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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation
HOFHEIMER CHARITABLE TRUST

A Employer identification number
43-1935768

Number and street (or P O box number if mail is not delivered to street address) 515 WASHINGTON STREET | Room/suite

B Telephone number (see instructions)
(660) 646-8500

City or town, state or province, country, and ZIP or foreign postal code
CHILLICOTHE, MO 64601

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 3,420,693

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ____ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	32,670	32,670		
	4	Dividends and interest from securities	44,173	44,173		
	5a	Gross rents				
	b	Net rental income or (loss) ____				
	6a	Net gain or (loss) from sale of assets not on line 10	16,779			
	b	Gross sales price for all assets on line 6a 1,356,303				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	429			
	12	**Total.** Add lines 1 through 11	94,051	76,843		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	23,803	23,803		
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	1,045			
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	2,268			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	27,116	23,803		0
	25	Contributions, gifts, grants paid	166,165			166,165
	26	**Total expenses and disbursements.** Add lines 24 and 25	193,281	23,803		166,165
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-99,230			
	b	**Net investment income** (if negative, enter -0-)		53,040		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	188,883	164,250	164,337
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)	683,963	599,565	604,659
b	Investments—corporate stock (attach schedule)	1,860,336	1,770,371	1,790,609
c	Investments—corporate bonds (attach schedule)	772,928	872,694	861,088
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	3,506,110	3,406,880	3,420,693
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	3,096,578	3,096,578	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	409,532	310,302	
30	**Total net assets or fund balances** (see instructions)	3,506,110	3,406,880	
31	**Total liabilities and net assets/fund balances** (see instructions)	3,506,110	3,406,880	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,506,110
2	Enter amount from Part I, line 27a	2	-99,230
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,406,880
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,406,880

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a			
b			
c			
d			
e			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	**2**	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	**3**	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☑ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014			
2013			
2012			
2011			
2010			

2	**Total** of line 1, column (d).	**2**	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	**3**	
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	**4**	
5	Multiply line 4 by line 3.	**5**	
6	Enter 1% of net investment income (1% of Part I, line 27b).	**6**	
7	Add lines 5 and 6.	**7**	
8	Enter qualifying distributions from Part XII, line 4.	**8**	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	1,061
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,061
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,061
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015 — 6a: 1,472		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	1,472
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	411
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 266 **Refunded** ▶	11	145

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶CITIZENS BANK TRUST Telephone no ▶(660) 646-8500

Located at ▶515 WASHINGTON STREET PO BOX 50 CHILLICOTHE MO ZIP +4 ▶64601

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(Continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
CITIZENS BANK & TRUST DIANNE K ODER 515 WASHINGTON STREET PO BOX 50 CHILLICOTHE, MO 64601	TRUSTEE 2 00	23,803	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶	

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	3,375,155
b	Average of monthly cash balances	1b	203,126
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	3,578,281
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,578,281
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	53,674
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,524,607
6	**Minimum investment return.** Enter 5% of line 5	6	176,230

Part XI Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	176,230
2a	Tax on investment income for 2015 from Part VI, line 5	2a	1,061		
b	Income tax for 2015 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,061
3	Distributable amount before adjustments Subtract line 2c from line 1			3	175,169
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	175,169
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	175,169

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	166,165
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	166,165
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	166,165

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				175,169
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			166,165	
b Total for prior years 20___ , 20___ , 20___				
3 Excess distributions carryover, if any, to 2015				
a From 2010.				
b From 2011.				
c From 2012.				
d From 2013.				
e From 2014.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 166,165				
a Applied to 2014, but not more than line 2a			166,165	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2015 distributable amount.				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				175,169
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2011. . . .				
b Excess from 2012. . . .				
c Excess from 2013. . . .				
d Excess from 2014. . . .				
e Excess from 2015. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	**(b)** 2014	**(c)** 2013	**(d)** 2012	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

CITIZENS BANK TRUST
515 WASHINGTON ST
CHILLICOTHE,MO 64601
(660)646-8500
WWW EBANKCBT COM

b The form in which applications should be submitted and information and materials they should include

NO SPECIFIC FORM

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

CONTRIBUTION BASED ON SOLICITATION AND CHARITABLE NEEDS TO CHARITABLE ORGANIZATIONS THAT OPERATE FOR THE BENEFIT OF THE RESIDENTS OF BUCHANAN COUNTY, MISSOURI, OR FOR ANY COUNTY ADJACENT THERETO

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				166,165
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	32,670	
4 Dividends and interest from securities			14	44,173	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					16,779
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a CLASS ACTION AMERICAN INTERNA			1	247	
b NON DIVIDEND DISTRIBUTIONS			1	182	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				77,272	16,779

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 94,051

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
8	SALES OF STOCK

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	**1a(1)**		**No**
	(2) Other assets.	**1a(2)**		**No**
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
	(4) Reimbursement arrangements.	**1b(4)**		**No**
	(5) Loans or loan guarantees.	**1b(5)**		**No**
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2016-05-12	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
ANN HOY		2016-05-11		P00969555

Firm's name ▶ CHV ACCOUNTING & CONSULTING SERVICESINC

Firm's EIN ▶ 48-1028532

Firm's address ▶ 400 JULES ST STE 415 SAINT JOSEPH, MO 645011738

Phone no (816) 364-1118

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNITED WAY 118 S 5TH STREET ST JOSEPH, MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	25,000
TEMPLE ADATH JOSEPH 102 N 17TH STREET ST JOSEPH, MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	10,000
OPEN DOOR FOOD KITCHEN 510 EDMOND STREET ST JOSEPH, MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	10,000
INTERSERVE 200 CHEROKEE STREET ST JOSEPH, MO 64504	NONE	501(C)(3)	CHARITABLE PURPOSES	7,000
BARTLETT CENTER 409 S 18TH STREET ST JOSEPH, MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	1,000
SECOND HARVEST 915 DOUGLAS ST JOSEPH, MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	10,000
ALBRECHT KEMPER MUSEUM 2818 FREDERICK AVE ST JOSEPH, MO 64506	NONE	501(C)(3)	CHARITABLE PURPOSES	10,000
MO WESTERN FOUNDATION 4525 DOWNS DRIVE ST JOSEPH, MO 64507	NONE	501(C)(3)	CHARITABLE PURPOSES	10,000
ALLIED ARTS COUNCIL 118 S 8TH STREET ST JOSEPH, MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	4,000
ST JOSEPH SYMPHONY 120 S 8TH STREET ST JOSEPH, MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	2,000
EMPOWER 802 N RIVERSIDE STREET ST JOSEPH, MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	4,000
NOYES HOME 801 N NOYES BLVD ST JOSEPH, MO 64506	NONE	501(C)(3)	CHARITABLE PURPOSES	11,000
TEMPLE ADATH JOSEPH CEMET 118 S 5TH STREET ST JOSEPH, MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	7,500
SUCCESS BY SIX 118 S 5TH STREET ST JOSEPH, MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	5,000
SALVATION ARMY 915 DOUGLAS ST JOSEPH, MO 64505	NONE	501(C)(3)	CHARITABLE PURPOSES	7,000
Total . . . . ▶ **3a**				166,165

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
COMMUNITY SERVICES 1203 N 6TH STREET ST JOSEPH,MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	8,000
BOY SCOUTS 1704 BUCKINGHAM STREET ST JOSEPH,MO 64506	NONE	501(C)(3)	CHARITABLE PURPOSES	3,000
YOUTH ALLIANCE 3308 S LEONARD RD ST JOSEPH,MO 64503	NONE	501(C)(3)	CHARITABLE PURPOSES	3,000
SOCIAL WELFARE BOARD 904 S 10TH STREET ST JOSEPH,MO 64503	NONE	501(C)(3)	CHARITABLE PURPOSES	6,500
THE CENTER 902 EDMOND ST JOSEPH,MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	5,000
AFL-CIO COMM SERVICES 1203 N 6TH STREET ST JOSEPH,MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	2,500
FOOD FOR KIDS 1325 MITCHELL AVE APT 1 ST JOSEPH,MO 64503	NONE	501(C)(3)	CHARITABLE PURPOSES	4,665
EXPO CENTER 224 N 7TH STREET ST JOSEPH,MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	2,500
YMCA 315 S 6TH STREEET SAINT JOSEPH,MO 64501	NONE	501(C)(3)	CHARITABLE	2,500
GIRL SCOUTS 1311 N BELT HIGHWAY SAINT JOSEPH,MO 64506	NONE	501(C)(3)	CHARITABLE PURPOSES	2,500
SHAARE SHALOM CEMETERY ARLINGTON AVENUE SAINT JOSEPH,MO 64506	NONE	501(C)(3)	CHARITABLE PURPOSE	2,500
Total . . . . ▶ **3a**				166,165

TY 2015 Accounting Fees Schedule

Name: HOFHEIMER CHARITABLE TRUST

EIN: 43-1935768

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREP FEES - CCT	1,045			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Gain/Loss from Sale of Other Assets Schedule

Name: HOFHEIMER CHARITABLE TRUST

EIN: 43-1935768

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
SEE SCHEDULE ATTACHED		PURCHASE			997,624	1,013,944			-16,320	
SEE SECHEDULE ATTACHED		PURCHASE			358,679	325,580			33,099	

TY 2015 Investments Corporate Bonds Schedule

Name: HOFHEIMER CHARITABLE TRUST

EIN: 43-1935768

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	872,694	861,088

TY 2015 Investments Corporate Stock Schedule

Name: HOFHEIMER CHARITABLE TRUST

EIN: 43-1935768

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCKS	1,770,371	1,790,609

TY 2015 Investments Government Obligations Schedule

Name:	HOFHEIMER CHARITABLE TRUST
EIN:	43-1935768
US Government Securities - End of Year Book Value:	599,565
US Government Securities - End of Year Fair Market Value:	604,659
State & Local Government Securities - End of Year Book Value:	
State & Local Government Securities - End of Year Fair Market Value:	

TY 2015 Other Income Schedule

Name: HOFHEIMER CHARITABLE TRUST

EIN: 43-1935768

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CLASS ACTION AMERICAN INTERNA	247		
NON DIVIDEND DISTRIBUTIONS	182		

TY 2015 Taxes Schedule

Name: HOFHEIMER CHARITABLE TRUST

EIN: 43-1935768

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL ESTIMATES - CCT	1,472			
FEDERAL TAX PYMT - PRIOR YR - CC	796			

PREPARED FOR ACCOUNT # 23 00 3685 0 09 - HOFHEIMER CHARITABLE TRUST

ACCOUNT SITUS = MO
TAX ID# = 431935768
STATE TAX ID# =

PROCESS DATE 01/12/2016

FOR TAX YEAP ENDED 12/31/2015

SECTION A - CAPITAL GAINS & LOSSES

SHORT TERM SALES & MATURITIES

SHARES/PAP	SECURITY	REG	DATE ACQUIRED	DATE SOLD	CAPITAL INCOME CASH	PROCEEDS TO PRINCIPAL CASH	COST BASIS	SHORT TERM GAIN OR LOSS	WASH SALE
2.0000	ACTAVIS PLC (G0083B108)	0	03/10/15	05/29/15 COVERED=Y		609 78	-577 38	32.40	N
7 0000	ALLERGAN PLC SHS (G0177J108)	0	03/10/15	09/16/15 COVERED=Y		2106.74	-2020.82	85.92	N
41 0000	ALLERGAN PLC SHS (G0177J108)	0	03/10/15	10/13/15 COVERED=Y		10822.41	-11836.26	-1013.85	N
17 0000	ALLERGAN PLC SHS (G0177J108)	0	03/24/15	10/13/15 COVERED=Y		4487.35	-5270.56	-783.21	N
2 0000	ALLERGAN PLC SHS (G0177J108)	0	07/09/15	10/13/15 COVERED=Y		527.92	-607.94	-80 02	N
60.0000	BUNGE LIMITED COM (G16962105)	0	09/04/14	03/24/15 COVERED=Y		4806.67	-5161.92	-355.25	N
27.0000	BUNGE LIMITED COM (G16962105)	0	09/04/14	05/07/15 COVERED=Y		2423.85	-2322.86	100.99	N
8 0000	BUNGE LIMITED COM (G16962105)	0	07/09/15	11/05/15 COVERED=Y		576.22	-688.78	-112.56	N
15.0000	BUNGE LIMITED COM (G16962105)	0	09/16/15	11/05/15 COVERED=Y		1080.42	-1075.70	4 72	N
44.0000	LAZARD LTD SHS A (G54050102)	0	09/04/14	05/07/15 COVERED=Y		2393.55	-2387.21	6.34	N
15.0000	LAZARD LTD SHS A (G54050102)	0	07/09/15	10/27/15 COVERED=Y		710 30	-795.94	-85 64	N
47.0000	MEDTRONIC PLC SHS (G5960L103)	0	09/04/14	05/07/15 COVERED=Y		3489.57	-3022.51	467 06	N
255.0000	LYONDELLBASELL INDUSTRIES N SHS - A - (N53745100)	0	09/04/14	02/25/15 COVERED=Y		23047.57	-29284 20	-6236.63	N
10.0000	ROYAL CARIBBEAN CRUISES LTD COM (V7780T103)	0	03/24/15	07/01/15 COVERED=Y		782 70	-784.78	-2 08	N
44.0000	ROYAL CARIBBEAN CRUISES LTD COM (V7780T103)	0	03/24/15	09/16/15 COVERED=Y		4243.24	-3453 02	790 22	N
13 0000	AVAGO TECHNOLOGIES LTD SHS (Y0486S104)	0	05/01/15	05/07/15 COVERED=Y		1588.62	-1575 94	12 68	N
9.0000	AVAGO TECHNOLOGIES LTD SHS (Y0486S104)	0	05/01/15	05/19/15 COVERED=Y		1169 57	-1091 04	78.53	N
6 0000	AVAGO TECHNOLOGIES LTD SHS (Y0486S104)	0	05/01/15	05/27/15 COVERED=Y		863 25	-727 36	135.89	N
7 0000	AVAGO TECHNOLOGIES LTD SHS (Y0486S104)	0	05/01/15	09/16/15 COVERED=Y		916 94	-848 59	68 35	N
1179 0000	AES CORP COM (00130H105)	0	09/04/14	03/24/15 COVERED=Y		14903.23	-17307.49	-2404 26	N
641 0000	AES CORP COM (00130H105)	0	09/04/14	03/27/15 COVERED=Y		7957.29	-9409.75	-1452 46	N
454.0000	AES CORP COM (00130H105)	0	02/10/15	03/27/15 COVERED=Y		5635.90	-5493.45	142 45	N
66.0000	AECOM COM (00766T100)	0	03/24/15	05/07/15 COVERED=Y		2085.08	-2056.15	28.93	N

PREPAFED FOR ACCOUNT # 23 00 3685 0 09 - HOFHEIMER CHARITABLE TRUST

ACCOUNT SITUS = MO
TAX ID# = 431935768
STATE TAX ID# =

PROCESS DATE 01/12/2016

FOR TAX YEAP ENDED 12/31/2015

SECTION A - CAPITAL GAINS & LOSSES

SHORT TERM SALES & MATUFITIES

SHARES/PAR	SECURITY	REG	DATE ACQUIRED	DATE SOLD	CAPITAL PROCEEDS TO INCOME CASH	CAPITAL PROCEEDS TO PRINCIPAL CASH	COST BASIS	SHORT TERM GAIN OR LOSS	WASH SALE
23.0000	AECOM COM (00766T100)	0	03/24/15	05/19/15 COVERED=Y		772 48	-716 54	55 94	N
45 0000	AECOM COM (00766T100)	0	03/24/15	11/05/15 COVERED=Y		1354.03	-1401 92	-47 89	N
22 0000	AKORN INC COM (009728106)	0	04/30/15	05/19/15 COVERED=Y		972 35	-916 75	55 60	N
28 0000	AKORN INC COM (009728106)	0	04/30/15	05/29/15 COVERED=Y		1301 14	-1166 77	134 37	N
266 0000	ALCOA INC (013817101)	0	01/27/15	05/07/15 COVERED=Y		3624.15	-4259 48	-635 33	N
1414 0000	ALCOA INC (013817101)	0	01/27/15	05/12/15 COVERED=Y		19075.08	-22642 52	-3567 44	N
489.0000	ALCOA INC (013817101)	0	03/24/15	05/12/15 COVERED=Y		6596.68	-6424 73	171 95	N
5.0000	ALEXION PHARMACEUTICALS INC COM (015351109)	0	09/25/14	05/29/15 COVERED=Y		821.65	-830 46	-8.81	Y
12.0000	ALEXION PHARMACEUTICALS INC COM (015351109)	0	09/25/14	07/01/15 COVERED=Y		2188.41	-1993 10	195 31	N
4.0000	ALEXION PHARMACEUTICALS INC COM (015351109)	0	09/25/14	07/09/15 COVERED=Y		748.22	-664 37	83.85	N
17 0000	ALLERGAN INC (018490102)	0	09/04/14	01/27/15 COVERED=Y		3849 31	-2844 38	1004 93	N
59.0000	ALLERGAN INC (018490102)	0	09/04/14	02/10/15 COVERED=Y		13348.14	-9871 66	3476 48	N
7.0000	ALLIANCE DATA SYSTEMS CORP COM (018581108)	0	09/04/14	05/07/15 COVERED=Y		2078 98	-1857 42	221 56	N
2.0000	ALLIANCE DATA SYSTEMS CORP COM (018581108)	0	09/04/14	07/09/15 COVERED=Y		590 41	-530 69	59 72	N
11.0000	AMERICAN TOWER CORP NEW COM (03027X100)	0	10/20/14	09/16/15 COVERED=Y		985 26	-1030.24	-44 98	N
8 0000	AMERIPRISE FINL INC (03076C106)	0	05/29/15	11/05/15 COVERED=Y		929 29	-1007 33	-78 04	N
28.0000	APPLE COMPUTER INC (037833100)	0	09/04/14	05/07/15 COVERED=Y		3497.38	-2788.70	708.68	N
4.0000	APPLE COMPUTER INC (037833100)	0	09/04/14	05/19/15 COVERED=Y		511.43	-398 39	113 04	N
4.0000	APPLE COMPUTER INC (037833100)	0	09/04/14	05/29/15 COVERED=Y		523.62	-398 39	125 23	N
36 0000	APPLIED MATERIALS INC (038222105)	0	09/16/15	11/05/15 COVERED=Y		604.10	-580.32	23.78	N
17 0000	AUTONATION INC COM (05329W102)	0	07/14/15	09/16/15 COVERED=Y		1025.22	-1116.50	-91.28	N
18.0000	AUTONATION INC COM (05329W102)	0	07/14/15	11/05/15 COVERED=Y		1162.78	-1182 18	-19 40	N
62.0000	BAKER HUGHES INC (057224107)	0	09/04/14	02/10/15 COVEPED=Y		3834.09	-4284 69	-450 60	N

PREPARED FOR ACCOUNT # 23 00 3685 0 09 - HOFHEIMER CHARITABLE TRUST

ACCOUNT SITUS = MO
TAX ID# = 431935768
STATE TAX ID# =

PROCESS DATE 01/12/2016

FOR TAX YEAR ENDED 12/31/2015

SECTION A - CAPITAL GAINS & LOSSES

SHORT TERM SALES & MATURITIES

SHARES/PAR	SECURITY	REG	DATE ACQUIRED	DATE SOLD	CAPITAL INCOME CASH	PROCEEDS TO PRINCIPAL CASH	COST BASIS	SHORT TERM GAIN OR LOSS	WASH SALE
22.0000	BARD C R INC	0 (067383109)	09/04/14 COVERED=Y	01/13/15		3863.45	-3299.97	563.48	N
36.0000	BARD C R INC	0 (067383109)	09/04/14 COVERED=Y	05/07/15		6035.39	-5399.95	635.44	N
78.0000	BARD C R INC	0 (067383109)	09/04/14 COVERED=Y	07/01/15		13399.68	-11699.88	1699.80	N
23.0000	BLACKROCK INC	0 (09247X101)	09/04/14 COVERED=Y	01/13/15		8106.47	-7706.72	399.75	N
2.0000	BLACKROCK INC	0 (09247X101)	09/04/14 COVERED=Y	05/07/15		729.59	-670.15	59.44	N
491.0000	BLACKSTONE GROUP L P COM UNIT LTD	0 (09253U108)	09/04/14 COVERED=Y	01/13/15		16992.64	-16217.87	774.77	N
69.0000	BLACKSTONE GROUP L P COM UNIT LTD	0 (09253U108)	09/04/14 COVERED=Y	05/07/15		2906.35	-2279.09	627.26	N
14.0000	BLACKSTONE GROUP L P COM UNIT LTD	0 (09253U108)	09/04/14 COVERED=Y	05/19/15		612.18	-462.42	149.76	N
63.0000	BROADCOM CORP CL A	0 (111320107)	09/16/14 COVERED=Y	05/07/15		2889.98	-2552.90	337.08	N
37.0000	BROADCOM CORP CL A	0 (111320107)	09/16/14 COVERED=Y	05/27/15		2088.32	-1499.33	588.99	N
1.0000	BROADCOM CORP CL A	0 (111320107)	09/25/14 COVERED=Y	05/27/15		56.44	-40.21	16.23	N
33.0000	BROADCOM CORP CL A	0 (111320107)	09/25/14 COVERED=Y	05/29/15		1879.89	-1326.85	553.04	N
197.0000	BROADCOM CORP CL A	0 (111320107)	09/25/14 COVERED=Y	09/16/15		10476.74	-7920.91	2555.83	N
162.0000	BROADCOM CORP CL A	0 (111320107)	10/02/14 COVERED=Y	09/16/15		8615.39	-6276.67	2338.72	N
32.0000	BROADCOM CORP CL A	0 (111320107)	07/01/15 COVERED=Y	09/16/15		1701.81	-1673.05	28.76	N
701.0000	BRUKER CORP COM	0 (116794108)	09/25/14 COVERED=Y	02/10/15		12956.02	-13054.45	-98.43	N
334.0000	BRUKER CORP COM	0 (116794108)	10/02/14 COVERED=Y	02/10/15		6173.05	-6244.46	-71.41	N
4.0000	CIGNA CORPORATION	0 (125509109)	10/20/14 COVERED=Y	05/07/15		494.43	-361.40	133.03	N
6.0000	CIGNA CORPORATION	0 (125509109)	10/20/14 COVERED=Y	05/19/15		800.64	-542.10	258.54	N
4.0000	CIGNA CORPORATION	0 (125509109)	10/20/14 COVERED=Y	05/29/15		568.73	-361.40	207.33	N
24.0000	CIGNA CORPORATION	0 (125509109)	10/20/14 COVERED=Y	06/22/15		3934.49	-2168.40	1766.09	N
9.0000	CIGNA CORPORATION	0 (125509109)	10/20/14 COVERED=Y	07/01/15		1441.46	-813.15	628.31	N
4.0000	CIGNA CORPORATION	0 (125509109)	10/20/14 COVERED=Y	09/16/15		570.17	-361.40	208.77	N

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91.0000	CABOT OIL & GAS CORP COM (127097103)	0	09/04/14	07/01/15 COVERED=Y		2804 01	-3099.01	-295.00	N
531.0000	CABOT OIL & GAS CORP COM (127097103)	0	09/04/14	08/26/15 COVERED=Y		11171 77	-18083 20	-6911.43	N
382.0000	CAMERON INTERNATIONAL CORP COM (13342B105)	0	09/04/14	01/13/15 COVERED=Y		16585 96	-28023 52	-11437 56	N
42.0000	CAPITAL ONE FINANCIAL (14040H105)	0	10/20/14	03/24/15 COVERED=Y		3372 42	-3215 13	157.29	N
7.0000	CAPITAL ONE FINANCIAL (14040H105)	0	10/20/14	07/01/15 COVERED=Y		617 73	-535 85	81.88	N
5.0000	CELGENE CORP (151020104)	0	09/04/14	05/19/15 COVERED=Y		582.37	-474 20	108.17	N
66.0000	COGNIZANT TECHNOLOGY SOLUTIO CL A (192446102)	0	09/04/14	03/24/15 COVERED=Y		4154 23	-3043 86	1110 37	N
162.0000	COGNIZANT TECHNOLOGY SOLUTIO CL A (192446102)	0	09/04/14	05/01/15 COVERED=Y		9474.99	-7471 30	2003 69	N
70.0000	COGNIZANT TECHNOLOGY SOLUTIO CL A (192446102)	0	09/04/14	05/07/15 COVERED=Y		4266.21	-3228 34	1037 87	N
15 0000	COGNIZANT TECHNOLOGY SOLUTIO CL A (192446102)	0	09/04/14	05/19/15 COVERED=Y		976.18	-691 79	284 39	N
21 0000	COMCAST CORP (20030N101)	0	09/04/14	05/07/15 COVERED=Y		1216.86	-1157 24	59 62	N
13 0000	COMCAST CORP (20030N101)	0	09/04/14	07/01/15 COVERED=Y		797.92	-716 39	81 53	N
9.0000	COMCAST CORP (20030N101)	0	09/04/14	07/09/15 COVERED=Y		557.43	-495.96	61 47	N
23 0000	DANAHER CORP (235851102)	0	09/04/14	05/07/15 COVERED=Y		1895.28	-1769 24	126.04	N
6.0000	DANAHER CORP (235851102)	0	09/04/14	05/19/15 COVERED=Y		519.74	-461.54	58.20	N
9.0000	DISNEY WALT COMPANY (254687106)	0	09/16/15	11/05/15 COVERED=Y		1014.01	-933.82	80.19	N
147 0000	DIRECTV COM (25490A309)	0	09/25/14	03/10/15 COVERED=Y		12673.73	-12748.72	-74.99	N
205 0000	EMERSON ELECTRIC CO (291011104)	0	09/04/14	02/10/15 COVERED=Y		11808.31	-13270.90	-1462.59	N
50.0000	EXPRESS SCRIPTS HLDG CO COM (30219G108)	0	09/04/14	01/13/15 COVERED=Y		4204.40	-3696.31	508.09	N
9 0000	EXPRESS SCRIPTS HLDG CO COM (30219G108)	0	09/04/14	05/07/15 COVERED=Y		755 24	-665.34	89.90	N
8.0000	EXPRESS SCRIPTS HLDG CO COM (30219G108)	0	09/04/14	05/29/15 COVERED=Y		710.68	-591.41	119.27	N
30 0000	FISERV INC COM (337738108)	0	09/04/14	05/07/15 COVERED=Y		2365 95	-1975.42	390.53	N
8 0000	FISERV INC COM (337738108)	0	09/04/14	07/09/15 COVERED=Y		674 32	-526.78	147.54	N

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154 0000	FORD MOTOR COMPANY (345370860)	0	10/20/14	09/16/15 COVERED=Y		2247.06	-2177.18	69.88	N
121 0000	GAMESTOP CORP NEW CL A (36467W109)	0	09/04/14	07/01/15 COVERED=Y		5338.49	-5324.61	13.88	N
22.0000	GAMESTOP CORP NEW CL A (36467W109)	0	09/04/14	07/09/15 COVERED=Y		1029 41	-968 11	61 30	N
25000.0000	GOLDMAN SACHS BK NY 1.05% 10/19/2015 (38143AM93)	0	12/26/14	10/19/15		25000 00	-25122 50	-122.50	N
60 0000	HCA HOLDINGS INC COM (40412C101)	0	02/25/15	03/24/15 COVERED=Y		4592 79	-4242 38	350.41	N
43.0000	HCA HOLDINGS INC COM (40412C101)	0	02/25/15	07/01/15 COVERED=Y		3899.39	-3040 38	859 01	N
6.0000	HCA HOLDINGS INC COM (40412C101)	0	02/25/15	07/09/15 COVERED=Y		548.42	-424.24	124.18	N
11.0000	HCA HOLDINGS INC COM (40412C101)	0	02/25/15	09/16/15 COVERED=Y		928.49	-777.77	150.72	N
9.0000	HAIN CELESTIAL GROUP INC COM (405217100)	0	02/03/15	05/29/15 COVERED=Y		570.37	-491.78	78.59	N
24.0000	HAIN CELESTIAL GROUP INC COM (405217100)	0	02/03/15	07/01/15 COVERED=Y		1600.05	-1311.41	288.64	N
18 0000	HAIN CELESTIAL GROUP INC COM (405217100)	0	02/03/15	07/09/15 COVERED=Y		1199 74	-983 56	216 18	N
260 0000	ETF I - SHARES TRUST (464287465)	0	09/10/14	01/21/15 COVERED=Y		15920 75	-17189 51	-1268 76	N
410 0000	ETF I - SHARES TRUST (464287465)	0	09/10/14	04/30/15 COVERED=Y		27294 13	-27106 54	187 59	N
150 0000	ISHARES TR RUS 1000 ETF (464287622)	0	12/11/14	01/27/15 COVERED=Y		17052 28	-17112 84	-60.56	Y
201.0000	ISHARES TR RUS 1000 ETF (464287622)	0	01/13/15	01/27/15 COVERED=Y		22850 05	-22979 91	-129.86	Y
147.0000	ISHARES TR RUS 1000 ETF (464287622)	0	01/13/15	02/03/15 COVERED=Y		16786.94	-16806.20	-19.26	Y
105.0000	ISHARES RUSSELL 2000 INDEX F (464287655)	0	09/03/14	04/30/15 COVERED=Y		12707.60	-12252.85	454.75	N
75.0000	ISHARES RUSSELL 2000 INDEX F (464287655)	0	09/03/14	08/11/15 COVERED=Y		9001.83	-8752.03	249.80	N
12.0000	ISHARES IBOXX HI YD ETF (464288513)	0	09/10/14	01/21/15 COVERED=Y		1075 12	-1113.26	-38 14	N
45 0000	ISHARES IBOXX HI YD ETF (464288513)	0	09/10/14	04/30/15 COVERED=Y		4077 72	-4174.71	-96 99	N
1458 0000	ISHARES IBOXX HI YD ETF (464288513)	0	09/10/14	08/13/15 COVERED=Y		125837 66	-135260 70	-9423 04	N
70 0000	ISHARES IBOXX HI YD ETF (464288513)	0	12/15/14	08/13/15 COVERED=Y		6041 59	-6073 37	-31 78	N
800 0000	ISHARES MSCI EAFE VALUE ETF (464288877)	0	01/21/15	08/13/15 COVERED=Y		41919 30	-40958 72	960.58	N

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202.0000	J P MORGAN CHASE & CO (46625H100)	0	09/04/14	01/13/15 COVERED=Y		12021.42	-12151.65	-130.23	N
32 0000	J P MORGAN CHASE & CO (46625H100)	0	09/04/14	05/07/15 COVERED=Y		2046 43	-1925 01	121.42	N
9.0000	J P MORGAN CHASE & CO (46625H100)	0	09/04/14	07/01/15 COVERED=Y		609.77	-541 41	68 36	N
60.0000	LKQ CORP COM (501889208)	0	09/04/14	05/07/15 COVERED=Y		1645.97	-1742 93	-96 96	N
21 0000	LAM RESEARCH CORP COM (512807108)	0	05/01/15	05/07/15 COVERED=Y		1579.23	-1613 96	-34.73	Y
9.0000	LAM RESEARCH CORP COM (512807108)	0	05/01/15	05/29/15 COVERED=Y		741.95	-691 70	50.25	N
46.0000	MAPATHON PETE CORP COM (56585A102)	0	08/26/15	11/05/15 COVERED=Y		2535.93	-2036 36	499 57	N
29.0000	MASTERCARD INC CL A (57636Q104)	0	09/04/14	03/24/15 COVERED=Y		2592.41	-2224 69	367 72	N
43.0000	MASTERCAPD INC CL A (57636Q104)	0	09/04/14	05/07/15 COVERED=Y		3932.02	-3298 67	633 35	N
48 0000	MENTOR GRAPHICS CORP COM (587200106)	0	09/04/14	02/10/15 COVERED=Y		1152.30	-1085 18	67 12	N
120 0000	MENTOR GRAPHICS CORP COM (587200106)	0	09/04/14	05/07/15 COVERED=Y		2882.38	-2712 96	169.42	N
43 0000	MENTOR GRAPHICS CORP COM (587200106)	0	09/04/14	05/29/15 COVERED=Y		1127.01	-972.14	154.87	N
264 0000	MENTOR GRAPHICS CORP COM (587200106)	0	09/04/14	07/01/15 COVERED=Y		6980.24	-5968 52	1011 72	N
90.0000	MERCK & CO INC NEW COM (58933Y105)	0	09/04/14	03/24/15 COVERED=Y		5267.78	-5468 10	-200 32	N
24.0000	MERCK & CO INC NEW COM (58933Y105)	0	09/04/14	05/07/15 COVERED=Y		1448.78	-1458 16	-9 38	N
127 0000	MERCK & CO INC NEW COM (58933Y105)	0	09/04/14	07/01/15 COVERED=Y		7304.42	-7716.10	-411 68	N
89 0000	MERCK & CO INC NEW COM (58933Y105)	0	10/02/14	07/01/15 COVERED=Y		5118.85	-5212 44	-93 59	N
101.0000	METLIFE POLICYHOLDER TRUST (59156R108)	0	09/04/14	04/30/15 COVERED=Y		5219.79	-5627.39	-407 60	N
32 0000	METLIFE POLICYHOLDER TRUST (59156R108)	0	09/04/14	05/07/15 COVERED=Y		1670.92	-1782 93	-112 01	N
252 0000	METLIFE POLICYHOLDER TRUST (59156R108)	0	09/04/14	05/29/15 COVERED=Y		13155.16	-14040.61	-885 45	N
39 0000	MORGAN STANLEY, DEAN WITTER & CO (617446448)	0	10/27/15	11/05/15 COVERED=Y		1311.94	-1257 13	54 81	N
30.0000	O REILLY AUTOMOTIVE INC NEW COM (67103H107)	0	09/04/14	01/13/15 COVERED=Y		5539.68	-4743.74	795 94	N
19 0000	O REILLY AUTOMOTIVE INC NEW COM (67103H107)	0	09/04/14	03/24/15 COVERED=Y		4084.33	-3004.37	1079 96	N

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11.0000	O REILLY AUTOMOTIVE INC NEW COM (67103H107)	0	09/04/14	05/07/15 COVERED=Y		2402 68	-1739 37	663 31	N
4.0000	O REILLY AUTOMOTIVE INC NEW COM (67103H107)	0	09/04/14	07/09/15 COVERED=Y		923.96	-632 50	291 46	N
1.2500	PJT PARTNERS INC COM CL A (69343T107)	0	07/01/15	11/05/15 COVERED=Y		27.31	-35.73	-8.42	N
0.5500	PJT PARTNERS INC COM CL A (69343T107)	0	07/09/15	11/05/15 COVERED=Y		12.02	-14 98	-2.96	N
0.3000	PJT PARTNERS INC COM CL A (69343T107)	0	07/09/15	11/24/15 COVERED=Y		6.58	-8.17	-1.59	N
26.0000	PNC FINANCIAL SERVICES GROUP INC (693475105)	0	09/04/14	05/07/15 COVERED=Y		2404.82	-2229.50	175.32	N
70 0000	PNC FINANCIAL SERVICES GROUP INC (693475105)	0	09/04/14	07/01/15 COVERED=Y		6770 04	-6002.50	767 54	N
4 0000	POLARIS INDS INC (731068102)	0	01/27/15	07/01/15 COVERED=Y		590.48	-595 39	-4 91	N
85.0000	PROCTER & GAMBLE CO (742718109)	0	09/16/14	04/01/15 COVERED=Y		6980.56	-7177 23	-196 67	N
71 0000	PROCTER & GAMBLE CO (742718109)	0	09/25/14	04/01/15 COVERED=Y		5830.83	-6029 86	-199 03	N
77.0000	PROCTER & GAMBLE CO (742718109)	0	10/02/14	04/01/15 COVERED=Y		6323.57	-6436 95	-113 38	N
31.0000	QUANTA SVCS INC COM (74762E102)	0	02/10/15	05/19/15 COVERED=Y		908.36	-886.70	21.66	N
672.0000	QUANTA SVCS INC COM (74762E102)	0	02/10/15	11/05/15 COVERED=Y		13660.63	-19221.42	-5560.79	N
26.0000	QUANTA SVCS INC COM (74762E102)	0	07/01/15	11/05/15 COVERED=Y		528.54	-758.54	-230.00	N
19.0000	QUANTA SVCS INC COM (74762E102)	0	07/09/15	11/05/15 COVERED=Y		386 24	-534 09	-147 85	N
21 0000	QUANTA SVCS INC COM (74762E102)	0	09/16/15	11/05/15 COVERED=Y		426 89	-525 88	-98 99	N
69 0000	ROSS STORES INC COM (778296103)	0	09/04/14	01/13/15 COVERED=Y		6644 51	-5297 13	1347 38	N
34 0000	ROSS STORES INC COM (778296103)	0	09/04/14	05/07/15 COVERED=Y		3429 02	-2610 18	818 84	N
24.0000	ROSS STORES INC COM (778296103)	0	09/04/14	07/09/15 COVERED=Y		1211.48	-921.24	290.24	N
37.0000	SKYWORKS SOLUTIONS INC COM (83088M102)	0	09/04/14	01/27/15 COVERED=Y		3032.27	-2056.76	975.51	N
33.0000	SKYWORKS SOLUTIONS INC COM (83088M102)	0	09/04/14	05/07/15 COVERED=Y		3179.54	-1834.40	1345.14	N
9.0000	SKYWORKS SOLUTIONS INC COM (83088M102)	0	09/04/14	05/19/15 COVERED=Y		938.75	-500.29	438 46	N
7.0000	SKYWORKS SOLUTIONS INC COM (83088M102)	0	09/04/14	05/29/15 COVERED=Y		765.80	-389.12	376.68	N

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7.0000	STEFICYCLE INC COM (858912108)	0	09/04/14	04/08/15 COVERED=Y		973.34	-827 95	145.39	N
19 0000	STEPICYCLE INC COM (858912108)	0	09/04/14	05/07/15 COVERED=Y		2519.45	-2247 30	272 15	N
21 0000	US BANCORP (902973304)	0	09/04/14	05/07/15 COVERED=Y		901.98	-893 51	8 47	N
151 0000	US BANCORP (902973304)	0	09/04/14	07/09/15 COVERED=Y		6503 45	-6424.75	78.70	N
17 0000	UNION PACIFIC CORP (907818108)	0	09/04/14	05/07/15 COVERED=Y		1813 55	-1844.10	-30.55	Y
167 0000	UNION PACIFIC CORP (907818108)	0	09/04/14	07/09/15 COVERED=Y		16107.02	-18115.61	-2008.59	N
61.0000	UNION PACIFIC CORP (907818108)	0	09/04/14	07/14/15 COVERED=Y		5913 39	-6617.08	-703.69	N
37.0000	UNION PACIFIC CORP (907818108)	0	02/10/15	07/14/15 COVERED=Y		3586.81	-4431.12	-844 31	N
40.0000	UNION PACIFIC CORP (907818108)	0	04/08/15	07/14/15 COVERED=Y		3877.63	-4322.56	-444 93	N
20.0000	UNITED RENTALS INC COM (911363109)	0	03/27/15	05/07/15 COVERED=Y		1990.63	-1802 46	188 17	N
13 0000	UNITED RENTALS INC COM (911363109)	0	03/27/15	11/05/15 COVERED=Y		987.86	-1171 60	-183 74	N
20000 0000	U S TREASURY NOTES 0.875%, DUE 12/31/16 (912828RX0)	0	12/26/14	05/11/15 COVERED=Y		20121.80	-20050.80	71 00	N
7 0000	VALEANT PHARMACEUTICALS INTL COM (91911K102)	0	07/01/15	09/16/15 COVERED=Y		1619.50	-1584.33	35.17	N
72 0000	VALERO ENEPGY CORP (91913Y100)	0	09/04/14	02/10/15 COVERED=Y		3917 64	-3850.35	67.29	N
42 0000	VALERO ENERGY CORP (91913Y100)	0	09/04/14	05/07/15 COVERED=Y		2418 89	-2246.04	172.85	N
25.0000	VALERO ENERGY CORP (91913Y100)	0	09/04/14	07/01/15 COVERED=Y		1613 22	-1336.93	276.29	N
14.0000	VALERO ENERGY CORP (91913Y100)	0	09/04/14	07/09/15 COVERED=Y		898 06	-748.68	149.38	N
381.0000	VERIZON COMMUNICATIONS (92343V104)	0	09/04/14	03/24/15 COVERED=Y		18823.61	-18920 11	-96 50	N
21.0000	VERIZON COMMUNICATIONS (92343V104)	0	09/04/14	05/07/15 COVERED=Y		1032.21	-1042 84	-10 63	N
17 0000	VULCAN MATLS CO COM (929160109)	0	05/12/15	05/19/15 COVERED=Y		1562.19	-1536 86	25.33	N
9 0000	VULCAN MATLS CO COM (929160109)	0	05/12/15	07/09/15 COVERED=Y		775.29	-813 63	-38.34	Y
68 0000	VULCAN MATLS CO COM (929160109)	0	05/12/15	09/16/15 COVERED=Y		6889.49	-6147.43	742.06	N
7 0000	WALGREENS BOOTS ALLIANCE INC COM (931427108)	0	10/07/14	07/09/15 COVERED=Y		623.78	-420.23	203.55	N

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73.0000	WHIRLPOOL CORP (963320106)	0	04/01/15	09/16/15 COVERED=Y		12173 48	-14717.29	-2543.81	N
4.0000	WHIRLPOOL CORP (963320106)	0	07/01/15	09/16/15 COVERED=Y		667 04	-685.22	-18.18	N
24.0000	WILLIAMS COS INC (969457100)	0	10/02/14	05/07/15 COVERED=Y		1186 67	-1310 75	-124.08	N
17.0000	WILLIAMS COS INC (969457100)	0	10/02/14	05/19/15 COVERED=Y		902 13	-928.45	-26.32	N
16.0000	WILLIAMS COS INC (969457100)	0	10/02/14	06/22/15 COVERED=Y		958.38	-873 83	84 55	N
15.0000	WYNDHAM WORLDWIDE CORP COM (98310W108)	0	10/20/14	05/07/15 COVERED=Y		1265.87	-1156 85	109 02	N
12.0000	WYNDHAM WORLDWIDE CORP COM (98310W108)	0	10/20/14	09/16/15 COVERED=Y		941.32	-925 48	15 84	N
10.0000	ZIMMER HOLDINGS INC (98956P102)	0	07/09/15	11/05/15 COVERED=Y		1070.02	-1075 76	-5 74	N
	TOTAL SHORT TERM SALES & MATURITIES					997623.89	1013943.72	-16319.83	
	TOTAL SHORT TERM GAIN & LOSSES							-16319.83	

LONG TERM SALES & MATURITIES

SHARES/PAR	SECURITY	REG	DATE ACQUIRED	DATE SOLD	CAPITAL INCOME CASH	PROCEEDS TO PRINCIPAL CASH	COST BASIS	LONG TERM GAIN OR LOSS	WASH SALE
147.0000	BUNGE LIMITED COM (G16962105)	0	09/04/14	11/05/15 COVERED=Y		10588.06	-12646.71	-2058.65	N
358 0000	LAZARD LTD SHS A (G54050102)	0	09/04/14	10/27/15 COVERED=Y		16952.49	-19423.22	-2470.73	N
14 0000	MEDTRONIC PLC SHS (G5960L103)	0	09/04/14	09/16/15 COVERED=Y		977 68	-900.32	77 36	N
18 0000	MEDTRONIC PLC SHS (G5960L103)	0	09/04/14	11/05/15 COVERED=Y		1367 98	-1157.56	210 42	N
4.0000	ALEXION PHARMACEUTICALS INC COM (015351109)	0	09/25/14	11/05/15 COVERED=Y		684 10	-664 37	19 73	N
13.0000	ALLIANCE DATA SYSTEMS CORP COM (018581108)	0	09/04/14	11/05/15 COVERED=Y		3895 48	-3449 49	445 99	N
4.0000	ALPHABET INC CAP STK CL A (02079K305)	0	11/21/12	11/05/15 COVERED=Y		3044 26	-1332 52	1711 74	N
15 0000	APPLE COMPUTER INC (037833100)	0	09/04/14	09/16/15 COVERED=Y		1739 07	-1493 95	245 12	N
17.0000	APPLE COMPUTER INC (037833100)	0	09/04/14	11/05/15 COVERED=Y		2069 54	-1693 14	376 40	N
5.0000	BLACKROCK INC (09247X101)	0	09/04/14	11/05/15 COVERED=Y		1784 12	-1675 38	108 74	N
23.0000	CVS CORP (126650100)	0	10/01/07	05/07/15 COVERED=Y		2263 73	-869 01	1394 72	N

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SECTION A - CAPITAL GAINS & LOSSES

LONG TERM SALES & MATURITIES

SHARES/PAR	SECURITY	REG	DATE ACQUIRED	DATE SOLD	CAPITAL PROCEEDS TO INCOME CASH	CAPITAL PROCEEDS TO PRINCIPAL CASH	COST BASIS	LONG TERM GAIN OR LOSS	WASH SALE
11 0000	CVS CORP (126650100)	0	10/01/07	07/09/15 COVERED=Y		1165.44	-415.61	749 83	N
18 0000	CVS CORP (126650100)	0	10/01/07	09/16/15 COVERED=Y		1832.05	-680.09	1141 96	N
8 0000	CAPITAL ONE FINANCIAL (14040H105)	0	10/20/14	11/05/15 COVERED=Y		641.53	-612.41	29 12	N
32 0000	CELGENE CORP (151020104)	0	09/04/14	09/16/15 COVERED=Y		3941.23	-3034.88	906 35	N
64 0000	COGNIZANT TECHNOLOGY SOLUTIO CL A (192446102)	0	09/04/14	09/16/15 COVERED=Y		4070 48	-2951.62	1118.86	N
23 0000	COGNIZANT TECHNOLOGY SOLUTIO CL A (192446102)	0	09/04/14	11/05/15 COVERED=Y		1544.61	-1060 74	483.87	N
15 0000	COMCAST CORP (20030N101)	0	09/04/14	11/05/15 COVERED=Y		920.38	-826.60	93.78	N
26.0000	DANAHER CORP (235851102)	0	09/04/14	09/16/15 COVERED=Y		2306 83	-2000 01	306.82	N
14.0000	DANAHER CORP (235851102)	0	09/04/14	11/05/15 COVERED=Y		1344 59	-1076 93	267.66	N
19 0000	EMC CORPORATION (268648102)	0	07/10/12	02/10/15 COVERED=Y		518 06	-450 82	67.24	N
150.0000	EMC CORPORATION (268648102)	0	07/01/13	02/10/15 COVERED=Y		4089.93	-3577.15	512.78	N
196.0000	EMC CORPORATION (268648102)	0	07/01/13	05/01/15 COVERED=Y		5276.44	-4674.15	602 29	N
45.0000	EMC CORPORATION (268648102)	0	07/01/13	05/07/15 COVERED=Y		1167.62	-1073 15	94 47	N
18.0000	EXPRESS SCRIPTS HLDG CO COM (30219G108)	0	09/04/14	09/16/15 COVERED=Y		1517.02	-1330.67	186.35	N
8.0000	EXPRESS SCRIPTS HLDG CO COM (30219G108)	0	09/04/14	11/05/15 COVERED=Y		705.52	-591.41	114 11	N
100000.0000	FHLMC 4.50%, DUE 01/15/15 (3134A4UX0)	0	07/29/08	01/15/15 COVERED=Y		100000.00	-100533 00	-533 00	N
30000 0000	FNMA 5 00%, DUE 04/15/15 (31359MA45)	0	06/22/07	04/15/15 COVERED=Y		30000.00	-29008.50	991.50	N
48 0000	FISERV INC COM (337738108)	0	09/04/14	09/16/15 COVERED=Y		4191.29	-3160.67	1030.62	N
29 0000	GAMESTOP CORP NEW CL A (36467W109)	0	09/04/14	11/05/15 COVERED=Y		1340.94	-1276.14	64 80	N
1 0000	GOOGLE INC CL A (38259P508)	0	11/21/12	05/29/15 COVERED=Y		547.48	-333.13	214 35	N
1 0000	GOOGLE INC CL A (38259P508)	0	11/21/12	07/09/15 COVERED=Y		546.56	-333.13	213.43	N
13.0000	GOOGLE INC CL A (38259P508)	0	11/21/12	09/16/15 COVERED=Y		8659 91	-4330 69	4329.22	N
12.0000	J P MORGAN CHASE & CO (46625H100)	0	09/04/14	09/16/15 COVERED=Y		763 13	-721.88	41.25	N

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SECTION A - CAPITAL GAINS & LOSSES

LONG TERM SALES & MATURITIES

SHARES/PAR	SECURITY	REG	DATE ACQUIRED	DATE SOLD	CAPITAL PROCEEDS TO INCOME CASH	CAPITAL PROCEEDS TO PRINCIPAL CASH	COST BASIS	LONG TERM GAIN OR LOSS	WASH SALE
49.0000	LKQ CORP COM (501889208)	0	09/04/14	09/16/15 COVERED=Y		1498.88	-1423.39	75.49	N
396.0000	LKQ CORP COM (501889208)	0	09/04/14	11/05/15 COVERED=Y		11778.45	-11503.32	275.13	N
32.0000	MASTERCARD INC CL A (57636Q104)	0	09/04/14	09/16/15 COVERED=Y		2946.63	-2454.82	491.81	N
24.0000	MASTERCARD INC CL A (57636Q104)	0	09/04/14	11/05/15 COVERED=Y		2408.36	-1841.12	567.24	N
27.0000	MENTOR GRAPHICS CORP COM (587200106)	0	09/04/14	09/16/15 COVERED=Y		681.20	-610.42	70.78	N
43.0000	MENTOR GRAPHICS CORP COM (587200106)	0	09/04/14	11/05/15 COVERED=Y		1177.32	-972.14	205.18	N
121.0000	MICROSOFT CORP (594918104)	0	03/06/02	05/01/15 COVERED=Y		5882.03	-3826.39	2055.64	N
19.0000	MICROSOFT CORP (594918104)	0	03/06/02	05/07/15 COVERED=Y		878.80	-600.84	277.96	N
29.0000	MICROSOFT CORP (594918104)	0	03/06/02	09/16/15 COVERED=Y		1270.38	-917.07	353.31	N
50.0000	MICROSOFT CORP (594918104)	0	03/06/02	11/05/15 COVERED=Y		2710.45	-1581.15	1129.30	N
18.0000	O REILLY AUTOMOTIVE INC NEW COM (67103H107)	0	09/04/14	09/16/15 COVERED=Y		4522.17	-2846.24	1675.93	N
7.0000	O REILLY AUTOMOTIVE INC NEW COM (67103H107)	0	09/04/14	11/05/15 COVERED=Y		1903.56	-1106.87	796.69	N
17.2000	PJT PARTNERS INC COM CL A (69343T107)	0	09/04/14	11/05/15 COVERED=Y		375.81	-394.87	-19.06	N
18.0000	PNC FINANCIAL SERVICES GROUP INC (693475105)	0	09/04/14	09/16/15 COVERED=Y		1646.17	-1543.50	102.67	N
59.0000	PRUDENTIAL FINANCIAL (744320102)	0	01/18/13	05/01/15 COVERED=Y		4876.93	-3407.18	1469.75	N
48.0000	PRUDENTIAL FINANCIAL (744320102)	0	01/18/13	05/07/15 COVERED=Y		4085.05	-2771.94	1313.11	N
11.0000	PRUDENTIAL FINANCIAL (744320102)	0	01/18/13	07/01/15 COVERED=Y		974.53	-635.24	339.29	N
11.0000	PRUDENTIAL FINANCIAL (744320102)	0	01/18/13	09/16/15 COVERED=Y		881.08	-635.24	245.84	N
12.0000	PRUDENTIAL FINANCIAL (744320102)	0	01/18/13	11/05/15 COVERED=Y		1011.94	-692.99	318.95	N
39.0000	ROSS STORES INC COM (778296103)	0	09/04/14	09/16/15 COVERED=Y		1924.27	-1497.02	427.25	N
16.0000	ROSS STORES INC COM (778296103)	0	09/04/14	11/05/15 COVERED=Y		823.84	-614.16	209.68	N
8.0000	SKYWORKS SOLUTIONS INC COM (83088M102)	0	09/04/14	09/16/15 COVERED=Y		729.27	-444.70	284.57	N
25.0000	STERICYCLE INC COM (858912108)	0	09/04/14	09/16/15 COVERED=Y		3418.84	-2956.98	461.86	N

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14 0000	THERMO FISHER SCIENTIFIC INC COM (883556102)	0	08/24/11	05/07/15 COVERED=Y		1777.78	-750 12	1027.66	N
17.0000	THERMO FISHER SCIENTIFIC INC COM (883556102)	0	08/24/11	09/16/15 COVERED=Y		2136 30	-910 86	1225.44	N
16.0000	THERMO FISHER SCIENTIFIC INC COM (883556102)	0	08/24/11	11/05/15 COVERED=Y		2155 48	-857.28	1298.20	N
30000.0000	TOAL CAPITAL GTD NT 3 125%, DUE 10/02/15 (89152UAA0)	0	03/20/12	10/02/15 COVERED=Y		30000 00	-31998.30	-1998 30	N
34.0000	US BANCORP (902973304)	0	09/04/14	09/16/15 COVERED=Y		1427.15	-1446.63	-19.48	N
17.0000	UNITED TECHNOLOGIES CORP (913017109)	0	01/25/02	05/07/15 COVERED=Y		1960 09	-565.34	1394 75	N
13 0000	UNITED TECHNOLOGIES CORP (913017109)	0	01/25/02	11/05/15 COVERED=Y		1301.80	-432.31	869 49	N
20 0000	VALERO ENERGY CORP (91913Y100)	0	09/04/14	09/16/15 COVERED=Y		1190.62	-1069 54	121 08	N
50 0000	VALERO ENERGY CORP (91913Y100)	0	09/04/14	11/05/15 COVERED=Y		3550.72	-2673 86	876.86	N
390 0000	VANGUARD INTL EQUITY INDEX F FTSE EMR MKT ETF (922042858)	0	11/19/13	01/21/15 COVERED=Y		15944.64	-16313 70	-369.06	N
190 0000	VANGUARD INTL EQUITY INDEX F FTSE EMR MKT ETF (922042858)	0	11/19/13	04/30/15 COVERED=Y		8350.51	-7947 70	402 81	N
27 0000	VERIZON COMMUNICATIONS (92343V104)	0	09/04/14	09/16/15 COVERED=Y		1247.80	-1340.80	-93.00	N
65 0000	WAL MART STORES INC COM (931142103)	0	03/26/08	04/30/15 COVERED=Y		5074.59	-3464 28	1610 31	N
22 0000	WAL MART STORES INC COM (931142103)	0	03/26/08	05/07/15 COVERED=Y		1708.41	-1172 52	535.89	N
	TOTAL LONG TERM SALES & MATURITIES					358679.40	325579.88	33099 52	
	TOTAL LONG TERM GAIN & LOSSES							33099 52	
	TOTAL CAPITAL GAINS & LOSSES							16779.69	

* - SEE POTENTIAL WASH SALE SECTION