Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545 0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning , 2015, and ending ,

JOHN & CAROLYN PETERSON CHARITABLE
FOUNDATION INC.
PO BOX 1507
BROOKFIELD, WI 53005

A Employer identification number: 43-1974269

B Telephone number (see instructions): (262) 821-5378

C If exemption application is pending, check here ► [ ]

D 1 Foreign organizations, check here ► [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ► [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ► [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► [ ]

G Check all that apply. [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

H Check type of organization [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 6,693,968.

J Accounting method [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (attach schedule)				
	2 Ck ► [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	175,494.	175,494.		
	5 a Gross rents				
	b Net rental income or (loss)				
	6 a Net gain or (loss) from sale of assets not on line 10	122,945.			
	b Gross sales price for all assets on line 6a 2,186,585.				
	7 Capital gain net income (from Part IV, line 2)		122,945.		
	8 Net short term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) SEE STATEMENT 1	-2,718.	-2,718.		
	12 **Total.** Add lines 1 through 11	295,721.	295,721.	0.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	8,500.	4,250.		4,250.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule)				
	b Accounting fees (attach sch) SEE ST 2	5,550.	2,775.		2,775.
	c Other prof fees (attach sch) SEE ST 3	43,450.	43,450.		
	17 Interest				
	18 Taxes (attach schedule)(see instrs) SEE STM 4	6,502.	3,530.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) SEE STATEMENT 5	115.			49.
	24 Total operating and administrative expenses Add lines 13 through 23	64,117.	54,005.		7,074.
	25 Contributions, gifts, grants paid PART XV	324,500.			324,500.
	26 Total expenses and disbursements. Add lines 24 and 25	388,617.	54,005.	0.	331,574.
	27 Subtract line 26 from line 12.				
	a Excess of revenue over expenses and disbursements	-92,896.			
	b Net investment income (if negative, enter -0-)		241,716.		
	c Adjusted net income (if negative, enter -0-)			0.	

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
	1 Cash – non-interest-bearing	5,214.	5,214.	5,214.
	2 Savings and temporary cash investments	130,609.	200,421.	200,421.
	3 Accounts receivable ►			
	Less. allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less. allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
ASSETS	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)	377,869.	398,622.	402,308.
	b Investments – corporate stock (attach schedule)	3,268,600.	3,259,205.	4,916,525.
	c Investments – corporate bonds (attach schedule)	1,280,716.	1,106,650.	1,169,500.
	11 Investments – land, buildings, and equipment basis ►			
	Less: accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment basis ►			
	Less· accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ►)			
	16 **Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	5,063,008.	4,970,112.	6,693,968.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here . ► X and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	5,063,008.	4,970,112.	
	30 **Total net assets or fund balances** (see instructions)	5,063,008.	4,970,112.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	5,063,008.	4,970,112.	

Part III. Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,063,008.
2	Enter amount from Part I, line 27a	2	-92,896.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	4,970,112.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	4,970,112.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE STATEMENT 6			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	122,945.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	319,274.	6,893,594.	0.046315
2013	239,191.	6,357,984.	0.037621
2012	233,393.	4,901,322.	0.047618
2011	221,292.	4,598,904.	0.048118
2010	213,415.	4,517,946.	0.047237

2	**Total** of line 1, column (d)	2	0.226909
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.045382
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	6,807,794.
5	Multiply line 4 by line 3	5	308,951.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,417.
7	Add lines 5 and 6	7	311,368.
8	Enter qualifying distributions from Part XII, line 4	8	331,574.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1 Date of ruling or determination letter: _______ (attach copy of letter if necessary – see instrs)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. ► [X] and enter 1% of Part I, line 27b	1	2,417.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3 Add lines 1 and 2	3	2,417.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	2,417.
6 Credits/Payments:		
a 2015 estimated tax pmts and 2014 overpayment credited to 2015 — 6a		
b Exempt foreign organizations – tax withheld at source — 6b		
c Tax paid with application for extension of time to file (Form 8868) — 6c		
d Backup withholding erroneously withheld — 6d		
7 Total credits and payments Add lines 6a through 6d	7	0.
8 Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached	8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter amount owed ►	9	2,417.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►	10	
11 Enter the amount of line 10 to be Credited to 2016 estimated tax ► Refunded ►	11	

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1 b		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1 c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► $ 0. (2) On foundation managers ► $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, col (c), and Part XV*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ► WI			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities (*continued*)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► MICHAEL GUZNICZAK Telephone no ► 262-821-5378

Located at ► PO BOX 1507 BROOKFIELD WI ZIP + 4 ► 53005

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Yes	No
1 a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		X
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)	2 b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)*	3 b	N/A	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		5b	N/A	
Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If 'Yes' to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? N/A		7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		8,500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE .		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions. 3	
Total. Add lines 1 through 3 ►	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	6,906,252.
b	Average of monthly cash balances	1b	5,214.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	6,911,466.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,911,466.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	103,672.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,807,794.
6	**Minimum investment return.** Enter 5% of line 5	6	340,390.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	340,390.
2a	Tax on investment income for 2015 from Part VI, line 5 — 2a: 2,417.		
b	Income tax for 2015 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	2,417.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	337,973.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	337,973.
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	337,973.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	331,574.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	331,574.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	2,417.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	329,157.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				337,973.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			317,557.	
b Total for prior years 20 ___, 20 ___, 20 ___		0.		
3 Excess distributions carryover, if any, to 2015				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4 ► $ 331,574.				
a Applied to 2014, but not more than line 2a			317,557.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2015 distributable amount				14,017.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				323,956.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter. (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines·

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 8				
Total			► 3a	324,500.
b *Approved for future payment*				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	175,494.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	122,945.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a K-1 ORDINARY LOSS			14	-2,718.	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				295,721.	

13 Total. Add line 12, columns (b), (d), and (e) 13 295,721.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 5/24/2016 | Title DIRECTOR/TREASURER

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
PAUL M. SENGER, CPA	Paul Senger CPA	5/17/16		P00005154
Firm's name ► WINTER KLOMAN MOTER & REPP SC			Firm's EIN ► 39-1275499	
Firm's address ► 235 N EXECUTIVE DR STE 160 BROOKFIELD, WI 53005-6064			Phone no. (262) 797-9050	

JOHN & CAROLYN PETERSON CHARITABLE FOUNDATION INC. 43-1974269

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
K-1 ORDINARY LOSS	$ -2,718.	$ -2,718.	
TOTAL	$ -2,718.	$ -2,718.	$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
WKMR TAX PREPARATION FEES	$ 5,550.	$ 2,775.		$ 2,775.
TOTAL	$ 5,550.	$ 2,775.	$ 0.	$ 2,775.

STATEMENT 3
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MORGAN STANLEY, MANAGEMENT FEES	$ 43,450.	$ 43,450.		
TOTAL	$ 43,450.	$ 43,450.	$ 0.	$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
2015 ESTIMATE - EXCISE TAX	$ 2,972.			
FOREIGN TAX WITTHELD	3,530.	$ 3,530.		
TOTAL	$ 6,502.	$ 3,530.	$ 0.	$ 0.

STATEMENT 5
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
POSTAGE	$ 49.			$ 49.
TAX PENALTY	66.			
TOTAL	$ 115.	$ 0.	$ 0.	$ 49.

STATEMENT 6
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	ACCOUNT 472 SUMMARY	PURCHASED	VARIOUS	VARIOUS
2	ACCOUNT 771 SUMMARY	PURCHASED	VARIOUS	VARIOUS
3	ACCOUNT 776 SUMMARY	PURCHASED	VARIOUS	VARIOUS
4	ACCOUNT 779 SUMMARY	PURCHASED	VARIOUS	VARIOUS
5	ACCOUNT 779 OPTIONS	PURCHASED	VARIOUS	VARIOUS
6	ACCOUNT 782 SUMMARY	PURCHASED	VARIOUS	VARIOUS
7	CAPITAL GAIN - DISTRIBUTIONS	PURCHASED	VARIOUS	VARIOUS
8	DETAIL ACTIVITY AVAILABLE UPON REQUEST	PURCHASED		

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
1	94,963.		82,507.	12,456.				$ 12,456.
2	570,706.		521,998.	48,708.				48,708.
3	908,845.		873,126.	35,719.				35,719.
4	4,279.		0.	4,279.				4,279.
5	33,039.		0.	33,039.				33,039.
6	574,538.		586,009.	-11,471.				-11,471.
7	215.		0.	215.				215.
8	0.		0.	0.				0.
							TOTAL	$ 122,945.

STATEMENT 7
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN-SATION	CONTRI-BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
MARK L. PETERSON PO BOX 1507 BROOKFIELD, WI 53005	DIR/VICE PRES 1.00	$ 0.	$ 0.	$ 0.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN-SATION	CONTRI-BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
ELINOR GUZNICZAK PO BOX 1507 BROOKFIELD, WI 53005	DIR/PRESIDENT 1.00	$ 0.	$ 0.	$ 0.
MICHAEL J. GUZNICZAK PO BOX 1507 BROOKFIELD, WI 53005	DIR/TREASURER 5.00	8,500.	0.	0.
RYIA R. PETERSON PO BOX 1507 BROOKFIELD, WI 53005	DIR/SECRETARY 1.00	0.	0.	0.
EMILY C. GUZNICZAK PO BOX 1507 BROOKFIELD, WI 53005	DIRECTOR 1.00	0.	0.	0.
LAUREN A. GUZNICZAK PO BOX 1507 BROOKFIELD, WI 53005	DIRECTOR 1.00	0.	0.	0.
JAKE PETERSON PO BOX 1507 BROOKFIELD, WI 53005	DIRECTOR 1.00	0.	0.	0.
	TOTAL	$ 8,500.	$ 0.	$ 0.

STATEMENT 8
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
WILDLIFE IN NEED CENTER W349 S1480 S WATERVILLE ROAD OCONOMOWOC WI 53066	NONE	PC	OPERATIONAL SUPPORT	$ 30,000.
ST. LOUIS ARTWORKS 2703 N. 14TH ST. ST LOUIS MO 63106	NONE	PC	SUMMER PROGRAM	20,000.
MADISON SYMPHONY ORCHESTRA 222 W. WASHINGTON AVE. MADISON WI 53703	NONE	PC	UP CLOSE AND MUSICAL - SYMPHONY SOUP	15,000.

STATEMENT 8 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
MADISON OPERA, INC 3414 MONROE STREET MADISON WI 53711	NONE	PC	OPERA IN THE PARK	$ 35,000.
BIRCH CREEK P.O. BOX 230 EGG HARBOR WI 54209	NONE	PC	FOUNDATION SCHOLARSHIP	20,000.
M-SLICE 4116 WEST CARTER ST. LOUIS MO 63115	NONE	PC	PROVIDE OPPORTUNITIES FOR HARD TO EMPLOY INDIVIDUALS THROUGH ART	20,000.
MADISON JAZZ CONSORTIUM 318 ELMSIDE BOULEVARD MADISON WI 53704	NONE	PC	SUSTAIN CURRENT PROGRAMS AND LAUNCH NEW INITIATIVES	70,000.
PRISON PERFORMING ARTS 3547 OLIVE ST., STE 250 ST. LOUIS MO 63103	NONE	PC	PROGRAM FUNDING	15,000.
UW-MILWAUKEE 2131 E. HARTFORD AVE MILWAUKEE WI 53211	NONE	PC	FRANK LLOYD WRIGHT INITIATIVE	20,000.
WISCONSIN PUBLIC TELEVISION 821 UNIVERSITY AVE. MADISON WI 53701	NONE	PC	COLLABORATE TO RECORD AND BRAODCAST TWO TELEVISION PROGRAMS	15,000.
HERITAGE MILITARY MUSIC 504 S. 4TH ST. WATERTOWN WI 53094	NONE	PC	EQUIPMENT	4,500.
PILCHUCK GLASS SCHOOL 240 SECOND AVE. SOUTH, STE 100 SEATTLE WA 98104	NONE	PC	OPERATIONS SUPPORT	10,000.
CULTURAL LEADERSHIP 225 S. MERAMAC STE 107 ST. LOUIS MO 63015	NONE	PC	OPERATIONS SUPPORT	10,500.
PUPNSTUFF 4630 N. 13TH ST. BROOKFIELD WI 53005	NONE	PC	OPERATIONS SUPPORT	10,000.

STATEMENT 8 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
HIST. TRUST CHARLESTON 40 EAST BAY STREET CHARLESTON SC 29401	NONE	PC	OPERATIONS SUPPORT	$ 10,000.
ST. LOUIS ZOO 1 GOVERNMENT DR. ST. LOUIS MO 63110	NONE	PC	OPERATIONS SUPPORT	14,500.
PET HELPERS 1447 FOLLY RD. CHARLESTON SC 29412	NONE	PC	OPERATIONS SUPPORT	5,000.
			TOTAL	$ 324,500.

John & Carolyn Peterson Charitable Foundation
Form 990-PF
Page 2, Part II - Balance Sheet
12/31/2015

BOOK VALUE

		Cash	Savings/ Temp	Corp Stock	US/Gov	Corp Bonds	Total
472			8,302		62,239		
	Corp Stock			125,120			
	MF			37,757			233,418
771			56,803		336,383		
	Corp Stock			724,920			
	ETF			232,475			1,350,581
776			41,131	669,746			710,877
779			79,716	781,970			861,686
782			14,469			1,106,650	
	Corp Stock			281,546			
	Closed End Funds			83,264			
	Mutual Funds			77,170			
	Unit Inv Trst			245,237			1,808,336
ckg		5,214					5,214
		5,214	200,421	3,259,205	398,622	1,106,650	4,970,112

FAIR MARKET VALUE

		Cash	Savings/ Temp	Corp Stock	US/Gov	Corp Bonds	Total
472			8,302		62,739		
	Corp Stock			155,569			
	MF			36,525			263,135
771			56,803		339,569		
	Corp Stock			855,614			
	ETF			228,292			1,480,278
776			41,131	655,458			696,589
779			79,716	2,209,570			2,289,286
782			14,469			1,169,500	
	Corp Stock			293,019			
	Closed End Funds			204,889			
	Mutual Funds			65,729			
	Unit Inv Trst			211,860			1,959,466
ckg		5,214					5,214
		5,214	200,421	4,916,525	402,308	1,169,500	6,693,968

Account Detail

Fiduciary Services Active Assets Account
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O
ATTN: MICHAEL GUZNICZAK

INTERESTED PARTY COPY

Investment Objectives †: Capital Appreciation, Income

Investment Advisory Account
Manager: ALLIANCE BERNSTEIN REGENT

† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

HOLDINGS

This section reflects positions purchased/sold on a trade date basis "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months, is reflected only through maturity date Actual income or yield may be lower or higher than the estimates. Current yield reflects the income generated by an investment, and does not reflect changes in its price Structured Investments, identified on the Position Description Details line as "Asset Class. Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions

Description	Market Value	7-Day Current Yield %	Est Ann Income	APY %
MORGAN STANLEY BANK N A #	$8,301.87	—	$2 00	0 020

	Percentage of Holdings	Market Value	Est Ann Income
CASH, BDP, AND MMFs	3 15%	$8,301.87	$2.00

 # Bank Deposits are held at Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC and each a national bank and FDIC member.

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ABBOTT LABORATORIES (ABT)	11/4/14	34 000	$43 434	$44 910	$1,476.75	$1,526 94	$50 19 LT		
	12/18/14	35 000	45 004	44 910	1,575 15	1,571 85	(3 30) LT		
	10/22/15	12 000	43 412	44 910	520 94	538 92	17 98 ST		
	Total	81 000			3,572 84	**3,637.71**	46 89 LT 17 98 ST	84 00	2 30

Next Dividend Payable 02/2016, Asset Class. Equities

020592 MSGDD303 028821

Account Detail

Fiduciary Services Active Assets Account
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O
ATTN: MICHAEL GUZNICZAK

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AFFILIATED MGRS GROUP INC (AMG)	5/1/15	10 000	226 701	159 760	2,267 01	1,597.60	(669 41) ST		
	5/19/15	2 000	231 350	159 760	462 70	319 52	(143 18) ST H		
	6/23/15	1 000	227 923	159.760	227 92	159 76	(68 16) ST		
	10/23/15	5 000	181 270	159 760	906 35	798 80	(107 55) ST		
	Total	18 000			3,863 98	**2,875.68**	(988 30) ST	—	—
Basis Adjustment Due to Wash Sale: $6 85, Asset Class· Equities									
ALIGN TECHNOLOGY (ALGN)	5/28/15	5 000	61 088	65 850	305 44	329 25	23 81 ST		
	5/28/15	1 000	61 172	65 850	61 17	65 85	4 68 ST		
	5/29/15	10 000	60 831	65 850	608 31	658 50	50 19 ST		
	5/29/15	12 000	60.758	65 850	729 09	790 20	61 11 ST		
	6/23/15	12 000	62 890	65 850	754 68	790 20	35 52 ST		
	Total	40 000			2,458 69	**2,634 00**	175 31 ST	—	—
Asset Class: Equities									
ALPHABET INC CL C (GOOG)	8/26/14	5 000	575 942	758.880	2,879 71	**3,794 40**	914 69 LT	—	—
Asset Class: Equities									
AMAZON COM INC (AMZN)	4/23/13	1 000	265 870	675 890	265 87	675 89	410 02 LT		
	4/26/13	2 000	257 515	675 890	515 03	1,351 78	836 75 LT		
	5/10/13	2 000	262 580	675 890	525 16	1,351 78	826 62 LT		
	Total	5.000			1,306 06	**3,379.45**	2,073 39 LT	—	—
Asset Class· Equities									
AMERICAN WATER WORKS CO (AWK)	4/24/15	5 000	55 408	59 750	277 04	298.75	21 71 ST		
	4/24/15	5 000	55.088	59 750	275 44	298 75	23 31 ST		
	4/24/15	5 000	55 326	59 750	276 63	298 75	22 12 ST		
	4/27/15	10 000	55 216	59 750	552 16	597 50	45 34 ST		
	4/27/15	10 000	54 533	59 750	545 33	597 50	52.17 ST		
	4/27/15	24 000	54 697	59 750	1,312 72	1,434 00	121 28 ST		
	5/12/15	5 000	54 582	59 750	272 91	298 75	25 84 ST H		
	5/12/15	5 000	54 452	59 750	272 26	298.75	26 49 ST H		
	6/23/15	2 000	49 911	59 750	99 82	119 50	19 68 ST		
	Total	71 000			3,884 31	**4,242.25**	357 94 ST	97 00	2 28
Next Dividend Payable 03/2016; Basis Adjustment Due to Wash Sale: $46 06, Asset Class· Equities									
ANHEUSER BUSCH INBEV SA SPON (BUD)	10/31/13	21 000	103 878	125 000	2,181 45	2,625 00	443 55 LT		
	8/6/14	4 000	107 970	125 000	431 88	500 00	68.12 LT		
	Total	25 000			2,613 33	**3,125 00**	511 67 LT	81 00	2 59
Asset Class: Equities									

Security Mark at Right

Account Detail

Fiduciary Services Active Assets Account
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O
ATTN: MICHAEL GUZNICZAK

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
APPLE INC (AAPL)	4/15/13	12 000	60.353	105 260	724 23	1,263 12	538 89 LT		
	4/17/13	7 000	57 353	105 260	401 47	736 82	335 35 LT		
	6/20/13	7 000	60 010	105 260	420 07	736 82	316 75 LT		
	8/24/15	9 000	103 378	105 260	930 40	947 34	16 94 ST		
	Total	35 000			2,476 17	**3,684 10**	1,190 99 LT 16 94 ST	73 00	1 98
Next Dividend Payable 02/2016, Asset Class Equities									
AVAGO TECH (AVGO)	9/20/13	13 000	42 283	145 150	549 67	1,886 95	1,337 28 LT		
	11/27/13	7 000	44 717	145 150	313 02	1,016 05	703 03 LT		
	Total	20 000			862 69	**2,903 00**	2,040 31 LT	35 00	1 20
Next Dividend Payable 03/2016, Asset Class· Equities									
BRISTOL MYERS SQUIBB CO (BMY)	2/27/13	24 000	37 180	68 790	892 32	1,650 96	758 64 LT		
	3/4/13	11 000	36 734	68 790	404 07	756 69	352 62 LT		
	10/4/13	1 000	47 370	68 790	47 37	68 79	21 42 LT		
	Total	36 000			1,343 76	**2,476 44**	1,132 68 LT	55 00	2 22
Next Dividend Payable 02/01/16, Asset Class. Equities									
BRUKER CORPORATION (BRKR)	3/12/14	31 000	23 884	24 270	740 40	752 37	11 97 LT		
	8/7/14	27 000	21 707	24 270	586 09	655 29	69 20 LT		
	12/18/14	21 000	18 834	24 270	395 52	509 67	114 15 LT		
	Total	79 000			1,722 01	**1,917.33**	195 32 LT	—	—
Asset Class Equities									
CHARLES SCHWAB NEW (SCHW)	2/27/13	43 000	16 410	32 930	705 63	1,415 99	710 36 LT		
	4/16/13	47 000	16 682	32 930	784 07	1,547 71	763 64 LT		
	10/4/13	1 000	21 520	32 930	21 52	32 93	11 41 LT		
	6/23/15	9 000	33 652	32 930	302 87	296 37	(6 50) ST		
	10/23/15	26 000	30 188	32 930	784 90	856 18	71 28 ST		
	Total	126.000			2,598 99	**4,149.18**	1,485 41 LT 64 78 ST	30 00	0 72
Next Dividend Payable 02/2016, Asset Class· Equities									
COLGATE PALMOLIVE CO (CL)	8/6/14	39 000	64 818	66 620	2,527 92	2,598 18	70 26 LT		
	4/21/15	3 000	69 117	66 620	207 35	199 86	(7 49) ST		
	6/23/15	5 000	67 002	66 620	335 01	333 10	(1 91) ST		
	Total	47 000			3,070 28	**3,131.14**	70 26 LT (9 40) ST	71 00	2 26
Next Dividend Payable 02/2016: Asset Class· Equities									

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Account Detail

Fiduciary Services Active Assets Account
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O
ATTN: MICHAEL GUZNICZAK

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
COMCAST CORP (NEW) CLASS A (CMCSA)	11/20/14	38 000	54 359	56 430	2,065 63	2,144 34	78.71 LT		
	12/18/14	19 000	55 846	56 430	1,061 08	1,072 17	11 09 LT		
	Total	57 000			3,126 71	**3,216.51**	89 80 LT	57 00	1 77
Next Dividend Payable 01/2016, Asset Class: Equities									
COMPAGNIE FIN RICHEMONTAG ADR (CFRUY)	11/19/14	222 000	8 730	7 120	1,938 06	1,580 64	(357.42) LT		
	12/8/14	54 000	8 869	7.120	478 93	384 48	(94 45) LT H		
	Total	276 000			2,416 99	**1,965.12**	(451 87) LT	26 00	1 32
Basis Adjustment Due to Wash Sale: $25 50, Asset Class· Equities									
CONCHO RES INC (CXO)	9/19/14	6 000	132 355	92 860	794 13	557 16	(236 97) LT		
	12/1/14	9 000	91 956	92 860	827 60	835 74	8 14 LT		
	Total	15 000			1,621 73	**1,392.90**	(228 83) LT	—	—
Asset Class: Equities									
CVS HEALTH CORP COM (CVS)	12/2/13	23 000	67 184	97.770	1,545 23	2,248 71	703 48 LT		
	1/23/14	13 000	68 572	97 770	891 43	1,271 01	379 58 LT		
	Total	36 000			2,436 66	**3,519.72**	1,083 06 LT	61 00	1 73
Next Dividend Payable 02/2016; Asset Class Equities									
DELPHI AUTOMOTIVE PLC (DLPH)	1/30/14	11 000	60 854	85.730	669 39	943 03	273 64 LT		
	3/4/14	12.000	67 003	85 730	804 03	1,028 76	224 73 LT		
	8/6/14	6 000	67 978	85 730	407 87	514 38	106 51 LT		
	12/18/14	3.000	71 417	85 730	214 25	257.19	42 94 LT		
	11/4/15	7 000	83 243	85 730	582 70	600 11	17.41 ST		
	Total	39 000			2,678 24	**3,343.47**	647 82 LT 17 41 ST	39 00	1 16
Next Dividend Payable 02/2016, Asset Class· Equities									
ECOLAB INC (ECL)	7/21/15	30 000	112 465	114 380	3,373.96	**3,431.40**	57 44 ST	42 00	1 22
Next Dividend Payable 01/15/16, Asset Class Equities									
FACEBOOK INC CL-A (FB)	1/27/15	16 000	76 030	104 660	1,216 47	1,674.56	458 09 ST		
	2/4/15	13 000	76 075	104 660	988 98	1,360 58	371 60 ST		
	3/2/15	11 000	79 755	104 660	877 30	1,151 26	273 96 ST		
	Total	40 000			3,082 75	**4,186.40**	1,103.65 ST	—	—
Asset Class: Equities									
FORTINET INC (FTNT)	8/25/15	39 000	42 386	31 170	1,653 04	1,215 63	(437 41) ST		
	10/23/15	16 000	34 591	31.170	553 46	498 72	(54 74) ST		
	Total	55 000			2,206 50	**1,714.35**	(492 15) ST	—	—
Asset Class: Equities									

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Account Detail

Fiduciary Services Active Assets Account
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O
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INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
GOLDMAN SACHS GRP INC (GS)	2/27/13	4 000	151 930	180 230	607 72	720 92	113 20 LT		
	4/15/13	10 000	147 630	180 230	1,476 30	1,802 30	326 00 LT		
	10/4/13	1 000	156 180	180 230	156 18	180 23	24 05 LT		
	6/23/15	2 000	218 450	180 230	436 90	360 46	(76 44) ST		
	Total	17 000			2,677 10	3,063 91	463 25 LT (76 44) ST	44 00	1 43
Next Dividend Payable 03/2016, Asset Class: Equities									
HERSHEY COMPANY (HSY)	7/15/13	6 000	92 088	89 270	552 53	535 62	(16 91) LT		
	7/16/13	11 000	92 397	89 270	1,016 37	981 97	(34 40) LT		
	10/4/13	1 000	91 670	89 270	91 67	89 27	(2 40) LT		
	6/27/14	5 000	97 028	89 270	485 14	446.35	(38 79) LT		
	8/6/14	6 000	89 793	89 270	538 76	535 62	(3 14) LT		
	Total	29 000			2,684 47	2,588 83	(95 64) LT	68 00	2 62
Next Dividend Payable 03/2016, Asset Class Equities									
HEXCEL CORP NEW (HXL)	11/4/14	1 000	42 544	46 450	42 54	46 45	3 91 LT		
	11/5/14	10 000	42 539	46 450	425 39	464 50	39 11 LT		
	11/5/14	10 000	42 475	46 450	424 75	464 50	39 75 LT		
	11/6/14	5 000	42 656	46 450	213 28	232 25	18 97 LT		
	11/7/14	10 000	43 019	46 450	430 19	464 50	34 31 LT		
	11/7/14	17 000	43 014	46 450	731 24	789 65	58 41 LT		
	Total	53 000			2,267 39	2,461 85	194 46 LT	21 00	0 85
Next Dividend Payable 02/2016, Asset Class Equities									
ILLUMINA INC (ILMN)	2/27/13	10 000	49 160	191 945	491 60	1,919 45	1,427 85 LT		
	10/4/13	2 000	81 150	191 945	162 30	383 89	221 59 LT		
	12/18/14	2 000	186 545	191 945	373 09	383 89	10 80 LT		
	Total	14 000			1,026 99	2,687 23	1,660 24 LT	—	—
Asset Class Equities									
INTERCONTINENTALEXCHANGE GROUP (ICE)	2/27/13	13 000	155 080	256 260	2,016 04	3,331 38	1,315 34 LT		
	6/23/15	1 000	230 530	256 260	230 53	256 26	25 73 ST		
	Total	14 000			2,246 57	3,587 64	1,315 34 LT 25 73 ST	42 00	1 17
Next Dividend Payable 03/2016, Asset Class· Equities									
LAS VEGAS SANDS CORPORATION (LVS)	6/26/13	19 000	51 207	43 840	972 93	832 96	(139 97) LT R		
	7/17/13	1 000	54 620	43 840	54 62	43 84	(10 78) LT R		
	7/25/13	13 000	54 095	43 840	703 23	569 92	(133 31) LT R		
	6/24/14	10 000	75 192	43 840	751 92	438 40	(313 52) LT		

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Account Detail

Fiduciary Services Active Assets Account
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	43 000			2,482 70	**1,885.12**	(597.58) LT	112 00	5 94
Next Dividend Payable 03/2016; Asset Class: Equities									
MEAD JOHNSON NUTRITION COMPANY (MJN)	6/20/13	27 000	78 179	78 950	2,110 84	2,131 65	20 81 LT		
	6/24/14	7 000	93 314	78 950	653 20	552.65	(100 55) LT		
	6/23/15	7 000	92 667	78 950	648 67	552 65	(96 02) ST		
	10/23/15	4 000	80 205	78 950	320 82	315 80	(5 02) ST		
	Total	45 000			3,733 53	**3,552.75**	(79 74) LT (101 04) ST	74 00	2 08
Next Dividend Payable 01/04/16; Asset Class: Equities									
MOBILEYE N V. (MBLY)	11/20/14	1 000	44 077	42 280	44 08	42 28	(1 80) LT		
	12/11/14	21 000	41.860	42 280	879 06	887.88	8 82 LT		
	1/22/15	18 000	39 719	42 280	714 95	761 04	46 09 ST		
	3/2/15	15 000	33 494	42 280	502 41	634 20	131 79 ST		
	9/9/15	10 000	49 064	42 280	490 64	422 80	(67 84) ST		
	Total	65 000			2,631 14	**2,748.20**	7.02 LT 110 04 ST	—	—
Asset Class Equities									
MONSTER BEVERAGE CORP NEW COM (MNST)	12/19/13	16 000	66 083	148 960	1,057 33	2,383.36	1,326 03 LT		
	1/10/14	11 000	69 535	148 960	764 88	1,638 56	873.68 LT		
	Total	27 000			1,822 21	**4,021 92**	2,199 71 LT	—	—
Asset Class: Equities									
NETFLIX INC (NFLX)	8/24/15	16 000	97 438	114 380	1,559 01	1,830 08	271 07 ST		
	9/4/15	6 000	98 855	114 380	593 13	686 28	93 15 ST		
	9/21/15	6 000	100 370	114 380	602 22	686 28	84 06 ST		
	Total	28.000			2,754 36	**3,202.64**	448 28 ST	—	—
Asset Class: Equities									
NIKE INC B (NKE)	7/15/13	22 000	31 705	62 500	697 50	1,375 00	677 50 LT		
	7/16/13	38 000	31 627	62 500	1,201 84	2,375 00	1,173 16 LT		
	7/17/13	2 000	31 720	62,500	63 44	125 00	61 56 LT		
	Total	62.000			1,962.78	**3,875.00**	1,912.22 LT	40.00	1 03
Next Dividend Payable 01/04/16; Asset Class: Equities									
NVIDIA CORPORATION (NVDA)	2/27/13	29 000	12 480	32 960	361 91	955 84	593 93 LT R		
	3/4/13	32 000	12 554	32 960	401 73	1,054 72	652 99 LT R		
	4/17/13	32 000	12 673	32 960	405 54	1,054 72	649 18 LT R		
	11/20/14	21 000	20 296	32 960	426 22	692 16	265 94 LT R		

Account Detail

Fiduciary Services Active Assets Account
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	114 000			1,595 40	**3,757.44**	2,162.04 LT	52 00	1 38
Next Dividend Payable 03/2016; Asset Class. Equities									
NXP SEMICONDUCTORS NV (NXPI)	1/30/14	21 000	48 054	84 250	1,009 14	**1,769.25**	760 11 LT	—	—
Asset Class: Equities									
PALO ALTO NETWORKS INC (PANW)	11/3/14	12 000	106 074	176 140	1,272 88	2,113 68	840 80 LT		
	11/20/14	4 000	108 888	176 140	435 55	704 56	269 01 LT		
	12/18/14	2 000	124 415	176 140	248 83	352 28	103 45 LT		
	Total	18 000			1,957 26	**3,170.52**	1,213 26 LT	—	—
Asset Class· Equities									
PERRIGO CO LTD (PRGO)	5/28/14	10 000	136 439	144 700	1,364 39	1,447 00	82 61 LT		
	8/6/14	2 000	142 935	144 700	285 87	289 40	3 53 LT		
	12/18/14	5 000	161 104	144 700	805 52	723 50	(82 02) LT		
	3/4/15	4 000	157 723	144 700	630 89	578 80	(52 09) ST		
	Total	21 000			3,086 67	**3,038 70**	4 12 LT (52 09) ST	11 00	0 36
Next Dividend Payable 03/2016, Asset Class. Equities									
QUINTILES TRANSNATIONAL HLDGS (Q)	9/19/13	2 000	44 255	68 660	88 51	137 32	48 81 LT		
	9/20/13	24 000	44 497	68 660	1,067 93	1,647.84	579 91 LT		
	10/4/13	2 000	43 540	68 660	87 08	137 32	50 24 LT		
	12/18/13	12 000	45 399	68 660	544 79	823 92	279.13 LT		
	Total	40 000			1,788 31	**2,746 40**	958 09 LT	—	—
Asset Class· Equities									
REGENERON PHARM (REGN)	10/8/15	4 000	481 525	542 870	1,926 10	2,171 48	245 38 ST		
	10/22/15	2 000	525 970	542 870	1,051 94	1,085 74	33 80 ST		
	Total	6 000			2,978 04	**3,257.22**	279.18 ST	—	—
Asset Class Equities									
ROCHE HOLDINGS ADR (RHHBY)	2/27/13	47 000	28 545	34 470	1,341 62	1,620 09	278 47 LT		
	12/18/14	17 000	36 712	34 470	624 11	585 99	(38 12) LT		
	6/24/15	16 000	35 994	34 470	575 91	551 52	(24 39) ST		
	12/2/15	19 000	34 076	34 470	647 44	654 93	7 49 ST		
	Total	99 000			3,189 08	**3,412 53**	240 35 LT (16 90) ST	82 00	2 40
Asset Class· Equities									
ROCKWELL AUTOMATION INC (ROK)	2/27/13	17 000	90 690	102 610	1,541 73	1,744 37	202 64 LT		
	6/26/13	5 000	83 748	102 610	418 74	513 05	94 31 LT		
	7/17/13	1 000	92 230	102 610	92 23	102 61	10 38 LT		

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Account Detail

Fiduciary Services Active Assets Account
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	8/6/14	3 000	111 713	102 610	335 14	307 83	(27.31) LT		
	Total	26 000			2,387 84	**2,667.86**	280 02 LT	75 00	2.81
Next Dividend Payable 03/2016, Asset Class Equities									
SALESFORCE.COM,INC. (CRM)	2/27/13	11 000	41 900	78 400	460 90	862.40	401 50 LT		
	10/4/13	1 000	53 140	78 400	53 14	78 40	25 26 LT		
	4/4/14	8 000	54 448	78 400	435 58	627 20	191 62 LT		
	11/20/14	18 000	58 327	78 400	1,049 88	1,411 20	361 32 LT		
	Total	38 000			1,999 50	**2,979.20**	979.70 LT	—	—
Asset Class. Equities									
SERVICENOW INC (NOW)	6/20/13	10 000	38 178	86 560	381 78	865 60	483.82 LT		
	7/17/13	1 000	43 810	86 560	43 81	86 56	42.75 LT		
	3/27/14	9 000	59 038	86 560	531.34	779 04	247 70 LT		
	4/4/14	8 000	52.386	86 560	419 09	692 48	273 39 LT		
	12/18/14	4 000	67 013	86 560	268 05	346 24	78 19 LT		
	Total	32 000			1,644 07	**2,769.92**	1,125 85 LT	—	—
Asset Class. Equities									
SKYWORKS SOLUTIONS INC (SWKS)	9/19/14	12 000	57 586	76 830	691 03	921 96	230 93 LT		
	10/3/14	14 000	55 509	76 830	777 13	1,075 62	298 49 LT		
	Total	26 000			1,468 16	**1,997.58**	529 42 LT	27 00	1 35
Next Dividend Payable 03/2016; Asset Class. Equities									
SPLUNK INC (SPLK)	12/18/14	24 000	58 694	58.810	1,408.65	1,411.44	2.79 LT		
	1/6/15	10 000	55 889	58 810	558 89	588 10	29 21 ST		
	Total	34 000			1,967 54	**1,999.54**	2 79 LT 29 21 ST	—	—
Asset Class· Equities									
STARBUCKS CORP WASHINGTON (SBUX)	5/13/13	28 000	31 468	60 030	881 11	1,680 84	799 73 LT		
	6/20/13	20 000	32 917	60.030	658 34	1,200.60	542 26 LT		
	3/4/14	14 000	35 901	60 030	502 61	840 42	337 81 LT		
	Total	62 000			2,042 06	**3,721.86**	1,679 80 LT	50 00	1 34
Next Dividend Payable 02/2016; Asset Class· Equities									
STATE STREET CORP (STT)	2/27/13	31.000	57 000	66 360	1,767 00	2,057 16	290 16 LT		
	7/17/13	3 000	69 420	66 360	208 26	199 08	(9 18) LT		
	3/4/14	3 000	66 610	66 360	199 83	199 08	(0 75) LT		
	Total	37 000			2,175 09	**2,455.32**	280 23 LT	50 00	2.03
Next Dividend Payable 01/19/16; Asset Class Equities									

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Account Detail

Fiduciary Services Active Assets Account
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O
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INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STERICYCLE INC (SRCL)	9/2/15	17 000	140 057	120 600	2,380 97	2,050 20	(330 77) ST		
	9/21/15	3 000	138 747	120 600	416 24	361 80	(54 44) ST		
	Total	20 000			2,797 21	**2,412 00**	(385 21) ST	—	—
Asset Class Equities									
UNITEDHEALTH GP INC (UNH)	12/3/14	16 000	101 051	117 640	1,616 81	1,882 24	265 43 LT		
	12/18/14	9 000	100 483	117 640	904 35	1,058 76	154 41 LT		
	12/2/15	5 000	119 170	117 640	595 85	588 20	(7 65) ST		
	Total	30 000			3,117 01	**3,529.20**	419 84 LT (7 65) ST	60 00	1 70
Next Dividend Payable 03/2016; Asset Class: Equities									
VISA INC CL A (V)	4/25/13	4 000	42 488	77 550	169 95	310 20	140 25 LT		
	6/20/13	12 000	45 131	77 550	541 57	930 60	389 03 LT		
	7/17/13	16 000	47 378	77 550	758 04	1,240 80	482 76 LT		
	9/16/13	8 000	47 345	77 550	378 76	620 40	241 64 LT		
	10/4/13	4 000	47 613	77 550	190 45	310 20	119 75 LT		
	Total	44 000			2,038 77	**3,412 20**	1,373 43 LT	25 00	0 73
Next Dividend Payable 03/2016, Asset Class Equities									
WELLS FARGO & CO NEW (WFC)	2/27/13	31 000	35 120	54 360	1,088 72	1,685.16	596 44 LT		
	7/17/13	9 000	43 469	54 360	391 22	489 24	98 02 LT		
	6/23/15	17 000	57 939	54 360	984 97	924 12	(60 85) ST		
	Total	57 000			2,464 91	**3,098 52**	694 46 LT (60 85) ST	86 00	2 77
Next Dividend Payable 03/2016, Asset Class. Equities									
WISDOMTREE INVSTS INC (WETF)	12/2/15	30 000	21 495	15 680	644 86	470 40	(174 46) ST		
	12/2/15	35 000	21 502	15 680	752 57	548 80	(203 77) ST		
	12/3/15	20 000	20 165	15 680	403 29	313 60	(89 69) ST		
	12/3/15	30 000	19 647	15 680	589 40	470 40	(119 00) ST		
	Total	115 000			2,390 12	**1,803.20**	(586 92) ST	37.00	2 05
Next Dividend Payable 02/2016, Asset Class: Equities									
XYLEM INC COM (XYL)	4/30/15	7 000	37 165	36 500	260 16	255 50	(4 66) ST		
	4/30/15	15 000	36 907	36 500	553 60	547 50	(6 10) ST		
	4/30/15	5 000	36 892	36 500	184 46	182.50	(1 96) ST		
	5/1/15	17 000	36 332	36 500	617 64	620 50	2 86 ST		
	5/19/15	8 000	38 226	36 500	305 81	292 00	(13 81) ST H		
	6/24/15	10 000	37 212	36 500	372 12	365 00	(7.12) ST		

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Account Detail

Fiduciary Services Active Assets Account
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	6/24/15	5 000	37 275	36 500	186 37	182 50	(3 87) ST		
	9/21/15	20 000	32 891	36 500	657 82	730 00	72 18 ST		
	Total	87 000			3,137 98	**3,175.50**	37 52 ST	49 00	1 54

Next Dividend Payable 03/2016; Basis Adjustment Due to Wash Sale: $7.61, Asset Class: Equities

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	59.12%	$125,119 76	$155,568.60	$30,484.38 LT $(35.54) ST	$1,756 00	1.13%

GOVERNMENT SECURITIES

TREASURY SECURITIES

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
UNITED STATES TREASURY NOTE	9/1/15	3,000 000	$99 965	$99 980	$2,998 95			$4 00	0 13
Coupon Rate 0 250%, Matures 04/15/2016, CUSIP 912828UW8			$99 965		$2,998 95	**$2,999.40**	$0 45 ST	$1 57	
Int. Semi-Annually Apr/Oct 15; Moody AAA; Issued 04/15/13; Asset Class: FI & Pref									
UNITED STATES TREASURY NOTE	10/3/14	4,000 000	100 840	100 203	4,033 60				
Coupon Rate 1 000%, Matures 08/31/2016, CUSIP 912828RF9			100 294		4,011 74	4,008 12	(3 62) LT		
	6/5/15	3,000 000	100 684	100 203	3,020 52				
			100 369		3,011 07	3,006 09	(4 98) ST		
	Total	7,000 000			7,054 12			70 00	0 99
					7,022 81	**7,014.21**	(3 62) LT (4 98) ST	23 58	
Int. Semi-Annually Feb/Aug 29, Yield to Maturity .695%; Moody AAA; Issued 08/31/11; Asset Class. FI & Pref									
UNITED STATES TREASURY NOTE	12/3/14	5,000 000	99 903	99 734	4,995 13				
Coupon Rate 0 500%, Matures 11/30/2016, CUSIP 912828G46			99 903		4,995 13	4,986 70	(8 43) LT		
	1/23/15	1,000 000	100 035	99.734	1,000 35				
			100 018		1,000 18	997 34	(2 84) ST		
	6/5/15	2,000 000	99 930	99 734	1,998 60				
			99 930		1,998 60	1,994 68	(3 92) ST		
	Total	8,000 000			7,994 08			40 00	0 50
					7,993 91	**7,978.72**	(8 43) LT (6 76) ST	3 38	

Int. Semi-Annually May/Nov 30, Yield to Maturity .792%, Moody AAA, Issued 11/30/14, Asset Class. FI & Pref

Account Detail

Fiduciary Services Active Assets Account
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O
ATTN: MICHAEL GUZNICZAK

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Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
UNITED STATES TREASURY NOTE	2/27/13	1,000 000	101 254	100 008	1,012 54				
Coupon Rate 0 875%, Matures 01/31/2017, CUSIP 912828SC5			100 348		1,003 48	1,000 08	(3 40) LT		
	7/22/13	1,000 000	100 188	100 008	1,001 88				
			100 058		1,000 58	1,000 08	(0 50) LT		
	6/5/15	1,000 000	100 442	100 008	1,004 42				
			100 291		1,002 91	1,000 08	(2 83) ST		
	Total	3,000 000			3,018 84			26 00	0 86
					3,006 97	3,000 24	(3 90) LT	10 91	
							(2 83) ST		
Int Semi-Annually Jan/Jul 31, Yield to Maturity .868%, Moody AAA, Issued 01/31/12, Asset Class FI & Pref									
UNITED STATES TREASURY NOTE	7/22/13	1,000 000	98 485	99 469	984 85			8 00	0 80
Coupon Rate 0 750%, Matures 10/31/2017, CUSIP 912828TW0			98 485		984 85	994.69	9 84 LT	1 27	
Int. Semi-Annually Apr/Oct 30, Yield to Maturity 1 043%, Moody AAA; Issued 10/31/12, Asset Class FI & Pref									
UNITED STATES TREASURY NOTE	9/3/13	1,000 000	95.707	98 715	957 07			6 00	0 60
Coupon Rate 0 625%, Matures 04/30/2018, CUSIP 912828UZ1			95 707		957 07	987.15	30 08 LT	1 04	
Int Semi-Annually Apr/Oct 31, Yield to Maturity 1 186%, Moody AAA, Issued 04/30/13, Asset Class FI & Pref									
UNITED STATES TREASURY NOTE	4/4/14	2,000 000	99 645	100 625	1,992 90				
Coupon Rate 1 625%, Matures 03/31/2019, CUSIP 912828C65			99 645		1,992 90	2,012 50	19 60 LT		
	6/3/14	2,000 000	100 254	100 625	2,005 08				
			100 173		2,003 46	2,012 50	9 04 LT		
	6/5/15	2,000 000	100 715	100 625	2,014 30				
			100 611		2,012 22	2,012 50	0 28 ST		
	Total	6,000 000			6,012 28			98 00	1 62
					6,008 58	6,037.50	28 64 LT	24 50	
							0 28 ST		
Int Semi-Annually Mar/Sep 30, Yield to Maturity 1.427%, Moody AAA, Issued 03/31/14; Asset Class FI & Pref									
UNITED STATES TREASURY NOTE	9/4/15	3,000 000	100 648	99 523	3,019 45			49 00	1 64
Coupon Rate 1 625%, Matures 07/31/2020, CUSIP 912828XM7			100 608		3,018 25	2,985.69	(32 56) ST	20 26	
Int. Semi-Annually Jan/Jul 31, Yield to Maturity 1 734%, Moody AAA, Issued 07/31/15, Asset Class FI & Pref									
UNITED STATES TREASURY NOTE	11/3/15	2,000 000	98 981	98 234	1,979 61			28 00	1 42
Coupon Rate 1 375%, Matures 10/31/2020, CUSIP 912828L99			98 981		1,979 61	1,964 68	(14 93) ST	4 60	
Int Semi-Annually Apr/Oct 31, Yield to Maturity 1.758%, Moody AAA, Issued 10/31/15, Asset Class FI & Pref									

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Account Detail

Fiduciary Services Active Assets Account
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O
ATTN: MICHAEL GUZNICZAK

INTERESTED PARTY COPY

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
UNITED STATES TREASURY NOTE	2/27/13	3,000 000	102 750	100 293	3,082 50				
Coupon Rate 2 000%, Matures 11/15/2021; CUSIP 912828RR3			101 896		3,056.89	3,008 79	(48.10) LT		
	7/22/13	2,000 000	98 462	100 293	1,969 23				
			98 462		1,969 23	2,005 86	36 63 LT		
	5/1/15	1,000 000	101 145	100 293	1,011 45				
			101 035		1,010 35	1,002.93	(7 42) ST		
	6/5/15	3,000 000	99 473	100 293	2,984 19				
			99 473		2,984 19	3,008 79	24 60 ST		
	Total	9,000 000			9,047 37			180 00	1 99
					9,020 66	**9,026.37**	(11 47) LT	22 74	
							17 18 ST		
Int. Semi-Annually May/Nov 15, Yield to Maturity 1.947%, Moody AAA; Issued 11/15/11; Asset Class. FI & Pref									
UNITED STATES TREASURY NOTE	8/1/14	1,000 000	102 360	104 160	1,023 60			28 00	2 68
Coupon Rate 2 750%, Matures 02/15/2024, CUSIP 912828B66			102 045		1,020 45	**1,041.60**	21.15 LT	10 31	
Int Semi-Annually Feb/Aug 15, Yield to Maturity 2.188%; Moody AAA; Issued 02/15/14, Asset Class. FI & Pref									
UNITED STATES TREASURY NOTE	5/1/15	1,000 000	98 993	97 754	989 93			20 00	2 04
Coupon Rate 2 000%, Matures 02/15/2025, CUSIP 912828J27			98 993		989 93	**977.54**	(12 39) ST	7 50	
Int. Semi-Annually Feb/Aug 15; Yield to Maturity 2.274%; Moody AAA; Issued 02/15/15; Asset Class: FI & Pref									
UNITED STATES TREASURY NOTE	11/3/15	1,000 000	98 207	97 504	982 07			20 00	2 05
Coupon Rate 2.000%, Matures 08/15/2025, CUSIP 912828K74			98 207		982 07	**975 04**	(7 03) ST	7 50	
Int. Semi-Annually Feb/Aug 15; Yield to Maturity 2 290%, Moody AAA; Issued 08/15/15, Asset Class FI & Pref									
UNITED STATES TREASURY BOND	2/27/13	3,000 000	98 313	100 617	2,949 38				
Coupon Rate 3 000%; Matures 05/15/2042; CUSIP 912810QW1			98 313		2,949 38	3,018 51	69 13 LT		
	7/22/13	1,000 000	90 309	100 617	903 09				
			90 309		903 09	1,006 17	103 08 LT		
	4/4/14	1,000 000	89 786	100 617	897 86				
			89.786		897 86	1,006 17	108 31 LT		
	6/5/15	2,000 000	97 813	100 617	1,956 26				
			97 813		1,956 26	2,012 34	56 08 ST		
	Total	7,000 000			6,706.59			210 00	2 98
					6,706 59	**7,043.19**	280 52 LT	26 53	
							56 08 ST		
Int. Semi-Annually May/Nov 15; Yield to Maturity 2.966%; Moody AAA; Issued 05/15/12, Asset Class· FI & Pref									
TREASURY SECURITIES		53,000.000			$52,768.81			$787.00	1 48%
					$52,690.70	$53,026.02	$342.81 LT	$165 69	
							$(7.49) ST		

Security Mark at Right

Account Detail

Fiduciary Services Active Assets Account
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O
ATTN: MICHAEL GUZNICZAK

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FEDERAL AGENCIES

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
FED NATL MTG ASSN	9/16/15	2,000 000	$100 111	$100 008	$2,002 22			$5 00	0 24
Coupon Rate 0.500%, Matures 03/30/2016, CUSIP 3135G0VA8			$100 051		$2,001 02	$2,000 16	$(0 86) ST	$2 50	
Int Semi-Annually Mar/Sep 30, Moody AAA S&P AA+; Issued 02/15/13, Asset Class. FI & Pref									
FED NATL MTG ASSN	8/20/13	2,000 000	115 197	106 208	2,303 93			108 00	5 08
Coupon Rate 5 375%, Matures 06/12/2017, CUSIP 31398ADM1			105 861		2,117 21	2,124.16	6 95 LT	5 67	
Int. Semi-Annually Jun/Dec 12; Yield to Maturity 1.041%; Moody AAA S&P AA+, Issued 06/08/07, Asset Class: FI & Pref									
FED NATL MTG ASSN	2/27/13	2,000 000	100 237	99 587	2,004 74				
Coupon Rate 0 875%, Matures 10/26/2017, CUSIP 3135G0PQ0			100 094		2,001 87	1,991 74	(10.13) LT		
	6/5/15	2,000 000	99 867	99 587	1,997 33				
			99 867		1,997 33	1,991 74	(5 59) ST		
	Total	4,000 000			4,002 07			35 00	0 87
					3,999 20	3,983.48	(10 13) LT	6 31	
							(5 59) ST		
Int Semi-Annually Apr/Oct 26, Yield to Maturity 1 105%, Moody AAA S&P AA+, Issued 09/24/12, Asset Class. FI & Pref									
FED NATL MTG ASSN	2/27/13	1,000 000	149 386	141 614	1,493 86			66 00	4 66
Coupon Rate 6 625%. Matures 11/15/2030, CUSIP 31359MGK3			143 109		1,431 09	1,416.14	(14 95) LT	8 46	
Int Semi-Annually May/Nov 15, Yield to Maturity 3.109%; Moody AAA S&P AA+; Issued 11/03/00, Asset Class· FI & Pref									
FEDERAL AGENCIES		9,000 000			$9,802.08			$214 00	2 25%
					$9,548.52	$9,523.94	$(18.13) LT	$22 94	
							$(6 45) ST		

	Percentage of Holdings	Face Value	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
GOVERNMENT SECURITIES		62,000 000	$62,570 89			$1,001.00	1 60%
			$62,239.22	$62,549.96	$324.68 LT	$188.63	
					$(13.94) ST		
TOTAL GOVERNMENT SECURITIES (includes accrued interest)	23 84%			$62,738 59			

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Account Detail

Fiduciary Services Active Assets Account
357-010472-031

JOHN & CAROLYN PETERSON CH. FD C/O
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MUTUAL FUNDS

"Total Purchases vs. Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account It may not reflect all distributions received in cash, due to but not limited to: investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account.

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AB CORPORATE INC SHS (ACISX)	2/27/13	1,646 922	$11 230	$10 770	$18,494 93	$17,737 34	$(757 59) LT		
	7/22/13	465 000	10 820	10 770	5,031 30	5,008 04	(23 26) LT		
	1/30/15	68 000	11 570	10 770	786.76	732 35	(54.41) ST		
	5/7/15	236 000	11 250	10 770	2,655 00	2,541 71	(113 29) ST		
	6/5/15	975 500	11 060	10 770	10,789 03	10,506.13	(282 90) ST		
	Total	3,391 422			37,757 02	**36,525 61**	(780 85) LT (450 60) ST	1,384 00	3 78
Total Purchases vs Market Value					37,757 02	36,525 61			
Cumulative Cash Distributions						2,713 29			
Net Value Increase/(Decrease)						1,481 88			

Dividend Cash, Capital Gains Cash, Asset Class FI & Pref

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
MUTUAL FUNDS	13 88%	$37,757.02	$36,525.61	$(780 85) LT $(450.60) ST	$1,384 00	3 79%

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL MARKET VALUE		$225,116.00	$262,946 04	$30,028.21 LT $(500.08) ST	$4,143.00 $188.63	1.57%
TOTAL VALUE (includes accrued interest)	100 00%	$ 233,418.–	$263,134 67			

H - Wash sale rules apply to this tax lot. The cost basis and acquisition date (trade date) have been adjusted to account for disallowed loss of a related wash sale transaction. The aggregate amount of the basis adjustment is identified in italics under the Security Description
R - The cost basis for this tax lot was adjusted due to a reclassification of income.
Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

Account Detail

Fiduciary Services Basic Securities Account
357-897771-031

JOHN & CAROLYN PETERSON
CHAR FD. (ATALANTA SOSNOFF).

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Investment Objectives †: Income, Capital Appreciation

Investment Advisory Account
Manager: ATALANTA SOSNOFF CAPITAL, LLC

† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

HOLDINGS

This section reflects positions purchased/sold on a trade date basis "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates Current yield reflects the income generated by an investment, and does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class· Struct Inv," may appear in various statement product categories When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e g , Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Market Value	7-Day Current Yield %	Est Ann Income	APY %
MORGAN STANLEY PRIVATE BANK NA #	$56,802 85	—	$11 00	0 020

	Percentage of Holdings	Market Value	Est Ann Income
CASH, BDP, AND MMFs	3 84%	$56,802.85	$11.00

 # Bank Deposits are held at Morgan Stanley Bank, N A. and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC and each a national bank and FDIC member

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ADOBE SYSTEMS (ADBE)	3/9/15	11 000	$77 963	$93 940	$857 59	$1,033 34	$175 75 ST		
	3/12/15	59 000	77 050	93 940	4,545 97	5,542 46	996 49 ST		
	7/8/15	29 000	80 045	93 940	2,321 30	2,724 26	402 96 ST		
	Total	99 000			7,724 86	9,300 06	1,575 20 ST	—	—
Asset Class· Equities									
ALIBABA GROUP HLDG LTD (BABA)	11/20/14	50 000	111 802	81 270	5,590 12	4,063 50	(1,526 62) LT		
	12/12/14	45 000	106 013	81 270	4,770 57	3,657.15	(1,113 42) LT		
	12/16/14	41 000	105 676	81 270	4,332 71	3,332 07	(1,000 64) LT		

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Account Detail

Fiduciary Services Basic Securities Account
357-897771-031

JOHN & CAROLYN PETERSON
CHAR FD. (ATALANTA SOSNOFF).

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	2/13/15	33 000	89 105	81 270	2,940 47	2,681 91	(258 56) ST		
	10/23/15	108 000	74 455	81 270	8,041 16	8,777 16	736 00 ST		
	Total	277 000			25,675 03	**22,511.79**	(3,640 68) LT 477 44 ST	—	—
Asset Class: Equities									
ALLERGAN PLC SHS (AGN)	2/2/15	16 000	265 039	312.500	4,240 62	5,000 00	759 38 ST		
	3/12/15	12 000	297 866	312 500	3,574 39	3,750 00	175 61 ST		
	3/17/15	12 000	305 000	312 500	3,660 00	3,750 00	90 00 ST		
	6/1/15	13 000	308 915	312 500	4,015 90	4,062 50	46 60 ST		
	Total	53 000			15,490 91	**16,562.50**	1,071.59 ST	—	—
Asset Class: Equities									
ALPHABET INC CL A (GOOGL)	8/11/11	1 000	282 407	778 010	282 41	778 01	495 60 LT		
	8/30/11	4 000	269 528	778.010	1,078.11	3,112.04	2,033 93 LT		
	9/15/11	4 000	272 525	778 010	1,090 10	3,112 04	2,021.94 LT		
	9/20/11	4 000	276 558	778 010	1,106 23	3,112.04	2,005 81 LT		
	12/12/12	3 000	351.647	778 010	1,054.94	2,334 03	1,279 09 LT		
	2/26/13	12 000	396 888	778 010	4,762 65	9,336 12	4,573.47 LT		
	3/8/13	3 000	414 687	778 010	1,244 06	2,334 03	1,089 97 LT		
	8/7/15	7 000	661 974	778 010	4,633 82	5,446 07	812 25 ST		
	9/17/15	7 000	666 844	778 010	4,667 91	5,446 07	778 16 ST		
	Total	45 000			19,920 23	**35,010 45**	13,499 81 LT 1,590 41 ST	—	—
Asset Class: Equities									
ALPHABET INC CL C (GOOG)	12/12/12	1 000	350 523	758 880	350 52	758 88	408.36 LT		
	2/26/13	12 000	394 175	758 880	4,730 10	9,106 56	4,376 46 LT		
	3/8/13	3 000	413 363	758 880	1,240 09	2,276 64	1,036 55 LT		
	Total	16 000			6,320 71	**12,142 08**	5,821 37 LT	—	—
Asset Class: Equities									
AMAZON COM INC (AMZN)	5/11/15	20 000	434 359	675 890	8,687.17	13,517 80	4,830 63 ST		
	6/29/15	5 000	435 084	675 890	2,175 42	3,379 45	1,204 03 ST		
	7/20/15	9 000	488 778	675 890	4,399 00	6,083.01	1,684 01 ST		
	9/1/15	10 000	501 738	675 890	5,017 38	6,758 90	1,741.52 ST		
	Total	44 000			20,278.97	**29,739.16**	9,460.19 ST	—	—
Asset Class: Equities									

Fiduciary Services Basic Securities Account
357-897771-031

JOHN & CAROLYN PETERSON
CHAR FD. (ATALANTA SOSNOFF).

INTERESTED PARTY COPY

Account Detail

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AMER INTL GP INC NEW (AIG)	3/9/15	79 000	56 101	61 970	4,431.96	4,895 63	463 67 ST		
	4/15/15	77 000	58 213	61 970	4,482 42	4,771 69	289 27 ST		
	6/3/15	65 000	61 125	61 970	3,973 13	4,028 05	54 92 ST		
	12/2/15	83 000	64 228	61 970	5,330 94	5,143 51	(187 43) ST		
	Total	304 000			18,218 45	**18,838 88**	620 43 ST	340 00	1 80
Next Dividend Payable 03/2016, Asset Class Equities									
AMERICAN EXPRESS CO (AXP)	12/12/12	6 000	58 060	69 550	348 36	417 30	68 94 LT		
	2/26/13	25 000	61 850	69 550	1,546 25	1,738 75	192 50 LT		
	4/17/14	37 000	86 322	69 550	3,193 92	2,573 35	(620 57) LT		
	2/6/15	49 000	84 930	69 550	4,161 56	3,407 95	(753 61) ST		
	Total	117 000			9,250 09	**8,137.35**	(359 13) LT (753 61) ST	136 00	1 67
Next Dividend Payable 02/2016, Asset Class· Equities									
AMERICAN TOWER REIT COM (AMT)	9/8/10	49 000	49 355	96 950	2,418 40	4,750 55	2,332.15 LT		
	9/9/11	36 000	52 460	96 950	1,888 57	3,490 20	1,601 63 LT		
	12/12/12	22 000	76 380	96 950	1,680 36	2,132 90	452 54 LT		
	2/26/13	6 000	75 490	96 950	452 94	581 70	128 76 LT		
	10/9/14	38 000	94 918	96.950	3,606 88	3,684 10	77 22 LT		
	10/10/14	51 000	95 979	96 950	4,894 94	4,944 45	49 51 LT		
	Total	202 000			14,942 09	**19,583 90**	4,641.81 LT	396 00	2 02
Next Dividend Payable 01/13/16, Asset Class Alt									
APPLE INC (AAPL)	10/21/13	8 000	74 489	105 260	595 91	842 08	246 17 LT		
	10/24/13	63 000	75 845	105 260	4,778 22	6,631 38	1,853 16 LT		
	4/29/14	35 000	84 787	105 260	2,967 54	3,684 10	716 56 LT		
	4/30/14	91 000	85 349	105 260	7,766 75	9,578 66	1,811 91 LT		
	5/5/14	49 000	85 311	105 260	4,180 23	5,157 74	977 51 LT		
	8/22/14	33 000	100 769	105.260	3,325 38	3,473 58	148 20 LT		
	11/19/15	21 000	119 270	105 260	2,504 66	2,210 46	(294 20) ST		
	Total	300 000			26,118.69	**31,578.00**	5,753 51 LT (294 20) ST	624 00	1.97
Next Dividend Payable 02/2016, Asset Class Equities									
BANK OF AMERICA CORP (BAC)	2/26/13	6 000	11 100	16 830	66 60	100 98	34 38 LT		
	5/23/13	159 000	13 261	16 830	2,108 53	2,675 97	567 44 LT		
	7/18/13	260 000	14 832	16 830	3,856 42	4,375 80	519 38 LT		
	1/15/14	463 000	17 212	16 830	7,969 11	7,792 29	(176 82) LT		
	9/19/14	176 000	17 025	16 830	2,996 47	2,962 08	(34 39) LT		

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Account Detail

Fiduciary Services Basic Securities Account
357-897771-031

JOHN & CAROLYN PETERSON
CHAR FD. (ATALANTA SOSNOFF).

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	7/17/15	286 000	18 125	16 830	5,183 64	4,813.38	(370 26) ST		
	Total	1,350 000			22,180 77	22,720.50	909.99 LT (370 26) ST	270.00	1.18
Next Dividend Payable 03/2016; Asset Class: Equities									
BOEING CO (BA)	5/20/13	27 000	98 860	144 590	2,669 22	3,903 93	1,234.71 LT		
	6/26/13	20 000	100 769	144 590	2,015 37	2,891 80	876 43 LT		
	9/23/13	24 000	117 036	144 590	2,808 86	3,470 16	661 30 LT		
	9/24/13	30 000	119 459	144 590	3,583.78	4,337.70	753 92 LT		
	3/14/14	33 000	123 335	144 590	4,070 04	4,771.47	701 43 LT		
	2/4/15	26 000	147 608	144 590	3,837 80	3,759 34	(78 46) ST		
	8/27/15	38 000	130 168	144 590	4,946 40	5,494 42	548 02 ST		
	Total	198 000			23,931 47	28,628.82	4,227.79 LT 469.56 ST	863 00	3 01
Next Dividend Payable 03/2016, Asset Class: Equities									
BOSTON SCIENTIFIC CORP (BSX)	1/6/15	316 000	13 682	18 440	4,323 39	5,827 04	1,503 65 ST		
	1/15/15	286 000	14 332	18 440	4,099 07	5,273 84	1,174 77 ST		
	4/28/15	270.000	17 784	18 440	4,801 76	4,978 80	177 04 ST		
	Total	872 000			13,274 22	16,079.68	2,855 46 ST	—	—
Asset Class: Equities									
BRISTOL MYERS SQUIBB CO (BMY)	4/1/15	69 000	63 157	68 790	4,357.80	4,746 51	388 71 ST		
	4/14/15	72.000	64 261	68.790	4,626 76	4,952 88	326.12 ST		
	Total	141 000			8,984 56	9,699 39	714 83 ST	214 00	2 20
Next Dividend Payable 02/01/16, Asset Class Equities									
CELGENE CORP (CELG)	4/12/13	32 000	61.379	119 760	1,964 14	3,832 32	1,868 18 LT		
	4/23/13	28 000	64 000	119 760	1,791 99	3,353 28	1,561 29 LT		
	1/13/15	40.000	122.134	119.760	4,885 34	4,790.40	(94 94) ST		
	5/1/15	34 000	110 188	119 760	3,746 39	4,071 84	325 45 ST		
	Total	134 000			12,387 86	16,047.84	3,429 47 LT 230.51 ST	—	—
Asset Class: Equities									
CHARLES SCHWAB NEW (SCHW)	2/26/13	96 000	16 200	32 930	1,555 20	3,161.28	1,606.08 LT		
	4/15/14	129 000	25 953	32 930	3,348 00	4,247 97	899 97 LT		
	8/26/14	107 000	28 961	32 930	3,098 82	3,523 51	424 69 LT		
	Total	332 000			8,002 02	10,932 76	2,930 74 LT	80 00	0 73
Next Dividend Payable 02/2016; Asset Class: Equities									

Account Detail

Fiduciary Services Basic Securities Account
357-897771-031

JOHN & CAROLYN PETERSON
CHAR FD. (ATALANTA SOSNOFF).

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
CIGNA CORPORATION COM (CI)	6/16/15	28 000	154 145	146 330	4,316 06	4,097 24	(218 82) ST		
	6/19/15	29 000	155 995	146 330	4,523 85	4,243 57	(280 28) ST		
	Total	57 000			8,839 91	**8,340 81**	(499 10) ST	2 00	0 02
Next Dividend Payable 04/2016, Asset Class Equities									
CISCO SYS INC (CSCO)	7/30/14	23 000	25 588	27 155	588 52	624 56	36 04 LT		
	1/9/15	149 000	27 597	27 155	4,111 88	4,046 09	(65 79) ST		
	1/22/15	177 000	28 252	27 155	5,000 53	4,806 43	(194 10) ST		
	4/15/15	147 000	28 376	27 155	4,171 32	3,991.78	(179 54) ST		
	Total	496 000			13,872 25	**13,468.88**	36 04 LT (439 43) ST	417 00	3 09
Next Dividend Payable 01/2016; Asset Class Equities									
CITIGROUP INC NEW (C)	9/19/13	85 000	52 335	51 750	4,448 45	4,398 75	(49 70) LT		
	9/19/13	67 000	52 278	51 750	3,502 60	3,467 25	(35 35) LT		
	2/13/15	166 000	51 360	51 750	8,525 81	8,590 50	64 69 ST		
	4/17/15	86 000	53 651	51 750	4,614 02	4,450 50	(163 52) ST		
	4/28/15	77 000	52 507	51 750	4,043 02	3,984 75	(58 27) ST		
	Total	481 000			25,133 90	**24,891.75**	(85 05) LT (157 10) ST	96 00	0 38
Next Dividend Payable 02/2016, Asset Class. Equities									
COMCAST CORP (NEW) CLASS A (CMCSA)	5/5/14	59 000	52 279	56 430	3,084 46	3,329 37	244 91 LT		
	9/9/14	65 000	56 320	56 430	3,660 81	3,667 95	7 14 LT		
	10/10/14	93 000	53 462	56 430	4,972 01	5,247 99	275 98 LT		
	Total	217 000			11,717 28	**12,245 31**	528 03 LT	217 00	1 77
Next Dividend Payable 01/2016, Asset Class Equities									
COSTCO WHOLESALE CORP NEW (COST)	12/9/15	28 000	161 984	161 500	4,535 56	**4,522 00**	(13 56) ST	45 00	0 99
Next Dividend Payable 02/2016, Asset Class Equities									
CVS HEALTH CORP COM (CVS)	12/24/13	19 000	71 185	97 770	1,352 52	1,857 63	505 11 LT		
	1/27/14	110 000	67 280	97 770	7,400 81	10,754 70	3,353 89 LT		
	1/31/14	31 000	67 947	97 770	2,106 36	3,030 87	924 51 LT		
	10/10/14	29 000	83 266	97 770	2,414 71	2,835 33	420 62 LT		
	Total	189 000			13,274 40	**18,478 53**	5,204 13 LT	321 00	1 73
Next Dividend Payable 02/2016, Asset Class Equities									
DELTA AIR LINES INC NEW (DAL)	6/5/15	208 000	42 872	50 690	8,917 31	10,543 52	1,626 21 ST		
	8/20/15	97 000	46 961	50 690	4,555 26	4,916 93	361 67 ST		
	9/24/15	109 000	45 408	50 690	4,949 43	5,525 21	575 78 ST		

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Account Detail

Fiduciary Services Basic Securities Account
357-897771-031

JOHN & CAROLYN PETERSON
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	414 000			18,422 00	**20,985.66**	2,563 66 ST	224 00	1 06
Next Dividend Payable 02/2016; Asset Class. Equities									
DOLLAR GEN CORP NEW COM (DG)	10/15/15	67 000	66 297	71 870	4,441 91	4,815 29	373 38 ST		
	10/19/15	43 000	67 043	71 870	2,882 86	3,090 41	207 55 ST		
	Total	110 000			7,324 77	**7,905.70**	580 93 ST	97 00	1 22
Next Dividend Payable 01/06/16, Asset Class· Equities									
DOW CHEMICAL CO (DOW)	12/3/14	5 000	49 396	51 480	246.98	257 40	10 42 LT		
	12/5/14	85 000	50 412	51.480	4,284 99	4,375 80	90 81 LT		
	3/10/15	104 000	47 467	51 480	4,936 60	5,353.92	417 32 ST		
	3/31/15	89 000	48 332	51 480	4,301 54	4,581 72	280 18 ST		
	4/16/15	80 000	49 985	51 480	3,998 82	4,118 40	119 58 ST		
	4/23/15	90 000	51 201	51 480	4,608 05	4,633 20	25 15 ST		
	Total	453 000			22,376 98	**23,320.44**	101.23 LT 842 23 ST	834 00	3 57
Next Dividend Payable 01/29/16, Asset Class. Equities									
EXXON MOBIL CORP (XOM)	11/3/15	103 000	87 228	77.950	8,984 44	8,028 85	(955 59) ST		
	12/1/15	63 000	81 524	77 950	5,136 01	4,910 85	(225 16) ST		
	Total	166 000			14,120 45	**12,939.70**	(1,180 75) ST	485 00	3 74
Next Dividend Payable 03/2016, Asset Class. Equities									
FACEBOOK INC CL-A (FB)	1/30/14	72 000	61 113	104 660	4,400 11	7,535 52	3,135 41 LT		
	2/13/14	15 000	66 780	104 660	1,001 70	1,569 90	568 20 LT		
	3/4/14	68.000	68 667	104 660	4,669 38	7,116 88	2,447.50 LT		
	2/26/15	26 000	80 442	104 660	2,091 49	2,721.16	629 67 ST		
	4/23/15	52.000	84 596	104 660	4,398 99	5,442 32	1,043 33 ST		
	6/9/15	54 000	80 110	104 660	4,325 95	5,651 64	1,325 69 ST		
	6/29/15	29 000	86 367	104 660	2,504 63	3,035 14	530 51 ST		
	7/20/15	31 000	98 407	104 660	3,050 61	3,244 46	193 85 ST		
	Total	347 000			26,442 86	**36,317.02**	6,151 11 LT 3,723 05 ST	—	—
Asset Class. Equities									
GENERAL ELECTRIC CO (GE)	10/6/15	316 000	27 337	31 150	8,638 62	9,843 40	1,204 78 ST		
	10/16/15	147 000	28 591	31 150	4,202 83	4,579 05	376 22 ST		
	10/19/15	144.000	29 005	31.150	4,176 73	4,485 60	308 87 ST		
	11/19/15	128 000	30 406	31.150	3,891.98	3,987 20	95 22 ST		
	Total	735 000			20,910 16	**22,895.25**	1,985 09 ST	676 00	2 95
Next Dividend Payable 01/25/16, Asset Class· Equities									

Account Detail

Fiduciary Services Basic Securities Account
357-897771-031

JOHN & CAROLYN PETERSON
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
GENERAL MTRS CO (GM)	10/21/15	124 000	35 473	34 010	4,398 66	**4,217.24**	(181 42) ST	179 00	4 24
Next Dividend Payable 03/2016, Asset Class Equities									
GILEAD SCIENCE (GILD)	12/12/12	96 000	38 235	101 190	3,670 56	9,714 24	6,043 68 LT		
	2/26/13	35 000	42 030	101 190	1,471 05	3,541 65	2,070 60 LT		
	Total	131 000			5,141 61	**13,255 89**	8,114 28 LT	225 00	1 69
Next Dividend Payable 03/2016, Asset Class Equities									
HOME DEPOT INC (HD)	10/21/11	25 000	36 330	132 250	908 25	3,306 25	2,398 00 LT		
	11/23/11	59 000	36 594	132 250	2,159 03	7,802 75	5,643 72 LT		
	12/12/12	56 000	63 030	132 250	3,529 68	7,406 00	3,876 32 LT		
	2/26/13	30 000	67 250	132 250	2,017 50	3,967 50	1,950 00 LT		
	Total	170 000			8,614 46	**22,482 50**	13,868 04 LT	401 00	1 78
Next Dividend Payable 03/2016, Asset Class. Equities									
HONEYWELL INTERNATIONAL INC (HON)	1/27/14	12 000	90 359	103 570	1,084 30	1,242 84	158 54 LT		
	2/4/14	49 000	88 989	103 570	4,360 44	5,074 93	714 49 LT		
	4/2/14	35 000	93 895	103 570	3,286.34	3,624 95	338 61 LT		
	8/4/15	38 000	104 670	103 570	3,977 45	3,935 66	(41 79) ST		
	Total	134 000			12,708 53	**13,878.38**	1,211 64 LT (41 79) ST	319 00	2 29
Next Dividend Payable 03/2016, Asset Class Equities									
JPMORGAN CHASE & CO (JPM)	10/31/12	59 000	41 507	66 030	2,448 90	3,895 77	1,446 87 LT		
	12/12/12	99 000	43 090	66 030	4,265 91	6,536 97	2,271 06 LT		
	12/18/12	93 000	43 878	66 030	4,080 64	6,140 79	2,060 15 LT		
	2/26/13	32 000	47 620	66 030	1,523 84	2,112 96	589 12 LT		
	4/30/15	68 000	63 461	66 030	4,315 36	4,490 04	174 68 ST		
	6/10/15	46 000	68 343	66 030	3,143 78	3,037 38	(106 40) ST		
	Total	397 000			19,778 43	**26,213 91**	6,367 20 LT 68 28 ST	699 00	2 66
Next Dividend Payable 01/2016, Asset Class. Equities									
MARSH & MCLENNAN COS INC (MMC)	7/27/12	74 000	33 381	55 450	2,470 22	4,103 30	1,633 08 LT		
	8/7/12	76 000	33 941	55 450	2,579 49	4,214 20	1,634 71 LT		
	12/12/12	33 000	34 840	55 450	1,149 72	1,829 85	680 13 LT		
	2/26/13	16 000	35 860	55 450	573 76	887 20	313 44 LT		
	Total	199 000			6,773 19	**11,034 55**	4,261 36 LT	247 00	2 23
Next Dividend Payable 02/2016, Asset Class Equities									

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Account Detail

Fiduciary Services Basic Securities Account
357-897771-031

JOHN & CAROLYN PETERSON
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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
MASTERCARD INC CL A (MA)	9/20/11	12 000	35 859	97 360	430 30	1,168 32	738 02 LT		
	9/23/11	80.000	33 672	97 360	2,693.79	7,788 80	5,095 01 LT		
	12/12/12	50 000	48 710	97 360	2,435 50	4,868 00	2,432 50 LT		
	2/26/13	20 000	51 028	97 360	1,020 56	1,947 20	926 64 LT		
	7/8/15	46 000	92 866	97 360	4,271 85	4,478 56	206 71 ST		
	Total	208 000			10,852 00	**20,250.88**	9,192 17 LT 206 71 ST	158.00	0 78
Next Dividend Payable 02/2016; Asset Class: Equities									
MEDTRONIC PLC SHS (MDT)	1/27/15	122 000	76 950	76 920	9,387 90	**9,384.24**	(3 66) ST	185 00	1 97
Next Dividend Payable 01/15/16; Asset Class. Equities									
MERCK & CO INC NEW COM (MRK)	5/9/14	76 000	55 267	52 820	4,200 31	4,014 32	(185 99) LT		
	6/5/14	71 000	58 369	52 820	4,144 23	3,750 22	(394 01) LT		
	6/23/14	72 000	58 678	52 820	4,224 79	3,803 04	(421 75) LT		
	Total	219 000			12,569 33	**11,567.58**	(1,001 75) LT	403 00	3 48
Next Dividend Payable 01/08/16; Asset Class. Equities									
MICROSOFT CORP (MSFT)	3/24/14	19 000	40 000	55 480	760 00	1,054 12	294 12 LT		
	3/31/14	101 000	41.425	55 480	4,183 93	5,603.48	1,419.55 LT		
	7/23/14	89 000	45 040	55 480	4,008 53	4,937 72	929 19 LT		
	7/20/15	80 000	46 986	55 480	3,758 91	4,438 40	679 49 ST		
	8/10/15	91 000	47 316	55.480	4,305 73	5,048 68	742 95 ST		
	10/27/15	86 000	54 020	55 480	4,645 75	4,771.28	125.53 ST		
	12/1/15	80 000	54 774	55 480	4,381 89	4,438 40	56 51 ST		
	Total	546 000			26,044 74	**30,292.08**	2,642 86 LT 1,604.48 ST	786 00	2 59
Next Dividend Payable 03/2016; Asset Class: Equities									
MORGAN STANLEY (MS)	4/30/14	52 000	30 884	31 810	1,605 97	1,654 12	48 15 LT		
	8/25/14	118 000	34 423	31 810	4,061 91	3,753.58	(308 33) LT		
	9/15/14	130 000	35 199	31 810	4,575 86	4,135 30	(440.56) LT		
	Total	300 000			10,243 74	**9,543.00**	(700.74) LT	180 00	1 88
Next Dividend Payable 02/2016; Asset Class· Equities									
NIKE INC B (NKE)	8/6/15	78 000	57.520	62.500	4,486 56	4,875 00	388.44 ST		
	9/18/15	74 000	57 432	62 500	4,249 94	4,625 00	375 06 ST		
	Total	152 000			8,736 50	**9,500.00**	763.50 ST	97 00	1 02
Next Dividend Payable 01/04/16; Asset Class Equities									
PEPSICO INC NC (PEP)	12/10/15	90 000	98 990	99 920	8,909.06	**8,992.80**	83 74 ST	253 00	2 81
Next Dividend Payable 01/07/16; Asset Class· Equities									

Account Detail

Fiduciary Services Basic Securities Account
357-897771-031

JOHN & CAROLYN PETERSON
CHAR FD. (ATALANTA SOSNOFF).

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
PFIZER INC (PFE)	7/8/15	266 000	33 550	32 280	8,924 33	8,586 48	(337 85) ST		
	8/6/15	65 000	35 184	32 280	2,286 94	2,098 20	(188 74) ST		
	8/24/15	147.000	32 708	32 280	4,808 02	4,745 16	(62 86) ST		
	10/2/15	43 000	32 520	32 280	1,398 36	1,388 04	(10 32) ST		
	Total	521 000			17,417 65	**16,817.88**	(599 77) ST	625 00	3 71
Next Dividend Payable 03/2016, Asset Class Equities									
RAYTHEON CO (NEW) (RTN)	9/28/15	79 000	106 005	124 530	8,374 41	9,837 87	1,463 46 ST		
	10/19/15	38 000	112 743	124 530	4,284 24	4,732 14	447 90 ST		
	Total	117 000			12,658 65	**14,570 01**	1,911 36 ST	314 00	2 15
Next Dividend Payable 02/2016; Asset Class Equities									
REYNOLDS AMERICAN INC (RAI)	12/10/15	199 000	45 021	46 150	8.959 24	**9,183.85**	224 61 ST	287 00	3 12
Next Dividend Payable 01/04/16, Asset Class: Equities									
ROYAL CARIBBEAN CRUISES LTD (RCL)	4/15/15	56 000	80 141	101 210	4,487 92	**5,667 76**	1,179 84 ST	84 00	1 48
Next Dividend Payable 01/05/16; Asset Class Equities									
SALESFORCE.COM,INC. (CRM)	8/31/15	61 000	70 075	78 400	4,274 56	4,782 40	507 84 ST		
	9/10/15	62 000	69 644	78 400	4,317 91	4,860 80	542 89 ST		
	9/22/15	34 000	71 293	78 400	2,423 95	2,665 60	241 65 ST		
	Total	157 000			11,016 42	**12,308 80**	1,292 38 ST	—	—
Asset Class Equities									
SCHLUMBERGER LTD (SLB)	7/19/13	8 000	82 569	69 750	660 55	558 00	(102 55) LT		
	3/4/14	48 000	92 309	69 750	4,430 84	3,348 00	(1,082 84) LT		
	3/21/14	47 000	93 065	69 750	4,374 06	3,278 25	(1,095 81) LT		
	4/14/15	49 000	89 038	69 750	4,362 84	3,417 75	(945 09) ST		
	4/30/15	45 000	94 265	69 750	4,241 93	3,138 75	(1,103 18) ST		
	8/31/15	59 000	77 201	69 750	4,554 83	4,115 25	(439 58) ST		
	Total	256 000			22,625 05	**17,856 00**	(2,281 20) LT (2,487 85) ST	512 00	2 86
Next Dividend Payable 01/08/16, Asset Class· Equities									
STARBUCKS CORP WASHINGTON (SBUX)	8/6/15	78 000	57 210	60 030	4,462 36	4,682 34	219 98 ST		
	8/26/15	86 000	51 538	60 030	4,432 25	5,162 58	730 33 ST		
	Total	164 000			8,894 61	**9,844 92**	950 31 ST	131 00	1 33
Next Dividend Payable 02/2016, Asset Class· Equities									
T-MOBILE US INC COM (TMUS)	10/22/14	33 000	27 203	39 120	897 69	1,290 96	393 27 LT		
	10/28/14	151 000	28 595	39 120	4,317 86	5,907 12	1,589 26 LT		
	4/28/15	131 000	34 485	39 120	4,517 55	5,124 72	607 17 ST		
	10/19/15	42 000	41 350	39 120	1,736 71	1,643 04	(93 67) ST		

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Account Detail

Fiduciary Services Basic Securities Account
357-897771-031

JOHN & CAROLYN PETERSON
CHAR FD. (ATALANTA SOSNOFF).

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	357 000			11,469 81	13,965.84	1,982 53 LT 513 50 ST	—	—
Asset Class· Equities									
UNITED PARCEL SER INC CL-B (UPS)	8/11/15	42 000	102 890	96 230	4,321 39	4,041.66	(279 73) ST		
	8/27/15	44 000	98 918	96 230	4,352 37	4,234.12	(118 25) ST		
	Total	86 000			8,673 76	8,275.78	(397 98) ST	251 00	3 03
Next Dividend Payable 03/2016; Asset Class: Equities									
UNITEDHEALTH GP INC (UNH)	11/24/14	42 000	96 863	117 640	4,068 24	4,940 88	872 64 LT		
	12/1/14	43 000	98 961	117 640	4,255 32	5,058 52	803 20 LT		
	1/28/15	26 000	108 911	117 640	2,831 68	3,058 64	226 96 ST		
	Total	111 000			11,155 24	13,058 04	1,675 84 LT 226.96 ST	222 00	1 70
Next Dividend Payable 03/2016; Asset Class: Equities									
VISA INC CL A (V)	8/26/15	62 000	68 364	77 550	4,238 56	4,808 10	569 54 ST		
	8/26/15	59 000	70 268	77 550	4,145 82	4,575 45	429 63 ST		
	9/23/15	63 000	70 277	77 550	4,427 43	4,885 65	458 22 ST		
	10/19/15	25 000	77 104	77 550	1,927.59	1,938 75	11 16 ST		
	11/2/15	66 000	75 250	77 550	4,966 50	5,118 30	151 80 ST		
	Total	275 000			19,705 90	21,326.25	1,620 35 ST	154 00	0 72
Next Dividend Payable 03/2016; Asset Class. Equities									
WALT DISNEY CO HLDG CO (DIS)	12/12/12	45 000	49 880	105 080	2,244 60	4,728 60	2,484 00 LT		
	2/26/13	34 000	53 870	105 080	1,831 58	3,572 72	1,741.14 LT		
	Total	79 000			4,076 18	8,301.32	4,225.14 LT	112 00	1 34
Next Dividend Payable 01/11/16; Asset Class: Equities									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	57 80%	$724,920.03	$855,613.71	$98,707.54 LT $31,986.12 ST	$13,161.00	1.54%

Security Mark at Right

Fiduciary Services Basic Securities Account
357-897771-031

JOHN & CAROLYN PETERSON
CHAR FD. (ATALANTA SOSNOFF).

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Account Detail

EXCHANGE-TRADED & CLOSED-END FUNDS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ISHARES INTERM CREDIT BD ETF (CIU)	5/28/13	940 000	$110 635	$107 280	$103,996 90	$100,843 20	$(3,153 70) LT		
	9/25/13	41 000	107 998	107 280	4,427 92	4,398 48	(29 44) LT		
	12/5/13	928 000	108 117	107 280	100,332 76	99,555 84	(776 92) LT		
	8/27/15	219 000	108 298	107 280	23,717 17	23,494 32	(222 85) ST		
	Total	2,128 000			232,474 75	**228,291 84**	(3,960 06) LT (222 85) ST	5,739 00	2 51

Next Dividend Payable 01/2016, Asset Class FI & Pref

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
EXCHANGE-TRADED & CLOSED-END FUNDS	15 42%	$232,474 75	$228,291.84	$(3,960.06) LT (222.85) ST	$5,739 00	2 51%

GOVERNMENT SECURITIES

TREASURY SECURITIES

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
UNITED STATES TREASURY NOTE	12/4/13	163,000 000	$99 211	$99 859	$161,714 37			$2,038 00	1 25
Coupon Rate 1 250%, Matures 10/31/2018, CUSIP 912828WD8			$99 211		$161,714 37	**$162,770.17**	$1,055 80 LT	$345 15	

Int. Semi-Annually Apr/Oct 30; Yield to Maturity 1.301%, Moody AAA; Issued 10/31/13, Asset Class· FI & Pref

FEDERAL AGENCIES

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
FED NATL MTG ASSN	8/28/14	177,000 000	$98 683	$99 344	$174,668 91			$1,549 00	0 88
Coupon Rate 0 875%; Matures 02/08/2018, CUSIP 3135G0TG8			$98 683		$174,668 91	**$175,838.88**	$1,169 97 LT	$615 19	

Int Semi-Annually Feb/Aug 08; Yield to Maturity 1.192%, Moody AAA S&P AA+, Issued 01/07/13, Asset Class: FI & Pref

020586 MSGDD303 028766

Account Detail

Fiduciary Services Basic Securities Account
357-897771-031

JOHN & CAROLYN PETERSON
CHAR FD. (ATALANTA SOSNOFF).

INTERESTED PARTY COPY

	Percentage of Holdings	Face Value	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
GOVERNMENT SECURITIES		340,000.000	$336,383.28 $336,383.28	$338,609.05	$2,225.77 LT	$3,587.00 $960.34	1.06%
TOTAL GOVERNMENT SECURITIES (includes accrued interest)	22.94%			$339,569.39			

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
TOTAL MARKET VALUE		$1,293,778.06	$1,479,317.45	$96,973.25 LT $31,763.27 ST	$22,498 00 $960 34	1.52%
TOTAL VALUE (includes accrued interest)	100.00%	$1,350,581.–	$1,480,277.79			

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

ALLOCATION OF ASSETS (^includes accrued interest)

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Annuities & Insurance	Structured Investments	Other
Cash, BDP, MMFs	$56,802 85	—	—	—	—	—	—
Stocks	—	$836,029.81	—	$19,583 90	—	—	—
ETFs & CEFs	—	—	$228,291 84	—	—	—	—
Government Securities ^	—	—	339,569 39	—	—	—	—
TOTAL ALLOCATION OF ASSETS ^	$56,802.85	$836,029.81	$567,861.23	$19,583.90	—	—	—

ACTIVITY

INVESTMENT RELATED ACTIVITY

PURCHASES, DIVIDEND REINVESTMENTS, SALES AND REDEMPTIONS

Trade Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
12/1	12/4	Sold	LAS VEGAS SANDS CORPORATION	ACTED AS AGENT	100.000	$44.0749	$4,407.40
12/1	12/4	Bought	EXXON MOBIL CORP	ACTED AS AGENT	63.000	81.5240	(5,136.01)
12/1	12/4	Bought	MICROSOFT CORP	ACTED AS AGENT	80.000	54.7736	(4,381.89)
12/2	12/7	Sold	KINDER MORGAN INCORP	ACTED AS AGENT	402.000	20.8504	8,381.70
12/2	12/7	Sold	KINDER MORGAN INCORP	ACTED AS AGENT	50 000	21 6701	1,083 49

Security Mark at Right

Account Detail

Fiduciary Services Basic Securities Account
357-897776-031

JOHN & CAROLYN PETERSON
CHAR. FD (CAMBIAR)

INTERESTED PARTY COPY

Investment Objectives †: Capital Appreciation, Income

Investment Advisory Account
Manager: CAMBIAR INVESTORS, LLC

† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

HOLDINGS

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months, is reflected only through maturity date Actual income or yield may be lower or higher than the estimates. Current yield reflects the income generated by an investment, and does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class. Struct Inv," may appear in various statement product categories When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g , Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions

Description	Market Value	7-Day Current Yield %	Est Ann Income	APY %
MORGAN STANLEY PRIVATE BANK NA #	$41,130.79	—	$8 00	0 020

	Percentage of Holdings	Market Value	Est Ann Income
CASH, BDP, AND MMFs	5 90%	$41,130.79	$8 00

 # Bank Deposits are held at Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC and each a national bank and FDIC member

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ACCOR S A SPONS ADR NEW (ACCYY)	4/13/15	1,357 000	$10 539	$8 700	$14,301 56	$11,805.90	$(2,495.66) ST	$204 00	1 72
Asset Class: Equities									
ADIDAS AG (ADDYY)	4/13/15	80 000	40 591	48 510	3,247 30	3,880 80	633 50 ST		
	5/4/15	1 000	42 390	48 510	42 39	48.51	6.12 ST		
	6/10/15	200 000	39 721	48.510	7,944 20	9,702 00	1,757 80 ST		
	Total	281 000			11,233 89	13,631.31	2,397 42 ST	169 00	1 23
Asset Class: Equities									

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Account Detail

Fiduciary Services Basic Securities Account
357-897776-031

JOHN & CAROLYN PETERSON
CHAR. FD (CAMBIAR)

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AEGON NV ADR (AEG)	4/13/15	1,795 000	8 064	5 670	14,475 06	10,177 65	(4,297 41) ST		
	8/20/15	405 000	6 454	5 670	2,613 67	2,296 35	(317 32) ST		
	Total	2,200 000			17,088.73	**12,474.00**	(4,614 73) ST	502.00	4.02
Asset Class· Equities									
ASTRAZENECA PLC ADS (AZN)	6/19/15	436 000	33 419	33 950	14,570 77	14,802 20	231 43 ST		
	8/7/15	217 000	33 690	33 950	7,310 75	7,367 15	56 40 ST		
	Total	653 000			21,881 52	**22,169.35**	287 83 ST	901 00	4 06
Next Dividend Payable 03/2016, Asset Class Equities									
ATOS ORIGIN SA ADR (AEXAY)	4/23/15	245 000	14 687	16.900	3,598 22	4,140 50	542 28 ST		
	4/24/15	195 000	15 375	16 900	2,998 14	3,295 50	297.36 ST		
	4/28/15	141 000	15 565	16 900	2,194 69	2,382 90	188 21 ST		
	4/29/15	352 000	15 739	16 900	5,540 05	5,948 80	408 75 ST		
	Total	933 000			14,331.10	**15,767.70**	1,436 60 ST	115 00	0 72
Asset Class· Equities									
BANCO BILBAO VIZ ARG SA ADS (BBVA)	4/13/15	1,428 000	10 229	7 330	14,607 01	10,467 24	(4,139 77) ST		
	5/4/15	18 000	10 055	7 330	180 99	131 94	(49 05) ST		
	Total	1,446 000			14,788 00	**10,599.18**	(4,188 82) ST	467 00	4 40
Asset Class. Equities									
BARCLAYS PLC ADR (BCS)	9/17/12	403.000	13 625	12 960	5,490 73	5,222.88	(267.85) LT		
	12/12/12	94 000	14 925	12 960	1,402 94	1,218 24	(184 70) LT		
	2/26/13	115 000	16 659	12 960	1,915 81	1,490 40	(425 41) LT		
	5/2/13	174 000	16 584	12.960	2,885 64	2,255 04	(630 60) LT		
	9/24/13	196 000	17 062	12 960	3,344 16	2,540.16	(804.00) LT		
	Total	982.000			15,039 28	**12,726.72**	(2,312 56) LT	375 00	2 94
Asset Class· Equities									
CHINA MOBILE LTD (CHL)	11/2/15	233 000	59 782	56 330	13,929 23	**13,124.89**	(804 34) ST	393 00	2 99
Asset Class: Equities									
DENSO CORP LTD ADR (DNZOY)	4/13/15	622 000	23 245	23 910	14,458 64	**14,872.02**	413 38 ST	270 00	1 81
Asset Class Equities									
DEUTSCHE POST AG SPONSORED ADR (DPSGY)	4/13/15	436 000	32 655	27 780	14,237 58	12,112 08	(2,125 50) ST		
	5/4/15	2 000	33 420	27 780	66 84	55 56	(11.28) ST		
	Total	438.000			14,304 42	**12,167.64**	(2,136 78) ST	399 00	3 27
Asset Class: Equities									

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Account Detail

Fiduciary Services Basic Securities Account
357-897776-031

JOHN & CAROLYN PETERSON
CHAR. FD (CAMBIAR)

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
EMBRAER S A ADR (ERJ)	4/13/15	382 000	31 532	29 540	12,045 30	11,284 28	(761.02) ST		
	5/4/15	18 000	30 609	29 540	550 96	531 72	(19 24) ST		
	8/20/15	66 000	26 286	29 540	1,734 90	1,949 64	214 74 ST		
	Total	466 000			14,331 16	**13,765 64**	(565 52) ST	60 00	0 43
Next Dividend Payable 01/21/16, Asset Class Equities									
ERICSSON LM TEL ADR CL B NEW (ERIC)	6/9/15	1,292 000	11 250	9 610	14,535 13	**12,416 12**	(2,119 01) ST	328 00	2 64
Asset Class Equities									
GEMALTO NV SPON ADR (GTOMY)	10/29/15	447 000	31 033	30 210	13,871 80	**13,503.87**	(367 93) ST	83 00	0 61
Asset Class: Equities									
GLOBAL LOGISTIC PPTYS UNS ADR (GBTZY)	4/13/15	729 000	19 650	15.105	14,324.85	11,011 54	(3,313 31) ST		
	10/19/15	110 000	16 670	15 105	1,833 70	1,661 54	(172 16) ST		
	Total	839 000			16,158 55	**12,673.09**	(3,485 47) ST	289 00	2 28
Asset Class Equities									
HSBC HOLDINGS PLC SPON ADR NEW (HSBC)	1/27/12	120 000	42 512	39 470	5,101 47	4,736 40	(365 07) LT		
	12/12/12	37 000	51 850	39 470	1,918 45	1,460 39	(458 06) LT		
	2/26/13	50 000	54 200	39 470	2,710 00	1,973 50	(736 50) LT		
	10/18/13	60 000	54 944	39 470	3,296 63	2,368 20	(928 43) LT		
	4/13/15	56 000	45 324	39 470	2,538 16	2,210 32	(327 84) ST		
	Total	323 000			15,564 71	**12,748 81**	(2,488 06) LT (327 84) ST	808 00	6 33
Asset Class: Equities									
INFINEON TECHNOLOGIES AG (IFNNY)	4/13/15	684 000	12 674	14 650	8,669 22	10,020 60	1,351 38 ST		
	8/20/15	262 000	10 387	14 650	2,721 34	3,838 30	1,116 96 ST		
	Total	946 000			11,390 56	**13,858.90**	2,468 34 ST	180 00	1 29
Asset Class: Equities									
JULIUS BAER GROUP LTD UN ADR (JBAXY)	4/13/15	1,350 000	10 560	9 700	14,256 00	13,095 00	(1,161 00) ST		
	5/4/15	35 000	10 616	9 700	371.56	339 50	(32 06) ST		
	Total	1,385 000			14,627 56	**13,434.50**	(1,193 06) ST	—	—
Asset Class Equities									
KONINKLIJKE PHIL EL SP ADR NEW (PHG)	4/13/15	496 000	29 143	25 450	14,454 78	12,623 20	(1,831 58) ST		
	5/4/15	12 000	28 500	25 450	342 00	305 40	(36 60) ST		
	6/10/15	16 000	27 660	25 450	442 56	407 20	(35 36) ST		
	8/20/15	11 000	26 671	25 450	293 38	279 95	(13 43) ST		
	Total	535 000			15,532 72	**13,615.75**	(1,916.97) ST	406 00	2 98
Asset Class. Equities									

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Account Detail

Fiduciary Services Basic Securities Account
357-897776-031

JOHN & CAROLYN PETERSON
CHAR. FD (CAMBIAR)

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
LIXIL GROUP CORP ADR (JSGRY)	8/31/15	100 000	41 102	44 670	4,110 22	4,467.00	356 78 ST		
	9/1/15	168 000	40 650	44 670	6,829 13	7,504 56	675 43 ST		
	9/4/15	56 000	41 881	44 670	2,345 32	2,501.52	156.20 ST		
	Total	324 000			13,284 67	**14,473.08**	1,188.41 ST	227 00	1 56
Asset Class: Equities									
MARKIT LTD (MRKT)	5/21/15	142 000	26 338	30 170	3,740 05	4,284 14	544 09 ST		
	5/22/15	145 000	26 312	30 170	3,815 25	4,374 65	559 40 ST		
	5/26/15	138 000	26 096	30.170	3,601 30	4,163 46	562 16 ST		
	5/27/15	137 000	26 345	30 170	3,609 22	4,133 29	524 07 ST		
	Total	562 000			14,765 82	**16,955.54**	2,189 72 ST	—	—
Asset Class: Equities									
MITSUBISHI EST ADR (MITEY)	4/13/15	595 000	24 110	20 755	14,345 45	12,349 22	(1,996 23) ST		
	5/4/15	33 000	23 278	20 755	768 17	684 91	(83 26) ST		
	Total	628 000			15,113 62	**13,034 14**	(2,079 49) ST	51 00	0 39
Asset Class: Equities									
NATL GRID TRANSCO PLC ADS (NGG)	9/1/15	204 000	65 247	69 540	13,310 43	**14,186 16**	875 73 ST	671 00	4 72
Asset Class: Equities									
NOVARTIS AG ADR (NVS)	4/13/15	139 000	102 934	86 040	14,307 88	11,959.56	(2,348 32) ST		
	5/4/15	2 000	103 595	86 040	207 19	172 08	(35 11) ST		
	Total	141 000			14,515 07	**12,131.64**	(2,383 43) ST	319 00	2 62
Asset Class: Equities									
OTSUKA HOLDINGS CO LTD UNS ADR (OTSKY)	4/13/15	889 000	15 980	17 800	14,206 22	**15,824.20**	1,617 98 ST	253 00	1 59
Asset Class: Equities									
ROCHE HOLDINGS ADR (RHHBY)	6/12/09	125 000	17 091	34 470	2,136 37	4,308 75	2,172 38 LT		
	1/22/10	30 000	22 068	34 470	662 03	1,034 10	372 07 LT		
	9/24/10	180 000	17 056	34 470	3,070 14	6,204 60	3,134 46 LT		
	12/12/12	104 000	25 255	34 470	2,626 52	3,584 88	958 36 LT		
	2/26/13	50 000	28 440	34 470	1,422 00	1,723 50	301 50 LT		
	4/13/15	120 000	35.420	34.470	4,250.40	4,136 40	(114 00) ST		
	Total	609 000			14,167.46	**20,992.23**	6,938.77 LT (114.00) ST	503.00	2 39
Asset Class: Equities									
ROYAL DSM NV SPONSORED ADR (RDSMY)	3/6/14	544 000	16.583	12 615	9,020 99	6,862 56	(2,158 43) LT		
	4/13/15	475 000	14.240	12.615	6,764 00	5,992.12	(771.88) ST		
	4/28/15	17 000	14 077	12 615	239 31	214 45	(24 86) ST		

Account Detail

Fiduciary Services Basic Securities Account
357-897776-031

JOHN & CAROLYN PETERSON
CHAR. FD (CAMBIAR)

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	1,036 000			16,024 30	**13,069.14**	(2,158 43) LT	402 00	3 07
							(796.74) ST		
Asset Class. Equities									
ROYAL DUTCH SHELL PLC (RDS'A)	11/6/15	400 000	51 834	45 790	20,733 60	**18,316.00**	(2,417 60) ST	1,278 00	6 97
Asset Class· Equities									
ROYAL KPN NV SPONS ADR (KKPNY)	4/13/15	4,010 000	3 680	3 830	14,756 80	**15,358.30**	601 50 ST	509 00	3 31
Asset Class· Equities									
SECOM LTD ADR (SOMLY)	4/13/15	805 000	17 960	16 910	14,457 80	**13,612.55**	(845 25) ST	212 00	1 55
Asset Class. Equities									
SEIKO EPSON CORP SUWA UNSP ADR (SEKEY)	8/31/15	341 000	8 058	7 720	2,747 81	2,632 52	(115 29) ST		
	9/1/15	932 000	7 972	7 720	7,430 28	7,195 04	(235 24) ST		
	9/3/15	443 000	7 861	7 720	3,482 47	3,419 96	(62 51) ST		
	Total	1,716 000			13,660 56	**13,247.52**	(413 04) ST	549 00	4 14
Asset Class: Equities									
SEVEN & I HLDGS CO LTD ADR (SVNDY)	4/13/15	648 000	22 421	22 850	14,528 55	**14,806.80**	278 25 ST	138 00	0 93
Asset Class Equities									
SKY PLC (SKYAY)	4/13/15	346 000	61 820	65 880	21,389.72	**22,794 48**	1,404 76 ST	687 00	3 01
Asset Class· Equities									
SMITH & NEPHEW PLC ADR (SNN)	12/8/10	132 000	20 615	35 600	2,721 20	4,699 20	1,978 00 LT		
	7/25/12	100 000	20 339	35 600	2,033 90	3,560 00	1,526 10 LT		
	12/12/12	85 000	21 860	35 600	1,858 10	3,026 00	1,167 90 LT		
	2/26/13	98 000	21 060	35 600	2,063 88	3,488 80	1,424 92 LT		
	5/4/15	3 000	34 963	35 600	104 89	106.80	1 91 ST		
	Total	418 000			8,781 97	**14,880 80**	6,096 92 LT	334 00	2 24
							1 91 ST		
Asset Class Equities									
SOCIETE GENERALE SP ADR (SCGLY)	4/13/15	1,473 000	9 904	9 220	14,588 83	**13,581.06**	(1,007 77) ST	295 00	2 17
Asset Class Equities									
SUMITOMO MITSUI FINL GROUP INC (SMFG)	4/13/15	2,508 000	7 957	7 590	19,955 15	**19,035 72**	(919 43) ST	542 00	2 84
Asset Class· Equities									
SUNTORY BEVERAGE AND FOOD LTD (STBFY)	4/13/15	663.000	21 890	22 030	14,513 14	**14,605 89**	92 75 ST	122 00	0 83
Asset Class Equities									
SYNGENTA AG ADR (SYT)	4/13/15	166 000	70 388	78 730	11,684 49	13,069 18	1,384 69 ST		
	10/26/15	145 000	68 011	78 730	9,861 58	11,415 85	1,554 27 ST		

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Account Detail

Fiduciary Services Basic Securities Account
357-897776-031

JOHN & CAROLYN PETERSON
CHAR. FD (CAMBIAR)

INTERESTED PARTY COPY

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Asset Class: Equities	Total	311 000			21,546 07	**24,485.03**	2,938.96 ST	613 00	2 50
TORAY INDS ADR (TRYIY)	4/13/15	860 000	16 736	18 640	14,392 96	**16,030 40**	1,637 44 ST	129 00	0 80
Asset Class: Equities									
TOTAL S A SPON ADR (TOT)	11/16/15	283 000	48 741	44 950	13,793 70	**12,720.85**	(1,072 85) ST	644 00	5 06
Asset Class: Equities									
UNIBAIL-RODAMCO SE ADR (UNRDY)	4/13/15	526 000	27 041	25 445	14,223 45	13,384 07	(839 38) ST		
	5/4/15	2 000	27 905	25 445	55 81	50 89	(4 92) ST		
Asset Class: Equities	Total	528 000			14,279 26	**13,434.96**	(844 30) ST	336 00	2 50
VIVENDI SA UNSPON ADR (VIVHY)	4/13/15	562 000	25 691	21 350	14,438 49	**11,998.70**	(2,439 79) ST	985 00	8 20
Asset Class: Equities									
VODAFONE GROUP PLC (VOD)	4/13/15	645 000	33 562	32 260	21,647 23	**20,807 70**	(839 53) ST	1,104 00	5 30
Next Dividend Payable 02/03/16; Asset Class: Equities									
WILLIAM HILL PLC ADR-ORD SHS (WIMHY)	4/13/15	626 000	22 790	23 545	14,266 54	14,739 17	472 63 ST		
	4/28/15	28 000	22 564	23 545	631 80	659.26	27.46 ST		
	5/4/15	4 000	22 203	23 545	88 81	94 18	5 37 ST		
Asset Class: Equities	Total	658 000			14,987 15	**15,492 61**	505 46 ST	436 00	2 81
WPP PLC SPON NEW ADR (WPPGY)	4/13/15	122 000	117 251	114 740	14,304 60	13,998 28	(306 32) ST		
	5/4/15	2 000	117 145	114 740	234 29	229 48	(4 81) ST		
Next Dividend Payable 05/2016; Asset Class: Equities	Total	124 000			14,538 89	**14,227.76**	(311 13) ST	407 00	2 86

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	94.10%	$669,746.02	$655,458.65	$6,076.64 LT $(20,364.04) ST	$17,695.00	2.70%

Security Mark at Right.

Account Detail

Fiduciary Services Basic Securities Account 357-897776-031

JOHN & CAROLYN PETERSON
CHAR. FD (CAMBIAR)

INTERESTED PARTY COPY

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL MARKET VALUE		$669,746 02	$696,589 44	$6,076 64 LT $(20,364.04) ST	$17,703.00 $0.00	2 54%
TOTAL VALUE (includes accrued interest)	100.00%	$710,877.	$696,589.44			

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included

ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Annuities & Insurance	Structured Investments	Other
Cash, BDP, MMFs	$41,130 79	—	—	—	—	—	—
Stocks	—	$655,458 65	—	—	—	—	—
TOTAL ALLOCATION OF ASSETS	$41,130.79	$655,458.65	—	—	—	—	—

ACTIVITY

INVESTMENT RELATED ACTIVITY

PURCHASES, DIVIDEND REINVESTMENTS, SALES AND REDEMPTIONS

Trade Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
12/3	12/8	Sold	INFINEON TECHNOLOGIES AG	ACTED AS AGENT	428.000	$14.6269	$6,260.19
12/4	12/8	Sold	ADIDAS AG	ACTED AS AGENT	153.000	49 2756	7,539 03
TOTAL PURCHASES, DIVIDEND REINVESTMENTS, SALES AND REDEMPTIONS							$13,799.22
TOTAL SALES AND REDEMPTIONS							$13,799 22

Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.

TAXABLE INCOME AND DISTRIBUTIONS

Date	Activity Type	Description	Comments	Credits/(Debits)
12/3	Qualified Dividend	HSBC HOLDINGS PLC SPON ADR NEW		$161 50
12/10	Qualified Dividend	SUMITOMO MITSUI FINL GROUP INC		258 36
12/10	Qualified Dividend	DENSO CORP LTD ADR		128.58
12/10	Dividend	SUMITOMO MITSUI FINL GROUP INC ADJ GROSS DIV AMOUNT 46.72 FOREIGN TAX PAID IS 46 72		0 00

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Account Detail

Investment Management Services Basic Securities Acct. 357-897779-031

JOHN & CAROLYN PETERSON CH. FD (CONNORS)

INTERESTED PARTY COPY

Investment Objectives †: Income, Capital Appreciation

Investment Advisory Account
Manager: CONNORS INVESTOR SERVICES

† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

HOLDINGS

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Current yield reflects the income generated by an investment, and does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class: Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Market Value	7-Day Current Yield %	Est Ann Income	APY %
MORGAN STANLEY PRIVATE BANK NA #	$79,716.42	—	$16 00	0 020

	Percentage of Holdings	Market Value	Est Ann Income
CASH, BDP, AND MMFs	3 48%	**$79,716.42**	**$16.00**

 # Bank Deposits are held at Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC and each a national bank and FDIC member.

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ILL TOOL WORKS INC (ITW) *Next Dividend Payable 01/07/16, Asset Class: Equities*	7/23/03	24,000 000	$33.435	$92 680	$802,440 00	**$2,224,320.00**	$1,421,880 00 LT 1	$52,800 00	2 37

OPTIONS

Security Description	Trade Date	Number of Contracts	Unit Cost	Contract Price	Total Cost	Market Value	Unrealized Gain/(Loss)
CALL ILL TOOL WKS AT 95 000 EXPIRES 01/15/2016 (ITW 160115C00095000) *Short Position, Asset Class: Equities*	10/29/15	(50 000)	$1 375	$0 300	$(6,873 62)	**$(1,500 00)**	$5,373 62 ST

Account Detail

Investment Management Services Basic Securities Acct.
357-897779-031

JOHN & CAROLYN PETERSON CH. FD
(CONNORS)

INTERESTED PARTY COPY

Security Description	Trade Date	Number of Contracts	Unit Cost	Contract Price	Total Cost	Market Value	Unrealized Gain/(Loss)
CALL ILL TOOL WKS AT 95 000 EXPIRES 02/19/2016 (ITW 160219C00095000) *Short Position; Asset Class: Equities*	12/21/15	(75 000)	1.170	1 400	(8,774 83)	(10,500.00)	(1,725 17) ST
CALL ILL TOOL WKS AT 97 500 EXPIRES 03/18/2016 (ITW 160318C00097500) *Short Position; Asset Class: Equities*	12/2/15	(25 000)	1 929	1 100	(4,821 91)	(2,750 00)	2,071 91 ST
OPTIONS					$(20,470.36)	$(14,750.00)	$5,720.36 ST

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	96 52%	$781,969 64	$2,209,570 00	$1,421,880 00 LT $5,720.36 ST	$52,800.00	2.39%
Total Stocks (Long)			$2,224,320 00			
Total Stocks (Short)			$(14,750 00)			

For listed options that have a cost basis without a corresponding market value, an unrealized gain or loss is included for informational purposes. Where market value information is not available, for purposes of calculating the unrealized gain or loss, we assume that market value is $0 In such cases, the unrealized gain or loss may not provide an accurate reflection of the true unrealized gain or loss For additional information regarding Gain/(Loss) and Pricing, refer to the Expanded Disclosures

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL MARKET VALUE		$781,969.64	$2,289,286.42	$1,421,880.00 LT $5,720 36 ST	$52,816 00 $0.00	2.31%
TOTAL VALUE (includes accrued interest)	100 00%	+ 861,686.–	$2,289,286 42			

1 - This information reflects your requested adjustments to the transaction details.
Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Annuities & Insurance	Structured Investments	Other
Cash, BDP, MMFs	$79,716 42	—	—	—	—	—	—
Stocks	—	$2,209,570 00	—	—	—	—	—
TOTAL ALLOCATION OF ASSETS	$79,716.42	$2,209,570.00	—	—	—	—	—

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Account Detail

Active Assets Account
357-897782-031

JOHN & CAROLYN PETERSON
CHAR. FD (CHECKING)

INTERESTED PARTY COPY

Investment Objectives †: Capital Appreciation, Income, Speculation

Brokerage Account

† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

HOLDINGS

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Current yield reflects the income generated by an investment, and does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class. Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions

Description	Market Value	7-Day Current Yield %	Est Ann Income	APY %
MS ACTIVE ASSETS MONEY TRUST	$34,468.67	0 050	$17 23	—

	Percentage of Holdings		Market Value	Est Ann Income
CASH, BDP, AND MMFs	1.74%	Less outstanding check	$34,468.67 <20,000.00> 14,468.67	$17.23

Money market funds seek to maintain a share price of $1 00, therefore the dollar amounts listed equal the number of shares. Additional information concerning these transactions is available upon request For more information about the pricing of Money Market Funds, please see the Expanded Disclosures. The money market funds reflected above include the balances in your automatic sweep feature, if any, and may include other money market funds that have been purchased in your account.

STOCKS

COMMON STOCKS

Morgan Stanley & Co. LLC (Morgan Stanley) and Standard & Poor's equity research ratings are shown for certain securities. These ratings represent the opinions of the research provider and are not representations or guarantees of performance. The applicable research report contains more information regarding the analyst's opinions, analysis, and rating, and you should read the entire research report and not infer its contents For ease of comparison, Morgan Stanley and Standard & Poor's equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell). Refer to your June or December statement for a summary guide describing the ratings. We do not take responsibility for, nor guarantee the accuracy, completeness, or timeliness of research prepared for Standard & Poor's.

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ALTRIA GROUP INC (MO)	10/13/11	200 000	$27 999	$58 210	$5,599 76	$11,642 00	$6,042 24 LT		
	6/27/12	300 000	34.437	58.210	10,331 07	17,463 00	7,131.93 LT		
	Total	500 000			15,930 83	29,105.00	13,174 17 LT	1,130.00	3 88

Rating: S&P: 1; Next Dividend Payable 01/11/16; Asset Class: Equities

Security Mark at Right

Account Detail

Active Assets Account
357-897782-031

JOHN & CAROLYN PETERSON
CHAR. FD (CHECKING)

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AT&T INC (T)	9/15/10	400 000	28 532	34 410	11,412 80	13,764.00	2,351 20 LT	768 00	5 57
Rating. Morgan Stanley· 2, S&P· 1; Next Dividend Payable 02/2016, Asset Class: Equities									
CHEMOURS CO COM (CC)	6/27/12	40 000	12 862	5 360	514 47	214 40	(300 07) LT	5 00	2 33
Next Dividend Payable 03/2016, Asset Class: Equities									
CHEVRON CORP (CVX)	4/15/14	200 000	121 195	89 960	24,238 91	17,992 00	(6,246 91) LT	856 00	4 75
Rating Morgan Stanley· 2, S&P: 2, Next Dividend Payable 03/2016, Asset Class· Equities									
CONOCOPHILLIPS (COP)	10/13/11	100 000	52 093	46 690	5,209 26	4,669 00	(540 26) LT		
	5/16/12	200 000	53 537	46 690	10,707 38	9,338 00	(1,369 38) LT		
	Total	300 000			15,916 64	14,007.00	(1,909 64) LT	888.00	6 33
Rating. Morgan Stanley. 2, S&P. 1; Next Dividend Payable 03/2016, Asset Class: Equities									
CONS EDISON INC (HLDG CO) (ED)	10/13/11	100 000	57 297	64 270	5,729 69	6,427.00	697 31 LT	260 00	4 04
Rating Morgan Stanley: 3, S&P: 3, Next Dividend Payable 03/2016, Asset Class: Equities									
CRESTWOOD EQUITY PARTNERS LP (CEQP)	8/1/14	275 000	78 633	20 780	21,624 10	5,714.50	(15,909 60) LT	1,513 00	26 47
Asset Class· Equities									
DU PONT EI DE NEMOURS & CO (DD)	6/27/12	200 000	48 015	66 600	9,602 91	13,320 00	3,717 09 LT	304 00	2 28
Rating S&P: 2; Next Dividend Payable 03/2016, Asset Class: Equities									
DUKE ENERGY CORP NEW (DUK)	10/13/11	83 000	60 252	71 390	5,000 91	5,925.37	924.46 LT	274 00	4 62
Rating Morgan Stanley 2, S&P. 2, Next Dividend Payable 03/2016, Asset Class Equities									
JOHNSON & JOHNSON (JNJ)	10/15/14	200 000	98 588	102 720	19,717.50	20,544.00	826 50 LT	600 00	2 92
Rating Morgan Stanley. 2, S&P 1; Next Dividend Payable 03/2016, Asset Class. Equities									
PFIZER INC (PFE)	9/15/10	600 000	17 529	32 280	10,517 58	19,368.00	8,850 42 LT	720 00	3 71
Rating S&P. 2, Next Dividend Payable 03/2016; Asset Class Equities									
TALLGRASS ENERGY PTNRS LP (TEP)	8/8/13	1,000 000	23 014	41 210	23,014 24	41,210.00	18,195 76 LT	2,400 00	5 82
Next Dividend Payable 02/2016, Asset Class· Alt									
TRANSOCEAN LTD (RIG)	4/15/14	600 000	41 842	12 380	25,105 05	7,428.00	(17,677 05) LT	—	—
Rating. Morgan Stanley 3, S&P: 2; Asset Class· Equities									
VERIZON COMMUNICATIONS (VZ)	9/15/10	300 000	31 548	46 220	9,464 40	13,866 00	4,401 60 LT		
	6/27/12	200 000	44 647	46 220	8,929 38	9,244 00	314 62 LT		
	2/21/14	131 000	48 115	46 220	6,303 07	6,054 82	(248 25) LT		
	10/15/14	369 000	48 339	46 220	17,837 01	17,055.18	(781 83) LT		
	Total	1,000 000			42,533 86	46,220.00	3,686 14 LT	2,260 00	4 88
Rating· Morgan Stanley 1, S&P· 2; Next Dividend Payable 02/2016; Asset Class: Equities									
COMMON STOCKS					$230,859 49	$241,239.27	$10,379.78 LT	$11,978.00	4.96%

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Active Assets Account 357-897782-031

JOHN & CAROLYN PETERSON
CHAR. FD (CHECKING)

INTERESTED PARTY COPY

Account Detail

PREFERRED STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
GOLDMAN SACHS GRP INC 5.50%-J (GS.J)	10/29/15	2,000 000	$25 343	$25 890	$50,686 30	**$51,780.00**	$1,093.70 ST	$2,750.00	5 31
Moody BA1 S&P BB; Next Dividend Payable 02/2016, Asset Class. FI & Pref									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	14.80%	$281,545.79	$293,019.27	$10,379.78 LT $1,093.70 ST	$14,728.00	5.03%

EXCHANGE-TRADED & CLOSED-END FUNDS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
SPDR TRUST SERIES 1 (SPY)	2/10/03	1,005 000	$82 850	$203 870	$83,264.25	**$204,889.35**	$121,625.10 LT 1	$4,227 00	2.06
Next Dividend Payable 01/29/16; Asset Class· Equities									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
EXCHANGE-TRADED & CLOSED-END FUNDS	10.35%	$83,264.25	$204,889.35	$121,625.10 LT	$4,227.00	2.06%

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
JPMORGAN CHASE & CO 6% FIXED TO 08/01/23 FLOATS THEREAFTER Coupon Rate 6 000%, Perpetual Maturity, CUSIP 48126HAA8	7/31/13	50,000 000	$100 113 $100 113	$99 860	$50,056 50 $50,056 50	**$49,930.00**	$(126 50) LT	$3,000 00 $1,250 00	6 00
Int Semi-Annually Feb/Aug 01; Callable $100.00 on 08/01/23, Yield to Maturity 6.010%; Floater; Moody BAA3 S&P BBB-; Issued 07/29/13, Asset Class· FI & Pref									
WACHOVIA BANK NA Coupon Rate 5 600%, Matures 03/15/2016, CUSIP 92976GAE1	9/14/07	25,000 000	100 681 100 020	101 010	25,170 25 25,005 07	**25,252.50**	247 43 LT	700 00 412.22	2 77
Int. Semi-Annually Mar/Sep 15, Yield to Maturity .669%, Moody AA3 S&P A, Issued 03/09/06, Asset Class. FI & Pref									
SUNOCO INC Coupon Rate 5 750%, Matures 01/15/2017, CUSIP 86764PAD1	1/21/10	50,000 000	105 225 100 893	100 515	52,612 50 50,446 74	**50,257.50**	(189 24) LT	2,875 00 1,325 69	5 72
Int. Semi-Annually Jan/Jul 15, Yield to Maturity 5.232%; Moody BAA3 S&P BBB-; Issued 12/14/06, Asset Class: FI & Pref									

Security Mark at Right

Account Detail

Active Assets Account
357-897782-031

JOHN & CAROLYN PETERSON
CHAR. FD (CHECKING)

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Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
BERKSHIRE HATHAWAY FIN	4/16/09	95,000 000	103 949	108 541	98,751 85			5,130 00	4 97
Coupon Rate 5 400%, Matures 05/15/2018, CUSIP 084664BE0			101 204		96,143 65	**103,113 95**	6,970 30 LT	655 50	
Int Semi-Annually May/Nov 15, Yield to Maturity 1 710%, Moody AA2 S&P AA (-), Issued 11/15/08, Asset Class FI & Pref									
GENERAL ELECTRIC CAPITAL CORP	5/22/12	50,000 000	111 710	107 763	55,855 00			2,550 00	4 73
Coupon Rate 5 100% Matures 02/15/2019; CUSIP 36966RW28			105 744		52,871 82	**53,881.50**	1,009 68 LT	963 33	
Int. Semi-Annually Feb/Aug 15, Yield to Maturity 2.499%; Moody A1 S&P AA+; Issued 02/14/08, Asset Class. FI & Pref									
ALLSTATE CORP	2/1/12	50,000 000	127 624	116 809	63,812 00			3,725 00	6 37
Coupon Rate 7 450%, Matures 05/16/2019, CUSIP 020002AX9			113 594		56,796 76	**58,404.50**	1,607 74 LT	465 62	
Int. Semi-Annually May/Nov 16, Yield to Maturity 2.249%, Moody A3 S&P A-; Issued 05/13/09; Asset Class FI & Pref									
GENERAL ELECTRIC CAPITAL CORP	6/11/13	50,000 000	116 981	112 157	58,490.50			2,750 00	4 90
Coupon Rate 5 500%, Matures 01/08/2020, CUSIP 36962G4J0			110 741		55,370 51	**56,078.50**	707 99 LT	1,321 52	
Int Semi-Annually Jan/Jul 08; Yield to Maturity 2.315%; Moody A1 S&P AA+; Issued 01/08/10, Asset Class FI & Pref									
GOLDMAN SACHS GROUP INC	1/21/11	50,000 000	104 112	109 854	52,056 00				
Coupon Rate 5 375%, Matures 03/15/2020. CUSIP 38141EA58			102 114		51,057 16	54,927 00	3,869 84 LT		
	5/25/11	50,000 000	103 600	109 854	51,800 00				
			101 911		50,955 73	54,927 00	3,971 27 LT		
	1/20/12	50,000 000	101 147	109 854	50,573 50				
			100 652		50,325 93	54,927 00	4,601 07 LT		
	Total	150,000 000			154,429 50			8,063 00	4 89
					152,338 82	**164,781 00**	12,442 18 LT	2,373 95	
Int. Semi-Annually Mar/Sep 15, Yield to Maturity 2.870%, Moody A3 S&P BBB+; Issued 03/08/10, Asset Class FI & Pref									
GOLDMAN SACHS GROUP INC	7/11/12	50,000 000	110 512	113 011	55,256 00			3,000 00	5 30
Coupon Rate 6 000%; Matures 06/15/2020. CUSIP 38141EA66			106 359		53,179 74	**56,505.50**	3,325 76 LT	133 33	
Int Semi-Annually Jun/Dec 15. Yield to Maturity 2.868%, Moody A3 S&P BBB+; Issued 06/03/10, Asset Class FI & Pref									
TOYOTA MOTOR CREDIT CORP	6/11/13	50,000 000	113 627	108 806	56,813.50			2,250 00	4 13
Coupon Rate 4 500%, Matures 06/17/2020, CUSIP 89233P4C7			108 933		54,466 36	**54,403.00**	(63 36) LT	87 50	
Int. Semi-Annually Jun/Dec 17, Yield to Maturity 2.406%, Moody AA3 S&P AA-; Issued 06/17/10; Asset Class FI & Pref									
JP MORGAN CHASE & CO	12/7/11	50,000 000	102 776	106 738	51,388 00			2,200 00	4 12
Coupon Rate 4 400%, Matures 07/22/2020, CUSIP 46625HHS2			101 587		50,793 44	**53,369.00**	2,575 56 LT	971 66	
Int Semi-Annually Jan/Jul 22, Yield to Maturity 2.815%; Moody A3 S&P A-; Issued 07/22/10, Asset Class· FI & Pref									
VERIZON COMMUNICATIONS	9/13/13	50,000 000	106 179	107 441	53,089 30			2,250 00	4 18
Coupon Rate 4 500%; Matures 09/15/2020. CUSIP 92343VBQ6			104 320		52,159 84	**53,720.50**	1,560 66 LT	662 49	
Int. Semi-Annually Mar/Sep 15, Yield to Maturity 2.800%; Moody BAA1 S&P BBB+; Issued 09/18/13; Asset Class· FI & Pref									
GENERAL ELECTRIC CAPITAL CORP	6/30/11	25,000 000	107 759	112 556	26,939 75			1,388 00	4 93
Coupon Rate 5 550%, Matures 10/15/2020. CUSIP 36966R4N3			104 404		26,100 88	**28,139.00**	2,038 12 LT	292 91	
Int. Semi-Annually Apr/Oct 15; Yield to Maturity 2.734%; Moody A1 S&P AA+, Issued 10/01/09, Asset Class· FI & Pref									

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Account Detail

Active Assets Account 357-897782-031

JOHN & CAROLYN PETERSON CHAR. FD (CHECKING)

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Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
JP MORGAN CHASE & CO	12/12/12	50,000 000	112.939	106.084	56,469 50			2,125 00	4 00
Coupon Rate 4 250%, Matures 10/15/2020, CUSIP 46625HHU7			108 202		54,100 86	53,042.00	(1,058 86) LT	448 61	
Int. Semi-Annually Apr/Oct 15; Yield to Maturity 2.880%; Moody A3 S&P A-, Issued 10/21/10, Asset Class: FI & Pref									
JP MORGAN CHASE & CO	6/12/14	50,000 000	110 357	106 423	55,178 50			2,175 00	4 08
Coupon Rate 4 350%; Matures 08/15/2021, CUSIP 46625HJC5			108.297		54,148 56	53,211.50	(937 06) LT	821 66	
Int. Semi-Annually Feb/Aug 15, Yield to Maturity 3 096%; Moody A3 S&P A-; Issued 08/10/11; Asset Class· FI & Pref									
TOYOTA MOTOR CREDIT CORP	6/17/13	50,000 000	105 477	103 622	52,738 50			1,700 00	3 28
Coupon Rate 3 400%, Matures 09/15/2021, CUSIP 89233P5F9			103 918		51,959 04	51,811 00	(148 04) LT	500 55	
Int Semi-Annually Mar/Sep 15, Yield to Maturity 2 710%; Moody AA3 S&P AA-; Issued 09/15/11; Asset Class. FI & Pref									
HSBC HOLDINGS PLC	2/11/14	60,000 000	111 376	109 690	66,825 50			2,925 00	4 44
Coupon Rate 4 875%, Matures 01/14/2022, CUSIP 404280AL3			108 928		65,356 98	65,814.00	457.02 LT	1,356.87	
Int. Semi-Annually Jan/Jul 14; Yield to Maturity 3.102%, Moody A1 S&P A; Issued 11/17/11; Asset Class. FI & Pref									
BERKSHIRE HATHAWAY INC	12/18/12	50,000 000	108 448	105 391	54,224 00			1,700 00	3 22
Coupon Rate 3 400%, Matures 01/31/2022, CUSIP 084670BF4			105 837		52,918 57	52,695.50	(223 07) LT	708 33	
Int. Semi-Annually Jan/Jul 31; Yield to Maturity 2.441%, Moody AA2 S&P AA (-); Issued 01/31/12; Asset Class: FI & Pref									
FORD MOTOR CREDIT CO LLC	9/19/13	40,000 000	102 016	102 758	40,806 50			1,750 00	4 25
Coupon Rate 4 375%; Matures 08/06/2023, CUSIP 345397WK5			101.621		40,648 54	41,103.20	454 66 LT	704 86	
Int. Semi-Annually Feb/Aug 06; Yield to Maturity 3 951%, Moody BAA3 S&P BBB-; Issued 08/06/13, Asset Class· FI & Pref									
GOLDMAN SACHS GROUP INC	7/26/11	25,000 000	98.352	113.045	24,588 00			1,613 00	5.70
Coupon Rate 6.450%; Matures 05/01/2036, CUSIP 38143YAC7			98 352		24,588 00	28,261.25	3,673 25 LT	268 75	
Int. Semi-Annually May/Nov 01; Yield to Maturity 5.386%; Moody BAA2 S&P BBB-; Issued 04/18/06; Asset Class FI & Pref									

	Percentage of Holdings	Face Value	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		1,070,000.000	$1,153,495 15			$53,869 00	4.67%
			$1,119,450.68	$1,153,774.90	$34,324.22 LT	$15,725.35	
TOTAL CORPORATE FIXED INCOME (includes accrued interest)	59 08%			$1,169,500.25			

Watchlist and CreditWatch Indicators: (*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade

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Account Detail

Active Assets Account 357-897782-031

JOHN & CAROLYN PETERSON
CHAR. FD (CHECKING)

INTERESTED PARTY COPY

MUTUAL FUNDS

"Total Purchases vs. Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account It may not reflect all distributions received in cash, due to but not limited to: investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account.

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes.

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
AMERICAN INTL GRW & INC C (IGICX)	4/21/15	1,206 804	$33 145	$28 280	$40,000 00	$34,128 42	$(5,871.58) ST		
	Purchases	1,206 804			40,000 00	**34,128.42**	(5,871 58) ST		
Short Term Reinvestments		22 452			690 87	634 94	(55.93) ST		
	Total	1,229 256			40,690 87	**34,763 36**	(5,927 51) ST	830 00	2 38
Total Purchases vs Market Value					40,000 00	34,763 36			
Net Value Increase/(Decrease)						(5,236 64)			
Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest, Asset Class Equities									
LEGG MASON BW INTL GL OPP BD C (LGOCX)	12/12/12	2,698 159	11 578	9 750	31,240 34	26,307 05	(4,933 29) LT R		
	Purchases	2,698 159			31,240 34	**26,307.05**	(4,933 29) LT		
Long Term Reinvestments		422 511			4,654 47	4,119 48	(534 99) LT		
Short Term Reinvestments		55 273			584 12	538 91	(45 21) ST		
	Total	3,175 943			36,478 93	**30,965 44**	(5,468 28) LT (45 21) ST	315 00	1 01
Total Purchases vs Market Value					31,240 34	30,965 44			
Net Value Increase/(Decrease)						(274 90)			
Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest; Asset Class FI & Pref									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
MUTUAL FUNDS	3 32%	$77,169 80	$65,728.80	$(5,468.28) LT $(5,972.72) ST	$1,145 00	1.74%

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Account Detail

Active Assets Account
357-897782-031

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UNIT INVESTMENT TRUSTS
EQUITY TRUSTS

Security Description	Trade Date	Quantity	Unit Cost	Unit Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
UNIT FIRST TRUST TARGET GLOBAL DIVIDEND LEADERS 1ST QTR 15 Matures 04/08/2016	3/5/15	3,430 000	$9 956	$8 246	$34,150 45	$28,282 41	$(5,868.04) ST		
	Purchases	3,430 000			34,150 45	28,282.41	(5,868 04) ST		
Short Term Reinvestments		149 000			1,335.39	1,228.59	(106 80) ST		
	Total	3,579 000			35,485.84	29,511 00	(5,974 84) ST	379 00	1 28
Reinvest Full, Asset Class. Equities									
UNIT FIRST TRUST HIGH DIVIDEND EQUITY 18 Matures 04/11/2016		—	0 000	8 646	0 00	0 00			
Short Term Reinvestments		38 000			324 23	328 55	4 32 ST		
	Total	38 000			324 23	328.55	4 32 ST	3 00	0 91
Reinvest N/A, Asset Class. Equities									
UNIT FIRST TRUST DOW TARGET 10 MAY 2015 Matures 06/09/2016	5/11/15	5,821 000	9 900	9.265	57,627 90	53,929 80	(3,698 10) ST		
	Purchases	5,821 000			57,627 90	53,929.80	(3,698 10) ST		
Short Term Reinvestments		124 000			1,121 57	1,148 82	27 25 ST		
	Total	5,945 000			58,749 47	55,078.63	(3,670 85) ST	792 00	1 47
Reinvest N/A, Asset Class: Equities									
UNIT FIRST TRUST TARGET GLOBAL DIVIDEND LEADERS 2ND QTR 15 Matures 07/08/2016	5/4/15	4,981 000	9 885	7 536	49,237 19	37,537 80	(11,699 39) ST		
	Purchases	4,981 000			49,237 19	37,537.80	(11,699 39) ST		
Short Term Reinvestments		177 000			1,473 79	1,333 90	(139 89) ST		
	Total	5,158 000			50,710 98	38,871.71	(11,839 28) ST	1,070 00	2 75
Reinvest Full, Asset Class. Equities									
UNIT FIRST TRUST DOW TARGET 10 JUL 2015 Matures 08/09/2016	7/13/15	1,762 000	9 975	9 889	17,575 07	17,424 76	(150 31) ST		
	Purchases	1,762 000			17,575 07	17,424.76	(150 31) ST		
Short Term Reinvestments		26 000			246 04	257 11	11 07 ST		

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Account Detail

Active Assets Account
357-897782-031

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Security Description	Trade Date	Quantity	Unit Cost	Unit Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	1,788 000			17,821 11	17,681.88	(139 24) ST	342 00	1 93
Reinvest Full, Asset Class. Equities									
UNIT FIRST TRUST STRATEGIC DIVIDEND PLUS 21 Matures 12/19/2016	3/5/15	6,013 000	9 864	8 459	59,311 63	50,865 15	(8,446 48) ST		
Short Term Reinvestments	Purchases	6,013 000			59,311 63	50,865.15	(8,446 48) ST		
		307 000			2,808 54	2,596 97	(211 57) ST		
	Total	6,320 000			62,120 17	53,462.13	(8,658 05) ST	2,368 00	4 42
Reinvest N/A, Asset Class· Equities									
UNIT FIRST TRUST CYBERSECURITY 2 Matures 07/10/2017	7/13/15	2,027 000	9 863	8 334	19,992 09	16,892.60	(3,099 49) ST		
Short Term Reinvestments	Purchases	2,027 000			19,992 09	16,892.60	(3,099 49) ST		
		4 000			33 30	33 33	0.03 ST		
	Total	2,031.000			20,025 39	16,925.94	(3,099 46) ST	116 00	0 68
Reinvest Full, Asset Class Equities									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
UNIT INVESTMENT TRUSTS	10.70%	$245,237.19	$211,859.84	$(33,377.40) ST	$5,070.00 $0 00	2.39%

	Percentage of Holdings		Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL MARKET VALUE			$1,806,667.71	$1,963,740.83	$160,860 82 LT $(38,256.42) ST	$79,056.23 $15,725 35	3.99%
		K-1 Items	⟨12,501.-⟩				
TOTAL VALUE (includes accrued interest)	100.00%		$1,828,336.-	$1,979,466.18			
		Less Outstanding Check	⟨20,000.-⟩	⟨20000.-⟩			
			$ 1,808,336	$ 1,959,466			

1 - This information reflects your requested adjustments to the transaction details
R - The cost basis for this tax lot was adjusted due to a reclassification of income.
Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available Cash, MMF, Deposits and positions stating 'Please Provide' are not included

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