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EXTENDED TO AUGUST 15, 2016

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2015 or tax year beginning

, and ending

Name of foundation THE PARVEEN AND NEERAJ JAIN ENDOWMENT		A Employer identification number 47-0934264
Number and street (or P.O. box number if mail is not delivered to street address) 2636 GAYLEY PLACE	Room/suite	B Telephone number (408) 781-0170
City or town, state or province, country, and ZIP or foreign postal code SAN JOSE, CA 95135		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 268,750. (Part I, column (d) must be on cash basis.)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		100,000.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		9,599.	9,599.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		11,905.			
b Gross sales price for all assets on line 6a 55,932.					
7 Capital gain net income (from Part IV, line 2)			11,905.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		36.	36.		STATEMENT 2
12 Total. Add lines 1 through 11		121,540.	21,540.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		2,405.	2,405.		0.
c Other professional fees STMT 4		2,387.	2,387.		0.
17 Interest					
18 Taxes STMT 5		92.	92.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		4,884.	4,884.		0.
25 Contributions, gifts, grants paid		45,502.			45,502.
26 Total expenses and disbursements. Add lines 24 and 25		50,386.	4,884.		45,502.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		71,154.			
b Net investment income (if negative, enter -0-)			16,656.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	5,040.	107,666.	107,666.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	173,217.	141,745.	161,084.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	178,257.	249,411.	268,750.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	178,257.	249,411.	
30 Total net assets or fund balances	178,257.	249,411.		
31 Total liabilities and net assets/fund balances	178,257.	249,411.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	178,257.
2 Enter amount from Part I, line 27a	2	71,154.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	249,411.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	249,411.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES			
b CAPITAL GAINS DIVIDENDS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 54,566.		44,027.	10,539.
b 1,366.			1,366.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			10,539.
b			1,366.
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	11,905.
	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2014	70,452.	221,890.	.317509
2013	179,998.	267,840.	.672036
2012	107,272.	411,256.	.260840
2011	114,264.	395,419.	.288969
2010	78,068.	360,070.	.216813

2 Total of line 1, column (d)	2	1.756167
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.351233
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	243,229.
5 Multiply line 4 by line 3	5	85,430.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	167.
7 Add lines 5 and 6	7	85,597.
8 Enter qualifying distributions from Part XII, line 4	8	45,502.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	333.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	333.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	333.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		333.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	X	
14 The books are in care of PARVEEN JAIN Telephone no. (408) 781-0170 Located at 2636 GAYLEY PLACE, SAN JOSE, CA ZIP+4 95135		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years N/A b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PARVEEN JAIN	CFO/SECRETARY			
2636 GAYLEY PLACE				
SAN JOSE, CA 95135	1.00	0.	0.	0.
NEERAJ JAIN	PRESIDENT			
2636 GAYLEY PLACE				
SAN JOSE, CA 95135	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	190,583.
b	Average of monthly cash balances	1b	56,350.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	246,933.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	246,933.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,704.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	243,229.
6	Minimum investment return. Enter 5% of line 5	6	12,161.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	12,161.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	333.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	333.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	11,828.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	11,828.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	11,828.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	45,502.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	45,502.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	45,502.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				11,828.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010	60,836.			
b From 2011	94,867.			
c From 2012	87,395.			
d From 2013	167,230.			
e From 2014	59,855.			
f Total of lines 3a through e	470,183.			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$	45,502.			
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				11,828.
e Remaining amount distributed out of corpus	33,674.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	503,857.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	60,836.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	443,021.			
10 Analysis of line 9:				
a Excess from 2011	94,867.			
b Excess from 2012	87,395.			
c Excess from 2013	167,230.			
d Excess from 2014	59,855.			
e Excess from 2015	33,674.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(i)(3) or ☐ 4942(j)(5)

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution **	Amount
a Paid during the year				
JAIN CENTER OF NORTHERN CALIFORNIA 722 SOUTH MAIN STREET MILPITAS, CA 95035	NONE	PUBLIC CHARITY	TO SUPPORT OPERATIONS.	1,501.
MANOR MANDIV MISSION 2343 RIVER VALLEY DR MISSOURI, TX 77489	NONE	PUBLIC CHARITY	TO SUPPORT OPERATIONS	5,000.
SIDDHACHALAM 65 MUD POND ROAD BLAIRSTOWN, NJ 07825	NONE	PUBLIC CHARITY	FOOD AND SUPPLIES	32,001.
JAINA 722 SOUTH MAIN STREET MILPITAS, CA 95053	NONE	PUBLIC CHARITY	TO SUPPORT THE ORGANIZATION'S MISSION OF THE PRACTICE AND PRESERVATION OF JAIN RELIGION AND	2,000.
EL CAMINO HOSPITAL 2500 GRANT ROAD MOUNTAIN VIEW, CA 94040	NONE	PUBLIC CHARITY	TO SUPPORT THE EL CAMINO HOSPITAL FOR OPERATIONS, PURCHASES AND IMPROVEMENTS TO BENEFIT COMMUNITY	5,000.
Total				45,502.
b Approved for future payment				
NONE				
Total				0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
	a Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		



[illegible]


2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.			May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	 Signature of officer or trustee	7-27-16 Date	 CFO/SECRETARY Title	

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	JENETTE M. VANELLA		07/25/16		P01429698
	Firm's name ▶ KCOE ISOM, LLP			Firm's EIN ▶ 48-0567703	
	Firm's address ▶ 3013 CERES AVENUE CHICO, CA 95973			Phone no. (530) 891-6474	

Form **990-PF** (2015)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Name of the organization

THE PARVEEN AND NEERAJ JAIN ENDOWMENT

Employer identification number

47-0934264

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Name of organization	Employer identification number
THE PARVEEN AND NEERAJ JAIN ENDOWMENT	47-0934264

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PARVEEN AND NEERAJ JAIN 2636 GAYLEY PLACE SAN JOSE, CA 95135-1268	\$ 100,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

THE PARVEEN AND NEERAJ JAIN ENDOWMENT**47-0934264****Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization	Employer identification number
THE PARVEEN AND NEERAJ JAIN ENDOWMENT	47-0934264

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) **\$** _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

The Parveen and Neeraj Jain Endowment Fund
 EIN 47-0934264
 Form 990-PF, Part II, Line 10b Attachment
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Investment Objectives[†]: Capital Appreciation, Aggressive Income
[†] Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

Investment Advisory Account

HOLDINGS

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pro-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Current yield reflects the income generated by an investment, and does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Market Value	7-Day Current Yield %	Est Ann Income	APY %
MORGAN STANLEY BANK N.A. #	\$107,666.35	—	\$22.00	0.020

Percentage of Holdings	Market Value	Est Ann Income
40.06%	\$107,666.35	\$22.00

CASH, BDP, AND MMFS

Bank Deposits are held at Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC and each a national bank and FDIC member.

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ALTRIA GROUP INC (MO)	6/1/09	42,000	\$17.220	\$58.210	\$723.24	\$2,444.82	\$1,721.58 LT		
	9/11/09	32,000	18.190	58.210	582.08	1,862.72	1,280.64 LT		
	3/28/13	44,000	34.370	58.210	1,512.28	2,561.24	1,048.96 LT		
	8/5/13	4,000	35.610	58.210	142.44	232.84	90.40 LT		
Total		122,000			2,960.04	7,101.62	4,141.58 LT	276.00	3.88
Next Dividend Payable 01/11/16; Asset Class: Equities									
ANALYTICAL CAPITAL MGMT INC (NLY)	11/17/10	5,000	17.720	9.380	88.60	46.90	(41.70) LT		
	4/23/12	148,000	16.026	9.380	2,371.80	1,388.24	(983.56) LT		
	3/28/13	92,000	15.800	9.380	1,453.59	862.96	(590.63) LT		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
<i>Next Dividend Payable 01/29/16, Asset Class: Alt</i>									
AT&T INC (T)									
	5/10/13	45,000	15.048	9.380	677.15	422.10	(255.05) LT		
	8/5/13	134,000	11.546	9.380	1,547.19	1,256.92	(290.27) LT		
	10/28/14	12,000	11.397	9.380	136.76	112.56	(24.20) LT		
	1/26/15	63,000	10.597	9.380	667.60	590.94	(76.66) ST		
Total		499,000			6,942.69	4,680.62	(2,185.41) LT (76.66) ST	599.00	12.79
<i>Next Dividend Payable 01/29/16, Asset Class: Alt</i>									
AT&T INC (T)									
	6/1/09	43,000	24.680	34.410	1,061.23	1,479.63	418.40 LT		
	9/11/09	19,000	26.410	34.410	501.79	653.79	152.00 LT		
	11/17/10	10,000	28.210	34.410	282.10	344.10	62.00 LT		
	9/2/11	27,000	28.140	34.410	759.78	929.07	169.29 LT		
	3/28/13	28,000	36.720	34.410	1,028.16	963.48	(64.68) LT		
	5/10/13	3,000	37.240	34.410	111.72	103.23	(8.49) LT		
	8/5/13	8,000	35.586	34.410	284.69	275.28	(9.41) LT		
	9/11/14	1,000	34.720	34.410	34.72	34.41	(0.31) LT		
	10/28/14	1,000	34.280	34.410	34.28	34.41	0.13 LT		
	1/26/15	12,000	33.207	34.410	398.48	412.92	14.44 ST		
Total		152,000			4,496.95	5,230.32	718.93 LT 14.44 ST	292.00	5.58
<i>Next Dividend Payable 02/20/16, Asset Class: Equities</i>									
BRISTOL MYERS SQUIBB CO (BMY)									
	9/28/10	38,000	27.859	68.790	1,058.64	2,614.02	1,555.38 LT		
	11/17/10	33,000	26.150	68.790	862.95	2,270.07	1,407.12 LT		
	5/10/13	13,000	40.320	68.790	524.16	894.27	370.11 LT		
	1/16/14	67,000	54.646	68.790	3,661.30	4,608.93	947.63 LT		
Total		151,000			6,107.05	10,387.29	4,280.24 LT	230.00	2.21
<i>Next Dividend Payable 02/01/16, Asset Class: Equities</i>									
CALIF WATER SVC GP DEL (CWT)									
	9/28/10	160,000	18.154	23.270	2,904.64	3,723.20	818.56 LT		
	9/2/11	28,000	18.150	23.270	508.20	651.56	143.36 LT		
	3/28/13	26,000	19.828	23.270	515.52	605.02	89.50 LT		
	5/10/13	9,000	19.990	23.270	179.91	209.43	29.52 LT		
Total		223,000			4,108.27	5,189.21	1,080.94 LT	149.00	2.87
<i>Next Dividend Payable 02/20/16, Asset Class: Equities</i>									
CATERPILLAR INC (CAT)									
	6/1/09	36,000	37.558	67.960	1,352.09	2,446.56	1,094.47 LT		
	9/2/11	7,000	85.630	67.960	599.41	475.72	(123.69) LT		
	3/28/13	12,000	86.790	67.960	1,041.48	815.52	(225.96) LT		
	5/10/13	1,000	88.310	67.960	88.31	67.96	(20.35) LT		

The Parveen and Neeraj Jain Endowment Fund
 EIN 47-0934264
 Form 990-PF, Part II, Line 10b Attachment
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
<i>Next Dividend Payable 02/2016; Asset Class: Equities</i>									
CHEVRON CORP (CVX)									
	8/5/13	4,000	83.790	67.960	335.16	271.84	(63.32) LT		
	10/28/14	2,000	100.165	67.960	200.33	135.92	(64.41) LT		
	1/26/15	13,000	85.770	67.960	1,115.01	883.48	(231.53) ST		
Total		75,000			4,731.79	5,097.00	596.74 LT (231.53) ST	231.00	4.53
<i>Next Dividend Payable 03/2016; Asset Class: Equities</i>									
DOMINION RES INC (NEW) (D)									
	9/11/14	27,000	123.350	89.960	3,330.45	2,428.92	(901.53) LT		
	10/28/14	1,000	115.800	89.960	115.80	89.96	(25.84) LT		
	1/26/15	3,000	109.113	89.960	327.34	269.88	(57.46) ST		
Total		31,000			3,773.59	2,788.76	(921.37) LT (57.46) ST	133.00	4.76
<i>Next Dividend Payable 03/2016; Asset Class: Equities</i>									
DU PONT EI DE NEMOURS & CO (DD)									
	6/1/09	23,000	32.968	67.640	758.26	1,555.72	797.46 LT		
	9/11/09	29,000	32.760	67.640	950.04	1,961.56	1,011.52 LT		
	11/17/10	6,000	42.760	67.640	256.56	405.84	149.28 LT		
	9/2/11	10,000	48.170	67.640	481.70	676.40	194.70 LT		
	3/28/13	5,000	57.890	67.640	289.45	338.20	48.75 LT		
Total		73,000			2,736.01	4,937.72	2,201.71 LT	189.00	3.82
<i>Next Dividend Payable 03/2016; Asset Class: Equities</i>									
DUKE ENERGY CORP NEW (DUK)									
	6/1/09	45,000	28.368	66.600	1,276.57	2,997.00	1,720.43 LT		
	9/11/09	15,000	30.287	66.600	454.31	999.00	544.69 LT		
	9/2/11	10,000	44.515	66.600	445.15	666.00	220.85 LT		
	3/28/13	23,000	46.622	66.600	1,072.31	1,531.80	459.49 LT		
Total		93,000			3,248.34	6,193.80	2,945.46 LT	141.00	2.27
<i>Next Dividend Payable 03/2016; Asset Class: Equities</i>									
GENERAL ELECTRIC CO (GE)									
	6/1/09	53,000	43.523	71.390	2,306.74	3,783.67	1,476.93 LT		
	9/11/09	6,000	43.832	71.390	262.99	428.34	165.35 LT		
	11/17/10	3,000	46.987	71.390	140.96	214.17	73.21 LT		
	3/28/13	3,000	72.380	71.390	217.14	214.17	(2.97) LT		
	5/10/13	4,000	71.910	71.390	287.64	285.56	(2.08) LT		
	8/5/13	1,000	71.430	71.390	71.43	71.39	(0.04) LT		
Total		70,000			3,286.90	4,997.30	1,710.40 LT	231.00	4.62

The Parveen and Neeraj Jain Endowment Fund
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The Parveen and Neeraj Jain Endowment Fund
 EIN 47-0934264
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
PG&E CORPORATION (PCG)									
	6/1/09	38,000	37.618	53.190	1,429.48	2,021.22	591.74 LT		
	9/11/09	9,000	40.070	53.190	360.63	478.71	118.08 LT		
	8/10/11	23,000	39.230	53.190	902.29	1,223.37	321.08 LT		
	9/2/11	5,000	41.480	53.190	207.40	265.95	58.55 LT		
	3/28/13	20,000	44.400	53.190	888.00	1,053.80	175.80 LT		
	8/5/13	1,000	45.620	53.190	45.62	53.19	7.57 LT		
Total		96,000			3,833.42	5,106.24	1,272.82 LT	175.00	3.42
<i>Next Dividend Payable 01/15/16; Asset Class: Equities</i>									
POTASH CP OF SASKATCHEWAN INC (POT)									
	8/5/13	191,000	29.596	17.120	5,652.91	3,269.92	(2,382.99) LT	290.00	8.86
<i>Next Dividend Payable 02/2016; Asset Class: Equities</i>									
ROYAL DUTCH SHELL PLC CL B (RDS'B)									
	8/10/11	25,000	62.221	46.040	1,555.53	1,151.00	(404.53) LT		
	9/2/11	2,000	66.250	46.040	132.50	92.08	(40.42) LT		
	3/28/13	12,000	66.840	46.040	802.08	552.48	(249.60) LT		
	8/5/13	2,000	67.020	46.040	134.04	92.08	(41.96) LT		
	10/28/14	3,000	74.013	46.040	222.04	138.12	(83.92) LT		
	1/26/15	5,000	69.774	46.040	348.87	230.20	(118.67) ST		
Total		49,000			3,195.06	2,255.96	(820.43) LT	184.00	8.15
<i>Asset Class: Equities</i>									
SOUTHERN CO (SO)									
	9/11/09	54,000	31.059	46.790	1,677.70	2,526.66	848.96 LT		
	3/28/13	12,000	46.670	46.790	560.04	561.48	1.44 LT		
	5/10/13	6,000	45.960	46.790	275.76	280.74	4.98 LT		
	8/5/13	3,000	44.120	46.790	132.36	140.37	8.01 LT		
Total		75,000			2,645.86	3,509.25	863.39 LT	163.00	4.64
<i>Next Dividend Payable 03/2016; Asset Class: Equities</i>									
VERIZON COMMUNICATIONS (VZ)									
	6/1/09	52,000	27.532	46.220	1,431.67	2,403.44	971.77 LT		
	9/11/09	19,000	29.061	46.220	552.16	878.18	326.02 LT		
	9/28/10	15,000	32.810	46.220	492.15	693.30	201.15 LT		
	11/17/10	7,000	32.170	46.220	225.19	323.54	98.35 LT		
	9/2/11	6,000	35.600	46.220	213.60	277.32	63.72 LT		
	8/5/13	5,000	50.170	46.220	250.85	231.10	(19.75) LT		
	9/11/14	1,000	48.900	46.220	48.90	46.22	(2.68) LT		
	1/26/15	11,000	46.986	46.220	516.85	508.42	(8.43) ST		
Total		116,000			3,731.37	5,361.52	1,638.58 LT	262.00	4.88
<i>Next Dividend Payable 02/2016; Asset Class: Equities</i>									
							(8.43) ST		

STOCKS	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	39.02%	\$84,156.94	\$104,866.40	\$21,175.25 LT \$(465.79) ST	\$5,019.00	4.79%

EXCHANGE-TRADED & CLOSED-END FUNDS

Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL), may be shown for certain exchange-traded funds and are not guarantees of performance. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement for a description of these status codes

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ALLIANZGI CV&INC II (NCZ)									
	9/28/10	676,000	\$9.133	\$5.050	\$6,174.04	\$3,413.80	\$(2,760.24) LT		
	11/7/10	35,000	8.890	5.050	311.15	176.75	(134.40) LT		
	8/10/11	84,000	7.827	5.050	657.48	424.20	(233.28) LT		
	9/2/11	1,000	8.440	5.050	8.44	5.05	(3.39) LT		
	3/28/13	125,000	8.668	5.050	1,083.50	631.25	(452.25) LT		
	8/5/13	9,000	8.782	5.050	79.04	45.45	(33.59) LT		
	10/28/14	44,000	9.087	5.050	399.81	222.20	(177.61) LT		
	1/26/15	97,000	8.524	5.050	826.78	489.85	(336.93) ST		
Total		1,071,000			9,540.24	5,408.55	(3,794.76) LT (336.93) ST	739.00	13.66

Next Dividend Payable 01/04/16; Asset Class: Equities

EATON VANCE TAX ADV GLBL DIV (ETG)

	9/11/09	319,000	12.924	15.520	4,122.76	4,950.88	828.12 LT		
	9/28/10	29,000	13.808	15.520	400.43	450.08	49.65 LT		
	9/2/11	31,000	13.630	15.520	422.53	481.12	58.59 LT		
	5/10/13	12,000	16.150	15.520	193.80	186.24	(7.56) LT		
	8/5/13	4,000	15.940	15.520	63.76	62.08	(1.68) LT		
	10/28/14	17,000	16.690	15.520	283.73	263.84	(19.89) LT		
	1/26/15	33,000	16.187	15.520	534.16	512.16	(22.00) ST		
Total		445,000			6,021.17	6,906.40	907.23 LT (22.00) ST	547.00	7.92

Next Dividend Payable 01/20/16; Asset Class: Equities

EATON VANCE TAX MGD DIV EQU FD (EXG)

	9/11/09	27,000	7.681	8.850	207.39	238.95	31.56 LT R		
	9/28/10	240,000	7.784	8.850	1,868.04	2,124.00	255.96 LT R		
	8/10/11	235,000	6.367	8.850	1,496.30	2,079.75	583.45 LT R		
	9/2/11	92,000	6.789	8.850	624.57	814.20	189.63 LT R		
	3/28/13	82,000	8.705	8.850	713.81	725.70	11.89 LT R		
	8/5/13	1,000	9.260	8.850	9.26	8.85	(0.41) LT R		
	10/28/14	36,000	9.857	8.850	354.84	318.60	(36.24) LT		

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	1/26/15	66,000	9.437	8.850	622.86	584.10	(38.76) ST		
Total		779,000			5,897.07	6,894.15	1,035.84 LT (38.76) ST	760.00	11.02
<i>Next Dividend Payable 01/2016, Asset Class: Equities</i>									
NUVEEN REAL EST INC FD (IRS)									
	9/2/11	239,000	9.740	10.620	2,327.86	2,538.18	210.32 LT		
	3/28/13	32,000	12.440	10.620	398.08	339.84	(58.24) LT		
	8/5/13	49,000	11.471	10.620	562.08	520.38	(41.70) LT		
	9/11/14	11,000	10.935	10.620	120.28	116.82	(3.46) LT		
Total		331,000			3,408.30	3,515.22	106.92 LT	318.00	9.04
<i>Next Dividend Payable 03/2016, Asset Class: Alt</i>									
THE FINANCIAL SEL SECT SPDR FD (XLF)									
	6/1/09	41,000	12.410	23.830	508.80	977.03	468.23 LT		
	9/28/10	129,000	14.400	23.830	1,857.60	3,074.07	1,216.47 LT		
	8/10/11	109,000	12.437	23.830	1,355.63	2,597.47	1,241.84 LT		
	9/2/11	84,000	12.616	23.830	1,059.76	2,001.72	941.96 LT		
	1/26/15	2,000	23.830	23.830	47.66	47.66	0.00 ST		
Total		365,000			4,829.45	8,697.95	3,868.50 LT	169.00	1.94
<i>GIMA Status: AL: Next Dividend Payable 03/2016, Asset Class: Equities</i>									
	Percentage of Holdings								
EXCHANGE-TRADED & CLOSED-END FUNDS	11.69%				\$29,696.23	\$31,422.27	\$2,123.73 LT (397.69) ST	\$2,533.00	8.06%

The Parveen and Neeraj Jain Endowment Fund
 EIN 47-0934264
 Form 990-PF, Part II, Line 10b Attachment
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MUTUAL FUNDS

"Total Purchases vs. Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash, due to but not limited to investments made prior to addition of this information on statements; securities transfers, timing of recent distributions; and certain adjustments made in your account

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
REINHART MIDCP PRV MKT VAL ADV (RPMVX)	9/11/14	265.500	\$14.850	\$13.020	\$3,942.68	\$3,456.81	\$(485.87) LT		
	10/28/14	17.309	14.480	13.020	250.64	225.36	(25.28) LT		
	1/26/15	258.241	13.820	13.020	3,568.89	3,362.30	(206.59) ST		
Purchases		541.050			7,762.21	7,044.47	(511.15) LT (206.59) ST		
Long Term Reinvestments		21.062			293.81	274.23	(19.58) LT		
		20.516			264.96	267.12	2.16 ST		
Total		582.628			8,320.98	7,585.82	(530.73) LT (204.43) ST	40.00	0.52
Total Purchases vs Market Value									
Net Value Increase/(Decrease)									
					7,762.21	7,585.82	(176.39)		
Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest, Asset Class: Equities									
SUNAMERICA NEW FOC DIV STRA A (FDSAX)									
	9/11/14	909.664	17.820	15.390	16,210.21	13,999.73	(2,210.48) LT		
Purchases		909.664			16,210.21	13,999.73	(2,210.48) LT		
Long Term Reinvestments		108.939			1,798.02	1,676.57	(121.45) LT H		
		99.601			1,563.03	1,532.86	(30.17) ST		
Total		1,118.204			19,571.26	17,209.16	(2,331.93) LT (30.17) ST	458.00	2.66
Total Purchases vs Market Value									
Net Value Increase/(Decrease)									
					16,210.21	17,209.16	998.95		
Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest, Basis Adjustment Due to Wash Sale \$9.02, Asset Class: Equities									
MUTUAL FUNDS									
	Percentage of Holdings				Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	9.23%				\$27,892.24	\$24,794.98	\$(2,862.66) LT \$(234.60) ST	\$498.00	2.01%

The Parveen and Neeraj Jain Endowment Fund
 EIN 47-0934264
 Form 990-PF, Part II, Line 10b Attachment
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Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
	\$141,745.41	\$268,750.00	\$20,436.32 LT	\$8,072.00	3.00%
		\$268,750.00	\$(1,098.08) ST	\$0.00	
TOTAL MARKET VALUE					
TOTAL VALUE (includes accrued interest)					
100.00%					

H - Wash sale rules apply to this tax lot. The cost basis and acquisition date (trade date) have been adjusted to account for disallowed loss of a related wash sale transaction. The aggregate amount of the basis adjustment is identified in italics under the Security Description.
 R - The cost basis for this tax lot was adjusted due to a reclassification of income.
 Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Annuities & Insurance	Structured Investments	Other
Cash, BDP, MMFs	\$107,666.35	—	—	—	—	—	—
Stocks	—	\$95,155.44	—	\$9,710.96	—	—	—
ETFs & CEFs	—	27,907.05	—	3,515.22	—	—	—
Mutual Funds	—	24,794.98	—	—	—	—	—
TOTAL ALLOCATION OF ASSETS	\$107,666.35	\$147,857.47	—	\$13,226.18	—	—	—

ACTIVITY

CASH FLOW ACTIVITY BY DATE

Transaction Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
12/1	Dividend	ALLIANZGI CV&INC II				\$61.58
12/1	Qualified Dividend	PFIZER INC			78.40	
12/4	Qualified Dividend	SOUTHERN CO				40.69
12/10	Qualified Dividend	HAWAIIAN ELECTRIC IND			54.25	
12/10	Qualified Dividend	CHEVRON CORP				33.17
12/14	Qualified Dividend	DU PONT ELDE NEMOURS & CO			35.34	
12/15	Dividend	SUNAMERICA NEW FOC DIV STRA A				123.30
		DIV PAYMENT				
12/15	Long Term Capital Gain	SUNAMERICA NEW FOC DIV STRA A				1,198.23
12/15	Dividend Reinvestment	SUNAMERICA NEW FOC DIV STRA A	REINVESTMENT	85.813	15.4000	(1,321.53)
12/16	Qualified Dividend	DUKE ENERGY CORP NEW				57.75
12/18	Qualified Dividend	ROYAL DUTCH SHELL PLC CL B				46.06
12/20	Qualified Dividend	DOMINION RES INC (NEW)				47.27

FORM 990-PF		DIVIDENDS AND INTEREST FROM SECURITIES			STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
MORGAN STANLEY 012036	10,965.	1,366.	9,599.	9,599.		
TO PART I, LINE 4	10,965.	1,366.	9,599.	9,599.		

FORM 990-PF		OTHER INCOME			STATEMENT	2
DESCRIPTION		(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME		
MISCELLANEOUS INVESTMENT INCOME		36.	36.			
TOTAL TO FORM 990-PF, PART I, LINE 11		36.	36.			

FORM 990-PF		ACCOUNTING FEES			STATEMENT	3
DESCRIPTION		(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES		2,405.	2,405.		0.	
TO FORM 990-PF, PG 1, LN 16B		2,405.	2,405.		0.	

FORM 990-PF		OTHER PROFESSIONAL FEES			STATEMENT	4
DESCRIPTION		(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT MANAGEMENT FEES		2,387.	2,387.		0.	
TO FORM 990-PF, PG 1, LN 16C		2,387.	2,387.		0.	

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX	92.	92.		0.	
TO FORM 990-PF, PG 1, LN 18	92.	92.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
SECURITIES - SEE ATTACHED SCHEDULE	141,745.		161,084.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	141,745.		161,084.	

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	7
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NAME OF MANAGER

PARVEEN JAIN
NEERAJ JAIN

Part XV | **Supplementary Information**

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - JAINA

TO SUPPORT THE ORGANIZATION'S MISSION OF THE PRACTICE AND PRESERVATION
OF JAIN RELIGION AND HUMANITARIANISM.

NAME OF RECIPIENT - EL CAMINO HOSPITAL

TO SUPPORT THE EL CAMINO HOSPITAL FOR OPERATIONS, PURCHASES AND
IMPROVEMENTS TO BENEFIT COMMUNITY PROGRAMS.