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EXTENDED TO NOVEMBER 15, 2016

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No. 1545-0052

2015

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2015 or tax year beginning

, and ending

Name of foundation FRANCIS R. & RUTH E. OBERREICH FOUNDATION CORPORATION		A Employer identification number 39-1941148
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 400	Room/suite	B Telephone number (920) 398-2371
City or town, state or province, country, and ZIP or foreign postal code MARKESAN, WI 53946-0400		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,833,482.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		163.	163.		STATEMENT 1
4 Dividends and interest from securities		69,049.	69,049.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<13,065.>			
b Gross sales price for all assets on line 6a		3,855,024.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		56,147.	69,212.		
13 Compensation of officers, directors, trustees, etc.		3,800.	0.		3,800.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees STMT 3		30,576.	30,576.		0.
17 Interest					
18 Taxes STMT 4		8,629.	0.		0.
19 Depreciation and depletion		830.	0.		
20 Occupancy					
21 Travel, conferences, and meetings		2,701.	0.		2,701.
22 Printing and publications					
23 Other expenses STMT 5		16,758.	7,923.		8,835.
24 Total operating and administrative expenses. Add lines 13 through 23		63,294.	38,499.		15,336.
25 Contributions, gifts, grants paid		113,457.			113,457.
26 Total expenses and disbursements. Add lines 24 and 25		176,751.	38,499.		128,793.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<120,604.>			
b Net investment income (if negative, enter -0-)			30,713.		
c Adjusted net income (if negative, enter -0-)				N/A	

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11-24-15

LHA For Paperwork Reduction Act Notice, see instructions.

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Form 990-PF (2015)

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2015.05010 FRANCIS R. & RUTH E. OBERRE 85025_1

SCANNED DEC 28 2016

A

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		109,160.	125,063.	125,063.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock STMT 6		14,927.	14,927.	37,832.
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 7		2,859,008.	2,723,877.	2,669,823.	
14	Land, buildings, and equipment: basis ▶ 6,745.					
	Less: accumulated depreciation STMT 8 ▶ 6,261.		1,314.	484.	484.	
15	Other assets (describe ▶ DIVIDEND RECEIVABLE)		826.	280.	280.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		2,985,235.	2,864,631.	2,833,482.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds		2,985,235.	2,864,631.		
30	Total net assets or fund balances		2,985,235.	2,864,631.		
31	Total liabilities and net assets/fund balances		2,985,235.	2,864,631.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,985,235.
2	Enter amount from Part I, line 27a	2	<120,604.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,864,631.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,864,631.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a VOYA #3113	P	VARIOUS	12/31/15
b LONG TERM CAPITAL GAIN DISTRIBUTIONS	P	VARIOUS	12/31/15
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,806,679.		3,868,089.	<61,410.>
b 48,345.			48,345.
c			
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<61,410.>
b			48,345.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<13,065.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	110,451.	2,988,740.	.036956
2013	125,288.	2,754,464.	.045485
2012	110,185.	2,500,704.	.044062
2011	120,293.	2,467,679.	.048747
2010	182,855.	2,511,080.	.072819

2 Total of line 1, column (d)	2	.248069
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049614
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	2,923,726.
5 Multiply line 4 by line 3	5	145,058.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	307.
7 Add lines 5 and 6	7	145,365.
8 Enter qualifying distributions from Part XII, line 4	8	128,793.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	614.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	614.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	614.
6	Credits/Payments:		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	14.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed SEE STATEMENT 9	9	628.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2016 estimated tax Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) WI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address ► <u>N/A</u>		
14 The books are in care of ► <u>RICHARD SLATE</u> Telephone no. ► <u>920-398-2371</u>		
Located at ► <u>33 NO. BRIDGE STREET, P.O. BOX 400, MARKESAN, WI</u> ZIP+4 ► <u>53946-0400</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15 <u>N/A</u>	
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM R. SLATE P.O. BOX 400 MARKESAN, WI 539460400	PRESIDENT 1.00	600.	0.	0.
CRAIG SMESTAD P.O. BOX 400 MARKESAN, WI 539460400	VICE PRESIDENT 1.00	600.	0.	0.
JOAN R. SLATE P.O. BOX 400 MARKESAN, WI 539460400	TREASURER 1.00	800.	0.	0.
RICHARD SLATE P.O. BOX 400 MARKESAN, WI 539460400	SECRETARY 1.00	1,800.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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0

2015.05010 FRANCIS R. & RUTH E. OBERRE 85025 1

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,767,405.
b	Average of monthly cash balances	1b	200,845.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,968,250.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,968,250.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	44,524.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,923,726.
6	Minimum investment return. Enter 5% of line 5	6	146,186.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	146,186.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	614.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	614.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	145,572.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	145,572.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	145,572.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	128,793.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	128,793.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	128,793.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				145,572.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			89,777.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ 128,793.				
a Applied to 2014, but not more than line 2a			89,777.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				39,016.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				106,556.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

RICHARD SLATE, 920-398-2371
33 NO. BRIDGE STREET, PO BOX 400, MARKESAN, WI 53946

b The form in which applications should be submitted and information and materials they should include:

PUBLIC CHARITIES SUBMITTING LETTER OF REQUEST.

c Any submission deadlines:

NO SPECIFIC DEADLINE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

PUBLIC CHARITIES ONLY

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMERICAN HEART ASSOCIATION 4600 CAMPUS DRIVE IRVINE, CA 92617	NONE	PC	GENERAL DONATION	200.
AMERICAN LEGION AUXILLARY 8945 NORTH MERIDIAN STREET, SUITE 200 INDIANAPOLIS, IN 42660	NONE	PC	GENERAL SUPPORT	799.
THE ARC OF FOND DU LAC 500 N PARK AVE. FOND DU LAC, WI 54935	NONE	PC	1 OF 5 INSTALLMENTS CAPITAL IMPROVEMENT	10,000.
BIG BROTHERS/BIG SISTERS 448 S MILITARY RD. FOND DU LAC, WI 54935	NONE	PC	GENERAL DONATION	5,000.
BOYS AND GIRLS CLUB - OSHKOSH 501 E PARKWAY AVE. OSHKOSH, WI 54901	NONE	PC	UNMET NEEDS CENTER	5,000.
Total	SEE CONTINUATION SHEET(S)			113,457.
b Approved for future payment				
NONE				
Total				0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	163.		
4 Dividends and interest from securities			14	69,049.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income	900099					
8 Gain or (loss) from sales of assets other than inventory			14	<13,065.>		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		56,147.		0.
13 Total. Add line 12, columns (b), (d), and (e)						56,147.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII


Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	 Signature of officer or trustee		Date 12-8-2016		Title DIRECTOR		
Paid Preparer Use Only	Print/Type preparer's name		Preparer's signature		Date	Check <input type="checkbox"/> if self-employed PTIN	
	DONITA M. JOSEPH		DONITA M. JOSEPH		12/07/16	P00286656	
	Firm's name ▶ WINDES, INC.					Firm's EIN ▶ 95-3001179	
	Firm's address ▶ P.O. BOX 87 LONG BEACH, CA 90801-0087					Phone no. (562) 435-1191	

Form **990-PF** (2015)

FRANCIS R. & RUTH E. OBERREICH
FOUNDATION CORPORATION

39-1941148

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CAMPBELLSPORT MEMORIAL POST 222 101 N FOND DU LAC AVE. CAMPBELLSPORT, WI 53010	NONE	PC	BUILDING IMPROVEMENT	1,000.
CHN WELLNESS PO BOX 8003 APPLETON, WI 54912	NONE	PC	CHILDREN WELLNESS	1,000.
CITY OF MARKESAN 150 SOUTH BRIDGE STREET MARKESAN, WI 53946	NONE	PC	X-MAS LIGHT FUND	1,000.
CITY OF PRINCETON CEMETERY 531 SOUTH FULTON STREET PRINCETON, WI 54968	NONE	PC	RESTORATION PROJECT	1,500.
FDL SYMPHONIC BAND P.O. BOX 1483 FOND DU LAC, WI 54936	NONE	PC	COMMUNITY OUTREACH	5,000.
FOND DU LAC COUNTY SHERIFF 180 S MACY ST. FOND DU LAC, WI 54935	NONE	PC	SHOP WITH A COP PROGRAM	4,000.
GIRL SCOUTS OF AMERICA 420 FIFTH AVE. NEW YORK, NY 10018	NONE	PC	YOUTH PROGRAMS	50.
GRACE PRESCHOOL 430 W GRISWOLD ST. RIPON, WI 54971	NONE	PC	ELECTRICAL WORK, CABINETS, AND COUNTERTOP	5,285.
GREEN LAKE COUNTY ASSOCIATION FOR HOME AND COMMUNITY EDUCATION P.O. BOX 3188 GREEN LAKE, WI 54941	NONE	PC	CHILDREN'S HEALTH, EDUCATION AND SAFETY	2,000.
GREEN LAKE COUNTY CRIME STOPPERS 571 COUNTY ROAD A GREEN LAKE, WI 54941	NONE	PC	TIP LINE PROGRAM	1,600.
Total from continuation sheets				92,458.

FRANCIS R. & RUTH E. OBERREICH
FOUNDATION CORPORATION

39-1941148

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GREEN LAKE COUNTY SHERIFF OFFICE 571 COUNTY ROAD A GREEN LAKE, WI 54941	NONE	PC	EQUIPMENT	500.
GREEN LAKE FESTIVAL OF MUSIC 669 THORNE ST. RIPON, WI 54971	NONE	PC	COMMUNITY EVENT	1,500.
HOLY FAMILY PARISH CHURCH 271 FOURTH ST. WAY FOND DU LAC, WI 54937	NONE	PC	CHURCH ROOF	4,371.
MANCHESTER ACTIVITY CENTER W3234 STATE ROAD 44 & 73 MARKESAN, WI 53946	NONE	PC	CHILDREN'S PROGRAM	100.
MARIAN UNIVERSITY 45 SOUTH NATIONAL FOND DU LAC, WI 54935	NONE	PC	YOUTH ACTIVITIES	25,500.
MARKESAN BOOSTER CLUB PO BOX 270 MARKESAN, WI 53946	NONE	PC	BASEBALL	300.
MARKESAN DISTRICT SCHOOLS 100 E VISTA BLVD MARKESAN, WI 53946	NONE	PC	YOUTH WRESTLING PROGRAM AND BACKBOARD CLUB	1,000.
MARKESAN FIRE DEPARTMENT 877 NORTH MARGARET STREET MARKESAN, WI 53946	NONE	PC	YOUTH ACTIVITIES	350.
MARKESAN FOOD PANTRY 200 BRIDGE STREET MARKESAN, WI 53946	NONE	PC	COMMUNITY OUTREACH	802.
MARQUETTE ROD AND GUN 4756 W 72ND ST. BALDWIN, WI 49304	NONE	PC	CHILDREN'S PROGRAMS	100.
Total from continuation sheets				

FRANCIS R. & RUTH E. OBERREICH
FOUNDATION CORPORATION

39-1941148

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MORALITY IN MEDIA 1100 G STREET NW, #1030 WASHINGTON, DC 20005	NONE	PC	GENERAL DONATION	6,000.
OAKFIELD SCHOOL DISTRICT 200 W WHITE ST. OAKFIELD, WI 53065	NONE	PC	YOUTH HEALTH AND WELLNESS PROGRAMS	500.
PINK PUMPKIN CANCER FUNDRAISER PO BOX 270013 HARTFORD, WI 53027	NONE	PC	GENERAL DONATION	1,000.
PRINCETON BOOSTER CLUB N6901 CITY ROAD PRINCETON, WI 54968	NONE	PC	CHILDREN'S PROGRAMS	400.
PRINCETON SENIOR CENTER 212 S HOWARD ST PRINCETON, WI 54968	NONE	PC	CAPITAL IMPROVEMENT	5,000.
RIENZE CEMETERY ASSOCIATION N6101 HWY K FOND DU LAC, WI 54937	NONE	PC	VETERAN'S HEADSTONE PROJECT	5,000.
SALVATION ARMY 237 N MACY ST FOND DU LAC, WI 54936	NONE	PC	CHARITABLE PLANNING INSTITUTE	2,500.
SHEPHERD OF THE HILLS CATHOLIC CHURCH W1562 COUNTY ROAD B EDEN, WI 53019	NONE	PC	BIBLES	300.
SOUTHERN GREEN LAKE COUNTY AMBULANCE SERVICE 877 NORTH MARGARET STREET MARKESAN, WI 53946	NONE	PC	GENERAL DONATION	1,000.
ST JOHN THE BAPIST CATHOLIC CHURCH 228 BLOSSOM STREET RIPON, WI 54971	NONE	PC	GENERAL DONATION	2,500.
Total from continuation sheets				

FRANCIS R. & RUTH E. OBERREICH
FOUNDATION CORPORATION

39-1941148

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SUNSHINE NURSERY SCHOOL 75 BRIDGE STREET MARKESAN, WI 53946	NONE	PC	CHILDRENS PROGRAMS	450.
THRASHER OPERA HOUSE 506 MILL STREET GREEN LAKE, WI 54941	NONE	PC	SPONSOR A CONCERT	250.
AMERICAN LEGION TRIER PUDDY POST #75 500 FOND DU LAC AVENUE FOND DU LAC, WI 54935	NONE	PC	THE MANNEQUIN/CHILDRENS ED PROGRAM	5,500.
UNITED METHODIST CHURCH PO BOX 460 MARKESAN, WI 53946	NONE	PC	GENERAL DONATION	3,000.
USA OLYMPIC COMMITTEE 1 OLYMPIC PLAZA COLORADO SPRINGS, CO 80909	NONE	PC	GENERAL DONATION	100.
WAUTOMA MASONIC LODGE 203 SCOTT STREET WAUTOMA, WI 54982	NONE	PC	COMMUNITY EVENT	500.
FOND DU LAC COUNTY POLICE DEPARTMENT 126 N. MAIN STREET FOND DU LAC, WI 54935	NONE	PC	COMMUNITY SAFETY (COPS FOR KIDS)	1,500.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MARKESAN STATE BANK	163.	163.	
TOTAL TO PART I, LINE 3	163.	163.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ARC TRUST V	333.	0.	333.	333.	
PHILLIPS EDISON	1,355.	0.	1,355.	1,355.	
VOYA ACCOUNT #3113	65,750.	0.	65,750.	65,750.	
VOYA ACCOUNT #8107	1,611.	0.	1,611.	1,611.	
TO PART I, LINE 4	69,049.	0.	69,049.	69,049.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	30,576.	30,576.		0.
TO FORM 990-PF, PG 1, LN 16C	30,576.	30,576.		0.

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE AND PENALTIES	8,629.	0.		0.
TO FORM 990-PF, PG 1, LN 18	8,629.	0.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
POSTAGE	60.	0.		60.
SUPPLIES	353.	0.		353.
ACCOUNTING FEES	15,845.	7,923.		7,922.
DUES AND SUBSCRIPTIONS	500.	0.		500.
TO FORM 990-PF, PG 1, LN 23	16,758.	7,923.		8,835.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FORD MOTOR CO COMMON STOCK	14,927.	37,832.
TOTAL TO FORM 990-PF, PART II, LINE 10B	14,927.	37,832.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	7
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
PHILLIPS EDISON	COST	44,128.	44,128.
ARC TRUST V, INC	COST	44,033.	44,033.
VOYA ACCOUNT #3113 SCHEDULE ATTACHED	COST	2,635,716.	2,581,662.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,723,877.	2,669,823.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 8

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER	2,595.	2,595.	0.
COMPUTERS	4,150.	3,666.	484.
TOTAL TO FM 990-PF, PART II, LN 14	6,745.	6,261.	484.

FORM 990-PF INTEREST AND PENALTIES STATEMENT 9

TAX DUE FROM FORM 990-PF, PART VI	614.
UNDERPAYMENT PENALTY	14.
LATE PAYMENT INTEREST	14.
LATE PAYMENT PENALTY	21.
SECTION 6651 LATE FILING PENALTY	28.
SECTION 6652 LATE FILING PENALTY	2,400.
TOTAL AMOUNT DUE	3,091.

FORM 990-PF LATE PAYMENT PENALTY STATEMENT 10

DESCRIPTION	DATE	AMOUNT	BALANCE	MONTHS	PENALTY
TAX DUE	05/15/16	614.	614.	7	21.
DATE FILED	12/09/16		614.		
TOTAL LATE PAYMENT PENALTY					21.

FORM 990-PF LATE PAYMENT INTEREST STATEMENT 11

DESCRIPTION	DATE	AMOUNT	BALANCE	RATE	DAYS	INTEREST
TAX DUE	05/15/16	614.	614.	.0400	184	12.
LATE FILING PENALTY	11/15/16	28.	654.	.0400	24	2.
DATE FILED	12/09/16		656.			
TOTAL LATE PAYMENT INTEREST						14.

2015 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	COMPUTER	100804	SL	5.00	16	2,595.			2,595.	2,595.		0.
2	COMPUTERS	081211	SL	5.00	16	4,150.			4,150.	2,836.		830.
	* TOTAL 990-PF PG 1 DEPR					6,745.		0.	6,745.	5,431.	0.	830.

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds (continued)								
ALLIANCE BERNSTEIN HIGH INCOME FUND (continued)								
Total Covered	17,277.922		166,250.41		154,637.40	-11,613.01	11,433.63	
Total	17,277.922		\$166,250.41		\$154,637.40	-\$11,613.01	\$11,433.63	
ALLIANCE BERNSTEIN HIGH INCOME FUND								
ADVISOR CLASS Security Identifier: AGDYX								
Open End Fund CUSIP: 01859M408								
Dividend Option Reinvest; Capital Gains Option Reinvest								
06/06/14	7,301.392	9.6500	70,458.43	8.9600	65,420.48	-5,037.95	5,037.40	7.70%
Reinvestments to Date	379.901	9.2860	3,527.72	8.9600	3,403.91	-123.81	262.10	7.70%
Total Covered	7,681.293		73,986.15		68,824.39	-5,161.76	5,299.50	
Total	7,681.293		\$73,986.15		\$68,824.39	-\$5,161.76	\$5,299.50	
FIDELITY MEGA CAP STOCK FUND								
Open End Fund Security Identifier: FGRTX								
Dividend Option Reinvest; Capital Gains Option Reinvest CUSIP: 31617F403								
06/06/14	8,624.043	16.3400	140,916.86	16.5000	142,296.71	1,379.85	1,793.80	1.26%
Reinvestments to Date	375.097	15.8330	5,939.03	16.5000	6,189.10	250.07	78.02	1.26%
Total Covered	8,999.140		146,855.89		148,485.81	1,629.92	1,871.82	
Total	8,999.140		\$146,855.89		\$148,485.81	\$1,629.92	\$1,871.82	
HENDERSON EUROPEAN FOCUS FUND CLASS A								
Open End Fund Security Identifier: HFEAX								
Dividend Option Reinvest; Capital Gains Option Reinvest CUSIP: 425067709								
06/06/14	4,694.725	37.5200	176,146.07	32.9600	154,738.14	-21,407.93	340.97	0.22%
Reinvestments to Date	74.297	33.3100	2,474.82	32.9600	2,448.83	-25.99	5.40	0.22%
Total Covered	4,769.022		178,620.89		157,186.97	-21,433.92	346.37	
Total	4,769.022		\$178,620.89		\$157,186.97	-\$21,433.92	\$346.37	
JOHN HANCOCK DISCIPLINED VALUE								
MID CAP FUND CLASS A Security Identifier: JVMAX								
Open End Fund CUSIP: 47803W505								
Dividend Option Reinvest; Capital Gains Option Reinvest								
06/06/14	6,593.703	18.7000	123,302.25	19.3400	127,522.22	4,219.97	359.62	0.28%
Reinvestments to Date	180.071	18.4800	3,327.70	19.3400	3,482.57	154.87	9.82	0.28%
Total Covered	6,773.774		126,629.95		131,004.79	4,374.84	369.44	
Total	6,773.774		\$126,629.95		\$131,004.79	\$4,374.84	\$369.44	





Voya Financial Advisors, Inc. (member SIPC)
909 Locust Street Des Moines, IA 50309 800.356.2906

Brokerage Account Statement

Statement Period: 12/01/2014 - 12/31/2014

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds (continued)								
JP MORGAN SMALL CAP VALUE FUND CLASS A				Security Identifier: PSOAX				
Open End Fund				CUSIP 4812C1769				
Dividend Option	Reinvest	Capital Gains Option	Reinvest					
06/06/14	3,854.400	27.4200	105,687.64	26.6000	102,527.04	-3,160.60	458.60	0.44%
Reinvestments to Date	222.003	25.4520	5,650.48	26.6000	5,905.28	254.80	26.41	0.44%
Total Covered	4,076.403		111,338.12		108,432.32	-2,905.80	485.01	
Total	4,076.403		\$111,338.12		\$108,432.32	-\$2,905.80	\$485.01	
OPPENHEIMER INTL SMALL COMPANY FUND CLASS Y				Security Identifier: OSMYX				
Open End Fund				CUSIP 68380U506				
Dividend Option	Reinvest	Capital Gains Option	Reinvest					
06/06/14	10,879.610	34.0000	369,906.75	32.1900	350,214.65	-19,692.10	2,528.96	0.72%
Reinvestments to Date	79.080	31.9800	2,528.97	32.1900	2,545.58	16.61	18.38	0.72%
Total Covered	10,958.690		372,435.72		352,760.23	-19,675.49	2,547.34	
Total	10,958.690		\$372,435.72		\$352,760.23	-\$19,675.49	\$2,547.34	
PNC SMALL CAP FUND CLASS I				Security Identifier: PPCIX				
Open End Fund				CUSIP 69351J322				
Dividend Option	Reinvest	Capital Gains Option	Reinvest					
06/06/14	4,214.021	20.9000	88,073.04	21.0800	88,831.56	758.52	62.79	0.07%
Reinvestments to Date	86.870	20.9700	1,821.68	21.0800	1,831.22	9.54	1.29	0.07%
Total Covered	4,300.891		89,894.72		90,662.78	768.06	64.08	
Total	4,300.891		\$89,894.72		\$90,662.78	\$768.06	\$64.08	
T ROWE PRICE BLUE CHIP GROWTH				Security Identifier: TRBCX				
Open End Fund				CUSIP 77954Q106				
Dividend Option	Reinvest	Capital Gains Option	Reinvest					
06/06/14	2,654.401	66.3600	176,146.07	67.2700	178,561.56	2,415.49	2.65	
Reinvestments to Date	131.072	66.0400	8,656.00	67.2700	8,817.21	161.21	0.13	

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PAR-02-ROLL

Account Number QPE-003113
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Pershing LLC, member FINRA, NYSE, SIPC

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds (continued)								
T ROWE PRICE BLUE CHIP GROWTH (continued)								
Total Covered	2,785,473		184,802.07		187,378.77	2,576.70		2.78
Total	2,785,473		\$184,802.07		\$187,378.77	\$2,576.70		\$2.78
VALUE LINE SMALL CAP OPPORTUNITIES FUND								
Security Identifier: VLEOX CUSIP: 920454105								
Open End Fund								
Dividend Option Reinvest; Capital Gains Option: Reinvest								
06/06/14	2,205,502	47.9200	105,687.64	47.1900	104,077.64	-1,610.00		
Reinvestments to Date	141,952	46.8100	6,644.76	47.1900	6,698.71	53.95		
Total Covered	2,347,454		112,332.40		110,776.35	-1,556.05		
Total	2,347,454		\$112,332.40		\$110,776.35	-\$1,556.05		\$0.00
VANGUARD EQUITY INCOME FUND								
Security Identifier: VEIPX CUSIP: 921921102								
Open End Fund								
Dividend Option Reinvest; Capital Gains Option: Reinvest								
06/06/14	6,149,181	31.5100	193,760.68	31.2100	191,915.94	-1,844.74	5,159.16	2.68%
Reinvestments to Date	327,682	30.8550	10,110.79	31.2100	10,226.95	116.16	274.92	2.68%
Total Covered	6,476,863		203,871.47		202,142.89	-1,728.58	5,434.08	
Total	6,476,863		\$203,871.47		\$202,142.89	-\$1,728.58	\$5,434.08	
VANGUARD STRATEGIC EQUITY FUND								
Security Identifier: VSEQX CUSIP: 922038104								
Open End Fund								
Dividend Option Reinvest; Capital Gains Option: Reinvest								
06/06/14	1,629,473	32.4300	52,843.82	32.1800	52,436.44	-407.38	576.83	1.10%
Reinvestments to Date	97,414	31.3300	3,052.00	32.1800	3,134.78	82.78	34.48	1.10%
Total Covered	1,726,887		55,895.82		55,571.22	-324.60	611.31	
Total	1,726,887		\$55,895.82		\$55,571.22	-\$324.60	\$611.31	
Total Mutual Funds			\$1,822,913.61		\$1,767,863.92	-\$55,049.69	\$28,465.36	

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 33.00% of Portfolio								
ISHARES TR S&P 500 GROWTH ETF								
Security Identifier: IVW CUSIP: 464287309								
Dividend Option: Cash; Capital Gains Option: Cash								
07/25/14	101,000	106.2100	10,727.21	111.6000	11,271.60	544.39	154.01	1.36%
07/25/14	100,000	106.2000	10,620.00	111.6000	11,160.00	540.00	152.48	1.36%
07/25/14	620,000	106.2800	65,844.00	111.6000	69,192.00	3,348.00	945.39	1.36%
11/07/14	200,000	110.2100	22,041.98	111.6000	22,320.00	278.02	304.96	1.36%
11/07/14	319,000	110.2050	35,155.24	111.6000	35,600.40	445.16	486.42	1.36%

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PAR 02-ROLL

Account Number QPE-003113
THE FRANCIS R & RUTH E OBERREICH

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Voluntary
Sales



Voya Financial Advisors, Inc. (member SIPC)
909 Locust Street Des Moines, IA 50309 800.356.2906

Brokerage Account Statement

Statement Period: 12/01/2014 - 12/31/2014

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products (continued)								
ISHARES TR S&P 500 GROWTH ETF (continued)								
12/02/14	271.000	112.7660	30,559.61	111.6000	30,243.60	-316.01	413.23	1.36%
Total Covered	1,611.000		174,948.04		179,787.60	4,839.56	2,456.49	
Total	1,611.000		\$174,948.04		\$179,787.60	\$4,839.56	\$2,456.49	
ISHARES TR MSCI EUROPE FINL SECTOR								
ETF								
Security Identifier: EUFN								
CUSIP 464289180								
Dividend Option: Cash, Capital Gains Option: Cash								
09/12/14	1,104.000	24.2580	26,780.83	22.0200	24,310.08	-2,470.75	813.96	3.34%
SPDR S&P 500 ETF TR UNIT								
Security Identifier: SPY								
CUSIP 78462F103								
Dividend Option: Cash, Capital Gains Option: Cash								
05/30/14	927.000	192.4950	188,067.62	205.5400	200,812.58	12,744.96	3,747.19	1.86%
05/30/14	5.000	192.4900	962.45	205.5400	1,027.70	65.25	19.18	1.85%
07/02/14	43.000	197.1200	8,476.16	205.5400	8,838.22	362.06	164.92	1.86%
Total Covered	1,025.000		197,506.23		210,678.50	13,172.27	3,931.29	
Total	1,025.000		\$197,506.23		\$210,678.50	\$13,172.27	\$3,931.29	
SPDR SER TR S&P BK ETF								
Security Identifier: KBE								
CUSIP 78464A797								
Dividend Option: Cash, Capital Gains Option: Cash								
07/02/14	500.000	33.4490	20,069.64	33.5500	20,130.00	60.36	319.72	1.58%
07/02/14	226.000	33.4450	7,558.68	33.5500	7,582.30	23.62	120.43	1.58%
09/12/14	300.000	33.3100	9,993.00	33.5500	10,065.00	72.00	159.86	1.58%
09/12/14	213.000	33.3050	7,093.97	33.5500	7,146.15	52.18	113.49	1.58%
Total Covered	1,339.000		44,715.29		44,923.45	208.16	713.50	
Total	1,339.000		\$44,715.29		\$44,923.45	\$208.16	\$713.50	
SELECT SECTOR SPDR TR HEALTH CARE								
Security Identifier: XLV								
CUSIP 81369Y209								
Dividend Option: Cash, Capital Gains Option: Cash								
09/12/14	699.000	63.8450	44,627.66	68.3800	47,797.62	3,169.96	644.03	1.34%
VANGUARD INDEX FDS VANGUARD VALUE ETF								
Security Identifier: VTV								
CUSIP 922908744								
Dividend Option: Cash, Capital Gains Option: Cash								
12/19/14	100.000	85.3700	8,537.00	84.4900	8,449.00	-88.00	187.30	2.21%
12/19/14	3,421.000	85.3700	292,050.77	84.4900	289,040.29	-3,010.48	6,407.53	2.21%

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PAR-02-ROLL

Account Number QPE-003113
THE FRANCIS R & RUTH E OBERREICH

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1. *Phragmites* spp. (Poaceae) (100%)

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2014, or later, as determined by the Secretary of the Treasury

Pricing
This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE

Estimated Annual Figures
The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable, however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position

Client Service Information

Your Registered Representative: 44G	Contact Information	Client Service Information
ROGER ZULEGER 311 LEDGEWOOD DR FOND DU LAC WI 54937-8196	Telephone Number: (920) 251-1117	Web Site: WWW.VOYA.COM

Your Account Information

Tax Lot Default Disposition Method Default Method for Mutual Funds: FIRST IN FIRST OUT Default Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT Default Method for all Other Securities: FIRST IN FIRST OUT
Bond Amortization Elections: Amortize premium on taxable bonds based on Constant Yield Method: Yes Accrual market discount method for all other bond types: Constant Yield Method Include market discount in income annually: No

Electronic Delivery
You have not selected any account communications for electronic delivery. To register and turn off paper communications, log in to your account or contact your Registered Representative for more information.

Portfolio Holdings

Opening Date	Quantity	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and Bank Deposits 2.00% of Portfolio									
Cash Balance				491.70	579.59				
Money Market									
FEDERATED CAPITAL RESERVES									
12/01/15	51,734.050	0002531082	12/31/15	31,049.98	51,734.05	0.00	0.29	0.00%	0.01%
Total Money Market				\$31,049.98	\$51,734.05	\$0.00	\$0.29		
Total Cash, Money Funds, and Bank Deposits				\$31,541.68	\$52,313.64	\$0.00	\$0.29		
Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield	
Mutual Funds 92.00% of Portfolio									
HORIZON ACTIVE ASSET ALLOCATION									
FUND CLASS N									
Open End Fund									
Dividend Option: Cash, Capital Gains Option: Cash									
10/01/15	55,558.664	11.1100	617,256.76	11.2800	626,701.73	9,444.97	11,133.96	1.77%	
11/10/15	21.477	11.9700	257.08	11.2800	242.26	-14.82	4.30	1.77%	
11/17/15	38.899	11.8100	459.40	11.2800	438.78	-20.62	7.80	1.77%	

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PAR-02 ROLL

Account Number QPE-003113
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Voya Financial Advisors, Inc. (member SIPC)
909 Locust Street Des Moines, IA 50309 800.356.2906

Brokerage Account Statement

Statement Period: 12/01/2015 - 12/31/2015

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds (continued)								
HORIZON ACTIVE ASSET ALLOCATION (continued)								
11/30/15	85,202	11.9900	1,021.57	11.2800	961.08	-60.49	17.07	1.77%
Total Covered	55,704.242		618,994.81		628,343.85	9,349.04	11,163.13	
Total	55,704.242		\$618,994.81		\$628,343.85	\$9,349.04	\$11,163.13	
AB HIGH INCOME FUND								
CLASS A								
Open-End Fund								
Dividend Option: Reinvest, Capital Gains Option: Reinvest								
06/06/14	16,445.172	9.6400	158,531.46	8.0200	131,890.28	-26,641.18	8,321.48	6.30%
04/24/15	1,011.662	9.0400	9,145.42	8.0200	8,113.53	-1,031.89	511.91	6.30%
Reinvestments to Date	2,099.596	8.8970	18,681.00	8.0200	16,838.76	-1,842.24	1,062.43	6.30%
Total Covered	19,556.430		186,357.88		156,842.57	-29,515.31	9,895.82	
Total	19,556.430		\$186,357.88		\$156,842.57	-\$29,515.31	\$9,895.82	
AB HIGH INCOME FUND								
ADVISOR CLASS								
Open-End Fund								
Dividend Option: Reinvest, Capital Gains Option: Reinvest								
06/06/14	7,301.392	9.6500	70,458.43	8.0300	58,630.18	-11,828.25	3,831.40	6.53%
04/24/15	430.772	9.0500	3,898.49	8.0300	3,459.10	-439.39	226.05	6.53%
Reinvestments to Date	967.011	8.9110	8,616.68	8.0300	7,765.10	-851.58	507.44	6.53%
Total Covered	8,699.175		82,973.60		69,854.38	-13,119.22	4,564.89	
Total	8,699.175		\$82,973.60		\$69,854.38	-\$13,119.22	\$4,564.89	
FIDELITY MEGA CAP STOCK FUND								
Open-End Fund								
Dividend Option: Reinvest, Capital Gains Option: Reinvest								
06/06/14	8,411.423	16.3400	137,442.65	15.6200	131,386.43	-6,056.22	2,069.21	1.57%
Reinvestments to Date	736.924	15.8830	11,704.82	15.6200	11,510.75	-194.07	181.28	1.57%
Total Covered	9,148.347		149,147.47		142,897.18	-6,250.29	2,250.49	
Total	9,148.347		\$149,147.47		\$142,897.18	-\$6,250.29	\$2,250.49	

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PAR-02 ROLL

Account Number: QPE-003113
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1997, 1998, 1999, 2000, 2001, 2002, 2003, 2004, 2005, 2006, 2007, 2008, 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, 2019, 2020, 2021, 2022, 2023, 2024, 2025, 2026, 2027, 2028, 2029, 2030, 2031, 2032, 2033, 2034, 2035, 2036, 2037, 2038, 2039, 2040, 2041, 2042, 2043, 2044, 2045, 2046, 2047, 2048, 2049, 2050, 2051, 2052, 2053, 2054, 2055, 2056, 2057, 2058, 2059, 2060, 2061, 2062, 2063, 2064, 2065, 2066, 2067, 2068, 2069, 2070, 2071, 2072, 2073, 2074, 2075, 2076, 2077, 2078, 2079, 2080, 2081, 2082, 2083, 2084, 2085, 2086, 2087, 2088, 2089, 2090, 2091, 2092, 2093, 2094, 2095, 2096, 2097, 2098, 2099, 2100, 2101, 2102, 2103, 2104, 2105, 2106, 2107, 2108, 2109, 2110, 2111, 2112, 2113, 2114, 2115, 2116, 2117, 2118, 2119, 2120, 2121, 2122, 2123, 2124, 2125, 2126, 2127, 2128, 2129, 2130, 2131, 2132, 2133, 2134, 2135, 2136, 2137, 2138, 2139, 2140, 2141, 2142, 2143, 2144, 2145, 2146, 2147, 2148, 2149, 2150, 2151, 2152, 2153, 2154, 2155, 2156, 2157, 2158, 2159, 2160, 2161, 2162, 2163, 2164, 2165, 2166, 2167, 2168, 2169, 2170, 2171, 2172, 2173, 2174, 2175, 2176, 2177, 2178, 2179, 2180, 2181, 2182, 2183, 2184, 2185, 2186, 2187, 2188, 2189, 2190, 2191, 2192, 2193, 2194, 2195, 2196, 2197, 2198, 2199, 2200, 2201, 2202, 2203, 2204, 2205, 2206, 2207, 2208, 2209, 2210, 2211, 2212, 2213, 2214, 2215, 2216, 2217, 2218, 2219, 2220, 2221, 2222, 2223, 2224, 2225, 2226, 2227, 2228, 2229, 2230, 2231, 2232, 2233, 2234, 2235, 2236, 2237, 2238, 2239, 2240, 2241, 2242, 2243, 2244, 2245, 2246, 2247, 2248, 2249, 2250, 2251, 2252, 2253, 2254, 2255, 2256, 2257, 2258, 2259, 2260, 2261, 2262, 2263, 2264, 2265, 2266, 2267, 2268, 2269, 2270, 2271, 2272, 2273, 2274, 2275, 2276, 2277, 2278, 2279, 2280, 2281, 2282, 2283, 2284, 2285, 2286, 2287, 2288, 2289, 2290, 2291, 2292, 2293, 2294, 2295, 2296, 2297, 2298, 2299, 2300, 2301, 2302, 2303, 2304, 2305, 2306, 2307, 2308, 2309, 2310, 2311, 2312, 2313, 2314, 2315, 2316, 2317, 2318, 2319, 2320, 2321, 2322, 2323, 2324, 2325, 2326, 2327, 2328, 2329, 2330, 2331, 2332, 2333, 2334, 2335, 2336, 2337, 2338, 2339, 2340, 2341, 2342, 2343, 2344, 2345, 2346, 2347, 2348, 2349, 2350, 2351, 2352, 2353, 2354, 2355, 2356, 2357, 2358, 2359, 2360, 2361, 2362, 2363, 2364, 2365, 2366, 2367, 2368, 2369, 2370, 2371, 2372, 2373, 2374, 2375, 2376, 2377, 2378, 2379, 2380, 2381, 2382, 2383, 2384, 2385, 2386, 2387, 2388, 2389, 2390, 2391, 2392, 2393, 2394, 2395, 2396, 2397, 2398, 2399, 2400, 2401, 2402, 2403, 2404, 2405, 2406, 2407, 2408, 2409, 2410, 2411, 2412, 2413, 2414, 2415, 2416, 2417, 2418, 2419, 2420, 2421, 2422, 2423, 2424, 2425, 2426, 2427, 2428, 2429, 2430, 2431, 2432, 2433, 2434, 2435, 2436, 2437, 2438, 2439, 2440, 2441, 2442, 2443, 2444, 2445, 2446, 2447, 2448, 2449, 2450, 2451, 2452, 2453, 2454, 2455, 2456, 2457, 2458, 2459, 2460, 2461, 2462, 2463, 2464, 2465, 2466, 2467, 2468, 2469, 2470, 2471, 2472, 2473, 2474, 2475, 2476, 2477, 2478, 2479, 2480, 2481, 2482, 2483, 2484, 2485, 2486, 2487, 2488, 2489, 2490, 2491, 2492, 2493, 2494, 2495, 2496, 2497, 2498, 2499, 2500, 2501, 2502, 2503, 2504, 2505, 2506, 2507, 2508, 2509, 2510, 2511, 2512, 2513, 2514, 2515, 2516, 2517, 2518, 2519, 2520, 2521, 2522, 2523, 2524, 2525, 2526, 2527, 2528, 2529, 2530, 2531, 2532, 2533, 2534, 2535, 2536, 2537, 2538, 2539, 2540, 2541, 2542, 2543, 2544, 2545, 2546, 2547, 2548, 2549, 2550, 2551, 2552, 2553, 2554, 2555, 2556, 2557, 2558, 2559, 2560, 2561, 2562, 2563, 2564, 2565, 2566, 2567, 2568, 2569, 2570, 2571, 2572, 2573, 2574, 2575, 2576, 2577, 2578, 2579, 2580, 2581, 2582, 2583, 2584, 2585, 2586, 2587, 2588, 2589, 2590, 2591, 2592, 2593, 2594, 2595, 2596, 2597, 2598, 2599, 2600, 2601, 2602, 2603, 2604, 2605, 2606, 2607, 2608, 2609, 2610, 2611, 2612, 2613, 2614, 2615, 2616, 2617, 2618, 2619, 2620, 2621, 2622, 2623, 2624, 2625, 2626, 2627, 2628, 2629, 2630, 2631, 2632, 2633, 2634, 2635, 2636, 2637, 2638, 2639, 2640, 2641, 2642, 2643, 2644, 2645, 2646, 2647, 2648, 2649, 2650, 2651, 2652, 2653, 2654, 2655, 2656, 2657, 2658, 2659, 2660, 2661, 2662, 2663, 2664, 2665, 2666, 2667, 2668, 2669, 2670, 2671, 2672, 2673, 2674, 2675, 2676, 2677, 2678, 26

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Voya Financial Advisors, Inc. (member SIPC)
909 Locust Street Des Moines, IA 50309 800.356.2905

Brokerage Account Statement

Statement Period: 12/01/2015 - 12/31/2015

Portfolio Holdings (continued):

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds (continued)								
PNC SMALL CAP FUND CLASS I (continued)								
Reinvestments to Date	137.214	21.2160	2,911.14	21.8200	2,994.01	82.87	4.23	0.14%
Total Covered	4,156.399		86,912.11		90,692.63	3,780.52	128.01	
Total	4,156.399		\$86,912.11		\$90,692.63	\$3,780.52	\$128.01	
T ROWE PRICE BLUE CHIP GROWTH								
			Security Identifier: TRBCX CUSIP 77954Q106					
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
06/06/14	2,436.709	66.3600	161,700.03	72.3800	176,369.00	14,668.97	2.43	
Reinvestments to Date	215.827	68.1840	14,715.96	72.3800	15,621.56	905.60	0.22	
Total Covered	2,652.536		176,415.99		191,990.56	15,574.57	2.65	
Total	2,652.536		\$176,415.99		\$191,990.56	\$15,574.57	\$2.65	
VALUE LINE SMALL CAP OPPORTUNITIES FUND								
			Security Identifier: VLEOX CUSIP 920454105					
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
06/06/14	2,122.363	47.9200	101,703.62	42.0000	89,139.25	-12,564.37		
Reinvestments to Date	471.052	43.4910	20,486.72	42.0000	19,784.18	-702.54		
Total Covered	2,593.415		122,190.34		108,923.43	-13,266.91		
Total	2,593.415		\$122,190.34		\$108,923.43	-\$13,266.91		\$0.00
VANGUARD EQUITY INCOME FUND								
			Security Identifier: VEIPX CUSIP 921921102					
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
06/06/14	6,121.020	31.5100	192,873.33	29.5600	180,937.35	-11,935.98	5,349.76	2.95%
Reinvestments to Date	740.523	30.3850	22,500.87	29.5600	21,889.86	-611.01	647.22	2.95%
Total Covered	6,861.543		215,374.20		202,827.21	-12,546.99	5,996.98	
Total	6,861.543		\$215,374.20		\$202,827.21	-\$12,546.99	\$5,996.98	

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PAR-02-ROLL

Account Number QPE-003113
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Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds (continued)								
VANGUARD STRATEGIC EQUITY FUND			Security Identifier: VSEQX					
Open End Fund			CUSIP 922038104					
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
06/06/14	1,541,714	32.4300	49,997.80	28.3000	43,630.51	-6,367.29	781.64	1.79%
Reinvestments to Date	295,892	29.5590	8,746.33	28.3000	8,373.74	-372.59	150.02	1.79%
Total Covered	1,837,606		58,744.13		52,004.25	-6,739.88	931.66	
Total	1,837,606		58,744.13		52,004.25	-6,739.88	931.66	
Total Mutual Funds			\$2,479,026.34		\$2,418,987.79	-\$60,038.55	\$39,467.52	
Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 6.00% of Portfolio								
FIRST TR LARGE CAP CORE ALPHADEX FD COM SHS			Security Identifier: FEX					
			CUSIP 33734K109					
Dividend Option: Cash; Capital Gains Option: Cash								
10/01/15	1,126,000	41.5590	46,795.30	43.2600	48,710.76	1,915.46	645.98	1.32%
FIRST TR LARGE CAP VALUE ALPHADEX FD COM SHS			Security Identifier: FTA					
			CUSIP 33735J101					
Dividend Option: Cash; Capital Gains Option: Cash								
10/01/15	1,035,000	37.4100	38,719.26	38.4400	39,785.40	1,066.14	816.71	2.05%
POWERSHARES EXCHANGE-TRADED FD TR DYNAMIC LARGE CAP GROWTH PORT			Security Identifier: PWB					
			CUSIP 73935X609					
Dividend Option: Cash; Capital Gains Option: Cash								
10/01/15	1,344,000	29.3600	39,459.84	31.2900	42,053.76	2,593.92	282.87	0.67%
SPDR INDEX SHS FDS MSCI ACWI EX-US ETF			Security Identifier: CWI					
			CUSIP 78463X848					
Dividend Option: Cash; Capital Gains Option: Cash								
10/01/15	1,043,000	30.4070	31,714.94	30.8000	32,124.40	409.46	842.91	2.62%
Total Exchange-Traded Products			\$156,689.34		\$162,674.32	\$5,984.98	\$2,588.47	
			Cost Basis		Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings			\$2,688,029.32		\$2,633,975.75	-\$54,053.57	\$0.00	\$41,056.28

Securities acquired before 2011 or in retirement accounts are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered" under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as non-retirement, US taxpayers securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

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