12/7/2012	7/14/2015	27,389	36,757	(9,368)
LT COVERED	GS-HARRIS	NATIONAL OILWELL INC	300	2/6/2013	7/14/2015	13,694	18,067	(4,373)
LT COVERED	GS-HARRIS	NATIONAL OILWELL INC	300	3/20/2013	7/14/2015	13,694	18,449	(4,755)
LT COVERED	GS-LC PCP	NIKE CLASS-B CMN CLASS B	20	10/9/2012	1/29/2015	1,876	952	924
LT COVERED	GS-NEUBERGER	NUANCE COMMUNICATIONS, INC CMN	138	3/21/2014	12/29/2015	2,780	2,316	464
LT COVERED	GS-NEUBERGER	OFFICE DEPOT INC CMN	115	2/2/2012	2/6/2015	1,090	238	852
LT COVERED	GS-NEUBERGER	OFFICE DEPOT INC CMN	576	3/28/2012	2/6/2015	5,461	1,270	4,191
LT COVERED	GS-NEUBERGER	OFFICE DEPOT INC CMN	74	4/4/2012	2/6/2015	702	149	552
LT COVERED	GS-NEUBERGER	ORMAT TECHNOLOGIES, INC CMN	55	6/15/2011	10/22/2015	2,056	1,265	790
LT COVERED	GS-NEUBERGER	OWENS CORNING CMN	4	1/8/2014	9/16/2015	186	166	20
LT COVERED	GS-HARRIS	PENN NATIONAL GAMING INC CMN	200	8/9/2011	1/16/2015	3,022	1,558	1,464
LT COVERED	GS-HARRIS	PENN NATIONAL GAMING INC CMN	200	11/10/2011	1/16/2015	3,022	1,542	1,480
LT COVERED	GS-HARRIS	PENN NATIONAL GAMING INC CMN	1,000 00	11/10/2011	1/20/2015	15,128	7,711	7,417
LT COVERED	GS-HARRIS	POLO RALPH LAUREN CORP	325	2/10/2014	2/19/2015	44,525	50,348	(5,823)
LT COVERED	GS-HARRIS	POLO RALPH LAUREN CORP	25	2/11/2014	2/19/2015	3,425	3,890	(465)
LT COVERED	GS-HARRIS	POLO RALPH LAUREN CORP	25	2/11/2014	2/20/2015	3,448	3,890	(442)
LT COVERED	GS-HARRIS	POLO RALPH LAUREN CORP	250	2/12/2014	2/20/2015	34,477	38,798	(4,321)
LT COVERED	GS-HARRIS	POLO RALPH LAUREN CORP	325	2/12/2014	4/6/2015	44,647	50,437	(5,790)
LT COVERED	GS-LC PCP	PRUDENTIAL FINANCIAL INC CMN	32	6/28/2011	1/29/2015	2,458	1,980	478
LT COVERED	GS-NEUBERGER	RAMBUS INC CMN	19	9/27/2012	5/1/2015	265	100	165
LT COVERED	GS-NEUBERGER	REGAL BELOIT CORP CMN	3	8/6/2013	9/3/2015	196	200	(4)
LT COVERED	GS-NEUBERGER	REGAL BELOIT CORP CMN	84	8/6/2013	10/1/2015	4,651	5,594	(943)
LT COVERED	GS-NEUBERGER	REMY INTERNATIONAL, INC CMN	91	5/9/2014	7/13/2015	2,648	2,199	449
LT COVERED	GS-LC PCP	SCHLUMBERGER LTD	148	10/9/2012	1/14/2015	11,344	10,640	703
LT COVERED	GS-LC PCP	SCHLUMBERGER LTD	199	12/3/2012	1/14/2015	15,253	14,331	922
LT COVERED	CHARLES SCHWAB	KELLOGG COMPANY	4,000 00	3/21/2014	7/21/2015	256,431	249,284	7,146
LT COVERED	CHARLES SCHWAB	KELLOGG COMPANY	1,686 00	3/21/2014	7/21/2015	108,086	105,073	3,012
LT COVERED	CHARLES SCHWAB	KELLOGG COMPANY	1,100 00	3/21/2014	7/21/2015	70,518	68,553	1,965
LT COVERED	CHARLES SCHWAB	KELLOGG COMPANY	1,200 00	3/21/2014	7/21/2015	76,929	74,785	2,144
LT COVERED	CHARLES SCHWAB	KELLOGG COMPANY	14	3/21/2014	7/21/2015	898	873	25
LT COVERED	CHARLES SCHWAB	FIRSTENERGY CORP CMN	100	6/3/2014	8/13/2015	3,446	3,466	(21)
LT COVERED	CHARLES SCHWAB	FIRSTENERGY CORP CMN	855	6/3/2014	8/13/2015	29,460	29,637	(178)
LT COVERED	CHARLES SCHWAB	FIRSTENERGY CORP CMN	900	6/3/2014	8/13/2015	31,010	31,197	(187)
LT COVERED	CHARLES SCHWAB	FIRSTENERGY CORP CMN	100	6/3/2014	8/13/2015	3,446	3,466	(21)
LT COVERED	CHARLES SCHWAB	FIRSTENERGY CORP CMN	300	6/3/2014	8/13/2015	10,337	10,399	(62)
LT COVERED	CHARLES SCHWAB	FIRSTENERGY CORP CMN	200	6/3/2014	8/13/2015	6,891	6,933	(42)
LT COVERED	CHARLES SCHWAB	WHOLE FOODS MARKET INC CMN	1,316 00	11/12/2013	8/13/2015	44,411	77,301	(32,890)
LT COVERED	CHARLES SCHWAB	WHOLE FOODS MARKET INC CMN	436	1/28/2014	8/13/2015	14,714	22,578	(7,865)
LT COVERED	CHARLES SCHWAB	WHOLE FOODS MARKET INC CMN	546	5/7/2014	8/13/2015	18,426	21,130	(2,704)
LT COVERED	CHARLES SCHWAB	WHOLE FOODS MARKET INC CMN	532	6/25/2014	8/13/2015	17,954	20,876	(2,923)
LT COVERED	CHARLES SCHWAB	WHG DIV GROWTH INSTL	4,846 08	12/14/2012	8/17/2015	56,916	54,000	2,917
LT COVERED	CHARLES SCHWAB	VIACOM INC CL B COMMON	1,416 00	9/20/2012	10/1/2015	61,022	76,825	(15,803)
LT COVERED	CHARLES SCHWAB	EMC CORPORATION MASS	1,523 00	2/15/2011	10/8/2015	41,575	41,084	491
LT COVERED	CHARLES SCHWAB	EMC CORPORATION MASS	764	8/30/2011	10/8/2015	20,856	16,813	4,042
LT COVERED	CHARLES SCHWAB	EMC CORPORATION MASS	610	10/12/2011	10/8/2015	16,652	14,131	2,521
LT COVERED	CHARLES SCHWAB	EMC CORPORATION MASS	920	1/10/2012	10/8/2015	25,114	20,358	4,756
LT COVERED	CHARLES SCHWAB	EMC CORPORATION MASS	497	2/5/2013	10/8/2015	13,567	12,390	1,177
LT COVERED	CHARLES SCHWAB	EMC CORPORATION MASS	870	1/9/2014	10/8/2015	23,749	22,169	1,580

ST/LT	Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
LT COVERED	CHARLES SCHWAB	TARGET CORP	6,700.00	7/14/2014	11/17/2015	494,442	402,093	92,349
LT COVERED	CHARLES SCHWAB	GAP INC	2,089.00	3/14/2014	11/17/2015	53,159	88,281	(35,121)
LT COVERED	CHARLES SCHWAB	GAP INC	625	4/1/2014	11/17/2015	15,904	25,359	(9,455)
LT COVERED	CHARLES SCHWAB	GAP INC	367	9/17/2014	11/17/2015	9,339	16,198	(6,859)
LT COVERED	GS-NEUBERGER	SEALED AIR CORPORATION CMN	56	10/26/2011	6/9/2015	2,737	970	1,767
LT COVERED	GS-NEUBERGER	SEALED AIR CORPORATION CMN	31	10/26/2011	6/11/2015	1,559	537	1,022
LT COVERED	GS-NEUBERGER	SEALED AIR CORPORATION CMN	72	2/13/2012	6/11/2015	3,621	1,419	2,202
LT COVERED	WW TRUST	SmidCap Plus - PT	150,517	3/28/2013	10/26/2015	30,000	31,034	(1,034)
LT COVERED	GS-NEUBERGER	SPANSION INC CMN CLASS A	13	9/12/2011	2/12/2015	467	177	291
LT COVERED	GS-NEUBERGER	SPANSION INC CMN CLASS A	30	9/14/2011	2/12/2015	1,079	420	659
LT COVERED	GS-NEUBERGER	SPANSION INC CMN CLASS A	19	10/6/2011	2/12/2015	683	242	441
LT COVERED	GS-NEUBERGER	SPANSION INC CMN CLASS A	20	10/28/2011	2/12/2015	719	210	510
LT COVERED	GS-NEUBERGER	SPIRIT AEROSYSTEMS HOLDINGS INC CMN	124	8/17/2011	4/22/2015	6,414	1,958	4,456
LT COVERED	GS-NEUBERGER	SPIRIT AEROSYSTEMS HOLDINGS INC CMN	5	8/17/2011	5/1/2015	257	79	178
LT COVERED	GS-NEUBERGER	SPIRIT AEROSYSTEMS HOLDINGS INC CMN	21	8/17/2011	5/18/2015	1,123	332	791
LT COVERED	GS-NEUBERGER	SPIRIT AEROSYSTEMS HOLDINGS INC CMN	29	9/12/2011	5/18/2015	1,550	436	1,115
LT COVERED	GS-HARRIS	STARWOOD HOTELS & RESORT	700	11/10/2011	3/26/2015	58,342	34,096	24,246
LT COVERED	GS-HARRIS	STARWOOD HOTELS & RESORT	75	12/13/2011	3/26/2015	6,251	3,579	2,672
LT COVERED	GS-HARRIS	STARWOOD HOTELS & RESORT	525	12/13/2011	4/20/2015	43,170	25,054	18,116
LT COVERED	GS-HARRIS	STARWOOD HOTELS & RESORT	500	7/12/2012	4/20/2015	41,114	24,315	16,799
LT COVERED	GS-LC PCP	UNITEDHEALTH GROUP INC	48	11/6/2013	1/29/2015	5,159	3,359	1,800
LT COVERED	GS-LC PCP	UNITEDHEALTH GROUP INC	1,143.00	11/6/2013	7/7/2015	136,195	79,992	56,204
LT COVERED	GS-LC PCP	VIACOM INC CL B COMMON	62	9/20/2012	1/29/2015	4,138	3,364	775
LT COVERED	GS-HARRIS	VISA INC CMN CLASS A	100	2/17/2011	1/20/2015	25,515	7,582	17,932
LT COVERED	GS-HARRIS	VISA INC CMN CLASS A	200	2/17/2011	7/23/2015	14,388	3,791	10,597
LT COVERED	GS-HARRIS	VISA INC CMN CLASS A	400	2/25/2011	7/23/2015	28,776	7,431	21,345
LT COVERED	GS-HARRIS	VISA INC CMN CLASS A	225	2/28/2011	7/23/2015	16,186	4,209	11,977
LT COVERED	GS-HARRIS	VISA INC CMN CLASS A	175	2/28/2011	7/28/2015	13,068	3,274	9,794
LT COVERED	GS-HARRIS	VISA INC CMN CLASS A	475	11/10/2011	7/28/2015	35,469	11,058	24,412
LT COVERED	GS-HARRIS	VISA INC CMN CLASS A	65	11/10/2011	11/12/2015	5,088	1,513	3,575
LT COVERED	GS-HARRIS	VISA INC CMN CLASS A	1,060.00	11/10/2011	11/13/2015	82,914	24,676	58,238
LT COVERED	GS-LC PCP	WAL MART STORES INC	43	7/17/2013	1/29/2015	3,731	3,339	392
LT COVERED	GS-NEUBERGER	WENDY'S CO/THE CMN	226	1/21/2011	2/10/2015	2,470	1,085	1,385
LT COVERED	GS-NEUBERGER	WENDY'S CO/THE CMN	310	1/24/2011	2/10/2015	3,388	1,493	1,895
LT COVERED	GS-LC PCP	WHOLE FOODS MARKET INC CMN	118	11/12/2013	1/29/2015	6,201	6,931	(730)
LT COVERED						5,047,236	4,567,178	480,058
SHORT TERM	GS-HARRIS	AMAZON COM INC CMN	25	9/23/2014	7/24/2015	13,287	8,164	5,122
SHORT TERM	GS-LC PCP	American International Group Inc	32	5/7/2014	1/29/2015	1,566	1,658	(91)
SHORT TERM	GS-HARRIS	CARMAX, INC CMN	25	4/28/2014	2/11/2015	1,632	1,077	555
SHORT TERM	GS-HARRIS	CARMAX, INC CMN	825	4/28/2014	3/27/2015	54,923	35,540	19,384
SHORT TERM	GS-LC PCP	FIRSTENERGY CORP CMN	141	6/3/2014	1/29/2015	5,806	4,888	919
SHORT TERM	GS-NEUBERGER	FREESCALE SEMICONDUCTOR CMN	34	4/25/2014	3/6/2015	1,360	784	577
SHORT TERM	GS-LC PCP	GAP INC	51	9/17/2014	1/29/2015	2,145	2,251	(106)
SHORT TERM	CHARLES SCHWAB	GAP INC	616	7/23/2015	11/17/2015	15,675	22,658	(6,983)
SHORT TERM	GS-HARRIS	GLENCORE XSTRATA PLC ADR CMN	6,400.00	1/14/2015	12/18/2015	15,127	47,371	(32,243)
SHORT TERM	GS-LC PCP	GOOGLE, INC CMN CLASS A	4	1/14/2015	1/29/2015	2,028	2,015	13
SHORT TERM	GS-HARRIS	HALLIBURTON COMPANY CMN	675	1/7/2015	4/22/2015	31,845	26,394	5,451
SHORT TERM	GS-HARRIS	HALLIBURTON COMPANY CMN	1,375.00	1/7/2015	9/17/2015	53,620	53,766	(146)
SHORT TERM	GS-HARRIS	HALLIBURTON COMPANY CMN	75	1/8/2015	9/17/2015	2,925	2,995	(70)
SHORT TERM	GS-HARRIS	HALLIBURTON COMPANY CMN	175	1/9/2015	9/17/2015	6,824	6,954	(130)
SHORT TERM	GS-HARRIS	HALLIBURTON COMPANY CMN	1,375.00	1/12/2015	9/17/2015	53,620	52,955	665
SHORT TERM	GS-HARRIS	HALLIBURTON COMPANY CMN	700	1/13/2015	9/17/2015	27,297	27,044	254
SHORT TERM	GS-LC PCP	INTEL CORP	127	3/25/2014	1/29/2015	4,295	3,242	1,053
SHORT TERM	GS-LC PCP	INTERCONTINENTAL EXCHANGE INC CMN	7	10/6/2014	1/29/2015	1,420	1,401	20
SHORT TERM	GS-LC PCP	J P MORGAN CHASE & CO	87	5/7/2014	1/29/2015	4,806	4,681	125
SHORT TERM	GS-LC PCP	MYLAN LABORATORS INC	32	10/6/2014	1/29/2015	1,746	1,648	98
SHORT TERM	GS-LC PCP	MYLAN LABORATORS INC	1,304.00	10/6/2014	3/2/2015	75,244	67,148	8,096
SHORT TERM	GS-LC PCP	MYLAN LABORATORS INC	417	2/27/2015	3/2/2015	24,062	24,261	(199)
SHORT TERM	GS-LC PCP	MYLAN LABORATORS INC	398	3/2/2015	5/12/2015	28,031	22,966	5,065
SHORT TERM	CHARLES SCHWAB	MYLAN LABORATORS INC	906	3/2/2015	8/13/2015	49,343	52,278	(2,936)
SHORT TERM	CHARLES SCHWAB	MYLAN LABORATORS INC	417	3/2/2015	8/13/2015	22,711	24,062	(1,351)
SHORT TERM	GS-HARRIS	NOW INC CMN	575	2/20/2015	12/22/2015	9,453	13,571	(4,118)
SHORT TERM	GS-HARRIS	NOW INC CMN	225	2/20/2015	12/23/2015	3,760	5,310	(1,550)
SHORT TERM	GS-HARRIS	NOW INC CMN	700	2/23/2015	12/23/2015	11,698	15,555	(3,856)
SHORT TERM	GS-HARRIS	NOW INC CMN	1,100.00	2/24/2015	12/23/2015	18,383	24,681	(6,298)
SHORT TERM	GS-HARRIS	NOW INC CMN	600	2/25/2015	12/23/2015	10,027	13,528	(3,501)
SHORT TERM	GS-HARRIS	NOW INC CMN	300	7/20/2015	12/23/2015	5,013	5,637	(624)
SHORT TERM	GS-HARRIS	NOW INC CMN	50	7/21/2015	12/23/2015	836	943	(107)
SHORT TERM	GS-HARRIS	NOW INC CMN	450	7/21/2015	12/24/2015	7,623	8,484	(861)
SHORT TERM	GS-HARRIS	NOW INC CMN	350	7/22/2015	12/24/2015	5,929	6,574	(645)
SHORT TERM	GS-HARRIS	NOW INC CMN	50	7/22/2015	12/28/2015	824	939	(115)
SHORT TERM	GS-HARRIS	NOW INC CMN	200	7/22/2015	12/29/2015	3,185	3,756	(571)
SHORT TERM	GS-HARRIS	NOW INC CMN	800	8/14/2015	12/29/2015	12,740	13,743	(1,003)
SHORT TERM	GS-HARRIS	NOW INC CMN	100	8/17/2015	12/29/2015	1,593	1,719	(126)
SHORT TERM	GS-HARRIS	NOW INC CMN	400	8/18/2015	12/29/2015	6,370	6,863	(493)
SHORT TERM	GS-LC PCP	PFIZER INC	146	7/15/2014	1/29/2015	4,603	4,446	157
SHORT TERM	GS-HARRIS	POLO RALPH LAUREN CORP	150	5/1/2014	4/6/2015	20,607	22,994	(2,388)
SHORT TERM	GS-HARRIS	POLO RALPH LAUREN CORP	175	5/5/2014	4/6/2015	24,041	27,092	(3,051)
SHORT TERM	GS-NEUBERGER	REMY INTERNATIONAL, INC CMN	11	2/10/2015	7/13/2015	320	250	70

