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For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation The Doering Family Foundation		A Employer identification number 39-1805495	
% ROBERT E DOERING		B Telephone number (see instructions) (925) 672-9404	
Number and street (or P O box number if mail is not delivered to street address) 622 Julpun Loop		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code Clayton, CA 94517			
G Check all that apply		C If exemption application is pending, check here	
☐ Initial return		☐ Initial return of a former public charity	
☐ Final return		☐ Amended return	
☐ Address change		☐ Name change	
H Check type of organization		D 1. Foreign organizations, check here	
☒ Section 501(c)(3) exempt private foundation		2. Foreign organizations meeting the 85% test, check here and attach computation	
☐ Section 4947(a)(1) nonexempt charitable trust		E If private foundation status was terminated under section 507(b)(1)(A), check here	
☐ Other taxable private foundation		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,595,674		J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>			Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0			
	2	Check ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	38,895	38,895		
	5a	Gross rents				
	b	Net rental income or (loss) _____				
	6a	Net gain or (loss) from sale of assets not on line 10	52,027			
	b	Gross sales price for all assets on line 6a 909,579				
	7	Capital gain net income (from Part IV, line 2) . . .		52,027		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
Operating and Administrative Expenses	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	Total. Add lines 1 through 11	90,922	90,922		
	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule).	294	118	0	176
	b	Accounting fees (attach schedule).	16,950	6,780	0	10,170
	c	Other professional fees (attach schedule)	12,496	12,496		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	715			
	19	Depreciation (attach schedule) and depletion . . .				
	20	Occupancy				
	21	Travel, conferences, and meetings.				
	22	Printing and publications				
	23	Other expenses (attach schedule).				
	24	Total operating and administrative expenses. Add lines 13 through 23	30,455	19,394	0	10,346
	25	Contributions, gifts, grants paid	90,000			90,000
	26	Total expenses and disbursements. Add lines 24 and 25	120,455	19,394	0	100,346
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	-29,533			
	b	Net investment income (if negative, enter -0-)		71,528		
	c	Adjusted net income (if negative, enter -0-) . . .				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	23,324	15,028	15,028
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	3,224	2,509	2,509
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,065,512	994,623	994,623
	c	Investments—corporate bonds (attach schedule)	621,532	580,625	580,625
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans.			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	☒ 3,735	☒ 2,889	☒ 2,889	
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	1,717,327	1,595,674	1,595,674	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities(add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,717,327	1,595,674	
	30	Total net assets or fund balances(see instructions)	1,717,327	1,595,674	
	31	Total liabilities and net assets/fund balances(see instructions)	1,717,327	1,595,674	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	1,717,327
2	Enter amount from Part I, line 27a	2	-29,533
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	1,687,794
5	Decreases not included in line 2 (itemize) ▶ _____	5	92,120
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,595,674

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	52,027
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	99,197	1,774,732	0 055894
2013	97,682	1,718,746	0 056833
2012	92,217	1,635,134	0 056397
2011	85,156	1,669,030	0 051021
2010	90,372	1,635,622	0 055252

2	Total of line 1, column (d).	2	0 275397
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 055079
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	1,690,590
5	Multiply line 4 by line 3.	5	93,116
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	715
7	Add lines 5 and 6.	7	93,831
8	Enter qualifying distributions from Part XII, line 4.	8	100,346

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter _____
(attach copy of letter if necessary—see instructions)

b

Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c

All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2

3

Add lines 1 and 2.

3

715

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

4

5

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

5

715

6

Credits/Payments

a

2015 estimated tax payments and 2014 overpayment credited to 2015

6a

3,224

b

Exempt foreign organizations—tax withheld at source

6b

c

Tax paid with application for extension of time to file (Form 8868).

6c

d

Backup withholding erroneously withheld

6d

7

Total credits and payments. Add lines 6a through 6d.

7

3,224

8

Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.

8

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter **amount owed**

9

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**

10

2,509

11

Enter the amount of line 10 to be **Credited to 2015 estimated tax** ☒ 2,509 **Refunded** ☒

11

Part VII-A

Statements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☒

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1b

No

c

Did the foundation file **Form 1120-POL** for this year?

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities.

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes.* ☒

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

No

b

If "Yes," has it filed a tax return on **Form 990-T** for this year?

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T. ☒

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6

Yes

7

Did the foundation have at least \$5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions):
☒ WI

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation.*

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)?
If "Yes," complete Part XIV.

9

No

10

Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*

10

No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶N/A	13	Yes	
14	The books are in care of ▶ROBERT E DOERING Telephone no ▶(925) 672-9404 Located at ▶622 JULDUN LOOP Clayton CA ZIP+4 ▶94517			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.</i>) ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A) ? (see instructions).

☐ Yes

☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes

☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Robert E Doering 622 Julpun Loop Clayton, CA 94517	President, Treasurer,Director 2 0	0	0	0
Margaret P Doering 622 Julpun Loop Clayton, CA 94517	Vice Pres Secretary, Director 2 0	0	0	0
Rick R Doering 189 Oldcastle Lane Alameda, CA 94502	Director 1 0	0	0	0
Jayne D Sungail 3349 Oak Knoll Redwood City, CA 94062	Director 1 0	0	0	0

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances

Total

number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X

Minimum Investment Return
(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,675,807
b	Average of monthly cash balances.	1b	37,216
c	Fair market value of all other assets (see instructions).	1c	3,312
d	Total (add lines 1a, b, and c).	1d	1,716,335
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,716,335
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	25,745
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,690,590
6	Minimum investment return. Enter 5% of line 5.	6	84,530

Part XI

Distributable Amount
(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	84,530
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	715
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	715
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	83,815
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	83,815
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	83,815

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	100,346
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	100,346
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	715
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	99,631
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				83,815
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			17,317	
b Total for prior years 2013 , 2012 , 2011				
3 Excess distributions carryover, if any, to 2015				
a From 2010.				
b From 2011.				
c From 2012.				
d From 2013.				
e From 2014.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 100,346				
a Applied to 2014, but not more than line 2a			17,317	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2015 distributable amount.				83,029
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				786
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2011.				
b Excess from 2012.				
c Excess from 2013.				
d Excess from 2014.				
e Excess from 2015.	0			

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section 170(c)(2)(B)(i) or 170(c)(2)(B)(ii): ☐ 170(c)(2)(B)(i) or ☐ 170(c)(2)(B)(ii)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ROBERT E DOERING

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

THE DOERING FAMILY FOUNDATION
622 Julpun Loop
Clayton, CA 94517
(925) 672-9404

b The form in which applications should be submitted and information and materials they should include

WRITTEN REQUEST PROVIDING INFORMATION ON ORGANIZATION AND USE OF FUNDS

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	90,000
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2015)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CLEARY GULL #1440 LT NONCOVERED SEE ATTACHED		2014-01-01	2015-12-31
CLEARY GULL #1440 ST COVERED SEE ATTACHED		2015-01-01	2015-12-31
CLEARY GULL #1440 LT COVERED SEE ATTACHED		2014-01-01	2015-12-31
CLEARY GULL #3164 LT NONCOVERED SEE ATTACHED		2014-01-01	2015-12-31
CLEARY GULL #3164 ST COVERED SEE ATTACHED		2015-01-01	2015-12-31
CLEARY GULL #3164 LT COVERED SEE ATTACHED		2014-01-01	2015-12-31
CLEARY GULL #01367 LT NONCOVERED		2014-01-01	2015-12-31
CLEARY GULL #01367 ST COVERED		2015-01-01	2015-12-31
CLEARY GULL #01367 LT COVERED		2014-01-01	2015-12-31
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
140,651		105,263	35,388
122,576		124,726	-2,101
195,341		205,938	-10,543
132,284		132,988	-704
104,232		107,026	-2,794
80,276		80,679	-403
16,575		11,760	4,815
24,527		23,811	716
71,958		65,464	6,494
			21,159

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			35,388
			-2,150
			-10,597
			-704
			-2,794
			-403
			4,815
			716
			6,494

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
John Muir Health Foundation 1400 Treat Blvd Third Floor Walnut creek,CA 94596	None	501C-3	General Purpose	10,000
The Salvation Army PO Box 60009 Prescott,AZ 863046009	NONE	501C-3	General Purpose	2,500
Jeanne McCue - Bosnia Relief POBox 17438 WhiteFish Bay,WI 53217	NONE	501C-3	General purpose	2,000
Guide Dogs of the Desert International PO Box 1692 Palm Spring,CA 92263	NONE	501C-3	General purpose	2,000
Smile Train POBox 96246 Washington,DC 200906246	NONE	501C-3	General purpose	2,000
FISH POBox 116 Coachella,CA 92236	NONB	501C-3	General purpose	2,500
ACMNP- A Christian Ministry in the National Parks 10 Justins Way Freeport,ME 04032	NONE	501C-3	General purpose	1,000
CROP - Wisconsin 4408 Winnequah Road Madison,WI 53717	NONE	501C-3	General purpose	1,000
Hass School of Business - UC Berkeley 545 Student Services Berkeley,CA 94720	NONE	501C-3	General purpose	3,000
Community Employment Services 1242 Market Street San Francisco,CA 94102	NONE	501C-3	General purpose	3,000
Building Futures with Women & Children 1395 Bancroft Avenue San Leandro,CA 94577	NONE	501C-3	General purpose	2,000
Wardrobe for O pportunity 570 14th Street Suite 5 Oakland,CA 94612	NONE	501C-3	General purpose	2,000
TransCen Inc Worklink 785 Market Street Ste 670 San Francisco,CA 94103	NONE	501C-3	General purpose	1,000
Cope Family Center 1340 4th Street Napa,CA 94559	NONE	501C-3	General purpose	2,000
Alameda County Community Food Center POBox 2599 Oakland,CA 94614	NONE	501C-3	General purpose	1,000
Total				90,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Alameda Meals on Wheels POBox 2534 Alameda, CA 94501	NONE	501C-3	General purpose	1,000
If Given a Chance POBox 2607 Napa, CA 94558	NONE	501C-3	General purpose	2,000
Child Advocates of Silicon Valley 115 E Gish Road Suite 252 San Jose, CA 95112	NONE	501C-3	General purpose	2,000
Second Harvest Food Bank - San Mateo County 750 Curtner Avenue San Jose, CA 95125	NONE	501C-3	General purpose	2,500
Job Train 1200 OBrien Drive Menlo Park, CA 94025	NONE	501C-3	General purpose	2,000
Chronicle Season of Sharing 901 Mission Street San Francisco, CA 94103	NONE	501C-3	General purpose	2,500
EPAK-East Palo Alto Kids Foundation POBox 50542 Palo Alto, CA 94303	NONE	501C-3	General purpose	2,500
Lucile Packard Children's Fund 400 Hamilton Avenue Suite 340 Palo Alto, CA 94301	NONE	501C-3	General purpose	1,500
Marine Science Institute 500 Discovery Parkway Redwood City, CA 94063	NONE	501C-3	General purpose	1,000
Redwood City Library Foundation 1044 Middlefield Road Redwood City, CA 94063	NONE	501C-3	General purpose	1,500
Pacific Skyline Council - BSA 1150 Chess Drive Foster City, CA 94404	NONE	501C-3	General purpose	1,000
Girls Scout of Northern California 1650 Harbor Bay Parkway Ste 100 Alameda, CA 94502	NONE	501C-3	General purpose	1,500
Family Connections PO Box 358 San Carloa, CA 94070	NONE	501C-3	General purpose	2,000
Sequoia HS Education Foundation 1201 Brewster Avenue Redwood City, CA 94062	NONE	501C-3	General purpose	3,000
Boys & Girls Club of Napa Valley 1515 Pueblo Street Napa, CA 94558	NONE	501C- 3	General purpose	2,000
Total  3a				90,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Community Resources for Children 3299 Claremont Way - Suite 1 Napa, CA 94558	NONE	501C-3	General purpose	2,000
Wounded Warrior Project 4899 Belfort Road - Ste 300 Jacksonville, FL 32256	NONE	501C-3	General purpose	3,000
CuriOdyssey at Coyote Point 1651 Coyote Point Drive San Mateo, CA 94401	NONE	501C-3	General purpose	1,000
My New Red Shoes 330 Twin Dolphin Drive Ste 135 Redwood City, CA 94065	none- 501(c)(3)		General Purpose	3,000
Redwood City Education Fund PO Box 3046 Redwood City, CA 94064	none- 501(c)(3)		General Purpose	1,000
Hospice of the East Bay 3470 Buskirk Ave Pleasant Hill, CA 94523	none- 501(c)(3)		General Purpose	1,500
Bay Area Rescue Mission PO Box 1112 Richmond, CA 94802	none- 501(c)(3)		General Purpose	2,500
CASA A Voice for Children 1804 Soscol Ave Suite 202 Napa, CA 94559	none- 501(c)(3)		General Purpose	2,000
Project Read 800 Alma Street Menlo Park, CA 94025	none- 501(c)(3)		General Purpose	2,000
People with Disabilities Succeeding 223 San Anselmo Ave Suite 1 San Anselmo, CA 94960	none- 501(c)(3)		general purpose	3,000
ParentsCan 1909 Jefferson Street Napa, CA 94559	none- 501(c)(3)		general purpose	2,000
NapaLearns 2121 Imola Avenue Napa, CA 94559	none- 501(c)(3)		General Purpose	2,000
Total			3a	90,000

TY 2015 Accounting Fees Schedule

Name: The Doering Family Foundation

EIN: 39-1805495

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	16,950	6,780		10,170

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Depreciation Schedule

Name: The Doering Family Foundation

EIN: 39-1805495

TY 2015 Legal Fees Schedule

Name: The Doering Family Foundation

EIN: 39-1805495

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	294	118		176

TY 2015 Other Assets Schedule

Name: The Doering Family Foundation

EIN: 39-1805495

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INTEREST RECEIVABLE	3,735	2,889	2,889

TY 2015 Other Decreases Schedule

Name: The Doering Family Foundation

EIN: 39-1805495

Description	Amount
UNREALIZED LOSS ON SECURITIES	92,120

TY 2015 Other Professional Fees Schedule

Name: The Doering Family Foundation

EIN: 39-1805495

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	12,496	12,496		

TY 2015 Taxes Schedule

Name: The Doering Family Foundation

EIN: 39-1805495

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	715			

THE DOERING FAMILY FOUNDATION
622 JULPUN LOOP

INVESTMENT ACCESS
ACCOUNT STATEMENT
WITH PRIVATE CLIENT GROUP
2015 ANNUAL STATEMENT

Account number:
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SCHEDULE OF REALIZED GAINS AND LOSSES

		THIS YEAR
Total Realized Gain or Loss		22,745.09
Short-term		-2,100.48
Long-term		24,845.57

QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Short Term							
10.019	CLEARBRIDGE DIVIDEND STRATEGY FUND CLASS I	SOPYX	10/01/14	197.28	06/18/15	206.12	8.84 V
9.262	CLEARBRIDGE DIVIDEND STRATEGY FUND CLASS I	SOPYX	12/24/14	190.54	06/18/15	190.54	0.00 V
7.542	CLEARBRIDGE DIVIDEND STRATEGY FUND CLASS I	SOPYX	04/01/15	153.93	06/18/15	155.16	1.23 V
970.403	CLEARBRIDGE DIVIDEND STRATEGY FUND CLASS I	SOPYX	04/21/15	19,963.70	06/18/15	19,963.70	0.00 V
872.093	FPA FDS TR FPA CRESCENT PORTFOLIO INSTL	FPACX	08/27/14	30,137.68	06/18/15	29,991.00	-146.68 V
6.914	FPA FDS TR FPA CRESCENT PORTFOLIO INSTL	FPACX	12/23/14	234.32	08/28/15	225.28	-9.04 V
63.485	FPA FDS TR FPA CRESCENT PORTFOLIO INSTL	FPACX	12/23/14	2,151.52	08/28/15	2,068.55	-82.97 V
1.185	FPA FDS TR FPA CRESCENT PORTFOLIO INSTL	FPACX	07/02/15	40.06	08/28/15	38.61	-1.45 V



**INVESTMENT ACCESS
ACCOUNT STATEMENT
WITH PRIVATE CLIENT GROUP
2015 ANNUAL STATEMENT**

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CLEARY/GULL

QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Short Term							
3.948	FPA FDS TR FPA CRESCENT PORTFOLIO INSTL	FPACX	07/02/15	133.52	08/28/15	128.64	-4.88 V
223.562	HEARTLAND GROUP INC SELECT VALUE FD INSTL CL	HNSVX	01/02/15	7,042.21	02/27/15	6,954.07	-88.14 V
15.330	HEARTLAND GROUP INC SELECT VALUE FD INSTL CL	HNSVX	01/02/15	482.91	02/27/15	476.85	-6.06 V
150.000	ISHARES RUSSELL 1000 ETF	IWB	08/28/15	16,665.17	11/16/15	17,024.70	359.53 V
189.078	OAKMARK INTERNATIONAL SMALL CAP FUND	OAKEX	12/22/14	2,790.79	03/25/15	3,115.50	324.71 V
54.031	OAKMARK INTERNATIONAL SMALL CAP FUND	OAKEX	12/22/14	797.50	03/25/15	890.29	92.79 V
1,377.410	PRINCIPAL FDS INC DIVERSIFIED REAL ASSET FD CL P	PRDPX	06/18/15	16,545.67	10/27/15	14,991.00	-1,554.67 V
120.000	VANGUARD INDEX FUNDS VANGUARD MID-CAP VALUE ETF	VOE	02/27/15	11,063.06	06/18/15	11,165.48	102.42 V
86.296	WILLIAM BLAIR MACRO ALLOCATION FUND CLASS I	WMCIX	01/28/15	1,078.11	10/27/15	1,023.72	-54.39 V
1,177.394	WILLIAM BLAIR MACRO ALLOCATION FUND CLASS I	WMCIX	06/18/15	15,009.00	10/27/15	13,967.28	-1,041.72 V
Short Term Subtotal							-2,100.48
Long Term							
2,692.308	ABN AMRO FDS ASTON LAKE PARTNERS LASSO ALT FD CL I	ALSOX	05/10/13	35,650.42	01/28/15	34,991.00	-659.42 V
1,185.496	ABN AMRO FDS ASTON LAKE PARTNERS LASSO ALT FD CL I	ALSOX	05/10/13	15,721.70	06/18/15	15,502.07	-219.63 V
1,138.088	ABN AMRO FDS ASTON LAKE PARTNERS LASSO ALT FD CL I	ALSOX	10/04/13	15,009.00	06/18/15	14,882.14	-126.86 V
189.895	ABN AMRO FDS ASTON LAKE PARTNERS LASSO ALT FD CL I	ALSOX	12/31/14	2,551.25	06/18/15	2,483.15	-68.10 V
14.472	ABN AMRO FDS ASTON LAKE PARTNERS LASSO ALT FD CL I	ALSOX	12/31/14	194.44	06/18/15	189.24	-5.20 V
217.546	CLEARBRIDGE DIVIDEND STRATEGY FUND CLASS I	SOPYX	01/12/11	2,865.60	06/18/15	4,475.48	1,609.88 V
6,993.007	CREDIT SUISSE COMMODITY RETURN STRATEGY FD INST CL	CRSOX	12/03/13	50,009.00	06/18/15	40,340.65	-9,668.35 V
1,117.195	FPA FDS TR FPA CRESCENT PORTFOLIO INSTL	FPACX	07/31/09	25,160.98	08/28/15	36,401.85	11,240.87 V

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802-01440
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QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Long Term							
140.932	FPA FDS TR	FPACX	08/27/14	4,870.45	08/28/15	4,592.02	-278.43 V
	FPA CRESCENT PORTFOLIO INSTL						
1,588.661	HEARTLAND GROUP INC	HNSVX	07/29/10	39,836.80	02/27/15	49,416.52	9,579.72 V
	SELECT VALUE FD INSTL CL						
24.488	HEARTLAND GROUP INC	HNSVX	07/29/10	614.05	02/27/15	761.72	147.67 V
	SELECT VALUE FD INSTL CL						
12.146	HEARTLAND GROUP INC	HNSVX	12/31/13	407.13	02/27/15	377.81	-29.32 V
	SELECT VALUE FD INSTL CL						
242.882	HEARTLAND GROUP INC	HNSVX	12/31/13	8,141.42	02/27/15	7,555.03	-586.39 V
	SELECT VALUE FD INSTL CL						
19.359	HEARTLAND GROUP INC	HNSVX	12/31/13	648.92	02/27/15	602.18	-46.74 V
	SELECT VALUE FD INSTL CL						
500.000	ISHARES MSCI ACWI EX US INDEX FUND	ACWX	02/01/13	21,768.45	07/14/15	22,328.64	560.19 V
400.000	ISHARES MSCI ACWI EX US INDEX FUND	ACWX	09/25/13	18,101.54	04/21/15	18,706.69	605.15 V
250.000	ISHARES MSCI ACWI EX US INDEX FUND	ACWX	09/25/13	11,313.46	07/14/15	11,164.32	-149.14 V
676.819	NATIONWIDE MUT FDS NEW GENEVA MID CAP GRWTH INSTL SER	NWHYX	09/25/13	19,833.77	06/18/15	19,991.00	157.23 V
3,004.576	OAKMARK INTERNATIONAL SMALL CAP FUND	OAKEX	09/02/10	36,721.67	03/25/15	49,507.35	12,785.68 V
0.810	OAKMARK INTERNATIONAL SMALL CAP FUND	OAKEX	12/19/11	9.61	03/25/15	13.35	3.74 V
4.562	OAKMARK INTERNATIONAL SMALL CAP FUND	OAKEX	12/19/11	54.10	03/25/15	75.17	21.07 V
99.224	OAKMARK INTERNATIONAL SMALL CAP FUND	OAKEX	12/23/13	1,663.00	03/25/15	1,634.95	-28.05 V
Long Term Subtotal							24,845.57
TOTAL REALIZED GAIN OR LOSS							22,745.09



PRIVATE CLIENT GROUP ACCOUNT STATEMENT 2015 ANNUAL STATEMENT



SCHEDULE OF REALIZED GAINS AND LOSSES

	THIS YEAR
Total Realized Gain or Loss	-3,901.59
Short-term	-2,794.19
Long-term	-1,107.40

QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Short Term							
19,000.000	CITIGROUP INC CONTRA CUSIP	17299ALV1	04/29/15	21,118.57	12/10/15	20,713.42	-405.15 V
3,646.322	DODGE & COX INCOME FUND	DODIX	05/02/14	50,508.05	03/25/15	50,820.73	312.68 V
494.000	RIVERNORTH FDS DOUBLELINE STRATEGIC INCOME FD CL I	RNSIX	03/25/15	5,390.55	11/13/15	5,128.60	-261.95 V
2,245.509	TEMPLETON GLOBAL BOND FUND ADVISOR CL	TGBAX	07/14/14	30,009.00	07/14/15	27,569.23	-2,439.77 V
Short Term Subtotal							-2,794.19

QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Long Term							
20,000.000	AMERICAN EXPRESS CR CORP	0258MODA4	01/27/11	19,743.00	09/02/15	19,994.80	251.80 V
30,000.000	AT&T INC	00206RAJ1	09/20/13	32,750.08	01/22/15	33,238.50	488.42 V
1,165.000	BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL	BSIX	06/10/13	11,814.07	09/02/15	11,641.00	-173.07 V
1,391.000	BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL	BSIX	06/10/13	14,105.89	11/13/15	13,831.45	-274.44 V
20,000.000	CITIGROUP INC SENIOR NOTES	172967FW6	03/04/14	21,048.57	04/29/15	21,026.20	-22.37 V
20,000.000	COSTCO WHSL CORP NEW SENIOR NOTES	22160KAC9	01/27/11	21,107.70	02/10/15	21,848.40	740.70 V
25,000.000	ROYAL BANK OF CANADA	7800857D2	03/04/14	25,390.75	05/18/15	25,500.25	109.50 V
215.856	TCW FDS INC TOTAL RETURN BOND FD CL I	TGLMX	04/25/14	2,195.46	09/02/15	2,215.68	20.22 V
1,622.000	TCW FDS INC TOTAL RETURN BOND FD CL I	TGLMX	04/25/14	16,497.30	11/13/15	16,584.06	86.76 V
1,459.144	TCW FDS INC TOTAL RETURN BOND FD CL I	TGLMX	05/29/14	15,009.00	09/02/15	14,977.57	-31.43 V
1,205.000	TEMPLETON GLOBAL BOND FUND ADVISOR CL	TGBAX	09/08/10	16,173.38	04/10/15	15,101.70	-1,071.68 V

**PRIVATE CLIENT GROUP
ACCOUNT STATEMENT
2015 ANNUAL STATEMENT**

Account number:
802-03164
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SCHEDULE OF REALIZED GAINS AND LOSSES

		THIS YEAR
Total Realized Gain or Loss		12,024.51
Short-term		682.09
Long-term		11,342.42

QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Short Term							
80.000	BROADCOM CORP CL A	BRCM	07/31/14	3,010.86	05/28/15	4,468.83	1,457.97 V
40.000	BROADCOM CORP CL A	BRCM	10/22/14	1,574.76	05/28/15	2,234.42	659.66 V
166.000	CABLEVISION SYSTEMS CORP-CL A CABLEVISION NY GROUP COM	CVC	05/21/15	4,064.33	09/21/15	5,487.65	1,423.32 V
155.000	CABLEVISION SYSTEMS CORP-CL A CABLEVISION NY GROUP COM	CVC	05/26/15	4,097.02	09/21/15	5,124.02	1,027.00 V
56.000	TEVA PHARMACEUTICAL INDUSTRIES LIMITED ADR	TEVA	02/05/15	3,224.94	11/02/15	3,375.71	150.77 V



PRIVATE CLIENT GROUP ACCOUNT STATEMENT 2015 ANNUAL STATEMENT

QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Short Term							
342.000	WEATHERFORD INTERNATIONAL PLC USD0.001	WFT	07/02/14	7,872.84	02/04/15	3,836.21	-4,036.63 V
Short Term Subtotal							
							682.09
Long Term							
100.000	ALLSTATE CORP	ALL	05/08/12	3,451.78	05/11/15	6,682.06	3,230.28 V
146.000	BROADCOM CORP CL A	BRCM	07/31/14	5,474.38	11/16/15	7,611.27	2,136.89 V
65.000	BUNGE LTD	BG	11/13/13	5,371.07	02/13/15	5,286.13	-84.94 V
230.000	CHESAPEAKE ENERGY CORP	CHK	12/20/13	5,911.08	07/13/15	2,491.11	-3,419.97 V
82.000	CHESAPEAKE ENERGY CORP	CHK	05/27/14	2,196.85	07/13/15	888.14	-1,308.71 V
106.000	ELECTRONIC ARTS INC	EA	09/17/13	2,940.69	08/03/15	7,448.02	4,507.33 V
295.000	EMC CORP-MASS	EMC	07/23/10	5,964.46	05/19/15	7,942.32	1,977.86 V
45.000	EMC CORP-MASS	EMC	09/12/13	1,230.77	05/19/15	1,211.54	-19.23 V
99.000	ENDO INTERNATIONAL PLC	ENDP	06/03/13	7,722.99	11/05/15	5,176.17	-2,546.82 V
42.000	FOUR CORNERS PROPERTY TRUST INC COM	FCPT	11/13/14	752.71	11/18/15	792.34	39.63 V
56.000	F5 NETWORKS INC	FFIV	02/12/14	6,265.28	11/02/15	6,149.36	-115.92 V
33.000	F5 NETWORKS INC	FFIV	05/27/14	3,642.08	11/02/15	3,623.73	-18.35 V
110.000	GANNETT CO INC COM	GCI	07/02/13	1,029.70	08/06/15	1,313.19	283.49 V
36.000	GANNETT CO INC COM	GCI	02/25/14	391.67	08/06/15	429.77	38.10 V
0.041	GOOGLE INC CL C	38259P706	04/12/05	3.98	05/04/15	22.00	18.02
58.000	MALLINCKRODT PLC	MNK	12/16/13	3,051.54	11/02/15	3,952.78	901.24 V
27.000	MALLINCKRODT PLC	MNK	08/18/14	1,983.92	11/02/15	1,840.08	-143.84 V
55.000	QUALCOMM INC	QCOM	07/27/10	2,181.11	02/09/15	3,700.09	1,518.98 V
73.000	QUALCOMM INC	QCOM	11/08/10	3,516.34	02/09/15	4,911.03	1,394.69 V
240.000	QUANTA SERVICES INC	PWR	12/05/12	6,257.45	05/04/15	6,749.18	491.73 V
40.000	QUANTA SERVICES INC	PWR	02/25/14	1,401.55	05/04/15	1,124.86	-276.69 V
31.000	SKYWORKS SOLUTIONS INC	SWKS	01/22/14	983.54	05/11/15	2,997.75	2,014.21 V
13.000	TEVA PHARMACEUTICAL INDUSTRIES LIMITED ADR	TEVA	09/08/14	684.73	11/02/15	783.65	98.92 V

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QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Long Term							
152.000	XL GROUP PLC	XL	06/04/13	4,781.35	01/13/15	5,406.87	625.52 V
Long Term Subtotal							11,342.42
TOTAL REALIZED GAIN OR LOSS							12,024.51