



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private FoundationDepartment of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning

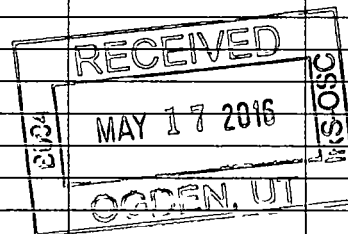
, 2015, and ending

, 20

Name of foundation A. O. Smith Foundation, Inc.		A Employer identification number 39-6076924
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) 414-359-4000
P.O. Box 245008, Attn: Tax Dept		
City or town, state or province, country, and ZIP or foreign postal code Milwaukee, WI 53224-9508		C If exemption application is pending, check here. <input type="checkbox"/>
G Check all that apply	<input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change	<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation		D 1 Foreign organizations, check here. <input type="checkbox"/>
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		2 Foreign organizations meeting the 85% test, check here and attach computation. <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 5,642,606		E If private foundation status was terminated under section 507(b)(1)(A), check here. <input type="checkbox"/>
J Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. <input type="checkbox"/>
(Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	1,580,000			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	310,283	310,283		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	162,044			
b Gross sales price for all assets on line 6a 370,000				
7 Capital gain net income (from Part IV, line 2)		162,044		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	2,052,327	472,327		
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages	82,287			
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)				
17 Interest	111			
18 Taxes (attach schedule) (see instructions)	7,426			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	3,128			
24 Total operating and administrative expenses. Add lines 13 through 23	92,952			
25 Contributions, gifts, grants paid	1,807,513			1,807,513
26 Total expenses and disbursements. Add lines 24 and 25	1,900,465			1,807,513
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	151,862			
b Net investment income (if negative, enter -0-)		472,327		
c Adjusted net income (if negative, enter -0-)				



SCANNER MAY 23 2016

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			-29,184	13,264	13,264
	2 Savings and temporary cash investments					
	3 Accounts receivable ▶					
	Less allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7 Other notes and loans receivable (attach schedule) ▶					
	Less allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U S and state government obligations (attach schedule)					
	b Investments - corporate stock (attach schedule)					
	c Investments - corporate bonds (attach schedule)					
Liabilities	11 Investments - land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶ (attach schedule)					
	12 Investments - mortgage loans					
	13 Investments - other (attach schedule)			5,071,817	5,174,208	5,622,319
	14 Land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶ (attach schedule)					
	15 Other assets (describe ▶ <u>Excise Tax</u>)				7,023	7,023
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)			5,042,633	5,194,495	5,642,606
	17 Accounts payable and accrued expenses					
	18 Grants payable					
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable (attach schedule)					
	22 Other liabilities (describe ▶ <u>Excise Tax</u>)			6,785		
	23 Total liabilities (add lines 17 through 22)			6,785		
	24 Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
Net Assets or Fund Balances	25 Unrestricted					
	26 Temporarily restricted					
	27 Permanently restricted					
	28 Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/>					
	29 Capital stock, trust principal, or current funds			5,035,848	5,194,495	
	30 Paid-in or capital surplus, or land, bldg, and equipment fund					
	31 Retained earnings, accumulated income, endowment, or other funds					
Net Assets or Fund Balances	32 Total net assets or fund balances (see instructions)			5,042,633	5,194,495	
	33 Total liabilities and net assets/fund balances (see instructions)			5,042,633	5,194,495	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,042,633
2 Enter amount from Part I, line 27a	2	151,862
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	5,194,495
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,194,495

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Vanguard Inst Index Fund		P	Various	8/5/2015
b Munder Mid Cap Fund		P	Various	12/9/2015
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 165,000		77,696	87,304
b 205,000		130,260	74,740
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			87,304
b			74,740
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	162,044
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	1,469,815	5,475,609	0.2684
2013	1,452,315	4,395,343	0.3304
2012	1,274,005	4,329,621	0.2943
2011	1,283,553	4,152,292	0.3091
2010	1,059,755	3,446,392	0.3075

2 Total of line 1, column (d)	2	1.5097
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.3019
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	5,928,428
5 Multiply line 4 by line 3	5	1,789,792
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,723
7 Add lines 5 and 6	7	1,794,515
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	1,807,513

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)	1	4,723
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3 Add lines 1 and 2	3	4,723
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	4,723
6 Credits/Payments		
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	12,000
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	12,000
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,277
11 Enter the amount of line 10 to be Credited to 2016 estimated tax <input checked="" type="checkbox"/> 7,277 Refunded <input type="checkbox"/> 11	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<input checked="" type="checkbox"/>
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		<input checked="" type="checkbox"/>
c Did the foundation file Form 1120-POL for this year?		<input checked="" type="checkbox"/>
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		<input checked="" type="checkbox"/>
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		<input checked="" type="checkbox"/>
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<input checked="" type="checkbox"/>
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		<input checked="" type="checkbox"/>
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<input checked="" type="checkbox"/>	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	<input checked="" type="checkbox"/>	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> <u>Wisconsin</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	<input checked="" type="checkbox"/>	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		<input checked="" type="checkbox"/>
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		<input checked="" type="checkbox"/>

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	x
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	x
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>www.aosmith.com</u>	13	x
14 The books are in care of ▶ <u>Mark Petrarca</u> Telephone no ▶ <u>414-359-4000</u> Located at ▶ <u>11270 W Park Place, Milwaukee, WI</u> ZIP+4 ▶ <u>53224</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ <input type="checkbox"/>		
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	x
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b		
Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? 1c		x
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) 2b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) 3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a		x
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? 4b		x

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** ☒

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attachment for Detail				

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000. ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 _____ _____	
2 _____ _____	
3 _____ _____	
4 _____ _____	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 _____ _____	
2 _____ _____	
All other program-related investments. See instructions. 3 _____ _____	
Total. Add lines 1 through 3 ▶	

Form **990-PF** (2015)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	6,018,709
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	6,018,709
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	6,018,709
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	90,281
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,928,428
6	Minimum investment return. Enter 5% of line 5	6	296,421

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	296,421
2a	Tax on investment income for 2015 from Part VI, line 5	2a	4,723
b	Income tax for 2015 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	4,723
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	291,698
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	291,698
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	291,698

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,807,513
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,807,513
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,807,513

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				291,698
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2015				
a From 2010 890,020				
b From 2011 1,077,129				
c From 2012 1,058,952				
d From 2013 1,241,569				
e From 2014 1,208,961				
f Total of lines 3a through e	5,476,631			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 1,807,513				
a Applied to 2014, but not more than line 2a . . .				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2015 distributable amount.				291,698
e Remaining amount distributed out of corpus. . .	1,515,815			
5 Excess distributions carryover applied to 2015 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	6,992,446			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . .	890,020			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	6,102,426			
10 Analysis of line 9				
a Excess from 2011 . . . 1,077,129				
b Excess from 2012 . . . 1,058,952				
c Excess from 2013 . . . 1,241,569				
d Excess from 2014 . . . 1,208,961				
e Excess from 2015 . . . 1,515,815				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Mark Petrarca, P O Box 245008, Milwaukee, WI 53224-9508

b The form in which applications should be submitted and information and materials they should include

A letter including a copy of the IRS exempt status determination

c Any submission deadlines

None

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Limited to charitable, scientific, literary and educational organizations

3 Grants and Contributions Paid During the Year or Approved for Future Payment

JSA
5E1491 1 000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments .				14	310,283	
4 Dividends and interest from securities						
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property. .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory				18	162,044	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e)					472,327	
13 Total. Add line 12, columns (b), (d), and (e)						472,327

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule of Contributors

OMB No 1545-0047

2015

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.**

Name of the organization

Employer identification number

A. O. Smith Foundation, Inc.

39-6076924

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

A. O. Smith Foundation, Inc.

Employer identification number

39-6076924

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	A O Smith Corporation P O Box 245008, Tax Dept Milwaukee, WI 53224-9508	\$ 1,580,000.00	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

A. O. Smith Foundation, Inc.

EIN: 39-6076924

Tax Year 2015

Form 990-PF, Part I

Line 18 - Taxes

Provision for Excise Tax	\$ 7,426
--------------------------	----------

Line 23 - Other Expense

Miscellaneous	\$ 3,128
---------------	----------

A. O. SMITH FOUNDATION, INC. EIN: 39-6076924
TAX YEAR 2015
FORM 990-PF, PART VIII
LIST OF OFFICERS AND DIRECTORS

John J. Kita 11270 W Park Place, Milwaukee, WI, 53224	Treasurer
Edward J. O'Connor 11270 W Park Place, Milwaukee, WI, 53224	Director
Mark A. Petrarca 11270 W Park Place, Milwaukee, WI, 53224	Secretary, Director
Ajita Rajendra 11270 W Park Place, Milwaukee, WI, 53224	Director
Bruce M. Smith 11270 W Park Place, Milwaukee, WI, 53224	President, Director
Roger S. Smith 11270 W Park Place, Milwaukee, WI, 53224	Director

A. O. Smith Foundation, Inc.
2015-Contributions
Form 990 Part XV

Recipient	Purpose of contribution	Amount
Betty Brinn Children's Museum	Civic & Cultural	5,000
Books for Birth	Civic & Cultural	2,000
Boy Scouts of America	Civic & Cultural	4,500
Bristol Speedway's Children's Charities	Civic & Cultural	1,000
Cumberland River Challenge	Civic & Cultural	500
Darlington County Sheriff's Office	Civic & Cultural	2,000
Discovery World	Civic & Cultural	17,500
Doc's Friends B-29 Bomber	Civic & Cultural	1,000
Fellowship Open	Civic & Cultural	5,000
Friends of Villa Terrace	Civic & Cultural	15,000
Girl Scouts of America	Civic & Cultural	7,500
Grand River Conservation Authority	Civic & Cultural	2,000
Hands on Regional Museum	Civic & Cultural	1,000
HOOAH-Warrior Transition	Civic & Cultural	5,000
Johnson City Arts Council	Civic & Cultural	750
Lerbanon/Wilson County Chamber of Commerce	Civic & Cultural	1,500
Marcus Center For The Performing Arts	Civic & Cultural	12,500
Milwaukee Art Museum	Civic & Cultural	40,600
Milwaukee Public Library (Ben Franklin Awards Dinner)	Civic & Cultural	2,000
Milwaukee Public Museum	Civic & Cultural	10,000
Milwaukee Public Television (Channel 10/36)	Civic & Cultural	10,000
Milwaukee Symphony Orchestra	Civic & Cultural	20,000
Mustard Seed Ranch	Civic & Cultural	1,000
Outdoors For All Foundation	Civic & Cultural	500
Pewaukee Lake Water Ski Club (Water Festival)	Civic & Cultural	5,000
Radio Milwaukee	Civic & Cultural	5,000
Rotary Club - Golf Outing	Civic & Cultural	400
Tempo Mentor Award (P Ackerman)	Civic & Cultural	3,000
The Water Council	Civic & Cultural	20,000
Town of McBee	Civic & Cultural	3,000
United Performing Arts Fund	Civic & Cultural	33,500
Whip Crackin Rodeo	Civic & Cultural	2,500
Wilson County Promotions	Civic & Cultural	5,000
Zoological Society	Civic & Cultural	12,850
		258,100

A W E Inc	Education	3,000
Alverno College	Education	51,600
Boone County High School	Education	500
Business Today	Education	3,000
Cardinal Stritch University	Education	10,000
Centro De La Comunidad Unida	Education	5,000
Cheatham County Schools	Education	5,000
Cheatham Vision	Education	17,000
Columbia Central High School	Education	500
Communities in Schools	Education	500
Cookeville High School	Education	500
Cumberland University	Education	1,500
Divine Savior Holy Angels High School	Education	7,500
East Tennessee State University	Education	2,000
East Tennessee State University - voided check	Education	(2,000)
Economic's Wisconsin	Education	3,750
Fergus High School (change to Upper Grand Learning Foundatio	Education	2,000

A. O. Smith Foundation, Inc.
2015 Contributions
Form 990 Part XV

Recipient	Purpose of contribution	Amount
Franklin High School	Education	500
Hope Christian Schools	Education	2,000
Imagination Library	Education	1,500
Junior Achievement	Education	10,500
Literacy Services of Wisconsin, Inc	Education	5,000
Marquette University High School (J Stern)	Education	10,500
Messmer Catholic Schools	Education	5,000
Milwaukee Montessori School	Education	600
Milwaukee Public Museum	Education	60,000
Milwaukee School of Engineering	Education	70,000
Milwaukee School of Engineering - voided check	Education	(9,000)
PAVE	Education	10,000
Rees Scholarship Foundation	Education	5,000
Riveredge Nature Center	Education	5,000
Saint Pius School	Education	500
Schlitz Audubon Nature Center	Education	1,000
School Choice Wisconsin	Education	3,500
School House Players	Education	500
Schools That Can Milwaukee	Education	5,000
Shorewood School District	Education	5,000
St Joan Antida High School	Education	10,000
St Marcus School	Education	15,000
STEM Forward-Engineers & Scientists of MKE (2014 Rube Goldberg C	Education	2,500
University of Wisconsin - Oshkosh	Education	5,000
Urban Day School	Education	10,000
UWM Foundation - Milwaukee Business School	Education	20,000
Villa Madona Academy	Education	500
Winfre Bryant Middle School	Education	1,500
Wisconsin Business World	Education	1,000
Wisconsin Foundation for Independent Colleges & Universities	Education	8,000
Wisconsin Lutheran College	Education	5,000
Wisconsin Lutheran High School	Education	6,000
Youth Leadership Cheatham County	Education	1,500
		389,450

American Cancer Society	Health & Hospitals	12,500
American Heart Association	Health & Hospitals	15,500
American Lung Association	Health & Hospitals	1,000
Aurora at Home	Health & Hospitals	5,000
Children's Hospital & Health System	Health & Hospitals	180,000
Downs Syndrome Clarksville Association	Health & Hospitals	2,000
Groves Hospital Foundation	Health & Hospitals	2,000
Juvenile Diabetes Research Foundation	Health & Hospitals	4,600
Lace Up for Pink, Inc	Health & Hospitals	2,500
Medical College of WI	Health & Hospitals	34,000
Mercy Children's' Hospital	Health & Hospitals	1,000
Mountain States Health Foundation (Children's Hospital)	Health & Hospitals	7,500
Muscular Dystrophy Association (Black & Blue Ball)	Health & Hospitals	3,500
National Multiple Sclerosis Society	Health & Hospitals	7,000
Saint Thomas Hospital	Health & Hospitals	5,000
Seattle Cancer Care Alliance	Health & Hospitals	500
Tennessee Breast Cancer Coalition	Health & Hospitals	2,000
Vintage Affair - voided check	Health & Hospitals	(500)

A. O. Smith Foundation, Inc.
2015 Contributions
Form 990 Part XV

Recipient	Purpose of contribution	Amount
Women for MACC (C D'Souza)	Health & Hospitals	250
		285,350
A Child's Place	Human Services	\$ 500
Abuse Alternatives	Human Services	1,000
Agape	Human Services	1,000
Al Menah Shriners	Human Services	2,000
Alliance for Strong Families	Human Services	4,000
ALS Association (J Stern - Chasin A Cure)	Human Services	2,500
American Red Cross	Human Services	36,600
Anayat House	Human Services	500
Anayat House - voided check	Human Services	(300)
Army Emergency Relief Fund	Human Services	3,000
Ashland City Firefighters Fund	Human Services	1,000
Ashland City Police Department	Human Services	1,000
Association of Fundraising Professionals	Human Services	390
Audio & Braille Literacy Enhancement	Human Services	5,000
Back Pack Program	Human Services	5,000
Bethesda Center	Human Services	2,500
Big Brothers Big Sisters	Human Services	15,000
Boy Scouts Leadership Breakfast	Human Services	2,750
Boys & Girls Club of Wisconsin	Human Services	167,500
Brightstone	Human Services	2,000
Bristol Rotary Club Children Charities of Tri Cities	Human Services	1,000
Brooks House	Human Services	25,000
Cheatham County Battered Women's Shelter	Human Services	5,000
Cheatham County Sheriff's Department	Human Services	1,000
Children's Miracle Network	Human Services	2,000
COA Youth & Family Centers	Human Services	10,000
Dawn of Hope Foundation	Human Services	2,500
Disalced Carmelitas	Human Services	1,000
Empower Me Day Camp	Human Services	10,000
Fisher House	Human Services	8,000
Fraternal Order of Police Lodge 81	Human Services	2,000
Goodwill Industries of SE Wisconsin, Inc	Human Services	13,000
Greater Milwaukee Foundation (Mayor's Earn & Learn Fund)	Human Services	50,000
Habitat for Humanity	Human Services	11,500
Habitat for Humanity - voided check	Human Services	(1,000)
House of Blessings (Food Bank)	Human Services	1,000
Hunger Task Force	Human Services	7,000
Jericho Shriner	Human Services	300
Johnson City Senior Citizen Center Foundation	Human Services	1,000
Joseph's Storehouse	Human Services	5,000
Joshua Chamberlin Society Gala	Human Services	1,000
Journey House	Human Services	3,000
Lake Robinson Rescue Squad	Human Services	1,000
Leadership Middle Tennessee	Human Services	1,000
Leadership Wilson	Human Services	1,500
Life Point Solutions	Human Services	1,500
Literacy Council of Kingsport	Human Services	500
Loaves and Fishes	Human Services	500
Make A Wish Foundation	Human Services	7,500
McBee Rescue Squad	Human Services	1,000

A. O. Smith Foundation, Inc.
2015 Contributions
Form 990 Part XV

Recipient	Purpose of contribution	Amount	
Neighborhood House of Milwaukee, Inc	Human Services	3,000	
New Leash on Life	Human Services	500	
Next Door Foundation	Human Services	5,000	
North Shore Fire/Rescue Anniversary	Human Services	3,000	
Pee Dee Coalition Against Criminal & Domestic Violence	Human Services	2,500	
Pegram Volunteer Fire Department	Human Services	750	
Penfield Children's Center	Human Services	5,000	
Pleasant View Volunteer Fire Department	Human Services	750	
Prospect, Inc	Human Services	2,500	
Ronald McDonald House	Human Services	7,000	
Safe & Sound	Human Services	5,000	
Salvation Army	Human Services	2,500	
Second Harvest Food Bank	Human Services	2,000	
Sherry's Run	Human Services	10,000	
Sojourner Family Peace Center	Human Services	5,000	
Southern Stars, Inc	Human Services	2,000	
Special Olympics of Washington	Human Services	500	
St Ann Center	Human Services	5,000	
St Anne's Salvatorian Campus	Human Services	1,200	
St Francis Children's Center	Human Services	5,000	
Teen Challenge (golf outing)	Human Services	1,000	
Two Rivers Volunteer Fire Department	Human Services	500	
Waves	Human Services	500	
Wilson County Christmas for All	Human Services	5,000	
Wilson County Community Help Center	Human Services	3,000	
Wisconsin Equal Justice Fund	Human Services	5,000	
Wisconsin Families for Hands and Voices	Human Services	1,000	
Wounded Warrior Walk	Human Services	2,000	
			\$ 512,940
United Way of Chesterfield County	United Way	2,000	
United Way of Fergus	United Way	2,000	
United Way of Greater Milwaukee	United Way	180,500	
United Way of Hartsville	United Way	2,000	
United Way of Johnson City	United Way	8,350	
United Way of King County	United Way	500	
United Way of King County - voided check	United Way	(500)	
United Way of Northern Kentucky	United Way	750	
United Way of Perth County	United Way	1,500	197,100
			1,642,940
Matching Gifts - Education			21,643
Scholarship Program			147,780
PY correction - voided checks			(4,850)
			1,807,513