



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



EXTENDED TO AUGUST 15, 2016

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)

OMB No 1545-0052

2015

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue Service

For calendar year 2015 or tax year beginning

, and ending

Name of foundation

THE JAMES HUNTINGTON FOUNDATION  
C/O MR. SAMUEL H. ELLIS

A Employer identification number

36-3553345

Number and street (or P O box number if mail is not delivered to street address)

311 S. WACKER DRIVE

Room/suite

3390

B Telephone number

312-855-4460

City or town, state or province, country, and ZIP or foreign postal code

CHICAGO, IL 60606

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 17,858,905.

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify) \_\_\_\_\_F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

(Part I, column (d) must be on cash basis)

## Part I

## Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch. B Interest on savings and temporary cash investments

3 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 14,133,529.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

c Other professional fees

17 Interest

18 Taxes

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

N/A

1,603.

1,603.

STATEMENT 1

228,272.

228,272.

STATEMENT 2

1,761,584.

1,761,584.

-783,483.

36,518.

STATEMENT 3

1,207,976.

2,027,977.

0.

0.

0.

6,550.

6,550.

0.

266,965.

266,965.

0.

49,146.

38,146.

0.

2,824.

2,824.

0.

325,485.

314,485.

0.

924,000.

924,000.

1,249,485.

314,485.

924,000.

-41,509.

1,713,492.

N/A

523501  
11-24-15

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2015)

SCANNED JUL 15 2016

325 8

**THE JAMES HUNTINGTON FOUNDATION**

Form 990-PF (2015)

**C/O MR. SAMUEL H. ELLIS**

**36-3553345**

Page **2**

<b>Part II Balance Sheets</b>		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
<b>Assets</b>	1 Cash - non-interest-bearing		1.	1.	
	2 Savings and temporary cash investments	10,138,196.	7,963,479.	7,963,479.	
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock <b>STMT 8</b>	7,213,786.	9,417,424.	9,417,424.	
	c Investments - corporate bonds				
	<b>Liabilities</b>	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶					
12 Investments - mortgage loans					
13 Investments - other <b>STMT 9</b>		534,072.	395,671.	395,671.	
14 Land, buildings, and equipment basis ▶					
Less: accumulated depreciation ▶					
15 Other assets (describe ▶ <b>STATEMENT 10</b> )		14,360.	82,330.	82,330.	
16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)		17,900,414.	17,858,905.	17,858,905.	
17 Accounts payable and accrued expenses					
18 Grants payable					
19 Deferred revenue					
20 Loans from officers, directors, trustees, and other disqualified persons					
21 Mortgages and other notes payable					
22 Other liabilities (describe ▶ )					
23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.			
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/>				
	<b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/>				
	<b>and complete lines 27 through 31.</b>				
	27 Capital stock, trust principal, or current funds	17,900,414.	17,858,905.		
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.			
30 <b>Total net assets or fund balances</b>	17,900,414.	17,858,905.			
31 <b>Total liabilities and net assets/fund balances</b>	17,900,414.	17,858,905.			

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	17,900,414.
2 Enter amount from Part I, line 27a	-41,509.
3 Other increases not included in line 2 (itemize) ▶	0.
4 Add lines 1, 2, and 3	17,858,905.
5 Decreases not included in line 2 (itemize) ▶	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	17,858,905.

**THE JAMES HUNTINGTON FOUNDATION**

Form 990-PF (2015)

**C/O MR. SAMUEL H. ELLIS**

**36-3553345**

Page **3**

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SEE ATTACHMENT A</b>	<b>P</b>	<b>VARIOUS</b>	<b>VARIOUS</b>
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 14,133,529.</b>		<b>12,371,945.</b>	<b>1,761,584.</b>
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			<b>1,761,584.</b>
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7             }	<b>2</b>	<b>1,761,584.</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		<b>3</b>	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	915,767.	18,419,653.	.049717
2013	887,651.	17,779,622.	.049925
2012	748,330.	15,402,391.	.048585
2011	736,500.	15,453,376.	.047659
2010	666,000.	15,078,009.	.044170

<b>2</b> Total of line 1, column (d)	<b>2</b>	<b>.240056</b>
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	<b>.048011</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	<b>4</b>	<b>18,149,733.</b>
<b>5</b> Multiply line 4 by line 3	<b>5</b>	<b>871,387.</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	<b>17,135.</b>
<b>7</b> Add lines 5 and 6	<b>7</b>	<b>888,522.</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	<b>924,000.</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## THE JAMES HUNTINGTON FOUNDATION

Form 990-PF (2015)

C/O MR. SAMUEL H. ELLIS

36-3553345

Page 4

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	17,135.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	17,135.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	17,135.
6	Credits/Payments:		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	10,766.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	15,500.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	26,266.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	9,131.
11	Enter the amount of line 10 to be: <b>Credited to 2016 estimated tax</b> <input checked="" type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

N/A

Form 990-PF (2015)

## THE JAMES HUNTINGTON FOUNDATION

C/O MR. SAMUEL H. ELLIS

36-3553345

**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	

Website address **N/A**

14 The books are in care of **SAMUEL H. ELLIS** Telephone no. **312-855-4462**  
 Located at **311 SOUTH WACKER DRIVE STE 3390, CHICAGO, IL** ZIP+4 **60606**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐  
 and enter the amount of tax-exempt interest received or accrued during the year **15** **N/A**

16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? **16** **Yes** **No** **X**  
 See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country **N/A**

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	<b>N/A</b>	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<b>N/A</b>	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	<b>N/A</b>	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		X

## THE JAMES HUNTINGTON FOUNDATION

Form 990-PF (2015)

C/O MR. SAMUEL H. ELLIS

36-3553345

Page 6

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SAMUEL H. ELLIS 311 SOUTH WACKER DRIVE STE 3390 CHICAGO, IL 60606	TRUSTEE	0.00	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2015)





**THE JAMES HUNTINGTON FOUNDATION**  
**C/O MR. SAMUEL H. ELLIS**

Form 990-PF (2015)

36-3553345

Page 8

**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	18,426,125.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	18,426,125.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	18,426,125.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	276,392.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	18,149,733.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	907,487.

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	907,487.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	17,135.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	17,135.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	890,352.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	890,352.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	890,352.

**Part XII**

**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	924,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	924,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	17,135.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	906,865.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2015)

**THE JAMES HUNTINGTON FOUNDATION**  
**C/O MR. SAMUEL H. ELLIS**

Form 990-PF (2015)

36-3553345

Page 9

**Part XIII** **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7				<b>890,352.</b>
<b>2</b> Undistributed income, if any, as of the end of 2015				
<b>a</b> Enter amount for 2014 only			<b>697,649.</b>	
<b>b</b> Total for prior years:		<b>0.</b>		
<b>3</b> Excess distributions carryover, if any, to 2015:				
<b>a</b> From 2010				
<b>b</b> From 2011				
<b>c</b> From 2012				
<b>d</b> From 2013				
<b>e</b> From 2014				
<b>f</b> Total of lines 3a through e	<b>0.</b>			
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4: ► \$ <b>924,000.</b>				
<b>a</b> Applied to 2014, but not more than line 2a			<b>697,649.</b>	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		<b>0.</b>		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	<b>0.</b>			
<b>d</b> Applied to 2015 distributable amount				<b>226,351.</b>
<b>e</b> Remaining amount distributed out of corpus	<b>0.</b>			
<b>5</b> Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	<b>0.</b>			<b>0.</b>
<b>6</b> Enter the net total of each column as indicated below:	<b>0.</b>			
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	<b>0.</b>			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		<b>0.</b>		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		<b>0.</b>		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		<b>0.</b>		
<b>e</b> Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			<b>0.</b>	
<b>f</b> Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				<b>664,001.</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	<b>0.</b>			
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7	<b>0.</b>			
<b>9</b> Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	<b>0.</b>			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2011				
<b>b</b> Excess from 2012				
<b>c</b> Excess from 2013				
<b>d</b> Excess from 2014				
<b>e</b> Excess from 2015				

**THE JAMES HUNTINGTON FOUNDATION**

Form 990-PF (2015)

**C/O MR. SAMUEL H. ELLIS**

**36-3553345**

Page **10**

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**N/A**

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

**(a)** 2015

**(b)** 2014

**(c)** 2013

**(d)** 2012

**(e)** Total

**b** 85% of line 2a

**c** Qualifying distributions from Part XII, line 4 for each year listed

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

**e** Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

**3** Complete 3a, b, or c for the alternative test relied upon:

**a** "Assets" alternative test - enter:

**(1)** Value of all assets

**(2)** Value of assets qualifying under section 4942(j)(3)(B)(i)

**b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

**c** "Support" alternative test - enter:

**(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

**(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

**(3)** Largest amount of support from an exempt organization

**(4)** Gross investment income

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**NONE**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE JAMES HUNTINGTON FOUNDATION

Form 990-PF (2015)

C/O MR. SAMUEL H. ELLIS

36-3553345

Page 11

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
SEE ATTACHMENT B				924,000.
<b>Total</b>			<b>3a</b>	924,000.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			<b>3b</b>	0.

**Part XVI-A      Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income	
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
<b>1</b> Program service revenue:							
<b>a</b> _____							
<b>b</b> _____							
<b>c</b> _____							
<b>d</b> _____							
<b>e</b> _____							
<b>f</b> _____							
<b>g</b> Fees and contracts from government agencies							
<b>2</b> Membership dues and assessments							
<b>3</b> Interest on savings and temporary cash investments				14	1,603.		
<b>4</b> Dividends and interest from securities				14	228,272.		
<b>5</b> Net rental income or (loss) from real estate:							
<b>a</b> Debt-financed property							
<b>b</b> Not debt-financed property							
<b>6</b> Net rental income or (loss) from personal property							
<b>7</b> Other investment income				18	-783,483.		
<b>8</b> Gain or (loss) from sales of assets other than inventory				18	1,761,584.		
<b>9</b> Net income or (loss) from special events							
<b>10</b> Gross profit or (loss) from sales of inventory							
<b>11</b> Other revenue:							
<b>a</b> _____							
<b>b</b> _____							
<b>c</b> _____							
<b>d</b> _____							
<b>e</b> _____							
<b>12</b> Subtotal. Add columns (b), (d), and (e)			0.		1,207,976.	0.	
<b>13</b> Total. Add line 12, columns (b), (d), and (e)						1,207,976.	

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



# ATTACHMENT A

Huntington Management LLC  
**REALIZED GAINS AND LOSSES**  
**JAMES HUNTINGTON FOUNDATION**  
**CONSOLIDATED ACCOUNTS**  
*From 01-01-15 Through 12-31-15*

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
	01-02-2015		European Euros	2,714,467	2,849,994	135,527@	
	01-05-2015		Swiss Francs	109,991	115,000	5,009@	
04-08-2013	01-05-2015	275	GLOBAL PAYMENTS INC	12,364	21,821		9,457
04-05-2013	01-05-2015	1,225	GLOBAL PAYMENTS INC	54,331	97,203		42,872
04-05-2013	01-06-2015	3,875	GLOBAL PAYMENTS INC	171,864	305,222		133,358
12-02-2010	02-03-2015	9,600	IRISH CONTINENTAL GROUP PLC UTS IR	19,530	36,522		16,993
	02-11-2015		Canadian Dollars	3,250	3,118	-132@	
	02-11-2015		Australian Dollars	22	21	-1@	
	02-11-2015		Swiss Francs	12	12	0@	
	02-11-2015		European Euros	137	149	12@	
03-25-2013	02-26-2015	300	SARTORIUS AG PFD	32,734	40,965		8,230
	02-27-2015		Canadian Dollars	197	195	-2@	
06-17-2014	02-27-2015	6,700	HOWDEN JOINERY GROUP PLC	33,212	47,487	14,275	
06-17-2014	03-02-2015	8,800	HOWDEN JOINERY GROUP PLC	43,622	62,018	18,396	
06-18-2014	03-02-2015	600	HOWDEN JOINERY GROUP PLC	2,969	4,228	1,260	
03-25-2013	03-04-2015	800	SARTORIUS AG PFD	87,291	110,491		23,200
12-02-2010	03-04-2015	12,000	IRISH CONTINENTAL GROUP PLC UTS IR	24,412	46,636		22,224
12-02-2010	03-05-2015	1,700	IRISH CONTINENTAL GROUP PLC UTS IR	3,458	7,091		3,633
01-13-2015	03-06-2015	17,100	ROLLS-ROYCE HOLDINGS PLC	224,858	254,226	29,368	
01-14-2015	03-06-2015	900	ROLLS-ROYCE HOLDINGS PLC	11,771	13,380	1,609	
01-14-2015	03-09-2015	16,500	ROLLS-ROYCE HOLDINGS PLC	215,807	246,371	30,565	
12-02-2010	03-09-2015	800	IRISH CONTINENTAL GROUP PLC UTS IR	1,627	3,247		1,620
04-04-2014	03-10-2015	400	LECTRA	4,335	5,407	1,072	
03-26-2014	03-10-2015	1,000	LECTRA	10,707	13,517	2,810	
03-25-2014	03-10-2015	1,200	LECTRA	12,789	16,221	3,431	
12-02-2010	03-10-2015	9,300	IRISH CONTINENTAL GROUP PLC UTS IR	18,919	36,259		17,339
03-24-2014	03-11-2015	1,500	LECTRA	15,964	20,225	4,261	
03-20-2014	03-11-2015	500	LECTRA	5,301	6,742	1,440	
04-02-2014	03-11-2015	400	LECTRA	4,235	5,393	1,158	
12-02-2010	03-11-2015	20,000	IRISH CONTINENTAL GROUP PLC UTS IR	40,687	77,244		36,558
04-02-2014	03-12-2015	300	LECTRA	3,177	4,150	974	
03-21-2014	03-12-2015	200	LECTRA	2,117	2,767	650	
03-25-2013	03-12-2015	150	SARTORIUS AG PFD	16,367	19,528		3,161
12-02-2010	03-12-2015	14,200	IRISH CONTINENTAL GROUP PLC UTS IR	28,887	55,633		26,746
03-21-2014	03-16-2015	325	LECTRA	3,440	4,472	1,032	
03-21-2014	03-19-2015	75	LECTRA	794	1,018	224	
03-14-2014	03-19-2015	600	LECTRA	6,313	8,145		1,832
07-16-2014	03-19-2015	825	LECTRA	8,447	11,199	2,752	
07-16-2014	03-20-2015	475	LECTRA	4,863	7,048	2,184	
07-22-2014	03-20-2015	425	LECTRA	4,272	6,306	2,034	

# ATTACHMENT A

Huntington Management LLC  
**REALIZED GAINS AND LOSSES**  
**JAMES HUNTINGTON FOUNDATION**  
**CONSOLIDATED ACCOUNTS**  
*From 01-01-15 Through 12-31-15*

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
12-02-2010	03-20-2015	1,600	IRISH CONTINENTAL GROUP PLC UTS IR	3,255	6,853		3,598
12-02-2010	03-24-2015	7,700	IRISH CONTINENTAL GROUP PLC UTS IR	15,664	33,530		17,866
07-22-2014	03-25-2015	175	LECTRA	1,759	2,592	833	
10-25-2013	03-25-2015	425	LECTRA	3,805	6,295		2,490
12-02-2010	03-25-2015	3,100	IRISH CONTINENTAL GROUP PLC UTS IR	6,306	14,600		8,294
10-25-2013	03-27-2015	400	LECTRA	3,581	5,839		2,258
03-13-2014	04-01-2015	7,300	UTI WORLDWIDE INC	86,434	73,419		-13,015
03-12-2014	04-01-2015	8,200	UTI WORLDWIDE INC	96,242	82,471		-13,771
	04-02-2015		European Euros	1,310,000	1,466,896	156,896@	
03-12-2014	04-02-2015	3,800	UTI WORLDWIDE INC	44,600	36,791		-7,809
03-11-2014	04-02-2015	12,600	UTI WORLDWIDE INC	143,850	121,991		-21,859
01-28-2015	04-02-2015	2,000	UTI WORLDWIDE INC	22,797	19,364	-3,434	
01-06-2015	04-02-2015	3,000	UTI WORLDWIDE INC	34,191	29,045	-5,145	
12-12-2014	04-02-2015	2,100	UTI WORLDWIDE INC	23,240	20,332	-2,908	
04-01-2014	04-02-2015	5,300	UTI WORLDWIDE INC	57,527	51,314		-6,213
12-17-2014	04-02-2015	1,100	UTI WORLDWIDE INC	11,566	10,650	-916	
03-31-2014	04-02-2015	500	UTI WORLDWIDE INC	5,189	4,841		-348
03-31-2014	04-06-2015	550	UTI WORLDWIDE INC	5,707	5,168		-539
06-30-2014	04-06-2015	1,400	UTI WORLDWIDE INC	14,182	13,156	-1,026	
06-24-2014	04-06-2015	6,100	UTI WORLDWIDE INC	61,713	57,323	-4,390	
06-25-2014	04-06-2015	4,700	UTI WORLDWIDE INC	47,094	44,167	-2,927	
06-26-2014	04-06-2015	6,100	UTI WORLDWIDE INC	60,888	57,323	-3,565	
04-15-2014	04-06-2015	1,300	UTI WORLDWIDE INC	12,963	12,216	-747	
06-27-2014	04-06-2015	3,900	UTI WORLDWIDE INC	38,857	36,649	-2,208	
04-25-2014	04-06-2015	1,900	UTI WORLDWIDE INC	18,748	17,855	-893	
04-30-2014	04-06-2015	1,000	UTI WORLDWIDE INC	9,796	9,397	-398	
07-28-2014	04-06-2015	4,100	UTI WORLDWIDE INC	39,964	38,529	-1,435	
06-16-2014	04-06-2015	2,800	UTI WORLDWIDE INC	27,087	26,312	-775	
07-30-2014	04-06-2015	1,100	UTI WORLDWIDE INC	10,612	10,337	-275	
06-05-2014	04-06-2015	6,100	UTI WORLDWIDE INC	58,600	57,323	-1,277	
05-05-2014	04-06-2015	700	UTI WORLDWIDE INC	6,717	6,578	-139	
06-06-2014	04-06-2015	2,650	UTI WORLDWIDE INC	25,218	24,903	-316	
03-31-2014	04-07-2015	4,950	UTI WORLDWIDE INC	51,367	47,049		-4,317
06-06-2014	04-07-2015	1,050	UTI WORLDWIDE INC	9,992	9,980	-12	
08-05-2014	04-07-2015	700	UTI WORLDWIDE INC	6,593	6,653	60	
08-27-2014	04-07-2015	700	UTI WORLDWIDE INC	6,594	6,653	59	
05-06-2014	04-07-2015	1,500	UTI WORLDWIDE INC	14,056	14,257	201	
08-22-2014	04-07-2015	2,400	UTI WORLDWIDE INC	22,298	22,812	513	
05-16-2014	04-07-2015	200	UTI WORLDWIDE INC	1,844	1,901	57	
05-21-2014	04-07-2015	400	UTI WORLDWIDE INC	3,688	3,802	114	
08-28-2014	04-07-2015	1,600	UTI WORLDWIDE INC	14,718	15,208	490	
09-02-2014	04-07-2015	5,200	UTI WORLDWIDE INC	47,592	49,426	1,833	
05-15-2014	04-07-2015	6,000	UTI WORLDWIDE INC	54,784	57,030	2,245	
	04-08-2015		Canadian Dollars	2,930	2,957	27@	
	04-08-2015		Australian Dollars	4	4	0@	
	04-10-2015		Swiss Francs	112,842	110,000	-2,842@	
	04-10-2015		European Euros	1,098,550	1,233,105	134,555@	
	04-10-2015		European Euros	0	0	0@	
03-25-2013	04-15-2015	550	SARTORIUS AG PFD	60,012	78,276		18,263
03-21-2013	04-15-2015	150	SARTORIUS AG PFD	16,362	21,348		4,986
03-21-2013	04-16-2015	300	SARTORIUS AG PFD	32,723	44,204		11,480



# ATTACHMENT A

Huntington Management LLC  
**REALIZED GAINS AND LOSSES**  
**JAMES HUNTINGTON FOUNDATION**  
**CONSOLIDATED ACCOUNTS**  
*From 01-01-15 Through 12-31-15*

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
03-21-2013	04-22-2015	220	SARTORIUS AG PFD	23,997	33,655		9,657
03-21-2013	04-29-2015	100	SARTORIUS AG PFD	10,908	16,837		5,929
03-21-2013	04-30-2015	100	SARTORIUS AG PFD	10,908	16,841		5,933
	05-01-2015		European Euros	90	95	5@	
12-02-2010	05-15-2015	1,000	IRISH CONTINENTAL GROUP PLC UTS IR	2,034	4,881		2,847
12-22-2010	05-15-2015	700	IRISH CONTINENTAL GROUP PLC UTS IR	1,419	3,417		1,998
12-22-2010	05-18-2015	4,500	IRISH CONTINENTAL GROUP PLC UTS IR	9,124	22,408		13,283
	05-19-2015		Australian Dollars	3	3	0@	
	05-19-2015		Canadian Dollars	1,528	1,508	-21@	
	05-19-2015		Swiss Francs	6,545	6,502	-43@	
	05-19-2015		British Pound	19,138	19,428	290@	
	05-19-2015		European Euros	49,281	48,978	-303@	
06-18-2014	05-27-2015	4,000	HOWDEN JOINERY GROUP PLC	19,790	31,248	11,458	
03-21-2013	05-28-2015	320	SARTORIUS AG PFD	34,905	56,688		21,783
	06-01-2015		European Euros	2	2	0@	
10-25-2013	06-04-2015	1,200	LECTRA	10,743	18,549		7,806
03-21-2013	06-05-2015	87	SARTORIUS AG PFD	9,490	16,178		6,688
	06-23-2015		Canadian Dollars	1,482	1,495	13@	
	06-23-2015		European Euros	7,737	7,778	40@	
	06-23-2015		British Pound	6,995	6,927	-68@	
	07-07-2015		European Euros	3	3	0@	
	07-10-2015		European Euros	3,951	3,885	-65@	
	07-14-2015		European Euros	1,127,954	1,096,115	-31,839@	
	07-14-2015		Swiss Francs	153,425	150,000	-3,425@	
09-05-2014	07-15-2015	87	NONGSHIM CO LTD	21,632	23,283	1,651	
11-13-2014	07-15-2015	36	NONGSHIM CO LTD	8,560	9,634	1,074	
11-12-2014	07-15-2015	11	NONGSHIM CO LTD	2,605	2,944	339	
11-11-2014	07-15-2015	16	NONGSHIM CO LTD	3,758	4,282	524	
12-09-2014	07-15-2015	36	NONGSHIM CO LTD	8,324	9,634	1,310	
12-05-2014	07-15-2015	34	NONGSHIM CO LTD	7,845	9,099	1,254	
	07-30-2015		Canadian Dollars	1,477	1,415	-62@	
	07-30-2015		Australian Dollars	23,548	22,213	-1,335@	
03-21-2013	07-31-2015	23	SARTORIUS AG PFD	2,509	4,947		2,439
11-06-2014	07-31-2015	127	SARTORIUS AG PFD	13,834	27,318	13,484	
12-22-2010	07-31-2015	2,000	IRISH CONTINENTAL GROUP PLC UTS IR	4,055	9,572		5,517
	08-01-2015		Swiss Francs	1	1	0@	
	08-01-2015		European Euros	41	41	-1@	
	08-01-2015		European Euros	0	0	0@	
	08-03-2015		European Euros	3,957	3,875	-81@	
11-06-2014	08-05-2015	38	SARTORIUS AG PFD	4,139	8,292	4,153	
03-15-2013	08-05-2015	462	SARTORIUS AG PFD	50,055	100,813		50,758
12-05-2014	08-05-2015	16	NONGSHIM CO LTD	3,692	4,469	778	
01-07-2015	08-05-2015	33	NONGSHIM CO LTD	7,233	9,218	1,985	
01-06-2015	08-05-2015	32	NONGSHIM CO LTD	7,011	8,939	1,928	
01-08-2015	08-05-2015	50	NONGSHIM CO LTD	10,843	13,967	3,124	
01-27-2015	08-05-2015	50	NONGSHIM CO LTD	10,662	13,967	3,305	
06-21-2012	08-05-2015	19	NONGSHIM CO LTD	3,518	5,307		1,790
03-15-2013	08-07-2015	250	SARTORIUS AG PFD	27,086	54,814		27,728
03-15-2013	08-12-2015	120	SARTORIUS AG PFD	13,001	26,775		13,773

# ATTACHMENT A

Huntington Management LLC  
**REALIZED GAINS AND LOSSES**  
**JAMES HUNTINGTON FOUNDATION**  
**CONSOLIDATED ACCOUNTS**  
*From 01-01-15 Through 12-31-15*

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
12-22-2010	08-19-2015	800	IRISH CONTINENTAL GROUP PLC UTS IR	1,622	3,795		2,173
10-13-2011	08-19-2015	10,100	IRISH CONTINENTAL GROUP PLC UTS IR	19,365	47,916		28,551
03-15-2013	08-19-2015	55	SARTORIUS AG PFD	5,959	12,622		6,663
06-21-2012	08-20-2015	150	NONGSHIM CO LTD	27,773	46,689		18,916
06-21-2012	08-21-2015	200	NONGSHIM CO LTD	37,030	60,292		23,261
06-21-2012	08-24-2015	181	NONGSHIM CO LTD	33,513	52,260		18,748
06-20-2012	08-24-2015	19	NONGSHIM CO LTD	3,513	5,486		1,973
	08-25-2015		Australian Dollars	32	32	-1@	
	08-25-2015		Australian Dollars	1,426	1,426	0@	
	08-25-2015		Canadian Dollars	1,665	1,635	-29@	
	08-25-2015		European Euros	43	41	-2@	
10-13-2011	08-27-2015	1,600	IRISH CONTINENTAL GROUP PLC UTS IR	3,068	8,056		4,988
06-20-2012	08-27-2015	160	NONGSHIM CO LTD	29,580	48,684		19,104
	08-27-2015		Swiss Francs	8	8	0@	
	08-28-2015		Australian Dollars	64	63	0@	
06-20-2012	09-02-2015	130	NONGSHIM CO LTD	24,034	39,812		15,778
03-15-2013	09-17-2015	313	SARTORIUS AG PFD	33,912	71,288		37,376
10-22-2013	09-17-2015	217	SARTORIUS AG PFD	23,376	49,423		26,048
10-22-2013	09-18-2015	83	SARTORIUS AG PFD	8,941	19,197		10,256
08-28-2013	09-18-2015	97	SARTORIUS AG PFD	10,373	22,435		12,062
08-28-2013	09-21-2015	103	SARTORIUS AG PFD	11,015	23,804		12,789
09-10-2013	09-21-2015	82	SARTORIUS AG PFD	8,664	18,950		10,286
09-10-2013	09-22-2015	68	SARTORIUS AG PFD	7,185	15,536		8,351
08-30-2013	09-22-2015	75	SARTORIUS AG PFD	7,923	17,136		9,213
10-24-2013	09-22-2015	92	SARTORIUS AG PFD	9,554	21,020		11,466
10-24-2013	09-24-2015	158	SARTORIUS AG PFD	16,409	36,863		20,454
04-17-2013	09-24-2015	187	SARTORIUS AG PFD	18,200	43,629		25,429
04-17-2013	09-25-2015	73	SARTORIUS AG PFD	7,105	17,646		10,541
06-20-2012	10-01-2015	150	NONGSHIM CO LTD	27,731	45,067		17,335
07-03-2008	10-02-2015	4,487	GROUPE BRUXELLES LAMBERT SA	375,660	340,331		-35,329
10-24-2011	10-02-2015	188	GROUPE BRUXELLES LAMBERT SA	14,523	14,259		-263
10-13-2011	10-02-2015	2,300	IRISH CONTINENTAL GROUP PLC UTS IR	4,410	11,452		7,042
01-19-2012	10-02-2015	6,500	IRISH CONTINENTAL GROUP PLC UTS IR	12,412	32,365		19,953
10-24-2011	10-05-2015	792	GROUPE BRUXELLES LAMBERT SA	61,180	61,699		519
08-08-2011	10-05-2015	100	GROUPE BRUXELLES LAMBERT SA	7,386	7,790		405
08-09-2011	10-05-2015	300	GROUPE BRUXELLES LAMBERT SA	22,064	23,371		1,307
04-26-2012	10-05-2015	1,228	GROUPE BRUXELLES LAMBERT SA	82,149	95,664		13,516
10-24-2011	10-06-2015	215	GROUPE BRUXELLES LAMBERT SA	16,608	16,910		302
04-26-2012	10-07-2015	172	GROUPE BRUXELLES LAMBERT SA	11,506	13,712		2,206

# ATTACHMENT A

Huntington Management LLC  
**REALIZED GAINS AND LOSSES**  
**JAMES HUNTINGTON FOUNDATION**  
**CONSOLIDATED ACCOUNTS**  
*From 01-01-15 Through 12-31-15*

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
11-24-2011	10-07-2015	68	GROUPE BRUXELLES LAMBERT SA	4,499	5,421		922
	10-07-2015		European Euros	0	0	0@	
	10-07-2015		European Euros	36,734	36,664	-71@	
10-24-2011	10-08-2015	230	GROUPE BRUXELLES LAMBERT SA	17,767	18,168		401
11-24-2011	10-09-2015	212	GROUPE BRUXELLES LAMBERT SA	14,026	17,112		3,086
12-16-2011	10-09-2015	18	GROUPE BRUXELLES LAMBERT SA	1,160	1,453		293
	10-13-2015		Canadian Dollars	3,295	3,349	54@	
	10-13-2015		Australian Dollars	5	5	0@	
	10-14-2015		Swiss Francs	0	0	0@	
12-16-2011	10-14-2015	300	GROUPE BRUXELLES LAMBERT SA	19,338	24,063		4,725
	10-15-2015		European Euros	1,156,749	1,130,000	-26,749@	
	10-15-2015		Swiss Francs	147,263	150,000	2,737@	
12-16-2011	10-15-2015	300	GROUPE BRUXELLES LAMBERT SA	19,338	24,106		4,768
12-16-2011	10-16-2015	382	GROUPE BRUXELLES LAMBERT SA	24,623	31,292		6,669
06-20-2012	10-20-2015	110	NONGSHIM CO LTD	20,336	35,713		15,377
	10-20-2015		European Euros	0	0	0@	
06-20-2012	10-21-2015	59	NONGSHIM CO LTD	10,908	19,005		8,097
06-20-2012	10-22-2015	113	NONGSHIM CO LTD	20,891	36,117		15,226
08-24-2015	10-23-2015	500	MICROSOFT CORP	20,635	26,742	6,107	
03-12-2015	10-23-2015	550	MICROSOFT CORP	22,632	29,416	6,784	
08-25-2010	10-23-2015	1,250	MICROSOFT CORP	29,955	66,854		36,900
01-19-2012	10-27-2015	8,790	IRISH CONTINENTAL GROUP PLC UTS IR	16,784	44,022		27,237
06-20-2012	10-27-2015	150	NONGSHIM CO LTD	27,731	49,939		22,208
01-19-2012	10-28-2015	10,080	IRISH CONTINENTAL GROUP PLC UTS IR	19,248	51,930		32,682
06-20-2012	10-28-2015	131	NONGSHIM CO LTD	24,219	42,602		18,384
01-19-2012	10-29-2015	1,630	IRISH CONTINENTAL GROUP PLC UTS IR	3,112	8,727		5,615
06-18-2012	10-29-2015	1,190	IRISH CONTINENTAL GROUP PLC UTS IR	2,199	6,372		4,173
06-20-2012	10-29-2015	178	NONGSHIM CO LTD	32,908	57,623		24,716
	11-01-2015		European Euros	0	0	0@	
	11-01-2015		European Euros	10	10	0@	
06-18-2012	11-02-2015	5,740	IRISH CONTINENTAL GROUP PLC UTS IR	10,606	31,214		20,608
	11-04-2015		British Pound	9,433	9,432	-2@	
	11-04-2015		Canadian Dollars	2,606	2,586	-20@	
04-17-2013	11-04-2015	435	SARTORIUS AG PFD	42,338	98,045		55,707
	11-04-2015		European Euros	1,616	1,546	-70@	
06-18-2012	11-06-2015	13,070	IRISH CONTINENTAL GROUP PLC UTS IR	24,150	69,453		45,303
	12-08-2015		British Pound	2,714	2,678	-36@	
	12-08-2015		Canadian Dollars	1,631	1,599	-32@	

# ATTACHMENT A

Huntington Management LLC  
**REALIZED GAINS AND LOSSES**  
**JAMES HUNTINGTON FOUNDATION**  
**CONSOLIDATED ACCOUNTS**  
*From 01-01-15 Through 12-31-15*

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
	12-30-2015		Australian Dollars	18,026	18,270	244@	
TOTAL GAINS						620,571	1,344,493
TOTAL LOSSES						-100,016	-103,464
TOTAL REALIZED GAIN/LOSS						520,555	1,241,029
			1,761,584	12,371,945	14,133,529		

An '@' denotes a currency transaction

# ATTACHMENT B

<u>Receipt of Donation</u>	<u>City</u>	<u>State</u>	<u>Relationship to Donor</u>	<u>Foundation status of receipt</u>	<u>Purpose of the Grant</u>	<u>Amount</u>	<u>Date</u>
Project Healing Waters Fishing, Inc	HELENA	MONTANA	None	PC	General Purpose	\$2,500	2/24/2015
Max McGraw Wildlife Foundation	DUNDEE	ILLINOIS	None	PC	General Purpose	\$4,700	4/8/2015
Barrington's White House/Village of Barrington	BARRINGTON	ILLINOIS	None	GOV	Charitable Purpose	\$7,500	5/11/2015
Ride 2 Recovery	CALABASAS	CALIFORNIA	None	PC	General Purpose	\$1,000	7/7/2015
Global Wildlife Conservation - Zambesi Elephant Fund	AUSTIN	TEXAS	None	PC	General Purpose	\$500	9/14/2015
Cancer Caring Center - In Memory of Susan Burt Fitzgerald	PITTSBURGH	PENNSYLVANIA	None	PC	Memorial Gift	\$1,000	9/14/2015
Advocate Good Shepherd Hospital	DOWNERS GROVE	ILLINOIS	None	PC	General Purpose	\$10,000	11/25/2015
Amerind Foundation	DRAGOON	ARIZONA	None	PC	General Purpose	\$2,000	11/25/2015
Antiquarian Society	CHICAGO	ILLINOIS	None	PC	General Purpose	\$2,000	11/25/2015
Arizona-Sonora Desert Museum	TUCSON	ARIZONA	None	PC	General Purpose	\$5,000	11/25/2015
Art Institute of Chicago	CHICAGO	ILLINOIS	None	PC	General Purpose	\$8,000	11/25/2015
Association House of Chicago	CHICAGO	ILLINOIS	None	PC	General Purpose	\$2,000	11/25/2015
Barrington Area Community Foundation	BARRINGTON	ILLINOIS	None	PC	General Purpose	\$10,000	11/25/2015
Barrington Area Conservation Trust	BARRINGTON	ILLINOIS	None	PC	General Purpose	\$5,000	11/25/2015
Barrington Children's Charities	BARRINGTON	ILLINOIS	None	PC	General Purpose	\$1,000	11/25/2015
Barrington Giving Day	BARRINGTON	ILLINOIS	None	PC	General Purpose	\$3,000	11/25/2015
Chicago Botanic Garden	GLENCOE	ILLINOIS	None	PC	General Purpose	\$5,000	11/25/2015
Chicago Foundation for Education	CHICAGO	ILLINOIS	None	PC	General Purpose	\$7,500	11/25/2015
Chicago History Museum	CHICAGO	ILLINOIS	None	PC	General Purpose	\$2,000	11/25/2015
Chicago Public Media	CHICAGO	ILLINOIS	None	PC	General Purpose	\$2,000	11/25/2015
Chicago Shakespeare Theater	CHICAGO	ILLINOIS	None	PC	General Purpose	\$5,000	11/25/2015
Chicago Symphony Orchestral Association	CHICAGO	ILLINOIS	None	PC	General Purpose	\$7,500	11/25/2015
Chicago Zoological Society	BROOKFIELD	ILLINOIS	None	PC	General Purpose	\$3,000	11/25/2015
Children's Health Guild	LAFAYETTE	CALIFORNIA	None	PC	General Purpose	\$5,000	11/25/2015
Citizens For Conservation	BARRINGTON	ILLINOIS	None	PC	General Purpose	\$2,000	11/25/2015
District 220 Educational Foundation	BARRINGTON	ILLINOIS	None	PC	General Purpose	\$10,000	11/25/2015
Ducks Unlimited, Inc	MEMPHIS	TENNESSEE	None	PC	General Purpose	\$8,000	11/25/2015
Earned Assets Resource Network	SAN FRANCISCO	CALIFORNIA	None	PC	General Purpose	\$2,500	11/25/2015
Field Museum of Natural History	CHICAGO	ILLINOIS	None	PC	General Purpose	\$5,000	11/25/2015
Foundation For Homan Square	CHICAGO	ILLINOIS	None	PC	General Purpose	\$1,000	11/25/2015
Foundation for Lincoln Public Schools (Bill Pfeiff Award)	LINCOLN	NEBRASKA	None	PC	Bill Pfeiff Award	\$3,000	11/25/2015
Fresh Air Fund	NEW YORK	NEW YORK	None	PC	General Purpose	\$14,000	11/25/2015
Hadley School for the Blind	WINNETKA	ILLINOIS	None	PC	General Purpose	\$2,000	11/25/2015
Heritage Foundation	WASHINGTON	DC	None	PC	General Purpose	\$5,000	11/25/2015
Home of the Sparrow	MCHENRY	ILLINOIS	None	PC	General Purpose	\$5,000	11/25/2015
Glorietta Parents Fund	ORINDA	CALIFORNIA	None	PC	General Purpose	\$1,000	11/25/2015
Illinois Wesleyan University - School of Music	BLOOMINGTON	ILLINOIS	None	PC	General Purpose	\$2,000	11/25/2015
JourneyCare Foundation	BARRINGTON	ILLINOIS	None	PC	General Purpose	\$10,000	11/25/2015
Juvenile Diabetes Foundation	LINCOLN	NEBRASKA	None	PC	General Purpose	\$3,000	11/25/2015
Link Unlimited	CHICAGO	ILLINOIS	None	PC	General Purpose	\$2,000	11/25/2015
Lurie Children's Hospital of Chicago	CHICAGO	ILLINOIS	None	PC	General Purpose	\$25,000	11/25/2015
Lyric Opera of Chicago	CHICAGO	ILLINOIS	None	PC	General Purpose	\$15,000	11/25/2015
Max McGraw Wildlife Foundation (2)	DUNDEE	ILLINOIS	None	PC	General Purpose	\$3,000	11/25/2015
Mayo Clinic	ROCHESTER	MINNESOTA	None	PC	General Purpose	\$40,000	11/25/2015

# ATTACHMENT B

Mert School of Music	CHICAGO	ILLINOIS	None	PC	General Purpose	\$75,000	11/25/2015
Midwest Care Center	GLENVIEW	ILLINOIS	None	PC	General Purpose	\$3,000	11/25/2015
Northwestern University	EVANSTON	ILLINOIS	None	PC	General Purpose	\$5,000	11/25/2015
Old Town School of Folk Music	CHICAGO	ILLINOIS	None	PC	General Purpose	\$8,000	11/25/2015
Openlands	CHICAGO	ILLINOIS	None	PC	General Purpose	\$5,000	11/25/2015
Opportunity International	OAK BROOK	ILLINOIS	None	PC	General Purpose	\$25,000	11/25/2015
Presbyterian Church of Barrington	BARRINGTON	ILLINOIS	None	PC	General Purpose	\$15,000	11/25/2015
Rehabilitation Institute of Chicago	CHICAGO	ILLINOIS	None	PC	General Purpose	\$5,000	11/25/2015
Rice County Community Foundation - Class of 1961 Scholarship Fund	LYONS	KANSAS	None	PC	Class of 1961 Scholarship	\$500	11/25/2015
Rush University Medical Center	CHICAGO	ILLINOIS	None	PC	General Purpose	\$10,000	11/25/2015
Shedd Aquarium	CHICAGO	ILLINOIS	None	PC	General Purpose	\$5,000	11/25/2015
Sonoran Institute	TUCSON	ARIZONA	None	PC	General Purpose	\$5,000	11/25/2015
Special Olympics Illinois	NORMAL	ILLINOIS	None	PC	General Purpose	\$2,000	11/25/2015
St Luke's Home	TUCSON	ARIZONA	None	PC	General Purpose	\$3,000	11/25/2015
Brain Research Foundation	CHICAGO	ILLINOIS	None	PC	General Purpose	\$3,000	11/25/2015
The Chicago Council on Global Affairs	CHICAGO	ILLINOIS	None	PC	General Purpose	\$15,000	11/25/2015
The Wetlands Initiative	CHICAGO	ILLINOIS	None	PC	General Purpose	\$2,000	11/25/2015
The Woman's Board of Northwestern Memorial Hospital	CHICAGO	ILLINOIS	None	PC	General Purpose	\$10,000	11/25/2015
Trout Unlimited	ARLINGTON	VIRGINIA	None	PC	General Purpose	\$4,000	11/25/2015
Trustees of Deerfield Academy	DEERFIELD	MASSACHUSETTS	None	PC	General Purpose	\$8,000	11/25/2015
Trustees of Phillips Academy - Ellis Family Fund	ANDOVER	MASSACHUSETTS	None	PC	General Purpose	\$12,000	11/25/2015
Tucson Museum of Art	TUCSON	ARIZONA	None	PC	General Purpose	\$5,000	11/25/2015
Tucson Symphony Orchestra	TUCSON	ARIZONA	None	PC	General Purpose	\$8,000	11/25/2015
University of Michigan Parents Pledge Program	ANN ARBOR	MICHIGAN	None	PC	General Purpose	\$5,000	11/25/2015
University of Nebraska Foundation	LINCOLN	NEBRASKA	None	PC	General Purpose	\$25,000	11/25/2015
Wild Salmon Center	PORTLAND	OREGON	None	PC	General Purpose	\$1,500	11/25/2015
WTTW Channel 11	CHICAGO	ILLINOIS	None	PC	General Purpose	\$10,000	11/25/2015
Young Naperville Singers	NAPERVILLE	ILLINOIS	None	PC	General Purpose	\$7,500	11/25/2015
University of Arizona Foundation	TUCSON	ARIZONA	None	PC	General Purpose	\$40,000	12/3/2015
Barrington Youth Services	BARRINGTON	ILLINOIS	None	PC	General Purpose	\$2,000	12/3/2015
Colorado Criminal Justice Reform Coalition	DENVER	COLORADO	None	PC	General Purpose	\$2,000	12/3/2015
EHS Education Foundation	EVERGREEN	COLORADO	None	PC	General Purpose	\$3,000	12/3/2015
Evergreen Recreation & Park Foundation	EVERGREEN	COLORADO	None	PC	General Purpose	\$2,000	12/3/2015
Jeffco Open Space Foundation	ARVADA	COLORADO	None	PC	General Purpose	\$1,000	12/3/2015
Nature Conservancy of Illinois	ARLINGTON	VIRGINIA	None	PC	General Purpose	\$3,000	12/3/2015
Delphos Stadium Club, Inc	DELPHOS	OHIO	None	PC	General Purpose	\$4,000	12/3/2015
Del Sur Educational Foundation	SAN DIEGO	CALIFORNIA	None	PC	General Purpose	\$4,000	12/3/2015
Salvation Army - Chicago	CHICAGO	ILLINOIS	None	PC	General Purpose	\$200,000	12/10/2015
Salvation Army - Tucson	TUCSON	ARIZONA	None	PC	General Purpose	\$119,800	12/10/2015
						\$924,000	

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS	1,603.	1,603.	
TOTAL TO PART I, LINE 3	1,603.	1,603.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
VARIOUS	228,272.	0.	228,272.	228,272.	
TO PART I, LINE 4	228,272.	0.	228,272.	228,272.	

## FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DISTRIBUTIONS FROM PARTNERSHIPS	80,457.	0.	
UNREALIZED LOSS FROM SECURITIES	-704,487.	0.	
TAXABLE INCOME FROM PFIC	0.	36,518.	
UNREALIZED LOSS FROM FOREIGN CURRENCY	-159,453.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-783,483.	36,518.	

## FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING AND LEGAL FEES	6,550.	6,550.		0.
TO FORM 990-PF, PG 1, LN 16B	6,550.	6,550.		0.

## FORM 990-PF

## OTHER PROFESSIONAL FEES

## STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKER AND BANK FEES	266,965.	266,965.		0.
TO FORM 990-PF, PG 1, LN 16C	266,965.	266,965.		0.

## FORM 990-PF

## TAXES

## STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN DIVIDEND WITHHOLDING	38,131.	38,131.		0.
PERMITS	15.	15.		0.
FEDERAL	11,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	49,146.	38,146.		0.

## FORM 990-PF

## OTHER EXPENSES

## STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK SERVICE CHARGE	2,824.	2,824.		0.
TO FORM 990-PF, PG 1, LN 23	2,824.	2,824.		0.

## FORM 990-PF

## CORPORATE STOCK

## STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	9,417,424.	9,417,424.
TOTAL TO FORM 990-PF, PART II, LINE 10B	9,417,424.	9,417,424.



## FORM 990-PF

## OTHER INVESTMENTS

## STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT IN GS MEZZANINE PARTNERS 2006 OFFSHORE, L.P.	FMV	135,725.	135,725.
INVESTMENT IN GOLDMAN SACHS WLR OPPORTUNITIES FUND OFFSHORE, L.P.	FMV	259,946.	259,946.
TOTAL TO FORM 990-PF, PART II, LINE 13		395,671.	395,671.

## FORM 990-PF

## OTHER ASSETS

## STATEMENT 10

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
BRAZIL REAL	0.	1.	1.
SWISS FRANCS	0.	3.	3.
INTEREST RECEIVABLE	9.	8.	8.
CANADIAN DOLLARS	1,799.	1,574.	1,574.
FORWARD CONTRACT	12,552.	80,744.	80,744.
TO FORM 990-PF, PART II, LINE 15	14,360.	82,330.	82,330.