25401

201512

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public
▶ Information about Form 990-PF and its separate instructions is at www irs gov/form990pf

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning 07/01/15 , and ending 06/30/16

Name of foundation: HARTE CHARITABLE FOUNDATION

A Employer identification number: 45-0489907

Number and street (or P O box number if mail is not delivered to street address): 20742 STONE OAK PARKWAY RM/STE 107 | Room/suite

B Telephone number (see instructions): 210-828-1505

City or town, state or province, country, and ZIP or foreign postal code: SAN ANTONIO TX 78258

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 39,207,543

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

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Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)	37,388,726			
2	Check ▶ [ ] if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	313,320	313,320		
4	Dividends and interest from securities	283,353	283,353		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	264,189			
b	Gross sales price for all assets on line 6a 32,517,015				
7	Capital gain net income (from Part IV, line 2)		264,189		
8	Net short term capital gain			0	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) STMT 1	19,542	19,542		
12	Total Add lines 1 through 11	38,269,130	880,404	0	
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) STMT 2	11,030	5,515		5,515
c	Other professional fees (attach schedule) STMT 3	171,761	99,283		72,478
17	Interest				
18	Taxes (attach schedule) (see instructions) STMT 4	17,293	17,293		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	3,340			3,340
22	Printing and publications				
23	Other expenses (att sch) STMT 5	2,818			2,818
24	Total operating and administrative expenses Add lines 13 through 23	206,242	122,091	0	84,151
25	Contributions, gifts, grants paid	1,352,354			1,352,354
26	Total expenses and disbursements Add lines 24 and 25	1,558,596	122,091	0	1,436,505
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	36,710,534			
b	Net investment income (if negative, enter -0-)		758,313		
c	Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	512,166	1,335,337	1,335,337
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (att. schedule) ▶			
	Less. allowance for doubtful accounts ▶ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule) STMT 6	433,128		
	b Investments – corporate stock (attach schedule) SEE STMT 7	5,093,265	41,270,938	36,870,312
	c Investments – corporate bonds (attach schedule) SEE STMT 8	1,133,866		
	11 Investments – land, buildings, and equipment: basis ▶			
	Less accumulated depreciation (attach sch.) ▶			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) SEE STATEMENT 9		1,020,515	1,001,894
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation (attach sch.) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	7,172,425	43,626,790	39,207,543
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	7,172,425	43,626,790	
	30 Total net assets or fund balances (see instructions)	7,172,425	43,626,790	
	31 Total liabilities and net assets/fund balances (see instructions)	7,172,425	43,626,790	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,172,425
2	Enter amount from Part I, line 27a	2	36,710,534
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	43,882,959
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 10	5	256,169
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	43,626,790

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICALLY TRADED SECURITIES	P		
b	PUBLICALLY TRADED SECURITIES MERGER	P		
c	MUTUAL FUND CAPITAL GAIN DIST			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	32,477,717		32,230,776	246,941
b	15,928		22,050	-6,122
c	23,370			23,370
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				246,941
b				-6,122
c				23,370
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	264,189
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	240,819

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	2,436,172	4,620,651	0.527236
2013	1,978,460	4,900,175	0.403753
2012	754,580	5,109,532	0.147681
2011	286,266	5,698,017	0.050240
2010	343,962	6,233,182	0.055182

2	Total of line 1, column (d)	2	1.184092
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.236818
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	22,244,511
5	Multiply line 4 by line 3	5	5,267,901
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	7,583
7	Add lines 5 and 6	7	5,275,484
8	Enter qualifying distributions from Part XII, line 4	8	1,436,505

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	15,166
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3	Add lines 1 and 2		3	15,166
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	15,166
6	Credits/Payments			
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a 521		
b	Exempt foreign organizations – tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 15,000		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	15,521
8	Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8	89
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	266
11	Enter the amount of line 10 to be **Credited to 2016 estimated tax** ▶ 266 **Refunded** ▶		11	

Part VII-A Statements Regarding Activities

		Line	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ ______ (2) On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ WAYNE E. COLLIE, CPA Telephone no. ▶ 210-828-1505
20742 STONE OAK PARKWAY, SUITE 107
Located at ▶ SAN ANTONIO TX ZIP+4 ▶ 78258

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to *all years listed, answer "No" and attach statement – see instructions.*)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the *foundation had excess business holdings in 2015.*)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID L. SINAK SAN ANTONIO 20742 STONE OAK PKWY, STE 107 TX 78258	TRS, SEC, DIR 0.50	0	0	0
ELIZABETH H. OWENS SAN ANTONIO 20742 STONE OAK PKWY, STE 107 TX 78258	DIRECTOR 0.50	0	0	0
CHRISTOPHER M. HARTE SAN ANTONIO 20742 STONE OAK PKWY, STE 107 TX 78258	DIRECTOR 0.50	0	0	0
JULIA HARTE WIDDOWSON SAN ANTONIO 20742 STONE OAK PKWY, STE 107 TX 78258	PRES, COB, DIR 0.50	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 THE FOUNDATION'S PRIMARY ACTIVITY IS THE DISTRIBUTION OF FUNDS TO VARIOUS ORGANIZATIONS WHICH ARE DESCRIBED IN SECTION 170(C) OF THE INTERNAL REVENUE CODE.	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions 3	
Total. Add lines 1 through 3 ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	20,580,740
b	Average of monthly cash balances	1b	1,492,867
c	Fair market value of all other assets (see instructions)	1c	509,653
d	Total (add lines 1a, b, and c)	1d	22,583,260
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	22,583,260
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	338,749
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	22,244,511
6	**Minimum investment return.** Enter 5% of line 5	6	1,112,226

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,112,226
2a	Tax on investment income for 2015 from Part VI, line 5 — 2a: 15,166		
b	Income tax for 2015. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	15,166
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,097,060
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,097,060
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount as adjusted.** Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,097,060

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	1,436,505
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,436,505
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,436,505

Note. *The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.*

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7					1,097,060
2 Undistributed income, if any, as of the end of 2015:					
a Enter amount for 2014 only					
b Total for prior years: 20____, 20____, 20____					
3 Excess distributions carryover, if any, to 2015:					
a From 2010	40,557				
b From 2011	11,203				
c From 2012	503,733				
d From 2013	1,740,587				
e From 2014	2,212,741				
f Total of lines 3a through e		4,508,821			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 1,436,505					
a Applied to 2014, but not more than line 2a					
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2015 distributable amount					1,097,060
e Remaining amount distributed out of corpus		339,445			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		4,848,266			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount – see instructions					
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount – see instructions					
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)					
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)		40,557			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a		4,807,709			
10 Analysis of line 9.					
a Excess from 2011	11,203				
b Excess from 2012	503,733				
c Excess from 2013	1,740,587				
d Excess from 2014	2,212,741				
e Excess from 2015	339,445				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. *Subtract line 2d from line 2c*					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test – enter. (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter: (1) Total support other than gross investment income (interest dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 ***Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:***

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include.

N/A

c Any submission deadlines.

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
TEXAS A&M UNIVERSITY 6300 OCEAN DRIVE CORPUS CHRISTI TX 78412	NONE	501(C)(3)	CHARITABLE EDUCATION PURPOSE	389,226
GULF OF MAINE RESEARCH INSTITUTE 350 COMMERCIAL STREET PORTLAND ME 04101	NONE	501(C)(3)	GENERAL CHARITABLE PURPOSES	938,128
NATIONAL WILDLIFE FEDERATION 11100 WILDLIFE CENTER DR RESTON VA 20190	NONE	501(C)(3)	GENERAL CHARITABLE PURPOSE	25,000
Total			▶ 3a	1,352,354
b Approved for future payment N/A				
Total			▶ 3b	

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Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	313,320	
4 Dividends and interest from securities			14	283,353	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	19,542	
8 Gain or (loss) from sales of assets other than inventory			18	264,189	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0		880,404	0

13 Total. Add line 12, columns (b), (d), and (e) 13 880,404

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
N/A	

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Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Signature of officer or trustee: [signature] Date: 1-27-17 Title: TRS, SEC, DIR

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed
WAYNE E. COLLIE	WAYNE E. COLLIE	01/17/17	

Firm's name ▶ COLLIE & COMPANY CPAS, PLLC — PTIN P00079891

Firm's address ▶ 20742 STONE OAK PARKWAY, SUITE 107, SAN ANTONIO, TX 78258-7478 — Firm's EIN ▶ 81-4756062 — Phone no 210-828-1505

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Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Name of the organization	Employer identification number
HARTE CHARITABLE FOUNDATION	45-0489907

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	[ ] 501(c)( ) (enter number) organization
	[ ] 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	[ ] 527 political organization
Form 990-PF	[X] 501(c)(3) exempt private foundation
	[ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation
	[ ] 501(c)(3) taxable private foundation

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Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

[X] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

[ ] For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) $5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

[ ] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III

[ ] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . ▶ $

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

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Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Name of organization: HARTE CHARITABLE FOUNDATION

Employer identification number: 45-0489907

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF EDWARD H. HARTE DAVID SINAK, EXECUTOR 2100 MCKINNEY AVENUE, SUITE 1100 DALLAS TX 75201-6911	$ 37,388,726	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

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Name of organization: HARTE CHARITABLE FOUNDATION

Employer identification number: 45-0489907

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	STOCKS & BONDS	$ 35,402,361	12/31/15
1	PARTNERSHIP INVESTMENTS	$ 921,240	12/31/15
		$	
		$	
		$	
		$	

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Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
LITIGATION PROCEEDS	$ 19,542	$ 19,542	$
TOTAL	$ 19,542	$ 19,542	$ 0

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
ACCCOUNTING FEES	$ 11,030	$ 5,515	$	$ 5,515
TOTAL	$ 11,030	$ 5,515	$ 0	$ 5,515

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FROST BROKERAGE ADVISORY FEES	$ 29,423	$ 29,423	$	$
BRADFORD & MARZEC ADVISORY FEES	35,345	35,345		
CAPITAL COUNSEL ADVISORY FEES	31,929	31,929		
STATE STREET ADVISORY FEES	2,586	2,586		
CONSULTING SERVICES	72,478			72,478
TOTAL	$ 171,761	$ 99,283	$ 0	$ 72,478

Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN INCOME TAXES	$ 17,293	$ 17,293	$	$
TOTAL	$ 17,293	$ 17,293	$ 0	$ 0

Federal Statements

Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
EXPENSES				
MISCELLANEOUS	60			60
BANK WIRE FEES	2,758			2,758
TOTAL	$ 2,818	$ 0	$ 0	$ 2,818

Statement 6 - Form 990-PF, Part II, Line 10a - US and State Government Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
250000 FEDERAL HOME LOAN BKS 4.75%	$ 275,448	$	COST	$
150000 FEDERAL NATL MTG ASSN 2.25%	157,680		COST	
TOTAL	$ 433,128	$ 0		$ 0

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
ABBOTT LABORATORIES	$ 9,783	$	COST	$
ABBVIE INC	9,644		COST	
ALLERGAN PLC	15,891		COST	
AMER BEACON SMALL CAP VALUE	12,740		COST	
AMERICAN INTL GROUP INC	11,969		COST	
AMERICAN WTR WKS CO INC	8,670		COST	
ANDARKO PETROLEUM CORP	5,771		COST	
APPLE INC	50,942		COST	
BAIDU INC	6,938		COST	
BAKER HUGHES INC	12,124		COST	
BECTON DICKINSON & CO	14,993		COST	
BIOGEN IDEC INC	6,832		COST	
BLACKROCK	13,289		COST	
BOEING CO	10,712		COST	

Federal Statements

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
BORGWARNER INC	$ 2,340	$	COST	$
BRISTOL-MYERS SQUIBB CO	7,374		COST	
BROADRIDGE FINANCIAL SOL	6,175		COST	
CANADIAN PACIFIC RAILWAY	5,022		COST	
CAPITAL ONE FINANCIAL CORP	8,796		COST	
CARNIVAL CORP	12,540		COST	
CELGENE CORP	8,739		COST	
CHEVRON CORPORATION	14,971		COST	
CISCO SYSTEM INC	11,556		COST	
COGNIZANT TECH SOLUTIONS	1,989		COST	
COLGATE-PALMOLIVE	9,551		COST	
CONOCOPHILLIPS	9,601		COST	
COSTCO WHOLESALE CORP	9,828		COST	
CUMMINS INC	6,520		COST	
CVS CAREMARK CORP	14,749		COST	
DISNEY (WALT) COMPANY HLDG	22,485		COST	
DODGE & COX INTERNATIONAL STOCK FD	62,922		COST	
DOLLAR GENERAL CORP	15,886		COST	
ELI LILLY & CO	12,161		COST	
EOG RESOURCES INC	9,162		COST	
FACEBOOK INC	11,158		COST	
FEDEX CORP	5,157		COST	
FNF GROUP	14,539		COST	
GENERAL DYNAMICS CORP	12,359		COST	
GENERAL ELECTRIC CO	12,959		COST	
GILEAD SCIENCES INC	3,671		COST	
GOLDMAN SACHS MED CAP VALUE	10,137		COST	
GOOGLE INC CL A	7,624		COST	
HARTE HANKS INC.	3,806,880		COST	
HOME DEPOT INC	20,473		COST	
HONEYWELL INTERNATIONAL	6,369		COST	
HUMANA INC	13,122		COST	
ILLUMINA INC	15,458		COST	
INVESCO LTD	5,768		COST	
ISHARES COHEN & STEERS RTY	32,004		COST	
JHANCOCK DISCPLNED VALUE MID CAP	44,757		COST	
JP MORGAN CHASE & CO	13,761		COST	

Federal Statements

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
KROGER CO	$ 16,423	$	COST	$
LAM RESEARCH CORP	6,357		COST	
LAZARD INTL EQTY PORT	75,712		COST	
LOWES COS INC	9,700		COST	
LULULEMON ATHLETICA INC	10,878		COST	
MASTERCARD INC	12,157		COST	
MICROSOFT CORP	14,726		COST	
MORGAN STANLEY INS FR EMG	14,672		COST	
MOODYS CORP	4,822		COST	
NASDAQ OMX GROUP INC	6,459		COST	
NIELSEN N.V.	7,489		COST	
NIKE INC	14,881		COST	
NOVARTIS AG	12,529		COST	
O'REILLY AUTOMOTIVE INC	10,028		COST	
OCCIDENTAL PETROLEUM CORP	6,514		COST	
PALO ALTO NETWORKS INC	15,464		COST	
PPG INDUSTRIES INC	18,097		COST	
PRICELINE GROUP INC	4,856		COST	
SALESFORCE.COM INC	8,928		COST	
SCHLUMBERGER LIMITED	12,639		COST	
SCHWAB CHARLES CORP	12,431		COST	
SELECT SECTOR SPDR FD - FINL ETF	29,554		COST	
SELECT SECTOR SPDR FD HLTH CARE ETF	32,703		COST	
SOUTHWEST AIRLINES CO	15,723		COST	
SPDR S&P REGIONAL BANKING ETF	12,817		COST	
SPLUNK INC	12,876		COST	
STARBUCKS CORP	14,497		COST	
STRYKER CORP	10,163		COST	
TARGET CORP	7,411		COST	
TEXAS INSTRUMENTS INC	10,884		COST	
TIME WARNER CABLE INC	16,017		COST	
TOTAL SA SPN ADR	7,629		COST	
TROWE PRICE INTL DISCVY	15,000		COST	
THORNBURG INTL GROWTH FD	14,461		COST	
UNDER ARMOUR INC	10,409		COST	
UNION PACIFIC CORP	5,973		COST	
UNITED CONTINENTAL HLDGS INC	11,154		COST	

Federal Statements

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
VICTORY SMALL CO OPP FUND	$ 9,495	$	COST	$
VIRTUS SMALL-CAP CORE FD	15,858		COST	
VISA INC	6,130		COST	
VOYA MIDCAP OPP	5,253		COST	
WALGREENS BOOTS ALLIANCE INC	14,646		COST	
WELLS FARGO & CO	8,995		COST	
WHIRLPOOL CORP	13,425		COST	
3M CO	17,569		COST	
FROST BROKERAGE ACCOUNT 84		15,506,104	COST	15,877,027
FROST BROKERAGE ACCOUNT 54		2,660,277	COST	2,762,354
CHARLES SCHWAB BROKERAGE ACCOUNT		7,380,488	COST	2,127,125
CAPITAL COUNSEL BROKERAGE ACCOUNT		15,724,069	COST	16,103,806
TOTAL	$ 5,093,265	$ 41,270,938		$ 36,870,312

Statement 8 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
250,000 BEAR STEARNS COMPANIES	$ 302,563	$	COST	$
150,000 BERKSHIRE HATHAWAY FINANCE	154,757		COST	
100,000 MORGAN STANLEY	100,311			
150,000 WAL-MART STORES INC	159,692		COST	
250,000 WELLS FARGO & CO	265,163		COST	
150,000 SHELL INTERNATIONAL FIN	151,380		COST	
TOTAL	$ 1,133,866	$ 0		$ 0

Federal Statements

Statement 9 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
PARTNERSHIP INVESTMENTS	$	$ 1,020,515	COST	$ 1,001,894
TOTAL	$ 0	$ 1,020,515		$ 1,001,894

Federal Statements

Statement 10 - Form 990-PF, Part III, Line 5 - Other Decreases

Description	Amount
BOOK/TAX DIFFERENCE SECURITIES SOLD	$ 256,169
TOTAL	$ 256,169

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25401 HARTE CHARITABLE FOUNDATION
45-0489907
FYE: 6/30/2016

Federal Statements

Taxable Interest on Investments

Description	Amount	Unrelated Business Code	Exclusion Code	Postal Code	US Obs ($ or %)
CHARLES SCWAB CASH ACCOUNT	$ 23		14		
FROST NATIONAL BANK	299,936		14		
CAPITAL COUNSEL LLC	41,320		14		
BOND PREMIUM AMORTIZATION	-27,959		14		
TOTAL	$ 313,320				

Taxable Dividends from Securities

Description	Amount	Unrelated Business Code	Exclusion Code	Postal Code	US Obs ($ or %)
CHARLES SCHWAB	$ 136,292		14		
FROST NATIONAL BANK	25,113		14		
CAPITAL COUNSEL LLC	121,948		14		
TOTAL	$ 283,353				

Other Investment Income

Description	Amount	Unrelated Business Code	Exclusion Code	Postal Code
LITIGATION PROCEEDS	$ 19,542		18	
TOTAL	$ 19,542			