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Form **990-PF**

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

**2015**

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation RICHARD P & LAURINE KIMMEL CHARITABLE FOUNDATION INC		<b>A Employer identification number</b>  36-3617581	
Number and street (or P O box number if mail is not delivered to street address) 8555 EXECUTIVE WOODS DRIVE RM 600		<b>B</b> Telephone number (see instructions)  (402) 475-1797	
City or town, state or province, country, and ZIP or foreign postal code LINCOLN, NE 68512		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change		<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 22,745,844		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )			Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule) . . . . .				
	2	Check ▶ <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3	Interest on savings and temporary cash investments	38,079	38,079		
	4	Dividends and interest from securities . . . . .	718,036	718,036		
	5a	Gross rents . . . . .				
	b	Net rental income or (loss) _____				
	6a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a _____				
	7	Capital gain net income (from Part IV, line 2) . . .				
	8	Net short-term capital gain . . . . .				
	9	Income modifications . . . . .				
	10a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less Cost of goods sold . . . . .				
	c	Gross profit or (loss) (attach schedule) . . . . .				
	11	Other income (attach schedule) . . . . .				
	12	<b>Total.</b> Add lines 1 through 11 . . . . .	756,115	756,115		
	13	Compensation of officers, directors, trustees, etc	141,750	70,875		70,875
	14	Other employee salaries and wages . . . . .	104,820	34,940		69,880
	15	Pension plans, employee benefits . . . . .	22,593	9,173		13,420
	16a	Legal fees (attach schedule). . . . .	70			70
	b	Accounting fees (attach schedule). . . . .	13,210	4,359		8,851
	c	Other professional fees (attach schedule) . . . . .	4,004	400		3,604
	17	Interest . . . . .				
	18	Taxes (attach schedule) (see instructions) . . .	26,205	26,205		
	19	Depreciation (attach schedule) and depletion . . .	25,631	25,631		
	20	Occupancy . . . . .	45,024	22,512		22,512
	21	Travel, conferences, and meetings. . . . .	9,678			9,678
	22	Printing and publications . . . . .				
	23	Other expenses (attach schedule). . . . .	101,026	28,221		72,805
	24	<b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	494,011	222,316		271,695
	25	Contributions, gifts, grants paid . . . . .	283,434			283,434
	26	<b>Total expenses and disbursements.</b> Add lines 24 and 25	777,445	222,316		555,129
	27	Subtract line 26 from line 12				
	a	<b>Excess of revenue over expenses and disbursements</b>	-21,330			
	b	<b>Net investment income</b> (if negative, enter -0-)		533,799		
	c	<b>Adjusted net income</b> (if negative, enter -0-) . . .				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	150,795	61,938	61,938
	2	Savings and temporary cash investments . . . . .	1,308,093	3,638,547	3,638,547
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions). . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ 225,000 Less allowance for doubtful accounts ▶ _____		225,000	225,000
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	17,238,099	14,975,518	17,498,563
	c	Investments—corporate bonds (attach schedule) . . . . .	502,807	740,951	738,938
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans. . . . .			
	13	Investments—other (attach schedule) . . . . .	444,062		
	14	Land, buildings, and equipment basis ▶ _____ 1,017,622 Less accumulated depreciation (attach schedule) ▶ _____ 434,764	608,489	582,858	582,858
15	Other assets (describe ▶ _____)				
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	20,252,345	20,224,812	22,745,844	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule). . . . .			
	22	Other liabilities (describe ▶ _____)	294	435	
	23	Total liabilities(add lines 17 through 22) . . . . .	294	435	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .	20,252,051	20,224,377	
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances(see instructions) . . . . .	20,252,051	20,224,377	
31	Total liabilities and net assets/fund balances(see instructions) . . . . .	20,252,345	20,224,812		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1 20,252,051
2	Enter amount from Part I, line 27a . . . . .	2 -21,330
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3 . . . . .	4 20,230,721
5	Decreases not included in line 2 (itemize) ▶ _____	5 6,344
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6 20,224,377

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr )	Date sold (d) (mo , day, yr )
1a				
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )  
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	2,038,901	18,674,831	0 109179
2013	946,472	22,060,940	0 042903
2012	8,064,966	21,192,904	0 380550
2011	1,567,462	21,626,138	0 072480
2010	800,066	20,889,642	0 038300

2	Total of line 1, column (d). . . . .	2	0 643412
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 128682
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5. . . . .	4	24,789,111
5	Multiply line 4 by line 3. . . . .	5	3,189,912
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	5,338
7	Add lines 5 and 6. . . . .	7	3,195,250
8	Enter qualifying distributions from Part XII, line 4. . . . .	8	555,129

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1  
Date of ruling or determination letter \_\_\_\_\_  
(attach copy of letter if necessary—see instructions)

b

Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b . . . . .

c

All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2

3

Add lines 1 and 2. . . . .

3

10,676

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

4

5

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .

5

10,676

6

Credits/Payments

a

2015 estimated tax payments and 2014 overpayment credited to 2015

6a

16,916

b

Exempt foreign organizations—tax withheld at source. . . . .

6b

c

Tax paid with application for extension of time to file (Form 8868). . . . .

6c

d

Backup withholding erroneously withheld. . . . .

6d

7

Total credits and payments. Add lines 6a through 6d. . . . .

7

16,916

8

Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.

8

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed. . . . .

9

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .

10

6,240

11

Enter the amount of line 10 to be Credited to 2015 estimated tax ☐ 6,240 Refunded ☐

11

Part VII-A

Statements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . .  
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1b

No

c

Did the foundation file Form 1120-POL for this year? . . . . .

1c

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  
(1) On the foundation ☐ \$ \_\_\_\_\_ (2) On foundation managers ☐ \$ \_\_\_\_\_

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ \_\_\_\_\_

2

Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .  
If "Yes," attach a detailed description of the activities.

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes. . . . .

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .

4a

No

b

If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .  
If "Yes," attach the statement required by General Instruction T.

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  
• By language in the governing instrument, or  
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .

6

No

7

Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. . . . .

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions)  
☐ NE \_\_\_\_\_

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation. . . . .

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)?  
If "Yes," complete Part XIV. . . . .

9

No

10

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. . . . .

10

No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶KIMMELFOUNDATION.ORG	13	Yes	
14	The books are in care of ▶KYLE L SITZMAN CPA Telephone no ▶(402) 484-7676 Located at ▶4240 PIONEER WOODS DRIVE LINCOLN NE ZIP+4 ▶68506			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? . . . . . <input type="checkbox"/>	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . . <input type="checkbox"/>	2b		
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.</i> ) . . . . . <input type="checkbox"/>	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A )? (see instructions).

☐ Yes

☒ No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☐

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes

☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total

number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services.

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3. <div></div>	



Part X

Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	22,615,661
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	1,800,949
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	750,000
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	25,166,610
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	25,166,610
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	377,499
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	24,789,111
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	1,239,456

Part XI

Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	1,239,456
<b>2a</b>	Tax on investment income for 2015 from Part VI, line 5. . . . .	<b>2a</b>	10,676
<b>b</b>	Income tax for 2015 (This does not include the tax from Part VI ). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	10,676
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	<b>3</b>	1,228,780
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	1,228,780
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	<b>7</b>	1,228,780

Part XII

Qualifying Distributions (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
<b>a</b>	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	<b>1a</b>	555,129
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	555,129
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	555,129

**Note:**The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				1,228,780
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only. . . . .				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2015				
a From 2010. . . . .				
b From 2011. . . . .				504,819
c From 2012. . . . .				7,022,011
d From 2013. . . . .				
e From 2014. . . . .				1,118,606
f Total of lines 3a through e. . . . .	8,645,436			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ _____ 555,129				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2015 distributable amount. . . . .				555,129
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	673,651			673,651
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	7,971,785			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015. . . . .				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a. . . . .	7,971,785			
10 Analysis of line 9				
a Excess from 2011. . . . .				
b Excess from 2012. . . . .				6,853,179
c Excess from 2013. . . . .				
d Excess from 2014. . . . .				1,118,606
e Excess from 2015. . . . .				

**Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV** **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

### 1 Information Regarding Foundation Managers:

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include  
APPLICATION'S ARE TO BE SUBMITTED PER THE ATTACHED

c Any submission deadlines  
MARCH 31, JUNE 30, SEPTEMBER 30, DECEMBER 31

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

RESTRICTIONS ARE PER THE ATTACHED STATEMENTS

### 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2015)

## Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2015)



Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation(If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ERNEST L WEYENETH 8555 EXECUTIVE WOODS DR 600 LINCOLN,NE 68512	PRESIDENT 20 00	141,750	0	0
PATRICIA HOLMES 153 S 56TH ROAD NEBRASKA CITY,NE 68410	DIRECTOR 5 00	0	0	0
TYLER CROWNOVER 80 S ROAD UNADILLA,NE 68454	DIRECTOR 5 00	0	0	0
LEN WEYENETH 8555 EXECUTIVE WOODS DR 600 LINCOLN,NE 68508	DIRECTOR 10 00	0	0	0
KYLE RYAN 525 TERRA OAKS DRIVE NEBRASKA CITY,NE 68410	DIRECTOR 5 00	0	0	0

**TY 2015 Accounting Fees Schedule****Name:** RICHARD P & LAURINE KIMMEL

CHARITABLE FOUNDATION INC

**EIN:** 36-3617581

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	13,210	4,359		8,851



Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Depreciation Schedule

**Name:** RICHARD P & LAURINE KIMMEL  
CHARITABLE FOUNDATION INC

**EIN:** 36-3617581

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
DEPRECIATION						25,631			

# TY 2015 Investments Corporate Bonds Schedule

**Name:** RICHARD P & LAURINE KIMMEL  
CHARITABLE FOUNDATION INC

**EIN:** 36-3617581

Name of Bond	End of Year Book Value	End of Year Fair Market Value
PER ATTACHMENT	740,951	738,938

TY 2015 Investments Corporate Stock Schedule

**Name:** RICHARD P & LAURINE KIMMEL  
CHARITABLE FOUNDATION INC  
**EIN:** 36-3617581

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PER ATTACHMENT	14,975,518	17,498,563

TY 2015 Investments - Other Schedule

**Name:** RICHARD P & LAURINE KIMMEL  
CHARITABLE FOUNDATION INC  
**EIN:** 36-3617581

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ATLAS PIPELINE PARTNERS PTP	AT COST		

TY 2015 Land, Etc.  
Schedule

**Name:** RICHARD P & LAURINE KIMMEL  
CHARITABLE FOUNDATION INC  
**EIN:** 36-3617581

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
	1,017,622	434,764	582,858	582,858

## TY 2015 Legal Fees Schedule

**Name:** RICHARD P & LAURINE KIMMEL

CHARITABLE FOUNDATION INC

**EIN:** 36-3617581

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
VARIOUS ATTORNEYS	70			70

**TY 2015 Other Decreases Schedule**

**Name:** RICHARD P & LAURINE KIMMEL

CHARITABLE FOUNDATION INC

**EIN:** 36-3617581

Description	Amount
REALIZED LOSS ON INVESTS.	6,344

## TY 2015 Other Expenses Schedule

**Name:** RICHARD P & LAURINE KIMMEL

CHARITABLE FOUNDATION INC

**EIN:** 36-3617581

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
ADVERTISING	2,999			2,999
ARTIST STIPENDS-NET	12,582			12,582
CLEANING SERVICES	6,650			6,650
COMPUTER SUPPLIES & SERVICE	3,855	964		2,891
CUSTODIAL FEES	6,527	6,527		
DIRECTORS FEES	10,000	4,000		6,000
DUES & SUBSCRIPTIONS	15,253	1,525		13,728
INSURANCE	13,383	5,353		8,030
MEALS AND ENTERTAINMENT	2,142	214		1,928
OFFICE SUPPLIES	3,048	1,000		2,048
POSTAGE	687	100		587
SEMINARS	600			600
UTILITIES	21,344	8,538		12,806
WEBSITE	1,956			1,956



TY 2015 Other Liabilities Schedule

**Name:** RICHARD P & LAURINE KIMMEL  
CHARITABLE FOUNDATION INC  
**EIN:** 36-3617581

Description	Beginning of Year - Book Value	End of Year - Book Value
TAXES WITTHELD	294	435

**TY 2015 Other Notes/Loans Receivable Short Schedule**

**Name:** RICHARD P & LAURINE KIMMEL

CHARITABLE FOUNDATION INC

**EIN:** 36-3617581

Name of 501(c)(3) Organization	Balance Due
RECEIVABLE FROM KOV	225,000

TY 2015 Other Professional Fees Schedule

**Name:** RICHARD P & LAURINE KIMMEL

CHARITABLE FOUNDATION INC

**EIN:** 36-3617581

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
VARIOUS	4,004	400		3,604

# TY 2015 Taxes Schedule

**Name:** RICHARD P & LAURINE KIMMEL

CHARITABLE FOUNDATION INC

**EIN:** 36-3617581

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE	26,205	26,205		

# Kimmel Foundation Account QuickReport January through December 2015

Type	Date	Num	Name	Memo	Split	Amount
<b>5100 - Charitable Payments</b>						
<b>5100.1 - Orchard</b>						
Check	07/01/2015	9428	Kimmel Orchard & Vineyard Educational Fdn	Loan to Kimm	1010 Arbor B	75,000 00
Check	07/24/2015	9481	Kimmel Orchard & Vineyard Educational Fdn	Loan to Kimm	1010 Arbor B	100,000 00
Check	08/27/2015	9541	Kimmel Orchard & Vineyard Educational Fdn	Loan to Kimm	1010 Arbor B	50,000 00
General Journal	12/31/2015	BS201			1205 Note Re	-225,000 00
Total 5100 1 Orchard						0 00
<b>5100.5 - Other</b>						
Check	02/04/2015	9125	Lincoln Arts Council	Pmt of Grant	1010 Arbor B	3,500 00
Check	03/05/2015	9197	University of Nebraska Foundation	Pmt of Grant	1010 Arbor B	75,000 00
Check	03/11/2015	9207	AEVPA/Fox Theatre	Pmt of Grant	1010 Arbor B	1,000 00
Check	04/21/2015	9296	LUX Center for the Arts	Pmt of Grant	1010 Arbor B	10,000 00
Check	05/29/2015	9377	Cattlemen's Ball of Nebraska	Pmt of Grant	1010 Arbor B	10,000 00
Check	06/17/2015	9410	Nebraska Community Foundation	Pmt of Grant	1010 Arbor B	5,000 00
Check	06/26/2015	9421	YMCA of Lincoln Nebraska	Pmt of Grant	1010 Arbor B	10,000 00
Check	07/17/2015	9462	Kearney Area Community Foundation	Pmt of Grant	1010 Arbor B	3,000 00
Check	07/24/2015	9482	Crane River Theater Company Inc	Pmt of Grant	1010 Arbor B	2,300 00
Check	08/10/2015	9506	Otoe County Agriculture Society, Inc	Pmt of Grant	1010 Arbor B	7,827 38
Check	08/19/2015	9531	Hillcrest Nursing Home Foundation Inc	Pmt of Grant	1010 Arbor B	10,000 00
Check	09/01/2015	9548	American Heart Association Inc	Pmt of Grant	1010 Arbor B	15,000 00
Check	09/10/2015	9574	City of Nebraska City	Pmt of Grant	1010 Arbor B	10,000 00
Check	09/10/2015	9575	Wounded Warriors Family Support	Pmt of Grant	1010 Arbor B	10,000 00
Check	10/07/2015	9629	Brownville Historical Society	Pmt of Grant	1010 Arbor B	10,000 00
Check	10/07/2015	9630	University of Nebraska Foundation	Pmt of Grant	1010 Arbor B	30,000 00
Check	11/03/2015	9684	Nebraska Community Foundation	Pmt of Grant	1010 Arbor B	5,000 00
Check	11/03/2015	9685	Kregel Windmill Factory Museum	Pmt of Grant	1010 Arbor B	0 00
Check	11/03/2015	9686	Kregel Windmill Factory Museum	Pmt of Grant	1010 Arbor B	0 00
General Journal	11/03/2015	BS201	Kregel Windmill Factory Museum	For CHK 968	1010 Arbor B	25,000 00
General Journal	11/03/2015	BS201	Kregel Windmill Factory Museum	Reverse of G	1010 Arbor B	-25,000 00
General Journal	11/03/2015	BS201	Kregel Windmill Factory Museum	For CHK 968	1010 Arbor B	2,806 35
General Journal	11/03/2015	BS201	Kregel Windmill Factory Museum	Reverse of G	1010 Arbor B	-2,806 35
Check	11/03/2015	9698	Kregel Windmill Factory Museum	Pmt of Grant	1010 Arbor B	2,806 35
Check	11/03/2015	9699	Kregel Windmill Factory Museum	Pmt of Grant	1010 Arbor B	25,000 00
Check	12/08/2015	9756	University of Nebraska Foundation	Pmt of Grant	1010 Arbor B	15,000 00
Check	12/08/2015	9768	University of Nebraska Foundation	Pmt of Grant	1010 Arbor B	3,000 00
Check	12/08/2015	9769	University of Nebraska Foundation	Pmt of Grant	1010 Arbor B	10,000 00
Check	12/11/2015	9770	Southeast Nebraska Community Action	Pmt of Grant	1010 Arbor B	10,000 00
Total 5100 5 Other						283,433 73
Total 5100 Charitable Payments						283,433 73
<b>TOTAL</b>						<b>283,433.73</b>

## ASSET DETAILS

This section shows the cash equivalents and/or securities in your account. Prices obtained from outside sources are considered reliable but are not guaranteed by Stifel. Actual prices may vary, and upon sale, you may receive more or less than your original purchase price. Contact your Financial Advisor for current price quotes. Gain/Loss is provided for informational purposes only. Cost basis may be adjusted for, but not limited to, amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales. The Gain/Loss information should not be used for tax preparation without the assistance of your tax advisor. Lot detail quantity displayed is truncated to the one thousandth of a share.

### NET CASH EQUIVALENTS

	Current value	Cost Basis	Estimated Annualized Income	Estimated Yield %
CASH	265,990.06	265,990.06		
GENERAL GOVT SECURITIES	3,362,634.49	3,362,634.49	168.13	0.01%
<b>Total Net Cash Equivalents</b>	<b>\$3,628,624.55</b>	<b>\$3,628,624.55</b>	<b>\$168.13</b>	<b>0.01%</b>

Balances in the Insured Bank Program or in any money market fund offered as an available fund for Cash Investment Services at Stifel can be liquidated upon request and returned to your brokerage account or remitted directly to you

### PORTFOLIO ASSETS - HELD AT STIFEL

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
AT&T INC CUSIP 00206R102	T Cash	20,000	34.4100 688,200.00	33.7153 674,306.00	13,894.00	38,400.00	5.58%
BERKSHIRE HATHAWAY INC DE CL B NEW CUSIP 084670702	BRK/B Cash	7,500	132.0400 990,300.00	141.2906 1,059,679.46	-69,379.46	N/A	N/A
COCA-COLA COMPANY CUSIP 191216100	KO Cash	26,000	42.9600 1,116,960.00	31.2072 811,388.25	305,571.75	34,320.00	3.07%
CONOCOPHILLIPS CUSIP 20825C104	COP Cash	9,500	46.6900 443,555.00	50.3061 477,907.78	-34,352.78	28,120.00	6.34%
CUMMINS INC CUSIP 231021106	CMI Cash	4,500	88.0100 396,045.00	109.6680 493,505.97	-97,460.97	17,550.00	4.43%
DOW CHEMICAL COMPANY CUSIP 260543103	DOW Cash	15,000	51.4800 772,200.00	33.9296 508,944.00	263,256.00	27,600.00	3.57%

**ASSET DETAILS (continued)**

**PORTFOLIO ASSETS - HELD AT STIFEL (continued)**

<b>Equities</b>	<b>Symbol/ Type</b>	<b>Quantity</b>	<b>Current Price/ Current Value</b>	<b>Average Unit Cost/ Cost Basis</b>	<b>Unrealized Gain/(-)Loss*</b>	<b>Estimated Annualized Income</b>	<b>Estimated Yield %</b>
HASBRO INC CUSIP 418056107	HAS Cash	8,500	67.3600 572,560.00	58.4758 497,044.09	75,515.91	15,640.00	2.73%
JPMORGAN CHASE & COMPANY CUSIP 46625H100	JPM Cash	8,500	66.0300 561,255.00	59.3966 504,871.03	56,383.97	14,960.00	2.67%
JOHNSON & JOHNSON CUSIP 478160104	JNJ Cash	17,500	102.7200 1,797,600.00	61.7974 1,081,454.50	716,145.50	52,500.00	2.92%
ONEOK INC NEW CUSIP 682680103	OKE Cash	16,500	24.6600 406,890.00	40.2793 664,608.76	-257,718.76	40,590.00	9.98%
STARBUCKS CORP CUSIP 855244109	SBUX Cash	13,600	60.0300 816,408.00	36.8794 501,560.50	314,847.50	10,880.00	1.33%
3M COMPANY CUSIP 88579Y101	MMM Cash	5,000	150.6400 753,200.00	72.5700 362,850.00	390,350.00	20,500.00	2.72%
UNION PACIFIC CORP CUSIP 907818108	UNP Cash	10,000	78.2000 782,000.00	62.3614 623,613.50	158,386.50	22,000.00	2.81%
VERIZON COMMUNICATIONS INC CUSIP 92343V104	VZ Cash	10,000	46.2200 462,200.00	28.1303 281,302.90	180,897.10	22,600.00	4.89%
WELLS FARGO & CO NEW CUSIP 949746101	WFC Cash	9,000	54.3600 489,240.00	54.5905 491,314.10	-2,074.10	13,500.00	2.76%
ALPS ALERIAN MLP ETF CUSIP 00162Q866 Original Cost 1,325,970.26	AMLP Cash	82,000	12.0500 988,100.00	15.8518 1,299,845.42	-311,745.42	97,252.00	9.84%
VANGUARD INFORMATION TECHNOLOGY ETF CUSIP 92204A702	VGT Cash	22,500	108.2900 2,436,525.00	80.1809 1,804,070.19	632,454.81	22,162.50	0.91%
<b>Total Equities</b>			<b>\$14,473,238.00</b>	<b>\$12,138,266.45</b>	<b>\$2,334,971.55</b>	<b>\$478,574.50</b>	<b>3.31%</b>

**ASSET DETAILS (continued)**

**PORTFOLIO ASSETS - HELD AT STIFEL (continued)**

Preferreds				Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
COUNTRYWIDE CAP V NEW TRUST PFD 7% 11/01/36 CUSIP 222388209	CFCB Cash	5,000	25.6300 128,150.00	25.0000 125,000.00	3,150.00	8,750.00	6.83%
ING GROEP N V PERPETUAL DEBT SECURITIES 6.2% CUSIP 456837400	ISP Cash	5,000	25.5000 127,500.00	23.2000 116,000.00	11,500.00	7,750.00	6.08%
MORGAN STANLEY CAPITAL TRUST III PREFERRED 6.25% CUSIP 617460209	MWR Cash	5,000	25.4800 127,400.00	24.8300 124,150.00	3,250.00	7,812.50	6.13%
Total Preferreds				\$365,150.00	\$17,900.00	\$24,312.50	6.35%
Corporate/Government Bonds				Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
OWENS CORNING SR NOTE CPN 6.500% DUE 12/01/16 DTD 06/01/07 FC 12/01/07 CUSIP 690742AA9 Original Cost 258,606.21	S&P BBB- Moody Ba1 Cash	250,000	102.8930 257,232.50	251,579.88 100.5785 251,446.27 = <del>251</del> 133.61	5,786.23	16,250.00	6.32%
KINDER MORGAN INC DE SR NOTE CPN 2.000% DUE 12/01/17 DTD 11/26/14 FC 06/01/15 CUSIP 49456BAD3	S&P BBB- Moody Baa3 Cash	500,000	96.3490 481,745.00	97.9010 489,505.00	-7,760.00	10,000.00	2.08%
Total Corporate/Government Bonds				\$740,951.27	\$2,187.50	\$26,250.00	3.55%

Principal Protected Notes are subject to the credit risk of the issuer. Principal Protected Market Linked CDs are subject to applicable limits



ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Mutual Funds	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Original Investment 1/ Cumulative Return <sup>2</sup>	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
<b>Closed-End Funds</b>								
EATON VANCE FLOATING RATE INCOME TRUST CUSIP 278279104	EFT Cash	83,000	12 6400 1,049,120 00	14 9849 1,243,745 47	1,243,745 47 -194,625 47	-194,625 47	75,696 00	7 22%
MFS CHARTER INCOME TRUST SBI CUSIP 552727109	MCR Cash	80,000	7 6200 609,600.00	9.9156 793,248 22	793,248 22 -183,648 22	-183,648 22	55,632 00	9 13%
TEKLA HEALTHCARE INV SBI CUSIP 87911J103	HQH Cash	33,161	29 6600 983,555.26	16 0538 532,359 62	532,359 62 451,195 64	451,195 64	78,259.96	7 96%
<b>Total Mutual Funds</b>			<b>\$2,642,275.26</b>	<b>\$2,569,353.31</b>		<b>\$72,921.95</b>	<b>\$209,587.96</b>	<b>7.93%</b>
<b>Total Portfolio Assets - Held at Stifel</b>			<b>\$18,237,540.76</b>	<b>\$15,813,721.03</b>		<b>\$2,423,819.73</b>	<b>\$738,724.96</b>	<b>4.05%</b>
<b>Total Net Portfolio Value</b>			<b>\$21,866,165.31</b>	<b>\$19,442,345.58</b>	<b>388.81</b>	<b>\$2,423,819.73</b>	<b>\$738,893.09</b>	<b>3.38%</b>

<sup>1</sup> Original Investment: Total amount invested in the purchased shares still held in the account Does not include shares purchased through automatic reinvestment of capital gains and dividends

<sup>2</sup> Cumulative Return: Current Value minus Original Investment This is the capital appreciation (depreciation) of all shares purchased, including shares purchased through automatic reinvestment of capital gains and dividends.

\* Please note "Unrealized Gain/(-)Loss" does not equal the total current value minus the total cost if any value or cost amounts are missing Unrealized gains or losses are provided for your information only and should not be used for tax purposes

19,442,345.58

19,442,731.47  
QB =

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97,252.00

19,345,093.58