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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation
THE HELEN J SERINI FOUNDATION INC

A Employer identification number
46-1353961

Number and street (or P O box number if mail is not delivered to street address) Room/suite
42 MAXWELL SQUARE

B Telephone number (see instructions)
(410) 244-7550

City or town, state or province, country, and ZIP or foreign postal code
FREDERICK, MD 21701

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 5,031,608

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	410,535			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	234,714	234,714		
	5a	Gross rents				
	b	Net rental income or (loss) ________				
	6a	Net gain or (loss) from sale of assets not on line 10	61,883			
	b	Gross sales price for all assets on line 6a 352,221				
	7	Capital gain net income (from Part IV, line 2)		61,883		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	2,638	2,638		
	12	**Total.** Add lines 1 through 11	709,770	299,235		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	13,152	0		0
	14	Other employee salaries and wages	119,739	0		119,739
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	17,557	17,557		0
	b	Accounting fees (attach schedule)	21,457	0		0
	c	Other professional fees (attach schedule)	7,393	7,393		0
	17	Interest	594	594		0
	18	Taxes (attach schedule) (see instructions)	2,609	201		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	135,470	113,061		21,559
	24	**Total operating and administrative expenses.** Add lines 13 through 23	317,971	138,806		141,298
	25	Contributions, gifts, grants paid	258,270			258,270
	26	**Total expenses and disbursements.** Add lines 24 and 25	576,241	138,806		399,568
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	133,529			
	b	**Net investment income** (if negative, enter -0-)		160,429		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	229,297	94,006	94,006
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	4,811,058	4,725,559	4,797,524
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	420,002	183,646	140,078
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets**(to be completed by all filers—see the instructions Also, see page 1, item I)	5,460,357	5,003,211	5,031,608
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0	0	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	5,460,357	5,003,211	
	30 **Total net assets or fund balances**(see instructions)	5,460,357	5,003,211	
	31 **Total liabilities and net assets/fund balances**(see instructions)	5,460,357	5,003,211	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,460,357
2	Enter amount from Part I, line 27a	2	133,529
3	Other increases not included in line 2 (itemize) ▶	3	3,732
4	Add lines 1, 2, and 3	4	5,597,618
5	Decreases not included in line 2 (itemize) ▶	5	594,407
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,003,211

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	61,883
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	270,700	5,385,484	0 050265
2013	32,682	5,107,063	0 006399
2012	0	4,444,593	0 000000
2011			
2010			

2	**Total** of line 1, column (d).	2	0 056664
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 018888
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	5,108,042
5	Multiply line 4 by line 3.	5	96,481
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,604
7	Add lines 5 and 6.	7	98,085
8	Enter qualifying distributions from Part XII, line 4.	8	399,568

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	1,604
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	1,604
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,604
6	Credits/Payments				
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	3,963		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	3,963
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	2,359
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 2,359 **Refunded** ▶			11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MD			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	Yes	

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶WWW HJSFOUNDATION ORG

14 The books are in care of ▶THE HELEN J SERINI FOUNDATION INC Telephone no ▶(410) 244-7550

Located at ▶471 MOUNTAIN ROAD CROWNSVILLE MD ZIP+4 ▶21032

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any**of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all**years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(Continued)*

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?.	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?.	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**		
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?.	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?.	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?. . . .		**6b**		No
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				
Total number of other employees paid over $50,000. . . . . ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶	0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return**
(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	5,024,177
b	Average of monthly cash balances.	1b	161,652
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	5,185,829
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,185,829
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	77,787
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,108,042
6	**Minimum investment return.** Enter 5% of line 5.	6	255,402

Part XI **Distributable Amount**
(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	255,402
2a	Tax on investment income for 2015 from Part VI, line 5. 2a 1,604		
b	Income tax for 2015 (This does not include the tax from Part VI). 2b		
c	Add lines 2a and 2b.	2c	1,604
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	253,798
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	253,798
6	Deduction from distributable amount (see instructions).	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	253,798

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	399,568
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	399,568
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	1,604
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	397,964

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				253,798
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			243,452	
b Total for prior years 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010.				
b From 2011.				
c From 2012.				
d From 2013.				
e From 2014.				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 399,568				
a Applied to 2014, but not more than line 2a			243,452	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				156,116
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				97,682
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2011. . . .				
b Excess from 2012. . . .				
c Excess from 2013. . . .				
d Excess from 2014. . . .				
e Excess from 2015. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

PAUL A AND SUSAN M SERINI
471 MOUNTAIN ROAD
CROWNSVILLE, MD 21032
(410) 244-7742
PSERINI@SFPENTERPRISES COM

b The form in which applications should be submitted and information and materials they should include

THE FOUNDATION HAS NO FORMAL APPLICATION FOR REQUESTS ALL REQUESTS WILL BE CONSIDERED BY THE FOUNDATION

c Any submission deadlines

THE FOUNDATION HAS NO SUBMISSION DEADLINES

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THE FOUNDATION HAS NO RESTRICTIONS OR LIMITATIONS ON AWARDS

Part XV Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				258,270
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . .			14	234,714	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	2,638	
8 Gain or (loss) from sales of assets other than inventory			18	61,883	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		299,235	0

13 Total. Add line 12, columns (b), (d), and (e). **13** 299,235

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		No
(2) Other assets.	**1a(2)**		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
(4) Reimbursement arrangements.	**1b(4)**		No
(5) Loans or loan guarantees.	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2016-11-15	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
DAVID S ROSEN ESQ CPA				P01071493

Firm's name ▶ ROSEN SAPPERSTEIN & FRIEDLANDER LLC

Firm's EIN ▶ 47-5153865

Firm's address ▶ 300 RED BROOK BLVD SUITE 200 OWINGS MILLS, MD 21117

Phone no (410) 581-0800

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AGCO CORPORATION - 1,000 SHS	P	2012-10-24	2015-05-22
CVS HEALTH CORPORATION - 400 SHS	P	2012-09-27	2015-05-22
ORACLE CORPORATION - 500 SHS	P	2013-04-24	2015-05-22
FROM K-1 - CAMPBELL QUALIFIED MULTI-STRATEGY FUND	P		
FROM K-1 - CAMPBELL QUALIFIED MULTI-STRATEGY FUND	P		
LIQUIDATION - INDUSTRIAL INCOME TRUST	P		
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
51,940		47,758	4,182
41,498		19,351	22,147
21,991		16,275	5,716
3,328			3,328
		1,262	-1,262
233,314		205,692	27,622
150			150

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,182
			22,147
			5,716
			3,328
			-1,262
			27,622
			150

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PAUL A SERINI 471 MOUNTAIN ROAD CROWNSVILLE,MD 21032	DIRECTOR/PRESIDENT 1 00	1,200	0	0
SUSAN M SERINI 471 MOUNTAIN ROAD CROWNSVILLE,MD 21032	DIRECTOR 1 00	1,200	0	0
KERRY A MCHUGH 471 MOUNTAIN ROAD CROWNSVILLE,MD 21032	DIRECTOR 1 00	1,200	0	0
JANA SERINI 471 MOUNTAIN ROAD CROWNSVILLE,MD 21032	DIRECTOR 1 00	1,200	0	0
ALEX P SERINI 471 MOUNTAIN ROAD CROWNSVILLE,MD 21032	DIRECTOR 1 00	1,200	0	0
JOHN INSLEY 471 MOUNTAIN ROAD CROWNSVILLE,MD 21032	DIRECTOR 1 00	4,764	0	0
ROBERT GEIS 471 MOUNTAIN ROAD CROWNSVILLE,ND 21032	DIRECTOR 1 00	2,388	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN DIABETES FOUNDATION 1701 N BEAUREGARD STREET ALEXANDRIA,VA 22311		PC	FUNDING FOR DIABETES RESEARCH PROGRAMS	5,000
BELIEVE BIG INC PO BOX 563 FALLSTON,MD 21047		PC	FUNDING FOR CANCER RESEARCH PROGRAMS	1,500
BOUNCING BULLDOGS PO BOX 2026 CHAPEL HILL,NC 27515		PC	FUNDING FOR YOUTH PROGRAMS	10,000
CHALLENGERS INDEPENDENT LIVING INC 2500 ROLLING ROAD BALTIMORE,MD 21201		PC	FUNDING FOR FOSTER CARE PROGRAMS	25,000
CREATING COMMUNITIES 139 JEFFERSON STREET ANNAPOLIS,MD 21403		PC	FUNDING FOR VARIOUS PROGRAMS	2,125
THE ODYSSEY SCHOOL 3257 BRIDLE RIDGE LANE STEVENSON,MD 21153		PC	FUNDING FOR EDUCATION PROGRAMS	30,250
TURNING POINT BREAST CANCER REHABILITATION 10 HUDSON STREET ANNAPOLIS,MD 21401		PC	FUNDING FOR BREAST CANCER RESEARCH AND REHABILITATION PROGRAMS	5,000
TEEN CHALLENGE OF BALTIMORE PO BOX 301 RIDERWOOD,MD 21139		PC	FUNDING FOR VARIOUS PROGRAMS	1,200
CATHOLIC UNIVERSITY COLUMBUS SCHOOL OF LAW 620 MICHIGAN AVENUE NORTHEAST WASHINGTON,DC 20064		PC	FUNDING FOR EDUCATION PROGRAMS	5,000
MARIPOSA CHILD SUCCESS PROGRAM 1500 UNION AVENUE SUITE 1310 BALTIMORE,MD 21211		PC	FUNDING FOR EDUCATION PROGRAMS	10,000
STONEHILL COLLEGE 320 WASHINGTON STREET EASTON,MA 02357		PC	FUNDING FOR EDUCATION PROGRAMS	3,000
COURTNEY'S HOUSE PO BOX 48626 WASHINGTON,DC 20002		PC	FUNDING FOR SEX TRAFFICKING SURVIVOR PROGRAMS	600
FOODSHARE WALK AGAINST HUNGER 450 WOODLAND AVENUE BLOOMFIELD,CT 06002		PC	FUNDING FOR HUNGER PROGRAMS	2,595
WHEELING JESUIT UNIVERSITY 316 WASHINGTON AVENUE WHEELING,WV 26003		PC	FUNDING FOR EDUCATION PROGRAMS	1,500
ALL SAINTS COMMUNITY DEVELOPMENT 601 JACKSON STREET HOBOKEN,NJ 07030		PC	FUNDING FOR VARIOUS PROGRAMS	12,500
Total . . . . ▶ **3a**				258,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PAUL'S PLACE 1118 WARD STREET BALTIMORE,MD 21230		PC	FUNDING FOR HOMELESS PREVENTION	7,500
NATIONAL AQUARIUM INC PIER 3/501 E PRATT STREET BALTIMORE,MD 21202		PC	FUNDING FOR VARIOUS PROGRAMS	5,500
ROB'S BARBERSHOP COMMUNITY FOUNDATION INC 2005 TEA ISLAND COURT ODENTON,MD 21113		PC	FUNDING FOR PERSONAL CARE AND HYGIENE PROGRAMS	3,000
THE SMITH MEDICAL CLINIC INC 116 BASKERVILL DRIVE PAWLEYS ISLAND,SC 29585		PC	FUNDING FOR HEALTH CARE PROGRAMS	5,000
ST VINCENT DEPAUL 3711 COUNTY LINE ROAD EVANSVILLE,IN 47712		PC	FUNDING FOR VARIOUS PROGRAMS	2,000
THE COMMUNITY OF CURTIS BAY ASSOCIATION 1503 ELMTREE STREET BALTIMORE,MD 21226		PC	FUNDING FOR VARIOUS PROGRAMS	4,000
FRIENDS OF GREAT KID'S FARM INC 6601 BALTIMORE NATIONAL PIKE CATONSVILLE,MD 21228		PC	FUNDING FOR VARIOUS PROGRAMS	15,000
BROADVIEW THOMPSON PTA 13052 GREENWOOD AVENUE NORTH SEATTLE,WA 98133		PC	FUNDING FOR VARIOUS PROGRAMS	5,000
RUDE RANCH ANIMAL RESCUE INC 3200 IVY WAY HARWOOD,MD 20776		PC	FUNDING FOR ANIMAL SHELTER PROGRAMS	4,000
HANDS ACROSS THE SEA INC 2324 WINDING RIVER DRIVE CHARLOTTE,NC 28214		PC	FUNDING FOR VARIOUS PROGRAMS	1,000
ST COLETTA OF GREATER WASHINGTON 1901 INDEPENDENCE AVENUE SOUTHEAST WASHINGTON,DC 20003		PC	FUNDING FOR INTELLECTUAL DISABILITY PROGRAMS	10,000
DYSLEXIA TUTORING PROGRAM INC 711 WEST 40TH STREET RM/STE 310 BALTIMORE,MD 21211		PC	FUNDING FOR DYSLEXIA AND LANGUAGE BASED LEARNING PROGRAMS	1,000
ENOCH PRATT FREE LIBRARY OF BALTIMORE CITY 400 CATHEDRAL STREET BALTIMORE,MD 21201		PC	FUNDING FOR EDUCATION PROGRAMS	10,000
HABITAT FOR HUMANITY OF THE CHESAPEAKE INC 3741 COMMERCE DRIVE SUITE 309 BALTIMORE,MD 21227		PC	FUNDING FOR HOMELESS PREVENTION	25,000
LITERARY COUNCIL OF FREDERICK COUNTY INC 312 EAST CHURCH STREET FREDERICK,MD 21701		PC	FUNDING FOR VARIOUS PROGRAMS	15,000
Total . . . . ▶ **3a**				258,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HAMPDEN FAMILY CENTER INC 1104-1108 WEST 36TH STREET BALTIMORE,MD 21211		PC	FUNDING FOR EDUCATION PROGRAMS	15,000
YMCA OF FREDERICK COUNTY 708A WEST PATRICK STREET FREDERICK,MD 21702		PC	FUNDING FOR VARIOUS PROGRAMS	15,000
Total . . . . ▶ **3a**				258,270

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TY 2015 Accounting Fees Schedule

Name: THE HELEN J SERINI FOUNDATION INC

EIN: 46-1353961

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	21,457	0		0

TY 2015 Investments Corporate Stock Schedule

Name: THE HELEN J SERINI FOUNDATION INC

EIN: 46-1353961

Name of Stock	End of Year Book Value	End of Year Fair Market Value
LPL FINANCIAL - EQUITIES AND OPTIONS	324,743	306,231
CHARLES SCHWAB - EQUITIES	763,120	908,462
HINES GLOBAL REIT	237,842	230,562
WP CAREY CORP PROPERTY ASSOC 17 - GLOBAL INC.	229,462	247,844
WP CAREY CORP PROPERTY ASSOC 18 - GLOBAL INC. CLA A	237,814	250,000
WP CAREY CORP PROPERTY ASSOC 18 - GLOBAL INC. CLA C	241,028	250,000
WP CAREY CAREY WATERMARK INVESTORS INC.	478,538	576,165
INDUSTRIAL INCOME TRUST INC.	115,000	115,002
CORPORATE CAPITAL TRUST	150,000	135,747
CION INVESTMENT CORPORATION	200,000	196,212
FS ENERGY AND POWER FUND	150,000	105,140
SOCIAL TOASTER INC,	50,000	50,000
MYOS INVESTMENT	40,231	6,138
SIERRA INCOME CORPORATION	98,497	95,098
AMERICAN DEVELOPING GROWTH & INCOME	51,646	39,612
FS GLOBAL CREDIT OPPTYS	300,000	215,573
CARTER VALIDUS MISSION	97,893	100,500
HMS INCOME FUND	200,000	191,000
ACAP STRATEGIC FUND	417,371	435,864
DC INDUSTRIAL LIQUIDATING FUND	12,373	12,373
FS INVT CORP II FUND	300,001	300,001
PREVINEX LLC CONVERTIBLE DEBT	30,000	30,000

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TY 2015 Investments - Other Schedule

Name: THE HELEN J SERINI FOUNDATION INC

EIN: 46-1353961

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
K-1 - THE CAMPBELL QUALIFIED MULTI-STRATEGY FUND L.L.C. - CLASS B	AT COST	111,950	111,515
K-1 - PIXELLIGENT TECHNOLOGIES, LLC	AT COST	48,708	8,310
K-1 - TESSEMAES LLC	AT COST	22,988	20,253

TY 2015 Legal Fees Schedule

Name: THE HELEN J SERINI FOUNDATION INC

EIN: 46-1353961

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	17,557	17,557		0

TY 2015 Other Decreases Schedule

Name: THE HELEN J SERINI FOUNDATION INC

EIN: 46-1353961

Description	Amount
LOSSES NOT RECORDED ON BOOKS	214,299
PY LOSSES NOT RECORDED ON BOOKS	380,108

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TY 2015 Other Expenses Schedule

Name: THE HELEN J SERINI FOUNDATION INC

EIN: 46-1353961

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	1,574	0		1,574
BANK FEES	188	0		188
PIXELLIGENT TECHNOLOGIES, LLC	64,206	64,206		0
THE CAMPBELL QUALIFIED MULTI-STRATEGY FUND L L C - CLASS B	4,121	4,121		0
TESSEMAES LLC	44,734	44,734		0
OPERATING EXPENSES	19,797	0		19,797
IRS APPLICATION FEE	850	0		0

TY 2015 Other Income Schedule

Name: THE HELEN J SERINI FOUNDATION INC

EIN: 46-1353961

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
THE CAMPBELL QUALIFIED MULTI-STRATEGY FUND L L C - CLASS B	2,638	2,638	2,638

TY 2015 Other Increases Schedule

Name: THE HELEN J SERINI FOUNDATION INC

EIN: 46-1353961

Description	Amount
UNREALIZED GAIN/LOSS ON INVESTMENTS	3,732

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TY 2015 Other Professional Fees Schedule

Name: THE HELEN J SERINI FOUNDATION INC

EIN: 46-1353961

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	7,393	7,393		0

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TY 2015 Substantial Contributors Schedule

Name: THE HELEN J SERINI FOUNDATION INC

EIN: 46-1353961

Name	Address
PAUL A AND SUSAN M SERINI	471 MOUNTAIN ROAD CROWNSVILLE,MD 21032
PAUL SERINI INC	471 MOUNTAIN ROAD CROWNSVILLE,MD 21032

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TY 2015 Taxes Schedule

Name: THE HELEN J SERINI FOUNDATION INC

EIN: 46-1353961

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	201	201		0
FEDERAL TAXES	2,408	0		0

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491320034546

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	**Schedule of Contributors** ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www irs gov/form990	OMB No 1545-0047 **2015**

Name of the organization	Employer identification number
THE HELEN J SERINI FOUNDATION INC	46-1353961

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
THE HELEN J SERINI FOUNDATION INC

Employer identification number
46-1353961

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PAUL A AND SUSAN M SERINI 471 MOUNTAIN ROAD CROWNSVILLE, MD 21032	$ 103,422	Person ☑ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
2	PAUL SERINI INC 471 MOUNTAIN ROAD CROWNSVILLE, MD 21032	$ 300,001	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
3	PAUL A AND SUSAN M SERINI 471 MOUNTAIN ROAD CROWNSVILLE, MD 21032	$ 7,112	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
THE HELEN J SERINI FOUNDATION INC	46-1353961

Part II **Noncash Property**

(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	850 SHS - APPLE, INC	$ 103 422	2015-02-09
2	33,708 SHS - FRANKLIN SQUARE	$ 300 001	2015-12-30
		$	
		$	
		$	
		$	

Name of organization	**Employer identification number**
THE HELEN J SERINI FOUNDATION INC	46-1353961

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $** ____________

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	