EXTENDED TO NOVEMBER 15, 2016

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning , and ending

Name of foundation: NORQUIST-ROBINSON FOUNDATION

A Employer identification number: 43-6038171

Number and street (or P O box number if mail is not delivered to street address): 5301 WEST 67TH STREET | Room/suite

B Telephone number: 913-432-5301

City or town, state or province, country, and ZIP or foreign postal code: PRAIRIE VILLAGE, KS 66208

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 972,036.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	28,726.	27,927.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	10,831.			
	b Gross sales price for all assets on line 6a 235,258.				
	7 Capital gain net income (from Part IV, line 2)		10,831.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total. Add lines 1 through 11	39,557.	38,758.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 2	200.	100.		100.
	b Accounting fees STMT 3	4,450.	0.		4,450.
	c Other professional fees STMT 4	5,041.	2,520.		2,521.
	17 Interest				
	18 Taxes STMT 5	1,463.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	191.	87.		87.
	24 Total operating and administrative expenses. Add lines 13 through 23	11,345.	2,707.		7,158.
	25 Contributions, gifts, grants paid	39,500.			39,500.
	26 Total expenses and disbursements. Add lines 24 and 25	50,845.	2,707.		46,658.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<11,288.>			
	b Net investment income (if negative, enter -0-)		36,051.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing	8,561.	7,906.	7,906.
2 Savings and temporary cash investments	36,533.	44,929.	44,929.
3 Accounts receivable ▶			
Less allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U.S. and state government obligations STMT 7	30,000.	0.	0.
b Investments - corporate stock STMT 8	505,306.	462,169.	733,471.
c Investments - corporate bonds STMT 9	138,932.	187,669.	185,730.
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other			
14 Land, buildings, and equipment: basis ▶			
Less accumulated depreciation ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	719,332.	702,673.	972,036.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31			
27 Capital stock, trust principal, or current funds	719,332.	702,673.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 **Total net assets or fund balances**	719,332.	702,673.	
31 **Total liabilities and net assets/fund balances**	719,332.	702,673.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	719,332.
2 Enter amount from Part I, line 27a	2	<11,288.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	708,044.
5 Decreases not included in line 2 (itemize) ▶ PRIOR YEAR ADJUSTMENT IN BASIS	5	5,371.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	702,673.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED	P	VARIOUS	12/31/15
b	SEE ATTACHED	P	VARIOUS	12/31/15
c	CAPITAL GAINS DIVIDENDS			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	32,193.		36,210.	<4,017.>
b	202,058.		188,217.	13,841.
c	1,007.			1,007.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				<4,017.>
b				13,841.
c				1,007.
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	10,831.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	48,931.	976,499.	.050109
2013	50,933.	924,663.	.055083
2012	37,753.	906,780.	.041634
2011	41,834.	900,211.	.046471
2010	42,596.	858,057.	.049642

2 Total of line 1, column (d)	2	.242939
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.048588
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	968,973.
5 Multiply line 4 by line 3	5	47,080.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	361.
7 Add lines 5 and 6	7	47,441.
8 Enter qualifying distributions from Part XII, line 4	8	46,658.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ____ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	721.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	721.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	721.
6 Credits/Payments:				
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	1,120.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	200.		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	1,320.
8 Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached			8	6.
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	593.
11 Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶ 593.	**Refunded** ▶		11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MO			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ANNE PATTERSON Telephone no. ▶ 913-432-5301

Located at ▶ 5301 WEST 67TH STREET, PRAIRIE VILLAGE, KS ZIP+4 ▶ 66208

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANNE N. PATTERSON 5301 WEST 67TH STREET PRAIRIE VILLAGE, KS 66208	PRESIDENT 0.00	0.	0.	0.
MARK E. PATTERSON 4145 TERRACE KANSAS CITY, MO 64112	ASSISTANT SECRETARY 0.00	0.	0.	0.
CRAIG PATTERSON 5301 WEST 67TH STREET PRAIRIE VILLAGE, KS 66208	VICE-PRESIDENT 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

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11-24-15

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	945,738.
b	Average of monthly cash balances		1b	37,991.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	983,729.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	983,729.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	14,756.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	968,973.
6	**Minimum investment return.** Enter 5% of line 5		6	48,449.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	48,449.
2a	Tax on investment income for 2015 from Part VI, line 5	2a 721.		
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	721.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	47,728.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	47,728.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	47,728.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	46,658.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	46,658.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	46,658.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				47,728.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			37,172.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ $ 46,658.				
a Applied to 2014, but not more than line 2a			37,172.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				9,486.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				38,242.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ANNE PATTERSON
5301 WEST 67TH ST, PRAIRIE VILLAGE, KS 66208

b The form in which applications should be submitted and information and materials they should include:

ORGANIZATIONS SHOULD INCLUDE AN OUTLINE OF THEIR

c Any submission deadlines:

APPLICATIONS ARE ACCEPTED AT ANY TIME DURING THE YEAR. MAJOR

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED LIST				39,500.
Total			▶ 3a	39,500.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	28,726.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14		
8 Gain or (loss) from sales of assets other than inventory			18	10,831.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a MISCELLANEOUS INCOME					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		39,557.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 39,557.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Anne N. Patterson | 11-7-16 | President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
ANN M. SWARTS	Ann M. Swarts	11/7/16		P00435991
Firm's name ▶ CBIZ MHM, LLC			Firm's EIN ▶ 34-1874260	
Firm's address ▶ 700 WEST 47TH STREET, SUITE 1100 KANSAS CITY, MO 64112			Phone no. 816-945-5500	

Form **990-PF** (2015)

523622
11-24-15

NORQUIST-ROBINSON FOUNDATION
2015 DISTRIBUTIONS

Name of Recipient	Foundation status of recipient	Purpose of grant or contribution	Amount
Boy Scouts	501(c)(3)	General scouting purposes	$ 500 00
Barstow School	501(c)(3)	Education	$ 5,000 00
Center for Practical Bioethics	501(c)(3)	Provides guidance and resource materials for bioethics advocacy, end-of-life care and health policy advancements	$ 1,500.00
Children's Center for the Visually Impaired	501(c)(3)	Instruction, therapy and support to visually impaired children	$ 5,000 00
Crittenton Children's Center	501(c)(3)	Behavorial health services	$ 5,000 00
Harvesters	501(c)(3)	Feeding the hungry	$ 6,000.00
Historic Kansas City Foundation	501(c)(3)	Preservation of historic buildings	$ 500.00
Kansas Preservation Alliance	501(c)(3)	Preservation of historic buildings	$ 500 00
KCPT	501(c)(3)	Education and enrichment of community	$ 3,500 00
Muscular Dystrophy Association	501(c)(3)	Research	$ 500 00
Pembroke Hill School	501(c)(3)	Education	$ 2,500.00
Powell Gardens	501(c)(3)	Educational programs	$ 1,500.00
Real Partners Uganda	501(c)(3)	Help Ugandans fight poverty	$ 3,000.00
Salvation Army	501(c)(3)	Religious	$ 2,000.00
Nelson Gallery Foundation Society of Fellows	501(c)(3)	Educational programs and display of finest visual arts	$ 1,000 00
The Jewel Ball	501(c)(3)	To benefit Kansas City arts	$ 1,500 00
Total			$ 39,500 00

Account name: NORQUIST ROBINSON FDTN
Friendly account name: n-robfdn
Account number: EI 84136 SH

Your Financial Advisor:
STEVE HUGHES
816-751-5200/800-877-8200

Realized gains and losses

Estimated 2015 gains and losses for transactions with trade dates through 12/31/15 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

See *Important information about your statement* on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ISHARES MSCI EAFE ETF	FIFO	300 000	Feb 12, 15	Dec 18, 15	17,524 46	18,996 14		-1,471 68	
UNION PACIFIC CORP	FIFO	150 000	Oct 24, 14	Jun 26, 15	14,668 89	17,213 75		-2,544 86	
Total					**$32,193.35**	**$36,209.89**		**-$4,016.54**	
Net short-term capital gains and losses								**-$4,016.54**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BAXTER INTL INC	FIFO	150 000	Feb 11, 14	May 18, 15	10,274 00	10,315 30		-41 30	
COMMERCE BANCSHARES	FIFO	0.100	May 11, 05	Dec 14, 15	4 58	2 86			1.72
DU PONT DE NEMOURS	FIFO	125 000	Oct 09, 06	Jun 22, 15	8,705 95	5,728 24			2,977 71
DUKE ENERGY CORP NEW	FIFO	100 000	Oct 06, 10	May 06, 15	7,573 97	5,367.50			2,206 47
EXXON MOBIL CORP	FIFO	200.000	Dec 10, 03	Mar 02, 15	17,542 29	7,634 00			9,908 29
FORD MOTOR CO COM NEW	FIFO	1,200 000	May 08, 13	Jun 10, 15	17,850 90	17,162 25			688 65
GENL ELEC CPTL CORP 02 250% 110915 DTD110910 FC050911 MED TERM NTS	FIFO	20,000 000	Apr 23, 12	Nov 09, 15	20,000 00	20,504.65		-504.65	
ISHARES IBOXX HIGH YIELD CORPORATE BOND ETF	FIFO	300 000	Oct 01, 12	Jun 29, 15	26,510 76	27,690 44		-1,179 68	
LAWRENCE KS INDL SR A RV BE/R/ 6 750 120127 DTD 123010	FIFO	30,000 000	Feb 28, 11	Apr 20, 15	31,974.75	30,000.00			1,974 75
PHILIP MORRIS INTL INC	FIFO	100 000	Apr 16, 08	Feb 04, 15	8,173.47	4,947.20			3,226 27
POWERSHARES SENIOR LOAN	FIFO	300 000	Nov 27, 12	Nov 24, 15	6,768 91	7,423 36		-654.45	
	FIFO	500 000	May 02, 13	Nov 24, 15	11,281.51	12,656 01		-1,374 50	
RLJ LODGING TRUST SBI	FIFO	600 000	Oct 22, 14	Oct 26, 15	14,826 54	18,608 01		-3,781 47	

continued next page

Account name: NORQUIST ROBINSON FDTN
Friendly account name: n-robfdn
Account number: EI 84136 SH

Your Financial Advisor.
STEVE HUGHES
816-751-5200/800-877-8200

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
SPECTRA ENERGY CORP	FIFO	235 000	Aug 28, 09	Dec 18, 15	5,183 27	4,480.31			702 96
	FIFO	165 000	Apr 17, 12	Dec 18, 15	3,639 32	5,049 07		-1,409 75	
WAL MART STORES INC	FIFO	200 000	Jun 20, 11	Oct 22, 15	11,747 55	10,648 00			1,099 55
Total					**$202,057.77**	$188,217 20		-8,945.80	**$22,786.37**
Net long-term capital gains or losses									$13,840.57
Net capital gains/losses:									$9,824.03

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
DIV AND INT	28,934.	1,007.	27,927.	27,927.	
TAX EX INT	799.	0.	799.	0.	
TO PART I, LINE 4	29,733.	1,007.	28,726.	27,927.	

FORM 990-PF LEGAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	200.	100.		100.
TO FM 990-PF, PG 1, LN 16A	200.	100.		100.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,450.	0.		4,450.
TO FORM 990-PF, PG 1, LN 16B	4,450.	0.		4,450.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	5,041.	2,520.		2,521.
TO FORM 990-PF, PG 1, LN 16C	5,041.	2,520.		2,521.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ESTIMATED PAYMENTS EXCISE TAX	1,120.	0.		0.
EXCISE TAX	343.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,463.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
UBS ACCOUNT FEE	150.	75.		75.
BANK SERVICE FEE	24.	12.		12.
LATE PAYMENT	17.	0.		0.
TO FORM 990-PF, PG 1, LN 23	191.	87.		87.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED UBS STATEMENT		X	0.	0.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			0.	0.

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/interest period	Days in period	Cap amount ($)
Cash	18,050 42	0 00					
UBS BANK USA BUS ACCT	41,033 87	44,930 59					250,000 00
Total	**$59,084.29**	**$44,930 59**					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
APPLE INC								
Symbol AAPL Exchange OTC								
EAI $208 Current yield 1 98%	Feb 4, 15	100 000	120 662	12,066 25	105 260	10,526 00	-1,540 25	ST
AT&T INC								
Symbol T Exchange NYSE								
EAI $576 Current yield 5 58%	Jan 14, 08	135,000	38 739	5,229 80	34 410	4,645 35	-584.45	LT
	May 2, 13	165 000	37 890	6,251 97	34 410	5,677.65	-574 32	LT
Security total		300,000	38 273	11,481.77		10,323 00	-1,158 77	
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI $1,070 Current yield 4 76%		250 000	14.428	3,606.87	89.960	22,490 00		

continued next page

Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CME GROUP INC								
Symbol CME Exchange OTC								
EAI $400 Current yield 2 21%	Aug 28, 12	155 000	54 152	8,393 59	90 600	14,043 00	5,649.41	LT
	Feb 4, 15	45 000	90 244	4,061 00	90 600	4,077 00	16 00	ST
Security total		200 000	62 273	12,454.59		18,120 00	5,665 41	
COMMERCE BANCSHARES								
Symbol CBSH Exchange OTC								
EAI $380 Current yield 2.12%	May 11, 05	422 000	28 572	12,057 67	42.540	17,951 88	5,894 21	LT
DANAHER CORP								
Symbol DHR Exchange NYSE								
EAI $216 Current yield 0 58%	May 17, 01	400 000	15 412	6,164.85	92.880	37,152 00	30,987.15	LT
ECOLAB INC								
Symbol ECL Exchange NYSE								
EAI $157 Current yield 1.23%	May 15, 02	112 000	23 443	2,625 72	114 380	12,810 56	10,184 84	LT
EMERSON ELECTRIC CO								
Symbol EMR Exchange NYSE								
EAI $570 Current yield 3.97%	May 6, 09	140 000	36 408	5,097 18	47 830	6,696 20	1,599 02	LT
	May 2, 13	160 000	55 817	8,930 73	47.830	7,652.80	-1,277 93	LT
Security total		300 000	46.760	14,027.91		14,349 00	321 09	
GENL ELECTRIC CO								
Symbol GE Exchange NYSE								
EAI $400 Current yield 2 95%	Dec 10, 10	435 000	17.417	7,576 66	31.150	13,550 25	5,973 59	LT
GENL MILLS INC								
Symbol GIS Exchange NYSE								
EAI $352 Current yield 3.05%	May 20, 10	200,000	36 321	7,264 20	57 660	11,532 00	4,267 80	LT
INTEL CORP								
Symbol INTC Exchange. OTC								
EAI $480 Current yield 2.79%	Feb 5, 09	375 000	14 209	5,328 56	34 450	12,918 75	7,590 19	LT
	Apr 17, 12	125 000	28 781	3,597 63	34 450	4,306 25	708 62	LT
Security total		500 000	17 852	8,926 19		17,225 00	8,298.81	
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAI $900 Current yield 2.92%	May 3, 01	300 000	48 375	14,512 50	102 720	30,816 00	16,303.50	LT

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
JPMORGAN CHASE & CO								
Symbol JPM Exchange NYSE								
EAI $282 Current yield 2.67%	Jul 6, 15	160 000	67 576	10,812 25	66 030	10,564 80	-247.45	ST
LOWES COMPANIES INC								
Symbol LOW Exchange NYSE								
EAI $179 Current yield 1.47%	Sep 10, 15	160 000	68 749	10,999 99	76 040	12,166 40	1,166 41	ST
MCDONALDS CORP								
Symbol. MCD Exchange NYSE								
EAI $409 Current yield 3 01%	Oct 23, 08	50 000	53.265	2,663.27	118 140	5,907.00	3,243 73	LT
	Jan 21, 09	65 000	58 140	3,779 13	118 140	7,679.10	3,899 97	LT
Security total		115.000	56.021	6,442 40		13,586 10	7,143 70	
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI $720 Current yield 2 60%	Dec 11 02	250 000	27 412	6,853 04	55.480	13,870 00	7,016 96	LT
	Jan 26, 07	250.000	31 050	7,762.50	55 480	13,870 00	6,107.50	LT
Security total		500.000	29 231	14,615.54		27,740 00	13,124 46	
NIKE INC CL B								
Symbol NKE Exchange NYSE								
EAI $384 Current yield 1 02%	Feb 4, 13	310 000	26 978	8,363 29	62 500	19,375 00	11,011 71	LT
	May 2, 13	290 000	31.712	9,196.54	62 500	18,125.00	8,928 46	LT
Security total		600 000	29 266	17,559 83		37,500.00	19,940 17	
ORACLE CORP								
Symbol ORCL Exchange NYSE								
EAI $300 Current yield 1 64%	Oct 1, 10	175 000	27 558	4,822 65	36.530	6,392 75	1,570 10	LT
	Apr 17, 12	125 000	29 559	3,694.93	36 530	4,566 25	871.32	LT
	May 2, 13	200 000	33 867	6,773.41	36 530	7,306 00	532.59	LT
Security total		500 000	30 582	15,290 99		18,265.00	2,974 01	
PEPSICO INC								
Symbol PEP Exchange NYSE								
EAI $562 Current yield 2 81%	Feb 17, 99	200 000	38 847	7,769.50	99.920	19,984.00	12,214 50	LT
PFIZER INC								
Symbol PFE Exchange NYSE								
EAI $456 Current yield 3.72%	Jun 4, 14	380 000	29 740	11,301.43	32.280	12,266.40	964 97	LT

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PROCTER & GAMBLE CO Symbol PG Exchange NYSE EAI $530 Current yield 3.34%	May 12, 05	200 000	55 825	11,165 00	79.410	15,882.00	4,717 00	LT
SCHLUMBERGER LTD NETHERLANDS ANTILLES Symbol SLB Exchange NYSE EAI $600 Current yield 2.87%	Jun 11, 15	300 000	90 999	27,299 72	69 750	20,925.00	-6,374 72	ST
TJX COS INC NEW Symbol TJX Exchange NYSE EAI $126 Current yield 1 18%	Oct 22, 15	150.000	73 928	11,089.28	70 910	10,636 50	-452 78	ST
TRAVELERS COS INC/THE Symbol TRV Exchange NYSE EAI $305 Current yield 2 16%	May 11, 05	125 000	37.902	4,737.76	112 860	14,107 50	9,369 74	LT
UNITEDHEALTH GROUP INC Symbol UNH Exchange NYSE EAI $320 Current yield 1 70%	May 15, 13	160 000	61.786	9,885 77	117 640	18,822 40	8,936 63	LT
UNTD TECHNOLOGIES CORP Symbol UTX Exchange NYSE EAI $640 Current yield 2 66%	Dec 4, 00	250 000	35 483	8,870 94	96.070	24,017.50	15,146.56	LT
US BANCORP DEL (NEW) Symbol USB Exchange NYSE EAI $372 Current yield 2 39%	Oct 14, 09	365 000	23 395	8,539.36	42 670	15,574 55	7,035 19	LT
VERIZON COMMUNICATIONS INC Symbol VZ Exchange NYSE EAI $904 Current yield 4 89%	Dec 4, 06	225 000	32 618	7,339.08	46 220	10,399 50	3,060 42	LT
	May 2, 13	175 000	52 724	9,226 82	46 220	8,088 50	-1,138.32	LT
Security total		400 000	41 415	16,565.90		18,488 00	1,922 10	
VF CORP Symbol VFC Exchange NYSE EAI $296 Current yield 2 38%	Nov 19, 10	200 000	20 632	4,126 58	62 250	12,450.00	8,323 42	LT
WALT DISNEY CO (HOLDING CO) DISNEY COM Symbol DIS Exchange NYSE EAI $284 Current yield 1.35%	May 7, 14	135.000	81 458	10,996 84	105.080	14,185 80	3,188 96	LT
	Feb 4, 15	65 000	102.159	6,640 35	105.080	6,830 20	189 85	ST

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		200 000	88 186	17,637 19		21,016 00	3,378 81	
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI $600 Current yield 2.76%	Oct 3, 12	240,000	35 766	8,583 94	54 360	13,046 40	4,462 46	LT
	May 2, 13	160,000	37.751	6,040 23	54.360	8,697 60	2,657 37	LT
Security total		400 000	36 560	14,624 17		21,744 00	7,119 83	
3M CO								
Symbol MMM Exchange NYSE								
EAI $328 Current yield 2.72%	Apr 5, 13	80 000	105 803	8,464.25	150 640	12,051 20	3,586 95	LT
Total				350,563.03		**$574,633.04**	**$205,186.88**	

Total estimated annual income: $14,306

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES RUSSELL 2000 GROWTH									
ETF									
Symbol IWO									
Trade date. Nov 17, 04	100 000	65 490	6,549 00	6,549 00	139 280	13,928.00	7,379 00		LT
Trade date Apr 17, 12	100.000	93 509	9,350.90	9,350 90	139 280	13,928 00	4,577 10		LT
EAI $249 Current yield 0 89%									
Security total	200 000	79.500	15,899 90	15,899 90		27,856 00	11,956 10	11,956 10	
SPDR S&P DIVIDEND ETF									
Symbol SDY									
Trade date Feb 1, 12	500 000	55 399	27,699.55	27,699 55	73 570	36,785 00	9,085 45	9,085 45	LT
EAI $977 Current yield 2 66%									

continued next page

Your assets › **Equities** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
SPDR S&P MIDCAP 400 ETF TR									
Symbol MDY									
Trade date May 23, 01	50 000	98 630	4,931 50	4,931 50	254 040	12,702.00	7,770 50		LT
Trade date Jul 24, 03	100 000	91.100	9,110 00	9,110 00	254 040	25,404 00	16,294 00		LT
EAI $516 Current yield 1 35%									
Security total	150 000	93 610	14,041 50	14,041 50		38,106 00	24,064 50	24,064.50	
Total			**$57,640.95**	**$57,640.95**		**$102,747 00**	**$45,106.05**	**$45,106.05**	

Total estimated annual income: $1,742

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID) Cost basis has been automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
GOLDMAN SACHS GROUP INC								
B/E								
RATE 03 625% MATURES 02/07/16								
ACCRUED INTEREST $435.00								
CUSIP 38143USC6								
Moody A3 S&P BBB+								
EAI $544 Current yield 3.62%								
Original cost basis $30,066.60	Feb 02, 11	30,000.000	100 004	30,066.60	100 254	30,076 20	74 80	LT
PRUDENTIAL FINANCIAL INC								
NTS								
RATE 05 500% MATURES 03/15/16								
ACCRUED INTEREST $647.77								
CUSIP 74432QAJ4								
Moody Baa1 S&P A								
EAI $1,100 Current yield 5.45%								
Original cost basis $42,449 65	Dec 01, 14	40,000 000	100 966	42,449.65	100 924	40,369 60	-16 82	LT

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Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
JP MORGAN CHASE NTS B/E RATE 02 000% MATURES 08/15/17 ACCRUED INTEREST $302 22 CUSIP 48126EAA5 Moody A3 S&P A- EAI $800 Current yield 1 99% Original cost basis $40,540 85	Sep 16, 14	40,000 000	100,758	40,540.85	100.339	40,135 60	-167 85	LT
Total		**$110,000,000**		113,057.10		**$110,581.40**	**-$109.87**	

Total accrued interest: $1,384.99

Total estimated annual income: $2,444

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CHS INC CUML 6 750% PREFERRED CLBL PAR VALUE - 25 00 USD FIX TO FLT Symbol CHSCM Exchange OTC EAI $1,857 Current yield 6 30%	Apr 21, 15	1,100,000	26.199	28,819 86	26,810	29,491.00	671.14	ST
COMMERCE BANCSHARES DEP SHS SER B 6 000% PREFERRED CLBL PAR VALUE - 25.00 USD Symbol CBSHP Exchange OTC EAI $1,500 Current yield 5.64%	Aug 28, 14	1,000 000	25 100	25,100 75	26 600	26,600 00	1,499 25	LT
Total				**$53,920.61**		**$56,091.00**	**$2,170.39**	

Total estimated annual income: $3,357

Your assets › **Fixed income** (continued)

Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID) Cost basis has been automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Quantity	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FHLMC MED TERM NTS STEP RATE 2 0000% MATURES 05/21/25 ACCRUED INTEREST $166 66 CUSIP 3134G6XR9 EAI $1,500 Current yield 2 00%	Jul 08, 15	75,000 000	99 475	74,611 50	100 198	75,148 50	537 00	ST

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**44,930.59**	**4.65%**	**44,930.59**		
Equities	* Common stock	574,633 04		350,563.03	14,306 00	205,186 88
	Closed end funds & Exchange traded products	102,747 00		57,640 95	1,742.00	45,106.05
	Total equities	**677,380.04**	**70.15%**	408,203.98	**16,048.00**	**250,292.93**
Fixed income	Corporate bonds and notes	110,581.40		113,057.10	2,444.00	-109 87
	Preferred securities	56,091 00		53,920 61	3,357.00	2,170 39
	Government securities	75,148 50		74,611 50	1,500.00	537 00
	Total accrued interest	1,551 65				
	Total fixed income	**243,372.55**	**25.20%**	241,589.21	**7,301.00**	**2,597.52**
Total		**$965,683.18** <1,551.65> 964,131.53	**100.00%**	694,723.72	**$23,349.00**	**$252,890.45**

* Missing cost basis information

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/interest period	Days in period	Cap amount ($)
UBS BANK USA BUS ACCT	104,747 94	36,533 59					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AT&T INC								
Symbol T Exchange NYSE								
EAI $564 Current yield 5 60%	Jan 14, 08	135 000	38 739	5,229 80	33 590	4,534.65	-695.15	LT
	May 2, 13	165 000	37 890	6,251 97	33 590	5,542 35	-709 62	LT
Security total		300 000	38 273	11,481.77		10,077 00	-1,404 77	
BAXTER INTL INC								
Symbol BAX Exchange NYSE								
EAI $312 Current yield 2 84%	Feb 11, 14	150 000	68 768	10,315 30	73 290	10,993 50	678.20	ST
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI $1,070 Current yield 3 82%		250 000	14428	3,606.87	112 180	28,045 00		
CME GROUP INC								
Symbol CME Exchange OTC								
EAI $291 Current yield 2 12%	Aug 28, 12	155 000	54.152	8,393 59	88 650	13,740 75	5,347 16	LT

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Account name: NORQUIST ROBINSON FDTN
Friendly account name: n-robfdn
Account number:

Your Financial Advisor:
STEVE HUGHES
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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
COMMERCE BANCSHARES Symbol CBSH Exchange OTC EAI $362 Current yield 2 07%	May 11, 05	402 000	30.001	12,060.53	43 490	17,482 98	5,422 45	LT
DANAHER CORP Symbol DHR Exchange NYSE EAI $160 Current yield 0 47%	May 17, 01	400 000	15 412	6,164.85	85 710	34,284 00	28,119 15	LT
DU PONT DE NEMOURS Symbol DD Exchange NYSE EAI $235 Current yield 2 54%	Oct 9, 06	125.000	45 825	5,728 24	73 940	9,242.50	3,514 26	LT
DUKE ENERGY CORP NEW Symbol DUK Exchange NYSE EAI $318 Current yield 3 81%	Oct 6, 10	100 000	53.675	5,367 50	83 540	8,354 00	2,986 50	LT
ECOLAB INC Symbol ECL Exchange NYSE EAI $148 Current yield 1 26%	May 15, 02	112 000	23 443	2,625 72	104.520	11,706 24	9,080 52	LT
EMERSON ELECTRIC CO Symbol EMR Exchange NYSE EAI $564 Current yield 3 05%	May 6, 09	140 000	36 408	5,097 18	61 730	8,642 20	3,545 02	LT
	May 2, 13	160 000	55 817	8,930 73	61 730	9,876 80	946 07	LT
Security total		300 000	46 760	14,027 91		18,519 00	4,491 09	
EXXON MOBIL CORP Symbol XOM Exchange NYSE EAI $552 Current yield. 2 99%	Dec 10, 03	200 000	38 170	7,634 00	92.450	18,490.00	10,856.00	LT
FORD MOTOR CO COM NEW Symbol F Exchange NYSE EAI $600 Current yield 3 23%	May 8, 13	1,200 000	14 301	17,162 25	15 500	18,600 00	1,437.75	LT
GENL ELECTRIC CO Symbol GE Exchange NYSE EAI $400 Current yield 3 64%	Dec 10, 10	435.000	17 417	7,576 66	25.270	10,992 45	3,415 79	LT
GENL MILLS INC Symbol GIS Exchange NYSE EAI $328 Current yield 3 08%	May 20, 10	200 000	36 321	7,264 20	53 330	10,666 00	3,401.80	LT
INTEL CORP Symbol INTC Exchange OTC EAI $450 Current yield 2 48%	Feb 5, 09	375 000	14 209	5,328 56	36 290	13,608 75	8,280 19	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Apr 17, 12	125 000	28 781	3,597 63	36 290	4,536 25	938 62	LT
Security total		500 000	17 852	8,926 19		18,145 00	9,218 81	
JOHNSON & JOHNSON COM Symbol JNJ Exchange NYSE EAI $840 Current yield 2 68%	May 3, 01	300 000	48 375	14,512 50	104 570	31,371 00	16,858 50	LT
MCDONALDS CORP Symbol MCD Exchange NYSE EAI $391 Current yield 3 63%	Oct 23, 08	50 000	53 265	2,663 27	93 700	4,685 00	2,021.73	LT
	Jan 21 09	65 000	58 140	3,779 13	93 700	6,090 50	2,311 37	LT
Security total		115 000	56,021	6,447 40		10,775 50	4,333 10	
MICROSOFT CORP Symbol MSFT Exchange OTC EAI $620 Current yield 2 67%	Dec 11, 02	250 000	27 412	6,853 04	46 450	11,612 50	4,759 46	LT
	Jan 26, 07	250 000	31.050	7,762 50	46.450	11,612 50	3,850 00	LT
Security total		500 000	29.231	14,615.54		23,225 00	8,609 46	
NIKE INC CL B Symbol NKE Exchange NYSE EAI $336 Current yield 1 16%	Feb 4 13	155 000	53 956	8,363 79	96 150	14,903.25	6,539 96	LT
	May 2, 13	145 000	63 424	9,196 54	96.150	13,941.75	4,745 21	LT
Security total		300 000	58 533	17,559 83		28,845 00	11,285 17	
ORACLE CORP Symbol ORCL Exchange NYSE EAI $240 Current yield 1 07%	Oct 1, 10	175.000	27 558	4,822 65	44 970	7,869.75	3,047.10	LT
	Apr 17, 12	125 000	29 559	3,694 93	44 970	5,621 25	1,926 32	LT
	May 2, 13	200 000	33 867	6,773 41	44 970	8,994.00	2,220 59	LT
Security total		500 000	30 582	15,290 99		22,485.00	7,194 01	
PEPSICO INC Symbol PEP Exchange NYSE EAI $524 Current yield 2 77%	Feb 17, 99	200 000	38 847	7,769 50	94 560	18,912 00	11,142 50	LT
PFIZER INC Symbol PFE Exchange NYSE EAI $426 Current yield 3 60%	Jun 4, 14	380 000	29 740	11,301 43	31 150	11,837 00	535.57	ST

continued next page

Your Financial Advisor.
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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PHILIP MORRIS INTL INC Symbol PM Exchange NYSE EAI $400 Current yield 4 91%	Apr 16, 08	100 000	49 472	4,947 20	81 450	8,145 00	3,197 80	LT
PROCTER & GAMBLE CO Symbol PG Exchange NYSE EAI $515 Current yield 2.83%	May 12, 05	200 000	55 825	11,165 00	91 090	18,218 00	7,053 00	LT
RLJ LODGING TRUST SBI Symbol RLJ Exchange NYSE EAI $720 Current yield 3 58%	Oct 22, 14	600 000	31 013	18,608 01	33 530	20,118 00	1,509.99	ST
SPECTRA ENERGY CORP Symbol SE Exchange NYSE EAI $592 Current yield 4 08%	Aug 28, 09	235 000	19 065	4,480 31	36 300	8,530 50	4,050 19	LT
	Apr 17, 12	165 000	30 600	5,049.07	36 300	5,989 50	940 43	LT
Security total		400 000	23 823	9,529.38		14,520.00	4,990 62	
TRAVELERS COS INC/THE Symbol TRV Exchange NYSE EAI $275 Current yield 2 08%	May 11, 05	125 000	37 902	4,737 76	105 850	13,231.25	8,493 49	LT
UNION PACIFIC CORP Symbol UNP Exchange. NYSE EAI $300 Current yield 1 68%	Oct 24, 14	150 000	114 758	17,213 75	119 130	17,869 50	655 75	ST
UNITEDHEALTH GROUP INC Symbol UNH Exchange NYSE EAI $240 Current yield 1 48%	May 15, 13	160 000	61 786	9,885.77	101 090	16,174 40	6,288 63	LT
UNTD TECHNOLOGIES CORP Symbol UTX Exchange NYSE EAI $590 Current yield 2 05%	Dec 4, 00	250 000	35 483	8,870 94	115 000	28,750.00	19,879 06	LT
US BANCORP DEL (NEW) Symbol USB Exchange NYSE EAI $358 Current yield 2 18%	Oct 14, 09	365 000	23 395	8,539 36	44 950	16,406 75	7,867 39	LT
VERIZON COMMUNICATIONS INC Symbol VZ Exchange NYSE EAI $880 Current yield 4 70%	Dec 4, 06	225 000	32.618	7,339.08	46 780	10,525 50	3,186 42	LT
	May 2, 13	175.000	52 724	9,226 82	46 780	8,186 50	-1,040.32	LT
Security total		400 000	41 415	16,565.90		18,712.00	2,146.10	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
VF CORP								
Symbol VFC Exchange NYSE								
EAI $256 Current yield 1 71%	Nov 19, 10	200 000	20 632	4,126 58	74,900	14,980 00	10,853.42	LT
WAL MART STORES INC								
Symbol WMT Exchange NYSE								
EAI $384 Current yield 2 24%	Jun 20, 11	200,000	53 240	10,648 00	85 880	17,176.00	6,528.00	LT
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol DIS Exchange NYSE								
EAI $155 Current yield 1 22%	May 7 14	135 000	81 458	10,996 84	94 190	12,715.65	1,718.81	ST
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI $560 Current yield 2 55%	Oct 3 12	240 000	35 766	8,583.94	54 820	13,156.80	4,572 86	LT
	May 2, 13	160 000	37 751	6,040 23	54 820	8,771 20	2,730 97	LT
Security total		400.000	36,560	14,624 17		21,928 00	7,303 83	
3M CO								
Symbol MMM Exchange NYSE								
EAI $328 Current yield 2 50%	Apr 5, 13	80 000	105 803	8,464 25	164 320	13,145 60	4,681 35	LT
Total				374,750.68		**$638,879 07**	**$239,690.26**	

Total estimated annual income: $16,284

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES RUSSELL 2000 GROWTH									
ETF									
Symbol IWO									

continued next page

Your assets › **Equities** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Nov 17, 04	100 000	65 490	6,549 00	6,549 00	142.380	14,238 00	7,689 00		LT
Trade date Apr 17, 12	100 000	93 509	9,350 90	9,350 90	142.380	14,238.00	4,887.10		LT
EAI $207 Current yield 0 73%									
Security total	200 000	79 500	15,899 90	15,899 90		28,476 00	12,576 10	12,576 10	
SPDR S&P DIVIDEND ETF									
Symbol SDY									
Trade date Feb 1, 12	500 000	55 399	27,699 55	27,699 55	78 800	39,400 00	11,700.45	11,700 45	LT
EAI $893 Current yield 2 27%									
SPDR S&P MIDCAP 400 ETF TR									
Symbol MDY									
Trade date May 23 01	50 000	98 630	4,931 50	4,931 50	263 970	13,198 50	8,267.00		LT
Trade date Jul 24 03	100 000	91 100	9,110 00	9,110 00	263 970	26,397.00	17,287 00		LT
EAI $465 Current yield 1 17%									
Security total	150 000	93 610	14,041.50	14,041 50		39,595.50	25,554 00	25,554.00	
Total			**$57,640.95**	**$57,640.95**		**$107,471.50**	**$49,830.55**	**$49,830.55**	

Total estimated annual income: $1,565

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID) Cost basis has been automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
GENL ELEC CPTL CORP								
MED TERM NTS								
RATE 02 250% MATURES 11/09/15								
ACCRUED INTEREST $65 00								
CUSIP 36962G4T8								
Moody A1 S&P AA+								
EAI $450 Current yield 2 22%								
Original cost basis $20,504 65	Apr 23, 12	20,000 000	100 619	20,123.83	101 372	20 274 40	150 57	LT

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Your assets › **Fixed income** › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
GOLDMAN SACHS GROUP INC B/E RATE 03 625% MATURES 02/07/16 ACCRUED INTEREST $435 00 CUSIP 38143USC6 Moody Baa1 S&P A- EAI $1,088 Current yield 3 53% Original cost basis $30,066 60	Feb 02, 11	30,000 000	100 052	30,015 63	102 606	30,781 80	766 17	LT
PRUDENTIAL FINANCIAL INC NTS RATE 05 500% MATURES 03/15/16 ACCRUED INTEREST $647 77 CUSIP 74432QAJ4 Moody Baa1 S&P A EAI $2,200 Current yield 5.22% Original cost basis $42,449 65	Dec 01, 14	40,000 000	105 716	42,286 48	105 355	42,142 00	-144 48	ST
JP MORGAN CHASE NTS B/E RATE 02 000% MATURES 08/15/17 ACCRUED INTEREST $302 22 CUSIP 48126EAA5 Moody A3 S&P A EAI $800 Current yield 1 98% Original cost basis $40,540 85	Sep 16, 14	40,000 000	101 218	40,487.20	100 951	40,380 40	-106 80	ST
Total		**$130,000.000**		**$132,913.14**		**$133,578.60**	**$665 46**	

Total accrued interest: $1,449 99

Total estimated annual income: $4,538

Your assets › **Fixed income** (continued)

Municipal securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been automatically adjusted for mandatory amortization of bond premium on coupon tax-exempt municipal securities using the constant yield method and for accreted original issue discount for securities issued at a discount When original cost basis is displayed, amortization has been done using the constant yield method, otherwise amortization has been done using the straight line method

Holding	Trade date	Total face value at maturity ($)	Purchase price($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
LAWRENCE KS INDL								
SR A RV BE/R/								
RATE 06 750% MATURES 12/01/27								
CALLABLE 06/01/21 @ 100 00								
ACCRUED INTEREST $168 75								
CUSIP 520138NJ3								
Moody Not rated S&P Not rated								
EAI $2,025 Current yield 6 42%	Feb 28, 11	30,000 000	100 000	30,000 00	105 083	31,524 90	1,524 90	LT

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES IBOXX HIGH YIELD									
CORPORATE BOND ETF									
Symbol HYG									
Trade date Oct 1, 12	300 000	92.301	27,690 44	27,690 44	89 600	26,880 00	-810.44	-810 44	LT
EAI $1,141 Current yield 4 24%									
POWERSHARES ETF TRUST II									
Symbol BKLN									
Trade date Nov 27, 12	300 000	24 749	7,424 83	7,424.83	24.030	7,209 00	-215 83		LT
Trade date May 2, 13	500 000	25.316	12,658 42	12,658 42	24 030	12,015.00	-643 42		LT
EAI $792 Current yield 4 12%									
Security total	800 000	25 104	20,083 25	20,083 25		19,224.00	-859.25	-859 25	

continued next page

Your assets › **Fixed income** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Total			**$47,773 69**	**$47,773.69**		**$46,104.00**	**-$1,669 69**	**-$1,669.69**	

Total estimated annual income: $1,933

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
COMMERCE BANCSHARES DEP SHS SER B 6 000% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol CBSHP Exchange OTC EAI $1,500 Current yield 5 90%	Aug 28, 14	1,000 000	25,100	25,100.75	25 410	25,410 00	309 25	ST

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**36,533.59**	**3.58%**	**36,533.59**		
Equities	* Common stock	638,879 07		374,750.68	16,284 00	239,690 26
	Closed end funds & Exchange traded products	107,471 50		57,640 95	1,565 00	49,830.55
	Total equities	**746,350.57**	**73.09%**	432,391.63	**17,849.00**	**289,520.81**
Fixed income	Corporate bonds and notes	133,578 60		132,913 14	4,538 00	665 46
	Municipal securities	31,524 90		30,000 00	2,025.00	1,524.90
	Closed end funds & Exchange traded products	46,104 00		47,773 69	1,933.00	-1,669 69
	Preferred securities	25,410 00		25,100 75	1,500.00	309 25
	Total accrued interest	1,618.74				
	Total fixed income	**238,236.24**	**23 33%**	**235,787.58**	**9,996.00**	**829.92**
Total		**$1,021,120.40** <1,618.74> $1,019,501.66	**100 00%**	$704,712.80	**$27,845.00**	**$290,350.73**

* Missing cost basis information

FORM 990-PF	CORPORATE STOCK	STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED UBS STATEMENT	462,169.	733,471.
TOTAL TO FORM 990-PF, PART II, LINE 10B	462,169.	733,471.

FORM 990-PF	CORPORATE BONDS	STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED UBS STATEMENT	187,669.	185,730.
TOTAL TO FORM 990-PF, PART II, LINE 10C	187,669.	185,730.