Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning , 2015, and ending ,

Name of foundation
MELVIN R BAUM PRIVATE FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
866 N MAIN STREET

City or town, state or province country, and ZIP or foreign postal code
MORTON IL 61550

A Employer identification number
37-1379627

B Telephone number (see instructions)
(309) 263-0808

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (*from Part II, column (c), line 16*) ► $ 4,348,855.

J Accounting method [X] Cash ☐ Accrual ☐ Other (specify)
(*Part I, column (d) must be on cash basis.*)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (attach schedule)	1,853,122.			
	2 Ck ► ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	7,792.	7,792.		
	4 Dividends and interest from securities	104,897.	104,897.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain			0.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total** Add lines 1 through 11	1,965,811.	112,689.	0.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)				
	c Other prof. fees (attach sch) L-16c Stmt	31,558.	31,558.		
	17 Interest	706.	706.		
	18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	3,976.			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 **Total operating and administrative expenses** Add lines 13 through 23	36,240.	32,264.		
	25 Contributions, gifts, grants paid	183,025.			183,025.
	26 **Total expenses and disbursements.** Add lines 24 and 25	219,265.	32,264.		183,025.
	27 Subtract line 26 from line 12.				
	a **Excess of revenue over expenses and disbursements**	1,746,546.			
	b **Net investment income** (if negative, enter -0-)		80,425.		
	c **Adjusted net income** (if negative, enter -0-)			0.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	2,111.	61,194.	61,194.
	3 Accounts receivable ►			
	Less. allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less. allowance for doubtful accounts ►	200,000.	150,000.	150,000.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule)	2,384,729.	3,693,829.	4,037,661.
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment. basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) L-13 Stmt	100,000.	100,000.	100,000.
	14 Land, buildings, and equipment: basis ►			
	Less accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ►)			
	16 **Total assets** (to be completed by all filers – see the instructions. Also, see page 1, item I)	2,686,840.	4,005,023.	4,348,855.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)	148,200.		
	23 **Total liabilities** (add lines 17 through 22)	148,200.		
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► [ ] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	2,538,640.	4,005,023.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	2,538,640.	4,005,023.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	2,686,840.	4,005,023.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,538,640.
2	Enter amount from Part I, line 27a	2	1,746,546.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	4,285,186.
5	Decreases not included in line 2 (itemize) ► See Other Decreases Stmt	5	280,163.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	4,005,023.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo , day yr)	(d) Date sold (mo day, yr)
1a	294 SH ADVISORS INNER CIRCLE	P	11/18/15	12/09/15
b	79 SH AGRIUM INC	P	05/22/15	12/09/15
c	180 SH BAXALTA INC	P	03/06/15	12/09/15
d	262 SH BLAIR WILLIAMS FUNDS	P	07/20/15	12/09/15
e	See Columns (a) thru (d)			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	6,735.		7,794.	-1,059.
b	7,578.		8,600.	-1,022.
c	6,665.		5,484.	1,181.
d	6,757.		7,304.	-547.
e	See Columns (e) thru (h)		317,887.	-12,836.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				-1,059.
b				-1,022.
c				1,181.
d				-547.
e	See Columns (i) thru (l)			-12,836.

2	Capital gain net income or (net capital loss) . . . { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } . . .	2	-14,283.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . .	3	-8,764.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col (c))
2014	211,887.	0.	0.000000
2013	247,426.	0.	0.000000
2012	144,285.	0.	0.000000
2011	131,750.	0.	0.000000
2010	141,897.	0.	0.000000

2	**Total** of line 1, column (d) . . .	2	0.000000
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0.000000
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 . . .	4	0.
5	Multiply line 4 by line 3 . . .	5	0.
6	Enter 1% of net investment income (1% of Part I, line 27b) . . .	6	804.
7	Add lines 5 and 6 . . .	7	804.
8	Enter qualifying distributions from Part XII, line 4 . . .	8	183,025.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _______ (attach copy of letter if necessary — see instrs)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b	1	804.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	804.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	804.
6	Credits/Payments		
a	2015 estimated tax pmts and 2014 overpayment credited to 2015 — 6 a		
b	Exempt foreign organizations — tax withheld at source — 6 b		
c	Tax paid with application for extension of time to file (Form 8868) — 6 c: 2,000.		
d	Backup withholding erroneously withheld — 6 d		
7	Total credits and payments Add lines 6a through 6d	7	2,000.
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached	8	19.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	1,177.
11	Enter the amount of line 10 to be **Credited to 2016 estimated tax** ► 1,177. **Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1 b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1 c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ ________ **(2)** On foundation managers ► $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► IL - Illinois			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► MELVIN BAUM Telephone no ► (309) 263-0808

Located at ► 866 N MAIN STREET, MORTON, IL ZIP + 4 ► 61550

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► | 15 |

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies. Yes No

1 a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No

(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No

			Yes	No
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1 c		X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No

If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)	2 b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.

► 20__ , 20__ , 20__ , 20__

3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

			Yes	No
b	If 'Yes,' did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)*	3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		5 b	
Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)			
6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6 b	X
If 'Yes' to 6b, file Form 8870			
7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?		7 b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LINDA BAUM 607 N OREGON MORTON IL 61550	PRES/DIR 1.00	0.	0.	0.
CRAIG BAUM 1 TALL OAKS DRIVE MORTON IL 61550	SEC/DIR 1.00	0.	0.	0.
MICHAEL THOMAS 2611 W WINDFLOWER COURT PEORIA IL 61615	TREAS/DIR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► None

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services		None

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE FOUNDATION MADE CHARITABLE CONTRIBUTIONS TO 20 RECOGNIZED CHARITIES DURING THE YEAR ENDED 12/31/15	183,025.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0.
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	None

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	0.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	0.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	0.
6	**Minimum investment return.** Enter 5% of line 5	6	0.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	0.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	804.		
b	Income tax for 2015 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	804.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	0.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	0.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	0.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	183,025.
b	Program-related investments – total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	183,025.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	804.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	182,221.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				0.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years 20 ___, 20 ___, 20 ___				
3 Excess distributions carryover, if any, to 2015				
a From 2010 . . . 142,500.				
b From 2011 . . . 0.				
c From 2012 . . . 145,055.				
d From 2013 . . . 248,800.				
e From 2014 . . . 214,075.				
f **Total** of lines 3a through e	750,430.			
4 Qualifying distributions for 2015 from Part XII, line 4 ► $ 183,025.				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2015 distributable amount				
e Remaining amount distributed out of corpus	183,025.			
5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	933,455.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	142,500.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	790,955.			
10 Analysis of line 9				
a Excess from 2011 . . 0.				
b Excess from 2012 . . 145,055.				
c Excess from 2013 . . 248,800.				
d Excess from 2014 . . 214,075.				
e Excess from 2015 . . 183,025.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SOUTH SIDE MISSION 1127 S LARAMIE STREET PEORIA IL 61605		EXEMPT	CHARITABLE	5,000.
APOSTOLIC CHRISTIAN HOME FOR HANDICAPPED 2125 VETERANS ROAD MORTON IL 61550		EXEMPT	CHARITABLE	60,000.
APOSTOLIC CHRISTIAN CHURCH 13963 AVON PARK CIRCLE FORT MYERS FL 33912		EXEMPT	CHARITABLE	27,000.
PEORIA RESCUE MINISTRIES 601 SW ADAMS STREET PEORIA IL 61602		EXEMPT	CHARITABLE	2,000.
CENTRAL IL RIDING THERAPY 305 NEUMANN DRIVE EAST PEORIA IL 61611		EXEMPT	CHARITABLE	500.
APOSTOLIC CHRIST. CHURCH 225 E JEFFERSON MORTON IL 61550		EXEMPT	CHARITABLE	16,700.
WBNH 1919 MAYFLOWER DRIVE PEKIN IL 61554		EXEMPT	CHARITABLE	500.
APOSTOLIC CHRISTIAN WORLD RELIEF 16448 S U.S. HWY 231 REMINGTON IN 47977		EXEMPT	CHARITABLE	5,000.
JOURNAL STAR CHRISTMAS FUND 1 NEWS PLAZA PEORIA IL 61643		EXEMPT	CHARITABLE	500.
See Line 3a statement				65,825.
Total ▶ 3a				183,025.
b *Approved for future payment*				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	7,792.	
4 Dividends and interest from securities			14	104,897.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				112,689.	
13 Total. Add line 12, columns (b), (d), and (e) 13					112,689.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1 a (1)		X
	(2) Other assets	1 a (2)		X
b	Other transactions.			
	(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
	(3) Rental of facilities, equipment, or other assets	1 b (3)		X
	(4) Reimbursement arrangements	1 b (4)		X
	(5) Loans or loan guarantees	1 b (5)		X
	(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Michael J. Thomas | 07/25/16 | TREASURER

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Michael J. Thomas	Michael J. Thomas	07/22/16		P01216843
Firm's name ► CORE CONSTRUCTION GROUP, LTD			Firm's EIN ► 37-1076260	
Firm's address ► 866 N MAIN ST MORTON IL 61550-1602			Phone no (309) 284-8674	

Schedule B
(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at ***www.irs.gov/form990.***

OMB No 1545-0047

2015

Name of the organization	Employer identification number
MELVIN R BAUM PRIVATE FOUNDATION	37-1379627

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . ► $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
MELVIN R BAUM PRIVATE FOUNDATION	37-1379627

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MELVIN R BAUM 607 N OREGON MORTON IL 61550	$ 1,853,122.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Form 990-PF, Page 1, Part I, Line 18
Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
FEDERAL INVEST. TAX	2,220.			
FOREIGN TAX PAID	1,756.			
Total	3,976.			

Form 990-PF, Page 2, Part III, Line 5
Other Decreases Stmt

NET CAPITAL LOSS	14,283.
DIFFERENCE BETWEEN FMV AND COST FOR STOCKS CONTRIBUTIONS	265,880.
Total	280,163.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g , real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
171 SH CBS CORP	P	01/27/15	12/09/15
545 SH DELAWARE GROUP EQUITY	P	07/20/15	12/09/15
254 SH GAMESTOP CORP	P	01/28/15	12/09/15
1415 SH GOLDMAN SACHS FINL SQUARE	P	07/20/15	12/09/15
429 SH PITNEY BOWES INC	P	02/10/15	12/09/15
1710 SH POWERSHARES S&P	P	12/11/14	01/21/15
151 SH PENTAIR PLC	P	02/10/15	12/09/15
5300 SH I SHARES SILVER	P	12/02/13	01/21/15
4000 SH PRINCIPAL FNL GROUP	P	01/27/14	06/30/15

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,246.		9,751.	-1,505.
9,749.		9,985.	-236.
8,321.		9,706.	-1,385.
1,415.		1,415.	0.
8,646.		9,736.	-1,090.
68,911.		70,117.	-1,206.
7,945.		9,840.	-1,895.
91,818.		99,173.	-7,355.
100,000.		98,164.	1,836.
Total		317,887.	-12,836.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (column (h) gain minus column (k), but not less than -0-) **or** losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
			-1,505.
			-236.
			-1,385.
			0.
			-1,090.
			-1,206.
			-1,895.
			-7,355.
			1,836.
Total			-12,836.

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox / Amount
a *Paid during the year*				
JUBILEE MINISTRIES 1215 N SHERIDAN ROAD PEORIA IL 61606		EXEMPT	CHARITABLE	Person or Business X 1,000.
SALVATION ARMY 401 NE ADAMS STREET PEORIA IL 61603		EXEMPT	CHARITABLE	Person or Business X 10,100.
LOVING SHEPHERD MINISTRIES P.O. BOX 375 BLUFFTON IN 46714		EXEMPT	CHARITABLE	Person or Business X 27,000.
GATEWAY WOODS P.O. BOX 151 LEO IN 46765		EXEMPT	CHARITABLE	Person or Business X 4,000.
BEREAN PRISON MINISTRIES 913 S LARMIE STREET PEORIA IL 61605		EXEMPT	CHARITABLE	Person or Business X 500.
FEED THE CHILDREN 333 N MERIDIAN AVENUE OKLAHOMA CITY OK 73107		EXEMPT	CHARITABLE	Person or Business X 500.
MIDWEST FOOD BANK 700 ERIE AVENUE MORTON IL 61550		EXEMPT	CHARITABLE	Person or Business X 12,925.

Form 990-PF, Page 11, Part XV, line 3a Continued
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox / Amount
a Paid during the year				
ST JUDE CHILDRENS HOSPITAL P.O. BOX 3425 PEORIA IL 61612		EXEMPT	CHARITABLE	Person or ☐ Business ☒ 200.
OUR DAILY BREAD MINISTRIES 3000 KRAFT AVE SE GRAND RAPIDS MI 49512		EXEMPT	CHARITABLE	Person or ☐ Business ☒ 100.
HARVEST CALL MINISTRIES P.O. BOX 3797 WEST LAFAYETTE IN 47996		EXEMPT	CHARITABLE	Person or ☐ Business ☒ 8,500.
OSF HEALTH CARE FOUNDATION 4541 N PROSPECT ROAD PEORIA IL 61614		EXEMPT	CHARITABLE	Person or ☐ Business ☒ 1,000.
Total				65,825.

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WELLS FARGO	INVESTMENT FEES	31,558.			
Total		31,558.			

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	End of Year Fair Market Value
GOLD/SILVER	100,000.	100,000.
Total	100,000.	100,000.

WELLS FARGO ADVISORS

ATTACHMENT FOR
PART II, LINE 10b
#37-1379627

SNAPSHOT

[redacted]

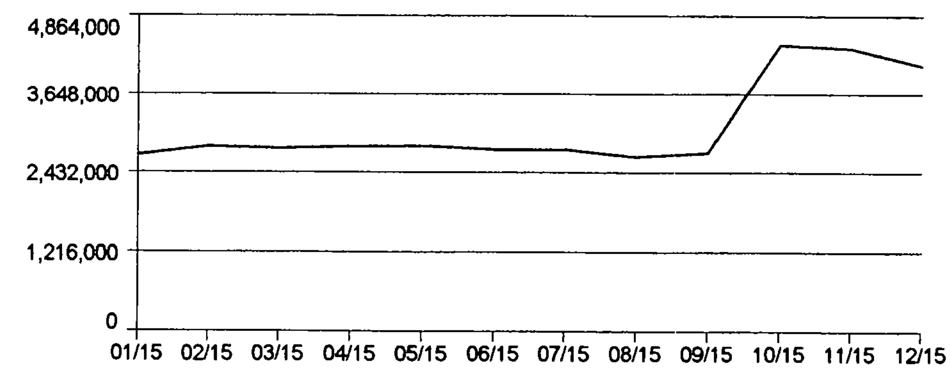
MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 5237-8360

Progress summary

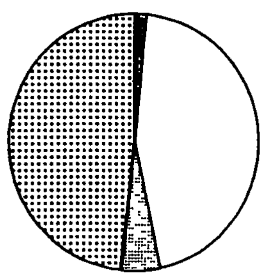
	THIS PERIOD		THIS YEAR
Opening value	**$4,371,762.64**		**$2,695,049.74**
Cash deposited	0 00	1,853,121.98	109,528.73
Securities deposited	0.00		1,634,064.52
Cash withdrawn	-165,553 46		-266,509 03
Securities withdrawn	0 00		0 00
Change in value	-107,354 37		-73,279.15
Closing value	**$4,098,854.81**		**$4,098,854.81**

Value over time



Portfolio summary

CURRENT



	ASSET TYPE	COST	PREVIOUS VALUE ON NOV 30	%	CURRENT VALUE ON DEC 31	%	ESTIMATED ANN INCOME
ASSETS	Cash and sweep balances	61,193.66	146,005.57	3 34	61,193.66	1 49	5
	Stocks, options & ETFs	1,715,928.02	1,984,516 15	45.39	1,848,437.61	45.10	47,063
	Fixed income securities	197,675.12	208,113 37	4 76	207,195.14	5.05	8,385
	Mutual funds	1,780,225.50	2,033,127 55	46.51	1,982,028 40	48.36	47,776
	Asset value	3,755,022.30	**$4,371,762.64**	**100%**	**$4,098,854.81**	**100%**	**$103,229**

WELLS FARGO ADVISORS

SNAPSHOT

[redacted]

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 5237-8360

Cash flow summary

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	**$146,005.57**	
Deposits	0 00	50,000 00
Income and distributions	54,758 32	110,640.78
Securities sold and redeemed	72,056 83	332,786.13
Other additions	0.00	59,528 73
Net additions to cash	**$126,815.15**	**$552,955.64**
Withdrawals by check	-163,600 00	-213,245.00
Securities purchased	-46,073 60	-79,164 52
Electronic funds transfers	-2,000 00	-21,000.00
Other subtractions and fees	46 54	-32,264 03
Net subtractions from cash	**-$211,627.06**	**-$345,673.55**
Closing value of cash and sweep balances	**$61,193.66**	

Income summary *

		THIS PERIOD	THIS YEAR
TAXABLE	Money market/sweep funds	0.92	9.17
	Interest	0 00	7,782 50
	Ordinary dividends and ST capital gains	21,131 88	53,676.97
	Qualified dividends	2,195 07	15,582 43
	Long term capital gains	31,430.45	32,289 82
	Total taxable income	**$54,758.32**	**$109,340.89**
	Total federally tax-exempt income	**$0.00**	**$0.00**
	Total income	**$54,758.32**	**$109,340.89**

* Certain distributions made in the current year are reported as prior year income according to IRS regulations This may cause a difference between Cash Flow and Income Summary totals.

Gain/loss summary

	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term (S)	-30,746.75	-7,558 78	-8,764.14
Long term (L)	374,579.26	0 00	-5,519 07
Total	**$343,832.51**	**-$7,558.78**	**-$14,283.21**

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 5237-8360

Your Financial Advisor

HEMMER / KUNKLE / MCRAVEN
Phone 888-228-1021 / 309-282-4940

2426 W CORNERSTONE CT
STE 2A
PEORIA IL 61614

Account profile

Full account name.	MELVIN R BAUM PRIVATE FOUNDATION INC
Account type·	Command Asset Program Premier
Brokerage account number.	5237-8360
Command account number	9076219217
Tax status:	Non-Profit
Investment objective/Risk tolerance.*	LONG TERM GROWTH & INCOME
Time horizon:*	LONG TERM (10+ YEARS)
Liquidity needs *	MODERATE
Cost Basis Election·	First in, First out
Sweep option.	BANK DEPOSIT SWEEP
Your managed program	ASSET ADVISOR

*For more information, please visit us at. www.wellsfargoadvisors.com/disclosures

Per your instructions, copies of this statement have been sent to an interested party

Available funds

Cash	3,342 76
Money market and sweep funds	57,850 90
Available for loan	1,044,177.00
Your total available funds	**$1,105,370.66**

Client service information

Client service:	800-266-6263	(800) COMMAND
En español·	800-326-8977	
Website·	www wellsfargoadvisors.com	

For your consideration

Go paperless. Accessing your account documents online is easy, secure, and costs nothing Sign on to **wellsfargoadvisors.com** with your Access Online Username and Password, select **Statements & Docs**, and then click on the **Delivery Preferences** Quick Link Choose **Electronic Delivery** to go paperless or select specific account documents for electronic delivery If you do not have a Username and Password, visit **wellsfargoadvisors.com/signup** or call 1-877-879-2495 for enrollment assistance.

Document delivery status

	Paper	Electronic
Statements		X
Trade confirmations	X	
Tax documents.	X	
Shareholder communications	X	
Other documents	X	

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 5237-8360

Additional information

	THIS PERIOD	THIS YEAR
Gross proceeds	72,056.83	332,786 13

Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed $250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to $250,000 per depositor, per institution, in accordance with FDIC rules For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	0 08	0.00	3,342 76	0 00
BANK DEPOSIT SWEEP	1.41	0 01	57,850.90	5.78
Interest Period 12/01/15 - 12/31/15				
Total Cash and Sweep Balances	**1.49**		**$61,193.66**	**$5.78**

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
ACCENTURE PLC IRELAND SHARES CLASS A ACN Acquired 01/15/13 L	0.22	87	70.00	6,090 85	104.5000	9,091 50	3,000.65	191 40	2.10
ALTRIA GROUP INC MO Acquired 01/27/15 S	0 21	149	54.54	8,126 65	58 2100	8,673 29	546 64	336 74	3 88

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER. 5237-8360

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
AMERICAN EXPRESS COMPANY AXP									
Acquired 03/06/15 S	0 26	153	80.42	12,305 29	69 5500	10,641.15	-1,664 14	177 48	1 66
APPLE INC AAPL									
Acquired 12/27/10 L nc R		1,309	46 38	60,715.16		137,785 34	77,070 18		
Acquired 12/11/13 L		176	80 70	14,204 44		18,525 76	4,321 32		
Total	**3.81**	**1,485**	**$50.45**	**$74,919.60**	**105.2600**	**$156,311.10**	**$81,391.50**	**$3,088.80**	**1.98**
BAXTER INTERNATIONAL INC BAX									
Acquired 03/06/15 S	0 17	180	37 53	6,756.62	38 1500	6,867 00	110.38	82 80	1 20
BIG LOTS INC BIG									
Acquired 08/20/15 S		31	42 77	1,325 93		1,194 74	-131 19		
Acquired 08/21/15 S		41	41 75	1,711.86		1,580 14	-131.72		
Acquired 08/24/15 S		41	41 42	1,698 53		1,580 14	-118 39		
Total	**0.11**	**113**	**$41.91**	**$4,736.32**	**38.5400**	**$4,355.02**	**-$381.30**	**$85.88**	**1.97**
BRINKER INTL INC EAT									
Acquired 08/02/12 L		161	32 27	5,195 82		7,719.95	2,524 13		
Acquired 08/03/12 L		80	33 24	2,659 74		3,836.00	1,176 26		
Total	**0.28**	**241**	**$32.60**	**$7,855.56**	**47.9500**	**$11,555.95**	**$3,700.39**	**$308.48**	**2.67**
CAPITAL ONE FINANCIAL CORP COF									
Acquired 12/19/13 L		116	73 37	8,511 64		8,372 88	-138 76		
Acquired 03/27/14 L		154	75 94	11,694 94		11,115 72	-579 22		
Total	**0.48**	**270**	**$74.84**	**$20,206.58**	**72.1800**	**$19,488.60**	**-$717.98**	**$432.00**	**2.22**
CARDTRONICS INC CATM									
Acquired 05/02/12 L		39	29 51	1,150 99		1,312 35	161 36		
Acquired 05/03/12 L		95	29 81	2,832 13		3,196 75	364 62		
Acquired 05/04/12 L		85	28 90	2,456 93		2,860.25	403 32		
Acquired 05/07/12 L		87	29 02	2,525 19		2,927 55	402 36		
Total	**0.25**	**306**	**$29.30**	**$8,965.24**	**33.6500**	**$10,296.90**	**$1,331.66**	**N/A**	**N/A**

WELLS FARGO ADVISORS

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 5237-8360

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
CATERPILLAR INC									
CAT - HELD IN MARGIN									
Acquired 01/27/10 L nc		500	52.28	26,142 50		33,980.00	7,837 50		
Acquired 01/27/10 L nc		300	52.30	15,690.00		20,388.00	4,698 00		
Acquired 01/27/10 L nc		200	52.29	10,459 30		13,592.00	3,132 70		
Total	**1.66**	**1,000**	**$52.29**	**$52,291.80**	**67.9600**	**$67,960.00**	**$15,668.20**	**$3,080.00**	**4.53**
CHURCH & DWIGHT INC									
CHD									
Acquired 03/11/10 L nc		49	33 77	1,655 12		4,159.12	2,504 00		
Acquired 03/12/10 L nc		82	34.12	2,798 25		6,960.16	4,161 91		
Acquired 12/11/13 L		128	66.02	8,451.58		10,864.64	2,413 06		
Total	**0.54**	**259**	**$49.83**	**$12,904.95**	**84.8800**	**$21,983.92**	**$9,078.97**	**$347.06**	**1.58**
CISCO SYSTEMS INC									
CSCO									
Acquired 01/04/13 L		493	20.53	10,123.80		13,387.41	3,263.61		
Acquired 12/11/13 L		5	20.76	103 84		135.78	31.94		
Total	**0.33**	**498**	**$20.54**	**$10,227.64**	**27.1550**	**$13,523.19**	**$3,295.55**	**$418.32**	**3.09**
CITIGROUP INC NEW									
C									
Acquired 10/08/14 L	0.52	414	51.58	21,358 22	51 7500	21,424 50	66.28	82 80	0 38
COCA-COLA ENTERPRISES									
CCE									
Acquired 02/25/15 S		170	45.72	7,773.69		8,370 80	597 11		
Acquired 02/26/15 S		105	46 06	4,836.51		5,170.20	333 69		
Total	**0.33**	**275**	**$45.86**	**$12,610.20**	**49.2400**	**$13,541.00**	**$930.80**	**$308.00**	**2.27**
COSTCO WHSL CORP NEW									
COM									
COST									
Acquired 01/04/13 L		52	102 11	5,310 18		8,398.00	3,087.82		
Acquired 01/15/13 L		68	100 79	6,854 03		10,982.00	4,127 97		
Total	**0.47**	**120**	**$101.37**	**$12,164.21**	**161.5000**	**$19,380.00**	**$7,215.79**	**$192.00**	**0.99**
CULLEN FROST BANKERS INC									
CFR									
Acquired 12/27/10 L nc		2	61 63	123 27		120.00	-3 27		
Acquired 12/28/10 L nc		30	61 80	1,854 14		1,800 00	-54.14		
Acquired 12/29/10 L nc		30	62 02	1,860 65		1,800 00	-60 65		

WELLS FARGO ADVISORS

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 5237-8360

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Acquired 12/30/10 L nc		35	61 89	2,166.40		2,100 00	-66.40		
Total	**0.14**	**97**	**$61.90**	**$6,004.46**	**60.0000**	**$5,820.00**	**-$184.46**	**$205.64**	**3.53**
DEERE & CO DE - HELD IN MARGIN									
Acquired 04/09/07 L nc		56	54.52	3,053 33		4,271.12	1,217.79		
Acquired 05/02/07 L nc		44	56.38	2,480 72		3,355 88	875.16		
Acquired 07/27/07 L nc		28	59 96	1,679 02		2,135.56	456.54		
Acquired 08/06/07 L nc		8	59 32	474 56		610 16	135 60		
Acquired 12/27/10 L nc		258	83 57	21,561 06		19,677.66	-1,883.40		
Total	**0.73**	**394**	**$74.24**	**$29,248.69**	**76.2700**	**$30,050.38**	**$801.69**	**$945.60**	**3.15**
DELPHI AUTOMOTIVE PLC DLPH									
Acquired 05/29/13 L	0 39	186	49 68	9,241 45	85 7300	15,945 78	6,704.33	186 00	1 16
DICKS SPORTING GOODS INC DKS									
Acquired 10/08/14 L	0.18	205	43 91	9,002 99	35.3500	7,246 75	-1,756.24	112.75	1 55
EASTMAN CHEMICAL CO EMN									
Acquired 08/02/12 L		38	52 29	1,987 05		2,565 38	578 33		
Acquired 03/27/14 L		101	84 24	8,508.62		6,818 51	-1,690.11		
Total	**0.23**	**139**	**$75.51**	**$10,495.67**	**67.5100**	**$9,383.89**	**-$1,111.78**	**$255.76**	**2.73**
EXPRESS SCRIPTS HLDG CO ESRX - HELD IN MARGIN									
Acquired 12/27/10 L nc	0.79	370	53 99	19,976 30	87.4100	32,341.70	12,365.40	N/A	N/A
EXXON MOBIL CORP XOM									
Acquired 12/24/07 L nc		47	93 81	4,409 07		3,663 65	-745.42		
Acquired 07/31/08 L nc		5	81 61	408 05		389.75	-18 30		
Acquired 06/26/09 L nc		47	69 21	3,253 08		3,663 65	410 57		
Acquired 09/01/09 L nc		62	68 63	4,255.61		4,832 90	577 29		
Acquired 02/02/10 L nc		5	66 83	334.20		389.75	55.55		
Total	**0.32**	**166**	**$76.27**	**$12,660.01**	**77.9500**	**$12,939.70**	**$279.69**	**$484.72**	**3.75**

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
FIRST TRUST FD IV ET NORTH AMERICAN ENERGY INFRASTRUCTURE FUND EMLP									
Acquired 09/30/13 L		1,200	22.79	27,356 40		24,216 00	-3,140.40		
Acquired 09/30/13 L		600	22 78	13,671 90		12,108 00	-1,563 90		
Acquired 09/30/13 L		300	22.79	6,838 95		6,054 00	-784 95		
Acquired 01/27/14 L		2,200	23.26	51,176 18		44,396 00	-6,780 18		
Total	**2.12**	**4,300**	**$23.03**	**$99,043.43**	**20.1800**	**$86,774.00**	**-$12,269.43**	**$4,020.50**	**4.63**
GILEAD SCIENCES INC GILD									
Acquired 06/28/13 L		62	51 31	3,181 55		6,273 78	3,092 23		
Acquired 04/29/14 L		109	75 42	8,220 88		11,029 71	2,808 83		
Total	**0.42**	**171**	**$66.68**	**$11,402.43**	**101.1900**	**$17,303.49**	**$5,901.06**	**$294.12**	**1.70**
GRACO INCORPORATED COM GGG									
Acquired 05/13/09 L nc		27	22 42	605 42		1,945.89	1,340.47		
Acquired 05/14/09 L nc		50	22.39	1,119.59		3,603 50	2,483 91		
Acquired 05/15/09 L nc		54	22.42	1,211.19		3,891 78	2,680 59		
Acquired 12/27/10 L nc R		400	39.96	15,984.00		28,828 00	12,844.00		
Total	**0.93**	**531**	**$35.63**	**$18,920.20**	**72.0700**	**$38,269.17**	**$19,348.97**	**$700.92**	**1.83**
HARLEY DAVIDSON INC HOG									
Acquired 06/22/15 S		35	58.75	2,056 37		1,588 65	-467.72		
Acquired 06/23/15 S		53	58 54	3,102 72		2,405 67	-697 05		
Acquired 06/24/15 S		54	57 94	3,129 14		2,451.06	-678 08		
Total	**0.16**	**142**	**$58.37**	**$8,288.23**	**45.3900**	**$6,445.38**	**-$1,842.85**	**$176.08**	**2.73**
HEWLETT PACKARD ENTERPRISE CO HPE									
Acquired 07/16/15 S		160	16 10	2,576 63		2,432.00	-144 63		
Acquired 07/17/15 S		161	15.99	2,575.07		2,447 20	-127 87		
Total	**0.12**	**321**	**$16.05**	**$5,151.70**	**15.2000**	**$4,879.20**	**-$272.50**	**$70.62**	**1.45**
HOME DEPOT INC HD									
Acquired 11/03/14 L	0 30	93	96.21	8,947 68	132.2500	12,299 25	3,351.57	219 48	1 78

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
HP INC									
HPQ									
Acquired 07/16/15 S		160	14 36	2,298 73		1,894.40	-404 33		
Acquired 07/17/15 S		161	14 26	2,297 34		1,906.24	-391 10		
Total	**0.09**	**321**	**$14.32**	**$4,596.07**	**11.8400**	**$3,800.64**	**-$795.43**	**$159.21**	**4.19**
HUBBELL INC									
HUBB									
Acquired 03/17/11 L		2	67.75	135 50		202.08	66.58		
Acquired 03/18/11 L		40	68 41	2,736.53		4,041.60	1,305 07		
Acquired 03/21/11 L		45	69 58	3,131 19		4,546.80	1,415.61		
Acquired 03/22/11 L		46	68 92	3,170.62		4,647.84	1,477 22		
Total	**0.33**	**133**	**$68.98**	**$9,173.84**	**101.0400**	**$13,438.32**	**$4,264.48**	**N/A**	**N/A**
INTEL CORP									
INTC									
Acquired 03/06/15 S	0 31	368	33 22	12,226 06	34 4500	12,677 60	451 54	353 28	2 78
INTERNATIONAL PAPER CO									
IP									
Acquired 12/09/09 L nc		118	25 58	3,019 42		4,448.60	1,429.18		
Acquired 02/02/10 L nc		5	23 86	119 34		188 50	69 16		
Acquired 05/27/10 L nc		228	22.82	5,203 46		8,595 60	3,392 14		
Total	**0.32**	**351**	**$23.77**	**$8,342.22**	**37.7000**	**$13,232.70**	**$4,890.48**	**$617.76**	**4.67**
ISHARES ET									
1-3 YR TREASURY BOND									
SHY									
Acquired 07/28/15 S	0.31	150	84 83	12,725.60	84.3600	12,654 00	-71 60	68.10	0 53
ISHARES ET									
INTERMEDIATE CREDIT BOND									
CIU									
Acquired 01/26/15 S	0 46	174	110 55	19,236.43	107.2800	18,666 72	-569 71	469 27	2.51
ISHARES CORE S&P MIDCAP									
ETF									
IJH									
Acquired 12/11/13 L		535	129 03	69,036 33		74,536 20	5,499 87		
Acquired 08/22/14 L		70	142.57	9,980 08		9,752 40	-227 68		
Total	**2.06**	**605**	**$130.61**	**$79,016.41**	**139.3200**	**$84,288.60**	**$5,272.19**	**$1,315.87**	**1.56**

WELLS FARGO ADVISORS

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER· 5237-8360

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
ISHARES CORE S&P ET SMALLCAP IJR									
Acquired 12/11/13 L	1 50	560	104.51	58,525 81	110 1100	61,661.60	3,135 79	915 60	1 48
ISHARES IBOXX & ET INVESTMENT GRADE CORP BD LQD									
Acquired 04/07/10 L nc		62	105.19	6,522.23		7,068.62	546 39		
Acquired 01/26/15 S		51	122 22	6,233 51		5,814 51	-419 00		
Total	**0.31**	**113**	**$112.88**	**$12,755.74**	**114.0100**	**$12,883.13**	**$127.39**	**$447.59**	**3.47**
ISHARES MSCI GERMANY ET EWG									
Acquired 04/29/13 L		287	25 18	7,228 56		7,516 53	287 97		
Acquired 04/30/13 L		374	25 41	9,506 86		9,795 06	288 20		
Total	**0.42**	**661**	**$25.32**	**$16,735.42**	**26.1900**	**$17,311.59**	**$576.17**	**$334.46**	**1.93**
ISHARES MSCI UNITED ET KINGDOM EWU									
Acquired 06/26/12 L	0.32	812	15 84	12,863 85	16.1400	13,105 68	241.83	538 35	4 10
JPMORGAN CHASE & CO JPM									
Acquired 03/04/10 L nc		34	41.90	1,424 93		2,245.02	820 09		
Acquired 01/26/12 L		272	37 55	10,216.27		17,960.16	7,743 89		
Total	**0.49**	**306**	**$38.04**	**$11,641.20**	**66.0300**	**$20,205.18**	**$8,563.98**	**$538.56**	**2.67**
KINDER MORGAN INC DEL KMI									
Acquired 03/23/15 S	0.07	197	42.09	8,292 20	14 9200	2,939 24	-5,352.96	401 88	13 67
METLIFE INC MET									
Acquired 01/26/12 L		189	34.59	6,539.03		9,111.69	2,572 66		
Acquired 05/06/13 L		226	40.85	9,232 60		10,895 46	1,662 86		
Total	**0.49**	**415**	**$38.00**	**$15,771.63**	**48.2100**	**$20,007.15**	**$4,235.52**	**$622.50**	**3.11**

WELLS FARGO ADVISORS

MELVIN R BAUM PRIVATE FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER· 5237-8360

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
MICROSOFT CORP MSFT									
Acquired 05/06/13 L		208	33.68	7,005 65		11,539 84	4,534.19		
Acquired 05/06/13 L		55	33.68	1,852 46		3,051.40	1,198 94		
Total	**0.36**	**263**	**$33.68**	**$8,858.11**	**55.4800**	**$14,591.24**	**$5,733.13**	**$378.72**	**2.60**
PFIZER INCORPORATED PFE									
Acquired 11/09/12 L		288	24 22	6,978 00		9,296 64	2,318 64		
Acquired 05/15/13 L		348	29 45	10,251.77		11,233.44	981.67		
Total	**0.50**	**636**	**$27.09**	**$17,229.77**	**32.2800**	**$20,530.08**	**$3,300.31**	**$763.20**	**3.72**
PHILLIPS 66 PSX									
Acquired 11/01/12 L		164	47 16	7,735 22		13,415.20	5,679 98		
Acquired 12/11/13 L		6	70.54	423 24		490.80	67.56		
Total	**0.34**	**170**	**$47.99**	**$8,158.46**	**81.8000**	**$13,906.00**	**$5,747.54**	**$380.80**	**2.74**
POWERSHARES ET PREFERRED PORTFOLIO PGX									
Acquired 08/18/14 L		1,012	14 58	14,757 89		15,129 40	371.51		
Acquired 07/28/15 S		640	14 67	9,392 45		9,568.00	175.55		
Total	**0.60**	**1,652**	**$14.62**	**$24,150.34**	**14.9500**	**$24,697.40**	**$547.06**	**$1,442.19**	**5.84**
POWERSHARES DB ET COMMODITY INDEX TRACKING FUND DBC									
Acquired 05/15/12 L nc		282	26 52	7,479 73		3,767.52	-3,712.21		
Acquired 11/21/14 L nc		862	21.73	18,733 16		11,516 32	-7,216.84		
Acquired 12/22/14 L nc		252	18 90	4,764.36		3,366.72	-1,397 64		
Total	**0.46**	**1,396**	**$22.19**	**$30,977.25**	**13.3600**	**$18,650.56**	**-$12,326.69**	**N/A**	**N/A**
POWERSHARES S&P ET SMALLCAP CONSUMER DISCRETIONARY PORTFOLIO PSCD									
Acquired 12/11/13 L	0 74	659	46 76	30,814 84	45 9200	30,261.28	-553.56	332 79	1 09

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
POWERSHARES S&P ET SMALLCAP FINANCIALS PORTFOLIO PSCF									
Acquired 12/11/13 L		1,103	38 60	42,585.28		44,980 34	2,395.06		
Acquired 12/11/14 L		800	41.00	32,803.20		32,624.00	-179.20		
Total	**1.89**	**1,903**	**$39.62**	**$75,388.48**	**40.7800**	**$77,604.34**	**$2,215.86**	**$1,691.76**	**2.18**
POWERSHARES S&P ET SMALLCAP INFORMATION TECHNOLOGY PORTFOLIO PSCT									
Acquired 12/11/13 L		647	42.19	27,297.28		33,851 04	6,553.76		
Acquired 08/20/15 S		371	50 05	18,569 55		19,410 72	841 17		
Total	**1.30**	**1,018**	**$45.06**	**$45,866.83**	**52.3200**	**$53,261.76**	**$7,394.93**	**$77.46**	**0.15**
POWERSHARES S&P ET SMALLCAP INDUSTRIALS PORTFOLIO PSCI									
Acquired 01/27/12 L	0.27	252	29.34	7,394 08	43 2300	10,893 96	3,499.88	111 13	1.02
POWERSHARES S&P ET SMALLCAP HEALTH CARE PORTFOLIO PSCH									
Acquired 12/11/13 L	0.69	393	53 54	21,043.04	71 8700	28,244 91	7,201.87	N/A	N/A
QUALCOMM INC QCOM									
Acquired 10/08/14 L	0 15	127	73.39	9,321 33	49 9850	6,348.09	-2,973 24	243.84	3.84
QUEST DIAGNOSTICS INC DGX									
Acquired 01/20/15 S	0.30	170	69 91	11,885 58	71.1400	12,093.80	208 22	258.40	2.13
ROBERT HALF INTL INC RHI									
Acquired 07/19/13 L		97	36 27	3,519 12		4,572.58	1,053.46		
Acquired 07/22/13 L		155	36.04	5,587 16		7,306.70	1,719 54		
Total	**0.29**	**252**	**$36.14**	**$9,106.28**	**47.1400**	**$11,879.28**	**$2,773.00**	**$201.60**	**1.70**

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
SPDR BARCLAYS ET HIGH YIELD BOND ETF JNK									
Acquired 02/22/08 L nc		217	44 41	9,638 84		7,358 47	-2,280 37		
Acquired 03/03/08 L nc		7	45.78	320 49		237 37	-83 12		
Acquired 01/16/09 L nc		15	31.23	468 55		508.65	40.10		
Acquired 01/20/09 L nc		20	31.09	621 97		678 20	56 23		
Acquired 01/21/09 L nc		35	31 24	1,093 56		1,186 85	93.29		
Acquired 02/02/10 L nc		22	38 64	850 26		746.02	-104.24		
Acquired 01/19/11 L		35	40 15	1,405 35		1,186.85	-218.50		
Acquired 01/20/11 L		35	40 09	1,403 48		1,186.85	-216.63		
Acquired 01/21/11 L		45	40 23	1,810 66		1,525 95	-284 71		
Acquired 11/07/11 L		60	38 59	2,315.97		2,034 60	-281 37		
Acquired 11/09/11 L		60	38 13	2,288 12		2,034 60	-253 52		
Acquired 11/10/11 L		66	38 07	2,513.15		2,238 06	-275.09		
Acquired 08/18/14 L		165	41 27	6,809 80		5,595.15	-1,214 65		
Total	**0.65**	**782**	**$40.33**	**$31,540.20**	**33.9100**	**$26,517.62**	**-$5,022.58**	**$1,748.55**	**6.59**
SPDR EURO STOXX 50 ET FEZ									
Acquired 10/29/13 L		525	41 06	21,560.84		18,075 75	-3,485 09		
Acquired 04/30/14 L		437	43.53	19,025.01		15,045.91	-3,979.10		
Acquired 10/08/14 L		6	38.07	228.42		206 58	-21.84		
Total	**0.81**	**968**	**$42.16**	**$40,814.27**	**34.4300**	**$33,328.24**	**-$7,486.03**	**$1,009.62**	**3.03**
SPDR S&P 500 TRUST ET SPY									
Acquired 10/24/13 L		7	175 00	1,225 04		1,427 09	202 05		
Acquired 08/22/14 L		87	199.30	17,339 30		17,736 69	397 39		
Total	**0.47**	**94**	**$197.49**	**$18,564.34**	**203.8700**	**$19,163.78**	**$599.44**	**$395.36**	**2.06**
ST JUDE MEDICAL INC STJ									
Acquired 01/20/15 S	0 27	178	65 80	11,713 49	61 7700	10,995 06	-718 43	206 48	1 87
TESSERA TECHNOLOGIES INC TSRA									
Acquired 06/19/15 S		29	38 47	1,115 81		870 29	-245 52		
Acquired 06/22/15 S		15	39 31	589 70		450 15	-139 55		
Acquired 06/23/15 S		8	39 33	314 71		240 08	-74 63		
Acquired 06/24/15 S		7	39 34	275 43		210 07	-65 36		
Acquired 06/25/15 S		8	39.43	315.44		240 08	-75 36		

WELLS FARGO ADVISORS

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	AE OR
Acquired 06/26/15 S		41	
Acquired 06/29/15 S		38	
Acquired 06/30/15 S		45	
Acquired 07/01/15 S		29	
Total	**0.16**	**220**	
TYSON FOODS INC CL A			
TSN			
Acquired 01/27/15 S	0 26	198	
UNION PACIFIC CORP			
UNP			
Acquired 11/27/12 L	0 25	131	
UNITED TECHNOLOGIES CORP			
UTX			
Acquired 12/19/06 L nc		13	
Acquired 08/17/07 L nc		12	
Acquired 08/20/07 L nc		9	
Acquired 08/20/07 L nc		5	
Acquired 08/21/07 L nc		7	
Acquired 08/31/07 L nc		3	
Acquired 09/06/07 L nc		13	
Acquired 06/26/09 L nc		37	
Acquired 02/02/10 L nc		3	
Acquired 01/26/12 L		95	
Total	**0.46**	**197**	
VANGUARD FTSE ET			
DEVELOPED MARKETS ETF			
VEA			
Acquired 12/11/13 L		2,038	
Acquired 04/30/14 L		436	
Acquired 06/10/14 L		791	
Acquired 08/22/14 L		347	
Acquired 10/08/14 L		2	
Total	**3.24**	**3,614**	
VANGUARD INTL EQUITY ET			
INDEX FDS FTSE EMERGING			
MKTS ETF			
VWO			
Acquired 06/08/10 L nc		62	

WELLS FARGO ADVISORS

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	M
WHITING PETROLEUM CORP						
WLL - HELD IN MARGIN						
Acquired 12/27/10 L nc	0 10	424	58.66	24,873 96	9 4400	
3M CO						
MMM						
Acquired 12/24/07 L nc		27	86 30	2,330.21		
Acquired 04/21/09 L nc		39	52.75	2,057 64		
Acquired 06/26/09 L nc		34	59 07	2,008.38		
Acquired 02/02/10 L nc		4	80.34	321.36		
Total	**0.38**	**104**	**$64.59**	**$6,717.59**	**150.6400**	
Total Stocks and ETFs	**45.10**			**$1,715,928.02**		**$1**
Total Stocks, options & ETFs	**45.10**			**$1,715,928.02**		**$1**

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS
R Tax lot(s) held in Margin, rather than Cash

WELLS FARGO ADVISORS

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER· 5237-8360

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

Corporate Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
COSTCO WHOLESALE CORP SENIOR NOTES CALLABLE CPN 5 500% DUE 03/15/17 DTD 02/20/07 FC 09/15/07 HELD IN MARGIN Moody A1 , S&P A+ CUSIP 22160KAC9										
Acquired 01/30/08 L nc	0.54	21,000	103.47	21,728.93	105 3520	22,123.92	394 99	340.08	1,155 00	5.22
Total Corporate Bonds	**0.54**	**21,000**		**$21,728.93**		**$22,123.92**	**$394.99**	**$340.08**	**$1,155.00**	**5.22**

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

Government Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
U S TREASURY BONDS 05/16 CPN 7.250% DUE 05/15/16 DTD 05/15/86 FC 11/15/86 Moody AAA , S&P NR CUSIP 912810DW5										
Acquired 07/20/15 S	0.05	2,000	102 57 105 65	2,051 51 2,113 08	102 4300	2,048 60	-2 91	18 72	145 00	7.07
US TREASURY NOTES CPN 4 250% DUE 11/15/17 DTD 11/15/07 FC 05/15/08 HELD IN MARGIN Moody AAA , S&P NR CUSIP 912828HH6										
Acquired 12/26/07 L nc		67,000	100.35	67,240 73		70,941.61	3,700 88			
Acquired 02/06/08 L nc		6,000	105 31	6,318.75		6,352 98	34.23			

WELLS FARGO ADVISORS

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 5237-8360

Fixed Income Securities

Government Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 03/03/08 L nc		1,000	105 46	1,054 60		1,058.83	4.23			
Total	**1.91**	**74,000**	**$100.82**	**$74,614.08**	**105.8830**	**$78,353.42**	**$3,739.34**	**$406.09**	**$3,145.00**	**4.01**
US TREASURY NOTES WI CPN 3 875% DUE 05/15/18 DTD 05/15/08 FC 11/15/08 HELD IN MARGIN Moody AAA , S&P NR CUSIP 912828HZ6 Acquired 07/15/08 L nc	2.28	88,000	100 35	88,312.81	106.4220	93,651 36	5,338 55	440.30	3,410 00	3.64
U S TREASURY BOND 2/23 CPN 7 125% DUE 02/15/23 DTD 02/15/93 FC 08/15/93 Moody AAA , S&P NR CUSIP 912810EP9 Acquired 07/20/15 S	0.13	4,000	133.24 135.16	5,329 69 5,406 72	133 5550	5,342 20	12 51	107.66	285 00	5 33
U S TREASURY BOND 8/29 CPN 6 125% DUE 08/15/29 DTD 08/15/99 FC 02/15/00 Moody AAA , S&P NR CUSIP 912810FJ2 Acquired 07/20/15 S	0.14	4,000	140.95 142.07	5,638 10 5,682 80	141.8910	5,675 64	37 54	92.55	245.00	4 31
Total Government Bonds	**4.52**	**172,000**		**$175,946.19** **$176,129.49**		**$185,071.22**	**$9,125.03**	**$1,065.32**	**$7,230.00**	**3.91**
Total Fixed Income Securities	**5.05**			**$197,675.12** **$197,858.42**		**$207,195.14**	**$9,520.02**	**$1,405.40**	**$8,385.00**	**4.05**

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 5237-8360

Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
AMG FUNDS TIMESSQUARE MID CAP GROWTH FD PREMIER CLASS TMDPX									
On Reinvestment									
Acquired 07/20/15 S		437.42400	19.43	8,499 09		7,444.95	-1,054 14		
Reinvestments S		33.66400	17.09	575 65		572.96	-2.69		
Total	**0.20**	**471.08800**	**$19.26**	**$9,074.74**	**17.0200**	**$8,017.91**	**-$1,056.83**	**N/A**	**N/A**
	Client Investment (Excluding Reinvestments)					$8,499 09			
	Gain/Loss on Client Investment (Including Reinvestments)					-$481 18			
INVESCO GLOBAL REAL ESTATE Y ARGYX									
On Reinvestment									
Acquired 07/20/15 S		226.74500	13.05	2,958 86		2,852 45	-106.41		
Acquired 08/14/15 S		317 07700	13 03	4,131 51		3,988.83	-142 68		
Reinvestments S		7.45000	12 22	91 07		93 72	2.65		
Total	**0.17**	**551.27200**	**$13.03**	**$7,181.44**	**12.5800**	**$6,935.00**	**-$246.44**	**$199.00**	**2.87**
	Client Investment (Excluding Reinvestments)					$7,090 37			
	Gain/Loss on Client Investment (Including Reinvestments)					-$155 37			
FIRST EAGLE FUNDS SOGEN OVERSEAS FUND CL I SGOIX									
On Reinvestment									
Acquired 07/20/15 S		303 30500	23.41	7,100 30		6,794.03	-306.27		
Reinvestments S		4 84200	22 11	107 06		108.46	1.40		
Total	**0.17**	**308.14700**	**$23.39**	**$7,207.36**	**22.4000**	**$6,902.49**	**-$304.87**	**N/A**	**N/A**
	Client Investment (Excluding Reinvestments)					$7,100 30			
	Gain/Loss on Client Investment (Including Reinvestments)					-$197.81			

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 5237-8360

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
HANCOCK JOHN CAP SER CLASSIC VALUE FD CL I JCVIX									
On Reinvestment									
Acquired 07/20/15 S		267 18300	26 97	7,205 88		6,524.61	-681 27		
Reinvestments S		4 46000	24.67	110.04		108 91	-1 13		
Total	**0.16**	**271.64300**	**$26.93**	**$7,315.92**	**24.4200**	**$6,633.52**	**-$682.40**	**$111.91**	**1.69**
	Client Investment (Excluding Reinvestments)					$7,205.88			
	Gain/Loss on Client Investment (Including Reinvestments)					-$572 36			
JOHN HANCOCK FDS III GLOBAL SHAREHOLDER YLD CLASS I JGYIX - HELD IN MARGIN									
On Reinvestment									
Acquired 04/22/09 L nc		2,576 49700	6.41	16,515 34		25,842 26	9,326.92		
Acquired 07/06/09 L nc		2,599 47900	7.04	18,300 33		26,072.77	7,772.44		
Reinvestments L m		1,616.12000	10.22	16,525.94		16,209 69	-316 25		
Reinvestments S		561 08400	10.37	5,821 04		5,627.67	-193 37		
Total	**1.80**	**7,353.18000**	**$7.77**	**$57,162.65**	**10.0300**	**$73,752.39**	**$16,589.74**	**$3,485.40**	**4.73**
	Client Investment (Excluding Reinvestments)					$34,815 67			
	Gain/Loss on Client Investment (Including Reinvestments)					$38,936 72			
HARBOR FD INTL FD INSTL CL HAINX									
On Reinvestment									
Acquired 07/20/15 S		143.03600	71 26	10,192.69		8,500.62	-1,692.07		
Acquired 08/14/15 S		1.35400	68 42	92.66		80 47	-12.19		
Reinvestments S		6 98500	58 98	412.03		415 12	3.09		
Total	**0.22**	**151.37500**	**$70.67**	**$10,697.38**	**59.4300**	**$8,996.21**	**-$1,701.17**	**$163.63**	**1.82**
	Client Investment (Excluding Reinvestments)					$10,285 35			
	Gain/Loss on Client Investment (Including Reinvestments)					-$1,289.14			

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 5237-8360

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
EAGLE SMALL CAP GROWTH FUND CLASS I HSIIX									
On Reinvestment									
Acquired 07/20/15 S		60.04800	60.80	3,650 89		3,066 05	-584.84		
Reinvestments S		4 12200	50 39	207 74		210 47	2 73		
Total	**0.08**	**64.17000**	**$60.13**	**$3,858.63**	**51.0600**	**$3,276.52**	**-$582.11**	**N/A**	**N/A**
	Client Investment (Excluding Reinvestments)					$3,650 89			
	Gain/Loss on Client Investment (Including Reinvestments)					-$374 37			
HOTCHKIS & WILEY FDS MID CAP VALUE FD CL I HWMIX									
On Reinvestment									
Acquired 07/20/15 S		143 27900	39.68	5,685.25		4,483 20	-1,202.05		
Reinvestments S		21 25600	30.93	657.46		665.10	7 64		
Total	**0.13**	**164.53500**	**$38.55**	**$6,342.71**	**31.2900**	**$5,148.30**	**-$1,194.41**	**N/A**	**N/A**
	Client Investment (Excluding Reinvestments)					$5,685.25			
	Gain/Loss on Client Investment (Including Reinvestments)					-$536.95			
AMERICAN FUNDS WASHINGTON MUTUAL FD F2 WMFFX									
On Reinvestment									
Acquired 07/20/15 S		278 80400	41 27	11,506.19		10,708 86	-797.33		
Reinvestments S		15 36200	37 68	578.85		590 05	11 20		
Total	**0.28**	**294.16600**	**$41.08**	**$12,085.04**	**38.4100**	**$11,298.91**	**-$786.13**	**$240.92**	**2.13**
	Client Investment (Excluding Reinvestments)					$11,506 19			
	Gain/Loss on Client Investment (Including Reinvestments)					-$207.28			
METROPOLITAN WEST FDS TOTAL RETURN BD FD CL I MWTIX - HELD IN MARGIN									
On Reinvestment									
Acquired 04/22/09 L nc		2,968.29000	8 94	26,536.51		31,523 24	4,986.73		
Acquired 07/06/09 L nc		1,792.86400	9 19	16,476.42		19,040 22	2,563.80		
Acquired 08/19/09 L nc		1,697.82200	9.55	16,214 20		18,030 87	1,816.67		
Reinvestments L m		2,023 10700	10.50	21,256.99		21,485.39	228.40		
Reinvestments S		248 09700	10 79	2,677 49		2,634 79	-42 70		

MELVIN R BAUM PRIVATE FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 5237-8360

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Total	**2.26**	**8,730.18000**	**$9.53**	**$83,161.61**	**10.6200**	**$92,714.51**	**$9,552.90**	**$1,693.65**	**1.83**
	Client Investment (Excluding Reinvestments)					$59,227.13			
	Gain/Loss on Client Investment (Including Reinvestments)					$33,487 38			
MAINSTAY LARGE CAP GROWTH FUND CLASS I MLAIX									
On Reinvestment									
Acquired 07/20/15 S		880.66300	11.55	10,171 59		8,656.91	-1,514.68		
Reinvestments S		114 22400	9.82	1,121 68		1,122.82	1.14		
Total	**0.24**	**994.88700**	**$11.35**	**$11,293.27**	**9.8300**	**$9,779.73**	**-$1,513.54**	**N/A**	**N/A**
	Client Investment (Excluding Reinvestments)					$10,171 59			
	Gain/Loss on Client Investment (Including Reinvestments)					-$391 86			
WELLS FARGO ABSOLUTE RETURN FUND CLASS ADMIN WARDX									
On Reinvestment									
Acquired 10/17/13 L		22,341 37600	11.19	250,000.00		227,435 20	-22,564 80		
Reinvestments L		569 87000	11.12	6,342 25		5,801.28	-540.97		
Reinvestments S		924 01000	10.59	9,785 73		9,406 42	-379 31		
Total	**5.92**	**23,835.25600**	**$11.17**	**$266,127.98**	**10.1800**	**$242,642.90**	**-$23,485.08**	**N/A**	**N/A**
	Client Investment (Excluding Reinvestments)					$250,000 00			
	Gain/Loss on Client Investment (Including Reinvestments)					-$7,357 10			
WELLS FARGO EMERGING GROWTH FUND CLASS INST WEMIX									
On Reinvestment									
Acquired 07/20/15 S		279.30700	18.59	5,192.27		3,843.26	-1,349 01		
Acquired 08/13/15 S		4 58500	17 36	79.60		63 09	-16 51		
Reinvestments S		35.61700	13 88	494 37		490 09	-4 28		
Total	**0.11**	**319.50900**	**$18.05**	**$5,766.24**	**13.7600**	**$4,396.44**	**-$1,369.80**	**N/A**	**N/A**
	Client Investment (Excluding Reinvestments)					$5,271.87			
	Gain/Loss on Client Investment (Including Reinvestments)					-$875 43			

WELLS FARGO ADVISORS

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 5237-8360

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
PRINCIPAL FDS INC GLOBAL DIVERSIFIED INCOME FD INSTL CL PGDIX On Reinvestment									
Acquired 10/01/14 L		13,661 20200	14.64	200,000 00		177,595 62	-22,404 38		
Reinvestments L		546.21500	14 03	7,668 33		7,100 80	-567 53		
Reinvestments S		730 22100	13 62	9,949 33		9,492 87	-456.46		
Total	**4.74**	**14,937.63800**	**$14.57**	**$217,617.66**	**13.0000**	**$194,189.29**	**-$23,428.37**	**$10,232.28**	**5.27**
	Client Investment (Excluding Reinvestments)					$200,000 00			
	Gain/Loss on Client Investment (Including Reinvestments)					-$5,810 71			
AMERICAN EUROPACIFIC GROWTH FU CL F2 AEPFX - HELD IN MARGIN On Reinvestment									
Acquired 08/18/09 L nc		224 13800	34.42	7,715 76		10,142.24	2,426.48		
Acquired 08/19/09 L nc		673.04100	34.73	23,378.52		30,455.10	7,076 58		
Acquired 01/12/10 L nc		2,550.56800	39 20	100,000.00		115,413.20	15,413.20		
Reinvestments L m		276 53800	41 89	11,585 69		12,513 35	927.66		
Reinvestments S		123 50100	45 64	5,637 82		5,588.42	-49 40		
Total	**4.25**	**3,847.78600**	**$38.55**	**$148,317.79**	**45.2500**	**$174,112.31**	**$25,794.52**	**$3,555.35**	**2.04**
	Client Investment (Excluding Reinvestments)					$131,094.28			
	Gain/Loss on Client Investment (Including Reinvestments)					$43,018.03			
OPPENHEIMER DEV MKTS CL Y ODVYX On Reinvestment									
Acquired 07/20/15 S		248 93500	34 61	8,615.57		7,465 55	-1,150.02		
Acquired 08/13/15 S		16.35900	31 99	523 32		490 61	-32 71		
Reinvestments S		1 92300	30 90	59.43		57 67	-1.76		
Total	**0.20**	**267.21700**	**$34.42**	**$9,198.32**	**29.9900**	**$8,013.83**	**-$1,184.49**	**$59.85**	**0.75**
	Client Investment (Excluding Reinvestments)					$9,138.89			
	Gain/Loss on Client Investment (Including Reinvestments)					-$1,125.06			

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 5237-8360

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL PTTRX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		5,218 58500	10.17	53,073 01		52,551 15	-521 86		
Acquired 07/06/09 L nc		3,127 91600	10 50	32,843 12		31,498.11	-1,345 01		
Acquired 08/19/09 L nc		287 08000	10.71	3,074 63		2,890 90	-183 73		
Reinvestments L m		3,055.26300	10.98	33,568 93		30,766 49	-2,802 44		
Reinvestments S		765 49800	10.32	7,900 67		7,708.57	-192 10		
Total	**3.06**	**12,454.34200**	**$10.48**	**$130,460.36**	**10.0700**	**$125,415.22**	**-$5,045.14**	**$3,748.75**	**2.99**
Client Investment (Excluding Reinvestments)						$88,990 76			
Gain/Loss on Client Investment (Including Reinvestments)						$36,424 46			
PIMCO FDS PAC INVT MGMT SER EMERGING MKTS BD FD INSTL CL PEBIX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		1,237 77200	8 71 8 82	10,786 06 10,917.15		11,523 65	737 59		
Acquired 07/06/09 L nc		1,092.05100	9 29 9.40	10,149.63 10,265.28		10,166.99	17 36		
Reinvestments L m		951 51800	11.04 11.05	10,506.04 10,518 11		8,858 64	-1,647 40		
Reinvestments S		191.86300	9 95	1,909 07		1,786.24	-122.83		
Total	**0.79**	**3,473.20400**	**$9.60** **$9.68**	**$33,350.80** **$33,609.61**	**9.3100**	**$32,335.52**	**-$1,015.28**	**$1,778.28**	**5.50**
Client Investment (Excluding Reinvestments)						$21,182 43			
Gain/Loss on Client Investment (Including Reinvestments)						$11,153.09			
PRUDENTIAL GLOBAL TOTAL RETURN FND CL Z PZTRX On Reinvestment									
Acquired 10/01/14 L		28,860.02900	6 93	200,000.00		182,972 58	-17,027 42		
Reinvestments L		207 53800	6.89	1,430.01		1,315.79	-114 22		
Reinvestments S		1,096 20000	6.56	7,200 85		6,949.91	-250 94		

WELLS FARGO ADVISORS

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 5237-8360

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Total	**4.67**	**30,163.76700**	**$6.92**	**$208,630.86**	**6.3400**	**$191,238.28**	**-$17,392.58**	**$7,359.95**	**3.85**
	Client Investment (Excluding Reinvestments)					$200,000.00			
	Gain/Loss on Client Investment (Including Reinvestments)					-$8,761 72			
T ROWE PRICE BLUE CHIP GROWTH FUND									
TRBCX - HELD IN MARGIN									
On Reinvestment									
Acquired 04/22/09 L nc		914 54700	24 18	22,113 75		66,194 91	44,081.16		
Acquired 07/06/09 L nc		492.10000	26 11	12,848.74		35,618.20	22,769.46		
Acquired 08/19/09 L nc		1,055 56800	28 50	30,083 69		76,402 01	46,318 32		
Acquired 01/12/10 L nc		3,039.51400	32.90	100,000.00		220,000.02	120,000.02		
Reinvestments L m		302.54900	63.63	19,253 29		21,898 50	2,645 21		
Reinvestments S		191 58200	71 49	13,698 10		13,866.70	168 60		
Total	**10.59**	**5,995.86000**	**$33.02**	**$197,997.57**	**72.3800**	**$433,980.34**	**$235,982.77**	**N/A**	**N/A**
	Client Investment (Excluding Reinvestments)					$165,046 18			
	Gain/Loss on Client Investment (Including Reinvestments)					$268,934.16			
THORNBURG INVT TR INVT INCOME BUILDER FD CL I									
TIBIX - HELD IN MARGIN									
On Reinvestment									
Acquired 03/15/11 L nc		13,048 01700	19 16	250,000.00		249,086 64	-913 36		
Reinvestments L m		3,210 18000	19.62	62,988 76		61,282 33	-1,706.43		
Reinvestments S		722 80700	20.30	14,675 58		13,798 39	-877.19		
Total	**7.91**	**16,981.00400**	**$19.30**	**$327,664.34**	**19.0900**	**$324,167.36**	**-$3,496.98**	**$15,096.11**	**4.66**
	Client Investment (Excluding Reinvestments)					$250,000 00			
	Gain/Loss on Client Investment (Including Reinvestments)					$74,167.36			
VICTORY SYCAMORE SMALL CO OPPTY FD CL I									
VSOIX									
On Reinvestment									
Acquired 07/20/15 S		175 59500	40 31	7,078 19		6,295 08	-783 11		
Acquired 08/13/15 S		104 15100	40 53	4,221.25		3,733 81	-487.44		
Reinvestments S		27 04000	36 61	990.19		969 38	-20.81		

WELLS FARGO ADVISORS

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1, 2015 - DECEMBER 31, 2015
ACCOUNT NUMBER 5237-8360

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Total	**0.27**	**306.78600**	**$40.06**	**$12,289.63**	**35.8500**	**$10,998.27**	**-$1,291.36**	**$51.84**	**0.47**
Client Investment (Excluding Reinvestments)						$11,299 44			
Gain/Loss on Client Investment (Including Reinvestments)						-$301 17			
VOYA FUNDS VOYA LARGE CAP GROWTH FUND CLASS I PLCIX On Reinvestment									
Acquired 07/20/15 S		203 35389	35 60	7,239 46		6,895 73	-343.73		
Reinvestments S		5.52711	33 24	183 74		187 42	3.68		
Total	**0.17**	**208.88100**	**$35.54**	**$7,423.20**	**33.9100**	**$7,083.15**	**-$340.05**	**N/A**	**N/A**
Client Investment (Excluding Reinvestments)						$7,239 46			
Gain/Loss on Client Investment (Including Reinvestments)						-$156 31			
Total Open End Mutual Funds	**48.36**			**$1,780,225.50** **$1,780,484.31**		**$1,982,028.40**	**$201,802.90**	**$47,776.92**	**2.41**
Total Mutual Funds	**48.36**			**$1,780,225.50** **$1,780,484.31**		**$1,982,028.40**	**$201,802.90**	**$47,776.92**	**2.41**

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS.
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS

Activity detail

Income and distributions

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/01	Cash	DIVIDEND		CHURCH & DWIGHT INC 120115 259		86 77
12/01	Cash	DIVIDEND		INTEL CORP 120115 368		88 32