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# Form 990-PF Department of the Treasury Internal Revenue Service

## **Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. ▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf. OMB No 1545-0052

		ndar year 2015 or tax year beginning		, and ending	1 5 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
Na	me o	foundation			A Employer identification	number
v	ON	RICKENBACH FAMILY FOU	NDATION		47-3246621	
		and street (or P O box number if mail is not delivered to street	et address)	Room/suite	B Telephone number	
		WINTER STREET		350	(78 <u>1</u> ) 444-	8400
		own, state or province, country, and ZIP or foreign	postal code		C If exemption application is p	ending, check here
_		THAM, MA 02451			<u> </u>	
i (	Check	all that apply:	Initial return of a fo	rmer public charity	D 1. Foreign organizations	s, check here
		Final return	Amended return		Foreign organizations me check here and attach co	seting the 85% test.
_	Shool	Address change	Name change	<del></del> -	check here and attach co	omputation
-  -	_	c type of organization: X Section 501(c)(3) ection 4947(a)(1) nonexempt charitable trust	exempt private foundation  Other taxable private founda	4	E If private foundation sta	
<u> </u>			ting method: X Cash	Accrual	under section 507(b)(1)	•
•		·   r—	Other (specify)	Acciual	F If the foundation is in a	
	-\$	937, 234. (Part I, col		pasis.)	under section 507(b)(1)	J(D), Check here
	art I	Analysis of Revenue and Expenses	(a) Revenue and	(b) Net investment	(c) Adjusted net	(d) Disbursements
7		The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	expenses per books	income	income	for charitable purposes (cash basis only)
	1	Contributions, gifts, grants, etc., received	1,010,702.	1: 21 - 14	N/A	
	2	Check If the foundation is not required to attach Sch. B			1 1	1.5
	3	Interest on savings and temporary cash investments	32.	32.		STATEMENT 1
	4	Dividends and interest from securities	23,384.	23,384.		STATEMENT 2
	5a	Gross rents		<del> </del>		
	b	Net rental income or (loss)	1	<u> </u>		
ē	6a	Net gain or (loss) from sale of assets not on line 10	888,754.			
Revenue	b	assets on line of			I RECE	VFD
ě	7	Capital gain net income (from Part IV, line 2)	7-1	888,754.		10
_	8	Net short-term capital gain			NOV 18	2016
	9	Income modifications Gross sales less returns		• • • • • • • • • • • • • • • • • • • •	W NOV I C	2016 9
	10a		, , , , , , , , , , , , , , , , , , , ,		DARFI	I TANK
	1	Cross profit or (loss)	<del></del>	<u> </u>		
	11			·		
	12	Total. Add lines 1 through 11	1,922,872.	912,170		<del> </del>
_	13	Compensation of officers, directors, trustees, etc	0.	0.	<del></del>	0.
	14	Other employee salaries and wages				
) 	15	Pension plans, employee benefits				
3 C C	16a	Legal fees				
	b	Accounting fees				
Expenses	C	Other professional fees STMT 3	1,173.	1,173	,	0.
Š	17	Interest			<u> </u>	ļ
strative	18	Taxes STMT 4	490.	490	<u></u>	0.
į	19	Depreciation and depletion				
ating and Admir	20	Occupancy			<del></del>	<del></del>
, p	21 22	Travel, conferences, and meetings Printing and publications				<del></del>
a c	23	Other expenses			<del> </del>	<del> </del>
Operating and Administrative	24	Total operating and administrative			<del>                                     </del>	<del> </del>
era	-	expenses. Add lines 13 through 23	1,663.	1,663		0.
Ö	25	Contributions, gifts, grants paid	35,600.	2,003	<u> </u>	35,600
	1	Total expenses and disbursements.			1	25,000
	L	Add lines 24 and 25	37,263.	1,663	.[	35,600
	27	Subtract line 26 from line 12:				
	а	Excess of revenue over expenses and disbursements	1,885,609.			
	b	Net investment income (if negative, enter -0-)		910,507		
	<u> </u>	Adjusted net income (if negative enter -0-)			N/A	
5235 11-2	01 4-15	LHA For Paperwork Reduction Act Notice, se	e instructions.			Form <b>990-PF</b> (2015

For	m_99	0-PF (2015) VON RICKENBACH FAMILY FO			3246621 Page 2
P	art	Balance Sheets Attached schedules and amounts in the description	Beginning of year	End of	<del></del>
	ui t	Column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
l		Cash - non-interest-bearing			
		Savings and temporary cash investments		19,063.	19,063.
	3	Accounts receivable ►	,		
		Less: allowance for doubtful accounts ▶			
i	4	Pledges receivable ►			•
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
	l	disqualified persons			<del></del>
	7	Other notes and loans receivable	`		
		Less: allowance for doubtful accounts			<del></del>
ets	8	Inventories for sale or use			
Assets	9	Prepaid expenses and deferred charges	<del></del>		
•		Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 6	0.	619,389.	593,537.
	C	Investments - corporate bonds STMT 7	0.	300,000.	<u>283,592.</u>
	11	investments - land, buildings, and equipment basis	*	4 ,	
	1	Less accumulated depreciation			
	12	Investments - mortgage loans	<del></del>		
	13	Investments - other STMT 8	0.	50,057.	41,042.
	14	Land, buildings, and equipment basis	, , , , , , , , , , , , , , , , , , ,		. 1 -
		Less accumulated depreciation			
	•	Other assets (describe	<del></del>		
	16	Total assets (to be completed by all filers - see the	_		
		instructions. Also, see page 1, item I)	0.	988,509.	937,234.
	17	Accounts payable and accrued expenses			-
	18	Grants payable .			
es	19	Deferred revenue			-
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons			
ë	21	Mortgages and other notes payable			
_	22	Other liabilities (describe	<del></del>		-
_	23	Total liabilities (add lines 17 through 22)	0.	0.	
		Foundations that follow SFAS 117, check here			
c)		and complete lines 24 through 26 and lines 30 and 31.			,
nce	24	Unrestricted			
Net Assets or Fund Balances	25	Temporarily restricted			-
d B	26	Permanently restricted	<del></del>	<u> </u>	
٦	ĺ	Foundations that do not follow SFAS 117, check here			
ᇹ		and complete lines 27 through 31.	_		
ş	27	Capital stock, trust principal, or current funds	0.	0.	
386	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.00 500	
et A	29	Retained earnings, accumulated income, endowment, or other funds	0.	988,509.	}
ž	30	Total net assets or fund balances	0.	988,509.	
		Total liabilities and not respect found belongs	0.	988,509.	
$\equiv$		Total liabilities and net assets/fund balances		300,303.	<u> </u>
P	art	III Analysis of Changes in Net Assets or Fund B	alances		
1	Tota	net assets or fund balances at beginning of year - Part II, column (a), line	30		Γ
		st agree with end-of-year figure reported on prior year's return)		·   1	0.
2	•	r amount from Part I, line 27a		2	1,885,609.
3		r increases not included in line 2 (itemize)		3	0.
4		lines 1, 2, and 3		4	1,885,609.
5		eases not included in line 2 (itemize)	SEE ST	ATEMENT 5 5	897,100.
6	Tota	I net assets or fund balances at end of year (line 4 minus line 5) - Part II, c	olumn (b), line 30	6	988,509.

523511 11-24-15

B SEE ATTACHED GOLDMAN SACHS STMT #1530 P VARIOUS VARIOUS  4 SEE ATTACHED GOLDMAN SACHS STMT #1530 P VARIOUS VARIOUS  5 SEE ATTACHED GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS  6 SEE ATTACHED GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS  6 SEE ATTACHED GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS  6 SEE ATTACHED GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS  8 1,005,119. 119. 113,570. 8 91,549  8 1,005,119. 113,570. 113,	(a) List and				FOUNDATION					<u>6621</u>	Page 3
13.5, 400 SHS PARKEL INTERNATIONAL CORP D VARIOUS VARIOUS SEE ATTACHED GOLDMAN SACHS STMT \$15.33 P VARIOUS VARIOUS SEE ATTACHED GOLDMAN SACHS STMT \$15.35 P VARIOUS VARIOUS SEE ATTACHED GOLDMAN SACHS STMT \$15.35 P VARIOUS VARIOUS SEE ATTACHED GOLDMAN SACHS STMT \$15.35 P VARIOUS VARIOUS (SEE ATTACHED GOLDMAN SACHS STMT \$15.35 P VARIOUS VARIOUS VARIOUS VARIOUS VARI		ins and Lo	osses for Tax	on Inves	tment income		<u> </u>				
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18 1 5 400 SHS PAREXEI INTERNATIONAL CORP 18 SRE ATTACHED GOLDMAN SACHS STMT #1523 P VARIOUS VARIOUS 2 SRE ATTACHED GOLDMAN SACHS STMT #1530 P VARIOUS VARIOUS 4 SRE ATTACHED GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 4 SRE ATTACHED GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 5 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 5 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 5 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 5 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 5 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 5 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 5 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 5 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 5 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 5 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 5 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 5 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 5 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 5 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 6 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 6 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 6 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS VARIOUS 6 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VARIOUS 6 SEA TACH CALL GOLDMAN SACHS STMT #1535 P VARIOUS VA	Z-2101 V DI					P:	Purchase Donation	1)'	no., day, yr.)	(mo., da	ау, уг.)
8 SEE ATTACHED GOLDMAN SACHS STMT #1530 P VARIOUS VARIOUS  SEE ATTACHED GOLDMAN SACHS STMT #1530 P VARIOUS VARIOUS  SEPTRAL GAINS DIVIDENDS  8 CAPITAL GAINS DIVIDENDS  1 1,005,119. 113,570. 891,549  1 293,023. 294,2071,184  2 309,631. 311,5911,960  4 174,538. 174,347. 191  5 158. Complete entry for assets showing pain in column (h) and owned by the foundation on 123/15911,960  (i) FMV. as of 12/31/69 (i) Adjusted days (ii) FMV. as of 12/31/69 (ii) Gains (c) (iii) G	<del> </del>					-		377	DIOIG	03/0	0/15
s SEE ATTACHED COLDMAN SACHS STMT \$1530 P VARIOUS VARIOUS  s CERPITAL GAINS DIVIDENDS  (e) Gross sales proce (f) Depression allowed (or allowable)  1 1, 005, 119. 13, 570. 891, 549  2 293, 023. 294, 207. 1, 184  1 174, 347. 191  c 158. 174, 437. 191  c 158. 174, 347. 191  c 158. 174, 347. 191  c 158. 191  c 158. 191  c 158. 191  c 169. Miller bonk for assets showing gain in column (h) and owned by the foundation on 1/23/169  b 2 93, 023. (i) Adjusted basis (h) Adjusted basis as of 1/23/169 (ii) Adjusted basis as of 1/23/169 (iii) Adjusted basis (iii) Adjusted basi						┼		_			
### ATTACHED GOLDMAN SACIS STMT #1535 P VARIOUS VARIOUS    CAPITAL GATNS DIVIDENDS   (i) Cost or other base plus explaned of sole   (ii) Game (flost)											
te CAPITAL GATNS DYUTIENDS  (e) Gross sales proce  (f) Coertor other bases plus expense of sale  (e) Gross sales proce  (f) Coertor other bases plus expense of sale  1,005,119.  1,23,570.  891,549  1,24,207.  1,184  1,14,538.  1,74,347.  191  1,74,538.  1,74,347.  191  (ii) FaMY. as of 12/31/69  (iii) FaMY. a	c SEE ATTACHEI					ļ					
(e) Gross sales proce (f) Deprenation allowed (or allowable) plus expenses of sale (b) plus (f) memos (g)  1	d SEE ATTACHEI	GOLDM	AN SACHS	STMT	#1535	<u> </u>	P	V2	ARIOUS	VARI	OUS
1, 0.05, 1.19.   11.3, 5.70.   89.1, 5.49	e CAPITAL GAIN	S DIVI	DENDS								
b 293,023   294,207   -1,184   301,591   -1,196   174,358   174,347   191   158   158   174,347   191   158   158   174,347   191   158   158   174,347   191   158   158   158   174,347   191   158	(e) Gross sales price	(f)		ed				(6	(h) Gain or (loss e) plus (f) minus	;) (g)	
b 293,023   294,207   -1,184   301,591   -1,196   174,358   174,347   191   158   158   174,347   191   158   158   174,347   191   158   158   174,347   191   158   158   158   174,347   191   158	1 005 11	9			113 57	7 n .				891	549.
### 1.50											
de 174 538 l 158 l											
E 158.   158									<del></del>		
Complete only for assets showing gain in column (fl) and owned by the foundation on 12/31/69  (i) F.M.V. as of 12/31/69  (ii) F.M.V. as of 12/31/69  (iii) F.M.V. as of 12/31/69  (iv) F.M.V. as of 12/31/					1/4,34	<u> </u>					
(i) F.M.V. as of 12/31/69 (i) Adjusted basis as of 12/31/69 (ii) Adjusted basis over col. (i) if any col. (ii) if any col. (iii) if any also enter in Part I, line (iii) if gain, also enter in											<u> 158.</u>
a B31, 549  b -1, 184  c -1, 960  d -1, 184  c -1, 184	Complete only for assets s	howing gain in	column (h) and ov	wned by the fo	oundation on 12/31/69						
Capital gain net income or (net capital loss)   (if gain, also enter in Part I, line 7   1.58   1.	(i) F.M.V. as of 12/31/69							col. (k) Li	), but not less that osses (from col.	n -0-) <b>or</b> (h))	
Capital gain net income or (net capital loss)   (if gain, also enter in Part I, line 7   1.58   1.	a									891	.549.
c						$\dashv$					
te 1.91  e 1.58  Capital pain net income or (net capital loss) { if gain, also enter in Part I, line 7 }			<del></del>	<del></del>	<del></del>				<del></del>		
2 Capital gam net income or (net capital loss) 2 (If gam, also enter in Part I, line 7 3 Net short-term capital gam or (loss) as defined in sections 1222(5) and (6): 1 gam, also enter in Part I, line 8  Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (for optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)  N/A  If section 4940(d)(2) applies, leave this part blank.  Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes the foundation does not qualify under section 4940(a). Do not complete this part.  Enter the appropriate amount in each column for each year; see the instructions before making any entries.  Calendar year for tax year beginning in)  Adjusted qualifying distributions  Adjusted qualifying distributions  Net value of noncharitable-use assets  (co.l. (b) divinded by col. (c))  Total of line 1, column (d)  Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5  Multiply line 4 by line 3  5 Inter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.				<del></del>	<del></del>						
2 Capital gain net income or (net capital loss)  2 Capital gain net income or (net capital loss)  3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  1 (foss), enter -0- in Part I, line 8, column (c).  1 (foss), enter -0- in Part I, line 8, column (c).  1 (foss), enter -0- in Part I, line 8, column (c).  1 (foss), enter -0- in Part I, line 8  2 (foss), enter -0- in Part I, line 8  2 (foss), enter -0- in Part I, line 8  2 (foss), enter -0- in Part I, line 8  2 (foss), enter -0- in Part I, line 8  2 (foss), enter -0- in Part I, line 8  3 (foss), enter -0- in Part I, line 6  3 (foss), enter -0- in Part I, line 8  3 (foss), enter -0- in Part I, line 8  3 (foss), enter -0- in Part I, line 8  4 (foss), enter -0- in Part I, line 9  4 (foss), enter -0- in Part I, line 9  5 (foss), enter -0- in Part I, line 9  5 (foss), enter -0- in Part I, line 9  1 (foss), enter -0- in Part I, line 9  2 (foss), enter -0- in Part I, line 9  2 (foss), enter -0- in Part I, line 9  2 (foss), enter -0- in Part I, line 9  2 (foss), enter -0- in Part I, line 9  3 (foss), enter -0- in Part I, line 9  4 (foss), enter -0- in Part I, line 9  5 (foss), enter -0- in Part I, line 9  5 (foss), enter -0- in Part I, line 9  5 (foss), enter -0- in Part I, line 9  5 (foss), enter -0- in Part I, lin				<del></del>							
2 Capital gain net income or (net capital loss)	<u>e</u>								··· —		158.
8 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  If gain, also enter in Part I, line 8, column (c).  If loss, lenter 0- in Part I, line 8  Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income  For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.  N/A  If section 4940(d)(2) applies, leave this part blank.  Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes \ Not Yes, 'the foundation does not qualify under section 4940(e). Do not complete this part.  Enter the appropriate amount in each column for each year; see the instructions before making any enthes.  (a)  Calendar year (or lax year beginning in)  Adjusted qualifying distributions  Net value of noncharitable-use assets  (col. (b) divided by col. (c))  2 Total of line 1, column (d)  3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years  the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5  Multiply line 4 by line 3  5 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.	2 Capital gain net income or	net capital loss				}	2			.888	.754.
If gam, also enter in Part I, line 8, column (c).  If (lass), enter -0 -in Part I, line 8  Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income  For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)  N/A  If section 4940(d)(2) applies, leave this part blank.  Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes, the foundation does not qualify under section 4940(e). Do not complete this part.  In Enter the appropriate amount in each column for each year; see the instructions before making any entries.  (b)  Sase period years  Calendar year (or tax year beginning in)  Adjusted qualifying distributions  Adjusted qualifying distributions  Adjusted qualifying distributions  2014  2013  2012  2011  2010  2 Total of line 1, column (d)  3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5  Multiply line 4 by line 3  5 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If the 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.		•				ノ h	<del></del>			,,,,,,	<del>/</del>
If (loss), enter-0- in Part I, line 8 Part V   Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)  N/A  f section 4940(d)(2) applies, leave this part blank.  Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?    Yes				222(5) and (6)	):	٦	į				
For pitch   Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income			(c).			- <b> </b> -			/-		
For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)  N/A  If section 4940(d)(2) applies, leave this part blank.  Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  If section 4940(e). Do not complete this part.  Enter the appropriate amount in each column for each year; see the instructions before making any entries.  (a)  Base period years  (b)  Adjusted qualifying distributions  Net value of noncharitable-use assets  (col. (b) divided by col. (c))  2014  2013  2012  2011  2010  2 Total of line 1, column (d)  3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5  Multiply line 4 by line 3  Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.	If (loss), enter -0- in Part I,	line 8	- 1: 4040				3 \			<u> </u>	
If section 4940(d)(2) applies, leave this part blank.  Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  1 Yes, the foundation does not qualify under section 4940(e). Do not complete this part.  1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.  (a)  Base period years  (b)  Adjusted qualifying distributions  Net value of noncharitable-use assets  (col. (b) divided by col. (c))  2014  2013  2012  2011  2010  2 Total of line 1, column (d)  3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5  5 Multiply line 4 by line 3  5 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.	Part V Qualification	on Under	Section 4940	(e) for He	educed lax on Net	t inv	estment	Incor	ne		
Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?    Yes		orwata faundal								1AT / 7A	
Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?    Yes	(For optional use by domestic	Ji wate Touriual	tions subject to the	section 4940	(a) tax on net investment i	ncome	))			M/W	
Tyes, the foundation does not qualify under section 4940(e). Do not complete this part.   Enter the appropriate amount in each column for each year; see the instructions before making any entries.   Calendar year (or tax year beginning in)   Adjusted qualifying distributions   Net value of noncharitable-use assets	•		-	section 4940	(a) tax on net investment i	ncome	:-)			N/A	
Tyes, the foundation does not qualify under section 4940(e). Do not complete this part.   Enter the appropriate amount in each column for each year; see the instructions before making any entries.   Calendar year (or tax year beginning in)   Adjusted qualifying distributions   Net value of noncharitable-use assets	•		-	section 4940	(a) tax on net investment i	ncome	1.)			N/A	
Enter the appropriate amount in each column for each year; see the instructions before making any entries.    Calendar year (or tax year beginning in)   Adjusted qualifying distributions   Net value of noncharitable-use assets   Color (b) divided by col. (c)	f section 4940(d)(2) applies, l	eave this part b	olank.				1.)				
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	990-PF (2015) VON RICKENBACH FAMILY FOUNDATION 47-32 rt VI   Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - s			P tion	age 4 S)
	Exempt operating foundations described in section 4940(d)(2), check here  and enter "N/A" on line 1.				
	Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)	-			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here and enter 1%		18	, 21	.0.
	of Part I, line 27b				-
C	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)				0.
3	Add lines 1 and 2		18	, 2:	.0.
4	Subtrtle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)				0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		<u>    18                                </u>	, 21	<u>. 0</u>
6	Credits/Payments:		-	: -	
a	2015 estimated tax payments and 2014 overpayment credited to 2015		= -	-	-
	Exempt foreign organizations - tax withheld at source	-	·	٠.	
	Tax paid with application for extension of time to file (Form 8868)  6c 21,500.	·	-	,	
	Backup withholding erroneously withheld			·	,
	Total credits and payments. Add lines 6a through 6d		<u>21</u>	<u>,5(</u>	
	Enter any penalty for underpayment of estimated tax. Check here If Form 2220 is attached 8			4.	<u>33.</u>
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed				
	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		4	, 8:	57.
	Enter the amount of line 10 to be: Credited to 2016 estimated tax ► 2,857. Refunded ► 11   art VII-A   Statements Regarding Activities				0.
_	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in			'es	No
14	any political campaign?	ļ.	1a	-	X
h	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	F	1b	_	X
u	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or	<u> </u>	-10		<u>.</u>
	distributed by the foundation in connection with the activities		; ;		
c	Did the foundation file Form 1120-POL for this year?		1c	ľ	x
	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:	ŀ		-	
_	(1) On the foundation. ▶ \$ 0 . (2) On foundation managers. ▶ \$ 0 .			-	-
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation		-	·	
	managers. ► \$ 0 .	ľ	-	-	
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	L	2		<u>X</u> _
	If "Yes," attach a detailed description of the activities.	-			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or	ľ	1	1	•
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	Ĺ	3		X
	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	.	4a		<u>X</u> _
	If "Yes," has it filed a tax return on Form 990-T for this year?	A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	-	5		<u>X</u>
_	If "Yes," attach the statement required by General Instruction T.		-		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:	Ì	-		- 1
	By language in the governing instrument, or      By chate legislation that effectively appeal the governor posture at that no manufacture discretely and the chate legislation.				i
	By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law  seman in the government and the government.			<b>.</b>	
7	remain in the governing instrument?  Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	ŀ	7	X X	
•	bid the foundation have at least \$0,000 in assets at any time during the year in Tes, Complete Part II, Coi. (C), and Part AV			^	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)		1	ł	
	MA			-	
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)	<del></del> }		]	
	of each state as required by General Instruction G? If "No," attach explanation	ļ	8b	$\mathbf{x}$	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar	ſ			
	year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV	{	9		<u>X</u>
<u> 10</u>	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	[	10		X
		Forr	m <b>990</b> -	PF	2015)

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X

3b

42

Yes X No

N/A

during the year?

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time

Form 4720, to determine if the foundation had excess business holdings in 2015)

had not been removed from jeopardy before the first day of the tax year beginning in 2015?

orm 990-PF (2015) VON RICKENBACH FAMILY FC			<u>47-32466</u>	21 Page 6
Part VII-B Statements Regarding Activities for Which	Form 4720 May Be F	Required (continu	ied)	
5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	n 4945(e))?	☐ Ye	s 🗓 No	1 1
(2) Influence the outcome of any specific public election (see section 4955); (		ectly,	Ì	- 1
any voter registration drive?	• , •		s X No	
(3) Provide a grant to an individual for travel, study, or other similar purposes	37		s X No	
(4) Provide a grant to an organization other than a charitable, etc., organization	•			1 1
4945(d)(4)(A)? (see instructions)		Ye	s X No	
(5) Provide for any purpose other than religious, charitable, scientific, literary	or educational purposes, or f			
the prevention of cruelty to children or animals?	, or educational purposes, or i		s X No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify un	der the exceptions described i		· LAL 110	
****	•	iii negulalions	N/A	5b
section 53.4945 or in a current notice regarding disaster assistance (see instri	•		N/A	30
Organizations relying on a current notice regarding disaster assistance check				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption				
expenditure responsibility for the grant?		<b>1/A                                    </b>	s L No	
If "Yes," attach the statement required by Regulations section 53.494				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	pay premiums on			
a personal benefit contract?		Ye	s 🗶 No 🗀	
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a	personal benefit contract?		]_	6b X
If "Yes" to 6b, file Form 8870.				* }}
7a At any time during the tax year, was the foundation a party to a prohibited tax	shelter transaction?	Ye	s X No	
b if "Yes," did the foundation receive any proceeds or have any net income attrib			.N/A	7b
Part VIII Information About Officers, Directors, Trus	tees, Foundation Ma	anagers, Highly	<i>1</i>	
Paid Employees, and Contractors	<del></del>			
List all officers, directors, trustees, foundation managers and their		<del>15.8</del>	(4)	
(a) Name and address	(b) Title, and average hours per week devoted	(c) Compensation (If not paid,	(d) Contributions to employee benefit plans and deterred	(e) Expense account, other
(a) Name and address	to position	enter -0-)	compensation	allowances
ROBERT L. CARSON	TRUSTEE			
380 WINTER STREET, SUITE 350	_]	1		
VALTHAM, MA 02451	0.00	0.	0	0.
				7
				ļ
	7			
				<del>                                     </del>
	7			
<del></del>	7			
<del></del>		1		<del> </del>
<del></del>				1
<del></del>	4	1		\
Compensation of five highest-paid employees (other than those in	cluded on line 1) If none	enter "NONE "	L	
	(b) Title, and average	,	(d) Contributions to	(e) Expense
(a) Name and address of each employee paid more than \$50,000	hours per week devoted to position	(c) Compensation	employee benefit plans and deterred	account, other allowances
NONE	devoted to position	<del> </del>	compensation	allowalices
NONE				
<del></del>	<del> </del>	<del></del>	<del> </del>	<del> </del>
<del></del>	-		]	
	<del></del>	<del> </del>	<del> </del>	<del> </del>
	4		}	1
	<u> </u>	<del> </del>	<del> </del>	<del></del>
	_		ļ	
<del> </del>	<del> </del>	<del></del>	<b></b>	<del></del>
			1	1
	<u> </u>	.	l	
otal number of other employees paid over \$50,000				0
			For	m <b>990-PF</b> (2015)

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)	
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."	
(a) Name and address of each person paid more than \$50,000 (b) Type of service	e (c) Compensatio
NONE	
Total number of others receiving over \$50,000 for professional services	<b>•</b>
Part IX-A Summary of Direct Charitable Activities	<del></del>
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	_
4	
Part IX-B Summary of Program-Related Investments	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 <u>N/A</u>	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	<b>&gt;</b> 0
	Form <b>990-PF</b> (20

4940(e) reduction of tax in those years.

Form 990-PF (2015) VON RICKENBACH FAMILY FOUNDATION

Ρ	Part X Minimum Investment Return (All domestic foundations must complete this part. Foreig	n foundations, see	instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		·
		1a	812,864.
		1b	10,838.
C	Fair market value of all other assets	1c	
d	d Total (add lines 1a, b, and c)	1d	823,702.
	e Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation)	0.	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	823,702.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	12,356.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	811,346.
6	Minimum investment return. Enter 5% of line 5	6	40,567.
:P	Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundation foreign organizations check here  and do not complete this part.)	ons and certain	
1	Minimum investment return from Part X, line 6	1	40,567.
2a		10.	
b			
C		2c	18,210.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	22,357.
4		4	0.
5	· · ·	5	22,357.
6		6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	22,357.
·P	Part XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	a Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	35,600.
b	b Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	a Suitability test (prior IRS approval required)	3a	
þ	b Cash distribution test (attach the required schedule)	3b	
4	, , ,, ,, ,, ,, ,, ,, ,, ,, ,, ,	4	<u>35,600.</u>
5			
	income. Enter 1% of Part I, line 27b	5	0.
6	· · · · · · · · · · · · · · · · · · ·	6	35,600.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the found	dation qualifies for th	e section

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Form 990-PF (2015) VON RICKENBACH FAMILY FOUNDATION

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
Distributable amount for 2015 from Part XI, line 7				22,357.
2 Undistributed income, if any, as of the end of 2015	·		18	
a Enter amount for 2014 only	-		0.	
ь Total for prior years:	-	0.	-	
3 Excess distributions carryover, if any, to 2015:	,	-	_ /	
a From 2010	-			-
<b>b</b> From 2011	- 1a	· ·		
c From 2012			* *	
d From 2013	-			-
e From 2014			. · · · · · · · · · · · · · · · · · · ·	
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from	,		-	
Part XII, line 4: ►\$ 35,600.	· -		0.	E 1 -
a Applied to 2014, but not more than line 2a			0.	, , , ,
b Applied to undistributed income of prior	-	0.		
years (Election required - see instructions)				
c Treated as distributions out of corpus	0.	- `		
(Election required - see instructions)  d Applied to 2015 distributable amount	0.			22,357.
e Remaining amount distributed out of corpus	13,243.			22,337.
5 Excess distributions carryover applied to 2015	0.			0.
(If an amount appears in column (d), the same amount must be shown in column (a))		2	12/	
6 Enter the net total of each column as indicated below:				-
2 Corpus Add lines 3f, 4c, and 4e Subtract line 5	13,243.	-		,
b Prior years' undistributed income. Subtract				
line 4b from line 2b		0.	<u>'-</u>	
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.	-	- ,
d Subtract line 6c from line 6b. Taxable	-			
amount - see instructions		0.		
e Undistributed income for 2014. Subtract line				-
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract	•			
lines 4d and 5 from line 1. This amount must				
be distributed in 2016				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3) (Election				
may be required - see instructions)	0.			
8 Excess distributions carryover from 2010		•		
not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016.	13,243.			
Subtract lines 7 and 8 from line 6a	13,243.		<del> </del>	<del> </del>
10 Analysis of line 9: a Excess from 2011				
b Excess from 2012	-			
c Excess from 2013	4			
d Excess from 2014	1			
e Excess from 2015 13, 243.				

		ILY FOUNDAT			246621 Page 10
Part XIV Private Operating Fo	<b>oundations</b> (see in	structions and Part V	I-A, question 9)	N/A	
1 a If the foundation has received a ruling or	determination letter tha	t it is a private operating			
foundation, and the ruling is effective for	2015, enter the date of	the ruling	<b>▶</b> <u></u>	<u> </u>	
b Check box to indicate whether the found	ation is a private operati	ng foundation described	in section	4942(j)(3) or4	942(j)(5)
2 a Enter the lesser of the adjusted net	Tax year	<u> </u>	Prior 3 years		
income from Part I or the minimum	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
investment return from Part X for					
each year listed		1	Ì		1
<b>b</b> 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4 for each year listed					
d Amounts included in line 2c not			<del> </del> -	<del>                                     </del>	<del></del>
used directly for active conduct of			1		
exempt activities				}	
e Qualifying distributions made directly	<del></del>	<del> </del>	<del>                                     </del>	<del>                                     </del>	<del> </del>
• •			,		ļ
for active conduct of exempt activities			}	:	
Subtract line 2d from line 2c 3 Complete 3a, b, or c for the	<u> </u>	<u> </u>	<del></del>		
alternative test relied upon:	-				
a "Assets" alternative test - enter:			1		
(1) Value of all assets		<del>                                     </del>			
(2) Value of assets qualifying	i				
under section 4942(j)(3)(B)(i)			ļ	ļ. <u> </u>	<del> </del>
b "Endowment" alternative test - enter			<b>\</b>		
2/3 of minimum investment return shown in Part X, line 6 for each year					
listed					<u> </u>
c "Support" alternative test - enter:					}
(1) Total support other than gross					
investment income (interest,	1				
dividends, rents, payments on securities loans (section					
512(a)(5)), or royalties)					
(2) Support from general public					Ţ
and 5 or more exempt					
organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from					
an exempt organization	]				
(4) Gross investment income			<del></del>		
Part XV   Supplementary Info	rmation (Comple	ete this part only	if the foundatio	n had \$5,000 or m	ore in assets
at any time during t					
1 Information Regarding Foundation	_ <del>-</del>				
a List any managers of the foundation wh		than 2% of the total cou	ntributions received by th	e foundation before the cl	ose of any tay
year (but only if they have contributed r			in buttons received by ti	ic roundation before the or	osc of any tax
NONE		. , , , ,			
b List any managers of the foundation wh	o own 10% or more of t	he stock of a corneration	(or an equally large por	tion of the ownership of a	nartnership or
other entity) of which the foundation ha			i (or all equally large por	non or the ownership of a	אמו נווכו אווף טו
NONE					
2 Information Regarding Contribut					
Check here L if the foundation of the foundation makes gifts, grants, etc.				not accept unsolicited rec	
	<del></del>				
a The name, address, and telephone num	ber or e-mail address of	the person to whom app	olications should be addr	essed:	
		-			
<del></del>		<del></del>	<del></del>	<del></del>	
b The form in which applications should l	e submitted and inform	ation and materials they	should include:		
a Any submission deadliness			<del> </del>		
c Any submission deadlines:					
d Any restrictions or limitations on award	s, such as by geographi	cal areas, charitable field	s, kinds of institutions. o	r other factors:	<del></del>
-	5 5 · · • · - F · · ·		., -		

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Part XV Supplementary Information (continued)

Grants and Contributions Paid During the Y		Payment		
Recipient  Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year	or outpearment community			
PAN MASS CHALLENGE	NONE	PUBLIC CHARITY	ł	
77 4TH AVENUE			CONTRIBUTION UNRESTRICTED	500
NEEDHAM, MA 02494			UNRESTRICTED	
SHHAIR WORKING TO SAFEGUARD HISTORIC	NONE	PUBLIC CHARITY	CHARITABLE	
HANSCOM AREAS IRREPLACEABLE RESOURCES			CONTRIBUTION	
PO BOX 441		ı.	UNRESTRICTED	
CONCORD MA 01742				100
SMILETRAIN	NONE	PUBLIC CHARITY	CHARITABLE	
41 MADISON AVENUE			CONTRIBUTION	
NEW YORK, NY 10010			UNRESTRICTED	1,000
CATHOLIC SCHOOLS FOUNDATION	NONE	PUBLIC CHARITY	CHARITABLE	
67 BATTERYMARCH STREET		1	CONTRIBUTION	]
BOSTON, MA 02110			UNRESTRICTED	1,500
THE IMMIGRANT LEARNING CENTER	NONE	PUBLIC CHARITY	CHARITABLE	
442 MAIN STREET			CONTRIBUTION	
MALDEN MA 02148		TTT ( C )	UNRESTRICTED	1.500
	NTINUATION SHE	ET(S)	<b>▶</b> 3a	35,600
b Approved for future payment				
NONE				
		1		
Total .			<b>▶</b> 3b	
				Form <b>990-PF</b> (20

Part XVI-A	Analysis	of Income	-Producing	<b>Activities</b>
------------	----------	-----------	------------	-------------------

nter gross amounts unless o	therwise indicated	Unrelated	business income	Excluded	by section 512 513 or 514	(e)
,	merwise moleated.	(a) Business	(b) Amount	Exclu- sion code	(d) Amount	Related or exempt function income
1 Program service revenue:		code		code	7 2	
						<del></del>
e			<del></del>		<u> </u>	
g Fees and contracts from	n government agencies			-		·
2 Membership dues and ass						
3 Interest on savings and ter	nporary cash					
investments				14	32.	
4 Dividends and interest from				14	23,384.	
5 Net rental income or (loss)						
a Debt-financed property						
b Not debt-financed prop						
6 Net rental income or (loss)	πom personal	-				
property 7 Other investment income				1		<del> </del>
8 Gain or (loss) from sales o	f assets other			<del>     </del>		
than inventory	1 4030ta 011101			18	888,754.	
9 Net income or (loss) from	special events			<del>-</del>		<del></del>
10 Gross profit or (loss) from						
11 Other revenue:	•					
a						
b						
c	<del></del> -					··
d	<del></del>					
e <u>-</u>				-	010 170	
12 Subtotal. Add columns (b)				0	912,170.	
13 Total. Add line 12, column	ructions to verify calculations.)				13	914,170
Part XVI-B Rela	tionship of Activities	to the Acco	mplishment of	Exempt	Purposes	
	how each activity for which inco	•	* *		ed importantly to the accom	plishment of
<del></del>	n's exempt purposes (other than				NTM C	
	AND DIVIDENDS					
	AND DIVIDENDS D LOSSES ON FO				NTS	
O GAINS AN	D HOSSES ON PO	ONDALION	INVESTME	NID		
	<del> </del>	······			······································	<del></del>
						_ <del></del>
<del></del>	····-	<del></del>		<del></del>		
			<del>_</del>		<del></del>	<del></del>
<del></del>						
				<del></del>		
	<del></del>		-			<del></del>

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		Exempt Organ	izations						=	
1	Did the or			of the followin	g with any other organization	on described in section	on 501(c) of	1	Yes	No
'					7, relating to political organ		311 30 1(0) 01	}		
_		from the reporting found				iizations.				i
a		noni ine reporting touriu	ation to a nonchanta	nie exemiti on	janization of.			10/11	}	v
	(1) Cash							1a(1)		X
	(2) Other							1a(2)		
D	Other tran							1		37
		of assets to a noncharita	. •					1b(1)		X
		nases of assets from a no	•	organization				1b(2)		X
	` '	I of facilities, equipment,						1b(3)		X
		bursement arrangements						1b(4)		<u>X</u>
		s or loan guarantees						1b(5)		X
	• •	rmance of services or me	•	=				1b(6)		<u>X</u>
C		f facilities, equipment, ma	= -	-	· · ·			1c	Ĺ	X
d				-	dule. Column (b) should al				ets,	
					ed less than fair market valu	ie in any transaction	or sharing arrangement, s	how in		
<del></del>		) the value of the goods,			<del></del>	<del></del>				
(a) L	ine no	(b) Amount involved	(c) Name of		exempt organization	(d) Description	of transfers, transactions, and	sharing an	rangeme	nts
			<u> </u>	N/A						
						<u> </u>				
			<b></b>							
٠										
						<u> </u>				
		<del></del>			<u> </u>	<u> </u>	<del></del>			
			<u> </u>							
2a	is the fou	ndation directly or indirec	tly affiliated with, or i	related to, one	or more tax-exempt organi	zations described				
	ın section	501(c) of the Code (othe	r than section 501(c)	(3)) or in sect	ion 527?			Yes	X	No
b	If "Yes," co	omplete the following sch	edule.							
		(a) Name of org			(b) Type of organization	(	c) Description of relations	ııp		
		N/A								
								_	_	
	<del></del>	<del></del>								
					g accompanying schedules and			the IRS	discuss	nie 1
Si	gn and b	elief, it is true, correct, and cor	mplete Declaration of pro	eparer (other than	n taxpayer) is based on all inform	nation of which preparer	nas any knowledge retu	m with th	e prepar	er
He	ere	shoul x	Carse	-	1 11/14//6	TRUSTE		Yes		]″ <sub>No</sub> [
	Sigi	nature of officer or trustee	3		Date	Title				
_		Print/Type preparer's na	ame	Preparer's s			Check If PTIN			
		- 0			J) <u></u>	1 holis	self- employed PO	85	nes	<u> </u>
Pá	aid	J. disre	<b>\</b>	1 84		11/19/19	,,,,	,	0	
Pr	eparer	Firm's name ► O ' B	RIEN & AS	SOCTAT	ES	<del></del>	Firm's EIN ▶ 04-2	7065	69	
	se Only	The stance of the	TILLIE & MU	JUCIAI	~~		THINGEN P UT 2		J J	
	•	Firm's address ▶ 10	KEARNEY	ROAD	SUTTE 305					
			EEDHAM, MA				Phone no.			
—		i IAT	HARTER LAND	<u> </u>	<del></del>			00t	) DE	(2015)

523822 11-24-15

Part XV Supplementary Information 3 Grants and Contributions Paid During the				
Recipient	If recipient is an individual, show any relationship to	Foundation	Purpose of grant or	
Name and address (home or business)	any foundation manager or substantial contributor	status of recipient	Purpose of grant or contribution	Amount
MCLEAN HOSPITAL	NONE	PUBLIC CHARITY		
115 MILL STREET			CONTRIBUTION	2 200
BELMONT, MA 02478			UNRESTRICTED	2,000.
BOSTON CHILDREN'S HOSPITAL	NONE	PUBLIC CHARITY	CHARITABLE	
401 PARK DRIVE			CONTRIBUTION	
BOSTON MA 02215			UNRESTRICTED	2,000.
BIOMEDICAL SCIENCE CAREERS PROGRAM	NONE	PUBLIC CHARITY	CHARITABLE	
164 LONGWOOD AVENUE			CONTRIBUTION	
BOSTON, MA 02215			UNRESTRICTED	2,000.
ST. BRIGID PARISH	NONE	PUBLIC CHARITY		
2001 MASSACHUSETTS AVENUE			CONTRIBUTION	
LEXINGTON MA 02421			UNRESTRICTED	5,000.
HARVARD BUSINESS SCHOOL	NONE	PUBLIC CHARITY	CHARITARLE	
HARVARD BUSINESS SCHOOL	100.2		CONTRIBUTION	
BOSTON, MA 02163			UNRESTRICTED	20,000.
		<u> </u>		
Total from continuation sheets				31 000

#### Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service **Schedule of Contributors** 

➤ Attach to Form 990, Form 990-EZ, or Form 990-PF.

Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Name of the organization

Employer identification number

	VON RICKENBACH FAMILY FOUNDATION	47-3246621
Organization type (chec	k one):	
Filers of:	Section:	
Form 990 or 990-EZ	501(c)( ) (enter number) organization	
	4947(a)(1) nonexempt charitable trust not treated as a private found	lation
	527 political organization	
Form 990-PF	X 501(c)(3) exempt private foundation	
	4947(a)(1) nonexempt charitable trust treated as a private foundation	n
	501(c)(3) taxable private foundation	
Note. Only a section 501	1(c)(7), (8), or (10) organization can check boxes for both the General Rule and a	a Special Rule. See instructions.
X For an organiza	ation filing Form 990, 990-EZ, or 990-PF that received, during the year, contribut any one contributor Complete Parts I and II. See instructions for determining a	_
_		_
For an organiza property) from a Special Rules  For an organiza sections 509(a) any one contrib		contributor's total contributions  3% support test of the regulations under line 13, 16a, or 16b, and that received from
For an organiza property) from a Special Rules  For an organiza sections 509(a) any one contrib or (ii) Form 990.  For an organiza year, total contribution	any one contributor Complete Parts I and II. See instructions for determining a ation described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 (1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, I outor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of	contributor's total contributions  3% support test of the regulations under line 13, 16a, or 16b, and that received from of the amount on (i) Form 990, Part VIII, line 1h, ceived from any one contributor, during the

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Employer identification number

## VON RICKENBACH FAMILY FOUNDATION

47-3246621

Part I	Contributors (see instructions) Use duplicate copies of Part I if addition	nal space is needed	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOSEF H. VON RICKENBACH  28 BRENT ROAD  LEXINGTON, MA 02420	\$ 1,010,702.	Person Payroll Noncash X (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		-   -   \$	Person Payroll Noncash  (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		-   \$ \$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		- \$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)

Employer identification number

## VON RICKENBACH FAMILY FOUNDATION

47-3246621

Part II	Noncash Property	(see instructions). Use duplicate copies of Part II if additional space is needed
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(a) No. from	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
Part I 15	,400 SHS PAREXEL INTERNATIONAL CORP.		
		\$ <u>1,010,702</u> .	03/06/15
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. From Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
453 10-26-15	<del></del>	Sebadula B (Farm (	990, 990-EZ, or 990-PF) (2

me of organiz	ation		Employer identification number					
art III	EXClusively religious, charitable, etc., contribute year from any one contributor. Complete columniating Part III, enter the total of exclusively religious, charitable and the year from any one contributor. Complete columniating Part III, enter the total of exclusively religious, charitable and the year from	tions to organizations described in smns (a) through (e) and the following aritable, etc., contributions of \$1,000 or less	47-3246621  section 501(c)(7), (8), or (10) that total more than \$1,000 for g line entry. For organizations s for the year (Enter this info once)  \$\\$\$					
a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held					
$-\mid$								
	•	(e) Transfer of gift						
-	Transferee's name, address, and a	ZIP + 4	Relationship of transferor to transferee					
) No. rom art I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held					
	(e) Transfer of gift							
	Transferee's name, address, and	ZIP + 4	Relationship of transferor to transferee					
No. om art I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held					
		(e) Transfer of gift						
-	Transferee's name, address, and	ZIP + 4	Relationship of transferor to transferee					
No. om art I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held					
_								
	(e) Transfer of gift							
<u> </u>	Transferee's name, address, and	ZIP + 4	Relationship of transferor to transferee					
-								

FORM 990-PF INTERES	T ON SAVING	S AND TEMPOR	ARY CASH IN	VESTMENTS	STATEMENT 1
SOURCE		(A) REVENUE PER BOOK	NET IN	(B) VESTMENT COME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS #1523 GOLDMAN SACHS #1530 GOLDMAN SACHS #1535 GOLDMAN SACHS #5705			4. 7. 7. 14.	4. 7. 7. 14.	
TOTAL TO PART I, LII	NE 3	-	32.	32.	
FORM 990-PF	DIVIDENDS	AND INTEREST	FROM SECUR	ITIES	STATEMENT 2
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST MENT INCOM	
GOLDMAN SACHS #1523 GOLDMAN SACHS	1,512.	158.	1,354.	1,354	•
#1530 GOLDMAN SACHS #1535	1,866. 716.	0.	1,866. 716.	•	
GOLDMAN SACHS #5705	19,448.	0.	19,448.	19,448	
TO PART I, LINE 4	23,542.	158.	23,384.	23,384	•
FORM 990-PF	OTI	HER PROFESSIO	NAL FEES		STATEMENT 3
DESCRIPTION		(A) EXPENSES NE PER BOOKS ME			(D) CHARITABLE PURPOSES
IAS FEES GOLDMAN SAG	CHS	1,173.	1,173.	<del></del>	0.

1,173.

0.

TO FORM 990-PF, PG 1, LN 16C

1,173.

	TAX	ES			STATEMENT	4 
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVE MENT INC		(C) ADJUSTED NET INCOM		
FOREIGN TAX PAID	409.		409.	_		0.
RECLAIMABLE TAX ADR FEES	53. 28.		53. 28.			0. 0.
TO FORM 990-PF, PG 1, LN 18	490.		490.			0.
FORM 990-PF OTHER DECREASI	ES IN NET AS	SETS OR I	FUND I	BALANCES	STATEMENT	5
DESCRIPTION		<del></del>	·····		AMOUNT	
APPRECIATION ON CONTRIBUTED	SECURITIES				897,1	00.
TOTAL TO FORM 990-PF, PART I	II, LINE 5				897,1	00.
FORM 990-PF	CORPORAT	E STOCK			STATEMENT	6
FORM 990-PF DESCRIPTION	CORPORAT	E STOCK	ВО	OK VALUE	STATEMENT FAIR MARKE VALUE	
	CORPORAT	'E STOCK	ВОО	OK VALUE 619,389.	FAIR MARKE	
DESCRIPTION		E STOCK	ВО		FAIR MARKE VALUE	т 37.
DESCRIPTION SEE ATTACHED STATEMENTS			ВОС	619,389.	FAIR MARKE VALUE 593,5	з7.
DESCRIPTION SEE ATTACHED STATEMENTS TOTAL TO FORM 990-PF, PART I	I, LINE 10B			619,389.	FAIR MARKE VALUE 593,5	37. 37.
DESCRIPTION  SEE ATTACHED STATEMENTS  TOTAL TO FORM 990-PF, PART I:  FORM 990-PF	I, LINE 10B  CORPORAT	E BONDS		619,389.	FAIR MARKE VALUE 593,5 593,5 STATEMENT FAIR MARKE	37. 37. 7

FORM 990-PF OTHE	R INVESTMENTS		STATEMENT 8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
1,275 SHS MSCI EMERGING MSRKETS INDEX FUND	COST	50,057.	41,042.
TOTAL TO FORM 990-PF, PART II, LINE	13	50,057.	41,042.