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**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2015**Department of the Treasury  
Internal Revenue Service▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2015 or tax year beginning

, 2015, and ending

, 20

Name of foundation

Alice M. O'Brien Foundation

A Employer identification number

41-6018991

B Telephone number (see instructions)

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

1914 Nature View Lane

City or town, state or province, country, and ZIP or foreign postal code

West St Paul MN 55118

C If exemption application is pending, check here ☐

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☒ Other taxable private foundation

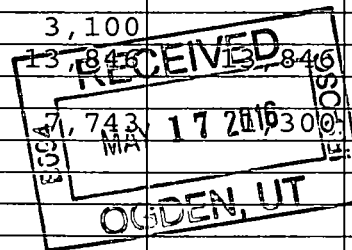
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 3,104,122

J Accounting method. ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
 (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	125,353	125,353	125,353	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	79,086			
b Gross sales price for all assets on line 6a 304,820				
7 Capital gain net income (from Part IV, line 2)		79,086		
8 Net short-term capital gain			3,720	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)	0			
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	204,439	204,439	129,073	
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) Sch 1	3,100		3,100	
c Other professional fees (attach schedule) Sch 1	13,846			
17 Interest				
18 Taxes (attach schedule) (see instructions) Sch 1	7,743			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) Sch 1	254		254	
24 Total operating and administrative expenses. Add lines 13 through 23	24,943	15,146	3,354	0
25 Contributions, gifts, grants paid	161,000			161,000
26 Total expenses and disbursements. Add lines 24 and 25	185,943	15,146	3,354	161,000
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	18,496			
b Net investment income (if negative, enter -0-)		189,293		
c Adjusted net income (if negative, enter -0-)			125,719	

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2015)

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .			176,092	5,512	5,512
	<b>2</b> Savings and temporary cash investments . . . . .					
	<b>3</b> Accounts receivable ▶ Less: allowance for doubtful accounts ▶					
	<b>4</b> Pledges receivable ▶ Less: allowance for doubtful accounts ▶					
	<b>5</b> Grants receivable . . . . .					
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .					
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶					
	<b>8</b> Inventories for sale or use . . . . .					
	<b>9</b> Prepaid expenses and deferred charges . . . . .					
	<b>10a</b> Investments—U S. and state government obligations (attach schedule) . . . . .	Sch 2		131,298	131,298	131,234
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	Sch 3		2,124,819	2,313,895	2,730,254
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	Sch 2		249,313	249,313	237,122
	<b>11</b> Investments—land, buildings, and equipment, basis ▶ Less: accumulated depreciation (attach schedule) ▶					
	<b>12</b> Investments—mortgage loans . . . . .					
	<b>13</b> Investments—other (attach schedule) . . . . .					
	<b>14</b> Land, buildings, and equipment, basis ▶ Less: accumulated depreciation (attach schedule) ▶					
	<b>15</b> Other assets (describe ▶ )					
	<b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .			2,681,522	2,700,018	3,104,122
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .					
	<b>18</b> Grants payable . . . . .					
	<b>19</b> Deferred revenue . . . . .					
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons . . . . .					
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .					
	<b>22</b> Other liabilities (describe ▶ )					
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .			0	0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>					
	<b>24</b> Unrestricted . . . . .					
	<b>25</b> Temporarily restricted . . . . .					
	<b>26</b> Permanently restricted . . . . .					
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>					
	<b>27</b> Capital stock, trust principal, or current funds . . . . .			2,681,522	2,700,018	
	<b>28</b> Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .					
	<b>29</b> Retained earnings, accumulated income, endowment, or other funds . . . . .					
	<b>30 Total net assets or fund balances</b> (see instructions) . . . . .			2,681,522	2,700,018	
	<b>31 Total liabilities and net assets/fund balances</b> (see instructions) . . . . .			2,681,522	2,700,018	

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	2,681,522
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	18,496
<b>3</b> Other increases not included in line 2 (itemize) ▶	<b>3</b>	
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	2,700,018
<b>5</b> Decreases not included in line 2 (itemize) ▶	<b>5</b>	
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	<b>6</b>	2,700,018

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1a</b>	- See Schedule 4 -			
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b> 304,820		225,734	79,086	
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b>	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	79,086
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . . }		<b>3</b>	3,720

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	156,500	3,215,999	0.0487
2013	149,500	3,040,172	0.0492
2012	149,000	2,817,391	0.0529
2011	149,500	2,806,071	0.0533
2010	150,000	2,801,860	0.0535
<b>2</b>	Total of line 1, column (d)		<b>2</b> 0.2576
<b>3</b>	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		<b>3</b> 0.0515
<b>4</b>	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5		<b>4</b> 3,154,302
<b>5</b>	Multiply line 4 by line 3		<b>5</b> 162,447
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b)		<b>6</b> 1,893
<b>7</b>	Add lines 5 and 6		<b>7</b> 164,340
<b>8</b>	Enter qualifying distributions from Part XII, line 4		<b>8</b> 161,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	3,786	
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>		
<b>3</b>	Add lines 1 and 2	<b>3</b>	3,786	
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	3,786	
<b>6</b>	Credits/Payments:			
<b>a</b>	2015 estimated tax payments and 2014 overpayment credited to 2015	<b>6a</b>	3,840	
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	3,840	
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	0	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	54	
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2016 estimated tax</b> 54 <b>Refunded</b>	<b>11</b>	0	

**Part VII-A Statements Regarding Activities**

<b>1a</b>	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	<b>Yes</b>	<b>No</b>
<b>1a</b>			X
<b>b</b>	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		X
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
<b>c</b>	Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b>	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ► \$ N/A (2) On foundation managers ► \$ N/A		
<b>e</b>	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ N/A		
<b>2</b>	Has the foundation engaged in any activities that have not previously been reported to the IRS?	<b>2</b>	X
	<i>If "Yes," attach a detailed description of the activities.</i>		
<b>3</b>	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>	<b>3</b>	X
<b>4a</b>	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	<b>4a</b>	X
<b>b</b>	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	<b>4b</b>	N/A
<b>5</b>	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	<b>5</b>	X
	<i>If "Yes," attach the statement required by General Instruction T.</i>		
<b>6</b>	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<b>6</b>	X
<b>7</b>	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	<b>7</b>	X
<b>8a</b>	Enter the states to which the foundation reports or with which it is registered (see instructions) ► Minnesota		
<b>b</b>	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	<b>8b</b>	X
<b>9</b>	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	<b>9</b>	X
<b>10</b>	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	<b>10</b>	X

**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
<b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
<b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
<b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► aobfoundation.org	X	
<b>14</b> The books are in care of ► Alice O'Brien Berquist Telephone no. ► Located at ► 1914 Nature View Ln West St Paul MN ZIP+4 ► 55118		
<b>15</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. ► <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
<b>16</b> At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
<b>1a</b> During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	N/A
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b> At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20 , 20 , 20 , 20		
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	X
<b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20		
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	3b	N/A
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<b>5a</b> During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		<b>5b</b>		N/A
Organizations relying on a current notice regarding disaster assistance check here	<input type="checkbox"/>			
<b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>N/A</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No			
<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>				
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		<b>6b</b>		X
<i>If "Yes" to 6b, file Form 8870.</i>				
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		<b>7b</b>		N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
- See Schedule 6 -				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

**Total** number of other employees paid over \$50,000

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

**Total** number of others receiving over \$50,000 for professional services . . . . . ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> None	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
<b>1</b> None	
<b>2</b>	
All other program-related investments See instructions	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	<b>0</b>



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	3,111,535
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	90,802
<b>c</b>	Fair market value of all other assets (see instructions) . . . . .	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	3,202,337
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	3,202,337
<b>4</b>	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions) . . . . .	<b>4</b>	48,035
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .	<b>5</b>	3,154,302
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	157,715

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	157,715
<b>2a</b>	Tax on investment income for 2015 from Part VI, line 5 . . . . .	<b>2a</b>	3,786
<b>b</b>	Income tax for 2015. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	3,786
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	153,929
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	153,929
<b>6</b>	Deduction from distributable amount (see instructions) . . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	153,929

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 . . . . .	<b>1a</b>	161,000
<b>b</b>	Program-related investments—total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	161,000
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	161,000

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7 . . . . .				153,929
<b>2</b> Undistributed income, if any, as of the end of 2015:				
<b>a</b> Enter amount for 2014 only . . . . .			0	
<b>b</b> Total for prior years: 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2015:				
<b>a</b> From 2010 . . . . .	11,995			
<b>b</b> From 2011 . . . . .	11,995			
<b>c</b> From 2012 . . . . .	12,183			
<b>d</b> From 2013 . . . . .	902			
<b>e</b> From 2014 . . . . .	0			
<b>f</b> <b>Total</b> of lines 3a through e . . . . .	37,075			
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4: ► \$ 161,000				
<b>a</b> Applied to 2014, but not more than line 2a . . . . .			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions) . . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions) . . . . .				
<b>d</b> Applied to 2015 distributable amount . . . . .				153,929
<b>e</b> Remaining amount distributed out of corpus . . . . .	7,071			
<b>5</b> Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	44,146			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . . . .	11,995			
<b>9</b> <b>Excess distributions carryover to 2016.</b> Subtract lines 7 and 8 from line 6a . . . . .	32,151			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2011 . . . . .	11,995			
<b>b</b> Excess from 2012 . . . . .	12,183			
<b>c</b> Excess from 2013 . . . . .	902			
<b>d</b> Excess from 2014 . . . . .	0			
<b>e</b> Excess from 2015 . . . . .	7,071			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b>	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . .	N/A				
<b>b</b>	Check box to indicate whether the foundation is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b>	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
		(a) 2015	(b) 2014	(c) 2013	(d) 2012	
						0
<b>b</b>	85% of line 2a . . . . .	0	0	0	0	0
<b>c</b>	Qualifying distributions from Part XII, line 4 for each year listed . . . . .					0
<b>d</b>	Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					0
<b>e</b>	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .	0	0	0	0	0
<b>3</b>	Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b>	"Assets" alternative test—enter					
	(1) Value of all assets . . . . .					0
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					0
<b>b</b>	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					0
<b>c</b>	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					0
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					0
	(3) Largest amount of support from an exempt organization . . . . .					0
	(4) Gross investment income . . . . .					0

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2) )

None

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>				
- See Schedule 5 -				161,000
<b>Total</b>			▶	<b>3a</b> 161,000
<b>b</b> <i>Approved for future payment</i>				
N/A				
<b>Total</b>			▶	<b>3b</b> 0

<b>Part XVI-A</b>	<b>Analysis of Income-Producing Activities</b>	<b>N/A</b>
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Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments . . . . .					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities . . . . .					
5	Net rental income or (loss) from real estate:					
a	Debt-financed property . . . . .					
b	Not debt-financed property . . . . .					
6	Net rental income or (loss) from personal property					
7	Other investment income . . . . .					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events . . . . .					
10	Gross profit or (loss) from sales of inventory . . . . .					
11	Other revenue: a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e) . . . . .		0		0	0
13	Total. Add line 12, columns (b), (d), and (e) . . . . .					

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

<b>Part XVII</b>	<b>Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations</b>	N/A
------------------	--	-----

- |   |   |              |           |
|---|---|--------------|-----------|
| <b>1.</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? |   | <b>Yes</b>   | <b>No</b> |
| <b>a</b>  | Transfers from the reporting foundation to a noncharitable exempt organization of:  |              |           |
|   | (1) Cash  | <b>1a(1)</b> |           |
|   | (2) Other assets  | <b>1a(2)</b> |           |
| <b>b</b>  | Other transactions.   |              |           |
|   | (1) Sales of assets to a noncharitable exempt organization  | <b>1b(1)</b> |           |
|   | (2) Purchases of assets from a noncharitable exempt organization  | <b>1b(2)</b> |           |
|   | (3) Rental of facilities, equipment, or other assets  | <b>1b(3)</b> |           |
|   | (4) Reimbursement arrangements  | <b>1b(4)</b> |           |
|   | (5) Loans or loan guarantees  | <b>1b(5)</b> |           |
|   | (6) Performance of services or membership or fundraising solicitations  | <b>1b(6)</b> |           |
| <b>c</b>  | Sharing of facilities, equipment, mailing lists, other assets, or paid employees  | <b>1c</b>    |           |
| <b>d</b>  | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received |              |           |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee Alice O. Belgurison

5/10/16

Date

President

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

John S McCarthy

Preparer's signature \_\_\_\_\_

W. S. M. = (with) S.

Date \_\_\_\_\_

July 11

Check ☒ if self-employed

PTIN

P00403424

Firm's name ▶ John S McCarthy, CPA

Firm's address ▶ 2345 Rice St #228 St Paul, MN 55113

Firm's EIN ▶ 41-1542904

Phone no 651-482-9503

**ALICE M. O'BRIEN FOUNDATION 41-6018991**

**December 31, 2015**

**FORM 990-PF**

Part 1 Line 16(b) Accounting Fees:

Services provided by John S. McCarthy, C.P.A. for preparation of 2014 Form 990-PF	\$	<u>3,100</u>
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Part 1 Line 16(c) Other Professional Fees:

Services provided by Atlantic Trust Private Wealth Management as investment advisor	\$	<u>13,846</u>
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Part 1 Line 18 Taxes:

Foreign taxes paid	\$	1,300
Federal 990-PF balance due 2014		2,603
Federal 990-PF estimates paid for 2015		<u>3,840</u>
Total	\$	<u>7,743</u>

Part 1 Line 23 Other Expenses:

Website expenses	\$	159
Meeting expense		70
State registration fee		<u>25</u>
Total	\$	<u>254</u>

**Schedule 1**

**ALICE M. O'BRIEN FOUNDATION 41-6018991**

**BOND HOLDINGS  
Form 990-PF  
December 31, 2015**

Part II Lines 10a & 10c

<u>BOND HOLDINGS &amp; US TREASURY NOTES</u>	<u>PAR VALUE</u>	<u>COST</u>	<u>MARKET VALUE</u>
Bank Amer Corp 2.6% 1/15/19	25M	\$25,486	\$25,074
Cisco Systems 3.625% 3/4/24	25M	25,982	26,104
Citigroup Inc 4.45% 1/10/17	25M	27,006	25,754
General Elect Co 5.25% 12/6/17	25M	29,635	26,713
Goldman Sachs 3.625% 2/7/16	25M	26,919	25,063
J P Morgan Chase 6.00% 1/15/18	25M	30,310	26,956
Pepsico Inc 4.5% 1/15/20	25M	28,456	27,144
Shell Int'l 4.3% 9/22/19	25M	27,883	26,655
Wal-Mart Stores 4.25% 4/15/21	25M	<u>27,636</u>	<u>27,659</u>
 TOTAL CORPORATE BOND HOLDINGS (10c)		<u>\$249,313</u>	<u>\$237,122</u>
 FNMA 1.375% 11/15/16	25M	\$25,400	\$25,124
Federal Home Loan Bank 4.875% 5/17/17	25M	<u>27,862</u>	<u>26,309</u>
 TOTAL U S GOVT BONDS		53,262	51,433
 U S Treasury Note 2.25% 11/30/17	25M	26,197	25,555
U S Treasury Note 2.375% 12/31/20	25M	25,904	25,703
U S Treas Infl Idx 1.375% 7/15/18	25M	<u>25,935</u>	<u>28,543</u>
 TOTAL U S TREASURY NOTES		<u>78,036</u>	<u>79,801</u>
 TOTAL U S GOVT OBLIGATIONS (10a)		<u>\$131,298</u>	<u>\$131,234</u>



**ALICE M. O'BRIEN FOUNDATION 41-6018991**  
**STOCK HOLDINGS**  
**Form 990-PF**  
**December 31, 2015**

Part II Line 10b

<u>CORPORATIONS</u>	<u>NO. OF SHARES</u>		<u>COST</u>	<u>MARKET VALUE</u>
Aetna Inc	260	\$	7,554	\$ 28,111
Allergan PLC	124		35,103	38,750
Alliance Data Systems	71		3,966	19,636
Alphabet Inc Cl A	25		6,761	19,450
Alphabet Inc Cl C	63		27,134	47,809
Amazon Com Inc	94		29,135	63,534
American Express Co	245		19,385	17,040
Anadarko Petroleum Corp	375		25,371	18,218
Apple Inc	410		18,990	43,157
Auto Data Processing	495		23,778	41,936
Blackrock Inc	38		6,144	12,940
Boeing Co	185		13,897	26,749
Capital One Financial	405		23,472	29,233
Cigna Corp	125		17,544	18,291
Cisco Systems Inc	840		13,293	22,810
Citigroup Inc New	314		13,678	16,250
Citrix Systems	255		15,411	19,291
Colgate-Palmolive Co	265		17,074	17,654
Comcast Corp	605		20,532	34,140
CVS Health Corp	252		8,058	24,638
Danaher Corp Del	300		10,547	27,864
Dollar General Corp	365		16,120	26,233
EMC Corp Mass	1,030		25,125	26,450
EOG Resources Inc	160		13,440	11,326
EQT Corp	160		6,206	8,341
Express Scripts Holding Co	366		15,352	31,992
Fidelity Natl Info Svcs	292		7,078	17,695
Fiserv Inc	245		5,970	22,408
General Electric Co	1,225		42,215	38,159
Home Depot Inc	300		23,064	39,675
J P Morgan Chase & Co	440		17,246	29,053
Johnson & Johnson	280		20,982	28,762
Kinder Morgan Inc	905		19,454	13,503
Macys Inc	580		31,540	20,288
Medtronic Inc	589		45,324	45,306
Merck & Co Inc	449		13,381	23,716

Microsoft Corp	850	25,870	47,158
Nextera Energy Inc	165	17,647	17,142
Omnicom Group Inc	210	14,653	15,889
Oracle Corp	750	16,214	27,398
Pepsico Inc	225	14,966	22,482
Praxair Inc	220	17,567	22,528
Prudential Financial Inc	425	37,304	34,599
Qualcomm Inc	170	11,518	8,497
Stryker Corp	285	14,193	26,488
Thermo Fisher Scientific	85	11,636	12,057
T J X Companies Inc	300	10,384	21,273
Tyco Intl PLC	580	23,626	18,496
U S Bancorp	725	26,179	30,936
Union Pacific Corp	230	15,865	17,986
United Technologies Corp	230	14,326	22,096
United Health Group Inc	270	6,637	31,763
V F Corporation	280	5,009	17,430
Verizon Communications	615	29,963	28,425
VISA Inc Cl A	280	9,861	21,714
Wells Fargo & Co	465	12,727	25,277
Williams Companies	605	<u>15,391</u>	<u>15,549</u>
Total Corporations		<u>1,010,860</u>	<u>1,455,591</u>

#### MUTUAL FUNDS

Harbor International	5,734.801	387,777	340,819
IVA Intl Fund	7,046.466	113,036	109,784
Matthews Pacific Tiger	7,984.452	178,680	187,794
Neuberger Berman Equity	3,603.195	36,784	41,185
Touchstone Small Cap	16,564.931	292,469	260,235
Virtus Emrg Mkts Oppty	5,214.615	<u>52,179</u>	<u>46,723</u>
Total Mutual Funds		<u>1,060,925</u>	<u>986,540</u>

#### OTHER ASSETS

Barclays Select MLP	1,300	29,687	24,193
Ishares MSCI Emrg Mkt Fund	1,800	68,373	57,942
Simon Ppty Group REIT	117	17,428	22,749
Spdr Dow Jones REIT	1,500	68,083	137,445
Vanguard FTSE Emerging	1,400	<u>58,539</u>	<u>45,794</u>
Total Other Assets		<u>242,110</u>	<u>288,123</u>

GRAND TOTAL	\$	<u>2,313,895</u>	\$	<u>2,730,254</u>
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**Alice M. O'Brien Foundation 41-6018991**  
**Form 990-PF Part IV**  
**Capital Gains and Losses for Tax on Investment Income**  
**December 31, 2015**

KIND a	DESCRIPTION	ACQ b	DATE ACQUIRED c	DATE SOLD d	SALES PRICE e	COST g	GAIN (LOSS) h	
Mut Fd	Neuberger Berman Equity	P	Various	09/11/15	30,000	28,627	1,755 (382)	*
Other	81 Avalonbay Cmnty Inc	P	01/06/14	02/09/15	13,648	10,111	3,537	
Stock	10 Aetna Inc	P	09/24/09	06/04/15	1,148	291	857	
Stock	215 Apache Corp	P	02/27/13	01/23/15	13,541	17,304	(3,763)	
Stock	750 Bank of America Corp	P	09/24/09	07/14/15	12,836	12,617	219	
Stock	1005 Bank of America Corp	P	07/23/14	12/04/15	17,833	14,424	3,409	
Stock	215 Check Point Software	P	08/27/12	02/24/15	17,785	10,145	7,640	
Stock	195 Comcast Corp	P	10/05/09	04/27/15	11,533	3,585	7,948	
Stock	460 Covidien Plc New	P	Various	01/27/15	50,027	25,502	22,287	
							2,238	*
Stock	140 DirecTV	P	03/07/13	03/12/15	12,069	6,905	5,164	
Stock	85 Disney Walt Co	P	08/08/13	08/12/15	9,077	5,430	3,647	
Stock	85 Disney Walt Co	P	08/28/13	10/29/15	9,765	5,188	4,577	
Stock	90 Express Scripts Hldg	P	09/24/09	07/31/15	8,127	4,366	3,761	
Stock	35 Express Scripts Hldg	P	09/24/09	02/26/15	2,944	2,044	900	
Stock	115 Fiserv Inc	P	09/24/09	08/27/15	9,850	2,802	7,048	
Stock	275 General Electric Co	P	09/21/99	11/11/15	8,364	10,990	(2,626)	
Stock	.1455 Google Inc	P	03/15/11	05/04/15	81	41	40	
Stock	10 Home Depot Inc	P	11/13/13	02/26/15	1,145	772	373	
Stock	560 Marathon Oil Corp	P	12/12/13	07/29/15	11,839	20,258	(8,419)	
Stock	Medtronic	P	06/20/14	01/27/15	11,543	9,677	1,866	*
			01/27/15	01/28/15	56	58	(2)	*
Stock	105 Omnicom Group Inc	P	07/16/14	11/04/15	7,740	7,512	228	
Stock	125 TJX Companies Inc	P	08/09/10	08/24/15	8,799	5,418	3,381	
Stock	230 Teradata Corp	P	05/09/13	06/12/15	8,862	11,943	(3,081)	
Stock	55 Visa Inc	P	12/20/10	03/06/15	14,815	3,730	11,085	
Stock	190 Williams Companies	P	09/24/09	06/22/15	11,393	5,994	5,399	
	Capital Gain Net Income (Loss)				304,820	225,734	79,086	

\* Short-term gain (loss)

Schedule 4

**Alice M. O'Brien Foundation**  
**41-6018991**  
**Contributions**  
**Form 990-PF, Part XV, Line 3a**  
**Year Ended December 31, 2015**

Page 1 of 3

<u>Recipient</u>	<u>Relationship</u>	<u>Status</u>	<u>Purpose</u>	<u>Amount</u>
Achieve Minneapolis Minneapolis, MN	N/A	Public	Continuing Operations	\$ 500
Bard College Annandale on Hudson, NY	N/A	Public	Continuing Operations	500
Crossroads Scarborough, ME	N/A	Public	Continuing Operations	1,000
Dorothy Day Center Minneapolis, MN	N/A	Public	Continuing Operations	22,500
Eckerd College St Petersburg, FL	N/A	Public	Dept of Service Learning	500
Goodwin Elementary School Storrs, CT	N/A	Public	Continuing Operations	1,500
Habitat for Humanity Minneapolis, MN	N/A	Public	Continuing Operations	500
Have Dreams Evanston, IL	N/A	Public	Continuing Operations	500
High Mountain Institute Leadville, CO	N/A	Public	Continuing Operations	1,000
Holland Hall School Tulsa, OK	N/A	Public	Continuing Operations	2,500
Illusion Theater Minneapolis, MN	N/A	Public	Continuing Operations	1,000
Junior League Kansas City, MO	N/A	Public	Continuing Operations	500
Lake Harriet Yacht Club Minneapolis, MN	N/A	Public	Continuing Operations	1,000
Little Sisters of the Poor St. Paul, MN	N/A	Public	Continuing Operations	5,000
Marine Elementary School Marine on St Croix, MN	N/A	Public	Library Association	500
MN Network of Hospice Care North St Paul, MN	N/A	Public	Continuing Operations	500

Minnesota Waldorf School St. Paul, MN	N/A	Public	Continuing Operations	1,000
Mpls Institute of Arts Minneapolis, MN	N/A	Public	Continuing Operations	2,500
Mounds Park Academy Maplewood, MN	N/A	Public	Continuing Operations	1,500
National Org Fetal Alcohol Syndrome Washington, D C.	N/A	Public	Continuing Operations	1,000
Palmer Ridge H.S. Foundation Monument, CO	N/A	Public	Continuing Operations Engineering Dept.	500 1,000
Partnership Plan Stillwater, MN	N/A	Public	Marine Elementary School	2,500
Prairies Association of Texas San Marcos, TX	N/A	Public	Continuing Operations	1,000
St Croix River Assn. St Croix Falls, WI	N/A	Public	Continuing Operations	500
St Paul Academy/Summit School St. Paul, MN	N/A	Public	Continuing Operations	7,500
St Paul Chamber Orchestra St. Paul, MN	N/A	Public	Continuing Operations	1,000
St Paul Urban Tennis St. Paul, MN	N/A	Public	Continuing Operations	500
Salvation Army St. Paul, MN	N/A	Public	Continuing Operations	22,500
Schubert Club St. Paul, MN	N/A	Public	Project CHEER	2,500
Schulze Diabetes Institute Minneapolis, MN	N/A	Public	Continuing Operations	21,500
Second Harvest Heartland Maplewood, MN	N/A	Public	Continuing Operations	500
South High Foundation Minneapolis, MN	N/A	Public	Scholarship Fund	500
Theodore Roosevelt Natl Park Medora, ND	N/A	Public	Continuing Operations Nature & History Assoc	500 500

University of Minnesota Minneapolis, MN	N/A	Public	Medical Foundation	45,000
Urban Squash Twin Cities St. Paul, MN	N/A	Public	Beyond Walls	5,000
Unity Church Unitarian St. Paul, MN	N/A	Public	Continuing Operations	500
Wayzata-Plymouth Meals on Wheels Plymouth, MN	N/A	Public	Continuing Operations	1,000
Yadkin Riverkeeper Inc. Winston-Salem, NC	N/A	Public	Continuing Operations	1,000
TOTAL				\$ <u>161,000</u>

**Alice M. O'Brien Foundation**  
**41-6018991**  
**Information About Officers, Directors, Trustees**  
**Form 990-PF, Part VIII**  
**Year Ended December 31, 2015**

Alice O'Brien Berquist	West St Paul, MN	President
Alvina O'Brien	St Paul, MN	Secretary
Robin W Brooksbank	Marine on St Croix, MN	Director
Terence O'Brien Jr	St Paul, MN	Director
Thomond R O'Brien Jr	Stillwater, MN	Director

**Schedule 6**