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Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).**2015**

Open to Public Inspection

For calendar year 2015 or tax year beginning

, 2015, and ending

, 20

Name of foundation

RICHARD W. GOLDMAN FAMILY FOUNDATION

A Employer identification number

45-1261400

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

1201 CONNECTICUT AVENUE N.W., #300

(202) 595-1020

City or town, state or province, country, and ZIP or foreign postal code

WASHINGTON, DC 20036

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeC If exemption application is pending, check here, ☐D 1 Foreign organizations, check here, ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

J Accounting method ☒ Cash ☐ Accrual

end of year (from Part II, col (c), line

☐ Other (specify)

16) \$ 72,885,981.

(Part I, column (d) must be on cash basis)

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue

Operating and Administrative Expenses

1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B.				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	964,050.	964,050.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	2,665,546.			
b	Gross sales price for all assets on line 6a	14,372,734.			
7	Capital gain net income (from Part IV, line 2)		2,665,546.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) ATCH. 1	8,854.			
12	Total. Add lines 1 through 11	3,638,450.	3,629,596.		
13	Compensation of officers, directors, trustees, etc.	0.			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) ATCH. 2	20,770.	10,385.		10,385.
c	Other professional fees (attach schedule) ATCH. 3	474,867.	336,867.		138,000.
17	Interest				
18	Taxes (attach schedule) (see instructions) ATCH. 4	52,430.	10,737.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH. 5	176,358.	164,062.		12,296.
24	Total operating and administrative expenses. Add lines 13 through 23.	724,425.	522,051.		160,681.
25	Contributions, gifts, grants paid	3,568,000.			3,568,000.
26	Total expenses and disbursements. Add lines 24 and 25	4,292,425.	522,051.	0.	3,728,681.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-653,975.			
b	Net investment income (if negative, enter -0-)		3,107,545.		
c	Adjusted net income (if negative, enter -0-)				

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**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets

1	Cash - non-interest-bearing . . . . .			
2	Savings and temporary cash investments . . . . .	2,165,207.	1,821,128.	1,821,128.
3	Accounts receivable ▶ . . . . .			
	Less allowance for doubtful accounts ▶ . . . . .			
4	Pledges receivable ▶ . . . . .			
	Less allowance for doubtful accounts ▶ . . . . .			
5	Grants receivable . . . . .			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
7	Other notes and loans receivable (attach schedule) ▶ . . . . .			
	Less allowance for doubtful accounts ▶ . . . . .			
8	Inventories for sale or use . . . . .			
9	Prepaid expenses and deferred charges . . . . .			
10a	Investments - U S and state government obligations (attach schedule) [ 6 ] . . . . .	4,020,832.	4,057,663.	4,055,060.
b	Investments - corporate stock (attach schedule) . . . . .			
c	Investments - corporate bonds (attach schedule) ATCH 7 . . . . .	6,641,395.	6,616,680.	6,345,490.
11	Investments - land, buildings, and equipment basis . . . . .			
	Less accumulated depreciation (attach schedule) ▶ . . . . .			
12	Investments - mortgage loans . . . . .			
13	Investments - other (attach schedule) . . . . . ATCH 8 . . . . .	50,488,228.	50,197,966.	60,583,780.
14	Land, buildings, and equipment basis . . . . .			
	Less accumulated depreciation (attach schedule) ▶ . . . . .			
15	Other assets (describe ▶ . . . . . ATCH 9 ) . . . . .	112,273.	80,523.	80,523.
16	<b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	63,427,935.	62,773,960.	72,885,981.

Liabilities

17	Accounts payable and accrued expenses . . . . .			
18	Grants payable . . . . .			
19	Deferred revenue . . . . .			
20	Loans from officers, directors, trustees, and other disqualified persons . . . . .			
21	Mortgages and other notes payable (attach schedule) . . . . .			
22	Other liabilities (describe ▶ . . . . . ) . . . . .			
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	0.	0.	

Net Assets or Fund Balances

	<b>Foundations that follow SFAS 117, check here . ▶ <input type="checkbox"/></b> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
24	Unrestricted . . . . .			
25	Temporarily restricted . . . . .			
26	Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/></b>			
27	Capital stock, trust principal, or current funds . . . . .			
28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .			
29	Retained earnings, accumulated income, endowment, or other funds . . . . .	63,427,935.	62,773,960.	
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	63,427,935.	62,773,960.	
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	63,427,935.	62,773,960.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	63,427,935.
2	Enter amount from Part I, line 27a . . . . .	2	-653,975.
3	Other increases not included in line 2 (itemize) ▶ . . . . .	3	
4	Add lines 1, 2, and 3 . . . . .	4	62,773,960.
5	Decreases not included in line 2 (itemize) ▶ . . . . .	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	62,773,960.

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**Part IV Capital Gains and Losses for Tax on Investment Income**(a) List and describe the kind(s) of property sold (e.g., real estate,  
2-story brick warehouse, or common stock, 200 shs MLC Co.)(b) How  
acquired  
P - Purchase  
D - Donation(c) Date  
acquired  
(mo., day, yr.)(d) Date sold  
(mo., day, yr.)**1a** SEE PART IV SCHEDULE

<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	2,665,546.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	<b>3</b>	0.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	3,723,828.	75,747,673.	0.049161
2013	2,927,500.	70,735,490.	0.041387
2012	1,367,054.	62,503,583.	0.021872
2011	40,515.	40,792,361.	0.000993
2010			

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.113413
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.028353
<b>4</b> Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	<b>4</b>	75,858,482.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	2,150,816.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	31,075.
<b>7</b> Add lines 5 and 6	<b>7</b>	2,181,891.
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	<b>8</b>	3,728,681.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	31,075.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2 . . . . .		3	31,075.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	31,075.
6 Credits/Payments			
a 2015 estimated tax payments and 2014 overpayment credited to 2015 . . . . .	6a	31,693.	
b Exempt foreign organizations - tax withheld at source . . . . .	6b		
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	30,000.	
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7	61,693.	
8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9		
10 <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	30,618.	
11 Enter the amount of line 10 to be <b>Credited to 2016 estimated tax</b> ▶ 30,618. <b>Refunded</b> ▶	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE, DC, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) <u>ATCH 10</u>	12	X	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>WWW.RWGFF.ORG</u>	13	X	
14	The books are in care of <u>JIRO ISHIKAWA, SEILER LLP</u> Telephone no <u>650-365-4646</u> Located at <u>3 LAGOON DRIVE, STE 400, REDWOOD CITY, CA</u> ZIP+4 <u>94065-5157</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <u></u>	16	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <u></u>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b** ☒ X  
Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** ☒ X  
If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 12		443,735.
<b>Total number of others receiving over \$50,000 for professional services</b> . . . . .		►

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b> N/A	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> NONE	
All other program-related investments See instructions	
<b>3</b> NONE	
<b>Total.</b> Add lines 1 through 3 . . . . .	►

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	74,765,585.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	2,219,822.
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	28,280.
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	77,013,687.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	77,013,687.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	1,155,205.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	75,858,482.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	3,792,924.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	3,792,924.
<b>2a</b>	Tax on investment income for 2015 from Part VI, line 5	<b>2a</b>	31,075.
<b>b</b>	Income tax for 2015 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	31,075.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	3,761,849.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	3,761,849.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	3,761,849.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	3,728,681.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	3,728,681.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	31,075.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	3,697,606.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7 . . . . .				3,761,849.
<b>2</b> Undistributed income, if any, as of the end of 2015				
<b>a</b> Enter amount for 2014 only . . . . .			3,564,928.	
<b>b</b> Total for prior years 20 <u>13</u> , 20 <u>12</u> , 20 <u>11</u> . . . . .				
<b>3</b> Excess distributions carryover, if any, to 2015				
<b>a</b> From 2010 . . . . .				
<b>b</b> From 2011 . . . . .				
<b>c</b> From 2012 . . . . .				
<b>d</b> From 2013 . . . . .				
<b>e</b> From 2014 . . . . .				
<b>f</b> Total of lines 3a through e . . . . .	0.			
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ <u>3,728,681.</u>				
<b>a</b> Applied to 2014, but not more than line 2a . . . . .			3,564,928.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .				
<b>d</b> Applied to 2015 distributable amount . . . . .				163,753.
<b>e</b> Remaining amount distributed out of corpus . . . . .				
<b>5</b> Excess distributions carryover applied to 2015 . (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
<b>e</b> Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
<b>f</b> Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016. . . . .				3,598,096.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a . . . . .	0.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2011 . . . . .				
<b>b</b> Excess from 2012 . . . . .				
<b>c</b> Excess from 2013 . . . . .				
<b>d</b> Excess from 2014 . . . . .				
<b>e</b> Excess from 2015 . . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.

**b 85% of line 2a . . . . .**

**c** Qualifying distributions from Part XII, line 4 for each year listed \_\_\_\_\_.

**d** Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .

**e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .

**3** Complete 3a, b, or c for the alternative test relied upon

**a "Assets" alternative test - enter**

**(1) Value of all assets . . . .**

**(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .**

**b** "Endowment" alternative test-  
enter 2/3 of minimum invest-  
ment return shown in Part X,  
line 6 for each year listed . . .

**c** "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .

(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) . . . . .

(3) Largest amount of support from an exempt organization. . . .

**(4) Gross investment income .**

**Part XV**    **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

## 1 Information Regarding Foundation Managers:

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

**b The form in which applications should be submitted and information and materials they should include**

**c Any submission deadlines**

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year  ATCH 13				
<b>Total</b> .....			▶ <b>3a</b>	3,568,000.
<b>b</b> Approved for future payment				
<b>Total</b> .....			▶ <b>3b</b>	

## Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions )



**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
14123229.		PUBLICLY TRADED SECURITIES PROPERTY TYPE: SECURITIES 11707188.				P	VARIOUS 2,416,041.	VARIOUS
24,965.		RICHARD N GOLDMAN DISTRIBUTING LLC					VARIOUS 24,965.	VARIOUS
224,540.		BBR ACTIVE EQUITY - LONG ONLY (QP), LP					VARIOUS 224,540.	VARIOUS
TOTAL GAIN (LOSS) .....							<u>2,665,546.</u>	

ATTACHMENT 1FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
RICHARD N GOLDMAN DISTRIBUTING LLC	-1,839.
EXCISE TAX REFUND	10,693.
TOTALS	<u>8,854.</u>

ATTACHMENT 2

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
SEILER LLP-TAX PREPARATION FEE	20,770.	10,385.		10,385.
TOTALS	<u>20,770.</u>	<u>10,385.</u>		<u>10,385.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
BBR PARTNERS LLC-MANAGEMENT FEES	303,974.	303,974.	
ARABELLA ADVISORS-MANAGEMENT FEES	138,000.		138,000.
CHARLES SCHWAB-MANAGEMENT FEES	32,893.	32,893.	
TOTALS	<u>474,867.</u>	<u>336,867.</u>	<u>138,000.</u>

ATTACHMENT 4

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
EXCISE TAXES	31,000.	
EXCISE TAX O/P APPLIED	10,693.	
FOREIGN TAX - PSHIP	10,737.	10,737.
TOTALS	<u>52,430.</u>	<u>10,737.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INSURANCE EXPENSE	2,250.		2,250.
RICHARD N GOLDMAN DISTRIBUTING	1,910.	1,910.	
BBR ACIVE EQUITY - LONG ONLY	162,152.	162,152.	
WEBSITE FEES	3,766.		3,766.
SOFTWARE LICENSES	2,225.		2,225.
MEMBERSHIP DUES	750.		750.
BOARD MEETING EXPENSES	1,554.		1,554.
TRAVEL EXPENSE	815.		815.
REGISTRATION & COMPLIANCE FEES	854.		854.
MISCELLANEOUS EXPENSE	82.		82.
TOTALS	176,358.	164,062.	12,296.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONSATTACHMENT 6

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
991,915 FV FANNIE MAE POOL 5%	82,958.	84,919.
75,000 FV FHLMC 3.5% 11/2042	53,955.	52,066.
200,000 FV FHLMC 3.5% 04/2042	138,363.	135,298.
165,000 FV FNMA PL 3.5% 04/204	123,416.	120,720.
475,000 FV FNMA PL 4% 12/2041	132,488.	133,303.
500,000 FV FNMA PL 4.5% 05/203	126,867.	129,146.
175,000 FV FNMA PL 4.5% 08/204	47,494.	48,246.
330,000 FV FNMA PL 4.5% 10/204	168,479.	170,824.
345,000 FV FNMA PL 5% 09/2039	87,912.	89,334.
400,000 FV FNMA PL 5.5% 04/203	27,397.	28,060.
140,000 FV FNMA PL 3.5% 01/204	123,932.	119,890.
165,000 FV FHLMC 3.5% 05/2042	138,739.	135,601.
230,000 FV GNMA PASS 3% 04/28	158,437.	154,206.
40,000 FV FHLMC 3.3% 04/23	39,229.	41,546.
80,000 FV FHLMC 3.32% 02/23	78,790.	83,257.
100,000 FV FHLMC 4% 10/01/43	85,019.	85,785.
135,000 FV FHLMC 4% 02/01/44	121,478.	123,290.
180,000 FV FNMA PL 4.5% 08/44	146,682.	145,981.
100,000 FV FNMA PL 4% 12/01/44	90,001.	89,356.
195,000 FV FHLMC 2.5% 10/01/30	192,559.	190,599.
225,000 FV FNMA PL 3.5% 10/45	231,703.	231,382.
165,000 FV FNMA PL 4% 12/01/44	161,392.	158,943.
230,000 FV GNMA PL 4% 10/20/44	164,752.	164,356.
270,000 FV GNMA PL 3% 12/20/44	249,439.	245,085.
130,000 FV GNMA PL 4% 3/20/45	119,457.	117,912.
480,000 FV US TREASURY 3.125%	486,495.	495,300.
155,000 FV US TREASURY NT 1.62	150,192.	150,955.
310,000 FV US TREASURY NT 2%	302,929.	302,153.
US OBLIGATIONS TOTAL	<u>4,030,554.</u>	<u>4,027,513.</u>
20,000 FV NJ TURN 7.102% 1/41	27,109.	27,547.
STATE OBLIGATIONS TOTAL	<u>27,109.</u>	<u>27,547.</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 6 (CONT'D)

DESCRIPTION

ENDING  
BOOK VALUE

ENDING  
FMV

US AND STATE OBLIGATIONS TOTAL

4,057,663.

4,055,060.

FORM 990PF, PART II - CORPORATE BONDSATTACHMENT 7

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
40,000 FV ACE INA HLDG INC 8.8	57,216.	56,246.
70,000 FV ALLSTATE CORP 7.450%	89,265.	81,771.
50,000 FV ALTRIA GP INC 9.250%	67,941.	61,250.
100,000 FV AMER EXP CO 6.150%	117,830.	64,848.
55,000 FV AMER EXP CO 8.125%	70,060.	107,075.
50,000 FV AMERITECH CAP 6.550%	56,365.	56,835.
50,000 FV AMGEN INC 4.100% 06/	54,502.	52,617.
40,000 FV ANHEUSER-BUSCH 6.8%	53,408.	48,517.
35,000 FV APACHE CORP 5.1%	34,232.	29,850.
35,000 FV APPLE INC 3.850% DUE	35,333.	32,265.
150,000 FV BANK OF NEW YORK	152,192.	151,253.
100,000 FV BB&T CORP 4.9% 6/17	109,815.	104,211.
35,000 FV BECTON DICKINSON CO	44,146.	40,368.
120,000 FV BERKSHIRE HATHA 4.2	133,705.	130,756.
50,000 FV BOEING CO 7.250% 06/	65,301.	65,292.
75,000 FV BP CAPITAL MKT P 4.5	84,460.	80,243.
50,000 FV BRISTOL MYERS 6.800%	68,578.	65,537.
20,000 FV BURLINGTON NORTHN 6.	25,060.	23,457.
15,000 FV BURLINGTON NORTHN 3.	15,126.	15,033.
85,000 FV CAPITAL ONE 5.250% 0	92,792.	87,926.
25,000 FV CATERPILLAR INC 3.75	26,164.	25,986.
90,000 FV CATERPILLAR INC 7.90	123,308.	105,234.
45,000 FV CHUBB CORP 6.800% 11	59,068.	58,256.
150,000 FV CITIGROUP INC 6.125	171,064.	161,516.
35,000 FV CME GP INC 3.000% 09	35,077.	35,168.
140,000 FV COMCAST CORP 5315%	156,876.	156,311.
105,000 FV COMMONWEALTH EDIS 3	109,614.	109,003.
45,000 FV CONOCO INC 6.950% 04	65,117.	55,477.
25,000 FV CONSOLIDATED EDISON	29,166.	28,395.

FORM 990PF, PART II - CORPORATE BONDSATTACHMENT 7 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
70,000 FV CVS HEALTH CORP	72,743.	71,229.
25,000 FV DEERE AND CO	35,484.	35,330.
35,000 FV DEVON ENERGY COR	34,274.	25,646.
100,000 FV DU PONT E I DE 6.00	124,764.	108,844.
80,000 FV EMERSON EL 4.875% 10	94,559.	87,866.
85,000 FV EOG RES INC 5.625% 0	102,689.	92,744.
41,000 FV EQUITABLE COS 7.0% 0	44,284.	50,472.
60,000 FV FEDEX CORP 8.000% 01	80,038.	69,884.
90,000 FV FIFTH THIRD BANCO 2.	90,245.	90,085.
100,000 FV GENERAL DYNAMICS	95,139.	96,102.
40,000 FV GENERAL ELEC 6.875%	53,633.	54,444.
85,000 FV GS GRP INC 6.00% 06/	89,699.	96,101.
35,000 FV HALLIBURTON CO 6.700	47,627.	41,262.
55,000 FV HARTFORD FINL SVCS6.	60,163.	59,926.
45,000 FV HOME DEPOT INC 4.4%	51,335.	49,476.
100,000 FV HOME DEPOT INC 5.4	102,170.	100,712.
50,000 FV IBM CORP 7.000% 10/3	69,201.	64,141.
80,000 FV JPMORGAN CHASE 4.25%	82,695.	84,860.
40,000 FV JPMORGAN CHASE 4.35%	42,793.	42,579.
60,000 FV KEYCORP 5.1% 03/24/2	68,024.	65,802.
35,000 FV LINCOLN NATL COR 8.7	45,720.	42,041.
55,000 FV LINCOLN NATL 7.0% 03	64,861.	60,423.
40,000 FV LOCKHEED MARTIN 4.85	42,397.	41,369.
85,000 FV MARKEL CORP 5.35%	94,936.	93,386.
55,000 FV MERRILL LYNCH CO 6.8	60,090.	60,668.
55,000 FV METLIFE INC 7.717% 0	70,308.	64,051.
75,000 FV NBCUNIVERSAL 3.65% 0	76,643.	75,365.
150,000 FV OCCIDENTAL PETRO 4.	163,780.	152,010.
80,000 FV OCCIDENTAL PETRO 2	77,665.	75,229.

FORM 990PF, PART II - CORPORATE BONDSATTACHMENT 7 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
125,000 FV ORACLE CORP 2.375%	127,254.	126,706.
70,000 FV ORACLE CORP 2.25% 19	69,906.	70,760.
35,000 FV PARKER/HANNIF CO 6.2	44,766.	44,382.
85,000 FV PFIZER INCORPORAT 6.	99,767.	95,677.
25,000 FV PHILLIPS 66 5.875%	29,365.	25,179.
100,000 FV PNC FUNDING COR 2.7	101,629.	101,021.
90,000 FV PNC FUNDING COR 3.3%	92,254.	91,610.
50,000 FV PEPSI BOTTLING 7% 29	67,410.	67,057.
30,000 FV PROCTER & GAMBLE 8.0	44,548.	40,916.
25,000 FV PROGRESSIVE CO 3.75%	27,357.	26,364.
100,000 FV ROYAL BANK OF CA 2.	101,241.	100,739.
135,000 FV SCHWAB CHARLES 4.45	150,158.	147,282.
70,000 FV SIMON PPTY 2.75%	67,066.	68,324.
35,000 FV SNAP ON INC 6.125% 0	43,083.	40,276.
125,000 FV SUNTRUST BANKS 3.6	127,955.	125,655.
60,000 FV THERMO FISHER 3.6% 0	64,034.	60,857.
100,000 FV TRAVELERS COS INC 5	120,874.	107,753.
30,000 FV TIME WARNER INC 6.25	37,013.	35,123.
35,000 FV TWENTYFIRST CENT 8.5	44,803.	45,476.
165,000 US BANCORP 2.2%	167,327.	166,582.
25,000 FV UNITED TECH COR 4.87	32,491.	29,276.
31,000 FV UNITED TECH CORP STE	40,323.	38,427.
40,000 FV UNITED PARCEL 8.375%	59,732.	56,071.
40,000 FV VERIZON GLOBAL 7.75%	55,779.	51,066.
30,000 FV VIRGINIA ELEC 8.875%	50,218.	47,137.
100,000 FV WELLS FARGO 5.125%	110,800.	102,810.
65,000 FV WELLS FARGO 4.6% 04/	73,692.	70,763.
35,000 FV WISCONSIN PWR 6.375%	47,864.	44,627.
75,000 FV GS MTG SEC 2.773%	74,871.	73,923.

FORM 990PF, PART II - CORPORATE BONDS

ATTACHMENT 7 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
90,000 FV COMM 2012-CCRE2	91,896.	90,955.
160,000 FV LB/UBS CMBS 4.568%	10,464.	9,953.
TOTALS	<u>6,616,680.</u>	<u>6,345,490.</u>

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 8

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
61,454 SHS SPDR S&P500 ETF TR	8,334,035.	12,528,627.
RICHARD N GOLDMAN DISTRIBUTING LLC	38,960.	38,960.
BBR ABSOLUTE RETURN LTD	8,650,000.	9,108,649.
BBR EQUITY LONG/SHORT LTD	19,850,000.	25,037,351.
BBR ACTIVE EQUITY LONG ONLY	13,324,971.	13,870,193.
TOTALS	50,197,966.	60,583,780.

ATTACHMENT 9

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PURCHASED INTEREST	6,068.	6,068.
DIVIDEND RECEIVABLE	74,455.	74,455.
TOTALS	<u>80,523.</u>	<u>80,523.</u>

FORM 990PF, PART VII-A -DISTRIBUTION TO A DONOR ADVISED FUND

THE FOUNDATION MADE GRANTS OF \$712,000 TO THE SCHWAB CHARITABLE FUND AND \$356,000 TO THE MORGAN STANLEY GLOBAL IMPACT FUNDING TRUST INC, WHICH HAVE BEEN TREATED AS QUALIFYING DISTRIBUTIONS. THE CHARITABLE FUNDS MAKE GRANTS TO 501(C)(3) ORGANIZATIONS FOR USES CONSISTENT WITH THE FOUNDATION'S MISSION STATEMENT AND PURPOSE.

RICHARD W. GOLDMAN FAMILY FOUNDATION

45-1261400

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11

CONTRIBUTIONS  
TO EMPLOYEE  
BENEFIT PLANS

EXPENSE ACCT  
AND OTHER  
ALLOWANCES

TITLE AND AVERAGE HOURS PER  
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

COMPENSATION

0.

0.

0.

PRESIDENT

ALICE R. GOLDMAN  
1201 CONNECTICUT AVENUE N.W., #300

WASHINGTON, DC 20036

0.

0.

0.

SECRETARY

DANIEL S. GOLDMAN  
1201 CONNECTICUT AVENUE N.W., #300

WASHINGTON, DC 20036

0.

0.

0.

TREASURER

WILLIAM S. GOLDMAN  
1201 CONNECTICUT AVENUE N.W., #300

WASHINGTON, DC 20036

GRAND TOTALS

0.

0.

0.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 12

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
BBR PARTNERS LLC TWO GRAND CENTRAL TOWER, 140 E 45TH ST NEW YORK, NY 10017 INVESTMENT FEES		303,974.
ARABELLA ADVISORS, LLC 1201 CONNECTICUT AVENUE N.W. #300 WASHINGTON, DC 20036 GRANT MAKING AND OTHER PHILANTHROPIC CONSULTING		139,761.
TOTAL COMPENSATION		<u>443,735.</u>

## FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 13

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SCHWAB CHARITABLE FUND ALICE AND BEN REITER CHARITABLE FUND 211 MAIN STREET SAN FRANCISCO, CA 94105	NONE PC	TO SUPPORT GENERAL OPERATIONS	356,000.
MORGAN STANLEY GLOBAL IMPACT FUNDING TRUST INC CONNECTICUT STREET FOUNDATION 2000 WESTCHESTER AVENUE, 2ND FLOOR PURCHASE, NY 10577	NONE PC	TO SUPPORT GENERAL OPERATIONS	356,000.
SCHWAB CHARITABLE FUND DANIEL AND CORINNE GOLDMAN CHARITABLE FUND 211 MAIN STREET SAN FRANCISCO, CA 94105	NONE PC	TO SUPPORT GENERAL OPERATIONS	356,000.
SHINE EARLY LEARNING 63 WEST 125TH STREET, 4TH FLOOR HARLEM, NY 10024	NONE NC	TO SUPPORT SHINE INSIGHT	250,000.
ALLIANCE FOR EARLY SUCCESS P O. BOX 6756 LEAWOOD, KS 66206	NONE PC	TO SUPPORT GENERAL OPERATIONS	100,000.
THE ASPEN INSTITUTE INC ONE DUPONT CIRCLE, NW SUITE 700 WASHINGTON, DC 20036	NONE PC	TO SUPPORT THE 2GEN FIELD	150,000.

ATTACHMENT 13

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS		RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CHILD CARE AWARE OF AMERICA	NONE			TO SUPPORT CHILD CARE DESERTS REPORT	75,000.
1515 NORTH COURTHOUSE ROAD, 11TH FLOOR	PC				
ARLINGTON, VA 22201					
CHILD TRENDS	NONE			TO SUPPORT GENERAL OPERATIONS	100,000.
7315 WISCONSIN AVENUE, SUITE 1200W	PC				
BETHESDA, MD 20814					
THE TRUSTEES OF COLUMBIA UNIVERSITY	NONE			TO SUPPORT GENERAL OPERATIONS FOR THE NATIONAL	50,000.
IN THE CITY OF NEW YORK	PC			CENTER FOR CHILDREN IN POVERTY	
722 W. 168TH STREET, 14TH FLOOR					
NEW YORK, NY 10032					
COMMUNITY INITIATIVES	NONE			TO SUPPORT GENERAL OPERATIONS	100,000.
354 PINE STREET, SUITE 700	PC				
SAN FRANCISCO, CA 94104					
CORPORATION FOR ENTERPRISE DEVELOPMENT	NONE			TO SUPPORT CHILDREN'S SAVINGS ACCOUNTS	150,000.
1200 G STREET NW, SUITE 400	PC				
WASHINGTON, DC 20005					
JUMPSTART FOR YOUNG CHILDREN INC	NONE			TO SUPPORT PILOT PROGRAMS AND CURRICULUM	100,000.
308 CONGRESS STREET, 6TH FLOOR	PC			REVISIONS	
BOSTON, MA 02210					

FORM 990FE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS		RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
LIFT, INC 1620 I STREET NW, SUITE 820 WASHINGTON, DC 20006		NONE PC		TO SUPPORT STRATEGIC SHIFT TO LIFTING PARENTS OF YOUNG CHILDREN FROM POVERTY	100,000.
NATIONAL ASSOCIATION FOR THE EDUCATION OF YOUNG CHILDREN 1313 L STREET NW, SUITE 500 WASHINGTON, DC 20005		NONE PC		TO SUPPORT BEHAVIORAL RESEARCH THAT INFORMS WORKFORCE DEVELOPMENT	100,000.
NATIONAL GOVERNORS ASSOCIATION CENTER FOR BEST PRACTICES 444 N CAPITAL STREET NW , SUITE 267 WASHINGTON, DC 20001		NONE PC		TO SUPPORT THE GOVERNOR'S EDUCATION SYMPOSIUM AND EARLY CARE AND EDUCATION WORKFORCE	125,000.
THE PARENT-CHILD HOME PROGRAM, INC 1415 KELLUM PLACE, SUITE 101 GARDEN CITY, NY 11530		NONE PC		TO SUPPORT GENERAL OPERATIONS	200,000.
ROBIN HOOD FOUNDATION 826 BROADWAY, 9TH FLOOR NEW YORK, NY 10003		NONE PC		TO SUPPORT ECRI	175,000.
CENTER FOR THE STUDY OF SOCIAL POLICY 1575 EYE STREET NW, SUITE 500 WASHINGTON, DC 20005		NONE PC		TO SUPPORT GENERAL OPERATIONS TO EXPAND EC-LINC	100,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 13 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
OUNCE OF PREVENTION FUND 33 WEST MONROE STREET, SUITE 2400 CHICAGO, IL 60603	NONE PC	TO SUPPORT EDUCATE, HOME VISITING TRAINING INITIATIVE, AND FIRST FIVE YEARS FUND	350,000.
TIDES CENTER P.O. BOX 29907 SAN FRANCISCO, CA 94129	NONE PC	TO SUPPORT GENERAL OPERATIONS FOR ABRIENDO PUERTAS	125,000.
UNIVERSITY OF CHICAGO 5801 S ELLIS AVENUE CHICAGO, IL 60637	NONE PC	TO SUPPORT PLANNING TO ASSESS THE FEASIBILITY OF ESTIMATING BENEFITS OF THE DOULA HOME-VISITING PROGRAM	150,000.
TOTAL CONTRIBUTIONS PAID			3,568,000.

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 14

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
RICHARD N GOLDMAN DISTRIBUTING LLC			01	-1,839.	
EXCISE TAX REFUND			01	10,693.	
TOTALS				8,854.	

RICHARD W. GOLDMAN FAMILY FOUNDATION  
FOR YEAR ENDED DECEMBER 31, 2015

45-1261400

PART VII-B: QUESTION 5(C): TAXES ON TAXABLE EXPENDITURES  
STATEMENT REQUIRED BY REG 53.4945-5(d)

**INFORMATION RELATING TO GRANTS SUBJECT TO EXPENDITURE RESPONSIBILITY**

**GRANTEE:**

SHINE EARLY LEARNING INC.  
C/O DOUG WHITEMAN  
63 WEST 125<sup>TH</sup> STREET, 4<sup>TH</sup> FLOOR  
NEW YORK, NY 10027

**DATE AND AMOUNT:**

\$250,000 ON 11/16/2015

**PURPOSE:**

TO SUPPORT THE INTEGRATION OF CHILD DEVELOPMENT DATA INTO THE SHINE INSIGHT SYSTEM, WHICH WILL ALLOW SHINE EARLY LEARNING INC. TO ANALYZE EDUCATIONAL OUTCOMES VIA KEY VARIABLES, SUCH AS ATTENDANCE, FAMILY INVOLVMENT, AND INCOME. AT THE CLASSROOM LEVEL, THESE TOOLS HELP TEACHERS, WHO TRACK HUNDREDS OF CHILD ASSESSMENT DATA POINTS ACROSS MATH, SCIENCE, SOCIAL-EMOTIONAL DEVELOPMENT, AND LANGUAGE AND LITERACY, TO FOCUS IN ON CRITICAL SUBGROUPS WITH TARGETED STRATEGIES TO HELP ACCELERATE CHILD OUTCOME GAINS.

**DIVERSION:**

TO THE BEST OF THE RICHARD W. GOLDMAN FAMILY FOUNDATION'S KNOWLEDGE, SHINE EARLY LEARNING INC. HAS USED THE FUNDS ONLY FOR PURPOSES DEEMED CHARITABLE UNDER IRC CODE AND REGULATIONS AND HAS NOT DIVERTED ANY PORTION OF THE FUNDS RECEIVED FROM THE FOUNDATION FROM THE PURPOSE OF THE GRANT AND NO PART OF THE GRANT WILL BE USED FOR ANYTHING OTHER THAN INTENDED PURPOSES.

**DATE OF GRANTEE'S REPORT:**

09/20/2016 (SEE ATTACHMENT A-1)

**AMOUNT EXPENDED AS OF 12/31/2015 WERE AS FOLLOWS:**

PROGRAM REPORTING AND MONITORING CAPABILITIES	\$ 90,000
USER INTERFACE DESIGN	\$ 20,000
SOFTWARE DEVELOPER	\$ 40,000
PRODUCT MANAGER / TESTING	\$ 25,000
KEY ENHANCEMENTS	\$ 65,000
SUPPORT AND TRAINING	\$ 10,000
<b>TOTAL</b>	<b>\$250,000</b>

**VERIFICATION OF GRANTEE'S REPORT:**

THE RICHARD W. GOLDMAN FAMILY FOUNDATION HAS VERIFIED EXPENDITURES THROUGH A CERTIFICATION OF THE EXPENDITURE BY PRESIDENT AND CHIEF ADMINISTRATIVE OFFICER, DOUGLAS E. WHITEMAN, IN THE ATTACHED INTERIM REPORT.

**ACCOUNTING FOR THE GRANT FOR YEAR ENDED 12/31/2015 SUMMARY:**

FUNDS ADDED DURING FYE 12/31/2015	\$250,000
LESS AMOUNTS EXPENDED FROM DATE OF GRANT THROUGH 12/31/2015	(\$250,000)
<b>BALANCE OF GRANTS TO BE ACCOUNTED FOR IN FUTURE YEARS</b>	<b>\$ 0</b>

Richard W. Goldman Family Foundation  
2015 Renewal Grant Applications - Manual Entry

## Shine Insight 2.0

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*2015 Renewal Grant Applications - Manual  
Entry*

**Grant #: November 30,  
2015, November 30,  
2016, November 30,  
2017**

## ***Shine Early Learning***

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Doug Whiteman  
63 West 125th Street - 4th Floor  
New York, New York 10027

doug@acelero.net  
O: (212) 289-2402 ext. 6018

## ***Mr. Scott Miller***

---

63 West 125th Street - 4th Floor  
Harlem, New York 10024

scott@shineearly.com  
O: 9178802051  
M: 9178802051

Richard W. Goldman Family Foundation  
2015 Renewal Grant Applications - Manual Entry

## Interim Report

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### ***Instructions***

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This report will be reviewed by the Richard W. Goldman Family Foundation. Please provide candid, reflective, and concise responses, and feel free to use bullet-point format where useful. We are equally as interested in learning about your challenges and difficulties as your successes. Please contact the foundation if any of the funds cannot be used for the purpose requested.

Your response is limited to the space provided. These limits are meant to suggest maximum, not necessarily recommended length. A single-spaced type page in 12-point font is roughly 3,300 characters. Please note that character limits include spaces. When drafting narrative responses, we recommend using word processing software that will check spelling and count characters. Note that the system cannot save your draft if any responses or file uploads exceed the indicated character and file size limits.

The report should be completed and signed by your organization's executive director or an authorized officer of the organization through our online grants management system. If you would like to print a copy for your records, please use the blue "Follow Up Packet" icon in the upper left corner after you have saved and/or submitted the report.

Questions marked with \* are required.

**Project Name\***

Name of Project.

Shine Insight 2.0

### ***I. The Work***

---

**1. Goals\***

Describe the specific goals of the project as outlined in your original grant proposal.

One year ago, we trained over 250 teachers to utilize newly built functionality in Shine Insight that allows teachers to track child progress, which integrates child assessment data with family need and support data. This functionality is unparalleled in the early childhood space, and we continue to explore opportunities to leverage this integration.

Through this work, we have learned a tremendous amount about how our teachers and family advocates leverage Shine Insight to guide their daily activities and improve the quality to services for families and children.

# Richard W. Goldman Family Foundation

## 2015 Renewal Grant Applications - Manual Entry

At this time last year, we named the following four high level Shine Insight development goals:

1. Develop online versions of our teacher evaluation rubrics, which are a critical innovation for improving teacher professional development

2. Improve family support and monitoring outcomes to inform practice

3. Develop an online mobile phone optimized parent portal integrated with our Shine Insight data to help parents interact with our Shine on Families family curriculum

4. Spread our unique "push" data dashboard approach to other areas of our work

---

### **2. Progress Toward Goals\***

Describe the progress made to date toward these goals. Are you on track? Why or why not?

We have borrowed best-practice processes from the technology industry, and as such, we constantly re-evaluate our product roadmap, both against current priorities and other available technologies.

In addition to launching ELS in Insight in the fall of 2015, we also launched a family curriculum called Shine On, Families. Family advocates leveraged Shine Insight to record parent engagement in this curriculum as well as our other family engagement work.

Through our work with Shine On, Families and ELS in Insight, as well as ongoing Technology Stakeholder processes, we shifted focus in the following way:

Goal #1: We have delayed this functionality. Several external players emerged that offer similar features. We are piloting one system that has built-in success rubric functionality, and will consider others.

Goal #2: We are on track with this goal, and have invested in several features that help us achieve it, such as:

PIR

## Richard W. Goldman Family Foundation 2015 Renewal Grant Applications - Manual Entry

This year saw major changes to the Office of Head Start's Program Information Report. Shine Insight modifications were essential to prevent our staff from having to devote time to track and calculate the numbers manually. These additions also enhanced our capacity to monitor family engagement.

### DMH Internal Referrals

Insight now provides a system for the creation, monitoring and resolution of referrals to the Disabilities and Mental Health team. This system is designed 1) to ensure that clear and consistent avenues for making referrals is available to all staff and 2) to encourage these referrals to be resolved in a timely manner. This enhancement ensures that all children receive the services they need.

### Health Panel additions

These additions were made to facilitate compliance with the Head Start Performance Standards for assisting families in obtaining medical and dental homes and health insurance. With the new "Dates of Determination" fields, users are able to track the timely completion of these requirements. The additions of automatic links to relevant family goals and the planning section facilitate staff's documentation and monitoring of the work that is being done to support families in these areas.

### Health Concern links to Goals

This enhances and streamlines our support of families' management of their children's health concerns. Advocates are able to better identify and monitor poorly managed health concerns. These updates also eliminate the need for double entry of follow up and promote cross-service area collaboration.

Goal #3: We have discovered that we can still innovate around appropriate expectations for data collection and tracking for our families - whether executed on paper or electronically. We have delayed the work of building a system into Shine Insight until we can innovate in this area.

Goal #4: While Data Dashboards continue to be important to guide the daily work of over-stressed family advocates, we have delayed investing in this functionality due to other priorities.

# Richard W. Goldman Family Foundation

## 2015 Renewal Grant Applications - Manual Entry

### 3. Achievements and Challenges\*

Have there been any significant organizational and/or programmatic achievements and/or setbacks since the proposal was submitted? If yes, please explain.

There have been no organizational setbacks in the past twelve months. We have added two new partners to our Shine Implement business unit, resulting in approximately 2,000 children being added to the Insight data system. We are also currently in the final stages of negotiation for another new partner which will add another 550 children to the system. Our growth trajectory for impacting more and more children through our Insight data system is both exciting and challenging, but we are confident that some of the infrastructural modifications we are making to the system, both through funds provided by this grant as well as other funds, will allow us to continue to generate more positive child outcomes.

### 4. Remaining Plans

If your timeline or planned activities have changed in any way compared with your original proposal, please provide an overview of the activities planned for the remainder of the grant period and note any significant changes from proposal.

For the remainder of the grant period, our focus will be to improve the ability of Shine Insight to be used in more programs with additional children. Shine Insight is guiding the work of Head Start organizations to serve nearly 26,000 children, and we anticipate further growth. As we have added more children and users to the system, general stability and infrastructure needs have arisen.

We need to continue to invest in the stability of the system, which will include the development of a Data Warehouse (currently a high-priority need as our child-base grows), generation of new reports and analytics for power users, improvements to our user support, and general code fixes as we add new functionalities.

In addition, as we have focused much of this year on how our teachers and family advocates leverage Shine Insight, we have discovered a host of offline activities that if app-enabled, could be more efficient, thus again allowing staff to invest more time in the children and families we serve. We suspect that these same offline activities drive staff turnover, which hinders our ability to achieve our mission. As such, our second goal is to invest in an app-infrastructure that allows us to leverage Shine Insight to automate these cumbersome processes.

### 5. Use of Grant Funds\*

What proportion of the grant funds have you spent to date? Have there been any changes to the grant budget since the funds were awarded? Please explain how actual income and expenses aligned with the original proposed budget. Were there any changes to the proposed use of the grant?

# Richard W. Goldman Family Foundation

## 2015 Renewal Grant Applications - Manual Entry

We have spent 100% of the November 2015 portion of the grant (\$250,000). Details around our usage of this portion of the grant are enumerated above, and while one of the short-term project goals shifted, these changes are consistent with the original stated purpose of the grant.

## ***II. Looking Forward***

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### **6. Future Opportunities\***

Looking forward to next year, what changes do you see on the horizon relevant to your organization (budget, participants, services, etc.)?

We anticipate continued growth both for our Shine Insight user base and for the number of children who are active in the system. We have recently joined in the submission of a grant proposal for over 500 children in central Alabama, and within the next three weeks will be participating in the submission of several grant proposals for well over 1,000 children in Puerto Rico. All of these submissions will be made through our Shine Implement business unit. If these grant proposals are successful, 2017 will see a substantial increase in the impact of Shine Insight on child outcomes - and in geographical areas previously not served by us or our Insight partner programs.

### **7. Future Proposal\***

If the foundation were to invite you to submit a proposal for continued support, please briefly state on which aspect(s) of the organization or project you would base a new proposal.

Acelero Learning has a \$5.7M philanthropic campaign that includes innovations that will ultimately allow us to close the achievement gap for the children and families we directly serve, as well as disseminate these best practices to the field at large. One of these innovations is investigating the use of child-facing technology to improve the validity of child assessment data. We feel that this is congruent to previous investments Goldman has made, and we would welcome the opportunity to discuss further.

We are always seeking opportunities to make catalytic investments to advance early childhood issues at the national level. For that reason, we ask you, our grantees, this question each year:

### **8. Other Opportunities**

We are always seeking opportunities to make catalytic investments to advance early childhood issues at the national level. For that reason, we ask you, our grantees this question each year:

## Richard W. Goldman Family Foundation 2015 Renewal Grant Applications - Manual Entry

If you were in the position to allocate significant resources in a single grant to advance early childhood outcomes for children at the national level (and could not give to your own organization), what would you do?

Investments in the work of a series of academics who are pushing the field to consider new ways to assess children and improve practice, for example, Kathy Hirsh-Pasek at Temple University, Susan Neuman at NYU, Scott McConnell at the University of Minnesota, and Karen Bierman at Penn State, could be game changing in the next five years.

In addition, very little research exists to confirm the efficacy of providing services to parents in early childhood programs, and the existing research is limited to very specific elements of family interactions. Meanwhile, the practice of partnering with families to improve child outcomes has changed very little in Head Start programs in the past fifty years. Investing in research by Ariel Kalil and Susan Mayer at University of Chicago, Mario Small at Harvard University, and Susanna Loeb at Stanford University could validate (or invalidate) and shape practice in Head Start programs for years to come.

### ***III. Additional Information and Attachments***

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#### **9. Intersection with Health and Economic Opportunity for Families\***

The foundation is interested in better understanding the interconnectedness of health and economic opportunity issues with early childhood. Does your organization address health and economic opportunity issues for low-income families with young children? If yes, how?

While we monitor child health screenings (as well as disabilities and mental health issues) via the Shine Insight data system, we do not address health or economic opportunity issues per se in our role as Head Start providers.

#### **10. Additional Information**

Please provide any additional information you feel is important for the foundation to know.

The Goldman Family foundation would be pleased to know that as of September 19, 2016, there are currently 3,319 users on Shine Insight representing 17 organizations and 26,816 children. Our firm belief is that in addition to codifying the Acelero Learning approach, Shine Insight improves the ability of the Head Start community to monitor compliance in an efficient way and re-focus critical staff time on working directly with children and families to close the Achievement Gap.

**Richard W. Goldman Family Foundation  
2015 Renewal Grant Applications - Manual Entry**

**11. Grant Deliverables**

Please upload a file or share a link to any publications or other materials funded by the grant to date.

**12. Grant Images**

In this field and the following field, feel free to upload a photograph or other images related to the work funded by the foundation. By uploading this image, you certify that you have permission to share it and that the Richard W. Goldman Family Foundation is authorized to use it on its website.

**13. Additional Grant Image**

Please upload a photograph or other image related to the work funded by the foundation. By uploading this image, you certify that you have permission to share it and that the Richard W. Goldman Family Foundation is authorized to use it on its website.

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***IV. Electronic Signature***

**Authorized Signature\***

Enter your full name

Douglas E. Whiteman

**Business Title\***

Enter your business title

President and Chief Administrative Officer

**Date of Submission\***

Enter the date of submission

09/20/2016

By entering your name, title and date above, you certify that you are an officer, managing employee, or other duly authorized agent for the grantee and are authorized to submit the above report to the Richard W. Goldman Family Foundation and that the statements contained herein are true, accurate and complete to the best of your knowledge and belief.\*

I agree

**Richard W. Goldman Family Foundation  
2015 Renewal Grant Applications - Manual Entry**

Richard W. Goldman Family Foundation  
2015 Renewal Grant Applications - Manual Entry

## File Attachment Summary

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***Applicant File Uploads***

*No files were uploaded*

Expense	Budget	Actual
Program Reporting and Monitoring Capabilities (PIR) <ul style="list-style-type: none"> <li>Adherence to American Academy of Pediatrics recommended health screenings and exams for all enrolled children</li> <li>Year-over-year program participation (monitoring of family engagement)</li> </ul>	90,000	90,000
User Interface Design	20,000	20,000
Software Developer	40,000	40,000
App Development	25,000	-
Product Manager / Testing	25,000	25,000
Key Enhancements: <ul style="list-style-type: none"> <li>Ability to provide internal referrals for children suspected to have developmental delays or mental health concerns</li> <li>Ability to monitor follow-up on significant health needs of children</li> <li>Integration of new, proprietary family curriculum (Shine On, Families) into monitoring and management system</li> </ul>	40,000	65,000
Support and Training	10,000	10,000
<b>Total</b>	<b>250,000</b>	<b>250,000</b>