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Form **990-PF** Department of the Treasury Internal Revenue Service

EXTENDED TO AUGUST 15, 20: Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public. ▶ Information about Form 990-PF and its separate instructions is at www.ms.gov/form 990pf. OMB No 1545-0052

- 1	or c	alen	dar year 2015 or tax year beginning		, and ending		
-	Name of foundation					A Employer identification n	umber
	H	ERI	BERT & BUI SIMON FOUNDA	46-2577284			
			nd street (or P O box number if mail is not delivered to street	address)	Room/suite	B Telephone number	
			BOX 7033			317-636-160	
			own, state or province, country, and ZIP or foreign p			C If exemption application is per	iding, check here
			IANAPOLIS, IN 46207-70 all that apply: Initial return	Initial return of a fo	rmer public charity	D 1. Foreign organizations,	check here
,	a 0	IICUK	Final return	Amended return	minor public onlarity		
			Address change	Name change		Foreign organizations meet check here and attach com	ing the 85% test, putation
	H C	heck	type of organization: X Section 501(c)(3) ex	empt private foundation		E If private foundation statu	s was terminated
] Se	<u> </u>	Other taxable private founda		under section 507(b)(1)(/	A), check here 🕨 📖
			·	ng method: X Cash	Accrual	F If the foundation is in a 60	
	•		Part II, col. (c), line 16) U1 (Part I, colu	ther (specify)	hasis)	under section 507(b)(1)(l	B), check here
	Pa	<u></u> rt I		(a) Revenue and	(b) Net investment	(c) Adjusted net	(d) Disbursements
l	Га		(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	expenses per books	income	income	for charitable purposes (cash basis only)
		1	Contributions, gifts, grants, etc., received	1,004,036.		N/A	
		2	Check If the foundation is not required to attach Sch. B				
		3	Interest on savings and temporary cash investments		64.050		
		4	Dividends and interest from securities	64,350.	64,350	•	STATEMENT 1
		· .	Gross rents			 	
	ĺ		Net rental income or (loss) Net gain or (loss) from sale of assets not on line 10	168,966.		RECEIVE	=D
8	υe	b	Gross sales price for all assets on line 8a assets on line 8a				
7	Revenue	7	Capital gain net income (from Part IV, line 2)		168,966	. 10	<u>S</u>
3 0 2016		8	Net short-term capital gain			DO JUN Z / 4	
Z		9	Income modifications Gross sales less returns				
N N			and allowances			OGDEN	
			Less Cost of goods sold			<u> </u>	
岁			Other income	2,256.	2,256		STATEMENT 2
Ī		12	Total Add lines 1 through 11	1,239,608.	235,572		
SCANNED		13	Compensation of officers, directors, trustees, etc	0.	0	•	0
ഗ		14	Other employee salaries and wages	-			
	es S		Pension plans, employee benefits Legal fees STMT 3	747.	0		0
	eus		Accounting fees STMT 4	4,750.	0	•	0
	Expens		Other professional fees STMT 5	24,140.	24,140	•	0
O ^{ZD}	Ķ	17	Interest			_	
22	and Administrative	18	Taxes STMT 6	23,807.	1,401	•	0
Sa	inis	19	Depreciation and depletion			-	
ည္ဆည္တ	βď	20	Occupancy Travel, conferences, and meetings				
Rec in Batching/ Corres Ogden	P	22	Printing and publications				
æ	g a	23	Other expenses STMT 7	135.	0	•	0
J N	Operating	24	Total operating and administrative		05 546		•
2	g		expenses. Add lines 13 through 23	53,579.	25,541	•	<u>0</u> 354,000
2 8		25	Contributions, gifts, grants paid	354,000.			334,000
2016		20	Total expenses and disbursements. Add lines 24 and 25	407,579.	25,541	•	354,000
~	_	27	Subtract line 26 from line 12:				
		i	2 Excess of revenue over expenses and disbursements	832,029.	0.000		
			Net investment income (if negative, enter -0-)		210,031		
			C Adjusted net income (if negative, enter -0-)	<u> </u>	<u> </u>	N/A	

LHA For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2015)

For	m 99	0-PF (2015) HERBERT & BUI SIMON	FOUN			<u> 2577284</u>	Page 2
P	art	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	<u> </u>	Beginning of year	End of		
_	ai t	column should be for end-of-year amounts only		(a) Book Value	(b) Book Value	(c) Fair Market	
		Cash - non-interest-bearing	_	1,084,034.	533,191.	533,	191.
	ı	Savings and temporary cash investments					
	3	Accounts receivable					
		Less: allowance for doubtful accounts ▶				<u> </u>	
	4	Pledges receivable -					
	_	Less: allowance for doubtful accounts ▶					
	•	Grants receivable				_	
	6	Receivables due from officers, directors, trustees, and other					
	_	disqualified persons					
	′	Other notes and loans receivable Less: allowance for doubtful accounts		1			
	١.	Inventories for sale or use					
Assets	ļ	Prepaid expenses and deferred charges	-				
Ass		Investments - U.S. and state government obligations	-	* .			
		Investments - corporate stock STMT	9	247,507.	336,555.	368	766.
	l .	Investments - corporate bonds STMT	_	0.	394,630.		654.
	i	Investments - land, buildings, and equipment basis	-		331,0301		
	''	Less accumulated depreciation			İ		
	12	Investments - mortgage loans					
	13	Investments - other STMT	11	2,198,503.	2,942,884.	2,747,	222.
		Land, buildings, and equipment: basis			,		
		Less accumulated depreciation					
	15	Other assets (describe)			-	
		Total assets (to be completed by all filers - see the					
		instructions. Also, see page 1, item I)		3,530,044.	4,207,260.	4,032,	833.
	17	Accounts payable and accrued expenses	_	<u>-</u>			
	18	Grants payable	Ĺ				
S	19	Deferred revenue					
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons	_				
jab	21	Mortgages and other notes payable	<u> </u> _				
_	22	Other liabilities (describe >)				
	İ						
_	23	Total liabilities (add lines 17 through 22)		0.	0.		
		Foundations that follow SFAS 117, check here	$\sqcup \setminus$				
S		and complete lines 24 through 26 and lines 30 and 31.					
ဦ	24	Unrestricted Toward of the product of	-				
ala	25	Temporarily restricted	-				
Fund Balances	26	Permanently restricted	\mathbf{x}	· -			
Ē	ļ	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.					
ō	27	Capital stock, trust principal, or current funds		0.	0.		
ets	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.		
Net Assets	29	Retained earnings, accumulated income, endowment, or other fu	ınds -	3,530,044.	4,207,260.		
듛	30	Total net assets or fund balances		3,530,044.	4,207,260.		
Z	"		1	7.3.2.7.3.2.3.			
	31	Total liabilities and net assets/fund balances		3,530,044.	4,207,260.		
			und Ba			-	
ഥ	aπ	Analysis of Changes in Net Assets or F					
1		I net assets or fund balances at beginning of year - Part II, column	n (a), line 3	30			
	•	st agree with end-of-year figure reported on prior year's return)			1	<u>3,530,</u>	
2		r amount from Part I, line 27a			2	832,	029.
3		r increases not included in line 2 (itemize)					0.
4		lines 1, 2, and 3		ar- a	4	4,362,	
5		reases not included in line 2 (itemize)	D		ATEMENT 8 5		813.
<u>6</u>	Lota	I net assets or fund balances at end of year (line 4 minus line 5) -	<u>raπ II, co</u>	umm (b), line 30	6	4,207, Form 990-F	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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6

7

2,100.

884,598.

354,000.

7 Add lines 5 and 6

8 Enter qualifying distributions from Part XII, line 4

6 Enter 1% of net investment income (1% of Part I, line 27b)

orm Pa	990-PF (2015) HERBERT & BUI SIMON FOUNDATION INC. 46-25" rt VI . Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - se	77284 e instru	ctio	Page 4
1a'	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1.			
	Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here and enter 1%		4,2	01.
	of Part I, line 27b			
C	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			0.
	Add lines 1 and 2		4,2	01.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			0.
	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		4,2	01.
	Credits/Payments:			
а	2015 estimated tax payments and 2014 overpayment credited to 2015 6a 17,720.			
b	Exempt foreign organizations - tax withheld at source 6b			
	Tax paid with application for extension of time to file (Form 8868)			
	Backup withholding erroneously withheld 6d 6d			
	Total credits and payments. Add lines 6a through 6d	1	<u>7,7</u>	<u> 20.</u>
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 8			
	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	1	3,5	<u> 19.</u>
	Enter the amount of line 10 to be: Credited to 2016 estimated tax 13,519. Refunded 11			0.
Pa	rt VII-A Statements Regarding Activities			
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in		Yes	
	any political campaign?	1a		X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		_X_
	If the answer is "Yes" to la or lb, attach a detailed description of the activities and copies of any materials published or			
	distributed by the foundation in connection with the activities.			
C	Did the foundation file Form 1120-POL for this year?	1c		<u>X</u>
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:			
	(1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0.			
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation			
	managers. > \$0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		<u>X</u>
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or			
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		<u>X</u>
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on Form 990-T for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5	_	_X_
	If "Yes," attach the statement required by General Instruction T			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			
	By language in the governing instrument, or	†		
	By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law			
	remain in the governing instrument?	6	_X_	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	_X_	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)	_		
	IN	_		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)			
	of each state as required by General Instruction G? If "No," attach explanation	8b	_ <u>X</u> _	<u> </u>
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar			
	year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		<u>X</u> _
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X
		Form 990	ノーピト	(2015)

All any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of sections 13(1)\(\frac{1}{3}\)? If Yes, a flatch schedule (see instructions)	Pa	art VII-A Statements Regarding Activities (continued)			
section 12(0)(13)? If "res," attach schedule (see instructions) 10 dit the foundation make a distribution to a doner advosed fund over which the foundation or a disqualified person had advisory privilegies? 11 Types, attach statement (see instructions) 12 X 13 X Webselts address > N/A 15 be thooks are in care of \$\frac{\text{IRRBERT}}{\text{SIMON}}\$ 15 Section 49(7(6)(1) nonsempt characteristic transport of the foundation of a disqualified person? 16 At any time during calendar year 2015, did the foundation have an instruct in or a singature or other authority over a bank, securities, or other francial account in a foreign country. 16 Set be instructions for exceptions and fining requirements for information in a network of the securities, or other francial accounts in a foreign country. 16 Statements Regarding Activities for Which Form 4720 May Be Required 17 File Form 4720 flany time in schecked in the "Yes" column, unless an exception applies. 18 Jew Simon of the security of the security of the security of the securities of the securities of the security of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the securities of the		•		Yes	No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Without additions ■ N/A 17 The books are in our of ■ HERBERT SIMON Loaded at ▶ PO BOX 7033, INDIANAPOLIS, IN Section 4947(4(1) nonexempt charables trists fining form 990-PF in les of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 18 At any time during cleandar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and fright requirements for FinCRN Form 114, If Yes, eiter the name of the foreign country. Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 10 During the year did the foundation (either directly or indirectly); 11) Engage in the sale or exchange, or lessing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or remitions the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person or level to the benefit or use of a disqualified person or level to the benefit or use of a disqualified person or level to the benefit or use of a disqualified person or level to the benefit or use of a disqualified person or level to the secretion of glower mant service, of terminal person or level to pay money or property to a government entition? (Exception, Chack 'No' If the foundation engage in a price to level expenses of the acts fait to quality under the exceptions described in Re	11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
Is 1 Yes, aftach statement (see metructions) 13		section 512(b)(13)? If "Yes," attach schedule (see instructions)		<u> </u>	_ <u>X</u> _
13 X	12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?		1	
Website address ► N/A 17 The books are in care of ► HERBERT SIMON Telephone no. ▶ 317-636-1600 27P-44 ▶ 45207 18 Atom books are in care of ▶ HERBERT SIMON Telephone no. ▶ 317-636-1600 27P-44 ▶ 45207 19 Section 4947(a)(1) nonexempt chantable trusts filing form 990-PF in lieu of Form 1041- Check here and rether the amount of Lax-exempt interests received or accrued during the year and rether the amount of Lax-exempt interests received or accrued during the year and rether the amount of Lax-exempt interest received or accrued during the year and rether the amount of Lax-exempt interests received or accrued during the year and rether the amount of Lax-exempt interests creation of the foundation of the foundation in the Vest* column, unless an exception applies. 19 At 14 Yes No 19 Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any term is checked in the "Yest* column, unless an exception applies. 19 Loung the year off the foundation (either directly or indirectly); (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lied money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any morne or assets to a disqualified person or lot on the termination of government official? (Exception, Check "No" of the foundation agreed to make a grant to or to employ the othical for a period after termination of government service, if terminating within 90 days.) 18 If any shore it we's to 14 (1-16), of d any of the acts described in 18, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? 19 A the money of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015 in Yes. I					_ <u>X</u> _
14. The books are in care of ▶ HERBERT SIMON Telephone no. ▶ 317 - 636 - 1600 Located at ▶ PO BOX 7033 . INDIANAPOLIS IN Section 4947(a(f) nonexempl charitable trusts fling Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exampt interest received or accrued during the year. A stay himse during clained year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and fining requirements for FinCEN Form 114. If Yes, enter the name of the foreign country. Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required Filie Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly): 1b Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualided person? 2c) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualided person? 3c) Furnish goods, services, or facilities to (or accept them from) a disqualided person? 4c) Fig. Transfer any income or assets to a disqualided person (or make any of either available for the benefit or use of a disqualided person) (or make any of either available for the benefit or use of a disqualided person) (or make any of either available for the benefit or use of a disqualided person) (or make any of either available for the benefit or use of a disqualided person) (or make any of either available for the benefit or use of a disqualided person) (or make any of either available for the benefit or use of a disqualided person) (or make any of either available for the benefit or use of a disqualided person) (or make any of either available for the benefit or use of a disqualided person) (or make any of either available for the benefit or use of a disqualided person) (or make any of either available for the boundation or disqualided person)	13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	<u> </u>	
Located at No. PO. BOX 70.33. INDIANAPOLIS. IN Section 4947(a(f)) nonexempt charable trusts filing Form 990-PF in leve of Form 1041-Check here and enter the amount of tax-exempt interest received or accreed during the year. 16. At any time during calendary year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country. See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country. See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country. See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country. See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country. See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country. See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country. It provides the seed of the formation of indication in a filing filing and filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing filing fil					
15 Section 4947(a)(1) nonexempt charable trusts fung Form 990-PF in lieu of Form 1041 - Check here and enter the amount of lax-exempt interest received or accrued during the year and enter the amount of lax-exempt interest received or accrued during the year A chary time during cleindary year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and fining requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country. Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required FIIe Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Firmish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Firanser any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, it ferminating within 90 days; (6) Agree to pay money or property to a povernment official? (Exception, Check No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government merch uncher agrading disaster assistance check here 2 Did the foundation engage in a prior year in any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(ii) or in a current notice regarding disaster assistance check here 2 Did the foundation engage in a prior year in any of the acts fail to qualify under	14				
and enter the amount of tax-exempt interest received or accrized during the year 1			<u> 207</u>		
No securities, or other financial account in a foreign country? See the instructions for exceptions and filting requirements for FincEN Form 114. If "Yes," enter the name of the foreign country. Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly): 1b Engage in the sale or exchange, or lessing of property with a disqualified person? (2) Borrow money fron, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 14(-)6, did any of the acts fails to quality under the exceptions described in Regulations section 33.4941 (g)-30 in a current notice regarding disaster assistance check here C) Did the foundation engage in a prior year in any of the acts fails to quality under the exceptions described in Regulation section 4942(g)(3) or 4942(g)(3) or 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 4942(g)(3) in 49	15			. , . ▶	
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termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fall to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? C Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years \[\subset \] b Are there any years isted in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. Ab a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 5b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) Ab Did the foundation make any investment in a p					
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c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years \					_
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Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes X No		,	2b		
during the year? b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) 1				ļ	
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4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? 4b X			34		
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had not been removed from jeopardy before the first day of the tax year beginning in 2015?			a		
Form 990-PF (2015)	ı		4b		х
		F		D-PF	

orm 990-PF (2015) HERBERT & BUI SIMON FOUN Part VII-B Statements Regarding Activities for Which I	DATION INC.		46-257728	34 Page 6
5a During the year did the foundation pay or incur any amount to:	FORM 4720 May be F	required (continu	uea)	
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	1 4945(e)\?		es 🗓 No	
(2) Influence the outcome of any specific public election (see section 4955); or				
any voter registration drive?	to outry on, andony or mane		es 🗓 No	
(3) Provide a grant to an individual for travel, study, or other similar purposes	?		es X No	1 1
(4) Provide a grant to an organization other than a charitable, etc., organization				
4945(d)(4)(A)? (see instructions)		☐ Ye	es X No	
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or f	for		
the prevention of cruelty to children or animals?		Ye	es X No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify uni	der the exceptions described i	n Regulations		
section 53.4945 or in a current notice regarding disaster assistance (see instru			N/A 5	b
Organizations relying on a current notice regarding disaster assistance check h			▶□	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption f	rom the tax because it mainta	ined		
expenditure responsibility for the grant?	N	I/A 🗌 Ye	es 🔲 No	
If "Yes," attach the statement required by Regulations section 53 494	5-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	pay premiums on			
a personal benefit contract?		Ye	es 🗶 No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	personal benefit contract?		6	b X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax s		Ye	es 🗶 No 📙	
b If "Yes," did the foundation receive any proceeds or have any net income attrib	utable to the transaction?			<u>b</u>
Part VIII Information About Officers, Directors, Trust	ees, Foundation Ma	ınagers, Highly	y	
Paid Employees, and Contractors				
List all officers, directors, trustees, foundation managers and their		(c) Compensation	(d) Contributions to	(a) Eynense
(a) Name and address	(b) Title, and average hours per week devoted	(If not paid,	(d) Contributions to employee benefit plans and deferred	(e) Expense account, other
DODN'ET BUT GIVON	to position PRESIDENT	enter -0-)	compensation	allowances
PORNTIP BUI SIMON 22337 PCH #321	PKESIDENI			
MALIBU, CA 90265	0.00	0.	0.	0.
HERBERT SIMON	VICE PRESIDEN		<u>-</u>	<u> </u>
PO BOX 7033	VICE INDEED	` *		
INDIANAPOLIS, IN 46207	0.00	0.	0.	0.
ROBYN L. FREEDMAN	SECRETARY			
PO BOX 7033				
INDIANAPOLIS, IN 46207	0.00	0.	0.	0.
MARK N. BERNSTEIN	TREASURER			
PO BOX 7033	_			
INDIANAPOLIS, IN 46207	0.00	0.	0.	0.
2 Compensation of five highest-paid employees (other than those in		enter "NONE."	T 2 B	
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
				· · · · · · · · · · · · · · · · · · ·
	_			
	1			
		-		
	1			
		1		
Total number of other employees paid over \$50,000				0
			Form	990-PF (2015)

Part VIII. Information About Officers, Directors, Trustees, Foundation Man	<u>46-2577284</u> agers, Highly	Page 7
Paid Employees, and Contractors (continued)		
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."		
(a) Name and address of each person paid more than \$50,000	(b) Type of service (c) C	ompensation
NONE		
	-	
Total number of others receiving over \$50,000 for professional services	D	(
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	on such as the Exper	ises
1 N/A		
2		
3		
4		
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amou	ınt
1 N/A		
2		
All other program-related investments. See instructions.		
3		
Table And Image 4 Abres and 2	•	0.
Total. Add lines 1 through 3		D-PF (2015

Fori	m 990-PF (2015) HERBERT & BUI SIMON FOUNDATION INC.	46-	2577284 Page 8
	Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations,	see instructions.)
-	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
а	Average monthly fair market value of securities	1a	3,229,961.
b	Average of monthly cash balances	1b	186,570.
	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,416,531.
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0 •		•
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,416,531.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	51,248.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,365,283.
6	Minimum investment return. Enter 5% of line 5	_6	168,264.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations ar	nd certain	
	foreign organizations check here 🕨 🔃 and do not complete this part.)		
1	Minimum investment return from Part X, line 6	1	168,264.
2a	Tax on investment income for 2015 from Part VI, line 5		
b	Income tax for 2015. (This does not include the tax from Part VI.)		
C	Add lines 2a and 2b	2c	4,201.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	164,063.
4	Recoveries of amounts treated as qualifying distributions	4	<u> </u>
5	Add lines 3 and 4	5	164,063.
6	Deduction from distributable amount (see instructions)	6	0.
7_	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	164,063.
Р	Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		254 622
а	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	354,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
а		3a	
b	,	3b	254 202
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	354,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		-
	income Enter 1% of Part I line 27h	l 5 l	0.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section

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354,000.

Adjusted qualifying distributions Subtract line 5 from line 4

4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI,				
line 7				164,063.
2 Undistributed income, if any, as of the end of 2015			_	
a Enter amount for 2014 only			0.	
b Total for prior years:		•		
		0.	_	
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011 c From 2012				
d From 2013 264, 243.				
e From 2014 159, 945.				
f Total of lines 3a through e	424,188.			
4 Qualifying distributions for 2015 from				· · · · ·
Part XII, line 4: ► \$ 354,000.				
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior		_).
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus				
(Election required - see instructions)	0.		-	
d Applied to 2015 distributable amount				164,063.
e Remaining amount distributed out of corpus	189,937.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	614,125.	-		
b Prior years' undistributed income. Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years'				
undistributed income for which a notice of deficiency has been issued, or on which				
the section 4942(a) tax has been previously		•		
assessed		0.		
d Subtract line 6c from line 6b. Taxable		0		
amount - see instructions		0.		
e Undistributed income for 2014. Subtract line			0.	
4a from line 2a. Taxable amount - see instr. f Undistributed income for 2015. Subtract			0.	
lines 4d and 5 from line 1. This amount must				
be distributed in 2016				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3) (Election				
may be required - see instructions)	0.			
8 Excess distributions carryover from 2010				
not applied on line 5 or line 7				
9 Excess distributions carryover to 2016.				
Subtract lines 7 and 8 from line 6a	614,125.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012	}			
c Excess from 2013 264, 243. d Excess from 2014 159, 945.				
e Excess from 2015 189,937.				
ELAUG33 HUHI 2010 107,7376				Form 000 PE (0015)

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Part XIV. Private Operating Fo	w BUI SIMUR			N/A	0 / 1 2 0 4 Faye 10			
			17, question 5)	N/A				
1 a' If the foundation has received a ruling or								
foundation, and the ruling is effective for 2015, enter the date of the ruling b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)								
ſ	Tax year	J loundation described	Prior 3 years	<u>1</u> 4342(J)(3) 014.	1			
2 a Enter the lesser of the adjusted net income from Part I or the minimum	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total			
investment return from Part X for	(4) 23 (5)	(-)	(4) = 1		(47.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.			
each year listed b 85% of line 2a					 · · · 			
c Qualifying distributions from Part XII,				-				
line 4 for each year listed								
d Amounts included in line 2c not		· · · · · · · · · · · · · · · · · · ·						
used directly for active conduct of								
exempt activities								
e Qualifying distributions made directly								
for active conduct of exempt activities.								
Subtract line 2d from line 2c								
3 Complete 3a, b, or c for the								
alternative test relied upon: a "Assets" alternative test - enter:								
(1) Value of all assets								
(2) Value of assets qualifying								
under section 4942(j)(3)(B)(i)								
b "Endowment" alternative test - enter								
2/3 of minimum investment return shown in Part X, line 6 for each year listed								
c "Support" alternative test - enter:								
(1) Total support other than gross								
investment income (interest,								
dividends, rents, payments on securities loans (section								
512(a)(5)), or royalties)								
(2) Support from general public								
and 5 or more exempt organizations as provided in								
section 4942(j)(3)(B)(iii)				-				
(3) Largest amount of support from								
an exempt organization				-				
Part XV Supplementary Info	rmation (Comple	to this part only	if the foundation	n had \$5 000 or m	ore in assets			
Part XV Supplementary Info at any time during t			ii tile loullaatio	11 11au 45,000 01 111	ore in assets			
1 Information Regarding Foundation				 				
a List any managers of the foundation wh		than 2% of the total cor	tributions received by th	e foundation before the clo	se of any tax			
year (but only if they have contributed n			·		•			
HERBERT SIMON								
b List any managers of the foundation who other entity) of which the foundation ha			(or an equally large port	tion of the ownership of a p	partnership or			
NONE								
2 Information Regarding Contribut	ion, Grant, Gift, Loan,	Scholarship, etc., F	rograms:					
Check here X if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.								
a The name, address, and telephone num	<u> </u>							
a The hame, address, and telephone hum	Det of e-mail address of the	ne person to whom app	nications should be addit					
b The form in which applications should t	pe submitted and informat	ion and materials they	should include:					
c Any submission deadlines:								
d Any restrictions or limitations on award	s, such as by geographica	al areas, charitable field	s, kinds of institutions, or	other factors:				
F00804 14 04 15					Form 990-PF (2015)			

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Part XV | Supplementary Information (continued)

3 ' Grants and Contributions Paid During th		rayment		
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year	Of Substantial Continuator	Toopian		
a Pala during the year		}		
UNITY SHOPPE	NONE	PC	OPERATIONS	
319 SALIDA DEL SOL				
SANTA BARBARA, CA 93109				50,00
DREAM FOUNDATION	NONE	PC	OPERATIONS	
1528 CHAPALA STREET, SUITE 304	1,01,12			
SANTA BARBARA, CA 93101				5,000
CARLTHORP SCHOOL 438 SAN VICENTE BLVD	NONE	₽C	OPERATIONS	
SANTA MONICA CA 90402				90,000
BANTA MONTCA, CA 70402				50,000
PEPPERDINE UNIVERSITY	NONE	PC	OPERATIONS	
24255 PACIFIC COAST HIGHWAY				
MALIBU, CA 90263				28,000
BRENTWOOD SCHOOL	NONE	PC	OPERATIONS	
12001 SUNSET BLVD				
LOS ANGELES, CA 90049				35 000
	CONTINUATION SHE	ET(S)	3a	354,000
b Approved for future payment				
NONE			1	
		 		
			1	
		1		
Total			▶ 3b	

Part XVI-A	Analysis of	Income-Producing	a Activities

Enter gross amounts unless otherwise indicated.	Unrelated	business income		by section 512, 513, or 514	(e)	
•	(a) Business	(b) Amount	(C) Exclu- sion	(d) Amount	Related or exempt function income	
1 Program service revenue:	code	711100111	code	7 till Galle	Tanotion modific	
a	- -					
D	- 		 			
c	- 					
d	- 					
e	-			- +		
<u> </u>	-				<u> </u>	
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	64,350.	·	
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal						
property						
7 Other investment income			14	2,256.		
8 Gain or (loss) from sales of assets other	1					
than inventory			18	168,966.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a	_					
b	_				· · · · · · · · · · · · · · · · · · ·	
C						
d	_					
e					<u></u>	
12 Subtotal. Add columns (b), (d), and (e)) <u>.</u>	235,572.		
13 Total. Add line 12, columns (b), (d), and (e)				13	235,572.	
(See worksheet in line 13 instructions to verify calculations.)					

David VVII D	Deletionship of Activities to the Accomplishment of Evenut Dumes	
Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purpos	es

ne No	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
+	

Form **990-PF** (2015)

Form 990					FOUNDATION 1			<u> 2577284</u>		ige 13
Part >	(VII	Information Re Exempt Organ		sfers To a	and Transactions a	nd Relations	ships With No	ncharitable	e	
1 Did	the org	anization directly or indi	rectly engage in any	of the followin	g with any other organization	n described in sec	tion 501(c) of	T	Yes	No
the	Code (other than section 501(c)(3) organizations) c	or in section 52	?7, relating to political organ	ızatıons?				
a Tra	nsfers f	rom the reporting found	ation to a noncharita	able exempt or	ganization of:					
(1)	Cash							1a(1)		X
(2)	Other	assets						1a(2)		X
b Oth	er trans	sactions:								
(1)	Sales	of assets to a noncharita	ble exempt organiza	tion				1b(1)		X
(2)	Purch	ases of assets from a no	ncharitable exempt	organization				1b(2)		X
(3)	Rental	l of facilities, equipment,	or other assets					1b(3)		X
(4)	Reimb	oursement arrangements	•					1b(4)		X
(5)	Loans	or loan guarantees						1b(5)		X
(6)	Perfor	mance of services or me	embership or fundra	ising solicitatio	ons			1b(6)		X
c Sha	aring of	facilities, equipment, ma	uling lists, other asse	ets, or paid em	ployees			1c		X
d If th	ne answ	er to any of the above is	"Yes," complete the	following sche	edule. Column (b) should alv	ways show the fair	market value of the g	oods, other as:	sets,	
or s	services	given by the reporting f	oundation. If the fou	ındatıon receiv	ed less than fair market valu	ie in any transactio	n or sharing arrangei	ment, show in		
col	umn (d)) the value of the goods,	other assets, or serv	vices received.						
(a) Line n	0 ((b) Amount involved	(c) Name o	f noncharitable	e exempt organization	(d) Description	n of transfers, transactio	ns, and sharing ar	rangeme	nts
				N/A						
										
					_					
			<u></u>		 					
										
			<u></u>							
			ļ <u>-</u>							
			<u> </u>							
			<u> </u>							
			<u> </u>							
			<u></u>							
		•	•	· ·	or more tax-exempt organi	zations described				,
		501(c) of the Code (othe		c)(3)) or in sect	tion 527?			Yes	LX	No
<u>b f "</u>	Yes," co	mplete the following sch			/h) Turn of average the	 -	/-> December of up	I_4 h		
		(a) Name of org	janization		(b) Type of organization		(c) Description of re	lationship		
		N/A								
										
									—	
	Under	populties of person, I declare	that I have examined th	us raturn includir	ng accompanying schedules and	statements and to the	e hest of my knowledge			
Sign					n taxpayer) is based on all inform			May the IRS return with the	discuss t	his er
Here			1		1-11-11	PRESI	הפאות	shown below	(see inst	tr)?
	Ston	ature of officer or trustee	Sm		Date Plate	Title	NETA T	_ LX Yes	<u> </u>	J No
	Joigh	Print/Type preparer's na		Preparer's s	ionature	Date	Check If	PTIN		
			u	1.000.00.00			self- employed			
Paid		MARK N. BE	ואדשייטאקי	MAPK N	. BERNSTEIN	06/13/16		P00045	271	
Prepa	arer	Firm's name ► KSM				00/10/10	Firm's EIN ► 3			
Use		Tamanane - ROM	. DODINED	, PHICAT	, 1110.		THIII SLIN P 3.	<i></i>	55	

Phone no. (317) 580-2000 Form **990-PF** (2015)

Firm's address ▶ P.O. BOX 40857

INDIANAPOLIS, IN 46240-0857

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www .ms.gov/form 990.

OMB No 1545-0047

2015

Name of the organization

Employer identification number

	HE	RBERT & BUI SIMON FOUNDATION INC.	46-2577284
Organiza	tion type (check or	ne)·	
Filers of:		Section:	
Form 990	or 990-EZ	501(c)() (enter number) organization	
		4947(a)(1) nonexempt charitable trust not treated as a private foundation	
		527 political organization	
Form 990	-PF	X 501(c)(3) exempt private foundation	
		4947(a)(1) nonexempt charitable trust treated as a private foundation	
		501(c)(3) taxable private foundation	
		s covered by the General Rule or a Special Rule. (7), (8), or (10) organization can check boxes for both the General Rule and a Special Ru	le See instructions
General i	Rule		
		n filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling one contributor. Complete Parts I and II. See instructions for determining a contributor'	
Special F	Rules		
;	sections 509(a)(1) any one contributo	n described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amour, line 1. Complete Parts I and II.	or 16b, and that received from
,	year, total contribu	n described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from a strong of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educately to children or animals. Complete Parts I, II, and III	
; ;	year, contributions is checked, enter t purpose Do not co	in described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from a section section, charitable, etc., purposes, but no such contributions totaled make the total contributions that were received during the year for an exclusively religious complete any of the parts unless the General Rule applies to this organization because it e, etc., contributions totaling \$5,000 or more during the year	ore than \$1,000. If this box s, charitable, etc.,
but it mu	st answer "No" on	nat is not covered by the General Rule and/or the Special Rules does not file Schedule E Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Fo the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).	

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Name of organization

Employer identification number

HERBERT & BUI SIMON FOUNDATION INC.

46-2577284

Part I	Contributors (see instructions). Use duplicate copies of Part I if additional	space is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	HERBERT SIMON PO BOX 7033 INDIANAPOLIS, IN 46207	\$ 990,996.	Person Payroll Noncash X (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	HERBERT SIMON PO BOX 7033 INDIANAPOLIS, IN 46207	\$ <u>13,040.</u>	Person X Payroll
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Oncash Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)

Name of organization

Employer identification number

HERBERT & BUI SIMON FOUNDATION INC.

46-2577284

Part II	Noncash Property (see instructions). Use duplicate copies of Part II	(c)	
No. from Part I	(b) Description of noncash property given	FMV (or estimate) (see instructions)	(d) Date received
1	26,900 SHARES MFS INTL VALUE STOCK	_	·····
		990,996.	12/08/15
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		 _ \$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		 _ _	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		_	

Employer identification number

HERBE	RT & BUI SIMON FOUNDATION	ON INC.	46-2577284
Part III	Exclusively religious, charitable, etc., contr	ibutions to organizations described in sec plumps (a) through (e) and the following li	tion 501(c)(7), (8), or (10) that total more than \$1,000 for 16 CDITY. For granuzations
	completing Part III, enter the total of exclusively religious	, charitable, etc., contributions of \$1,000 or less for	the year (Enter this info once) \$
(a) No	Use duplicate copies of Part III if additiona	Il space is needed.	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
		(e) Transfer of gift	
	Transferee's name, address, an	d ZIP + 4	Relationship of transferor to transferee
(a) No.			
from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
		(e) Transfer of gift	
	T		Relationship of transferor to transferee
	Transferee's name, address, ar	10 ZIP + 4	nelationship of dansieror to dansieree
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
		(e) Transfer of gift	
	Transferee's name, address, at	nd ZIP + 4	Relationship of transferor to transferee
(a) No.	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
Part I			
		(e) Transfer of gift	
	Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee
			Schodulo B /Form 990, 990-E7, or 990-PE\/20

HERBERT & BUI SIMON FOUNDATION INC.

Part XV Supplementary Information Grants.and Contributions Paid During the Year (Continuation) If recipient is an individual, show any relationship to any foundation manager Foundation Purpose of grant or Amount status of contribution Name and address (home or business) or substantial contributor recipient ₽C OPERATIONS NONE CHILDREN'S MUSEUM OF INDIANAPOLIS 3000 N. MERIDIAN STREET 1,000. INDIANAPOLIS, IN 46208 NONE PC OPERATIONS A SENSE OF HOME 15335 MORRISON STREET, SUITE 145 30,000. SHERMAN OAKS, CA 91403 PC OPERATIONS NONE AMERICAN FRIENDS OF THE HEBREW UNIVERSITY ONE BATTERY PARK PLAZA, 25TH FLOOR 25,000. NEW YORK NY 10004 PC OPERATIONS B'NAI B'RITH TEMPLE NONE 1000 SAN ANTONIO CREEK ROAD 18,000. SANTA BARBARA, CA 93111 NONE PC OPERATIONS CADA 232 E. CANON PERDIDO 25 000. SANTA BARBARA, CA 93101 NONE PC OPERATIONS GIRLS, INC. 120 WALL STREET 20,000. NEW YORK, NY 10005 OPERATIONS PC ISREAL COMMITTEE OF SANTA BARBARA NONE 1187 COAST VILLAGE ROAD 1-432 3,000. MONTICETO, CA 93108 NONE PC OPERATIONS THE GRANADA THEATRE 1330 STATE STREET 24,000. SANTA BARBARA, CA 93101 146 000 Total from continuation sheets

FORM 990-PF	DIVIDENDS	AND INT	EREST	FROM SECU	RITIES S	TATEMENT	1
SOURCE	GROSS AMOUNT	CAPITA GAINA DIVIDE	S	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME		
CHARLES SCHWAB	116,377.	52,	027.	64,350	. 64,350.		
TO PART I, LINE 4 =	116,377.	52,	027.	64,350	. 64,350.		
FORM 990-PF		OTHER	INCO	ME	S	TATEMENT	2
DESCRIPTION					(B) NET INVEST- MENT INCOME	(C) ADJUSTEI NET INCOI	
OTHER INVESTMENT INC	COME	-		2,256.	2,256.		
TOTAL TO FORM 990-PH	, PART I,	LINE 11		2,256.	2,256.		
FORM 990-PF		LEG	AL FE	ES	S	STATEMENT	3
DESCRIPTION		(A) EXPENSES PER BOOK		(B) T INVEST- NT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITAI PURPOSI	
LEGAL FEES		74	7.	0.			0.
TO FM 990-PF, PG 1,	LN 16A	74 74		0.			0.
	LN 16A		7.	0.		STATEMENT	
TO FM 990-PF, PG 1,	LN 16A	74	TING	0.	(C) ADJUSTED NET INCOME	(D) CHARITAI PURPOSI	0. 4
TO FM 990-PF, PG 1,	LN 16A	ACCOUN (A) EXPENSES	TING NES ME	FEES (B) T INVEST-	(C) ADJUSTED NET INCOME	(D) CHARITAI	0. 4

FORM 990-PF C	THER PROFES	SIONAL FEES	S'	TATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITAE PURPOSE	
INVESTMENT FEES	24,140.	24,140.			0.
TO FORM 990-PF, PG 1, LN 16C =	24,140.	24,140.			0.
FORM 990-PF	TAX	ES	S	TATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITAE PURPOSE	
IRS TAX PAYMENTS FOREIGN TAXES	22,406.	0.			0.
TO FORM 990-PF, PG 1, LN 18 =	23,807.	1,401.			0.
FORM 990-PF	OTHER E	XPENSES	S	TATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITAE PURPOSE	
OFFICE EXPENSES	135.	0.			0.
TO FORM 990-PF, PG 1, LN 23	135.	0.			0.
FORM 990-PF OTHER DECREASE	ES IN NET AS	SETS OR FUND I	BALANCES S	TATEMENT	8
DESCRIPTION				AMOUNT	
SALE OF CONTRIBUTED STOCK UNREALIZED GAIN/LOSS				153,87 93	76. 37.

FORM 990-PF	CORPORATE STOCK		STATEMENT 9
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
ISHARES MSCI EMRG MKT FUND	-	0.	0.
ISHARES TR MSCI EAFE		67,627.	69,636.
SPDR S&P 500 ETF TR		168,968.	199,181.
DEUTSCH X		99,960.	99,949.
TOTAL TO FORM 990-PF, PART I	I, LINE 10B	336,555.	368,766.
FORM 990-PF	CORPORATE BONDS		STATEMENT 10
			FAIR MARKET
DESCRIPTION		BOOK VALUE	VALUE
BONDS	- -	394,630.	383,654.
TOTAL TO FORM 990-PF, PART I	I, LINE 10C	394,630.	383,654.
FORM 990-PF	OTHER INVESTMENTS		STATEMENT 11
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ARTISAN INTL FUND	COST	110,161.	106,381
COHEN & STEERS INST	COOM	123,540.	177 771
	COST		122,271
DFA US MICRO CAP PORT	COST	125,316.	121,601
DODGE & COX INCOME FUND	COST COST	125,316. 16,333.	121,601 16,068
DODGE & COX INCOME FUND DODGE & COX STOCK FUND	COST COST COST	125,316. 16,333. 98,818.	121,601 16,068 97,938
DODGE & COX INCOME FUND DODGE & COX STOCK FUND EAGLE SMALL CAP GROWTH	COST COST COST COST	125,316. 16,333. 98,818. 122,075.	121,601 16,068 97,938 115,102
DODGE & COX INCOME FUND DODGE & COX STOCK FUND EAGLE SMALL CAP GROWTH GATEWAY FUND CL Y	COST COST COST	125,316. 16,333. 98,818.	121,601 16,068 97,938
DODGE & COX INCOME FUND DODGE & COX STOCK FUND EAGLE SMALL CAP GROWTH	COST COST COST COST COST	125,316. 16,333. 98,818. 122,075. 328,550.	121,601 16,068 97,938 115,102 330,449
DODGE & COX INCOME FUND DODGE & COX STOCK FUND EAGLE SMALL CAP GROWTH GATEWAY FUND CL Y KALMAR GROWTH WITH VALUE	COST COST COST COST COST COST COST	125,316. 16,333. 98,818. 122,075. 328,550. 802. 0.	121,601 16,068 97,938 115,102 330,449 0
DODGE & COX INCOME FUND DODGE & COX STOCK FUND EAGLE SMALL CAP GROWTH GATEWAY FUND CL Y KALMAR GROWTH WITH VALUE KEELEY SMALL CAP VALUE LONGLEAF PARTNERS FUND MATTHEWS ASIAN GROWTH	COST COST COST COST COST COST COST COST	125,316. 16,333. 98,818. 122,075. 328,550. 802. 0. 0. 134,530.	121,601 16,068 97,938 115,102 330,449 0 0
DODGE & COX INCOME FUND DODGE & COX STOCK FUND EAGLE SMALL CAP GROWTH GATEWAY FUND CL Y KALMAR GROWTH WITH VALUE KEELEY SMALL CAP VALUE LONGLEAF PARTNERS FUND MATTHEWS ASIAN GROWTH OAKMARK INTL FD CLASS I	COST COST COST COST COST COST COST COST	125,316. 16,333. 98,818. 122,075. 328,550. 802. 0. 0. 134,530. 109,451.	121,601 16,068 97,938 115,102 330,449 0 0 119,406 93,842
DODGE & COX INCOME FUND DODGE & COX STOCK FUND EAGLE SMALL CAP GROWTH GATEWAY FUND CL Y KALMAR GROWTH WITH VALUE KEELEY SMALL CAP VALUE LONGLEAF PARTNERS FUND MATTHEWS ASIAN GROWTH OAKMARK INTL FD CLASS I PARAMETRIC TAX MGD EMRG	COST COST COST COST COST COST COST COST	125,316. 16,333. 98,818. 122,075. 328,550. 802. 0. 0. 134,530. 109,451. 151,040.	121,601 16,068 97,938 115,102 330,449 0 0 119,406 93,842 120,322
DODGE & COX INCOME FUND DODGE & COX STOCK FUND EAGLE SMALL CAP GROWTH GATEWAY FUND CL Y KALMAR GROWTH WITH VALUE KEELEY SMALL CAP VALUE LONGLEAF PARTNERS FUND MATTHEWS ASIAN GROWTH OAKMARK INTL FD CLASS I PARAMETRIC TAX MGD EMRG PERRITT MICRO CAP	COST COST COST COST COST COST COST COST	125,316. 16,333. 98,818. 122,075. 328,550. 802. 0. 0. 134,530. 109,451. 151,040. 79,475.	121,601 16,068 97,938 115,102 330,449 0 0 119,406 93,842 120,322 75,072
DODGE & COX INCOME FUND DODGE & COX STOCK FUND EAGLE SMALL CAP GROWTH GATEWAY FUND CL Y KALMAR GROWTH WITH VALUE KEELEY SMALL CAP VALUE LONGLEAF PARTNERS FUND MATTHEWS ASIAN GROWTH OAKMARK INTL FD CLASS I PARAMETRIC TAX MGD EMRG PERRITT MICRO CAP PIMCO COMMODITY REAL	COST COST COST COST COST COST COST COST	125,316. 16,333. 98,818. 122,075. 328,550. 802. 0. 0. 134,530. 109,451. 151,040. 79,475. 0.	121,601 16,068 97,938 115,102 330,449 0 0 119,406 93,842 120,322 75,072
DODGE & COX INCOME FUND DODGE & COX STOCK FUND EAGLE SMALL CAP GROWTH GATEWAY FUND CL Y KALMAR GROWTH WITH VALUE KEELEY SMALL CAP VALUE LONGLEAF PARTNERS FUND MATTHEWS ASIAN GROWTH OAKMARK INTL FD CLASS I PARAMETRIC TAX MGD EMRG PERRITT MICRO CAP PIMCO COMMODITY REAL T ROWE PRICE GROWTH	COST COST COST COST COST COST COST COST	125,316. 16,333. 98,818. 122,075. 328,550. 802. 0. 134,530. 109,451. 151,040. 79,475. 0. 65,480.	121,601 16,068 97,938 115,102 330,449 0 0 119,406 93,842 120,322 75,072 0 76,260
DODGE & COX INCOME FUND DODGE & COX STOCK FUND EAGLE SMALL CAP GROWTH GATEWAY FUND CL Y KALMAR GROWTH WITH VALUE KEELEY SMALL CAP VALUE LONGLEAF PARTNERS FUND MATTHEWS ASIAN GROWTH OAKMARK INTL FD CLASS I PARAMETRIC TAX MGD EMRG PERRITT MICRO CAP PIMCO COMMODITY REAL T ROWE PRICE GROWTH TEMPLETON GLOBAL BOND	COST COST COST COST COST COST COST COST	125,316. 16,333. 98,818. 122,075. 328,550. 802. 0. 0. 134,530. 109,451. 151,040. 79,475. 0.	121,601 16,068 97,938 115,102 330,449 0 0 119,406 93,842 120,322 75,072
DODGE & COX INCOME FUND DODGE & COX STOCK FUND EAGLE SMALL CAP GROWTH GATEWAY FUND CL Y KALMAR GROWTH WITH VALUE KEELEY SMALL CAP VALUE LONGLEAF PARTNERS FUND MATTHEWS ASIAN GROWTH OAKMARK INTL FD CLASS I PARAMETRIC TAX MGD EMRG PERRITT MICRO CAP PIMCO COMMODITY REAL T ROWE PRICE GROWTH	COST COST COST COST COST COST COST COST	125,316. 16,333. 98,818. 122,075. 328,550. 802. 0. 0. 134,530. 109,451. 151,040. 79,475. 0. 65,480. 69,663. 159,032. 123,914.	121,601 16,068 97,938 115,102 330,449 0 0 119,406 93,842 120,322 75,072 0 76,260 61,566 157,691 108,627
DODGE & COX INCOME FUND DODGE & COX STOCK FUND EAGLE SMALL CAP GROWTH GATEWAY FUND CL Y KALMAR GROWTH WITH VALUE KEELEY SMALL CAP VALUE LONGLEAF PARTNERS FUND MATTHEWS ASIAN GROWTH OAKMARK INTL FD CLASS I PARAMETRIC TAX MGD EMRG PERRITT MICRO CAP PIMCO COMMODITY REAL T ROWE PRICE GROWTH TEMPLETON GLOBAL BOND VANGUARD S/T INVESTMENT	COST COST COST COST COST COST COST COST	125,316. 16,333. 98,818. 122,075. 328,550. 802. 0. 0. 134,530. 109,451. 151,040. 79,475. 0. 65,480. 69,663. 159,032.	121,60 16,06 97,93 115,10 330,44 119,40 93,84 120,32 75,07 76,26 61,56 157,69

HERBERT & BUI SIMON FOUNDATION INC.			46-2577284
MATTHEWS PACIFIC TIGER	COST	0.	0.
T ROWE PRICE INST HIGH YIELD	COST	110,685.	95,167.
VANGUARD MID CAP	COST	104,060.	99,395.
VANGUARD 500 INDEX	COST	360,075.	357,252.
VICTORY MUNDER MID CAP	COST	109,749.	95,005.
DFA US TARGETED VALUE	COST	65,015.	60,957.
FIDELITY FLOATING RATE	COST	140,030.	136,200.
HARDING LOEVNER	COST	50,015.	41,695.
MAINGATE	COST	100,015.	68,003.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,942,884.	2,747,222.

Part XV **Supplementary Information** Grants and Contributions Paid During the Year (Continuation) If recipient is an individual, Recipient Foundation Purpose of grant or show any relationship to status of contribution Amount any foundation manager Name and address (home or business) recipient or substantial contributor CHILDREN'S MUSEUM OF INDIANAPOLIS NONE PC OPERATIONS 3000 N. MERIDIAN STREET INDIANAPOLIS IN 46208 1,000. NONE PC OPERATIONS A SENSE OF HOME 15335 MORRISON STREET, SUITE 145 SHERMAN OAKS, CA 91403 30,000. AMERICAN FRIENDS OF THE HEBREW NONE РÇ OPERATIONS UNIVERSITY ONE BATTERY PARK PLAZA, 25TH FLOOR NEW YORK, NY 10004 25,000. NONE PC OPERATIONS B'NAI B'RITH TEMPLE 1000 SAN ANTONIO CREEK ROAD SANTA BARBARA, CA 93111 18,000. NONE PC CADA OPERATIONS 232 E. CANON PERDIDO SANTA BARBARA, CA 93101 25,000. NONE PC OPERATIONS GIRLS, INC. 120 WALL STREET NEW YORK, NY 10005 20,000. ISREAL COMMITTEE OF SANTA BARBARA NONE PC OPERATIONS 1187 COAST VILLAGE ROAD 1-432 MONTICETO, CA 93108 3,000. NONE PC OPERATIONS THE GRANADA THEATRE 1330 STATE STREET SANTA BARBARA, CA 93101 24,000, Total from continuation sheets 146,000



Schwab One® Account of HERBERT & BUI SIMON FOUNDATION INC

Account Number 9521-2650

Report Period January 1 - December 31, ' 2015

2015 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)					Accounting Metho	Accounting Method: Tax Lot Optimizer TM
Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
DODGE & COX INCOME FUND: DODIX	1,868 1850	01/27/15	09/25/15	\$25,000.00	\$25,980.75	(\$980 75)
DODGE & COX INCOME FUND: DODIX	1,009 5130	01/27/15	11/12/15	\$13,589.98	\$14,039 25	(\$449 27)
Security Subtotal				\$38,589.98	\$40,020.00	(\$1,430.02)
GATEWAY FUND CL Y: GTEYX	50 2170	12/29/14	06/22/15	\$1,530 89	\$1,490.17	\$40 72
Security Subtotal				\$1,530.89	\$1,490.17	\$40.72
KEELEY SMALL CAP VALUE FD CL I: KSCIX	969.4500	multiple	09/21/15	\$33,990.93	\$37,060 00	(\$3,069.07)
Security Subtotal				\$33,990.93	\$37,060.00	(\$3,069.07)
LONGLEAF PARTNERS FUND: LLPFX	815.5590	multiple	06/22/15	\$25,365.52	\$26,040.00	(\$674.48)
Security Subtotal				\$25,365.52	\$26,040.00	(\$674.48)
MATTHEWS PACIFIC TIGER FD INSTL: MIPTX	1,815 0350	multiple	09/21/15	\$44,707 46	\$50,060 00	(\$5,352.54)
Security Subtotal				\$44,707.46	\$50,060.00	(\$5,352.54)
PIMCO COMMODITY REAL RETURN STRAT INST. PCRIX	16,964 2860	12/01/14	06/22/15	\$72,595.02	\$85,520 00	(\$12,924.98)
Security Subtotal				\$72,595.02	\$85,520.00	(\$12,924.98)



Schwab One® Account of HERBERT & BUI SIMON FOUNDATION INC

Account Number 9521-2650

Report Period January 1 - December 31, ' 2015

2015 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)					Accounting Metho	Accounting Method: Tax Lot Optimizer TM
Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
T ROWE PRICE INST HIGH YIELD TRHYX	2,878 5960	10/14/14	09/21/15	\$25,000.00	\$27,354 26	(\$2,354.26)
Security Subtotal	i i			\$25,000.00	\$27,354.26	(\$2,354.26)
TEMPLETON GLOBAL BOND FUND ADV CL: TGBAX	5,030 4880	12/01/14	06/22/15	\$61,911.03	\$66,020.00	(\$4,108.97)
Security Subtotal				\$61,911.03	\$66,020.00	(\$4,108.97)
Total Short-Term				\$303,690.83	\$333,564.43	(\$29,873.60)
Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
DODGE & COX INCOME FUND. DODIX	847.5770	multiple	11/12/15	\$11,410 02	\$11,533.82	(\$123 80)
Security Subtotal	5			\$11,410.02	\$11,533.82	(\$123.80)
GATEWAY FUND CL Y GTEYX	3,230 0390	multiple	06/22/15	\$98,469.11	\$92,383 64	\$6,085 47
Security Subtotal				\$98,469.11	\$92,383.64	\$6,085.47
ISHARES MSCI EMERGING MARKETS ETF: EEM	1,100 0000	12/13/13	06/22/15	\$44,727 34	\$44,908 75	(\$181.41)
Security Subtotal				\$44,727.34	\$44,908.75	(\$181.41)



Schwab One® Account of HERBERT & BUI SIMON FOUNDATION INC

January 1 - December 31, ' Report Period

Account Number 9521-2650

2015 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)			i		Accounting Metho	Accounting Method: Tax Lot Optimizer TM
Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
KEELEY SMALL CAP VALUE FD CL I: KSCIX	925.7270	multiple	09/21/15	\$32,457.93	\$33,349 84	(\$891 91)
Security Subtotal				\$32,457.93	\$33,349.84	(\$891.91)
LONGLEAF PARTNERS FUND. LLPFX	1,061.0300	multiple	06/22/15	\$33,000.16	\$32,829.41	\$170.75
Security Subtotal	-			\$33,000.16	\$32,829.41	\$170.75
PIMCO COMMODITY REAL RETURN STRAT INST: PCRIX	4,013.8160	multiple	multiple 06/22/15	\$17,176.26	\$23,053.62	(\$5,877.36)
Security Subtotal				\$17,176.26	\$23,053.62	(\$5,877.36)
TEMPLETON GLOBAL BOND FUND ADV CL: TGBAX	250.9900	12/13/13	12/13/13 06/22/15	\$3,088.97	\$3,284 39	(\$195.42)
Security Subtotal				\$3,088.97	\$3,284.39	(\$195.42)
Total Long-Term				\$240,329.79	\$241,343.47	(\$1,013.68)
Total Realized Gain or (Loss)				\$544,020.62	\$574,907.90	(\$30,887.28)

Schwab has provided realized gain/loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Conditions.

Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options.



Schwab One® Account of HERBERT & BUI SIMON FOUNDATION MGR: FRANKLIN TEMPLETON

2015 Account Number 5556-9020

January 1 - December 31, ' Report Period

2015 Year-End Schwab Gain/Loss Report

Realized Ga	Realized Gain or (Loss)						Accounting Method First In First Out [FIFO]	st In First Out [FIFO]
				Acquired/	Sold/		Cost Basis	Realized Gain or (Loss)
Short-Term			Quantity/Par	Opened	Closed	Total Proceeds	Adjusted	Adjusted
FANNIE MAE	5 25%16	DUE 09/15/16:	6,000.0000	01/12/15 07/23/15	07/23/15	\$6,320 16	\$6,481.56	(\$161.40)
3 333 V V4							\$6,329 82	d (39.6\$)
FANNIE MAE	5 25%16	DUE 09/15/16.	14,000.0000	01/12/15 09/09/15	09/09/15	\$14,651.75	\$15,123.64	(\$471.89)
31359MV441						J	\$14,682 08	(\$30.33) b
Security Subtotal	ototal					\$20,971.91	\$21,605.20	(\$633.29)
MONDELEZ INT	TERN 5.375%20	MONDELEZ INTERN 5.375%20TENDER OFFER	18,000 0000	01/26/15 03/10/15	03/10/15	\$20,766 19	\$20,643 30	\$122 89
EXP: 03/06 0: 50075NBA1	00/5NBA1						\$20,589 66	\$176.53 ^b
Security Subtotal	ototal					\$20,766.19	\$20,643.30	\$122.89



Schwab One® Account of HERBERT & BUI SIMON FOUNDATION MGR: FRANKLIN TEMPLETON

Account Number 5556-9020

Report Period January 1 - December 31, ' 2015

2015 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)					Accounting Method: First In First Out [FIFO]	rst In First Out [FIFO]
Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
US TREASU NT 2.125%05/15UST NOTE DUE 05/31/15. 912828NF3	15,000.0000	01/12/15 04/16/15	04/16/15	\$15,036.33	\$15,118 36 \$15,037 74	(\$82.03) (\$1.41) b
Security Subtotal	ì			\$15,036.33	\$15,118.36	(\$82.03)
Total Short-Term				\$56,774.43	\$57,366.86	(\$592.43)
					\$56,639.30	\$135.13 b
Total Realized Gain or (Loss)				\$56,774.43	\$57,366.86	(\$592.43)
					\$56,639.30	\$135.13 b

Schwab has provided realized gain/loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and

Conditions Option Customers Realized gain/loss of underlying secunties is adjusted to reflect the premiums of assigned or exercised options

Endnotes For Your Account

Symbol	Endnote Legend
Ф	When available, Adjusted Cost Basis values are used in Gain or (Loss) calculations