



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation

OMB No. 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning _____, and ending _____

Name of foundation

SHOCKLEY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

276 EAGLE ESTATES DRIVE

City or town, state or province, country, and ZIP or foreign postal code

DEBARY, FL 32713

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year **191,072.** (from Part II, col (c), line 16) J Accounting method: Cash Accrual
 Other (specify) _____

► \$ **191,072.** (Part I, column (d) must be on cash basis)

Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received			N/A	
2	Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	86.	86.		STATEMENT 1
4	Dividends and interest from securities	3,135.	3,135.		STATEMENT 2
5a	Gross rents	4.	4.		STATEMENT 3
b	Net rental income or (loss)	4.			
6a	Net gain or (loss) from sale of assets not on line 10	90,827.			
b	Gross sales price for all assets on line 6a	280,052.			
7	Capital gain net income (from Part IV, line 2)		90,827.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	33.	33.		STATEMENT 4
12	Total Add lines 1 through 11.	94,085.	94,085.		
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees	1,600.	800.		0.
c	Other professional fees	3,644.	3,644.		0.
17	Interest				
18	Taxes	1,968.	0.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses	16.	16.		0.
24	Total operating and administrative expenses. Add lines 13 through 23	7,228.	4,460.		0.
25	Contributions, gifts, grants paid	178,500.			178,500.
26	Total expenses and disbursements Add lines 24 and 25	185,728.	4,460.		178,500.
27	Subtract line 26 from line 12:	<91,643. >			
a	Excess of revenue over expenses and disbursements				
b	Net investment income (if negative, enter -0-)		89,625.		
c	Adjusted net income (if negative, enter -0-)			N/A	

G23 1/3

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		7,870.	49,156.
	2	Savings and temporary cash investments			
	3	Accounts receivable ►			
		Less: allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less: allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ►			
		Less: allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock	STMT 9	211,414.	78,485.
	c	Investments - corporate bonds			
Liabilities	11	Investments - land, buildings, and equipment basis ►			
		Less accumulated depreciation ►			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation ►			
	15	Other assets (describe ►)			
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		219,284.	127,641.
					191,072.
	17	Accounts payable and accrued expenses			
Net Assets or Fund Balances	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ►)			
	23	Total liabilities (add lines 17 through 22)		0.	0.
		Foundations that follow SFAS 117, check here ► <input type="checkbox"/>			
		and complete lines 24 through 26 and lines 30 and 31			
	24	Unrestricted			
	25	Temporarily restricted			
Net Assets or Fund Balances	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>			
		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds		0.	0.
	28	Paid-in or capital surplus, or land, bldg , and equipment fund		0.	0.
	29	Retained earnings, accumulated income, endowment, or other funds		219,284.	127,641.
	30	Total net assets or fund balances		219,284.	127,641.
	31	Total liabilities and net assets/fund balances		219,284.	127,641.

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	219,284.
2	Enter amount from Part I, line 27a	2	<91,643.>
3	Other increases not included in line 2 (itemize) ►	3	0.
4	Add lines 1, 2, and 3	4	127,641.
5	Decreases not included in line 2 (itemize) ►	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	127,641.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b	SEE ATTACHED STATEMENT				
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (loss) (e) plus (f) minus (g)	
a					
b					
c					
d					
e	280,052.	189,225.		90,827.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a					
b					
c					
d					
e				90,827.	
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	90,827.		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8					
		3	N/A		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	147,024.	405,976.	.362149
2013	173,550.	485,779.	.357261
2012	121,899.	562,868.	.216568
2011	122,956.	611,649.	.201024
2010	13,300.	586,748.	.022667

2 Total of line 1, column (d)

2 1.159669

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 .231934

4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5

4 294,719.

5 Multiply line 4 by line 3

5 68,355.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 896.

7 Add lines 5 and 6

7 69,251.

8 Enter qualifying distributions from Part XII, line 4

8 178,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)	
1a Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)	
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).	
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	
3 Add lines 1 and 2	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	
6 Credits/Payments:	
a 2015 estimated tax payments and 2014 overpayment credited to 2015	
b Exempt foreign organizations - tax withheld at source	
c Tax paid with application for extension of time to file (Form 8868)	
d Backup withholding erroneously withheld	
7 Total credits and payments. Add lines 6a through 6d	
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	
11 Enter the amount of line 10 to be Credited to 2016 estimated tax ►	
Refunded ►	
11	

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
1c Did the foundation file Form 1120-POL for this year?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
1d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ 0. (2) On foundation managers ► \$ _____ 0.		
1e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	<input type="checkbox"/>	
4b If "Yes," has it filed a tax return on Form 990-T for this year?	<input type="checkbox"/>	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	<input type="checkbox"/>	
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► <u>WI, FL</u>		
8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
14	The books are in care of ► <u>TERRY SHOCKLEY</u> Located at ► <u>276 EAGLE ESTATES DRIVE, DEBARY, FL</u>	Telephone no. ► <u>386-956-1806</u> ZIP+4 ► <u>32713</u>
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► <u>15</u> <u>N/A</u>
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
1a	During the year did the foundation (either directly or indirectly):	
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	<u>N/A</u> ► <u> </u>
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	<u>1b</u> <u>1c</u> <u>X</u>
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
a	If "Yes," list the years ► _____, _____, _____, _____, _____	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<u>2b</u> <u>N/A</u>
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____, _____, _____	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	<u>3b</u> <u>N/A</u>
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<u>4a</u> <u>X</u>
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	<u>4b</u> <u>X</u>

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

(3) Provide a grant to an individual for travel, study, or other similar purposes?

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

 Yes No Yes No Yes No Yes No Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

►

5b

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

 Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

 Yes No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

 Yes No

N/A

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

 Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
TERRY K. SHOCKLEY 276 EAGLE ESTATES DRIVE DEBARY, FL 32713	TRUSTEE 1.00	0.	0.	0.
SANDRA K. SHOCKLEY 276 EAGLE ESTATES DRIVE DEBARY, FL 32713	TRUSTEE 1.00	0.	0.	0.
TONI K. PETERSON 4190 TRILLIUM LANE E. MINNETRISTA, MN 55364	TRUSTEE 0.00	0.	0.	0.
TODD L. SHOCKLEY 3231 N. WILTON #1 CHICAGO, IL 60657	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0

Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

►

0

Part IX-A | Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

1	N/A	
2		
3		
4		

Part IX-B | Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
3		
Total. Add lines 1 through 3	►	0.

Part X**Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	280,676.
b	Average of monthly cash balances	1b	18,531.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	299,207.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	299,207.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	4,488.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	294,719.
6	Minimum investment return Enter 5% of line 5	6	14,736.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	14,736.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	896.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	896.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	13,840.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	13,840.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	13,840.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	1a	178,500.
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1b	0.
b	Program-related investments - total from Part IX-B	2	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	3a	
3	Amounts set aside for specific charitable projects that satisfy the:	3b	
a	Suitability test (prior IRS approval required)	4	178,500.
b	Cash distribution test (attach the required schedule)	5	896.
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	6	177,604.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b		
6	Adjusted qualifying distributions Subtract line 5 from line 4		

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				13,840.
2 Undistributed income, if any, as of the end of 2015			0.	
a Enter amount for 2014 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015				
a From 2010				
b From 2011	93,382.			
c From 2012	93,858.			
d From 2013	150,561.			
e From 2014	128,477.			
f Total of lines 3a through e	466,278.			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ 178,500.			0.	
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				13,840.
e Remaining amount distributed out of corpus	164,660.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	630,938.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014 Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	630,938.			
10 Analysis of line 9:				
a Excess from 2011	93,382.			
b Excess from 2012	93,858.			
c Excess from 2013	150,561.			
d Excess from 2014	128,477.			
e Excess from 2015	164,660.			

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.

b 85% of line 2a

**c Qualifying distributions from Part XII,
line 4 for each year listed**

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities

3 Subtract line 2d from line 2c
Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter
 - (1) Value of all assets
 - (2) Value of assets qualifying under section 4942(j)(3)(B)(i)
- b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
- c "Support" alternative test - enter:
 - (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
 - (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)
 - (3) Largest amount of support from an exempt organization
 - (4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors;

Part XV | Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AUGUSTANA COLLEGE 639-38TH STREET <u>ROCK ISLAND, IL 61201-2296</u>	N/A	501(C)(3)	GENERAL OPERATING EXPENSES	125,000.
NATIONAL WRESTLING HALL OF FAME 405 W HALL OF FAME AVE <u>STILLWATER, OK 74075</u>	N/A	501(C)(3)	GENERAL OPERATING EXPENSES	26,000.
ROCK ISLAND MILAN EDUCATION FOUNDATION 2101 SIXTH AVE <u>ROCK ISLAND, IL 61201-2296</u>	N/A	501(C)(3)	GENERAL OPERATING EXPENSES	500.
UNIVERSITY OF WISCONSIN FOUNDATION 1848 UNIVERSITY AVENUE <u>MADISON, WI 53726-4090</u>	N/A	501(C)(3)	GENERAL OPERATING EXPENSES	25,000.
WISCONSIN BROADCASTERS ASSOCIATION FOUNDATION INC. 44 EAST MIFFLIN STREET, SUITE 900 <u>MADISON, WI 53703</u>	N/A	501(C)(3)	GENERAL OPERATING EXPENSES	2,000.
Total				► 3a 178,500.
b Approved for future payment				
NONE				
Total				► 3b 0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514	(e) Related or exempt function income
	(a) Business code	(b) Amount		
1 Program service revenue:				
a				
b				
c				
d				
e				
f				
g Fees and contracts from government agencies				
2 Membership dues and assessments				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities			14	86.
5 Net rental income or (loss) from real estate:			14	3,135.
a Debt-financed property	531390	3. 18		1.
b Not debt-financed property				
6 Net rental income or (loss) from personal property				
7 Other investment income	531390	1. 01		32.
8 Gain or (loss) from sales of assets other than inventory	531390	47. 18		90,780.
9 Net income or (loss) from special events				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue:				
a				
b				
c				
d				
e				
12 Subtotal. Add columns (b), (d), and (e)		51.		94,034.
13 Total Add line 12, columns (b), (d), and (e)			13	94,085.
(See worksheet in line 13 instructions to verify calculations.)				

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of

- (1) Cash
- (2) Other assets

- b Other transactions.
 - (1) Sales of assets to a noncharitable exempt organization
 - (2) Purchases of assets from a noncharitable exempt organization
 - (3) Rental of facilities, equipment, or other assets
 - (4) Reimbursement arrangements
 - (5) Loans or loan guarantees
 - (6) Performance of services or membership or fundraising solicitation

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr.?)

Signature of officer or trustee

5-9-16
Date

► PRESIDENT

Title

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	HEIDI KONKEL	HEIDI KONKEL	05/02/16		P00503121
	Firm's name ► WIPFLI LLP			Firm's EIN ► 39-0758449	
	Firm's address ► PO BOX 8700 MADISON, WI 53708-8700			Phone no 608-274-1980	

SHOCKLEY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr.)
a	THE BLACKSTONE GROUP L.P. - SHORT TERM	P	VARIOUS	12/31/15
b	THE BLACKSTONE GROUP L.P. - LONG TERM	P	VARIOUS	12/31/15
c	THE BLACKSTONE GROUP L.P. - 1231	P	VARIOUS	12/31/15
d	WILLIAM BLAIR LONG-TERM - SEE ATTACHED	P	VARIOUS	12/31/15
e	WILLIAM BLAIR SHORT-TERM - SEE ATTACHED	P	VARIOUS	12/31/15
f	THE BLACKSTONE GROUP LP	P	07/29/13	11/02/15
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a <1.>			<1.>
b 284.			284.
c 69.			69.
d 207,921.		117,020.	90,901.
e 71,779.		71,724.	55.
f		481.	<481.>
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1.>
b			284.
c			69.
d			90,901.
e			55.
f			<481.>
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	90,827.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8		3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
THE BLACKSTONE GROUP L.P.	83.	83.	
WILLIAM BLAIR	3.	3.	
TOTAL TO PART I, LINE 3	86.	86.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BLACKSTONE GROUP K-1 - DIVIDEND INCOME	25.	0.	25.	25.	
WILLIAM BLAIR	3,110.	0.	3,110.	3,110.	
TO PART I, LINE 4	3,135.	0.	3,135.	3,135.	

FORM 990-PF RENTAL INCOME STATEMENT 3

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
	1	4.
TOTAL TO FORM 990-PF, PART I, LINE 5A		4.

FORM 990-PF

OTHER INCOME

STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BLACKSTONE GROUP K-1 - ORDINARY BUSINESS INCOME	1.	1.	
BLACKSTONE GROUP K-1 - ROYALTIES	2.	2.	
BLACKSTONE GROUP K-1 - OTHER INCOME	30.	30.	
TOTAL TO FORM 990-PF, PART I, LINE 11	33.	33.	

FORM 990-PF

ACCOUNTING FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,600.	800.		0.
TO FORM 990-PF, PG 1, LN 16B	1,600.	800.		0.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	3,644.	3,644.		0.
TO FORM 990-PF, PG 1, LN 16C	3,644.	3,644.		0.

FORM 990-PF

TAXES

STATEMENT

7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES	28.	0.		0.
FEDERAL INCOME TAXES	1,940.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,968.	0.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT

8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
THE BLACKSTONE GROUP L.P. - DEDUCTIONS	16.	16.		0.
TO FORM 990-PF, PG 1, LN 23	16.	16.		0.

FORM 990-PF

CORPORATE STOCK

STATEMENT

9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
WILLIAM BLAIR	78,485.	141,916.
TOTAL TO FORM 990-PF, PART II, LINE 10B	78,485.	141,916.

Realized Gains/Losses YTD (non-taxable accounts included)

SHOCKLEY FOUNDATION

From December 31, 2014 to December 31, 2015

Open Date	Close Date	Quantity	Symbol	Description	Unit Cost	Cost Basis	Net Proceeds	Short Term Gain/Loss	Long Term Gain/Loss	Total Gain/Loss
1/25/11	1/8/15	25	NVO	NOVO NORDISK A/S ADR REPSTG 1/2 CL B SH	\$22.88	\$571.95	\$1,069.06		\$497.11	\$497.11
3/18/14	1/30/15	40	GRFS	GRIFOLIS S A SPONSORED ADR REPSTG 1/2 CL B	\$42.40	\$1,696.19	\$1,386.91	(\$309.28)		(\$309.28)
6/9/14		161			\$46.30	\$7,454.30	\$5,582.30	(\$1,872.00)		(\$1,872.00)
1/31/14	2/5/15	150	BX	BLACKSTONE GROUP LP	\$45.52	\$9,150.49	\$6,969.21	(\$2,181.28)		(\$2,181.28)
10/14/09	2/5/15	8	CELG	CELGENE CORP	\$32.91	\$4,936.41	\$5,444.93		\$508.52	\$508.52
6/20/14		32			\$27.63	\$221.04	\$942.86		\$721.82	\$721.82
		40			\$84.97	\$2,719.04	\$3,771.43		\$1,052.39	\$1,052.39
10/14/09	2/5/15	82	CELG	CELGENE CORP	\$73.50	\$2,940.08	\$4,714.29		\$721.82	\$1,774.21
1/17/14	2/5/15	63	GILD	GILEAD SCIENCES INC	\$27.63	\$2,265.66	\$9,656.11		\$7,390.45	\$7,390.45
1/8/15	2/13/15	11	AXP	AMERICAN EXPRESS COMPANY	\$78.71	\$4,958.83	\$6,178.91		\$1,220.08	\$1,220.08
10/21/13	4/28/15	68	VLG	VANGUARD INDEX FUNDS VANGUARD GROWTH ETF	\$91.78	\$1,009.59	\$858.96		(\$150.63)	(\$150.63)
		40			\$87.69	\$5,963.02	\$7,451.99		\$1,488.97	\$1,488.97
4/4/11	6/29/15	100	MON	MONSANTO CO	\$74.14	\$7,413.99	\$10,499.91		\$3,085.92	\$3,085.92
2/5/15	7/6/15	69	KR	KROGER CO	\$71.50	\$4,933.46	\$5,038.29		\$104.83	\$104.83
2/5/15	7/6/15	120	V	VISA INC CL A COMMON STOCK	\$67.52	\$8,102.10	\$8,129.91		\$27.81	\$27.81
6/9/14	7/6/15	50	UA	UNDER ARMOUR INC CL A	\$56.21	\$2,810.43	\$4,214.43		\$1,404.00	\$1,404.00
6/13/14		15			\$57.58	\$863.69	\$1,264.33		\$400.64	\$400.64
6/16/14		6			\$58.48	\$350.88	\$505.73		\$154.85	\$154.85
6/29/15		25			\$84.78	\$2,119.48	\$2,107.21		(\$12.27)	(\$12.27)
		96			\$64.01	\$6,144.48	\$8,091.70		\$1,959.49	\$1,947.22
11/17/09	7/6/15	8	BRK B	BERKSHIRE HATHAWAY INC DEL CL B	\$68.66	\$549.26	\$1,093.90		\$544.64	\$544.64
3/6/14		9			\$120.70	\$1,086.26	\$1,230.64		\$144.38	\$144.38
8/12/14		2			\$132.79	\$265.58	\$273.47		\$7.89	\$7.89
8/15/14		18			\$135.28	\$2,435.09	\$2,461.27		\$26.18	\$26.18
		37			\$117.19	\$4,336.19	\$5,059.28		\$34.07	\$34.07
8/5/14	7/6/15	28	HDV	ISHARES CORE HIGH DIVIDEND ETF	\$73.63	\$2,061.64	\$2,066.94		\$5.30	\$5.30
8/12/14		5			\$73.89	\$369.45	\$369.09		(\$0.36)	(\$0.36)
8/15/14		34			\$74.98	\$2,549.30	\$2,509.85		(\$39.45)	(\$39.45)
1/30/15		92			\$75.75	\$6,969.20	\$6,791.37		(\$177.83)	(\$177.83)

Amounts reported within may not agree with amounts reported on I.R.S. Form 1099. Form 1099 is the official record of interest, dividends, distributions, transaction proceeds, and other reportable tax amounts. Please consult with your tax advisor.

Realized Gains/Losses YTD (non-taxable accounts included)

SHOCKLEY FOUNDATION

From December 31, 2014 to December 31, 2015

Open Date	Close Date	Quantity	Symbol	Description	Unit Cost	Cost Basis	Net Proceeds	Short Term Gain/Loss	Long Term Gain/Loss	Total Gain/Loss
2/13/15	7/6/15	3	HDV	ISHARES CORE HIGH DIVIDEND ETF	\$78.05	\$234.15	\$221.46	(\$12.69)		(\$12.69)
		162			\$75.21	\$12,183.74	\$11,958.71	(\$225.03)		(\$225.03)
1/4/10	7/6/15	30	AAPL	APPLE INC	\$30.53	\$91.99	\$3,764.33		\$2,848.34	\$2,848.34
7/13/10		50			\$35.91	\$1,795.57	\$6,273.89		\$4,478.32	\$4,478.32
		80			\$33.89	\$2,711.56	\$10,038.22		\$7,326.66	\$7,326.66
5/4/12	7/6/15	20	ABT	ABBOTT LABORATORIES	\$29.92	\$598.44	\$990.38		\$391.94	\$391.94
12/28/09	7/6/15	50	AMGN	AMGEN INC	\$57.78	\$2,889.00	\$7,746.86		\$4,857.86	\$4,857.86
12/12/13	7/6/15	14	BMY	BRISTOL MYERS SQUIBB CO	\$50.61	\$708.54	\$939.80		\$231.26	\$231.26
10/14/09	7/6/15	85	CELG	CELGENE CORP	\$27.63	\$2,348.54	\$10,072.32		\$7,723.78	\$7,723.78
1/17/14	7/6/15	100	GILD	GILEAD SCIENCES INC	\$78.71	\$7,871.16	\$11,615.93		\$3,744.77	\$3,744.77
12/28/09	7/6/15	55	GS	GOLDMAN SACHS GROUP INC	\$165.70	\$9,113.36	\$11,381.50		\$2,268.14	\$2,268.14
3/5/12	7/6/15	5	NKE	NIKE INC CL B	\$54.50	\$272.52	\$547.89		\$275.37	\$275.37
6/29/15		50			\$108.83	\$5,441.50	\$5,478.90		\$37.40	\$37.40
10/21/13	7/6/15	55			\$103.89	\$5,714.02	\$6,026.79		\$275.37	\$312.77
2/13/15		158	SBUX	STARBUCKS CORP	\$39.76	\$6,282.25	\$8,531.95		\$2,249.70	\$2,249.70
		6			\$45.70	\$274.20	\$323.99		\$49.79	\$49.79
1/25/11	7/6/15	75	NVO	NOVO NORDISK A/S ADR REPSTG 1/2 CL B SH	\$39.98	\$6,556.45	\$8,855.94		\$2,249.70	\$2,249.70
7/2/14	7/6/15	6	NSRGY	NESTLE SA SPONSORED ADR REPSTG REGD ORD (SF 10 PAR)	\$78.04	\$1,715.84	\$4,108.43		\$2,392.59	\$2,392.59
10/31/14	7/6/15	111	XLV	SELECT SECTOR SPDR TRUST THE HEALTH CARE SELECT SECTOR	\$67.70	\$7,514.40	\$8,305.97		\$791.57	\$791.57
1/31/14	7/6/15	50	BX	BLACKSTONE GROUP LP	\$32.91	\$1,645.47	\$2,033.97		\$388.50	\$388.50
10/21/13	7/6/15	75	VUG	VANGUARD INDEX FUNDS VANGUARD GROWTH ETF	\$87.69	\$6,576.85	\$8,068.36		\$1,491.51	\$1,491.51
11/4/14	7/6/15	54	VYM	VANGUARD INDEX FUNDS VANGUARD HIGH DIVIDEND YIELD	\$68.12	\$3,678.48	\$3,644.94		(\$33.54)	(\$33.54)
6/20/14	7/6/15	34	VRTX	VANGUARD INDEX FUNDS VANGUARD VALUE ETF	\$82.05	\$2,789.60	\$2,839.29		\$49.69	\$49.69
8/11/14		9			\$80.24	\$722.13	\$751.58		\$29.45	\$29.45

Amounts reported within may not agree with amounts reported on IRS Form 1099. Form 1099 is the official record of interest, dividends, distributions, transaction proceeds, and other reportable tax amounts. Please consult with your tax advisor.

As of April 26, 2016
SHOCKLEY FOUNDATION

Realized Gains/Losses YTD (non-taxable accounts included)

SHOCKLEY FOUNDATION

From December 31 2014 to December 31 2015

Open Date	Close Date	Quantity	Symbol	Description	Unit Cost	Cost Basis	Net Proceeds	Short Term Gain/Loss	Long Term Gain/Loss	Total Gain/Loss
11/4/14	7/6/15	44	VTV	VANGUARD INDEX FUNDS VANGUARD VALUE ETF	\$82.60	\$3,634.23	\$3,674.37	\$40.14		\$40.14
6/11/12	7/6/15	87	DIS	WALT DISNEY CO	\$82.14	\$7,145.96	\$7,265.24	\$69.59	\$49.69	\$119.28
1/14/14		20			\$46.49	\$929.80	\$2,304.56		\$1,374.76	
2/6/14		26			\$73.84	\$1,919.73	\$2,995.93		\$1,076.20	
2/18/14		19			\$75.49	\$1,434.30	\$2,189.33		\$755.03	
2/13/15		13			\$79.87	\$1,038.36	\$1,497.96		\$459.60	
		2			\$104.35	\$208.69	\$230.46		\$21.77	
		80			\$69.14	\$5,530.88	\$9,218.24		\$21.77	
2/5/15	10/20/15	162	KR	KROGER CO	\$35.75	\$5,791.45	\$6,102.26		\$310.81	
10/1/15	10/22/15	5	PJT	PJT PARTNERS INC COM CL A	\$3.81	\$19.05	\$113.19		\$94.14	
1/4/10	10/23/15	120	AAPL	APPLE INC	\$30.53	\$3,663.94	\$14,166.99		\$10,503.05	
12/28/09	10/26/15	45	GS	GOLDMAN SACHS GROUP INC	\$165.70	\$7,456.39	\$8,445.89		\$989.50	
1/31/14	10/29/15	200	BX	BLACKSTONE GROUP LP	\$32.91	\$6,581.88	\$6,765.95		\$184.07	
10/14/09	10/30/15	125	CELG	CELCENE CORP	\$27.63	\$3,453.74	\$15,417.56		\$11,963.82	
7/29/13	11/2/15	100	SBUX	STARBUCKS CORP	\$36.13	\$3,613.48	\$6,232.99		\$2,619.51	
		25			\$60.85	\$1,521.23	\$1,558.25		\$37.02	
		125			\$41.08	\$5,134.71	\$7,791.24		\$37.02	
1/4/10	11/3/15	50	AAPL	APPLE INC	\$30.53	\$1,526.64	\$6,166.39		\$4,639.75	
6/9/14	11/4/15	110	UA	UNDER ARMOUR INC CL A	\$56.21	\$6,182.95	\$10,430.00		\$4,247.05	
11/17/09	12/30/15	18	BRK B	BERKSHIRE HATHAWAY INC DEL CL B	\$68.66	\$1,235.82	\$2,403.33		\$1,167.51	

Total Realized Gain/Loss **\$188,743.76** **\$279,673.62** **\$2844** **\$90,901.42** **\$90,929.86**

amounts reported within may not agree with amounts reported on I.R.S. Form 1099. Form 1099 is the official record of interest, dividends, distributions, transaction proceeds, and other reportable tax amounts. Please consult with your tax advisor.

William Blair

Account Number: 452-42263-1-5-435
Account Name: SHOCKLEY FOUNDATION

Client Statement

	<u>Current Month</u>	<u>Year to Date</u>
Taxable Dividends	93.00	3,109.88
Money Market Earnings	0.56	2.76
MLP Distributions	0.00	780.50
Foreign Tax Withholding	0.00	(27.53)
Other Income or Expense	0.00	(0.32)
Total Income	93.56	3,865.29

This summary presented for reference only. Please consult Form 1099 issued at year-end for tax reporting purposes.

DETAIL

Security Listing

Equities & Options					
ABBOTT LABORATORIES	ABT	116	33.64	3,903	44.91
APPLE INC	AAPL	150	30.53	4,580	105.26
BERKSHIRE HATHAWAY INC DEL CL B	BRK-B	92	68.65	6,316	132.04
BRISTOL MYERS SQUIBB CO	BMY	50	50.60	2,530	68.79

11/30/15

	<u>Cash Balances</u>	<u>Current Month</u>
No Cash Balances This Period or Prior Period		

Total cash balance as of 12/31/14 was \$44.57

76
910
5,832
11,209
1,307
121
312

William Blair

Account Number: 452-42263-1-5-435
Account Name: SHOCKLEY FOUNDATION

Client Statement

Security Listing - continued

Equities & Options						
CELGENE CORP	CELG	100	27.62	2,763	119.76	1,1976
GOLDMAN SACHS GROUP INC	GS	100	114.98	11,499	180.23	18,023
NIKE INC CLASS B COM	NKE	212	31.29	6,635	62.50	13,250
STARBUCKS CORP	SBUX	300	36.13	10,840	60.03	18,009
UNDER ARMOUR INC CL A	UA	100	55.70	5,571	80.61	8,061
VISA INC CL A COMMON STOCK	V	200	67.51	13,504	77.55	15,510
WALT DISNEY CO	DIS	188	50.88	9,566	105.08	19,755
<i>Foreign Equities</i>						
NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR)	NSRGY	10	77.78	778	74.48	745
Total Equities & Options				78,485		(33)
Totals for the Account				78,485		191,072
					63,432	63,432
					141,916	141,916
					112	112
					1,543	1,543
					19	19

The security listing may contain unpriced positions (denoted by N/A) due to unavailable price information. Please contact your financial advisor if you need further information. The cost information contained herein has not been verified for accuracy. Total values may not be the exact sum of the individual parts due to rounding. Please refer to your original trade confirmations to verify your cost for tax purposes. Results that cannot be calculated because of insufficient data are not included in summary or total value calculations.