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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2015 or tax year beginning

, 2015, and ending

, 20

Name of foundation

PIPER JAFFRAY FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

800 NICOLLET MALL, J09SFA

City or town, state or province, country, and ZIP or foreign postal code

MINNEAPOLIS, MN 55402

G Check all that apply



Initial return



Final return



Address change

Initial return of a former public charity

Amended return

Name change

H Check type of organization:



Section 501(c)(3) exempt private foundation

 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 925,766.

J Accounting method: Cash Accrual
 Other (specify) _____

(Part I, column (d) must be on cash basis.)

A Employer identification number

41-1734808

B Telephone number (see instructions)

(612) 303-0447

C If exemption application is pending, check here. ► D 1. Foreign organizations, check here. ►
2. Foreign organizations meeting the 85% test, check here and attach computation. ► E If private foundation status was terminated under section 507(b)(1)(A), check here. ► F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. ►

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

| | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants etc., received (attach schedule) | 1,705,963. | | | |
| 2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B. | | | | |
| 3 Interest on savings and temporary cash investments | | | | |
| 4 Dividends and interest from securities | 399. | 399. | | ATCH 1 |
| 5a Gross rents | | | | |
| b Net rental income or (loss) | | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | | | | |
| b Gross sales price for all assets on line 6a | | | | |
| 7 Capital gain net income (from Part IV, line 2) | | 0. | | |
| 8 Net short-term capital gain. | | | | |
| 9 Income modifications | | | | |
| 10a Gross sales less returns and allowances | | | | |
| b Less Cost of goods sold | | | | |
| c Gross profit or (loss) (attach schedule) | | | | |
| 11 Other income (attach schedule) | | | | |
| 12 Total. Add lines 1 through 11 | 1,706,362. | 399. | | |
| 13 Compensation of officers, directors, trustees, etc | 0. | | | |
| 14 Other employee salaries and wages | | | | |
| 15 Pension plans, employee benefits | | | | |
| 16a Legal fees (attach schedule) | | | | |
| b Accounting fees (attach schedule) | | | | |
| c Other professional fees (attach schedule) | | | | |
| 17 Interest | | | | |
| 18 Taxes (attach schedule) (see instructions) | | | | |
| 19 Depreciation (attach schedule) and depletion | | | | |
| 20 Occupancy | | | | |
| 21 Travel, conferences, and meetings | | | | |
| 22 Printing and publications | | | | |
| 23 Other expenses (attach schedule) ATCH 2 | -4,936. | | | |
| 24 Total operating and administrative expenses. Add lines 13 through 23. | -4,936. | | | |
| 25 Contributions, gifts, grants paid | 1,385,155. | | | 1,385,155. |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 1,380,219. | | | 1,385,155. |
| 27 Subtract line 26 from line 12: | | | | |
| a Excess of revenue over expenses and disbursements | 326,143. | | | |
| b Net investment income (if negative, enter -0-) | | 399. | | |
| c Adjusted net income (if negative, enter -0-) | | | | |

| | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.) | Beginning of year | End of year | |
|------------------------------------|--|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | | | | |
| 1 | Cash - non-interest-bearing | | | |
| 2 | Savings and temporary cash investments | 604,495. | 925,766. | 925,766. |
| 3 | Accounts receivable ► | | | |
| | Less: allowance for doubtful accounts ► | | | |
| 4 | Pledges receivable ► | | | |
| | Less: allowance for doubtful accounts ► | | | |
| 5 | Grants receivable | | | |
| 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| 7 | Other notes and loans receivable (attach schedule) ► | | | |
| | Less: allowance for doubtful accounts ► | | | |
| 8 | Inventories for sale or use. | | | |
| 9 | Prepaid expenses and deferred charges | | | |
| 10a | Investments - U.S. and state government obligations (attach schedule). | | | |
| b | Investments - corporate stock (attach schedule) | | | |
| c | Investments - corporate bonds (attach schedule) | | | |
| 11 | Investments - land, buildings, and equipment basis ► | | | |
| | Less accumulated depreciation ► (attach schedule) | | | |
| 12 | Investments - mortgage loans | | | |
| 13 | Investments - other (attach schedule) | | | |
| 14 | Land, buildings, and equipment basis ► | | | |
| | Less accumulated depreciation ► (attach schedule) | | | |
| 15 | Other assets (describe ►) | | | |
| 16 | Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | 604,495. | 925,766. | 925,766. |
| Liabilities | | | | |
| 17 | Accounts payable and accrued expenses | 4,872. | | |
| 18 | Grants payable | | | |
| 19 | Deferred revenue | | | |
| 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 | Mortgages and other notes payable (attach schedule) | | | |
| 22 | Other liabilities (describe ►) | | | |
| 23 | Total liabilities (add lines 17 through 22) | 4,872. | 0. | |
| Net Assets or Fund Balances | | | | |
| | Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | |
| 24 | Unrestricted | 599,623. | 925,766. | |
| 25 | Temporarily restricted | | | |
| 26 | Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. | | | |
| 27 | Capital stock, trust principal, or current funds | | | |
| 28 | Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| 30 | Total net assets or fund balances (see instructions) | 599,623. | 925,766. | |
| 31 | Total liabilities and net assets/fund balances (see instructions) | 604,495. | 925,766. | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|--|---|----------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 599,623. |
| 2 | Enter amount from Part I, line 27a | 2 | 326,143. |
| 3 | Other increases not included in line 2 (itemize) ► | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 925,766. |
| 5 | Decreases not included in line 2 (itemize) ► | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 925,766. |

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Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|---|---|--|----------------------------------|
| 1a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | | (h) Gain or (loss) (e) plus (f) minus (g) | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) | | |
| (l) F.M.V. as of 12/31/69 | (i) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 2 Capital gain net income or (net capital loss) | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | | 2 | | |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) | If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | | 3 | | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|--|--|--|---|
| 2014 | 914,943. | 866,109. | 1.056383 |
| 2013 | 826,053. | 1,003,348. | 0.823297 |
| 2012 | 368,170. | 944,979. | 0.389607 |
| 2011 | 935,228. | 1,471,669. | 0.635488 |
| 2010 | 74,400. | 1,007,047. | 0.073879 |
| 2 Total of line 1, column (d) | | 2 | 2.978654 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | 3 | 0.595731 |
| 4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 | | 4 | 1,068,000. |
| 5 Multiply line 4 by line 3 | | 5 | 636,241. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | 6 | 4. |
| 7 Add lines 5 and 6 | | 7 | 636,245. |
| 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions. | | 8 | 1,385,155. |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | |
|--|----------------|-----|
| 1a Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 . . . | 1 | 4. |
| Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions) | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . | 2 | 4. |
| c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b). . . | 3 | 0. |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . | 4 | 0. |
| 3 Add lines 1 and 2 . . . | 5 | 4. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . | | |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . | | |
| 6 Credits/Payments. | | |
| a 2015 estimated tax payments and 2014 overpayment credited to 2015 . . . | 6a | 13. |
| b Exempt foreign organizations - tax withheld at source . . . | 6b | |
| c Tax paid with application for extension of time to file (Form 8868) . . . | 6c | 12. |
| d Backup withholding erroneously withheld . . . | 6d | |
| 7 Total credits and payments. Add lines 6a through 6d . . . | 7 | 25. |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . | 8 | |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . | 9 | |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . | 10 | 21. |
| 11 Enter the amount of line 10 to be Credited to 2016 estimated tax ► | 11 | |
| | 21. Refunded ► | |

Part VII-A Statements Regarding Activities

| | | |
|--|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . | Yes | No |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . | X | |
| If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities | | |
| 1c Did the foundation file Form 1120-POL for this year? . . . | X | |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers. ► \$ _____ | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____ | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . If "Yes," attach a detailed description of the activities. | X | |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | X | |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . | X | |
| b If "Yes," has it filed a tax return on Form 990-T for this year? . . . | X | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . If "Yes," attach the statement required by General Instruction T. | X | |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► <u>MN</u> , | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . | X | |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . | X | |

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Part VII-A Statements Regarding Activities (continued)

| | Yes | No |
|--|------------|-----------|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | X |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | X |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.PIPERJAFFRAY.COM | 13 | X |
| 14 The books are in care of ► GREGORY MEYER Telephone no ► 612-303-0477 Located at ► 800 NICOLLET MALL, J09SFA MINNEAPOLIS, MN ZIP+4 ► 55402 | | |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ► 15 and enter the amount of tax-exempt interest received or accrued during the year | | |
| 16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► | 16 | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|--|------------------------------|--|
| 1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► | 1b | |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? | 1c | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| If "Yes," list the years ► | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) | 2b | X |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) | 3b | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? | 4a | X |
| | 4b | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | | | |
|-----|---|---|--|------|
| 6a | During the year did the foundation pay or incur any amount to: | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (1) | Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) | Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) | Provide a grant to an individual for travel, study, or other similar purposes? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) | Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (5) | Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? | | | 5b |
| | Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/> | | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| | <i>If "Yes," attach the statement required by Regulations section 53.4945-5(d)</i> | | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | | 6b X |
| | <i>If "Yes" to 6b, file Form 8870.</i> | | | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | | | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| ATCH 3 | | 0. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000. ►

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | 0. |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services ► | | |

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|----------|
| 1 N/A | |
| | |
| 2 | |
| | |
| 3 | |
| | |
| 4 | |
| | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 NONE | |
| | |
| 2 | |
| | |
| All other program-related investments. See instructions. | |
| 3 NONE | |
| | |
| Total. Add lines 1 through 3 ► | |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | |
|---|---------------|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | |
| a Average monthly fair market value of securities | 1a |
| b Average of monthly cash balances | 1b 959,732. |
| c Fair market value of all other assets (see instructions) | 1c 124,532. |
| d Total (add lines 1a, b, and c) | 1d 1,084,264. |
| e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e |
| 2 Acquisition indebtedness applicable to line 1 assets | 2 |
| 3 Subtract line 2 from line 1d | 3 1,084,264. |
| 4 Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions) | 4 16,264. |
| 5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 1,068,000. |
| 6 Minimum investment return. Enter 5% of line 5 | 6 53,400. |

**Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations
and certain foreign organizations check here ► and do not complete this part)**

| | |
|---|-----------|
| 1 Minimum investment return from Part X, line 6 | 1 53,400. |
| 2a Tax on investment income for 2015 from Part VI, line 5 | 2a 4. |
| b Income tax for 2015 (This does not include the tax from Part VI) | 2b |
| c Add lines 2a and 2b | 2c 4. |
| 3 Distributable amount before adjustments. Subtract line 2c from line 1 | 3 53,396. |
| 4 Recoveries of amounts treated as qualifying distributions | 4 |
| 5 Add lines 3 and 4 | 5 53,396. |
| 6 Deduction from distributable amount (see instructions) | 6 |
| 7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 53,396. |

Part XII Qualifying Distributions (see instructions)

| | |
|---|---------------|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | |
| a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a 1,385,155. |
| b Program-related investments - total from Part IX-B | 1b |
| 2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 |
| 3 Amounts set aside for specific charitable projects that satisfy the: | |
| a Suitability test (prior IRS approval required) | 3a |
| b Cash distribution test (attach the required schedule) | 3b |
| 4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 1,385,155. |
| 5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 4. |
| 6 Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 1,385,151. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2014 | (c) 2014 | (d) 2015 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2015 from Part XI, line 7 | | | | 53,396. |
| 2 Undistributed income, if any, as of the end of 2015 | | | | |
| a Enter amount for 2014 only. | | | | |
| b Total for prior years 20 13 , 20 12 , 20 11 | | | | |
| 3 Excess distributions carryover, if any, to 2015 | | | | |
| a From 2010 | 24,071. | | | |
| b From 2011 | 861,729. | | | |
| c From 2012 | 320,989. | | | |
| d From 2013 | 775,926. | | | |
| e From 2014 | 871,662. | | | |
| f Total of lines 3a through e | 2,854,377. | | | |
| 4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ 1,385,155. | | | | |
| a Applied to 2014, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | | | |
| c Treated as distributions out of corpus (Election required - see instructions) | | | | |
| d Applied to 2015 distributable amount. | | | | 53,396. |
| e Remaining amount distributed out of corpus. | 1,331,759. | | | |
| 5 Excess distributions carryover applied to 2015 . (If an amount appears in column (d), the same amount must be shown in column (a)) | | | | |
| 6 Enter the net total of each column as indicated below: | 4,186,136. | | | |
| a Corpus. Add lines 3f, 4c, and 4e Subtract line 5 | | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b. | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | | | |
| e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instructions | | | | |
| f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016. | | | | |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | | | | |
| 8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) | 24,071. | | | |
| 9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a | 4,162,065. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2011 | 861,729. | | | |
| b Excess from 2012 | 320,989. | | | |
| c Excess from 2013 | 775,926. | | | |
| d Excess from 2014 | 871,662. | | | |
| e Excess from 2015 | 1,331,759. | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

- a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

| Tax year | Prior 3 years | | | | (e) Total |
|---|---------------|----------|----------|----------|-----------|
| | (a) 2015 | (b) 2014 | (c) 2013 | (d) 2012 | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XI, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ATCH 4

- b The form in which applications should be submitted and information and materials they should include:

ATCH 5

- c Any submission deadlines:

THE APPLICATION CYCLE IS JANUARY 1 THROUGH MARCH 15.

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATCH 6

Form 990-PF (2015)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--------------------------------------|--|--------------------------------------|-------------------------------------|------------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| ATCH 7 | | | | |
| Total | | | ► 3a | 1,385,155. |
| b Approved for future payment | | | | |
| Total | | | ► 3b | |

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5E1491 1 000

Form 990-PF (2015)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

| Enter gross amounts unless otherwise indicated. | | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions) |
|---|--|---------------------------|---------------|--------------------------------------|---------------|--|
| | | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | | |
| a | | | | | | |
| b | | | | | | |
| c | | | | | | |
| d | | | | | | |
| e | | | | | | |
| f | | | | | | |
| g Fees and contracts from government agencies | | | | | | |
| 2 Membership dues and assessments | | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | | |
| 4 Dividends and interest from securities | | | | | | |
| 5 Net rental income or (loss) from real estate: | | | | | | |
| a Debt-financed property | | | | | | |
| b Not debt-financed property | | | | | | |
| 6 Net rental income or (loss) from personal property. | | | | | | |
| 7 Other investment income | | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | | | | |
| 9 Net income or (loss) from special events | | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | | |
| 11 Other revenue a | | | | | | |
| b | | | | | | |
| c | | | | | | |
| d | | | | | | |
| e | | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | | | | | 399. |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | | | 13 |
| | | | | | | 399. |

Relationship of Activities to the Accomplishment of Exempt Purposes

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|-----|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization: | | |
| (1) | Cash | 1a(1) | X |
| (2) | Other assets | 1a(2) | X |
| b | Other transactions: | | |
| (1) | Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| (2) | Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| (3) | Rental of facilities, equipment, or other assets | 1b(3) | X |
| (4) | Reimbursement arrangements | 1b(4) | X |
| (5) | Loans or loan guarantees | 1b(5) | X |
| (6) | Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| | | |
| | | |
| | | |
| | | |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

Signature of officer or trustee

B-1

1

May the RS discuss this return
with the preparer shown below
(see instructions)? Yes No

| | | | | | |
|---------------------------------------|--|---|---------------------------|---|--------------------------|
| Paid Preparer Use Only | Print/Type preparer's name CINDI R FULLER | Preparer's signature <i>Cindi R Fuller</i> | Date 08/10/2016 | Check <input type="checkbox"/> if self-employed | PTIN P01076310 |
| | Firm's name ► KPMG LLP | Firm's EIN ► 13-5565207 | | | |
| | Firm's address ► 4200 WELLS FARGO CTR., 90 S. 7TH MINNEAPOLIS, MN | 55402 | Phone no | 612-305-5000 | |

Form 990-PF (2015)

JSA

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86780J 7383

V 15-5.3F

1687953

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| <u>DESCRIPTION</u> | <u>REVENUE AND EXPENSES PER BOOKS</u> | <u>NET INVESTMENT INCOME</u> |
|--------------------|---|--------------------------------------|
| INTEREST INCOME | 311. | 311. |
| DIVIDENDS | 88. | 88. |
| TOTAL | <u><u>399.</u></u> | <u><u>399.</u></u> |

ATTACHMENT 2FORM 990PF, PART I - OTHER EXPENSESDESCRIPTION

BANK FEES (ACCT EF8214)
BANK FEES (ACCT 6606)
CONTRIB. RETURN-BOSTON COLLEGE
ADMINISTRATIVE EXPENSES

REVENUE
AND
EXPENSES
PER BOOKS

TOTALS

- 4,936.

-2,560.
-2,313.
-122.
59.

Piper Jaffray Foundation
EIN: 41-1734808
12/31/2015

Attachment to 990PF

Part VII-A –Statements Regarding Activities, Question 10

Piper Jaffray&Co.
800 Nicollet Mall
Minneapolis, MN 55402

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 3NAME AND ADDRESSTITLE AND AVERAGE HOURS PER
WEEK DEVOTED TO POSITION

DEBRA L. SCHONEMAN
800 NICOLLET MALL,
MINNEAPOLIS, MN J09S02

PRESIDENT/CFO/DIRECTOR
3.00

TIMOTHY L. CARTER
800 NICOLLET MALL,
MINNEAPOLIS, MN J09STR

TREASURER
2.00

JAMES GRANT
800 NICOLLET MALL,
MINNEAPOLIS, MN J09S02

SECRETARY
2.00

GRETCHEN M. FREEMAN
800 NICOLLET MALL,
MINNEAPOLIS, MN J09STR

ASSISTANT TREASURER
2.00

ANDREW S. DUFF
800 NICOLLET MALL,
MINNEAPOLIS, MN J09S02

DIRECTOR
1.00

CHRISTOPHER D. CRAWSHAW
800 NICOLLET MALL,
MINNEAPOLIS, MN J09S02

DIRECTOR
1.00

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESNAME AND ADDRESSTITLE AND AVERAGE HOURS PER
WEEK DEVOTED TO POSITIONATTACHMENT 3 (CONT'D)

PATRICK E. GRAY
800 NICOLLET MALL,
MINNEAPOLIS, MN J09S02

DIRECTOR
1.00

FRANK E. FAIRMAN
800 NICOLLET MALL,
MINNEAPOLIS, MN J09S02

DIRECTOR
1.00

MATTHEW S. HEMSLEY
800 NICOLLET MALL,
MINNEAPOLIS, MN J09S02

DIRECTOR
1.00

THOMAS P. SCHNETTLER
800 NICOLLET MALL,
MINNEAPOLIS, MN J09S02

DIRECTOR
1.00

ATTACHMENT 4

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

PIPER JAFFRAY COMMUNITY RELATIONS
800 NICOLLET MALL, J12NMK
MINNEAPOLIS, MN 55402
612-303-8202

ATTACHMENT 5

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

ALL REQUESTS FOR FUNDING SHOULD BEGIN WITH A COMPLETE PIPER JAFFRAY GRANT APPLICATION AVAILABLE AT PIPERJAFFRAY.COM. THE APPLICATION INCLUDES A COMPLETE LIST OF REQUIRED SUPPORTING MATERIALS.

ATTACHMENT 6990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

PIPER JAFFRAY CORPORATION GIVING WILL NOT FUND:

1. ORGANIZATIONS THAT ARE NOT TAX-EXEMPT UNDER IRS CODE SECTION 501(C) (3) OR EQUIVALENT
 2. ORGANIZATIONS THAT DO NOT SERVE COMMUNITIES WHERE PIPER JAFFRAY HAS A BUSINESS PRESENCE
 3. INDIVIDUALS OR GROUPS SEEKING SUPPORT FOR PLANNING, PERSONAL NEEDS OR TRAVEL
 4. PUBLIC SERVICE OR POLITICAL COMPAGNS
 5. LOBBYING, POLITICAL OR FRATERNAL ACTIVITIES
 6. ORGANIZATIONS RECEIVING PRIMARY FUNDING FROM UNITED WAY
 7. ATHLETIC OR PAGEANT SCHOLARSHIPS
 8. RELIGIOUS ACTIVITIES OR RELIGIOUS PURPOSES
 9. PUBLICATIONS, AUDIO-VISUAL
- PIPER JAFFRAY WILL CONSIDER REPEAT GRANTS FOR UP TO THREE CONSECUTIVE YEARS.

Piper Jaffray Foundation

EIN:41-1734808

12/31/2015

Attachment to 990PF

Part XV – Grants and Contributions Paid During the Year

- 1) In each case, there is no relationship between the Foundation and the grantee
- 2) In each case, each grantee is 501(c)(3) organization or a public school
- 3) In each case, the purpose is to support the charitable or educational mission of the grantee

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 7

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

AMOUNT

RECIPIENT NAME AND ADDRESS
MINNESOTA HISTORICAL SOCIETY
345 KELLOGG BLVD., W.
ST PAUL, MN 55102

TWIN CITIES PUBLIC TELEVISION
112 E 4TH STREET
ST PAUL, MN 55101

DEFENT OF JESSE JAMES DAYS
PO BOX 23
NORTHFIELD, MN 55057

SAINT JOHNS UNIVERSITY
ATTN MATCHING GIFTS LUKE 103 PO BOX 72222
COLLEGEVILLE, MN 56321

PRODEO ACADEMY
1555 40TH AVENUE NE
COLUMBIA HEIGHTS, MN 55421

RAW ART WORKS
37 CENTRAL SQUARE
SUITE 201A
LYNN, MA 01901

BOARD LEADER GRANTS

BOARD LEADER GRANTS

BOARD LEADER GRANTS

BOARD LEADER GRANTS

4,000

4,000

4,000

4,000

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|---|---------------------------------------|---|---------------|
| ACHIEVEMENTS 111 THIRD AVENUE SOUTH SUITE 5 MINNEAPOLIS, MN 55401 | AND | BOARD LEADER GRANTS | 2,000 |
| ORANGE COUNTY CHILD ABUSE 2390 E ORANGEWOOD, SUITE 300 SUITE 240 ANAHEIM, CA 92606 | | BOARD LEADER GRANTS | 4,000 |
| RONALD MCDONALD HOUSE 5130 40TH AVENUE NE SEATTLE, WA 98105 | | BOARD LEADER GRANTS | 4,000 |
| OCEAN PARK COMMUNITY CENTER 1453 16TH STREET SANTA MONICA, CA 90404 | | BOARD LEADER GRANTS | 4,000 |
| BAGS OF SMILES 19959 GARY ST NW ELK RIVER, MN 55330 | | BOARD LEADER GRANTS | 4,000 |
| RIGHT MOVES FOR YOUTH 2211 W MOREHEAD CHARLOTTE, NC 28208 | | BOARD LEADER GRANTS | 4,000 |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | | <u>FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|--|--|---------------------------------------|---|---------------|
| KODY'S CLOSET 8125 35TH AVENUE NORTH CRYSTAL, MN 55427 | | | BOARD LEADER GRANTS | 4,000 |
| JAPAN AMERICA SOCIETY OF CHICAGO 20 N CLARK ST SUITE 750 CHICAGO, IL 60602 | | | BOARD LEADER GRANTS | 4,000 |
| CAMP FIRE MINNESOTA 4829 MINNETONKA BOULEVARD, SUITE 202 ST. LOUIS PARK, MN 55416 | | | BOARD LEADER GRANTS | 4,000 |
| COLLEGE POSSIBLE 540 FAIRVIEW AVE NORTH ST PAUL, MN 55104 | | | BOARD LEADER GRANTS | 4,000 |
| ELIZABETH ANN SETON MONTESSORI SCHOOL 149 E THOMPSON AVE, SUITE 160 WEST ST PAUL, MN 55118 | | | BOARD LEADER GRANTS | 4,000 |
| MENTOR IOWA 3900 INGERSOLL AVE , SUITE 102 DES MOINES, IA 50312 | | | BOARD LEADER GRANTS | 4,000 |

ATTACHMENT 7 (CONT'D)

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|--|---|---|---------------|
| MINNEAPOLIS DOWNTOWN YMCA 30 SOUTH 9TH STREET MINNEAPOLIS, MN 55402 | | BOARD LEADER GRANTS | 4,000. |
| MINNEAPOLIS HEART INSTITUTE FOUNDATION 920 EAST 28TH STREET, SUITE 100 FLOOR 10 MINNEAPOLIS, MN 55407 | | BOARD LEADER GRANTS | 4,000. |
| NORTHEAST IOWA COMMUNITY COLLEGE FOUNDATION 1625 HIGHWAY 150 SOUTH, P O BOX 400 CALMAR, IA 52132 | | BOARD LEADER GRANTS | 4,000 |
| ORT AMERICA - NORTHEAST OHIO REGION 24100 CHAGRIN BOULEVARD, SUITE 300 CLEVELAND, OH 44122 | | BOARD LEADER GRANTS | 4,000 |
| ST PATRICK CENTER 800 N TUCKER BOULEVARD ST LOUIS, MO 63101 | | BOARD LEADER GRANTS | 2,000 |
| ART INSTITUTE OF CHICAGO 111 S MICHIGAN AVENUE CHICAGO, IL 60603 | | BOARD LEADER GRANTS | |

ATTACHMENT 7 (CONT'D)

FORM 990BE - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | | <u>FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|---|--|---------------------------------------|---|---------------|
| BESTPREP 7100 NORTHLAND CIRCLE N BROOKLYN PARK, MN 55428 | | | BOARD LEADER GRANTS | 4,000 |
| BEYOND WALLS MINNESOTA - URBAN SQUASH TWIN CITIES 123 HARVARD ST SE MINNEAPOLIS, MN 55405 | | | BOARD LEADER GRANTS | 4,000 |
| CANINE COMPANIONS FOR INDEPENDENCE 4989 STATE ROUTE 37E DELAWARE, OH 43015 | | | BOARD LEADER GRANTS | 4,000 |
| CARLSON SCHOOL OF MANAGEMENT UNIVERSITY OF MINNESOTA MINNEAPOLIS, MN 55455 | | | BOARD LEADER GRANTS | 4,000 |
| CHILDREN'S THEATRE COMPANY 2400 3RD AVE S MINNEAPOLIS, MN 55404 | | | BOARD LEADER GRANTS | 2,000 |
| COLEMAN PROFESSIONAL SERVICES, INC 5982 RHODES RD KENT, OH 44240 | | | BOARD LEADER GRANTS | |

ATTACHMENT 7 (CONT'D)ATTACHMENT 7

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|---|---|---|---------------|
| COLLINS COLLEGE OF BUSINESS - THE UNIVERSITY OF TU 800 S TUCKER DRIVE TULSA, OK 74104 | | BOARD LEADER GRANTS | 2,000. |
| COPLEY-FAIRLAWN SCHOOLS FOUNDATION 2480 COPLEY ROAD COPLEY, OH 44321 | | BOARD LEADER GRANTS | 2,000 |
| CREATIVE ART WORKS 520 EIGHTH AVENUE NEW YORK, NY 10018 | | BOARD LEADER GRANTS | 4,000 |
| DUNWOODY COLLEGE OF TECHNOLOGY 818 DUNWOODY BOULEVARD MINNEAPOLIS, MN 55403 | | BOARD LEADER GRANTS | 4,000 |
| EMBARCADERO YMCA 169 STEUART STREET SAN FRANCISCO, CA 94105 | | BOARD LEADER PROGRAMS | 4,000. |
| EXCHANGE CLUB OF NEW CANAAN FOUNDATION PO BOX 1163 NEW CANAAN, CT 06840 | | BOARD LEADER PROGRAMS | 4,000 |

FORM 990PF PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|---|---|---|---------------|
| FOX CHAPEL COUNTRY DAY SCHOOL 620 SQUAW RUN ROAD EAST PITTSBURGH, PA 15238 | | BOARD LEADER PROGRAMS | 4,000 |
| GILLETTE CHILDREN'S SPECIALTY HEALTHCARE 200 UNIVERSITY AVENUE EAST ST PAUL, MN 55101 | | BOARD LEADER PROGRAMS | 4,000 |
| HEDGE FUNDS CARE - HELP FOR CHILDREN 330 7TH AVENUE NEW YORK, NY 10001 | | BOARD LEADER PROGRAMS | 4,000 |
| HIGHPOINT CENTER FOR PRINTMAKING 912 W LAKE STREET MINNEAPOLIS, MN 55408 | | BOARD LEADER PROGRAMS | 4,000 |
| HSHS ST JOSEPH'S HOSPITAL HIGHLAND 12866 TROXLER AVE HIGHLAND, IL 62249 | | BOARD LEADER PROGRAMS | 4,000 |
| ICA FOOD SHELF 12990 ST DAVIDS RD MINNETONKA, MN 55305 | | BOARD LEADER PROGRAMS | 4,000 |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|--|---|---|---------------|
| JFK ELEMENTARY PARENT TEACHER ORGANIZATION 21240 HOLYOKE AVENUE WEST LAKEVILLE, MN 55044 | | BOARD LEADER PROGRAMS | 4,000 |
| JOHN THEISSEN CHILDREN'S FOUNDATION 1881 WANTAGH AVE WANTAGH, NY 11793 | | BOARD LEADER PROGRAMS | 4,000 |
| MAP FOR NONPROFITS 2314 UNIVERSITY AVE W ST PAUL, MN 55114 | | BOARD LEADER PROGRAMS | 4,000 |
| MARINUS ENSEMBLE 720 SOUTH 18TH ST PHILADELPHIA, PA 19146 | | BOARD LEADER PROGRAMS | 4,000. |
| NEW YORK CARES 65 BROADWAY, 19TH FLOOR NEW YORK, NY 10006 | | BOARD LEADER PROGRAMS | 4,000 |
| NORTH SUBURBAN YMCA 137 LEXINGTON ST ROBORN, IL 61801 | | BOARD LEADER PROGRAMS | 4,000 |

ATTACHMENT 7 (CONT'D.)

FORM 990DE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>FOUNDATION STATUS OF RECIPIENT</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|---|---------------------------------------|--|---|---------------|
| SCIENCE MUSEUM OF MINNESOTA 120 W KELLOGG BOULEVARD ST. PAUL, MN 55102 | | | BOARD LEADER PROGRAMS | 4,000 |
| ST GEORGES INDEPENDENT SCHOOLS FOUNDATION 1880 WOLF RIVER BLVD COLLIERVILLE, TN 38017 | | | BOARD LEADER PROGRAMS | 4,000 |
| ST LOUIS CHILDREN'S HOSPITAL ONE CHILDREN'S PLACE ST LOUIS, MO 63110 | | | BOARD LEADER PROGRAMS | 4,000 |
| ST OLAF COLLEGE 1520 ST OLAF AVENUE NORTHFIELD, MN 55057 | | | BOARD LEADER PROGRAMS | 4,000 |
| THE PLAYRIGHT'S CENTER 2301 EAST FRANKLIN AVE MINNEAPOLIS, MN 55406 | | | BOARD LEADER PROGRAMS | 4,000 |
| THE SAINT PAUL CHAMBER ORCHESTRA 408 SAINT PETER ST. ST PAUL, MN 55102 | | | BOARD LEADER PROGRAMS | 4,000 |

FORM 990DE - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|---|---------------------------------------|---|---------------|
| THE UNIVERSITY OF CHICAGO 1116 E 59TH STREET CHICAGO, IL 60637 | | BOARD LEADER PROGRAMS | 4,000. |
| TOM KARLIN FOUNDATION 17707 W 93RD TER LENEXA, KS 66219 | | BOARD LEADER PROGRAMS | 4,000 |
| VOCALLESSENCE 1900 NICOLLET AVENUE MINNEAPOLIS, MN 55432 | | BOARD LEADER PROGRAMS | 4,000 |
| WALKER ART CENTER 1750 HENNEPIN AVENUE MINNEAPOLIS, MN 55403 | | BOARD LEADER PROGRAMS | 4,000 |
| UNITED WAY OF NEW YORK CITY 205 E 42ND STREET NEW YORK, NY 10017 | | REGIONAL GIVING | 1,500 |
| ARIZONA COUNCIL ON ECONOMIC EDUCATION 3260 N HAYDEN RD SCOTTSDALE, AZ 85251 | | REGIONAL GIVING | 9,000 |

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|--|---|---|---------------|
| DENVER PUBLIC SCHOOLS FOUNDATION 900 GRANT STREET, SUITE 503 DENVER, CO 80203 | REGIONAL GIVING | REGIONAL GIVING | 10,000 |
| EMBARCADERO YMCA 169 STEUART STREET SAN FRANCISCO, CA 94105 | REGIONAL GIVING | REGIONAL GIVING | 10,000 |
| FIRST TEE OF GREATER CHICAGO (THE) 2901 W LAKE AVENUE, SUITE A GLENVIEW, IL 60025 | REGIONAL GIVING | REGIONAL GIVING | 3,000 |
| MAGIC HOUSE, ST LOUIS CHILDREN'S MUSEUM (THE) 516 S KIRKWOOD ROAD ST LOUIS, MO 63122 | REGIONAL GIVING | REGIONAL GIVING | 3,000 |
| RIGHT MOVES FOR YOUTH 2211 W MOREHEAD STREET CHARLOTTE, NC 28208 | REGIONAL GIVING | REGIONAL GIVING | 9,000 |
| ST PATRICK CENTER 800 NORTH TUCKER BOULEVARD ST LOUIS, MO 63101 | REGIONAL GIVING | REGIONAL GIVING | 14,000 |

FORM 990BE - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|---|---|---|---------------|
| WALIN EDUCATION PARTNERS 5200 WILLSON ROAD, SUITE 209 MINNEAPOLIS, MN 55424 | | HEADQUARTER GIVING | 5,500 |
| MINNESOTA ORCHESTRAL ASSOCIATION 1111 NICOLLET MALL MINNEAPOLIS, MN 55403 | | HEADQUARTER GIVING | 6,000 |
| DOING GOOD TOGETHER 5141 16TH AVENUE SOUTH MINNEAPOLIS, MN 55417 | | HEADQUARTER GIVING | 2,500. |
| MINNEAPOLIS HEART INSTITUTE FOUNDATION 920 EAST 28TH STREET MINNEAPOLIS, MN 55407 | | HEADQUARTER GIVING | 10,000. |
| DOING GOOD TOGETHER 5141 16TH AVENUE SOUTH MINNEAPOLIS, MN 55417 | | HEADQUARTER GIVING | 566 |
| WALIN EDUCATION PARTNERS 5200 WILLSON ROAD, SUITE 209 MINNEAPOLIS, MN 55424 | | HEADQUARTER GIVING | 5,500 |

FORM 990E - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|--|---|---|---------------|
| ACHIEVE MPLS 111 THIRD AVENUE SOUTH SUITE 5 MINNEAPOLIS, MN 55401 | | HEADQUARTER GIVING | 12,000 |
| CES MEALS ON WHEELS 715 EDGERTON STREET ST PAUL, MN 55130 | | HEADQUARTER GIVING | 9,000 |
| CORNERSTONE ADVOCACY SERVICE 1000 EAST 80TH STREET BLOOMINGTON, MN 55420 | | HEADQUARTER GIVING | 7,000 |
| GUTHRIE THEATER 818 SOUTH SECOND STREET MINNEAPOLIS, MN 55415 | | HEADQUARTER GIVING | 16,000 |
| ICA FOOD SHELF 12990 SAINT DAVID'S ROAD MINNETONKA, MN 55305 | | HEADQUARTER GIVING | 5,000. |
| KODY'S CLOSET 8125 35TH AVENUE NORTH CRYSTAL, MN 55427 | | HEADQUARTER GIVING | 5,000 |

FORM 94100, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|---|---|---|---------------|
| MAP FOR NONPROFITS 2314 UNIVERSITY AVENUE WEST ST PAUL, MN 55114 | | HEADQUARTER GIVING | 11,000 |
| MINNESOTA CHILDREN'S MUSEUM 10 W SEVENTH STREET ST PAUL, MN 55102 | | HEADQUARTER GIVING | 10,000 |
| MINNESOTA HISTORICAL SOCIETY 345 KELLOGG BOULEVARD WEST ST PAUL, MN 55102 | | HEADQUARTER GIVING | 15,000 |
| SCIENCE MUSEUM OF MINNESOTA 120 WEST KELLOGG BOULEVARD ST PAUL, MN 55102 | | HEADQUARTER GIVING | 16,000 |
| TWIN CITIES PUBLIC TELEVISION 112 E 4TH STREET ST PAUL, MN 55101 | | HEADQUARTER GIVING | 15,000 |
| BESTREP 7100 NORTHLAND CIRCLE N BROOKLYN PARK, MN 55428 | | HEADQUARTER GIVING | 15,000 |

ATTACHMENT 2 (CONT'D)

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|---|---|---|---------------|
| CATHOLIC CHARITIES 1200 2ND AVE SOUTH MINNEAPOLIS, MN 55403 | HEADQUARTER GIVING | | 15,000 |
| CHILDREN'S HOSPITALS 2525 CHICAGO AVE MINNEAPOLIS, MN 55404 | HEADQUARTER GIVING | | 12,000. |
| COLLEGE POSSIBLE TWIN CITIES 540 FAIRVIEW AVE N ST. PAUL, MN 55104 | HEADQUARTER GIVING | | 14,000 |
| METROPOLITAN ECONOMIC 250 SECOND AVE SOUTH, SUITE 106 MINNEAPOLIS, MN 55401 | HEADQUARTER GIVING | | 11,000 |
| HIGHPOINT CENTER 912 W LAKE STREET MINNEAPOLIS, MN 55408 | HEADQUARTER GIVING | | 2,500 |
| AMERICAN DIABETES ASSOCIATION 5100 GAMBLE DRIVE, SUITE 394 ST. LOUIS PARK, MN 55416 | VOLUNTEER PROGRAM | | 1,000 |

FORM 990DE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|--|---|---|---------------|
| MOUNT OLIVET ROLLING ACRES 18986 LAKE DRIVE EAST CHANHASSEN, MN 55317 | | VOLUNTEER PROGRAM | 1,000 |
| PTA MARYLAND CONGRESS 365 LYNWOOD DRIVE SEVERNA PARK, MD 21146 | | VOLUNTEER PROGRAM | 1,000 |
| ANIMAL OUTREACH OF CAPE MAY 600 PARK BOULEVARD WEST CAPE MAY, NJ 08204 | | VOLUNTEER PROGRAM | 1,000 |
| CORNERSTONE ADVOCACY SERVICE 1000 EAST 80TH STREET BLOOMINGTON, MN 55420 | | VOLUNTEER PROGRAM | 1,000 |
| Gobi ENERGY TRANSFORMATION 1917 W ERIE ST CHICAGO, IL 60622 | | VOLUNTEER PROGRAM | 1,000 |
| LITTLE BROTHERS-FRIENDS OF THE ELDERLY 1845 EAST LAKE STREET MINNEAPOLIS, MN 55407 | | VOLUNTEER PROGRAM | 1,000 |

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>FOUNDATION STATUS OF RECIPIENT</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|---|---------------------------------------|--|---|---------------|
| MINDS MATTER SEATTLE 330 N. 10TH STREET TACOMA, WA 98403 | | | VOLUNTEER PROGRAM | 1,000 |
| MINNESOTA HUMANE SOCIETY 475 CLEVELAND AVE N ST. PAUL, MN 55104 | | | VOLUNTEER PROGRAM | 1,000 |
| PHILADELPHIA FUTURES 230 S BROAD STREET PHILADELPHIA, PA 19102 | | | VOLUNTEER PROGRAM | 1,000. |
| RONALD MCDONALD HOUSE 818 FULTON STREET SE MINNEAPOLIS, MN 55414 | | | VOLUNTEER PROGRAM | 1,000. |
| WEST LUTHERAN HIGH SCHOOL 3350 HARBOR LANE NORTH PLYMOUTH, MN 55447 | | | CORPORATE MATCH | 19,975 |
| TRUST - 2014 CAMPAIGN & Q1 2015 MATCHES | | | | |

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|---|---|---|---------------|
| TRUIST - 2014 GIVING CAMPAIGN LESS CASH RECEIVED 1275 SACRAMENTO STREET SAN FRANCISCO, CA 94108 | | CORPORATE MATCH | -1,367 |
| TRUIST - Q1 2015 CORPORATE MATCHES 301 NE TUDOR LEES SUMMIT, MO 64086 | | CORPORATE MATCH | 162,911 |
| TRUIST - Q2 2015 CORPORATE MATCHES P O BOX 1595 CULVER CITY, CA 90232 | | CORPORATE MATCH | 48,828 |
| TRUIST - Q3 2015 CORPORATE MATCHES 231 FOREST STREET, ROOM 161 NIC WELLESLEY, MA 02481-6834 | | CORPORATE MATCH | 41,276 |
| TRUIST - Q4 2015 CORPORATE MATCHES 800 E 28TH STREET, MINNEAPOLIS, MN 55407 | | CORPORATE MATCH | 365,563 |
| AMMADO - INTERNATIONAL CORPORATE MATCH 2015 200 VARICK ST NEW YORK, NY 10014 | | CORPORATE MATCH | 1,178 |

FORM 990PF PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

ATTACHMENT 7 (CONT'D)RECIPIENT NAME AND ADDRESSAMOUNT

25,000

CORPORATE MATCH

25,000

CORPORATE MATCH

2,931.

CORPORATE MATCH

1,531

CORPORATE MATCH

1,506

CORPORATE MATCH

75

CORPORATE MATCH

2,000

CORPORATE MATCH

1687953

MINNESOTA ENVIRONMENTAL FUND450 SYNDICATE AVE NORTHST PAUL, MN 55104UNITED KINGDOM 1G1QPGFRIENDS OF HCL300 NICOLLET MALLMINNEAPOLIS, MN 55401

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|---|---|---|---------------|
| IMPERIAL COLLEGE ST MARY'S HOSPITAL PRAED STREET LONDON UNITED KINGDOM W21NY | CORPORATE MATCH | CORPORATE MATCH | 1,533 |
| MINNEAPOLIS INSTITUTE OF ART 2400 THIRD AVENUE SOUTH MINNEAPOLIS, MN 55404 | CORPORATE MATCH | CORPORATE MATCH | 2,000 |
| NATURE CONSERVANCY MN 1101 WEST RIVER PARKWAY MINNEAPOLIS, MN 55415-1291 | CORPORATE MATCH | CORPORATE MATCH | 1,000 |
| TWIN CITIES RISE 1301 BRYANT AVE N MINNEAPOLIS, MN 55411 | CORPORATE MATCH | CORPORATE MATCH | 1,000 |
| DRAKE UNIVERSITY 2507 UNIVERSITY AVE DES MOINES, IA 50311 | CORPORATE MATCH | CORPORATE MATCH | 2,500 |
| DUNWOODY COLLEGE 818 DUNWOODY BOULEVARD MINNEAPOLIS, MN 55403 | CORPORATE MATCH | CORPORATE MATCH | 4,000 |

ATTACHMENT 7 (CONT'D)

FORM 990DE - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|--|---|---|---------------|
| TWIN CITIES UNITED WAY 404 S 8TH STREET MINNEAPOLIS, MN 55404-1084 | | CORPORATE MATCH | 4,000 |
| LAFAYETTE COLLEGE BOX 3000 EASTON, PA 18042 | | CORPORATE MATCH | 4,000 |
| NATURE CONSERVANCY | | CORPORATE MATCH | 1,000 |
| 4245 N FAIRFAX DRIVE ARLINGTON, VA 22203 | | CORPORATE MATCH | 1,000 |
| OREGON FOOD BANK 7900 N E 3RD DRIVE PORTLAND, OR 97211 | | CORPORATE MATCH | 4,000 |
| PROVIDENCE COLLEGE 1 CUNNINGHAM SQUARE PROVIDENCE, RI 02918 | | CORPORATE MATCH | 2,000 |
| RIVERDALE SCHOOL PO BOX 59015 PORTLAND, OR 97211 | | | |

FORM 990F - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | | <u>FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|--|--|---------------------------------------|---|---------------|
| SAIN T IGNATIUS LOYOLA 300 E GITTINS STREET BALTIMORE, MD 21230 | | CORPORATE MATCH | | 4,000. |
| ST LAWRENCE UNIVERSITY 23 ROMODA DRIVE CANTON, NY 13617 | | CORPORATE MATCH | | 1,050 |
| ST PATRICK CENTER 800 NORTH TUCKER ST LOUIS, MO 63101 | | CORPORATE MATCH | | 4,000. |
| UNITED WAY OF CENTRAL IOWA 1111 9TH ST DES MOINES, IA 50314 | | CORPORATE MATCH | | 4,000. |
| WOMENS AMERICAN ORT 75 MAIDEN LANE NEW YORK, NY 10038 | | CORPORATE MATCH | | 2,000 |
| TWIN CITIES SCHOLARS OF FINANCE SYMPOSIUM 2115 SUMMIT AVE ST. PAUL, MN 55105 | | 501(C) (3) | | 2,000 |

ATTACHMENT 7 (CONT'D)

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|--|---|---|---------------|
| FOUNDATION FOR THE CAROLINAS 220 N TYRON STREET CHARLOTTE, NC 28202 | | 501 (C) (3) | 3,000 |
| COOKIE CART 1119 W. BROADWAY AVE MINNEAPOLIS, MN 55411 | | 501 (C) (3) | 100. |
| FOUNDATION FOR PRADER-WILLI RESEARCH (FPWR) 19-13085 YONGE STREET RICHMOND ON CANADA L4E 0K2 | | 501 (C) (3) | 100 |
| CARINGBRIDGE PO BOX 6032 ALBERT LEA, MN 56007 | | 501 (C) (3) | 100 |
| A. B. FREEMAN SCHOOL OF BUSINESS 7 MCALISTER DRIVE NEW ORLEANS, LA 70118 | | 501 (C) (3) | 100 |
| LITTLE SISTERS OF THE POOR 330 EXCHANGE STREET SOUTH ST. PAUL, MN 55102 | | 501 (C) (3) | 100 |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|---|---|---|---------------|
| CORNERSTONE ADVOCACY SERVICE 1000 E 80TH STREET BLOOMINGTON, MN 55420 | | 501(C) (3) | 100 |
| VENTURE EXPEDITIONS 511 EAST TRAVELERS TRAIL BURNSVILLE, MN 55337 | | 501(C) (3) | 100 |
| THE ALS ASSOCIATION 1275 K STREET NW WASHINGTON, DC 20005 | | 501(C) (3) | 100 |
| HEIFER INTERNATIONAL 1 WORLD AVE LITTLE ROCK, AR 72202 | | 501(C) (3) | 100 |
| RIGHT MOVES FOR YOUTH, INC 2211 W MOREHEAD STREET CHARLOTTE, NC 28208 | | 501(C) (3) | 100 |
| BOLDER OPTIONS 2100 STEVENS AVE MINNEAPOLIS, MN 55404 | | 501(C) (3) | 100 |

ATTACHMENT 7 (CONT'D)

FORM 990EF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|---|---|---|---------------|
| ST JUDE CHILDREN'S RESEARCH HOSPITAL 5353 WAYZATA BLVD MINNEAPOLIS, MN 55416 | | 501 (C) (3) | 100. |
| FEED MY STARVING CHILDREN 401 93RD AVEN NW MINNEAPOLIS, MN 55433 | | 501 (C) (3) | 100. |
| NATIONAL MULTIPLE SCLEROSIS SOCIETY, GREATER NORTH 192 NICKERSON STREET SEATTLE, WA 98109 | | 501 (C) (3) | 100 |
| NATIONAL MULTIPLE SCLEROSIS SOCIETY, WISCONSIN CHA 1120 JAMES DRIVE HARTLAND, WI 53029 | | 501 (C) (3) | 100 |
| GIRL SCOUTS RIVER VALLEYS 400 POVERT STREET SOUTH ST PAUL, MN 55107 | | 501 (C) (3) | 100 |
| UNITED WAY OF THE COLUMBIA-WILLAMETTE 619 SW 11TH AVE PORTLAND, OR 97205 | | 501 (C) (3) | 100 |

ATTACHMENT 7 (CONT'D)

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|--|---|---|---------------|
| TWIN CITIES HABITAT 1954 UNIVERSITY AVE W ST PAUL, MN 55104 | | 501 (C) (3) | 1,500. |
| MINNESOTA COUNCIL ON FOUNDATIONS 800 WASHINGTON AVE MINNEAPOLIS, MN 55401 | | 501 (C) (3) | 2,500. |
| BAGS OF SMILES 19959 GARY STREET NW ELK RIVER, MN 55330 | | 501 (C) (3) | 200 |
| GOOD IN THE HOOD 1630 E 90TH STREET BLOOMINGTON, MN 55424 | | 501 (C) (3) | 200 |
| AMERICAN CANCER SOCIETY - MINNESOTA 2500 UNIVERSITY AVE SE MINNEAPOLIS, MN 55414 | | 501 (C) (3) | 200 |
| COLLEGE POSSIBLE 540 FAIRVIEW AVE SE ST PAUL, MN 55104 | | 501 (C) (3) | |

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|--|---|---|---------------|
| CATHOLIC CHARITIES OF ST. PAUL AND MINNEAPOLIS 1200 2ND AVE S MINNEAPOLIS, MN 55403 | | 501 (C) (3) | 200 |
| FEED MY STARVING CHILDREN 401 93RD AVE NW MINNEAPOLIS, MN 55433 | | 501 (C) (3) | 200 |
| FRIENDS OF THE BOUNDARY WATERS WILDERNESS 401 N 3RD STREET MINNEAPOLIS, MN 55401 | | 501 (C) (3) | 200 |
| GIRLS IN ACTION - POWER OF PEOPLE INSTITUTE 301-24 MONT ROYAL W MONTREAL QC CANADA H2T 2S2 | | 501 (C) (3) | 200 |
| INTERNATIONAL JUSTICE MISSION (IJM) PO BOX 96961 WASHINGTON, DC 20090 | | 501 (C) (3) | 200 |
| LAKEVILLE SOUTH COUGAR BOOSTER CLUB 21135 JACQUARD AVE LAKEVILLE, MN 55044 | | 501 (C) (3) | 200 |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|--|---|---|---------------|
| LOYOLA UNIVERSITY OF CHICAGO 820 N MICHIGAN AVE CHICAGO, IL 60611 | | 501 (C) (3) | 75 |
| MAKE-A-WISH ALASKA AND WASHINGTON 165 E 56TH AVE ANCHORAGE, AK 99518 | | 501 (C) (3) | 200 |
| MINNESOTA CHILDREN'S MUSEUM 10 W SEVENTH STREET ST PAUL, MN 55102 | | 501 (C) (3) | 200 |
| MINNESOTA HISTORICAL SOCIETY 704 S 2ND STREET MINNEAPOLIS, MN 55401 | | 501 (C) (3) | 200 |
| NATIONAL MULTIPLE SCLEROSIS SOCIETY GREATER NORTHWEST 192 NICKERSON STREET SEATTLE, WA 98109 | | 501 (C) (3) | 200 |
| PLANNED PARENTHOOD FEDERATION OF AMERICA 123 WILLIAM STREET NEW YORK, NY 10038 | | 501 (C) (3) | 200 |

ATTACHMENT 7 (CONT'D.)

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|--|---|---|---------------|
| PWSA OF WI, INC 2701 N ALEXANDER STREET APPLETON, WI 54911 | 501 (C) (3) | 400 | |
| RIGHT MOVES FOR YOUTH, INC 2211 W MOREHEAD STREET CHARLOTTE, NC 28208 | 501 (C) (3) | 200. | |
| ROBERT CROWN CENTER FOR HEALTH EDUCATION 21 SALT CREEK LANE HINSDALE, IL 60521 | 501 (C) (3) | 75. | |
| SECOND HARVEST HEARTLAND 6325 SANDBURG ROAD HOPKINS, MN 55342 | 501 (C) (3) | 200. | |
| SECONDHAND HOUNDS 4340 SHADY OAK ROAD HOPKINS, MN 55343 | 501 (C) (3) | 200. | |
| SHARING & CARING HANDS 525 N 7TH STREET MINNEAPOLIS, MN 55405 | 501 (C) (3) | | |

ATTACHMENT 7 (CONT'D)

FORM 990DFE - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|---|---|---|---------------|
| SHRINERS HOSPITALS FOR CHILDREN 2025 E RIVER PARKWAY MINNEAPOLIS, MN 55414 | | 501 (C) (3) | 50 |
| SOUTH ELGIN HIGH SCHOOL BOOSTERS 760 E MAIN STREET SOUTH ELGIN, IL 60177 | | 501 (C) (3) | 200. |
| ST JUDE CHILDREN'S RESEARCH HOSPITAL 5353 WAYZATA BLVD MINNEAPOLIS, MN 55416 | | 501 (C) (3) | 200. |
| THE ALS ASSOCIATION - MN/ND/SD CHAPTER 333 WASHINGTON AVE MINNEAPOLIS, MN 55401 | | 501 (C) (3) | 200. |
| UNITED WAY OF THE COLUMBIA-WILLAMETTE 6119 SW 11TH AVE PORTLAND, OR 97205 | | 501 (C) (3) | 200 |
| UNIVERSITY OF MINNESOTA - CROOKSTON 2900 UNIVERSITY AVE CROOKSTON, MN 56716 | | 501 (C) (3) | 200 |

ATTACHMENT 7 (CONT'D.)

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|--|---|---|---------------|
| WOUNDED WARRIOR PROJECT 4899 BELFORT ROAD JACKSONVILLE, FL 32256 | 501 (C) (3) | 200 | |
| RIVERSIDE PARK CONSERVANCY 475 RIVERSIDE DRIVE NEW YORK, NY 10115 | 501 (C) (3) | 2,000 | |
| Q4 MATCHING GIFT - TRUST | MATCHING GIFT PROGRAM | 30,834 | |
| Q1 MATCHING GIFT - TRUST | MATCHING GIFT PROGRAM | 28,880 | |
| SENSE INTERNATIONAL 101 PENTONVILLE ROAD LONDON UNITED KINGDOM N1 9LG | MATCHING GIFT PROGRAM | 377 | |
| DARTMOUTH COLLEGE GIFT MATCH 207 PARKHURST HALL HANOVER, NH 03755 | MATCHING GIFT PROGRAM | 2,000. | |

ATTACHMENT 7 (CONT'D.)

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | | <u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|--|--|---|---|---------------|
| SENSE INTERNATIONAL 101 PENTONVILLE ROAD LONDON UNITED KINGDOM N1 9LG | | | MATCHING GIFT PROGRAM | 390 |
| Q2 MATCHING GIFT - TRUST | | | MATCHING GIFT PROGRAM | 24,897 |
| Q3 2015 GIFT MATCHING | | | MATCHING GIFT PROGRAM | 17,557 |
| Q4 MATCHING GIFT - TRUST | | | MATCHING GIFT PROGRAM | 32,664 |
| AMERICAN FOUNDATION FOR SUICIDE PREVENTION (AFSP) 120 WALL STREET NEW YORK, NY 10005 | | | MATCHING GIFT PROGRAM | 500 |
| HOWE MILITARY ACADEMY 5755 NORTH STATE ROAD 9 HOWE, IN 46746 | | | MATCHING GIFT PROGRAM | 100 |

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>RECIPIENT NAME AND ADDRESS</u> | | <u>FOUNDATION STATUS OF RECIPIENT</u> | <u>PURPOSE OF GRANT OR CONTRIBUTION</u> | <u>AMOUNT</u> |
|---|--|---------------------------------------|---|-------------------------|
| MINNESOTA HISTORICAL SOCIETY 704 S 2ND STREET MINNEAPOLIS, MN 55401 | | | MATCHING GIFT PROGRAM | 100. |
| | | | TOTAL CONTRIBUTIONS PAID | <u><u>1,385,155</u></u> |