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For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation STEVEN C LEUTHOLD FAMILY FOUNDATION		A Employer identification number 41-1680986	
Number and street (or P O box number if mail is not delivered to street address) 33 SOUTH 6TH STREET NO 4600		Room/suite	B Telephone number (see instructions) (612) 332-1567
City or town, state or province, country, and ZIP or foreign postal code MINNEAPOLIS, MN 55402		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 17,419,908		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	1,000,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	348,931	348,931		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-3,027,062			
	b Gross sales price for all assets on line 6a 35,563,539				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 679,650	679,650		
	12 Total. Add lines 1 through 11	-998,481	1,028,581		
	13 Compensation of officers, directors, trustees, etc	45,000	0		45,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).	 42,001	0		42,001
	b Accounting fees (attach schedule).	 9,050	0		9,050
	c Other professional fees (attach schedule)	 221,841	121,496		100,345
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	 15,823	15,823		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings	36,608	0		36,608
	22 Printing and publications				
	23 Other expenses (attach schedule).	 1,499	0		0
	24 Total operating and administrative expenses. Add lines 13 through 23	371,822	137,319		233,004
	25 Contributions, gifts, grants paid	11,434,725			11,434,725
	26 Total expenses and disbursements. Add lines 24 and 25	11,806,547	137,319		11,667,729
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-12,805,028			
	b Net investment income (if negative, enter -0-)		891,262		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	66,355	34,660	34,660
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	31,066,082	18,393,094	17,385,248
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15 Other assets (describe ▶ _____)			
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	31,132,437	18,427,754	17,419,908
Liabilities	17 Accounts payable and accrued expenses	280,864	381,209	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	280,864	381,209	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0	0	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	30,851,573	18,046,545	
	30 Total net assets or fund balances (see instructions)	30,851,573	18,046,545	
	31 Total liabilities and net assets/fund balances (see instructions)	31,132,437	18,427,754	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	30,851,573
2	Enter amount from Part I, line 27a	2	-12,805,028
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	18,046,545
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	18,046,545

Part IV Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired (b) P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) (l)
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div>If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</div>	2	-3,027,062
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	9,507,340	38,430,827	0 247388
2013	5,174,777	39,178,429	0 132082
2012	2,656,343	38,578,435	0 068856
2011	2,605,650	41,276,588	0 063127
2010	2,305,210	38,319,878	0 060157

2 Total of line 1, column (d).	2	0 571610
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 114322
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	23,088,070
5 Multiply line 4 by line 3.	5	2,639,474
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	8,913
7 Add lines 5 and 6.	7	2,648,387
8 Enter qualifying distributions from Part XII, line 4.	8	11,667,729

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	1	8,913
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	8,913
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	8,913
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	8,000
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868).	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	8,000
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	913
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ▶	10	
11	Enter the amount of line 10 to be Credited to 2015 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1a				No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ 0 (2) On foundation managers ▶ \$ _____ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MN _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10		No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	Yes	
14	The books are in care of ▶ JENELLE WELLER Telephone no ▶ (612) 259-8696 Located at ▶ 80 SOUTH 8TH STREET SUITE 4900 MINNEAPOLIS MN ZIP+4 ▶ 55402			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No **5b**

Organizations relying on a current notice regarding disaster assistance check here. ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** **No**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. ☐ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 **Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. 0


Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 _____	
2 _____	
3 _____	
4 _____	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 _____	

2 _____	

All other program-related investments. See instructions.	
3 	

Total. Add lines 1 through 3 0

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	19,866,998
b	Average of monthly cash balances.	1b	3,572,667
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	23,439,665
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	23,439,665
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	351,595
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	23,088,070
6	Minimum investment return. Enter 5% of line 5.	6	1,154,404

Part XI Distributable Amount(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,154,404
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	8,913
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	8,913
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,145,491
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,145,491
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,145,491

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	11,667,729
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	11,667,729
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	8,913
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	11,658,816

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				1,145,491
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010.			407,268	
b From 2011.			573,286	
c From 2012.			759,777	
d From 2013.			3,263,444	
e From 2014.			7,599,445	
f Total of lines 3a through e.	12,603,220			
4 Qualifying distributions for 2015 from Part XII, line 4 ► \$ <u>11,667,729</u>				
a Applied to 2014, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				1,145,491
e Remaining amount distributed out of corpus	10,522,238			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	23,125,458			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions).	407,268			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a.	22,718,190			
10 Analysis of line 9				
a Excess from 2011.			573,286	
b Excess from 2012.			759,777	
c Excess from 2013.			3,263,444	
d Excess from 2014.			7,599,445	
e Excess from 2015.			10,522,238	

Part XIV

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

b 85% of line 2a

d Amounts included in line 2c not used directly for active conduct of exempt activities

Complete 3a, b, or c for the alternative test relied upon

(1) Value of all assets

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .

(4) Gross investment income

Part XV

Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ If the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b. The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

	NONE
d	Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
	NONE

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			▶ 3a	11,434,725
b Approved for future payment				
Total			▶ 3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2015)

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

b Other transactions		
(1) Sales of assets to a noncharitable exempt organization.	1b(1)	No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)	No
(3) Rental of facilities, equipment, or other assets.	1b(3)	No
(4) Reimbursement arrangements.	1b(4)	No
(5) Loans or loan guarantees.	1b(5)	No
(6) Performance of services or membership or fundraising solicitations.	1b(6)	No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
-------------	---------------------	---	--

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes
☒ No

[illegible]

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
***** _____ Signature of officer or trustee	2016-08-16 _____ Date	***** _____ Title

May the IRS discuss this return with the preparer shown below?
 (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name COREY EDMUNDS	Preparer's Signature	Date 2016-08-16	Check if self-employed <input type="checkbox"/>	PTIN P00288931
	Firm's name <input type="checkbox"/> BOECKERMANN GRAFSTROM & MAYER LLC			Firm's EIN <input type="checkbox"/>	20-0472826
	Firm's address <input type="checkbox"/> 332 MINNESOTA STREET STE W-900 ST PAUL, MN 55101			Phone no	(651) 227-9431

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ATS AUTOMATION TOOLING SYS		2015-07-24	2015-08-27
ATS AUTOMATION TOOLING SYS		2015-07-24	2015-10-20
ANSYS INC		2014-12-17	2015-01-14
ANSYS INC		2014-12-17	2015-06-16
ANSYS INC		2014-12-17	2015-08-27
BROOKS AUTOMATION INC		2014-10-30	2015-01-14
BROOKS AUTOMATION INC		2014-10-30	2015-04-08
BROOKS AUTOMATION INC		2014-10-30	2015-04-08
BROOKS AUTOMATION INC		2014-10-31	2015-04-08
BROOKS AUTOMATION INC		2014-12-12	2015-04-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
29,419		34,729	-5,310
116,862		141,717	-24,855
14,389		14,581	0
54,157		48,955	5,202
40,263		36,574	3,689
23,474		23,020	454
6,125		6,442	-317
283,976		297,860	-13,884
1,769		1,941	-172
35,592		38,486	-2,894

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5,310
			-24,855
			0
			5,202
			3,689
			454
			-317
			-13,884
			-172
			-2,894

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BROOKS AUTOMATION INC		2015-02-03	2015-04-08
CVS HEALTH CORP		2014-01-28	2015-01-14
CVS HEALTH CORP		2014-07-01	2015-02-03
CVS HEALTH CORP		2014-09-26	2015-02-03
CADIZ INC NEW		2014-09-16	2015-01-14
CADIZ INC NEW		2014-09-16	2015-04-13
GUGGENHEIM CHINA SMALL CAP ETF		2014-07-15	2015-01-14
GUGGENHEIM CHINA SMALL CAP ETF		2014-07-15	2015-03-24
GUGGENHEIM CHINA SMALL CAP ETF		2014-09-16	2015-03-24
GUGGENHEIM CHINA SMALL CAP ETF		2014-11-06	2015-03-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
45,335		53,268	-7,933
35,247		24,996	10,251
7,842		6,026	1,816
57,175		46,154	11,021
5,179		6,268	-1,089
76,447		97,066	-20,619
36,786		36,822	-36
322,886		326,731	-3,845
133,255		141,201	-7,946
98,387		103,554	-5,167

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-7,933
			10,251
			1,816
			11,021
			-1,089
			-20,619
			-36
			-3,845
			-7,946
			-5,167

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
COGNEX CORP COMMON STOCK		2014-11-25	2015-01-14
COGNEX CORP COMMON STOCK		2014-11-25	2015-02-17
COLUMBIA GREATER CHINA FUND CLASS A		2015-03-25	2015-06-16
COMMUNITY HEALTH SYSTEMS INC		2014-12-02	2015-01-14
COMMUNITY HEALTH SYSTEMS INC		2014-12-02	2015-02-03
ECOLAB INC COMMON STOCK		2014-11-12	2015-01-13
ENERGY FUELS INC		2014-04-21	2015-01-14
ENERGY FUELS INC		2014-04-21	2015-04-13
ENERGY FUELS INC		2014-10-08	2015-04-13
ENERGY FUELS INC		2014-10-08	2015-04-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17,062		19,173	-2,111
296,411		298,051	-1,640
422,018		388,172	33,846
20,113		18,806	1,307
290,599		290,225	374
288,118		319,050	-30,932
8,230		15,745	-7,515
30,754		65,909	-35,155
6,301		13,856	-7,555
12,516		20,471	-7,955

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,111
			-1,640
			33,846
			1,307
			374
			-30,932
			-7,515
			-35,155
			-7,555
			-7,955

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ENERGY FUELS INC		2015-06-19	2015-07-29
ENERGY FUELS INC		2015-06-19	2015-07-29
ENERGY FUELS INC		2015-06-19	2015-07-29
ENERGY FUELS INC		2015-06-19	2015-07-29
FARO TECHNOLOGIES INC		2014-11-25	2015-01-14
FARO TECHNOLOGIES INC		2014-11-25	2015-04-23
FARO TECHNOLOGIES INC		2014-12-12	2015-04-23
FIDELITY ADVISOR CHINA REGION FUND CLASS I		2014-02-12	2015-01-14
FIDELITY ADVISOR CHINA REGION FUND CLASS I		2014-12-05	2015-06-16
FIDELITY ADVISOR CHINA REGION FUND CLASS I		2014-12-05	2015-06-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,914		2,117	-203
6,479		7,167	-688
7,709		8,528	-819
36,307		40,164	-3,857
21,953		23,004	-1,051
231,821		294,854	-63,033
38,674		50,320	-11,646
67,605		71,712	-4,107
1,175		961	214
13,607		11,130	2,477

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-203
			-688
			-819
			-3,857
			-1,051
			-63,033
			-11,646
			-4,107
			214
			2,477

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIDELITY ADVISOR CHINA REGION FUND CLASS I		2014-12-05	2015-06-16
FIRST TR EXCHANGE TRADED FD AMEX BIOTECHNOLOY INDEX FUNE		2014-06-03	2015-01-14
FIRST TR EXCHANGE TRADED FD AMEX BIOTECHNOLOY INDEX FUNE		2014-06-03	2015-04-07
FIRST TR EXCHANGE TRADED FD AMEX BIOTECHNOLOY INDEX FUNE		2014-08-26	2015-04-07
FIRST TR EXCHANGE TRADED FD AMEX BIOTECHNOLOY INDEX FUNE		2014-08-26	2015-06-16
FIRST TR EXCHANGE TRADED FD AMEX BIOTECHNOLOY INDEX FUNE		2014-08-26	2015-07-08
FIRST TR EXCHANGE TRADED FD AMEX BIOTECHNOLOY INDEX FUNE		2014-08-26	2015-07-08
FIRST TR EXCHANGE TRADED FD AMEX BIOTECHNOLOY INDEX FUNE		2014-09-04	2015-07-28
FIRST TR EXCHANGE TRADED FD AMEX BIOTECHNOLOY INDEX FUNE		2014-09-04	2015-08-05
FIRST TR EXCHANGE TRADED FD AMEX BIOTECHNOLOY INDEX FUNE		2014-09-04	2015-08-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
30,603		25,031	5,572
126,918		91,505	35,413
351,645		231,264	120,381
102,978		81,033	21,945
406,655		309,492	97,163
50,870		38,767	12,103
64,645		49,668	14,977
208,382		153,089	55,293
211,648		153,832	57,816
113,886		92,559	21,327

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,572
			35,413
			120,381
			21,945
			97,163
			12,103
			14,977
			55,293
			57,816
			21,327

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIRST TR EXCHANGE TRADED FD AMEX BIOTECHNOLOY INDEX FUNE		2014-09-26	2015-08-27
FISSION URANIUM CORP		2014-04-21	2015-01-14
FISSION URANIUM CORP		2014-04-21	2015-04-08
FISSION URANIUM CORP		2014-10-07	2015-04-08
FISSION URANIUM CORP		2014-10-07	2015-06-16
FISSION URANIUM CORP		2015-02-18	2015-08-27
FISSION URANIUM CORP		2015-02-18	2015-11-05
FISSION URANIUM CORP		2015-03-03	2015-11-05
GILEAD SCIENCES INC		2014-04-30	2015-01-14
GILEAD SCIENCES INC		2014-04-30	2015-02-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
128,278		103,454	24,824
14,404		19,506	-5,102
42,379		56,945	-14,566
17,775		13,933	3,842
24,157		21,323	2,834
9,511		17,466	-7,955
10,798		23,129	-12,331
30,896		70,239	-39,343
28,268		22,186	6,082
34,687		25,896	8,791

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			24,824
			-5,102
			-14,566
			3,842
			2,834
			-7,955
			-12,331
			-39,343
			6,082
			8,791

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
GILEAD SCIENCES INC		2014-10-14	2015-02-17
GLOBAL X FDS GLOBAL X URANIUM ETF		2014-05-27	2015-01-14
GLOBAL X FDS GLOBAL X URANIUM ETF		2014-11-06	2015-06-16
GLOBAL X FDS GLOBAL X URANIUM ETF		2014-11-06	2015-07-08
INTUITIVE SURGICAL INC NEW		2014-10-30	2015-01-14
INTUITIVE SURGICAL INC NEW		2014-10-30	2015-05-12
INTUITIVE SURGICAL INC NEW		2014-10-31	2015-05-12
INTUITIVE SURGICAL INC NEW		2014-11-06	2015-05-12
INTUITIVE SURGICAL INC NEW		2014-11-11	2015-05-12
INTUITIVE SURGICAL INC NEW		2014-12-12	2015-05-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
431,783		405,058	26,725
17,428		24,783	-7,355
11,158		12,672	-1,514
70,721		97,261	-26,540
24,165		22,332	1,833
187,529		183,996	3,533
23,256		23,486	-230
70,261		71,795	-1,534
4,453		4,594	-141
61,355		62,799	-1,444

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			26,725
			-7,355
			-1,514
			-26,540
			1,833
			3,533
			-230
			-1,534
			-141
			-1,444

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
ISHARES MSCI THAILAND CAPPED ETF		2014-07-15	2015-01-14
ISHARES MSCI THAILAND CAPPED ETF		2014-07-15	2015-05-12
ISHARES MSCI THAILAND CAPPED ETF		2014-08-06	2015-05-12
ISHARES MSCI THAILAND CAPPED ETF		2014-08-26	2015-05-12
ISHARES MSCI THAILAND CAPPED ETF		2014-09-16	2015-05-12
ISHARES MSCI TAIWAN ETF		2014-01-29	2015-01-14
ISHARES MSCI TAIWAN ETF		2014-11-12	2015-06-08
ISHARES MSCI TAIWAN ETF		2015-02-18	2015-06-08
ISHARES MSCI PHILIPPINES ETF		2014-04-21	2015-01-14
ISHARES MSCI PHILIPPINES ETF		2014-07-01	2015-06-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
36,853		38,593	-1,740
100,989		107,302	-6,313
209,198		219,974	-10,776
178,043		196,018	-17,975
72,342		79,622	-7,280
35,986		33,463	2,523
252,676		253,812	-1,136
75,360		77,178	-1,818
40,167		36,763	3,404
10,455		10,112	343

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,740
			-6,313
			-10,776
			-17,975
			-7,280
			2,523
			-1,136
			-1,818
			3,404
			343

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
ISHARES MSCI PHILIPPINES ETF		2014-09-16	2015-06-08
ISHARES MSCI PHILIPPINES ETF		2014-11-06	2015-06-08
ISHARES MSCI PHILIPPINES ETF		2015-01-21	2015-06-08
ISHARES MSCI PHILIPPINES ETF		2015-02-18	2015-06-08
MARKET VECTORS INDONESIA IND		2014-08-06	2015-01-13
MARKET VECTORS INDONESIA IND		2014-08-26	2015-01-13
MARKET VECTORS INDIA SMALL-CAP		2014-07-15	2015-01-14
MARKET VECTORS INDIA SMALL-CAP		2014-07-15	2015-04-07
MARKET VECTORS INDIA SMALL-CAP		2014-08-06	2015-04-07
MARKET VECTORS INDIA SMALL-CAP		2014-09-16	2015-04-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
112,624		112,953	-329
99,987		99,949	38
135,869		142,135	-6,266
32,014		34,982	-2,968
262,434		286,013	-23,579
184,936		207,667	-22,731
36,220		38,329	-2,109
121,367		117,362	4,005
230,995		217,607	13,388
4,420		4,537	-117

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-329
			38
			-6,266
			-2,968
			-23,579
			-22,731
			-2,109
			4,005
			13,388
			-117

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
MARKET VECTORS INDIA SMALL-CAP		2014-09-16	2015-04-07
MARKET VECTORS INDIA SMALL-CAP		2014-11-06	2015-04-07
MARKET VECTORS INDIA SMALL-CAP		2014-11-06	2015-04-07
MARKET VECTORS INDIA SMALL-CAP		2015-03-03	2015-04-07
MATTHEWS ASIA SMALL COMPANIES N/L		2015-04-07	2015-08-18
MATTHEWS ASIA SMALL COMPANIES N/L		2015-06-08	2015-08-18
MATTHEWS ASIA SMALL COMPANIES N/L		2015-06-08	2015-08-26
MATTHEWS ASIA SMALL COMPANIES N/L		2015-06-08	2015-08-27
MATTHEWS ASIA SMALL COMPANIES N/L		2015-06-08	2015-12-07
MATTHEWS CHINA DIVIDEND INVESTOR		2015-03-25	2015-08-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
155,356		148,480	6,876
46,120		45,876	244
46,710		45,498	1,212
67,879		69,634	-1,755
320,755		367,405	-46,650
604,833		702,905	-98,072
252,835		319,279	-66,444
53,194		65,392	-12,198
216,036		254,728	-38,692
146,738		142,992	3,746

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,876
			244
			1,212
			-1,755
			-46,650
			-98,072
			-66,444
			-12,198
			-38,692
			3,746

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MATTHEWS CHINA DIVIDEND INVESTOR		2015-03-25	2015-08-19
MATTHEWS CHINA FUND		2014-12-11	2015-07-08
MATTHEWS CHINA FUND		2014-12-11	2015-07-08
MATTHEWS CHINA FUND		2014-12-11	2015-07-08
MATTHEWS CHINA FUND		2015-01-21	2015-07-08
MATTHEWS CHINA FUND		2015-02-03	2015-07-08
NEXTERA ENERGY INC COM		2015-08-05	2015-08-27
NEXTERA ENERGY INC COM		2015-08-05	2015-11-18
POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PTFLO		2014-12-12	2015-01-14
POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PTFLO		2014-12-12	2015-04-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,981		18,717	264
36		35	1
3,728		3,644	84
9,786		9,567	219
10,905		11,230	-325
10,338		10,683	-345
41,311		43,159	-1,848
156,020		168,268	-12,248
57,670		55,577	2,093
252,272		230,051	22,221

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			264
			1
			84
			219
			-325
			-345
			-1,848
			-12,248
			2,093
			22,221

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PTFLO		2014-12-12	2015-06-16
POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PTFLO		2014-12-17	2015-06-16
POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PTFLO		2014-12-17	2015-07-08
POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PTFLO		2014-12-24	2015-07-08
POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PTFLO		2014-12-24	2015-07-28
POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PTFLO		2014-12-24	2015-08-27
POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PTFLO		2014-12-24	2015-08-27
POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PTFLO		2015-02-17	2015-08-27
POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PTFLO		2015-02-17	2015-12-23
POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PTFLO		2015-07-15	2015-12-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
107,615		95,158	12,457
79,732		69,508	10,224
6,618		5,826	792
36,854		32,424	4,430
208,720		175,319	33,401
11,275		10,393	882
87,255		80,407	6,848
16,885		16,493	392
279,072		293,738	-14,666
12,839		15,683	-2,844

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			12,457
			10,224
			792
			4,430
			33,401
			882
			6,848
			392
			-14,666
			-2,844

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
PROSHARES TRUST PROSHARES SHORT S&P 500		2015-09-09	2015-10-27
PROSHARES TRUST PROSHARES SHORT S&P 500		2015-09-09	2015-11-06
PROSHARES TRUST PROSHARES SHORT S&P 500		2015-10-05	2015-11-06
PROSHARES TRUST PROSHARES SHORT S&P 500		2015-10-06	2015-11-06
SUNEDISON INC		2015-07-29	2015-08-11
TERADYNE INC COMMON STOCK		2014-12-12	2015-01-14
TERADYNE INC COMMON STOCK		2014-12-12	2015-01-21
UEX CORP FOREIGN STOCK CAD		2014-04-21	2015-01-14
UEX CORP FOREIGN STOCK CAD		2014-10-07	2015-05-12
UR-ENERGY INC FOREIGN STOCK CAD		2014-04-21	2015-01-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
433,706		456,285	-1,914
546,810		582,850	-36,040
269,356		302,075	-32,719
122,429		136,998	-14,569
126,082		224,867	-98,785
17,672		19,134	-1,462
273,870		285,376	-11,506
3,916		8,940	-5,024
23,243		33,110	-9,867
4,694		8,430	-3,736

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,914
			-36,040
			-32,719
			-14,569
			-98,785
			-1,462
			-11,506
			-5,024
			-9,867
			-3,736

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
UR-ENERGY INC FOREIGN STOCK CAD		2014-10-07	2015-07-08
URANERZ ENERGY CORP		2014-04-21	2015-01-14
URANERZ ENERGY CORP		2014-04-21	2015-04-08
URANERZ ENERGY CORP		2014-10-07	2015-04-08
URANERZ ENERGY CORP		2014-10-07	2015-06-16
URANERZ ENERGY CORP		2014-10-07	2015-06-19
URANERZ ENERGY CORP		2015-02-18	2015-06-19
URANIUM ENERGY CORP		2014-12-09	2015-01-14
URANIUM ENERGY CORP		2014-12-09	2015-04-08
URANIUM ENERGY CORP		2015-02-18	2015-04-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22,202		28,123	-5,921
5,226		8,227	-3,001
34,926		59,410	-24,484
9,369		9,984	-615
15,921		15,281	640
2,117		2,041	76
40,164		45,776	-5,612
3,011		4,657	-1,646
58,659		72,514	-13,855
5,017		5,193	-176

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5,921
			-3,001
			-24,484
			-615
			640
			76
			-5,612
			-1,646
			-13,855
			-176

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
URANIUM ENERGY CORP		2015-02-18	2015-06-16
URANIUM ENERGY CORP		2015-02-18	2015-08-26
URANIUM ENERGY CORP		2015-02-18	2015-08-26
URANIUM ENERGY CORP		2015-02-18	2015-08-26
URANIUM ENERGY CORP		2015-07-08	2015-08-26
VEOLIA ENVIRONNEMENT SPON ADR		2014-05-27	2015-01-14
XYLEM INC		2014-02-04	2015-01-13
XYLEM INC		2014-07-01	2015-01-13
ORIENT OVERSEAS INTL LTD		2015-05-06	2015-06-17
ORIENT OVERSEAS INTL LTD		2015-05-06	2015-08-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
27,288		15,031	12,257
2,527		4,141	-1,614
6,172		9,874	-3,702
24,842		41,159	-16,317
34,312		45,709	-11,397
19,521		20,769	-1,248
327,465		338,444	-10,979
16,016		18,068	-2,052
80,932		100,673	-19,741
159,601		198,296	-38,695

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			12,257
			-1,614
			-3,702
			-16,317
			-11,397
			-1,248
			-10,979
			-2,052
			-19,741
			-38,695

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
ORIENT OVERSEAS INTL LTD		2015-05-13	2015-08-27
PAC BASIN BULK SHIPPING LTD		2015-05-13	2015-06-17
PAC BASIN BULK SHIPPING LTD		2015-05-13	2015-07-09
TECAN GROUP AG REG NEW		2014-12-18	2015-01-15
TECAN GROUP AG REG NEW		2014-12-18	2015-04-08
TECAN GROUP AG REG NEW		2014-12-18	2015-06-17
TECAN GROUP AG REG NEW		2015-01-21	2015-06-17
TECAN GROUP AG REG NEW		2015-01-21	2015-07-09
TECAN GROUP AG REG NEW		2015-01-21	2015-07-09
TECAN GROUP AG REG NEW		2015-03-03	2015-07-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
130,138		155,719	-25,581
60,548		64,945	-4,397
161,144		236,164	-75,020
13,511		13,578	0
210,532		180,554	29,978
42,105		36,694	5,411
47,385		41,231	6,154
7,890		7,395	495
14,466		13,624	842
281,542		285,096	-3,554

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-25,581
			-4,397
			-75,020
			0
			29,978
			5,411
			6,154
			495
			842
			-3,554

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
KARDEX AG REG CHF		2015-03-18	2015-06-17
SINOPHARM GROUP CO		2014-09-17	2015-01-15
SINOPHARM GROUP CO		2014-09-17	2015-04-09
SINOPHARM GROUP CO		2014-09-17	2015-06-17
SINOPHARM GROUP CO		2014-09-17	2015-09-02
SINOPHARM GROUP CO		2014-09-29	2015-09-02
SINOPHARM GROUP CO		2014-10-31	2015-09-02
SINOPHARM GROUP CO		2014-11-07	2015-09-02
SINOPHARM GROUP CO		2014-11-07	2015-10-07
SINOPHARM GROUP CO		2015-02-04	2015-10-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
49,870		45,618	4,252
34,321		32,965	1,356
122,403		95,231	27,172
154,704		123,801	30,903
104,703		114,278	-9,575
6,712		7,484	-772
64,432		75,425	-10,993
25,504		31,910	-6,406
74,563		85,653	-11,090
102,341		103,586	-1,245

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,252
			1,356
			27,172
			30,903
			-9,575
			-772
			-10,993
			-6,406
			-11,090
			-1,245

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SINOPHARM GROUP CO		2015-06-02	2015-10-07
SHANGHAI FOSUN PHARMACEUTICAL		2014-02-27	2015-01-15
KUKA AKTIENGESELLSCHAFT		2014-11-06	2015-01-15
KUKA AKTIENGESELLSCHAFT		2014-11-06	2015-04-08
KUKA AKTIENGESELLSCHAFT		2014-11-06	2015-06-17
KUKA AKTIENGESELLSCHAFT		2014-11-06	2015-08-28
KUKA AKTIENGESELLSCHAFT		2014-11-25	2015-08-28
KRONES AG		2014-10-30	2015-01-15
KRONES AG		2014-10-30	2015-04-08
KRONES AG		2014-10-30	2015-06-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
51,171		68,616	-17,445
20,130		20,888	-758
23,214		22,632	582
28,180		25,025	3,155
97,537		81,569	15,968
38,494		32,956	5,538
32,664		30,368	2,296
20,937		20,489	448
67,304		58,646	8,658
88,618		78,571	10,047

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-17,445
			-758
			582
			3,155
			15,968
			5,538
			2,296
			448
			8,658
			10,047

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
KRONES AG		2014-10-30	2015-08-28
SAFT GROUPE SA SHS NOM		2015-10-15	2015-12-24
CHINA CORD BLOOD CORP		2014-10-30	2015-01-14
CHINA CORD BLOOD CORP		2014-10-30	2015-04-08
CHINA CORD BLOOD CORP		2014-10-30	2015-06-16
CHINA CORD BLOOD CORP		2014-10-30	2015-08-27
NORDIC AMERICAN TANKERS LTD		2015-05-05	2015-06-16
NORDIC AMERICAN TANKERS LTD		2015-05-05	2015-08-27
TSAKOS ENERGY NAVIGATION LTD		2015-05-05	2015-06-16
TSAKOS ENERGY NAVIGATION LTD		2015-05-05	2015-06-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
89,598		78,571	11,027
190,118		211,583	-21,465
8,815		10,225	-1,410
25,204		23,363	1,841
33,832		29,120	4,712
11,911		9,624	2,287
106,623		89,746	16,877
82,149		67,180	14,969
4,820		4,580	240
94,472		89,194	5,278

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11,027
			-21,465
			-1,410
			1,841
			4,712
			2,287
			16,877
			14,969
			240
			5,278

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
TSAKOS ENERGY NAVIGATION LTD		2015-05-05	2015-08-27
E2V TECHNOLOGIES		2015-02-04	2015-06-17
E2V TECHNOLOGIES		2015-02-04	2015-06-17
E2V TECHNOLOGIES		2015-02-04	2015-08-28
CSPC PHARMACEUTICAL GROUP LT		2014-03-19	2015-01-15
CSPC PHARMACEUTICAL GROUP LT		2014-03-19	2015-02-04
CSPC PHARMACEUTICAL GROUP LT		2014-08-27	2015-02-04
AIDA ENGINEERING LTD		2014-03-19	2015-06-17
AIDA ENGINEERING LTD		2014-03-19	2015-07-27
KAWASAKI KISEN KAISHA LTD		2015-05-07	2015-06-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
57,514		70,133	-12,619
5,825		4,478	1,347
90,220		68,253	21,967
65,848		54,368	11,480
20,745		25,364	-4,619
69,980		91,944	-21,964
222,005		244,403	-22,398
45,118		47,922	-2,804
134,057		170,651	-36,594
85,494		98,226	-12,732

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-12,619
			1,347
			21,967
			11,480
			-4,619
			-21,964
			-22,398
			-2,804
			-36,594
			-12,732

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
KAWASAKI KISEN KAISHA LTD		2015-05-07	2015-08-28
KAWASAKI KISEN KAISHA LTD		2015-05-07	2015-11-11
KAWASAKI KISEN KAISHA LTD		2015-05-13	2015-11-11
KEYENCE CORP		2015-03-18	2015-06-17
HARMONIC DRIVE SYSTEMS INC		2015-01-05	2015-01-15
HARMONIC DRIVE SYSTEMS INC		2015-01-05	2015-06-17
HARMONIC DRIVE SYSTEMS INC		2015-01-05	2015-08-28
HARMONIC DRIVE SYSTEMS INC		2015-01-22	2015-08-28
FANUC LTD		2014-12-26	2015-01-15
FANUC LTD		2014-12-26	2015-06-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
60,992		74,333	-13,341
98,876		132,737	-33,861
106,785		143,040	-36,255
55,035		55,961	-926
4,283		4,568	0
81,872		63,956	17,916
16,041		16,750	-709
24,791		26,114	-1,323
16,009		16,745	-736
82,593		66,979	15,614

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-13,341
			-33,861
			-36,255
			-926
			0
			17,916
			-709
			-1,323
			-736
			15,614

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
FANUC LTD		2014-12-26	2015-08-28
FANUC LTD		2014-12-26	2015-10-15
YASKAYA ELECTRIC JPY 50		2014-12-26	2015-01-14
YASKAYA ELECTRIC JPY 50		2014-12-26	2015-05-13
CHINA MEDICAL SYSTEM HOLDINGS		2014-02-27	2015-01-15
CHINA MEDICAL SYSTEM HOLDINGS		2014-12-15	2015-07-09
CHINA MEDICAL SYSTEM HOLDINGS		2015-02-04	2015-07-09
CHINA MEDICAL SYSTEM HOLDINGS		2015-03-12	2015-07-09
CHINA PIONEER PHARMA HLDGS LTD		2014-12-15	2015-01-15
CHINA PIONEER PHARMA HLDGS LTD		2014-12-15	2015-02-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
113,709		117,213	-3,504
113,284		117,213	-3,929
13,259		14,768	-1,509
277,536		273,882	3,654
29,017		22,479	6,538
92,968		139,779	-46,811
60,831		91,701	-30,870
51,649		76,384	-24,735
18,019		20,020	-2,001
584		870	-286

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-3,504
			-3,929
			-1,509
			3,654
			6,538
			-46,811
			-30,870
			-24,735
			-2,001
			-286

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CHINA PIONEER PHARMA HLDGS LTD		2014-12-15	2015-02-23
CT ENVIRONMENTAL GROUP LTD HKD		2014-08-14	2015-01-15
CT ENVIRONMENTAL GROUP LTD HKD		2014-08-18	2015-01-15
CT ENVIRONMENTAL GROUP LTD HKD		2014-08-18	2015-06-17
CT ENVIRONMENTAL GROUP LTD HKD		2014-08-27	2015-06-17
CT ENVIRONMENTAL GROUP LTD HKD		2014-08-27	2015-07-09
SINO BIOPHARMACEUTICAL		2014-11-26	2015-01-15
SINO BIOPHARMACEUTICAL		2014-11-26	2015-04-09
SINO BIOPHARMACEUTICAL		2014-11-26	2015-06-17
UNITED LABORATORIES INTL HOLDINGS LTD HKD		2014-07-16	2015-01-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
212,055		315,960	-103,905
16,904		13,844	3,060
16,904		13,543	3,361
161,119		91,614	69,505
29,422		17,640	11,782
157,836		115,923	41,913
20,077		20,844	0
55,402		46,900	8,502
135,372		129,235	6,137
30,629		38,272	-7,643

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-103,905
			3,060
			3,361
			69,505
			11,782
			41,913
			0
			8,502
			6,137
			-7,643

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
UNITED LABORATORIES INTL HOLDINGS LTD HKD		2014-07-16	2015-01-14
UNITED LABORATORIES INTL HOLDINGS LTD HKD		2014-07-16	2015-01-14
UNITED LABORATORIES INTL HOLDINGS LTD HKD		2014-09-29	2015-01-14
PUT POWERSHARES QQQ TRU DUE 06/19115		2014-12-11	2015-01-14
PUT POWERSHARES QQQ TRU DUE 06/19115		2014-12-11	2015-02-05
PUT POWERSHARES QQQ TRU DUE 06/19115		2014-12-11	2015-02-13
PUT POWERSHARES QQQ TRU DUE 06/19115		2014-12-11	2015-02-18
PUT POWERSHARES QQQ TRU DUE 06/19115		2015-01-13	2015-02-18
PUT POWERSHARES QQQ TRU DUE 06/19115		2015-01-13	2015-02-18
PUT POWERSHARES QQQ TRU DUE 06/19115		2015-01-13	2015-05-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
77,440		96,761	-19,321
144,471		180,529	-36,058
31,207		43,883	-12,676
23,834		18,688	5,146
56,385		61,905	0
49,410		82,930	-2,124
37,053		63,074	-26,021
11,665		20,333	-8,668
12,351		23,404	-11,053
4,548		45,508	-40,960

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-19,321
			-36,058
			-12,676
			5,146
			0
			-2,124
			-26,021
			-8,668
			-11,053
			-40,960

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PUT POWERSHARES QQQ TRU DUE 06/19/15		2015-02-03	2015-05-15
PUT POWERSHARES QQQ TRU DUE 09/18/15		2015-05-15	2015-06-16
PUT POWERSHARES QQQ TRU DUE 09/18/15		2015-05-15	2015-07-15
PUT POWERSHARES QQQ TRU DUE 09/18/15		2015-05-15	2015-08-06
PUT POWERSHARES QQQ TRU DUE 09/18/15		2015-06-08	2015-08-06
PUT POWERSHARES QQQ TRU DUE 09/18/15		2015-06-08	2015-08-06
PUT POWERSHARES QQQ TRU DUE 09/18/15		2015-07-08	2015-08-06
PUT POWERSHARES QQQ TRU DUE 12/18/15		2015-08-07	2015-08-21
PUT POWERSHARES QQQ TRU DUE 12/18/15		2015-08-18	2015-08-21
PUT POWERSHARES QQQ TRU DUE 12/18/15		2015-08-18	2015-08-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,641		115,590	-106,949
28,418		32,474	0
12,665		25,832	0
7,175		16,975	-9,800
13,726		34,154	-20,428
18,094		39,675	-21,581
10,919		42,713	-31,794
126,762		67,598	59,164
25,536		11,548	13,988
197,546		68,877	128,669

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-106,949
			0
			0
			-9,800
			-20,428
			-21,581
			-31,794
			59,164
			13,988
			128,669

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PUT POWERSHARES QQQ TRU DUE 12/18/15		2015-08-18	2015-09-01
PUT POWERSHARES QQQ TRU DUE 12/18/15		2015-08-18	2015-11-06
NEPTUNE ORIENT LINES LTD		2015-05-06	2015-06-17
NEPTUNE ORIENT LINES LTD		2015-05-06	2015-08-28
CITIC ENVIROTECH LTD		2014-09-17	2015-01-15
CITIC ENVIROTECH LTD		2014-09-17	2015-06-17
CITIC ENVIROTECH LTD		2015-03-12	2015-06-17
CITIC ENVIROTECH LTD		2015-03-12	2015-07-09
CITIC ENVIROTECH LTD		2015-03-12	2015-10-15
CITIC ENVIROTECH LTD		2015-04-01	2015-10-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
141,492		51,554	89,938
20,675		77,538	-56,863
70,001		96,974	-26,973
50,431		72,454	-22,023
13,158		11,925	1,233
91,001		77,402	13,599
8,157		7,726	431
26,050		26,801	-751
128,687		157,423	-28,736
77,567		95,840	-18,273

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			89,938
			-56,863
			-26,973
			-22,023
			1,233
			13,599
			431
			-751
			-28,736
			-18,273

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CITIC ENVIROTECH LTD		2015-04-01	2015-10-20
DIANA SHIPPING INC		2015-05-12	2015-06-16
DIANA SHIPPING INC		2015-05-12	2015-08-27
DIANA SHIPPING INC		2015-05-12	2015-11-18
DIANA SHIPPING INC		2015-05-12	2015-11-18
UNITED STATES NATL GAS FUND LP ETF		2014-11-11	2015-01-14
UNITED STATES NATL GAS FUND LP ETF		2014-11-11	2015-01-21
UNITED STATES OIL FUND LP		2015-01-21	2015-02-25
UNITED STATES OIL FUND LP		2015-01-21	2015-03-12
CVS HEALTH CORP		2014-01-28	2015-02-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
51,871		63,998	-12,127
65,200		64,081	1,119
45,094		47,939	-2,845
54,408		78,620	-24,212
74,627		107,036	-32,409
71,294		97,855	-26,561
976,420		1,451,704	-475,284
275,951		267,799	8,152
704,316		729,272	-24,956
494,723		341,317	153,406

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-12,127
			1,119
			-2,845
			-24,212
			-32,409
			-26,561
			-475,284
			8,152
			-24,956
			153,406

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CAMECO CORP CANADA		2013-05-16	2015-01-14
CAMECO CORP CANADA		2013-05-16	2015-06-16
CAMECO CORP CANADA		2013-05-16	2015-07-29
DENISON MINES CORP		2013-03-25	2015-01-14
DENISON MINES CORP		2013-03-25	2015-04-08
DENISON MINES CORP		2013-03-26	2015-04-08
DENISON MINES CORP		2013-03-01	2015-04-08
DENISON MINES CORP		2013-05-16	2015-04-08
DENISON MINES CORP		2013-05-16	2015-04-08
DENISON MINES CORP		2013-05-16	2015-06-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,600		13,059	-4,459
30,429		43,246	-12,817
100,439		158,339	-57,900
31,684		54,801	-23,117
57,002		109,407	-52,405
30,844		60,005	-29,161
71,631		137,488	-65,857
38,555		61,005	-22,450
41,206		65,194	-23,988
9,570		14,474	-4,904

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4,459
			-12,817
			-57,900
			-23,117
			-52,405
			-29,161
			-65,857
			-22,450
			-23,988
			-4,904

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DENISON MINES CORP		2013-05-16	2015-06-01
DENISON MINES CORP		2013-05-17	2015-06-01
DENISON MINES CORP		2013-05-17	2015-06-01
DENISON MINES CORP		2013-05-17	2015-06-16
DENISON MINES CORP		2013-05-17	2015-06-16
DENISON MINES CORP		2013-05-17	2015-07-29
DENISON MINES CORP		2013-05-17	2015-07-29
DENISON MINES CORP		2013-05-17	2015-07-29
DENISON MINES CORP		2013-05-17	2015-07-29
DENISON MINES CORP		2013-05-17	2015-07-29
FIDELITY ADVISOR CHINA REGION FUND CLASS I		2014-02-12	2015-04-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
23,908		36,157	-12,249
16,422		24,843	-8,421
21,806		32,981	-11,175
11,340		17,339	-5,999
18,324		28,019	-9,695
7,182		18,524	-11,342
16,654		42,621	-25,967
17,060		43,659	-26,599
23,836		61,000	-37,164
698,739		688,154	10,585

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-12,249
			-8,421
			-11,175
			-5,999
			-9,695
			-11,342
			-25,967
			-26,599
			-37,164
			10,585

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIDELITY ADVISOR CHINA REGION FUND CLASS I		2014-02-12	2015-06-16
FIRST TR EXCHANGE TRADED FD AMEX BIOTECHNOLOY INDEX FUNE		2014-09-26	2015-10-06
FISSION URANIUM CORP		2014-10-07	2015-06-16
FISSION URANIUM CORP		2014-10-07	2015-08-27
GLOBAL X FDS GLOBAL X URANIUM ETF		2014-05-27	2015-06-01
GLOBAL X FDS GLOBAL X URANIUM ETF		2014-05-27	2015-06-16
GLOBAL X FDS GLOBAL X URANIUM ETF		2014-11-06	2015-07-08
ISHARES MSCI TAIWAN ETF		2014-01-29	2015-06-01
ISHARES MSCI TAIWAN ETF		2014-01-29	2015-06-08
ISHARES MSCI PHILIPPINES ETF		2014-04-21	2015-06-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
295,606		264,189	31,417
209,059		194,932	14,127
3,459		4,778	-1,319
2,991		6,824	-3,833
144,589		195,160	-50,571
16,201		23,106	-6,905
11,798		20,576	-8,778
72,416		61,075	11,341
273,900		240,773	33,127
365,331		347,251	18,080

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			31,417
			14,127
			-1,319
			-3,833
			-50,571
			-6,905
			-8,778
			11,341
			33,127
			18,080

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
MATTHEWS CHINA FUND		2013-10-02	2015-04-07
MATTHEWS CHINA FUND		2013-11-20	2015-04-07
MATTHEWS CHINA FUND		2013-11-20	2015-06-16
MATTHEWS CHINA FUND		2013-12-26	2015-06-16
MATTHEWS CHINA FUND		2013-12-26	2015-07-08
UEX CORP		2014-04-21	2015-05-12
UEX CORP		2014-10-07	2015-05-12
UEX CORP		2014-10-07	2015-05-12
UR-ENERGY INC		2014-04-21	2015-06-16
UR-ENERGY INC		2014-04-21	2015-07-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
199,509		203,225	-3,716
65,509		70,068	-4,559
133,131		130,588	2,543
173,544		153,053	20,491
98,132		101,942	-3,810
25,522		59,048	-33,526
5,180		13,317	-8,137
5,778		13,703	-7,925
16,032		27,160	-11,128
14,535		30,263	-15,728

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-3,716
			-4,559
			2,543
			20,491
			-3,810
			-33,526
			-8,137
			-7,925
			-11,128
			-15,728

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
UR-ENERGY INC		2014-10-07	2015-07-08
UR-ENERGY INC		2014-10-07	2015-07-08
URANERZ ENERGY CORP		2014-10-07	2015-06-19
URANERZ ENERGY CORP		2014-10-07	2015-06-19
URANIUM PARTICIPATION CORP		2013-03-07	2015-06-16
URANIUM PARTICIPATION CORP		2013-03-27	2015-06-16
URANIUM PARTICIPATION CORP		2013-03-27	2015-07-29
URANIUM PARTICIPATION CORP		2013-05-16	2015-07-29
URANIUM PARTICIPATION CORP		2013-05-17	2015-07-29
URANIUM PARTICIPATION CORP		2013-11-20	2015-07-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,309		11,669	-6,360
6,030		11,210	-5,180
7,167		11,753	-4,586
8,528		13,069	-4,541
298,798		378,835	-80,037
10,406		13,599	-3,193
273,395		396,563	-123,168
142,817		187,121	-44,304
160,600		210,086	-49,486
107,800		143,518	-35,718

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-6,360
			-5,180
			-4,586
			-4,541
			-80,037
			-3,193
			-123,168
			-44,304
			-49,486
			-35,718

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
URANIUM PARTICIPATION CORP		2013-11-20	2015-08-05
URANIUM PARTICIPATION CORP		2014-02-04	2015-08-05
URANIUM PARTICIPATION CORP		2014-02-04	2015-08-27
VEOLIA ENVIRONNEMENT SPON ADR		2014-05-27	2015-06-16
VEOLIA ENVIRONNEMENT SPON ADR		2014-05-27	2015-08-27
VEOLIA ENVIRONNEMENT SPON ADR		2014-05-27	2015-08-28
BEIJING ENTERPRISES WATER GROU		2013-09-17	2015-01-15
BEIJING ENTERPRISES WATER GROU		2013-09-17	2015-02-23
BEIJING ENTERPRISES WATER GROU		2013-09-19	2015-02-23
BEIJING ENTERPRISES WATER GROU		2013-09-25	2015-02-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
69,199		94,527	-25,328
21,885		28,748	-6,863
85,188		110,127	-24,939
73,697		68,794	4,903
2,915		2,522	393
108,675		94,343	14,332
72,640		45,836	26,804
122,962		90,011	32,951
202,924		145,745	57,179
84,599		58,809	25,790

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-25,328
			-6,863
			-24,939
			4,903
			393
			14,332
			26,804
			32,951
			57,179
			25,790

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BEIJING ENTERPRISES WATER GROU		2013-09-25	2015-03-12
BEIJING ENTERPRISES WATER GROU		2013-09-26	2015-03-12
BEIJING ENTERPRISES WATER GROU		2013-09-26	2015-06-17
SIHUAN PHARMACEUTICAL HLDGS		2013-07-17	2015-01-15
SIHUAN PHARMACEUTICAL HLDGS		2013-07-17	2015-03-12
SIHUAN PHARMACEUTICAL HLDGS		2013-07-29	2015-03-12
SHANGHAI FOSUN PHARMACEUTICAL		2014-02-27	2015-04-17
CHINA EVERBRIGHT INTL LTD HKD		2013-12-12	2015-01-15
CHINA EVERBRIGHT INTL LTD HKD		2013-12-12	2015-06-18
CHINA EVERBRIGHT INTL LTD HKD		2013-12-12	2015-07-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,453		13,737	6,716
235,179		158,698	76,481
76,790		39,683	37,107
35,776		18,367	17,409
292,743		177,911	114,832
174,355		111,587	62,768
390,397		336,100	54,297
37,878		30,555	7,323
138,645		100,221	38,424
29,588		24,444	5,144

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,716
			76,481
			37,107
			17,409
			114,832
			62,768
			54,297
			7,323
			38,424
			5,144

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CHINA MEDICAL SYSTEM HOLDINGS		2014-02-27	2015-04-09
CHINA MEDICAL SYSTEM HOLDINGS		2014-02-27	2015-06-17
CHINA MEDICAL SYSTEM HOLDINGS		2014-02-27	2015-07-09
SOUND GLOBAL LTD SHS SGD		2013-12-11	2015-01-15
MATTHEWS CHINA FUND		2012-10-23	2015-04-07
SPDR GOLD TRUST		2012-01-17	2015-01-14
SPDR GOLD TRUST		2012-01-17	2015-06-16
SPDR GOLD TRUST		2012-01-17	2015-08-27
SPDR GOLD TRUST		2012-05-10	2015-08-27
URANIUM PARTICIPATION CORP		2012-03-28	2015-01-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
137,896		105,785	32,111
84,307		78,017	6,290
42,467		48,926	-6,459
56,428		32,520	23,908
150,651		143,809	6,842
200,151		266,177	0
859,937		1,199,519	-339,582
309,815		455,152	-145,337
301,755		431,233	-129,478
92,183		122,684	-30,501

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			32,111
			6,290
			-6,459
			23,908
			6,842
			0
			-339,582
			-145,337
			-129,478
			-30,501

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
URANIUM PARTICIPATION CORP		2012-03-29	2015-01-14
URANIUM PARTICIPATION CORP		2012-03-29	2015-06-16
SPDR GOLD TRUST			2015-01-14
SPDR GOLD TRUST			2015-02-13
SPDR GOLD TRUST			2015-03-13
SPDR GOLD TRUST			2015-04-10
SPDR GOLD TRUST			2015-05-14
SPDR GOLD TRUST			2015-06-12
SPDR GOLD TRUST			2015-07-08
SPDR GOLD TRUST			2015-08-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,831		26,299	-6,468
54,272		74,168	-19,896
1,074			1,074
1,069			1,069
1,447			1,447
1,460			1,460
1,361			1,361
1,372			1,372
1,224			1,224
1,046			1,046

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-6,468
			-19,896
			1,074
			1,069
			1,447
			1,460
			1,361
			1,372
			1,224
			1,046

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SPDR GOLD TRUST			2015-09-04
SPDR GOLD TRUST			2015-10-05
SPDR GOLD TRUST			2015-11-04
SPDR GOLD TRUST			2015-12-03
PUT CBOE S&P 500 INDEX DUE 12/19/15		2015-11-18	2015-11-04
PUT CBOE S&P 500 INDEX DUE 12/19/15		2015-11-18	2015-11-06
PUT RUSSELL 2000 INDEX DUE 12/19/15		2015-11-18	2015-11-04
PUT RUSSELL 2000 INDEX DUE 12/19/15		2015-11-18	2015-11-06
PUT RUSSELL 2000 INDEX DUE 12/19/15		2015-11-18	2015-09-01
PUT CBOE S&P 500 INDEX DUE 9/18/15		2015-06-08	2015-08-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
837			837
775			775
848			848
791			791
23,270		44,768	-21,498
21,730		44,768	-23,038
28,513		35,667	-7,154
27,750		35,667	-7,917
77,435		35,667	41,768
32,512		49,389	-16,877

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			837
			775
			848
			791
			-21,498
			-23,038
			-7,154
			-7,917
			41,768
			-16,877

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PUT CBOE S&P 500 INDEX DUE 9/18/15		2015-06-08	2015-08-06
PUT CBOE S&P 500 INDEX DUE 12/19/15		2015-08-07	2015-08-21
PUT CBOE S&P 500 INDEX DUE 12/19/15		2015-08-07	2015-08-24
PUT RUSSELL 2000 INDEX DUE 9/18/15		2015-05-15	2015-08-06
PUT RUSSELL 2000 INDEX DUE 9/18/15		2015-06-08	2015-08-06
PUT RUSSELL 2000 INDEX DUE 9/18/15		2015-06-08	2015-08-06
PUT RUSSELL 2000 INDEX DUE 12/19/15		2015-08-07	2015-08-21
PUT RUSSELL 2000 INDEX DUE 12/19/15		2015-08-07	2015-08-24
PUT CBOE S&P 500 INDEX DUE 9/18/15		2015-05-15	2015-07-15
PUT CBOE S&P 500 INDEX DUE 9/18/15		2015-06-08	2015-07-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
32,512		58,000	-25,488
95,650		53,728	41,922
141,640		53,728	87,912
12,284		16,036	-3,752
73,707		73,355	352
28,664		35,320	-6,656
60,440		39,728	20,712
86,830		39,728	47,102
21,521		32,628	-11,107
8,609		14,111	-5,502

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-25,488
			41,922
			87,912
			-3,752
			352
			-6,656
			20,712
			47,102
			-11,107
			-5,502

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PUT RUSSELL 2000 INDEX DUE 9/18/15		2015-05-15	2015-07-15
PUT CBOE S&P 500 INDEX DUE 9/18/15		2015-05-15	2015-06-16
PUT RUSSELL 2000 INDEX DUE 9/18/15		2015-05-15	2015-06-16
PUT CBOE S&P 500 INDEX DUE 6/19/15		2015-01-14	2015-05-15
PUT CBOE S&P 500 INDEX DUE 6/19/15		2015-02-03	2015-05-15
PUT RUSSELL 2000 INDEX DUE 6/19/15		2015-01-13	2015-05-15
PUT RUSSELL 2000 INDEX DUE 6/19/15		2015-02-03	2015-05-15
PUT CBOE S&P 500 INDEX DUE 6/19/15		2015-01-14	2015-02-05
PUT CBOE S&P 500 INDEX DUE 6/19/15		2015-01-14	2015-02-13
PUT CBOE S&P 500 INDEX DUE 6/19/15		2015-01-14	2015-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17,040		37,417	-20,377
25,615		26,102	-487
26,595		42,762	-16,167
6,432		92,565	-86,133
5,718		60,205	-54,487
5,398		51,965	-46,567
6,072		54,055	-47,983
57,730		92,566	-34,836
115,075		246,844	-131,769
14,384		30,855	-16,471

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-20,377
			-487
			-16,167
			-86,133
			-54,487
			-46,567
			-47,983
			-34,836
			-131,769
			-16,471

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PUT CBOE S&P 500 INDEX DUE 6/19/15		2015-01-14	2015-02-18
PUT RUSSELL 2000 INDEX DUE 6/19/15		2014-12-11	2015-02-13
PUT RUSSELL 2000 INDEX DUE 6/19/15		2014-12-11	2015-02-18
PUT RUSSELL 2000 INDEX DUE 6/19/15		2014-12-12	2015-02-18
PUT RUSSELL 2000 INDEX DUE 6/19/15		2015-01-13	2015-02-18
PUT CBOE S&P 500 INDEX DUE 3/20/15		2014-07-10	2015-01-14
PUT CBOE S&P 500 INDEX DUE 3/20/15		2014-08-04	2015-01-14
PUT CBOE S&P 500 INDEX DUE 3/20/15		2014-09-26	2015-01-14
PUT CBOE S&P 500 INDEX DUE 3/20/15		2014-10-08	2015-01-14
PUT CBOE S&P 500 INDEX DUE 3/20/15		2014-12-11	2015-01-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
85,945		185,130	-99,185
72,905		131,313	-58,408
27,963		51,066	-23,103
39,947		86,455	-46,508
3,995		6,496	-2,501
160,544		298,815	-138,271
116,759		261,245	-144,486
121,624		190,630	-69,006
58,380		90,785	-32,405
233,518		172,085	61,433

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-99,185
			-58,408
			-23,103
			-46,508
			-2,501
			-138,271
			-144,486
			-69,006
			-32,405
			61,433

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PUT RUSSELL 2000 INDEX DUE 6/19/15		2014-12-11	2015-01-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
23,020		21,886	1,134

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,134

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation(If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
STEVEN C LEUTHOLD	DIRECTOR 1 00	9,000	0	0
1300 YALE PLACE SUITE 329 MINNEAPOLIS,MN 55403				
DR LINDA LEUTHOLD DONERKIEL	DIRECTOR 1 00	9,000	0	0
750 COW HORSE DRIVE KUNA,ID 83634				
MICHAEL LEUTHOLD	DIRECTOR 1 00	9,000	0	0
10201 E GLENN STREET TUCSON,AZ 85749				
KURT LEUTHOLD	DIRECTOR 1 00	9,000	0	0
1810 OAKVIEW LANE N PLYMOUTH,MN 55441				
RUSSELL LEUTHOLD	DIRECTOR 1 00	9,000	0	0
31357 CHAMPAGNE ROAD WAUKEE,IA 50263				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
30 DAYS FOUNDATION 8014 OLSON HIGHWAY 195 GOLDEN VALLEY,MN 55427	NONE		OPERATIONS	9,000
ACLU-DESIGNATED AG GAG 125 BROAD STREET 18TH FLOOR NEW YORK,NY 10004	NONE		OPERATIONS	25,000
AKSHAYA TRUST 17359 EAST CALEY PLACE AURORA,CO 80016	NONE		OPERATIONS	10,000
ALBERT PAINE UNITED METHODIST CHURCH 1631 PORTAGE DR HANCOCK,MI 49930	NONE		OPERATIONS	9,000
ALBERTINI MELANOMA RESEARCH - JAY VAN SLOUN 600 HIGHLAND AVENUE K6/530 CSC MADISON,WI 537924678	NONE		OPERATIONS	5,000
ALLIANCE FOR SUSTAINABILITY 2801 21ST AVE S SUITE 100 MINNEAPOLIS,MN 55407	NONE		OPERATIONS	5,000
AMERICAN BIRD CONSERVANCY PO BOX 249 4249 LOUDOUN AVE THE PLAINS,VA 201982237	NONE		OPERATIONS	5,000
AMERICAN PRAIRIE RESERVE 7 EAST BEALL ST SUITE 100 BOZEMAN,MT 59715	NONE		OPERATIONS	35,000
AMERICAN RIVERS 1101 14TH STREET NW SUITE 1400 WASHINGTON,DC 200055637	NONE		OPERATIONS	10,000
AMERICAN VET MED FOUNDATION 1931 N MEACHAM RD STE 100 SCHAUMBURG,IL 60173	NONE		OPERATIONS	10,000
AMICUSVOA 7625 METRO BLVD MINNEAPOLIS,MN 05439	NONE		OPERATIONS	5,000
ANIMAL WELFARE INSTITUTE 900 PENNSYLVANIA AVE SE WASHINGTON,DC 20027	NONE		OPERATIONS	10,000
ANIMALS IN DISTRESS 2201 WEST BOISE AVE BOISE,ID 837063163	NONE		OPERATIONS	10,000
ARIZONA LAND AND WATER TRUST 3127 N CHERRY AVENUE TUCSON,AZ 85719	NONE		OPERATIONS	10,000
ARIZONAS CHILDREN'S ASSOCPARENT CONNECTION 2700 S 8TH STREET TUCSON,AZ 85713	NONE		OPERATIONS	15,000
Total ▶ 3a				11,434,725

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AUDREY PETWEST VETNIGEL FOUND 5019 N SAWYER GARDEN CITY, ID 83714	NONE		OPERATIONS	9,000
BIG BROTHERSBIG SISTERS OF SW IDAHO 110 NORTH 27TH ST BOISE, ID 83702	NONE		OPERATIONS	9,000
BIG BROTHERSBIG SISTERS OF TUCSON 160 E ALAMEDA ST TUCSON, AZ 85701	NONE		OPERATIONS	6,000
BOGUS BASIN RECREATIONAL ASSOC 2600 BOGUS BASIN ROAD BOISE, ID 83702	NONE		OPERATIONS	5,000
BORN FREE 1122 S STREET SACRAMENTO, CA 95822	NONE		OPERATIONS	30,000
BOYS & GIRLS CLUB ADA 610 EAST 42ND STREET GARDEN CITY, ID 83714	NONE		OPERATIONS	9,000
BOYS & GIRLS CLUB KUNA COUNTY 610 EAST 42ND STREET GARDEN CITY, ID 83714	NONE		OPERATIONS	20,000
BOYS & GIRLS CLUB OF TUCSON PO BOX 40217 TUCSON, AZ 85717	NONE		OPERATIONS	10,000
CAN DO CANINES 9440 SCIENCE CENTER DRIVE NEW HOPE, MN 55428	NONE		OPERATIONS	15,000
CATCH INC 503 S AMERICANA BLVD BOISE, ID 83702	NONE		OPERATIONS	10,000
CENTER FOR BIOLOGICAL DIVERSITY 1333 NORTH ORACLE TUCSON, AZ 85702	NONE		OPERATIONS	41,000
CENTER FOR BIOLOGICAL DIVERSITY (GENERAL) 1333 NORTH ORACLE TUCSON, AZ 85702	NONE		OPERATIONS	50,000
CENTER FOR SCIENCE IN THE PUBLIC INTEREST 1220 L STREET NW STE 300 WASHINGTON, DC 20005	NONE		OPERATIONS	5,000
CHILD LANGUAGE CENTER INC 202 E SPEEDWAY TUCSON, AZ 85705	NONE		OPERATIONS	14,000
CHILDREN'S CHANCE 1617 INDEPENDENCE AVE N GOLDEN VALLEY, MN 55427	NONE		OPERATIONS	45,000
Total ▶ 3a				11,434,725

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CHIMP HAVEN 13600 CHIMPANZEE PLACE KEITHVILLE,LA 71047	NONE		OPERATIONS	20,000
COALITION FOR SONORAN DESERT PROTECTION 300 EAST UNIVERSITY BLVD SUITE 120 TUCSON,AZ 85705	NONE		OPERATIONS	25,000
COKATO-DASSEL HISTORICAL MUSEUM 175 WEST 4TH STREET PO BOX 41 COKATO,MN 553210041	NONE		OPERATIONS	2,000
COMMUNITY FOOD BANK TUCSON 3003 SOUTH COUNTRY CLUB ROAD TUCSON,AZ 85713	NONE		OPERATIONS	38,000
COMPATIBLE TECHNOLOGIES INTERNATIONAL 800 TRANSFER ROAD SUITE 6 ST PAUL,MN 55114	NONE		OPERATIONS	55,000
CONSERVATION FUND PO BOX 3585 DULUTH,MN 55803	NONE		OPERATIONS	20,000
COPPER COUNTRY AUDUBON SOCIETY PO BOX 124 HOUGHTON,MI 49931	NONE		OPERATIONS	6,000
COPPER COUNTRY CHAPTER OF TROUT UNLIMITED 907 DONMAR DRIVE HOUGHTON,MI 49931	NONE		OPERATIONS	6,000
COPPER COUNTRY HISTORY MUSEUM 534 ISLE ROYALE STREET LAURIUM,MI 49913	NONE		OPERATIONS	2,000
COPPER HARBOR TRAILS CLUB PO BOX 37 COPPER HARBOR,MI 49918	NONE		OPERATIONS	5,000
COPPER RANGE HISTORICAL SOCIETY 44 TRIMOUNTAIN AVE PO BOX 148 SOUTH RANGE,MI 49963	NONE		OPERATIONS	3,000
CROSSROADS ANIMAL SHELTER 2800 10TH ST SE BUFFALO,MN 55313	NONE		OPERATIONS	4,000
DESERT DOVE FARM PO BOX 31615 TUCSON,AZ 85751	NONE		OPERATIONS	5,000
DODGE COUNTY HISTORICAL SOCIETY PO BOX 456 615 MAIN ST MANTORVILLE,MN 559550456	NONE		OPERATIONS	1,000
EARTH WORKS 1612 K ST NW SUITE 808 WASHINGTON,DC 20006	NONE		OPERATIONS	20,000
Total ▶ 3a				11,434,725

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
EARTHJUSTICE 705 SECOND AVENUE SUITE 203 SEATTLE,WA 98104	NONE		OPERATIONS	55,000
EL RIO FOUNDATION 839 W CONGRESS TUCSON,AZ 85745	NONE		OPERATIONS	31,000
ELECTRONIC FRONTIER FOUNDATION 815 EDDY STREET SAN FRANCISCO,CA 94109	NONE		OPERATIONS	33,000
ENGLISH LEARNING CENTER 2315 CHICAGO AVE S MINNEAPOLIS,MN 55405	NONE		OPERATIONS	12,000
ENVIRONMENTAL PAPER NETWORK 16 EAGLE STREET STE 200 ASHEVILLE,NC 28801	NONE		OPERATIONS	10,000
FAMILY CARE FOUNDATION PO BOX 1039 SPRING VALLEY,CA 91979	NONE		OPERATIONS	64,000
FAMILY PLANNING ASSOCIATION ME 43 GABRIEL DRIVE PO BOX 587 AUGUSTA,ME 043320587	NONE		OPERATIONS	12,000
FEED MY STARVING CHILDREN 401 93RD AVE NW COON RAPIDS,MN 55433	NONE		OPERATIONS	80,000
FLORIDA KEYS WILDLIFE RESCUE INC 1388 AVE B BIG PINE KEY,FL 33043	NONE		OPERATIONS	8,000
FREEBORN COUNTY HUMANE SOCIETY 101 JAMES AVENUE ALBERT LEA,MN 56007	NONE		OPERATIONS	15,000
FRESH ENERGY 408 SAINT PETER STREET STE 220 ST PAUL,MN 55102	NONE		OPERATIONS	5,000
FRIENDS OF CASCO BAY 43 SLOCUM DRIVE SOUTH PORTLAND,ME 04106	NONE		OPERATIONS	10,000
FRIENDS OF CAVE CREEK CANYON PO BOX 16126 PORTAL,AZ 85362	NONE		OPERATIONS	10,000
FRIENDS OF SAX-ZIMM BOG 2515 GARTHUS ROAD WRENSHALL,MN 55797	NONE		OPERATIONS	52,000
FRIENDS OF THE MISSISSIPPI 360 N ROBERT ST STE 400 ST PAUL,MN 55101	NONE		OPERATIONS	27,000
Total ▶ 3a				11,434,725

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FRIENDS OF THE WILD FLOWER GARDEN PO BOX 3793 MINNEAPOLIS,MN 55403	NONE		OPERATIONS	1,000
GLEN CANYON INSTITUTE 429 EAST 100 SOUTH SALT LAKE CITY,UT 84111	NONE		OPERATIONS	36,000
GOOD SAMARITAN SOCIETY ALBERT LEA 75507 240TH STREET ALBERT LEA,MN 56007	NONE		OPERATIONS	30,000
GRANDPARENTS AS PARENTS INC 22048 SHERMAN WAY 217 CANOGA PARK,CA 91303	NONE		OPERATIONS	9,000
GRATIOT LAKE CONSERVANCY PO BOX 310 MOHAWK,MI 49950	NONE		OPERATIONS	8,000
GREENPEACE 702 H STREET NW SUITE 300 WASHINGTON,DC 20001	NONE		OPERATIONS	10,000
GREYHOUND RESCUE OF IDAHO 146 N MIDDLETON ROAD PMB 125 NAMPA,ID 83651	NONE		OPERATIONS	3,000
HARMONY AND HOPE HORSE HAVEN (HHHH) PO BOX 173 RODEO,NM 88056	NONE		OPERATIONS	5,000
HEARTGIFT LOUISIANA 5500 PRYTANIA 306 NEW ORLEANS,LA 70461	NONE		OPERATIONS	10,000
HERITAGE RADIO SOCIETY INC 219 COUSINS ST YARMOUTH,ME 04096	NONE		OPERATIONS	3,000
HOUGHTON COUNTY HISTORICAL SOCIETY 53150 HWY M-26 LAKE LINDON,MI 49945	NONE		OPERATIONS	3,000
HUMANE FARMING ASSOCIATION PO BOX 3577 SAN RAFAEL,CA 94912	NONE		OPERATIONS	55,000
HUMANE SOCIETY OF SOUTHERN ARIZONA 3450 N KELVIN BLVD TUCSON,AZ 85716	NONE		OPERATIONS	5,000
HUMANE SOCIETY OF THE UNITED STATES 700 PROFESSIONAL DRIVE GAITHERSBURG,MD 20879	NONE		OPERATIONS	50,000
HUMANE SOCIETY OF THE UNITED STATES 700 PROFESSIONAL DRIVE GAITHERSBURG,MD 20879	NONE		OPERATIONS	5,000
Total ▶ 3a				11,434,725

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
IDAHO BOTANICAL GARDENS 2355 OLD PENITENTIARY ROAD BOISE,ID 83712	NONE		OPERATIONS	10,000
IDAHO FOODBANK WAREHOUSE 3562 SOUTH TK AVENUE BOISE,ID 83705	NONE		OPERATIONS	10,000
IDAHO YOUTH RANCH 5465 WEST IRVING STREET BOISE,ID 83706	NONE		OPERATIONS	25,000
INSTITUTE OF RANGE AND AMERICAN MUSTANG PO BOX 998 HOT SPRINGS,SD 57747	NONE		OPERATIONS	4,000
INTERCULTURAL CENTER FOR STUDY OF DESERTS & OCEANS PO BOX 44208 TUCSON,AZ 85733	NONE		OPERATIONS	3,000
INTERNATIONAL OWL CENTER 126 E CEDAR ST PO BOX 536 HOUSTON,MN 55943	NONE		OPERATIONS	1,000
IZAAK WALTON LEAGUE OF AMERICA MINNESOTA DIVISION 2233 UNIVERSITY AVE W STE 339 ST PAUL,MN 55114	NONE		OPERATIONS	7,000
JEWELLED HEART 1278 TRUMBLE CREEK RD KALISPELL,MT 59901	NONE		OPERATIONS	4,000
KATIE'S KROPS PO BOX 1841 SUMMERVILLE,SC 29484	NONE		OPERATIONS	10,000
KBEM RADIO 1555 JAMES AVENUE N MINNEAPOLIS,MN 55411	NONE		OPERATIONS	2,000
KEWEENAW COMMUNITY FOREST COMPANY 13992 SMITH FISHERIES ROAD MOHAWK,MI 49950	NONE		OPERATIONS	80,000
KEWEENAW COMMUNITY FOREST COMPANY 13992 SMITH FISHERIES ROAD MOHAWK,MI 49950	NONE		OPERATIONS	1,000,000
KEWEENAW COUNTY HISTORICAL SOCIETY 670 LIGHTHOUSE ROAD EAGLE HARBOR,MI 49950	NONE		OPERATIONS	8,000
KEWEENAW LAND TRUST 801 NORTH LINCOLN DR STE 306 HANCOCK,MI 49930	NONE		OPERATIONS	30,000
LIFE'S KITCHEN 1025 S CAPITOL BLVD STE 106 BOISE,ID 83706	NONE		OPERATIONS	10,000
Total ▶ 3a				11,434,725

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
LISTENING POINT FOUNDATION PO BOX 180 ELY,MN 55731	NONE		OPERATIONS	5,000
LIVE THEATER WORKSHOP 5317 SPEEDWAY BLVD TUCSON,AZ 85712	NONE		OPERATIONS	8,000
MAINE PBS 1450 LISBON STREET LEWISTON,ME 04240	NONE		OPERATIONS	7,000
MAKE OLD THINGS NEW 2120 MINNEHAHA AVE S MINNEAPOLIS,MN 55404	NONE		OPERATIONS	2,000
MCPAWS REGIONAL ANIMAL SHELTER PO BOX 1375 MCCALL,ID 83638	NONE		OPERATIONS	10,000
MICHIGAN NATURE ASSOCIATION 326 E GRAND RIVER AVENUE WILLIAMSTON,MI 48895	NONE		OPERATIONS	5,000
MINNEAPOLIS RECREATIONAL DEVELOPMENT 7220 YORK AVENUE S 610 EDINA,MN 55435	NONE		OPERATIONS	27,000
MINNESOTA CENTER FOR ENVIRONMENTAL ADVOCACY 26 E EXCHANGE ST STE 206 ST PAUL,MN 55101	NONE		OPERATIONS	5,000
MINNESOTA COUNCIL ON ECONOMIC EDUCATION DEPT OF APPLIED ECON 1994 BUFORD AVE ST PAUL,MN 55108	NONE		OPERATIONS	10,000
MINNESOTA NEPALIS 207 1ST AVE SE NEW BRIGHTON,MN 55112	NONE		DISASTER RELIEF	8,000
MINNESOTA ZOO 13000 ZOO BOULEVARD APPLE VALLEY,MN 55124	NONE		OPERATIONS	5,000
MOBILE MEALS OF TUCSON 4803 EAST 5TH STREET 209 TUCSON,AZ 85711	NONE		OPERATIONS	11,000
NATIONAL PARKS CONSERVATION ASSOCIATION 546 RICE STREE ST PAUL,MN 55101	NONE		OPERATIONS	4,000
NATIONAL STUTTERING ASSOCIATION 119 W 40TH STREET 14TH FL NEW YORK,NY 10018	NONE		OPERATIONS	5,000
NATURAL RESOURCES DEFENSE COUNCIL NRDC 40 W 20TH ST NEW YORK,NY 10011	NONE		OPERATIONS	71,000
Total ▶ 3a				11,434,725

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
NATURE CONSERVANCY ARIZONA 1510 E FT LOWELL ROAD TUCSON,AZ 85719	NONE		OPERATIONS	10,000
NATURE CONSERVANCY ARIZONA 1510 E FT LOWELL ROAD TUCSON,AZ 85719	NONE		OPERATIONS	4,000
NATURE CONSERVANCY COSTA RICA 245 NORTH FAIRFAX DRIVE SUITE 100 ARLINGTON,VA 22204	NONE		OPERATIONS	5,000
NATURE CONSERVANCY HAWAII 923 NUUANU AVE HONOLULU,HI 96816	NONE		OPERATIONS	6,000
NATURE CONSERVANCY IDAHO 116 FIRST AVENUE NORTH HAILEY,ID 08333	NONE		OPERATIONS	21,000
NATURE CONSERVANCY MAINE 14 MAINE ST STE 401 BRUNSWICK,ME 04011	NONE		SOMERSET COUNTY NUMBER 6 MOUNTAIN ACQUISITION	6,141,925
NATURE CONSERVANCY MAINE 14 MAINE ST STE 401 BRUNSWICK,ME 04011	NONE		BAKER MOUNTAIN ACQUISITION	1,795,000
NATURE CONSERVANCY MAINE 14 MAINE ST STE 401 BRUNSWICK,ME 04011	NONE		OPERATIONS	12,000
NATURE CONSERVANCY MICHIGAN 101 E GRAND RIVER LANSING,MI 48912	NONE		OPERATIONS	25,000
NATURE CONSERVANCY MINNESOTA 1101 WEST RIVER PKWY STE 200 MINNEAPOLIS,MN 55415	NONE		OPERATIONS	22,000
NATURE CONSERVANCY UTAH 559 EAST SOUTH TEMPLE SALT LAKE CITY,UT 84102	NONE		OPERATIONS	6,000
NEPAL SOCIAL SERVICE FUND 77461 297TH STREET CLARKS GROVE,MN 56016	NONE		OPERATIONS	20,000
NEWBORNS IN NEED 3619 LYNDALe AVENUE SOUTH MINNEAPOLIS,MN 55409	NONE		OPERATIONS	2,000
NORTHEAST WILDERNESS TRUST 17 STATE STREET SUITE 302 MONTPELIER,VT 05602	NONE		OPERATIONS	15,000
NORWEGIAN LUTHERAN CHURCH HISTORICAL SOCIETY 608 ELM ST CALUMET,MI 49913	NONE		OPERATIONS	5,000
Total ▶ 3a				11,434,725

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OLD PUEBLO TROLLEY 3736 N TUCSON BLVD TUCSON,AZ 857161030	NONE		OPERATIONS	6,000
ONEGENERATION 17400 VICTORY BLVD VAN NUYS,CA 91406	NONE		OPERATIONS	4,000
PAINSDALE MINE & SHAFT PO BOX 332 PAINSDALE,MI 49955	NONE		OPERATIONS	5,000
PETS FOR THE ELDERLY FOUNDATION 530 E HUNT HWY STE 103 PMB 472 SAN TAN VALLEY,AZ 85143	NONE		OPERATIONS	25,000
PLANNED PARENTHOOD ARIZONA 5651 NORTH 7TH STREET PHOENIX,AZ 85014	NONE		OPERATIONS	30,000
PLANNED PARENTHOOD MNSD 671 VANDALIA STREET 323 ST PAUL,MN 55114	NONE		OPERATIONS	5,000
PLANNED PARENTHOOD OF IDAHO 2001 E MADISON STREET SEATTLE,WA 98122	NONE		OPERATIONS	20,000
POPULATION CONNECTION 2120 L STREET NW STE 500 WASHINGTON,DC 20037	NONE		OPERATIONS	5,000
POPULATION MEDIA CENTER 30 KIMBALL AVENUE SUITE 302 SOUTH BURLINGTON,VT 05403	NONE		OPERATIONS	15,000
PORTAGE HEALTH 400 QUINCY STREET PO BOX 299 HANCOCK,MI 49930	NONE		OPERATIONS	5,000
PRESERVATION ALLIANCE OF MINNESOTA 416 LANDMARK CENTER 75 W 5TH STREET ST PAUL,MN 55102	NONE		OPERATIONS	7,000
PRO CHOICE RESOURCES 528 HENNEPIN AVE SUITE 600 MINNEAPOLIS,MN 55403	NONE		OPERATIONS	8,000
PROGRESS CENTER OF MAINE 35 COTTAGE STREET NORWAY,ME 04268	NONE		OPERATIONS	5,000
RIVERLAND COMMUNITY COLLEGE SCHOLARSHIP 1900 8TH AVENUE NW AUSTIN,MN 55912	NONE		SCHOLARSHIPS	7,500
RIVERLAND COMMUNITY COLLEGE FOUNDATION 1900 8TH AVENUE NW AUSTIN,MN 55912	NONE		OPERATIONS	15,000
Total ▶ 3a				11,434,725

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
RUFF START RESCUE PO BOX 129 PRINCETON,MN 553710129	NONE		OPERATIONS	6,000
SAFE PASSAGE 43 CENTER STREET NORTHAMPTON,MA 01060	NONE		OPERATIONS	5,000
SALVATION ARMY OF NORTHERN NEW ENGLAND PO BOX 3647 PORTLAND,ME 04101	NONE		OPERATIONS	25,000
SAVE THE SCENIC SANTA RITAS 8987 E TANQUE VERDE 309-157 TUCSON,AZ 85749	NONE		OPERATIONS	70,000
SEARCHNATIVE SEEDS 3584 E RIVER ROAD TUCSON,AZ 85718	NONE		OPERATIONS	17,000
SIERRA CLUB FOUNDATION 2327 E FRANKLIN AVE 1 MINNEAPOLIS,MN 55406	NONE		OPERATIONS	5,000
SIMPLY CATS ADOPTION CENTER 2833 S VICTORY VIEW WAY BOISE,ID 83709	NONE		OPERATIONS	9,000
SMALL SUMSAT HOME GROUP 1222 UNIVERSITY AVENUE WEST SAINT PAUL,MN 55104	NONE		OPERATIONS	10,000
SONORAN INSTITUTE 44 E BROADWAY BLVD STE 350 TUCSON,AZ 85701	NONE		OPERATIONS	5,000
SPAY NEUTER IDAHO PETS INC 1077 S GARDEN PL BOISE,ID 837055846	NONE		OPERATIONS	19,000
ST ANDREWS CLINIC PO BOX 67 GREEN VALLEY,AZ 85622	NONE		OPERATIONS	19,000
STOP PREDATORY GAMBLING 100 MARYLAND AVE NE ROOM 310 WASHINGTON,DC 20002	NONE		OPERATIONS	5,000
SUSTAINABLE ELY PO BOX 625 ELY,MN 000055731	NONE		OPERATIONS	5,000
TEDFORD HOUSING PO BOX 958 14 MIDDLE STREET BRUNSWICK,ME 04011	NONE		OPERATIONS	5,000
THE FOOD TRUST 1617 JOHN F KENNEDY BLVDONE PENN CENTER 900 PHILADELPHIA,PA 19103	NONE		OPERATIONS	5,000
Total ▶ 3a				11,434,725

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
TLC HORSE MULE AND DONKEY RESCUE PO BOX 6915 KINGMAN,AZ 86402	NONE		OPERATIONS	10,000
TREETRUST 2231 EDGEWOOD AVE SOUTH ST LOUIS PARK,MN 55426	NONE		OPERATIONS	5,000
TRUST FOR PUBLIC LAND 2610 UNIVERSITY AVE WEST STE 300 ST PAUL,MN 55114	NONE		OPERATIONS	10,000
TRUTHOUT PO BOX 276414 SACRAMENTO,CA 95827	NONE		OPERATIONS	5,000
U OF IDAHO MCCALL OUTDOOR SCIENCE SCHOOL PO BOX 1025 MCCALL,ID 83638	NONE		OPERATIONS	10,000
UM CVM COLLEGE SCHOLARSHIP FUND 1365 GORTNER AVE 459 ST PAUL,MN 55108	NONE		OPERATIONS	15,000
UNION FOR CONCERNED SCIENTISTS TWO BRATTLE SQUARE CAMBRIDGE,MA 02138	NONE		OPERATIONS	15,000
VIETNAMESE SOCIAL SERVICES MN 277 UNIVERSITY AVENUE W ST PAUL,MN 55103	NONE		OPERATIONS	3,000
VISION LOSS RESOURCES 1936 LYNDALe AVENUE SOUTH MINNEAPOLIS,MN 55403	NONE		OPERATIONS	2,000
WARM BLANKETS 5105 TOLLVIEW DR 155 ROLLING MEADOWS,IL 60008	NONE		OPERATIONS	53,000
WEST AFRICA VILLAGE AND ENVIRONMENTAL PROJECT PO BOX 1241 PRIOR LAKE,MN 55372	NONE		OPERATIONS	8,000
WEST VALLEY HUMANE SOCIETY (CANYON COUNTY ANIMAL SHELTER) 5801 GRAYE LANE CALDWELL,ID 83607	NONE		OPERATIONS	20,000
WESTERN WATERSHED PROJECT PO BOX 1770 HAILEY,ID 83333	NONE		OPERATIONS	5,000
WHOLE LEARNING SCHOOL 9400 CEDAR LAKE ROAD MINNEAPOLIS,MN 55426	NONE		OPERATIONS	25,000
WILDEARTH GUARDIANS 516 ALTO STREET SANTA FE,NM 87501	NONE		OPERATIONS	15,000
Total ► 3a				11,434,725

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WILDERNESS SCIENCE EDUCATION PO BOX 3174 MCCALL,ID 83638	NONE		OPERATIONS	10,000
WILDERNESS SOCIETY 1615 M STREET NW WASHINGTON,DC 20036	NONE		OPERATIONS	10,000
WISH GRANTORS 1365 N ORCHARD 252 BOISE,ID 83706	NONE		OPERATIONS	5,000
WOLF RIDGE ENVIRONMENTAL LEARNING CENTER 6282 CRANBERRY ROAD FINLAND,MN 556039700	NONE		OPERATIONS	10,000
WORLD FOOD PROGRAM 1725 I STREET SUITE 510 WASHINGTON,DC 20006	NONE		OPERATIONS	20,000
WORLD WATCH 1400 16TH STREET NW SUITE 430 WASHINGTON,DC 20036	NONE		OPERATIONS	9,000
BLOOMINGTON BOOSTERSKENNEDY HOCKEY 9705 HUMBOLDT AVE SOUTH BLOOMINGTON,MN 55431	NONE		OPERATIONS	300
Total ▶ 3a				11,434,725

TY 2015 Accounting Fees Schedule

Name: STEVEN C LEUTHOLD FAMILY FOUNDATION

EIN: 41-1680986

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	9,050	0		9,050

TY 2015 All Other Program Related Investments Schedule

Name: STEVEN C LEUTHOLD FAMILY FOUNDATION
EIN: 41-1680986

Category	Amount
N/A	0

TY 2015 Investments - Other Schedule

Name: STEVEN C LEUTHOLD FAMILY FOUNDATION

EIN: 41-1680986

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CASH/MUTUAL FUNDS	AT COST	18,393,094	17,385,248

TY 2015 Legal Fees Schedule**Name:** STEVEN C LEUTHOLD FAMILY FOUNDATION**EIN:** 41-1680986

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL	42,001	0		42,001

TY 2015 Other Expenses Schedule**Name:** STEVEN C LEUTHOLD FAMILY FOUNDATION**EIN:** 41-1680986

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MISCELLANEOUS	1,469	0		0
BANK FEES	30	0		0

TY 2015 Other Income Schedule**Name:** STEVEN C LEUTHOLD FAMILY FOUNDATION**EIN:** 41-1680986

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PORTFOLIO INCOME	617,314	617,314	617,314
MISCELLANEOUS INCOME	62,336	62,336	62,336

TY 2015 Other Professional Fees Schedule

Name: STEVEN C LEUTHOLD FAMILY FOUNDATION

EIN: 41-1680986

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MANAGEMENT FEES	174,990	74,645		100,345
PORTFOLIO DEDUCTIONS	46,851	46,851		0

TY 2015 Taxes Schedule**Name:** STEVEN C LEUTHOLD FAMILY FOUNDATION**EIN:** 41-1680986

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	15,823	15,823		0

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990

OMB No 1545-0047

2015

Name of the organization	Employer identification number
STEVEN C LEUTHOLD FAMILY FOUNDATION	41-1680986

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization STEVEN C LEUTHOLD FAMILY FOUNDATION	Employer identification number 41-1680986
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	STEVEN C LEUTHOLD	\$ 1,000,000	Person <input checked="" type="checkbox"/>
	1300 YALE PLACE SUITE 329		Payroll <input type="checkbox"/>
	MINNEAPOLIS, MN 55403		Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Employer identification number
41-1680986

Noncash Property

(see instructions) Use duplicate copies of Part II if additional space is needed

Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Name of organization STEVEN C LEUTHOLD FAMILY FOUNDATION	Employer identification number 41-1680986
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	
	_____	_____	
	--	_____	
	_____	_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	
	_____	_____	
	--	_____	
	_____	_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	
	_____	_____	
	--	_____	
	_____	_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	
	_____	_____	
	--	_____	
	_____	_____	