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Form 990-PF

## Return of Private Foundation

OMB No 1545-0052

2015

Department of the Treasury  
Internal Revenue Serviceor Section 4947(a)(1) Trust Treated as Private Foundation  
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Open to Public Inspection

For calendar year 2015 or tax year beginning

, and ending

Name of foundation

DR. R.G. RAYMOND & SARAH RAYMOND  
FOUNDATION

A Employer identification number

39-6051142  
\*\*-\*\*\*\*\*

Number and street (or P.O. box number if mail is not delivered to street address)

C/O NAT'L EXCH BANK &amp; TRUST 130 S MAIN

Room/suite

B Telephone number

920-923-7000

City or town, state or province, country, and ZIP or foreign postal code

FOND DU LAC, WI 54935

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test  
check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated  
under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

\$ 1,322,082.

J Accounting method:

☐ Cash☒ Accrual☐ Other (specify)F If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses**  
(The total of amounts in columns (b), (c), and (d) may not  
necessarily equal the amounts in column (a))(a) Revenue and  
expenses per books(b) Net investment  
income(c) Adjusted net  
income(d) Disbursements  
for charitable purposes  
(cash basis only)

Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	30,981.	30,981.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	41,787.			
	b Gross sales price for all assets on line 6a	130,889.			
	7 Capital gain net income (from Part IV, line 2)		41,787.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total Add lines 1 through 11	72,768.	72,768.		
	13 Compensation of officers, directors, trustees, etc	3,278.	2,130.		1,148.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 2	1,089.	0.		381.
	b Accounting fees STMT 3	1,355.			474.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 4	25.			0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	7,978.	5,185.		2,793.
	24 Total operating and administrative expenses. Add lines 13 through 23	13,725.	7,340.		4,796.
	25 Contributions, gifts, grants paid	67,550.			67,550.
	26 Total expenses and disbursements. Add lines 24 and 25	81,275.	7,340.		72,346.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-8,507.			
	b Net investment income (if negative, enter -0-)		65,428.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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11-24-15

LHA For Paperwork Reduction Act Notice, see instructions.

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**DR. R.G. RAYMOND & SARAH RAYMOND  
FOUNDATION**

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<b>Part II Balance Sheets</b> <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock <b>STMT 6</b>	668,860.	676,435.	795,483.
	c Investments - corporate bonds			
	<b>Liabilities</b>	11 Investments land, buildings, and equipment basis ▶		
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other <b>STMT 7</b>		546,659.	530,577.	526,599.
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item 1)		1,215,519.	1,207,012.	1,322,082.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)				
23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	1,007,433.	1,007,433.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	208,086.	199,579.	
30 <b>Total net assets or fund balances</b>	1,215,519.	1,207,012.		
31 <b>Total liabilities and net assets/fund balances</b>	1,215,519.	1,207,012.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,215,519.
2 Enter amount from Part I, line 27a	2	-8,507.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,207,012.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30	6	1,207,012.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHMENT STATEMENT	P		
b SEE ATTACHMENT STATEMENT	P		
c			
d			
e			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 74,640.		60,215.	14,425.
b 56,249.		28,887.	27,362.
c			
d			
e			

  

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			14,425.
b			27,362.
c			
d			
e			

  

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	41,787.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	78,943.	1,440,847.	.054789
2013	73,395.	1,395,219.	.052605
2012	73,316.	1,367,794.	.053602
2011	76,548.	1,402,837.	.054567
2010	73,460.	1,378,548.	.053288

  

2 Total of line 1, column (d)	2	.268851
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.053770
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	1,376,660.
5 Multiply line 4 by line 3	5	74,023.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	654.
7 Add lines 5 and 6	7	74,677.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.	8	72,346.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	1,309.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	1,309.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	1,309.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	1,140.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,140.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	169.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> WI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► PETER STONE Telephone no. ► 920-921-7700 Located at ► NATIONAL EXCHG BANK & TRUST, FOND DU LAC, WI ZIP+4 ► 54936-0827		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		3,278.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
		0.
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,240,986.
b	Average of monthly cash balances	1b	156,638.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,397,624.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,397,624.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	20,964.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,376,660.
6	Minimum investment return. Enter 5% of line 5	6	68,833.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	68,833.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	1,309.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,309.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	67,524.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	67,524.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	67,524.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	72,346.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	72,346.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	72,346.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				67,524.
2 Undistributed income, if any, as of the end of 2015			0.	
a Enter amount for 2014 only				
b Total for prior years.		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010	5,103.			
b From 2011	7,330.			
c From 2012	5,867.			
d From 2013	5,269.			
e From 2014	7,901.			
f Total of lines 3a through e	31,470.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$	72,346.			
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				67,524.
e Remaining amount distributed out of corpus	4,822.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	36,292.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	5,103.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	31,189.			
10 Analysis of line 9:				
a Excess from 2011	7,330.			
b Excess from 2012	5,867.			
c Excess from 2013	5,269.			
d Excess from 2014	7,901.			
e Excess from 2015	4,822.			

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N/A

- |  |               |  |            |
|--|---------------|--|------------|
|  | 4942(1)(3) or |  | 4942(1)(5) |
|--|---------------|--|------------|

- (4) Gross investment income**

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

## DR. R.G. RAYMOND &amp; SARAH RAYMOND

Form 990-PF (2015)

FOUNDATION

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**Part XV** Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
BAY LAKES COUNCIL, BOY SCOUTS 2555 NORTHERN ROAD APPLETON, WI 54912	NONE		PROMOTE OUTDOOR ACTIVITIES	2,100.
BLANDINE HOUSE 25 N PARK AVENUE FOND DU LAC, WI 54935	NONE		REHAB OF HANDICAPPED & NURSING HOME SERVICES	1,050.
BROOKE INDUSTRIES 1257 INDUSTRIAL PARKWAY FOND DU LAC, WI 54937	NONE		REHAB OF HANDICAPPED & NURSING HOME SERVICES	2,100.
BROWNSVILLE PUBLIC LIBRARY 379 MAIN STREET BROWNSVILLE, WI 53006	NONE		CIVIC	8,400.
BROWNSVILLE RECREATION DEPT 514 RAILROAD STREET BROWNSVILLE, WI 53006	NONE		PROMOTE OUTDOOR ACTIVITIES	6,250.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			<b>67,550.</b>
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				<b>0.</b>

Form 990-PF (2015)

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Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
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[illegible]



DR. R.G. RAYMOND & SARAH RAYMOND  
FOUNDATION

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**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHURCH OF PEACE 158 S MILITARY ROAD FOND DU LAC, WI 54935	NONE		RELIGIOUS	275.
FAMILY RESOURCE CENTER OF FOND DU LAC COUNTY 104 S MAIN ST STE 302 FOND DU LAC, WI 54935	NONE		COMMUNITY ASSISTANCE	500.
FDL BLUE LINE HOCKEY CLUB INC 550 FOND DU LAC AVENUE FOND DU LAC, WI 54935	NONE		COMMUNITY ASSISTANCE	3,150.
FIRST BAPTIST CHURCH 326 E MAIN STREET CAMPBELLSPORT, WI 53010	NONE		RELIGIOUS	275.
FOND DU LAC YMCA 90 W 2ND STREET FOND DU LAC, WI 54935	NONE		COMMUNITY ASSISTANCE	3,200.
LOAVES & FISHES PROGRAM 237 N MACY STREET FOND DU LAC, WI 54935	NONE		REHAB OF HANDICAPPED & NURSING HOME SERVICES	3,425.
LOMIRA QUAD GRAPHICS COMMUNITY FUND N61 W23044 HARRY'S WAY SUSSEX, WI 53089	NONE		COMMUNITY INVOLVEMENT	2,600.
MANITOU GIRL SCOUT COUNCIL 5212 WINDWARD COURT SHEBOYGAN, WI 53083	NONE		PROMOTE OUTDOOR ACTIVITIES	2,100.
MARIAN UNIVERSITY 45 S NATIONAL AVENUE FOND DU LAC, WI 54935	NONE		EDUCATION	4,200.
MAYVILLE MIDDLE SCHOOL 234 N JOHN STREET MAYVILLE, WI 53050	NONE		EDUCATION	550.
Total from continuation sheets				47,650.

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DR. R.G. RAYMOND & SARAH RAYMOND  
FOUNDATION

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**Part XV Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MAYVILLE PUBLIC LIBRARY 111 N MAIN STREET MAYVILLE, WI 53050	NONE		CIVIC	1,550.
SALVATION ARMY 237 N MACY STREET FOND DU LAC, WI 54935	NONE		COMMUNITY ASSISTANCE	4,200.
SOLUTIONS CENTER SHELTER & SUPPORT 39 N SOPHIA STREET FOND DU LAC, WI 54935	NONE		CIVIC	2,625.
SONS OF ZEBEDEE W5882 CHURCH ROAD FOND DU LAC, WI 54937	NONE		RELIGIOUS	275.
SPILLMAN PUBLIC LIBRARY 719 WISCONSIN AVENUE NORTH FOND DU LAC, WI 54937	NONE		CIVIC	1,050.
ST. JOHN'S EVANGELICAL LUTHERAN CHURCH 550 WATER STREET LOMIRA, WI 53048	NONE		RELIGIOUS	550.
ST. LAWRENCE SEMINARY 301 CHURCH MT CALVARY, WI 53057	NONE		EDUCATION	275.
ST. MARY'S CHURCH 699 MILWAUKEE STREET LOMIRA, WI 53048	NONE		RELIGIOUS	6,300.
ST. MARY'S SCHOOL 28 NABER STREET MAYVILLE, WI 53050	NONE		EDUCATION	275.
ST. MARY'S SPRINGS ACADEMY 255 CTY ROAD K FOND DU LAC, WI 54935	NONE		EDUCATION	4,200.
Total from continuation sheets				



DR. R.G. RAYMOND & SARAH RAYMOND  
FOUNDATION

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**Part XV** Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
ST. PAULS CATHEDRAL 51 W DIVISION STREET FOND DU LAC, WI 54935	NONE		RELIGIOUS	275.
ST. PAULS CHURCH 605 HIGHLAND STREET BROWNSVILLE, WI 53006	NONE		RELIGIOUS	275.
THERESA PUBLIC LIBRARY 290 MAYVILLE STREET THERESA, WI 53091	NONE		CIVIC	1,050.
TRINITY METHODIST CHURCH 300 CHURCH STREET LOMIRA, WI 53048	NONE		RELIGIOUS	275.
WINNEBAGO LUTHERAN ACADEMY 475 E MERRILL AVENUE FOND DU LAC, WI 54935	NONE		EDUCATION	4,200.
Total from continuation sheets				

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FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
RG & SARAH RAYMOND AGENCY ACCT	30,981.	0.	30,981.	30,981.	
TO PART I, LINE 4	30,981.	0.	30,981.	30,981.	

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FORM 990-PF	LEGAL FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	1,089.	0.		381.
TO FM 990-PF, PG 1, LN 16A	1,089.	0.		381.

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FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION	1,355.	0.		474.
TO FORM 990-PF, PG 1, LN 16B	1,355.	0.		474.

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FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	25.	25.		0.
TO FORM 990-PF, PG 1, LN 18	25.	25.		0.

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FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FIDUCIARY FEES	7,739.	5,030.		2,709.	
CONVERSION FEES	48.	31.		17.	
MISCELLANEOUS EXPENSE	191.	124.		67.	
TO FORM 990-PF, PG 1, LN 23	7,978.	5,185.		2,793.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
1500 MARATHON OIL CORP	23,608.	18,885.		
250 KIMBERLY CLARK CORP	13,836.	31,825.		
300 PEPSICO INC COM	15,998.	29,976.		
1200 BARRICK GOLD CORP	22,646.	8,856.		
400 BRISTOL MYERS SQUIBB CO COM	9,043.	27,516.		
900 NEWMONT MINING CORP	27,730.	16,191.		
800 CATO CORP	11,139.	29,456.		
800 TIDEWATER INC COM	28,379.	5,568.		
1000 PFIZER INC COM	22,713.	32,280.		
350 HARRIS CORP DEL COM	14,875.	30,415.		
950 LEXMARK INTL INC NEW CL A	37,576.	30,828.		
600 MICROSOFT CORP COM	16,211.	33,288.		
800 VODAFONE GROUP PLC NEW	35,071.	25,808.		
300 JOHNSON & JOHNSON COM	17,105.	30,816.		
960 ASTRAZENEC A PLC SPONSORED ADR	24,145.	32,592.		
350 STRYKER CORP	14,164.	32,529.		
350 LILLY ELI & CO	12,861.	29,491.		
1300 SYMANTEC CORP	24,681.	27,300.		
1000 CISCO SYSTEMS INC COM	16,510.	27,155.		
350 DUKE ENERGY CORP	16,665.	24,987.		
700 SYSCO CORP COM	22,505.	28,700.		
500 DEVON ENERGY CORP NEW COM	28,760.	16,000.		
350 OCCIDENTAL PETE CORP DEL COM	30,501.	23,664.		
1200 EMC CORP MASS COM	29,141.	30,816.		
650 ORACLE CORP COM	21,346.	23,745.		
1400 WESTERN UN CO COM	20,091.	25,074.		
850 AT&T INC COM	28,604.	29,248.		
950 EXELON CORP COM	28,759.	26,382.		
300 MCDONALDS CORP COM	27,772.	35,442.		
500 WAL MART STORES INC COM	34,000.	30,650.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	676,435.	795,483.		

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	7
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
19441.818 VANGUARD SHORT TERM INMT GRADE ADMR	COST	207,774.	205,306.
2050 PIMCO ENHANCED SHORT MATURITY EXCHANGE TRADED FUND	COST	207,761.	206,251.
115042.410 FIDELITY INVST MM FD GOVT	COST	115,042.	115,042.
TOTAL TO FORM 990-PF, PART II, LINE 13		530,577.	526,599.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	8
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
PETER E. STONE 130 S MAIN STREET FOND DU LAC, WI 54935	TREASURER 0.75	0.	0.	0.
ROBERT V. EDGARTON PO BOX 1276 FOND DU LAC, WI 54936-1276	SECRETARY 1.75	800.	0.	0.
JOHN J. TAGLIAPIETRA PO BOX 153 BROWNSVILLE, WI 53006-0153	ASSISTANT SECRETARY 0.75	830.	0.	0.
DR. MICHAEL W. BACHHUBER 360 S. MOUNTAIN DR. MAYVILLE, WI 53050	PRESIDENT 0.75	818.	0.	0.
PATRICK D. MICHELS 817 WEST MAIN STREET BROWNSVILLE, WI 53006	VICE PRESIDENT 0.75	830.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		3,278.	0.	0.

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FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT	9
	PART XV, LINES 2A THROUGH 2D		

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NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

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ROBERT V. EDGARTON C/O DR. R.G. & SARAH RAYMOND FOUNDATION, INC.  
PO BOX 1276  
FOND DU LAC, WI 54936-1276

TELEPHONE NUMBER

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920-922-0470

FORM AND CONTENT OF APPLICATIONS

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NO SPECIFICATIONS

ANY SUBMISSION DEADLINES

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NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

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STATE OF WISCONSIN / PRIMARILY FOND DU LAC & DODGE COUNTIES PURPOSES:  
REHABILITATION, NURSING, RECREATIONAL, CIVIC, RELIGIOUS, OR EDUCATIONAL.



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TAXPAYER NAME DR R G RAYMOND &amp; SARAH RAYMOND

TIN xxxxx1142

## Deposit Confirmation

Your payment has been accepted.

### Payment Successful

An EFT Acknowledgement Number has been provided for this payment. Please keep this number for your records.

### REMINDER: REMEMBER TO FILE ALL RETURNS WHEN DUE!

**EFT ACKNOWLEDGEMENT NUMBER:**

270652011123774

**Payment Information****Entered Data****Taxpayer EIN**

xxxxx1142

**Tax Form**

990PF Return of Private Foundation

**Tax Type**

Federal Tax Deposit

**Tax Period**

2016

**Payment Amount**

\$1,320.00

**Settlement Date**

04/29/2016

**Account Number**

xx5512

**Account Type**

CHECKING

**Routing Number**

075900766

**Bank Name**

NATL EXCHANGE BANK AND TRUST

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TAXPAYER NAME: DR R G RAYMOND &amp; SARAH RAYMOND

TIN xxxxx1142

## Deposit Confirmation

Your payment has been accepted

### Payment Successful

An EFT Acknowledgement Number has been provided for this payment. Please keep this number for your records.

### REMINDER: REMEMBER TO FILE ALL RETURNS WHEN DUE!

**EFT ACKNOWLEDGEMENT NUMBER:**

270652031377967

**Payment Information****Entered Data**

<b>Taxpayer EIN</b>	xxxxx1142
<b>Tax Form</b>	990PF Return of Private Foundation
<b>Tax Type</b>	Balance due on return or notice
<b>Tax Period</b>	2015
<b>Payment Amount</b>	\$169.00
<b>Settlement Date</b>	04/29/2016
<b>Account Number</b>	xx5512
<b>Account Type</b>	CHECKING
<b>Routing Number</b>	075900766
<b>Bank Name</b>	NATL EXCHANGE BANK AND TRUST

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