Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► **Do not enter social security numbers on this form as it may be made public.**
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052
2015
Open to Public Inspection

For calendar year 2015, or tax year beginning , 2015, and ending ,

The Melvin and Bren Simon Charitable
Foundation Number One
329 Main Street
Carbondale, CO 81623-2027

A Employer identification number: 35-2049367
B Telephone number (see instructions): (970) 963-5898
C If exemption application is pending, check here ► ☐
D 1 Foreign organizations, check here ► ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 20,198,378.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (attach schedule)				
	2 Ck ► ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	183,197.	183,197.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	71,434.			
	b Gross sales price for all assets on line 6a 3,929,596.				
	7 Capital gain net income (from Part IV, line 2)		71,434.		
	8 Net short term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) See Statement 1	68,673.	6,253.		
	12 **Total** Add lines 1 through 11	323,304.	260,884.	0.	
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)				
	c Other prof fees (attach sch) See St 2	35,098.	34,820.		
	17 Interest				
	18 Taxes (attach schedule)(see instrs) See Stm 3	14,263.	4,263.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) See Statement 4	125,767.	39,820.		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	175,128.	78,903.		
	25 Contributions, gifts, grants paid Part XV	2,080,000.			2,080,000.
	26 **Total expenses and disbursements** Add lines 24 and 25	2,255,128.	78,903.	0.	2,080,000.
	27 Subtract line 26 from line 12.				
	a **Excess of revenue over expenses and disbursements**	-1,931,824.			
	b **Net investment income** (if negative, enter -0-)		181,981.		
	c **Adjusted net income** (if negative, enter -0-)			0.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing	356,538.	1,891,637.	1,891,637.
	2 Savings and temporary cash investments			
	3 Accounts receivable ► 100.			
	Less allowance for doubtful accounts ►	54.	100.	100.
	4 Pledges receivable ►			
	Less allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) Statement 5	3,754,600.	3,691,539.	4,197,149.
	c Investments – corporate bonds (attach schedule) Statement 6	8,192,346.	6,340,334.	6,339,509.
	11 Investments – land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) Statement 7	7,130,377.	5,368,837.	7,769,983.
	14 Land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ►)			
	16 **Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	19,433,915.	17,292,447.	20,198,378.
LIABILITIES	17 Accounts payable and accrued expenses	1,410,000.	1,200,000.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)	1,410,000.	1,200,000.	
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► [ ] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	18,023,915.	16,092,447.	
	30 **Total net assets or fund balances** (see instructions)	18,023,915.	16,092,447.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	19,433,915.	17,292,447.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	18,023,915.
2	Enter amount from Part I, line 27a	2	-1,931,824.
3	Other increases not included in line 2 (itemize) ► See Statement 8	3	356.
4	Add lines 1, 2, and 3	4	16,092,447.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	16,092,447.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Statement 9			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	71,434.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	2,746,288.	21,887,720.	0.125472
2013	3,756,672.	23,336,261.	0.160980
2012	2,510,333.	24,222,444.	0.103637
2011	3,769,889.	25,135,814.	0.149981
2010	2,625,972.	26,950,198.	0.097438

2	**Total** of line 1, column (d)	2	0.637508
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.127502
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	20,004,210.
5	Multiply line 4 by line 3	5	2,550,577.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,820.
7	Add lines 5 and 6	7	2,552,397.
8	Enter qualifying distributions from Part XII, line 4	8	2,080,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1				
Date of ruling or determination letter _______ (attach copy of letter if necessary – see instrs)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b			1	3,640.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	3,640.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	3,640.
6 Credits/Payments				
a 2015 estimated tax pmts and 2014 overpayment credited to 2015	6 a	5,000.		
b Exempt foreign organizations – tax withheld at source	6 b			
c Tax paid with application for extension of time to file (Form 8868)	6 c	5,000.		
d Backup withholding erroneously withheld	6 d			
7 Total credits and payments Add lines 6a through 6d			7	10,000.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►			9	0.
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►			10	6,360.
11 Enter the amount of line 10 to be **Credited to 2016 estimated tax** ► 6,360. **Refunded** ►			11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1 b		X
*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1 c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If 'Yes,' attach a detailed description of the activities			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If 'Yes,' attach the statement required by General Instruction T			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, col (c), and Part XV*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ► IN			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A | Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► MBS Associates, LLC Telephone no ► 970-963-5898

Located at ► 329 Main Street Carbondale CO ZIP + 4 ► 81623-2027

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** — Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X	

See the instructions for exceptions and filing requirements for FinCEN Form 114 If 'Yes,' *enter the name of the foreign country* ►See Statement 10

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1 a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1 b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here	► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__	☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement — see instructions)		2 b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)*		3 b	N/A	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**	N/A
Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No		
If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**	X (No)
If 'Yes' to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	**7b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Bren Simon 329 Main Street Carbondale, CO 81623-2027	Trustee 0.25	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
None				

Total number of other employees paid over $50,000 ► 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
None		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes			
a Average monthly fair market value of securities		1a	19,965,142.
b Average of monthly cash balances		1b	343,701.
c Fair market value of all other assets (see instructions)		1c	
d Total (add lines 1a, b, and c)		1d	20,308,843.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2 Acquisition indebtedness applicable to line 1 assets		2	0.
3 Subtract line 2 from line 1d		3	20,308,843.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	304,633.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4		5	20,004,210.
6 Minimum investment return. Enter 5% of line 5		6	1,000,211.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	1,000,211.
2a Tax on investment income for 2015 from Part VI, line 5	2a 3,640.		
b Income tax for 2015. (This does not include the tax from Part VI.)	2b		
c Add lines 2a and 2b		2c	3,640.
3 Distributable amount before adjustments. Subtract line 2c from line 1		3	996,571.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	996,571.
6 Deduction from distributable amount (see instructions)		6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	996,571.

Part XII **Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	2,080,000.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,080,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,080,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				996,571.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years: 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2015				
a From 2010 — 2,632,100.				
b From 2011 — 3,775,206.				
c From 2012 — 2,510,333.				
d From 2013 — 3,762,324.				
e From 2014 — 1,660,016.				
f Total of lines 3a through e	14,339,979.			
4 Qualifying distributions for 2015 from Part XII, line 4 ► $ 2,080,000.				
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2015 distributable amount				996,571.
e Remaining amount distributed out of corpus	1,083,429.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	15,423,408.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	2,632,100.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	12,791,308.			
10 Analysis of line 9				
a Excess from 2011 — 3,775,206.				
b Excess from 2012 — 2,510,333.				
c Excess from 2013 — 3,762,324.				
d Excess from 2014 — 1,660,016.				
e Excess from 2015 — 1,083,429.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	**(b)** 2014	**(c)** 2013	**(d)** 2012	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Statement 11				
Total			► 3a	2,080,000.
b *Approved for future payment*				
See Statement 12				
Total			► 3b	4,400,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	183,197.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	900099	279.	1	5,974.	
8 Gain or (loss) from sales of assets other than inventory			18	71,434.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a Excise Tax Refunds				62,420.	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		279.		323,025.	
13 Total. Add line 12, columns (b), (d), and (e)				13	323,304.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	**1 a (1)**		X
(2) Other assets	**1 a (2)**		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	**1 b (1)**		X
(2) Purchases of assets from a noncharitable exempt organization	**1 b (2)**		X
(3) Rental of facilities, equipment, or other assets	**1 b (3)**		X
(4) Reimbursement arrangements	**1 b (4)**		X
(5) Loans or loan guarantees	**1 b (5)**		X
(6) Performance of services or membership or fundraising solicitations	**1 b (6)**		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1 c**		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
N/A			

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 11-14-16 Title: Trustee

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Ricka E. Neuman	Ricka E. Neuman	11-11-16		P00631167

Firm's name ► PBMares, LLP — Firm's EIN ► 54-0737372

Firm's address ► 575 S. Charles Street, Suite 402, Baltimore, MD 21201-2484 — Phone no 410-659-6200

Statement 1
Form 990-PF, Part I, Line 11
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Excise Tax Refunds	$ 62,420.		
Other Investment Income	6,253.	$ 6,253.	
Total	$ 68,673.	$ 6,253.	$ 0.

Statement 2
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment Fees	$ 34,820.	$ 34,820.		
Legal Fees	278.			
Total	$ 35,098.	$ 34,820.	$ 0.	$ 0.

Statement 3
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise Tax	$ 10,000.			
Foreign Tax	3,899.	$ 3,899.		
Foreign Taxes from K-1's	364.	364.		
Total	$ 14,263.	$ 4,263.	$ 0.	$ 0.

Statement 4
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Expenses from Blackstone Ptrs K-1	$ 87.	$ 87.		
Expenses from KC Partners K-1	39,734.	39,733.		
GAAP Adjustment - KC Partners	85,946.			
Total	$ 125,767.	$ 39,820.	$ 0.	$ 0.

Statement 5
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

Corporate Stocks	Valuation Method	Book Value	Fair Market Value
Corporate Stocks & Mutual Funds	Cost	$ 3,691,539.	$ 4,197,149.
	Total	$ 3,691,539.	$ 4,197,149.

Statement 6
Form 990-PF, Part II, Line 10c
Investments - Corporate Bonds

Corporate Bonds	Valuation Method	Book Value	Fair Market Value
Corporate Bonds	Cost	$ 6,340,334.	$ 6,339,509.
	Total	$ 6,340,334.	$ 6,339,509.

Statement 7
Form 990-PF, Part II, Line 13
Investments - Other

	Valuation Method	Book Value	Fair Market Value
Other Securities			
Alternative Investments	Cost	$ 5,368,837.	$ 7,769,983.
	Total	$ 5,368,837.	$ 7,769,983.

Statement 8
Form 990-PF, Part III, Line 3
Other Increases

Prior year adjustments	$ 356.
Total	$ 356.

Statement 9
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
1	Publicly Traded Securities Stifel-9606	Purchased		
2	Publicly Traded Securities Stifel-8077	Purchased		
3	Publicly Traded Securities Stifel-4407	Purchased		
4	Publicly Traded Securities ML-02576	Purchased		
5	Capital Gains Distributions	Purchased		
6	Publicly Traded Securities ML-2580	Purchased		

Statement 9 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
7	KC Partners K-1 Activity	Purchased		
8	Blackstone Group K-1 Activity	Purchased		
9	Publicly Traded Securities Stifel-5043	Purchased		
10	Publicly Traded Securities Pershing-4867	Purchased		

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i)-(j)	(l) Gain (Loss)
1	3171545.		3170993.	552.				$ 552.
2	239,077.		206,613.	32,464.				32,464.
3	70,163.		161,129.	-90,966.				-90,966.
4	310,000.		310,000.	0.				0.
5	84,651.		0.	84,651.				84,651.
6	100.		90.	10.				10.
7	16,414.		0.	16,414.				16,414.
8	1,952.		0.	1,952.				1,952.
9	22,647.		0.	22,647.				22,647.
10	13,047.		9,337.	3,710.				3,710.
							Total	$ 71,434.

Statement 10
Form 990-PF, Part VII-A, Line 16
Foreign Country with Interest In or Authority Over Foreign Accounts

British Virgin Isl, Cayman Islands, Bermuda, Netherlands

Statement 11
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Foundation Status	Purpose of Grant	Amount
National Democratic Institute 455 Massachusetts Avenue, NW Washington DC 20001	None	PF	Annual donation	$ 100,000.
William J. Clinton Foundation 1271 Avenue of the Americas New York NY 10020	None	POF	Contribution to General Fund	100,000.
U.S. Department of State 2201 C Street NW Washington DC 20520	None	GOV	Capital campaign for diplomatic reception rooms	200,000.

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Foundation Status	Purpose of Grant	Amount
Endowment of the US Institute of Peace 2301 Constitution Ave, NW Washington DC 20037	None	Exempt	Endowment in honor of Madeleine Albright	$ 10,000.
Indianapolis Museum of Art 4000 Michigan Road Indianapolis IN 46208	None	POF	Nature Park Project	25,000.
Riley's Children's Foundation 30 S Meridian St. #200 Indianapolis IN 46204	None	PC	Riley Hospital for Children Simon Family Tower	500,000.
UCLA Foundation 10920 Wilshire Blvd. Suite 900 Los Angeles CA 90024	None	PF	Fifth installment of The Melvin and Bren Simon Digestive Diseases Center	500,000.
Indianapolis Museum of Art 4000 Michigan Road Indianapolis IN 46208	None	POF	CEO/Director chair endowment	500,000.
Thompson Divide Coalition 711 Main Street Carbondale CO 81623	None	PC	Funding for Legal Defense Fund and advocacy	75,000.
Legacy Counseling Center 4054 McKinnely Avenue Suite 102 Dallas TX 75204	None	PC	General Support	10,000.
Pacific Opera Victoria 925 Balmoral Road Victoria British Columbia V8T 1A7 Canada	None	PC	General Support	10,000.
University of Illinois Foundation 1305 W Green St Urbana IL 61801	None	PC	General Support	50,000.
			Total	$ 2,080,000.

Statement 12
Form 990-PF, Part XV, Line 3b
Recipient Approved for Future Payment

Name and Address	Donee Relationship	Found-ation Status	Purpose of Grant	Amount
Gleaners Food Bank (future) 3737 Waldemere Ave Indianapolis IN 46241	None	PF	Food bank donation	$ 400,000.
Indianapolis Museum of Art (future) 4000 Michigan Road Indianapolis IN 46208	None	POF	CEO/Director chair endowment	500,000.
Riley's Children's Foundation (future) 30 S Meridian St. #200 Indianapolis IN 46204	None	PF	Riley Hospital for Children Simon Family Tower	1,000,000.
UCLA Foundation (future) 10920 Wilshire Blvd. Suite 900 Los Angelos CA 90024	None	PF	5 future installments of The Melvin and Bren Simon Digestive Diseases Center pledge	2,500,000.
			Total	$ 4,400,000.

The Melvin and Bren Simon Charitable Foundation Number One
EIN: 35-2049367
Form 990-PF, Part II, Line 10b
Stocks, Mutual Funds & Other Investments - Schedule of Holdings
12/31/2015

	Valuation Method	Cost Basis 12/31/15	Fair Market Value 12/31/15
Account # 88Q-02577			
Mutual Funds	FMV	1,455,988.41	1,545,644.84
Account # 88Q-02579			
Equities	FMV	886,365.62	1,169,939.74
Mutual Funds	FMV	12,517.94	7,546.00
Account # 88Q-02580			
Equities	FMV	68,879.50	73,758.00
Mutual Funds	FMV	255,474.15	284,088.00
Account # N4Q-524867			
Equities	FMV	1,012,313.69	1,116,171.94
		3,691,539.31	4,197,148.52

The Melvin and Bren Simon Charitable Foundation #1
35-2049367
Form 990PF
Part II, Line 10b

Merrill Lynch
Bank of America Corporation | PRIVATE BANKING & INVESTMENT GROUP

CONFIDENTIAL - 88Q-02577

Account Number: 88Q-02577

YOUR EMA BANK DEPOSIT INTEREST SUMMARY

December 01, 2015 - December 31, 2015

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
FPA CRESCENT FUND	49,763	1,455,981.85	31.0600	**1,545,638.78**	89,656.93	1,249,941	**295,696**	**15,427**	.99
INSTL CL									
SYMBOL: FPACX Initial Purchase: 04/11/11									
Alternative Investments 100%									
.1950 Fractional Share		*6.56*	*31.0600*	***6.06***	*(0.50)*			***1***	*.99*
Subtotal (Alternative Investments)				*1,545,644.84*					
TOTAL		1,455,988.41		**1,545,644.84**	89,656.43		**295,696**	**15,428**	1.00

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The Melvin and Bren Simon Charitable Foundation #1
35-2049367
Form 90PF
Part II, Line 10b

Merrill Lynch
Bank of America Corporation

PRIVATE BANKING & INVESTMENT GROUP

CONFIDENTIAL - 88Q-02579

Account Number: 88Q-02579

YOUR EMA BANK DEPOSIT INTEREST SUMMARY

December 01, 2015 - December 31, 2015

EQUITIES

Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ALPHABET INC SHS CL A	GOOGL	01/28/15	45	520.2297	23,410.34	778.0100	35,010.45	11,600.11		
		04/21/15	45	544.4764	24,501.44	778.0100	35,010.45	10,509.01		
Subtotal			*90*		*47,911.78*		***70,020.90***	*22,109.12*		

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Merrill Lynch
Bank of America Corporation
PRIVATE BANKING & INVESTMENT GROUP

CONFIDENTIAL - 88Q-02579

Account Number: 88Q-02579

24-Hour Assistance: (800) MERRILL
Access Code: 39-884-02579

YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

EQUITIES (continued) Description	Symbol Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ANHEUSER-BUSCH INBEV ADR	BUD 06/28/11	225	57.2676	12,885.21	125.0000	28,125.00	15,239.79	726	2.57
	08/25/11	150	53.3582	8,003.73	125.0000	18,750.00	10,746.27	484	2.57
Subtotal		*375*		*20,888.94*		***46,875.00***	*25,986.06*	***1,210***	*2.57*
APPLE INC	AAPL 03/06/13	340	61.1066	20,776.26	105.2600	35,788.40	15,012.14	708	1.97
	04/24/13	140	58.2951	8,161.32	105.2600	14,736.40	6,575.08	292	1.97
Subtotal		*480*		*28,937.58*		***50,524.80***	*21,587.22*	***1,000***	*1.97*
AT&T INC	T 04/07/11	700	30.2585	21,180.95	34.4100	**24,087.00**	2,906.05	**1,345**	5.57
BERKSHIRE HATHAWAYINC *DEL CL B NEW*	BRKB 05/05/14	200	126.6599	25,331.98	132.0400	**26,408.00**	1,076.02		
BLACKROCK INC	BLK 06/24/13	100	247.0410	24,704.10	340.5200	**34,052.00**	9,347.90	**872**	2.56
↓ BLACKSTONE GROUP LP *COM UNIT REPSTG L P*	BX 06/24/13	1,200	14.5933	17,512.05	29.2400	**35,088.00**	17,575.95	**3,480**	9.91
			Prior month	17626.32					
CF INDS HLDGS INC	CF 08/10/15	400	61.5452	24,618.08	40.8100	**16,324.00**	(8,294.08)	**481**	2.94
CHEVRON CORP	CVX 06/22/11	200	101.8688	20,373.76	89.9600	**17,992.00**	(2,381.76)	**857**	4.75
CROWN CASTLE REIT INC *SHS*	CCI 10/23/14	300	84.5802	25,374.06	86.4500	**25,935.00**	560.94	**1,063**	4.09
DANONE-SPONS ADR	DANOY 07/15/14	1,600	15.1648	24,263.79	13.6100	21,776.00	(2,487.79)	346	1.58
	04/06/15	1,100	14.1186	15,530.55	13.6100	14,971.00	(559.55)	238	1.58
	06/17/15	49	12.8744	630.85	13.6100	666.89	36.04	11	1.58
Subtotal		*2,749*		*40,425.19*		***37,413.89***	*(3,011.30)*	***595***	*1.58*
DEERE CO	DE 09/30/14	275	82.1765	22,598.54	76.2700	**20,974.25**	(1,624.29)	**660**	3.14
DEVON ENERGY CORP NEW	DVN 12/12/14	265	53.6998	14,230.47	32.0000	**8,480.00**	(5,750.47)	**255**	3.00
DIAGEO PLC SPSD ADR NEW	DEO 04/26/11	225	79.5188	17,891.73	109.0700	24,540.75	6,649.02	769	3.13
	06/13/11	125	82.8976	10,362.20	109.0700	13,633.75	3,271.55	427	3.13
Subtotal		*350*		*28,253.93*		***38,174.50***	*9,920.57*	***1,196***	*3.13*
GENERAL ELECTRIC	GE 08/31/15	800	24.7899	19,831.92	31.1500	**24,920.00**	5,088.08	**737**	2.95

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The Melvin and Bren Simon Charitable Foundation #1
35-2049367
Form 990PF
Part II, Line 10b

Merrill Lynch
Bank of America Corporation | PRIVATE BANKING & INVESTMENT GROUP

CONFIDENTIAL - 88Q-02579

Account Number: 88Q-02579

YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
IMPERIAL TOB GRP SPS ADR	ITYBY	06/01/11	300	71.4000	21,420.00	105.7500	**31,725.00**	10,305.00	**1,662**	5.23
INTEL CORP	INTC	04/25/11	1,400	21.9588	30,742.32	34.4500	48,230.00	17,487.68	1,344	2.78
		02/07/13	250	20.7699	5,192.48	34.4500	8,612.50	3,420.02	240	2.78
Subtotal			*1,650*		*35,934.80*		***56,842.50***	*20,907.70*	***1,584***	*2.78*
JOHNSON AND JOHNSON COM	JNJ	08/25/11	450	64.5900	29,065.50	102.7200	**46,224.00**	17,158.50	**1,351**	2.92
MAG SILVER CORP	MVG	05/21/14	1,000	7.3848	7,384.80	7.0600	7,060.00	(324.80)		
		08/11/14	850	9.1296	7,760.20	7.0600	6,001.00	(1,759.20)		
Subtotal			*1,850*		*15,145.00*		***13,061.00***	*(2,084.00)*		
MASTERCARD INC	MA	06/28/11	575	27.7489	15,955.67	97.3600	**55,982.00**	40,026.33	**437**	.78
MICROSOFT CORP	MSFT	04/19/11	100	25.0979	2,509.79	55.4800	5,548.00	3,038.21	144	2.59
		05/12/11	400	25.2794	10,111.76	55.4800	22,192.00	12,080.24	576	2.59
		06/21/11	500	24.5200	12,260.00	55.4800	27,740.00	15,480.00	720	2.59
		06/29/11	250	25.6880	6,422.00	55.4800	13,870.00	7,448.00	360	2.59
Subtotal			*1,250*		*31,303.55*		***69,350.00***	*38,046.45*	***1,800***	*2.59*
MOLSON COOR BREW CO CL B	TAP	03/19/14	400	58.2799	23,311.96	93.9200	**37,568.00**	14,256.04	**657**	1.74
MONDELEZ INTERNATIONAL *INC*	MDLZ	04/07/11	650	20.6145	13,399.43	44.8400	29,146.00	15,746.57	442	1.51
		07/11/11	250	23.1325	5,783.14	44.8400	11,210.00	5,426.86	170	1.51
Subtotal			*900*		*19,182.57*		***40,356.00***	*21,173.43*	***612***	*1.51*
NESTLE S A REP RG SH ADR	NSRGY	06/28/11	325	62.5200	20,319.00	74.4200	24,186.50	3,867.50	621	2.56
		07/11/11	175	62.3300	10,907.75	74.4200	13,023.50	2,115.75	335	2.56
Subtotal			*500*		*31,226.75*		***37,210.00***	*5,983.25*	***956***	*2.56*
PFIZER INC	PFE	04/19/11	500	20.4981	10,249.05	32.2800	16,140.00	5,890.95	600	3.71
		06/22/11	1,000	20.3284	20,328.40	32.2800	32,280.00	11,951.60	1,201	3.71
Subtotal			*1,500*		*30,577.45*		***48,420.00***	*17,842.55*	***1,801***	*3.71*
PINNACLE FOOD INC DEL	PF	05/17/13	1,000	25.4899	25,489.90	42.4600	**42,460.00**	16,970.10	**1,021**	2.40
PJT PARTNERS INC SHS *CL A*	PJT	06/24/13	30	3.8090	114.27	28.2900	**848.70**	734.43		

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Merrill Lynch
Bank of America Corporation
PRIVATE BANKING & INVESTMENT GROUP

CONFIDENTIAL - 88Q-02579

Account Number: 88Q-02579

24-Hour Assistance: (800) MERRILL
Access Code: 39-884-02579

YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

EQUITIES (continued) *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
PROCTER & GAMBLE CO	PG	04/07/11	335	61.7576	20,688.80	79.4100	**26,602.35**	5,913.55	**889**	3.33
RAYONIER INC REIT	RYN	11/10/11	550	29.4908	16,219.98	22.2000	**12,210.00**	(4,009.98)	**550**	4.50
SANOFI ADR	SNY	04/02/15	475	51.2482	24,342.94	42.6500	**20,258.75**	(4,084.19)	**516**	2.54
TIME WARNER INC SHS	TWX	04/26/11	550	35.2698	19,398.44	64.6700	**35,568.50**	16,170.06	**770**	2.16
UNILEVER PLC NEW ADR	UL	07/15/14	525	44.8655	23,554.39	43.1200	**22,638.00**	(916.39)	**684**	3.01
VIACOM INC NEW CL B	VIAB	02/02/12	525	46.9456	24,646.44	41.1600	21,609.00	(3,037.44)	841	3.88
		01/07/15	135	71.3928	9,638.04	41.1600	5,556.60	(4,081.44)	216	3.88
		04/24/15	200	70.8121	14,162.42	41.1600	8,232.00	(5,930.42)	320	3.88
Subtotal			*860*		*48,446.90*		***35,397.60***	*(13,049.30)*	***1,377***	*3.88*
VODAFONE GROUP PLC SHS	VOD	06/16/11	1	47.7000	47.70	32.2600	32.26	(15.44)	2	5.30
ADR		06/16/11	599	47.4100	28,398.59	32.2600	19,323.74	(9,074.85)	1,026	5.30
		06/29/11	218	49.0038	10,682.85	32.2600	7,032.68	(3,650.17)	374	5.30
		03/30/15	282	33.1998	9,362.37	32.2600	9,097.32	(265.05)	483	5.30
Subtotal			*1,100*		*48,491.51*		***35,486.00***	*(13,005.51)*	***1,885***	*5.30*
WELLS FARGO & CO NEW DEL	WFC	02/22/13	300	35.4999	10,649.97	54.3600	16,308.00	5,658.03	450	2.75
		04/24/15	150	54.7625	8,214.38	54.3600	8,154.00	(60.38)	225	2.75
Subtotal			*450*		*18,864.35*		***24,462.00***	*5,597.65*	***675***	*2.75*
TOTAL					880,908.06		**1,169,939.74**	289,031.68	**32,978**	2.82

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CONFIDENTIAL - 88Q-02579

Account Number: 88Q-02579

24-Hour Assistance: (800) MERRILL
Access Code: 39-884-02579

YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
MARKET VECTORS ETF TR	550	12,517.94	13.7200	**7,546.00**	(4,971.94)	12,517	**(4,971)**	64	.84
GOLD MINERS ETF									
SYMBOL: GDX *Initial Purchase: 01/20/15*									
Equity 100%									
Subtotal (Equities)				*7,546.00*					
TOTAL		12,517.94		**7,546.00**	(4,971.94)		**(4,971)**	64	.85

Stocks	880,908.06	1,169,939.74
Blackstone Partners Net Activity	5,510.00	
Not-taxable dividends	(52.44)	
Mutual Funds	12,517.94	7,546.00
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	898,883.56	1,177,485.74

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Merrill Lynch
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PRIVATE BANKING & INVESTMENT GROUP

CONFIDENTIAL - 88Q-02580

Account Number: 88Q-02580

YOUR EMA BANK DEPOSIT INTEREST SUMMARY

December 01, 2015 - December 31, 2015

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
FRANCO NEV CORP	FNV	09/22/15	800	42.8986	34,318.92	45.7500	**36,600.00**	2,281.08	**673**	1.83
RANDGOLD RESOURCES ADR	GOLD	09/22/15	600	57.7515	34,650.94	61.9300	**37,158.00**	2,507.06	**348**	.93
TOTAL					68,969.86		**73,758.00**	4,788.14	**1,021**	1.38
Supplemental Sale					(90.36)					
					68,879.50					

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CONFIDENTIAL - 88Q-02580

Account Number: 88Q-02580

24-Hour Assistance: (800) MERRILL
Access Code: 39-884-02580

YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

MUTUAL FUNDS/CLOSED END FUNDS/UIT *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Total Client Investment*	*Cumulative Investment Return ($)*	*Estimated Annual Income*	*Current Yield%*
SPDR GOLD TRUST	2,800	255,474.15	101.4600	**284,088.00**	28,613.85	255,474	**28,613**		
SYMBOL: GLD Initial Purchase: 01/30/09									
Alternative Investments 100%									
Subtotal (Alternative Investments)				*284,088.00*					
TOTAL		255,474.15		**284,088.00**	28,613.85		**28,613**		

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Investment Account Statement

Account N4Q-524867

Statement Period: 12/01/2015 - 12/31/2015

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 99.00% of Portfolio								
Common Stocks								
ALTRIA GROUP INC COM			**Security Identifier: MO** CUSIP: 02209S103					
01/16/14	695.000	37.2270	25,872.49	58.2100	40,455.95	14,583.46	1,570 70	3.88%
ANHEUSER BUSCH INBEV SA NV SPONSORED ADR ISIN#US03524A1088			**Security Identifier: BUD** CUSIP: 03524A108					
01/16/14	490.000	103.3750	50,653.75	125.0000	61,250.00	10,596.25	1,579.57	2.57%
BERKSHIRE HATHAWAY INC DEL CL B NEW			**Security Identifier: BRK B** CUSIP: 084670702					
Multiple Y	Total Covered 945.000	115.2790	108,938.54	132 0400	124,777.80	15,839.26		
BRITISH AMERICAN TOBACCO PLC ORD SHS ISIN#GB0002875804			**Security Identifier: BTAFF** CUSIP: G1510J102					
01/16/14	600.000	50.7220	30,433.40	55.5732	33,343.94	2,910.54		
BROWN FORMAN CORP CL A			**Security Identifier: BF-A** CUSIP: 115637100					
01/16/14	335.000	78.2060	26,199.01	110.1100	36,886.85	10,687.84	455.60	1.23%
CIE FINANCIERE RICHEMONT AG ZUG NAMEN AKT ISIN#CH0210483332			**Security Identifier: CFRHF** CUSIP: H25662182 Price Estimated as of: 12/30/15					
Multiple Y	Total Covered 900.000	93.5860	84,227.26	72.0495	64,844.58	-19,382.68		
COMCAST CORP CL A			**Security Identifier: CMCSA** CUSIP: 20030N101					
01/16/14	380.000	51.7680	19,671.65	56.4300	21,443.40	1,771.75	380.00	1.77%
DIAGEO PLC ORD SHS ISIN#GB0002374006			**Security Identifier: DGEAF** CUSIP: G42089113					
Multiple Y	Total Covered 965.000	32.2410	31,112.93	27.3592	26,401.67	-4,711.26		

The Melvin & Bren Simon Charitable Foundation #1
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[redacted]

Account N4Q-524867

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
HEINEKEN HLDG NV SHS ISIN#NL0000008977			**Security Identifier: HKHHF**	CUSIP: N39338194				
Multiple	Total Covered 1,090.000	62.0770	67,664.04	77.1202	84,061.02	16,396.98		
JC DECAUX S A ACT ISIN#FR0000077919			**Security Identifier: JCDXF**	CUSIP: F5333N100				
Multiple	Total Covered 300.000	37.9080	11,372.27	38.3428	11,502.86	130.59		
MARTIN MARIETTA MATLS INC COM			**Security Identifier: MLM**	CUSIP: 573284106				
01/16/14	190.000	108.6800	20,649.18	136.5800	25,950.20	5,301.02	304.00	1.17%
MASTERCARD INC CL A COM			**Security Identifier: MA**	CUSIP: 57636Q104				
Multiple	Total Covered 950.000	81.9770	77,878.28	97.3600	92,492.00	14,613.72	722.00	0.78%
NESTLE SA SPONSORED ADR REPSTG REG SHS ISIN#US6410694060			**Security Identifier: NSRGY**	CUSIP: 641069406				
Multiple	Total Covered 1,535.000	74.7770	114,782.57	74.4760	114,320.66	-461.91	2,931.56	2.56%
PERNOD RICARD ORD SHS ISIN#FR0000120693			**Security Identifier: PDRDF**	CUSIP: F72027109				
Multiple	Total Covered 590.000	112.6780	66,479.93	114.2682	67,418.26	938.33		
PHILIP MORRIS INTL INC COM			**Security Identifier: PM**	CUSIP: 718172109				
01/16/14	1,065.000	83.9160	89,370.11	87.9100	93,624.15	4,254.04	4,345.20	4.64%
SABMILLER PLC SHS ISIN#GB0004835483			**Security Identifier: SBMRF**	CUSIP: G77395104				
01/16/14	1,325.000	50.0500	66,316.20	60.0000	79,500.00	13,183.80		
UNILEVER NV NEW YORK SHS NEW			**Security Identifier: UN**	CUSIP: 904784709				
Multiple	Total Covered 1,370.000	39.0700	53,525.49	43.3200	59,348.40	5,822.91	1,603.93	2.70%
WELLS FARGO & CO NEW COM			**Security Identifier: WFC**	CUSIP: 949746101				

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Investment Account Statement

Account N4Q-524867

Statement Period: 12/01/2015 - 12/31/2015

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
WELLS FARGO & CO NEW COM *(continued)*								
Multiple Y	Total Covered 1,445.000	46.4820	67,166.59	54.3600	78,550.20	11,383.61	2,167.50	2.75%
Total Common Stocks			$1,012,313.69		$1,116,171.94	$103,858.25	$16,060.06	
Total Equities			$1,012,313.69		$1,116,171.94	$103,858.25	$16,060.06	

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CONFIDENTIAL - 88Q-02576

Account Number: 88Q-02576

YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ GENERAL ELEC CAP CORP COMP GUARNT SER NOTZ 06.750% MAY 15 2018 MOODY'S: A1 S&P: AA+ CUSIP: 36966R3D6 ORIGINAL UNIT/TOTAL COST: 111 3044/44,521 78	04/25/14	40,000	44,295.44	110.1740	**44,069.60**	(225.84)	345.00	**2,700**	6.12
Δ GENERAL ELEC CAP CORP COMP GUARNT SER NOTZ 05.100% FEB 15 2019 MOODY'S. A1 S&P: AA+ CUSIP: 36966RW28 ORIGINAL UNIT/TOTAL COST: 109.6304/109,630 42	08/28/14	100,000	108,625.12	107.7630	**107,763.00**	(862.12)	1,926.67	**5,100**	4.73
Δ GENERAL ELEC CAP CORP COMP GUARNT SER NOTZ 04.750% APR 15 2019 MOODY'S. A1 S&P: AA+ CUSIP: 36966R6G6 ORIGINAL UNIT/TOTAL COST. 108 9296/16,339.44	08/05/15	15,000	16,261.15	107.2650	**16,089.75**	(171.40)	150.42	**713**	4.42
Δ CENTURY LINK SER Q 06.150% SEP 15 2019 MOODY'S: BA2 S&P: BB CUSIP: 156700AN6 ORIGINAL UNIT/TOTAL COST: 102.0484/10,204.84	01/04/11	10,000	10,191.17	102.0000	**10,200.00**	8.83	181.08	**615**	6.02
Δ GENERAL ELEC CAP CORP CGTD SER GMTN GLB 05.500% JAN 08 2020 MOODY'S. A1 S&P. AA+ CUSIP· 36962G4J0 ORIGINAL UNIT/TOTAL COST· 114.0636/28,515.92	06/22/15	25,000	28,156.68	112.1570	**28,039.25**	(117.43)	660.76	**1,375**	4.90
Δ GENERAL ELEC CAP CORP COMPANY GUARNT SER MTN 05.550% MAY 04 2020 MOODY'S: A1 S&P: AA+ CUSIP: 36962G2T0 ORIGINAL UNIT/TOTAL COST: 114.4846/17,172.70	08/04/15	15,000	17,099.49	113.1030	**16,965.45**	(134.04)	131.81	**833**	4.90
Δ GENERAL ELEC CAP CORP COMP GUARNT SER NOTZ 05.900% SEP 15 2020 MOODY'S: A1 S&P: AA+ CUSIP: 36966R4E3 ORIGINAL UNIT/TOTAL COST: 116.3430/11,634.30	05/22/15	10,000	11,543.16	113.2580	**11,325.80**	(217.36)	173.72	**590**	5.20

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YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

CORPORATE BONDS (continued) *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
Δ WELLS FARGO & COMPANY *SER MTN 02 100% MAY 08 2017* *MOODY'S: A2 S&P. A CUSIP: 94974BFD7* *ORIGINAL UNIT/TOTAL COST: 101 2788/50,639.42*	05/24/13	50,000	50,577.05	100.9920	**50,496.00**	(81.05)	154.58	**1,050**	2.07
Δ GOLDMAN SACHS GROUP INC *SER NOTZ 05.100% JUN 15 2017* *MOODY'S: A3 S&P BBB+ CUSIP: 38141E4P1* *ORIGINAL UNIT/TOTAL COST: 104.0954/41,638.16*	08/01/13	40,000	41,508.29	104.1670	**41,666.80**	158.51	90.67	**2,040**	4.89
Δ WACHOVIA CORP *GLB 05.750% JUN 15 2017* *MOODY'S: A2 S&P. A CUSIP. 929903DT6* *ORIGINAL UNIT/TOTAL COST: 108.6349/21,726.99*	05/24/13	20,000	21,261.37	105.8610	**21,172.20**	(89.17)	51.11	**1,150**	5.43
Δ GENERAL ELEC CAP CORP *CGTD SER GMTN GLB 01.600% NOV 20 2017* *MOODY'S: A1 S&P. AA+ CUSIP. 36962G6K5* *ORIGINAL UNIT/TOTAL COST: 100 7369/30,221 09*	05/24/13	30,000	30,167.44	100.6500	**30,195.00**	27.56	54.67	**480**	1.58
Δ WELLS FARGO COMPANY *05.625% DEC 11 2017* *MOODY'S: A2 S&P: A CUSIP: 949746NX5* *ORIGINAL UNIT/TOTAL COST: 110.1276/27,531.90*	05/24/13	25,000	26,977.13	107.2840	**26,821.00**	(156.13)	78.13	**1,407**	5.24
Δ COMCAST CORP *COMPANY GUARNT GLB 05 875% FEB 15 2018* *MOODY'S: A3 S&P: A- CUSIP 20030NAR2* *ORIGINAL UNIT/TOTAL COST: 110.1745/55,087 28*	04/25/14	50,000	54,331.50	108.9170	**54,458.50**	127.00	1,109.72	**2,938**	5.39
Δ GENERAL ELEC CAP CORP *COMP GUARNT SER NOTE 06.750% APR 15 2018* *MOODY'S: A1 S&P: AA+ CUSIP· 36966R3B0* *ORIGINAL UNIT/TOTAL COST: 112.0482/33,614 48*	04/25/14	30,000	33,314.70	109.9610	**32,988.30**	(326.40)	427.50	**2,025**	6.13

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YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD INST SAV NEWBURYPORT *NEWBURYPORT, MA 02.250% JUL 30 2021* *CUSIP: 45780PAP0* *ORIGINAL UNIT/TOTAL COST: 100.4054/50,202.74*	08/05/15	50,000	50,197.03	99.8070	**49,903.50**	(293.53)		**1,125**	2.25
Δ CD SALLIE MAE BANK *SALT LAKE CITY, UT 02 650% OCT 15 2021* *CUSIP: 795450TX3* *ORIGINAL UNIT/TOTAL COST: 100.8648/8,069.19*	09/09/15	8,000	8,066.90	98.4118	**7,872.94**	(193.96)	44.72	**212**	2.69
TOTAL		5,578,000	5,637,436.62		**5,639,813.84**	(22,742.78)	23,161.37	**130,602**	2.32

CORPORATE BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ JPMORGAN CHASE & CO *GLB 03.150% JUL 05 2016* *MOODY'S: A3 S&P: A- CUSIP: 46625HJA9* *ORIGINAL UNIT/TOTAL COST: 101.9964/50,998.22*	05/24/13	50,000	50,511.58	101.0340	**50,517.00**	5.42	770.00	**1,575**	3.11
Δ WACHOVIA CORP *SUBORDINATED GLB 05.625% OCT 15 2016* *MOODY'S: A3 S&P: A- CUSIP: 929903CH3* *ORIGINAL UNIT/TOTAL COST: 104.1234/41,649.36*	07/31/13	40,000	41,302.43	103.2400	**41,296.00**	(6.43)	475.00	**2,250**	5.44
Δ SOUTHWEST AIRLINES CO *05.750% DEC 15 2016* *MOODY'S: BAA1 S&P: BBB CUSIP: 844741AY4* *ORIGINAL UNIT/TOTAL COST: 104.9465/31,483.97*	08/17/12	30,000	30,951.17	103.9350	**31,180.50**	229.33	76.67	**1,725**	5.53
Δ WESTPAC BANKING CORP *SER NOTZ 02.250% MAR 15 2017* *MOODY'S: AA2 S&P: AA- CUSIP: 96121BBB2* *ORIGINAL UNIT/TOTAL COST: 101.2797/10,127.97*	05/28/13	10,000	10,115.80	99.2330	**9,923.30**	(192.50)	10.00	**225**	2.26

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YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD FIRST MERCHANTS BK N *MUNCIE, IN 02.550% FEB 01 2021* *CUSIP: 32082BCH0* *ORIGINAL UNIT/TOTAL COST: 103 4469/26,896 21*	06/09/15	26,000	26,831.60	101.0290	**26,267.54**	(564.06)	277.92	**663**	2.52
Δ CD DISCOVER BANK *GREENWOOD, DE 02.600% MAR 19 2021* *CUSIP: 254671K25* *ORIGINAL UNIT/TOTAL COST: 103.1679/22,696.94*	04/06/15	22,000	22,662.50	99.0334	**21,787.35**	(875.15)	161.41	**572**	2.62
Δ CD FIRST NATL BK OF AMER *EAST LANSING MI 03.100% APR 22 2021* *CUSIP: 32110YDN2* *ORIGINAL UNIT/TOTAL COST: 103.8863/16,621.81*	08/25/15	16,000	16,601.25	103.2250	**16,516.00**	(85.25)	220.14	**496**	3.00
Δ CD CIT BANK *SALT LAKE CITY, UT 02.700% MAY 07 2021* *CUSIP: 17284CB94* *ORIGINAL UNIT/TOTAL COST: 102 1868/51,093.41*	04/06/15	50,000	51,065.04	100.9780	**50,489.00**	(576.04)	199.73	**1,350**	2.67
Δ CD CAPITAL ONE BK USA NA *GLEN ALLEN, VA 02.350% JUN 17 2021* *CUSIP: 140420SK7* *ORIGINAL UNIT/TOTAL COST: 100.1301/50,065.09*	06/22/15	50,000	50,059.78	98.6410	**49,320.50**	(739.28)	45.07	**1,175**	2.38
Δ CD FIRST NATL BK OF AMER *EAST LANSING MI 03.100% JUL 15 2021* *CUSIP: 32110YDL6* *ORIGINAL UNIT/TOTAL COST: 102.7827/28,779 16*	08/21/15	28,000	28,736.24	103.1770	**28,889.56**	153.32	401.90	**868**	3.00
Δ CD FIRST MERCHANTS BK N *MUNCIE, IN 02.600% JUL 30 2021* *CUSIP: 32082BCJ6* *ORIGINAL UNIT/TOTAL COST: 101.9733/8,157.87*	06/23/15	8,000	8,147.45	101.0500	**8,084.00**	(63.45)	87.19	**208**	2.57

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CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
CD BARCLAYS BANK/DELAWAR	08/28/14	40,000	39,965.00	98.4847	**39,393.88**	(571.12)	342.52	**940**	2.38
WILMINGTON, DE 02.350% AUG 20 2020									
CUSIP: 06740KHW0									
ORIGINAL UNIT/TOTAL COST· 99.9125/39,965.00									
CD FIRST MERCHANTS BK N	08/28/14	100,000	99,505.00	99.6060	**99,606.00**	101.00	771.64	**2,150**	2.15
MUNCIE, IN 02.150% AUG 24 2020									
CUSIP: 32082BDN6									
ORIGINAL UNIT/TOTAL COST: 99.5050/99,505.00									
Δ CD DISCOVER BANK	10/02/14	18,000	18,250.85	99.7783	**17,960.09**	(290.76)	154.21	**477**	2.65
GREENWOOD, DE 02.650% SEP 04 2020									
CUSIP: 254671VJ6									
ORIGINAL UNIT/TOTAL COST: 101.4857/18,267.44									
Δ CD FIRST PREMIER BK	06/01/15	10,000	10,296.01	101.1160	**10,111.60**	(184.41)	71.58	**275**	2.71
SIOUX FALLS, SD 02.750% SEP 25 2020									
CUSIP: 33610RMY6									
ORIGINAL UNIT/TOTAL COST: 103.1204/10,312.04									
θ PRIME BANK	12/06/10	50,000	49,317.50	100.0430	**50,021.50**	704.00	59.18	**1,350**	2.69
CALLABLE CD FDIC INSD 02.700% OCT 15 2020									
CUSIP. 74164AAZ7									
PAR CALL DATE: 10/15/11 PAR CALL PRICE· 100.00									
ORIGINAL UNIT/TOTAL COST: 98 6350/49,317.50									
Δ CD LANDMARK CMNTY BANK	07/20/15	47,000	47,087.93	99.6780	**46,848.66**	(239.27)	66.44	**1,011**	2.15
COLLIEVILLE, TN 02.150% NOV 06 2020									
CUSIP: 51507LAQ7									
ORIGINAL UNIT/TOTAL COST: 100.1927/47,090.57									
Δ CD CAPITAL ONE BK (USA)	12/05/14	50,000	50,174.78	99.9650	**49,982.50**	(192.28)	132.33	**1,150**	2.30
GLEN ALLEN, VA 02.300% NOV 19 2020									
CUSIP: 140420QY9									
ORIGINAL UNIT/TOTAL COST: 100.3912/50,195.61									

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YOUR EMA ASSETS

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CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD DISCOVER BANK	05/15/15	40,000	40,158.33	99.1120	**39,644.80**	(513.53)	194.47	**780**	1.96
GREENWOOD, DE 01 950% APR 01 2020									
CUSIP: 254672LE6									
ORIGINAL UNIT/TOTAL COST: 100.4183/40,167 34									
CD PEOPLES UTD BANK NA	08/20/15	9,000	8,957.00	96.9760	**8,727.84**	(229.16)	34.18	**162**	1.85
BRIDGEPORT, CT 01.800% APR 15 2020									
CUSIP. 71270QMS2									
ORIGINAL UNIT/TOTAL COST: 99.5222/8,957.00									
Δ CD BOFI FEDERAL BANK	12/05/14	75,000	79,503.29	104.8770	**78,657.75**	(845.54)	21.27	**2,588**	3.28
DEPOSIT NTS SER 0000 03.450% MAY 28 2020									
CUSIP: 06279LCG7									
ORIGINAL UNIT/TOTAL COST. 106.2351/79,676 37									
Δ CD AMERICAN EXPR CENTURI	08/18/15	8,000	8,094.66	98.5109	**7,880.87**	(213.79)	83.44	**188**	2.38
SALT LAKE CITY, UT 02.350% JUL 22 2020									
CUSIP: 02587DA81									
ORIGINAL UNIT/TOTAL COST· 101 2725/8,101.80									
Δ CD UNIVERSITY NATL BK	08/20/15	15,000	15,560.55	103.1790	**15,476.85**	(83.70)	10.03	**458**	2.95
ST PAUL, MN 03.050% JUL 22 2020									
CUSIP. 91451PLX3									
ORIGINAL UNIT/TOTAL COST· 103 8877/15,583.16									
Δ CD COMPASS BANK	03/19/15	40,000	40,955.89	98.5027	**39,401.08**	(1,554.81)	394.03	**940**	2.38
BIRMINGHAM, AL 02.350% JUL 31 2020									
CUSIP: 20451PBP0									
ORIGINAL UNIT/TOTAL COST: 102.5985/41,039.41									
CD CAPITAL ONE NA	08/18/15	18,000	17,994.50	99.8980	**17,981.64**	(12.86)	159.93	**414**	2.30
MCLEAN, VA 02.300% AUG 12 2020									
CUSIP: 14042E5M8									
ORIGINAL UNIT/TOTAL COST: 99.9694/17,994.50									

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YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD AMERICAN EXPR CENTURI	08/05/15	50,000	50,501.64	99.0706	**49,535.30**	(966.34)	81.37	**1,100**	2.22
SALT LAKE CITY, UT 02.200% DEC 04 2019									
CUSIP: 02587DWS3									
ORIGINAL UNIT/TOTAL COST: 101.1000/50,550.00									
Δ CD BMW BK OF NORTH AMER	12/12/14	26,000	26,036.02	98.5117	**25,613.04**	(422.98)	32.16	**559**	2.18
SALT LAKE CITY, UT 02.150% DEC 10 2019									
CUSIP: 05580AAZ7									
ORIGINAL UNIT/TOTAL COST: 100.1573/26,040.91									
Δ CD ENERBANK USA	08/05/15	41,000	41,219.96	99.6230	**40,845.43**	(374.53)	27.75	**779**	1.90
SALT LAKE CITY, UT 01.900% DEC 18 2019									
CUSIP: 29266NF85									
ORIGINAL UNIT/TOTAL COST: 100.5522/41,226.44									
Δ CD CAPITAL ONE BK USA NA	01/26/15	25,000	25,067.01	97.1326	**24,283.15**	(783.86)	199.73	**450**	1.85
GLEN ALLEN, VA 01.800% JAN 22 2020									
CUSIP: 140420RD4									
ORIGINAL UNIT/TOTAL COST· 100 2962/25,074.05									
Δ CD FIRST NATL BK AMER	11/26/14	25,000	25,786.56	102.0520	**25,513.00**	(273.56)	269.35	**688**	2.69
EAST LANSING, MI 02.750% FEB 10 2020									
CUSIP: 32110YDU6									
ORIGINAL UNIT/TOTAL COST: 103 4333/25,858.33									
Δ CD BOFI FEDERAL BANK	01/02/15	25,000	26,582.81	105.2440	26,311.00	(271.81)	2.43	888	3.37
SAN DIEGO, CA 03.550% MAR 31 2020									
CUSIP: 06279LBK9									
ORIGINAL UNIT/TOTAL COST: 106.5727/26,643.19									
Δ CD BOFI FEDERAL BANK	01/28/15	15,000	16,076.59	105.2440	15,786.60	(289.99)	1.46	533	3.37
ORIGINAL UNIT/TOTAL COST: 107 4522/16,117.84									
Subtotal		*40,000*	*42,659.40*		***42,097.60***	*(561.80)*	*3.89*	***1,421***	*3.37*

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CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD MIDFIRST BANK OKLAHOMA CITY, OK, OK 03.900% SEP 30 2019 CUSIP: 59740JCY3 ORIGINAL UNIT/TOTAL COST: 107.0736/55,678.31	07/27/15	52,000	55,452.90	106.3280	**55,290.56**	(162.34)	511.17	**2,028**	3.66
Δ CD CAPITAL ONE BK (USA) GLEN ALLEN, VA 02.100% OCT 01 2019 CUSIP: 140420PN4 ORIGINAL UNIT/TOTAL COST: 101.3728/22,302.02	03/19/15	22,000	22,283.61	98.7539	**21,725.86**	(557.75)	115.18	**462**	2.12
Δ CD MIDFIRST BANK CD 03.850% OCT 15 2019 CUSIP: 59740JHJ1 ORIGINAL UNIT/TOTAL COST: 108.2154/18,396.63	02/10/15	17,000	18,324.43	106.1730	**18,049.41**	(275.02)	139.87	**655**	3.62
Δ CD AMERICAN EXPRESS BK F SALT LAKE CITY, UT 02.150% OCT 16 2019 CUSIP: 02587CBX7 ORIGINAL UNIT/TOTAL COST: 101.2867/20,257.34	03/19/15	20,000	20,244.23	98.9202	**19,784.04**	(460.19)	89.53	**430**	2.17
Δ TOYOTA FINL SGS BK CD 04.000% OCT 23 2019 CUSIP: 89235MDJ7 ORIGINAL UNIT/TOTAL COST: 107.9093/32,372.79	09/04/15	30,000	32,263.12	106.7270	**32,018.10**	(245.02)	226.85	**1,200**	3.74
CD GOLDMAN SACHS BK USA NEW YORK, NY 02.000% OCT 31 2019 CUSIP: 38143AQ73 ORIGINAL UNIT/TOTAL COST: 99.6750/59,805 00	08/28/14	60,000	59,805.00	98.3657	**59,019.42**	(785.58)	200.55	**1,200**	2.03
Δ CD SALLIE MAE BANK SALT LAKE CITY, UT 02.150% NOV 05 2019 CUSIP: 795450UP8 ORIGINAL UNIT/TOTAL COST: 100.6126/14,085.77	09/03/15	14,000	14,082.53	98.9057	**13,846.80**	(235.73)	46.18	**301**	2.17

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CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD AMERICAN EXPRESS BANK	06/16/15	20,000	20,149.10	98.7904	19,758.08	(391.02)	151.89	420	2.12
ORIGINAL UNIT/TOTAL COST: 100 8169/20,163.39									
Subtotal		*120,000*	*119,794.10*		***118,548.48***	*(1,245.62)*	*911.34*	***2,520***	*2.12*
CD NBT BANK	08/28/14	100,000	99,995.00	99.6280	**99,628.00**	(367.00)	724.93	**2,100**	2.10
NORWICH, NY 02.100% AUG 27 2019									
CUSIP: 628779FP0									
ORIGINAL UNIT/TOTAL COST: 99.9950/99,995.00									
Δ CD AMERICAN EXPRESS BANK	11/26/14	50,000	50,009.41	98.7779	**49,388.85**	(620.46)	339.45	**1,050**	2.12
SALT LAKE CITY, UT 02.100% SEP 04 2019									
CUSIP. 02587CBC3									
ORIGINAL UNIT/TOTAL COST: 100.0204/50,010.22									
Δ CD DISCOVER BANK	10/07/14	20,000	20,087.47	99.6200	**19,924.00**	(163.47)	135.01	**440**	2.20
GREENWOOD, DE 02.200% SEP 10 2019									
CUSIP: 2546716F2									
ORIGINAL UNIT/TOTAL COST: 100 4727/20,094.55									
Δ CD SALLIE MAE BK	10/07/14	22,000	22,039.42	100.1270	**22,027.94**	(11.48)	136.07	**473**	2.14
SALT LAKE CITY, UT 02 150% SEP 17 2019									
CUSIP: 795450TB1									
ORIGINAL UNIT/TOTAL COST: 100.1927/22,042.40									
Δ CD AMERICAN EXPR CENTURI	11/25/14	35,000	37,075.14	106.1410	**37,149.35**	74.21	365.49	**1,348**	3.62
CD 03.850% SEP 23 2019									
CUSIP: 02586TG40									
ORIGINAL UNIT/TOTAL COST: 106.3486/37,222.01									
Δ CD COMENITY CAPITAL BANK	02/10/15	25,000	25,457.63	99.5780	**24,894.50**	(563.13)	12.95	**525**	2.10
SALT LAKE CITY, UT 02.100% SEP 23 2019									
CUSIP: 20033AGS9									
ORIGINAL UNIT/TOTAL COST: 101.9214/25,480.35									

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CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD EVERBANK/JACKSONVILL	02/17/15	23,000	24,318.85	103.6950	**23,849.85**	(469.00)	21.83	**725**	3.03
JACKSONVILLE, FL 03.150% JUL 22 2019									
CUSIP: 29976DMF4									
ORIGINAL UNIT/TOTAL COST: 106 0375/24,388.64									
Δ CITY NATL BK TAY	02/13/15	10,000	10,698.38	105.9340	10,593.40	(104.98)	27.07	380	3.58
DEPOSIT NTS SER 0000 03.800% AUG 05 2019									
CUSIP: 178504CG9									
ORIGINAL UNIT/TOTAL COST: 107.2765/10,727.65									
Δ CITY NATL BK TAY	08/20/15	30,000	31,862.25	105.9340	31,780.20	(82.05)	81.21	1,140	3.58
ORIGINAL UNIT/TOTAL COST. 106.4665/31,939 97									
Subtotal		*40,000*	*42,560.63*		***42,373.60***	*(187.03)*	*108.28*	***1,520***	*3.58*
Δ CD BARCLAYS BK	08/05/15	25,000	25,179.76	98.7978	**24,699.45**	(480.31)	201.37	**525**	2.12
WILMINGTON, DE 02.100% AUG 13 2019									
CUSIP. 06740KHS9									
ORIGINAL UNIT/TOTAL COST. 100 7924/25,198.12									
Δ CD DISCOVER BANK	07/08/15	20,000	20,115.71	98.7978	**19,759.56**	(356.15)	161.10	**420**	2.12
GREENWOOD, DE 02.100% AUG 13 2019									
CUSIP: 2546714E7									
ORIGINAL UNIT/TOTAL COST· 100.6376/20,127.52									
CD COMENITY CAP BK	01/26/15	17,000	17,346.45	99.5230	**16,918.91**	(427.54)	14.32	**349**	2.05
SALT LAKE CITY, UT 02.050% AUG 16 2019									
CUSIP: 20033ACK0									
CD AMERICAN EXPRESS BANK	08/28/14	100,000	99,645.00	98.7904	98,790.40	(854.60)	759.45	2,100	2.12
SALT LAKE CITY, UT 02.100% AUG 21 2019									
CUSIP: 02587CAW0									
ORIGINAL UNIT/TOTAL COST: 99 6450/99,645.00									

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CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD DISCOVER BANK GREENWOOD, DE 03.800% MAY 20 2019 CUSIP: 25469J5Z8 ORIGINAL UNIT/TOTAL COST: 107.1966/16,079.50	07/02/15	15,000	15,948.84	105.7800	**15,867.00**	(81.84)	64.03	**570**	3.59
Δ CD BANK FORWARD HANNAFOR CD 04.000% MAY 29 2019 CUSIP: 062163AY6 ORIGINAL UNIT/TOTAL COST: 107.0932/14,993.06	07/29/15	14,000	14,946.23	106.4830	**14,907.62**	(38.61)	46.03	**560**	3.75
Δ CD MIDWEST CMNTY BK FREE CD 04.000% JUN 10 2019 CUSIP. 598315AR0 ORIGINAL UNIT/TOTAL COST: 106.6050/44,774.11	08/29/14	42,000	44,667.71	106.5040	**44,731.68**	63.97	96.66	**1,680**	3.75
Δ CD MIDLAND STS BK EFFINGHAM ILL 04.250% JUN 17 2019 CUSIP: 59774QBW1 ORIGINAL UNIT/TOTAL COST: 108.5303/10,853.03	02/13/15	10,000	10,824.49	107.3500	**10,735.00**	(89.49)	16.30	**425**	3.95
Δ FARMERS & MERCH NE MILLIGAN NE 04.100% JUN 24 2019 CUSIP: 308861AA1 ORIGINAL UNIT/TOTAL COST: 108.3730/27,093.25	02/12/15	25,000	26,989.04	106.8690	**26,717.25**	(271.79)	19.66	**1,025**	3.83
Δ CD THIRD FED SAV&LOAN CLEVELAND, OH 01.850% JUN 27 2019 CUSIP· 88413QAS7 ORIGINAL UNIT/TOTAL COST: 101.3214/50,660.74	02/10/15	50,000	50,578.09	99.8450	**49,922.50**	(655.59)	10.14	**925**	1.85
Δ CD BARCLAYS BANK/DELAWAR WILMINGTON, DE 02.050% JUL 16 2019 CUSIP. 06740KHF7 ORIGINAL UNIT/TOTAL COST: 100.3137/20,062.74	10/02/14	20,000	20,055.78	98.6559	**19,731.18**	(324.60)	188.71	**410**	2.07

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CDs/EQUIVALENTS (continued) *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
Δ CD GOLDMAN SACHS BK USA *NEW YORK, NY 05.200% DEC 10 2018* *CUSIP: 381426WC5* *ORIGINAL UNIT/TOTAL COST: 111 6146/22,322.93*	03/04/15	20,000	21,960.56	107.6340	**21,526.80**	(433.76)	59.84	**1,040**	4.83
CD PRIMESOUTH BK *TALLASSEE, AL 01.550% DEC 31 2018* *CUSIP: 74161ACP0* *ORIGINAL UNIT/TOTAL COST: 99.8000/9,980.00*	02/17/15	10,000	9,980.00	99.2740	**9,927.40**	(52.60)		**155**	1.56
Δ CD GOLDMAN SACHS BK USA *NEW YORK NY 04.250% JAN 14 2019* *CUSIP: 381426YV1* *ORIGINAL UNIT/TOTAL COST: 107.2595/42,903.80*	08/28/14	40,000	42,530.19	105.5152	**42,206.08**	(324.11)	791.78	**1,700**	4.02
Δ CD FARMERS ST BANK COLOR *CALHAN CO 04.000% JAN 22 2019* *CUSIP: 31033MAM9* *ORIGINAL UNIT/TOTAL COST: 106 6862/26,671.56*	12/10/14	25,000	26,574.83	106.1450	**26,536.25**	(38.58)	27.40	**1,000**	3.76
CD DISCOVER BANK *GREENWOOD, DE 01.900% MAR 05 2019* *CUSIP: 254671J35* *ORIGINAL UNIT/TOTAL COST: 99.6875/23,925.00*	08/05/15	24,000	23,925.00	99.0861	**23,780.66**	(144.34)	146.17	**456**	1.91
Δ CD AMERICAN EXPRESS BK F *CD 04.000% MAR 25 2019* *CUSIP: 02580VJN6* *ORIGINAL UNIT/TOTAL COST: 106.5359/31,960.79*	04/29/14	30,000	31,814.55	106.2920	**31,887.60**	73.05	318.90	**1,200**	3.76
CD YADKIN BANK *ELKIN, NC 01.350% MAY 08 2019* *CUSIP: 984308BU9* *ORIGINAL UNIT/TOTAL COST: 99.5750/39,830.00*	05/15/15	40,000	39,830.00	98.9450	**39,578.00**	(252.00)	34.03	**540**	1.36

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CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD SALLIE MAE BANK SALT LAKE CITY, UT 02.150% OCT 30 2018 CUSIP: 795450RA5 ORIGINAL UNIT/TOTAL COST: 101 1425/15,171 38	04/25/14	15,000	15,161.71	100.2698	**15,040.47**	(121.24)	54.78	**323**	2.14
Δ CD CIT BANK SALT LAKE CITY, UT 02.050% NOV 06 2018 CUSIP: 17284CTH7 ORIGINAL UNIT/TOTAL COST: 101.1607/15,174.11	06/17/15	15,000	15,165.36	100.4380	**15,065.70**	(99.66)	46.34	**308**	2.04
Δ CD COMPASS BANK BIRMINGHAM, AL 01.900% NOV 13 2018 CUSIP: 20451PGY6 ORIGINAL UNIT/TOTAL COST: 100.7316/75,548.70	08/28/14	75,000	75,524.58	99.5840	**74,688.00**	(836.58)	187.40	**1,425**	1.90
Δ CD GOLDMAN SACHS BK USA NEW YORK, NY 05.500% NOV 19 2018 CUSIP: 381426TN5 ORIGINAL UNIT/TOTAL COST: 111 9597/11,195.97	04/25/14	10,000	10,990.46	108.3720	**10,837.20**	(153.26)	63.29	**550**	5.07
Δ CD THIRD FED SAV&LOAN CLEVELAND, OH 01.600% NOV 26 2018 CUSIP: 88413QAJ7 ORIGINAL UNIT/TOTAL COST: 100.2026/31,062.81	07/02/15	31,000	31,054.05	100.1350	**31,041.85**	(12.20)	172.58	**496**	1.59
Δ CD CAPITAL ONE BK USA NA CD 05.000% DEC 10 2018 CUSIP: 140420MU1 ORIGINAL UNIT/TOTAL COST: 109.9563/21,991.27	04/25/14	20,000	21,681.88	107.3081	**21,461.62**	(220.26)	57.53	**1,000**	4.65
Δ CD CAPITAL ONE NA MCLEAN MCLEAN, VA 04.900% DEC 10 2018 CUSIP: 14042EZ94 ORIGINAL UNIT/TOTAL COST: 110.5273/27,631.83	05/08/15	25,000	27,221.40	107.0270	**26,756.75**	(464.65)	70.48	**1,225**	4.57

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CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD COMPASS BANK *BIRMINGHAM, AL 01.800% AUG 21 2018* *CUSIP: 20451PCL8* *ORIGINAL UNIT/TOTAL COST: 100 4380/55,240.91*	11/17/14	55,000	55,212.48	100.4450	**55,244.75**	32.27	358.03	**990**	1.79
Δ CD AMERICAN EXPR CENTURI *SALT LAKE CITY, UT 02.000% SEP 19 2018* *CUSIP: 02587DTR9* *ORIGINAL UNIT/TOTAL COST: 100.8257/17,140.37*	04/25/14	17,000	17,127.29	99.8666	**16,977.32**	(149.97)	95.95	**340**	2.00
Δ CD ENERBANK USA *SALT LAKE CITY, UT 02.000% SEP 26 2018* *CUSIP: 29266NXM4* *ORIGINAL UNIT/TOTAL COST: 101.7503/40,700.15*	02/17/15	40,000	40,657.09	100.5430	**40,217.20**	(439.89)	10.96	**800**	1.98
Δ CD ENERBANK USA *SALT LAKE CITY, UT 01.800% SEP 28 2018* *CUSIP: 29266NC62* *ORIGINAL UNIT/TOTAL COST: 101.3366/25,334.15*	01/26/15	25,000	25,314.18	99.3180	**24,829.50**	(484.68)	2.47	**450**	1.81
Δ CD BUSINESS BK OF ST. LO *CLAYTON, MO 01.800% OCT 17 2018* *CUSIP: 12325EGG1* *ORIGINAL UNIT/TOTAL COST· 100.6262/35,219.20*	04/29/14	35,000	35,210.11	100.4940	**35,172.90**	(37.21)	24.16	**630**	1.79
Δ CD WEBSTER BANK NA *WATERBURY, CT 02.000% OCT 17 2018* *CUSIP: 94768NJK1* *ORIGINAL UNIT/TOTAL COST: 101.1995/25,299.88*	08/25/15	25,000	25,279.53	99.8629	**24,965.73**	(313.80)	102.74	**500**	2.00
Δ CD COMPASS BANK *BIRMINGHAM, AL 02.000% OCT 30 2018* *CUSIP: 20451PGG5* *ORIGINAL UNIT/TOTAL COST: 100.5120/34,174.11*	12/23/14	34,000	34,164.29	99.8614	**33,952.88**	(211.41)	113.64	**680**	2.00

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CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
CD CONNECTONE BK ENGLEWOOD, NJ 01.300% APR 17 2018 CUSIP· 20786AAN5 ORIGINAL UNIT/TOTAL COST· 99 6300/49,815.00	04/28/14	50,000	49,815.00	98.4414	**49,220.70**	(594.30)	24.93	**650**	1.32
Δ CD ALLY BANK MIDVALE, UT 01.650% JUL 09 2018 CUSIP. 02006LSA2 ORIGINAL UNIT/TOTAL COST: 100.5000/50,250.00	08/25/15	50,000	50,220.63	99.0272	**49,513.60**	(707.03)	395.55	**825**	1.66
Δ CD BMW BK NORTH AMER SALT LAKE CITY, UT 01.900% JUL 19 2018 CUSIP: 05568P4G9 ORIGINAL UNIT/TOTAL COST: 101.6890/30,506.71	01/26/15	30,000	30,431.77	99.6307	**29,889.21**	(542.56)	257.67	**570**	1.90
Δ CD CIT BANK SALT LAKE CITY, UT 01.800% JUL 24 2018 CUSIP: 17284CJH8 ORIGINAL UNIT/TOTAL COST: 100.4069/40,162.76	04/25/14	40,000	40,139.51	100.5340	**40,213.60**	74.09	315.62	**720**	1.79
Δ CD COMPASS BANK BIRMINGHAM, AL 01.700% JUL 24 2018 CUSIP· 20451PBG0 ORIGINAL UNIT/TOTAL COST: 100.9402/17,159.84	02/12/15	17,000	17,136.93	99.1345	**16,852.87**	(284.06)	126.68	**289**	1.71
Δ CD BAR HBR BANK & TR BAR HARBOR, ME 01.750% JUL 30 2018 CUSIP: 066851SU1 ORIGINAL UNIT/TOTAL COST: 100.9550/35,334.26	07/02/15	35,000	35,313.76	99.3470	**34,771.45**	(542.31)	1.68	**613**	1.76
Δ CD COUNTRY BANK/NEW YORK CD 05.150% AUG 13 2018 CUSIP. 22230PBD1 ORIGINAL UNIT/TOTAL COST: 109.4276/27,356.92	11/25/14	25,000	27,244.17	108.6430	**27,160.75**	(83.42)	63.49	**1,288**	4.74

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CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD COMENITY CAP BK SALT LAKE CITY, UT 01 550% FEB 22 2018 CUSIP: 20033AHL3 ORIGINAL UNIT/TOTAL COST: 100.0344/100,034.48	11/17/14	100,000	100,031.67	99.5450	**99,545.00**	(486.67)	38.22	**1,550**	1.55
Δ CD TREYNOR ST BK TREYNOR, IA 03.400% MAR 02 2018 CUSIP. 89531WAZ3 ORIGINAL UNIT/TOTAL COST: 105.3622/36,876.79	04/29/14	35,000	36,630.28	104.6100	**36,613.50**	(16.78)	384.71	**1,190**	3.25
Δ CD FARMERS & MERCHANTS B MILFORD NE 04.550% MAR 19 2018 CUSIP: 308862AP6 ORIGINAL UNIT/TOTAL COST: 106.4236/15,963.55	08/01/13	15,000	15,884.78	106.4030	**15,960.45**	75.67	22.44	**683**	4.27
Δ CD FIRST NATL BK T AMER EAS 04.000% APR 10 2018 CUSIP: 32110YDH5 ORIGINAL UNIT/TOTAL COST: 105.5056/52,752.84	09/06/13	50,000	52,593.60	105.2970	**52,648.50**	54.90	115.07	**2,000**	3.79
Δ CD FARMERS & MERCHANTS B MILFORD 04.300% APR 11 2018 CUSIP: 308862AR2 ORIGINAL UNIT/TOTAL COST: 106.5463/53,273.19	11/25/14	50,000	53,087.14	105.9660	**52,983.00**	(104.14)	117.81	**2,150**	4.05
Δ CD EVERBANK JACKSONVILLE, FL 01.100% APR 13 2018 CUSIP: 29976DWE6 ORIGINAL UNIT/TOTAL COST: 100.3007/27,081.21	04/16/15	27,000	27,074.18	98.0117	**26,463.16**	(611.02)	62.65	**297**	1.12
θ CD BARCLAYS BK WILMINGTON, DE 01.450% APR 16 2018 CUSIP· 06740KGF8 ORIGINAL UNIT/TOTAL COST: 99.3500/49,675.00	04/25/14	50,000	49,675.00	98.7797	**49,389.85**	(285.15)	152.95	**725**	1.46

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CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD BENEFICIAL MUT SVG BK *PHILADELPHIA PA 02.250% DEC 04 2017* *CUSIP: 08173QAN6* *ORIGINAL UNIT/TOTAL COST: 102 1729/12,260.75*	05/24/13	12,000	12,241.13	102.2560	**12,270.72**	29.59	20.71	**270**	2.20
CD SAVINGS INST BK & TR *WILLIMANTIC, CT 01.350% DEC 07 2017* *CUSIP: 805337AE2* *ORIGINAL UNIT/TOTAL COST: 99.8263/18,967.00*	09/06/13	19,000	18,967.00	99.7890	**18,959.91**	(7.09)	16.87	**257**	1.35
Δ CD FRONTIER ST BK *OKLA CITY OKLA 05.000% DEC 14 2017* *CUSIP: 359183DA5* *ORIGINAL UNIT/TOTAL COST: 107.0310/26,757.77*	04/28/14	25,000	26,648.31	106.7270	**26,681.75**	33.44	58.22	**1,250**	4.68
Δ CD MECHANICS SVGS BK/AUB *AUBURN, ME 02.750% JAN 19 2018* *CUSIP: 583686AP5* *ORIGINAL UNIT/TOTAL COST. 102.9200/24,700.82*	04/29/14	24,000	24,638.93	102.4580	**24,589.92**	(49.01)	21.70	**660**	2.68
Δ CD ALLY BANK *MIDVALE, UT 01 400% JAN 22 2018* *CUSIP: 02006LND1* *ORIGINAL UNIT/TOTAL COST: 100 3786/30,113.59*	01/26/15	30,000	30,093.71	98.8020	**29,640.60**	(453.11)	186.41	**420**	1.41
Δ BENEFICIAL MUTUAL SVG BK *PHILADELPHIA, PA 02.600% FEB 16 2018* *CUSIP. 08173QBA3* *ORIGINAL UNIT/TOTAL COST: 102.7931/51,396.55*	04/28/14	50,000	51,190.10	102.1660	**51,083.00**	(107.10)	487.95	**1,300**	2.54
Δ MB FINL BK N A *CHICAGO, IL 02.750% FEB 20 2018* *CUSIP. 55266CJW7* *ORIGINAL UNIT/TOTAL COST: 101.9251/35,673.79*	09/16/13	35,000	35,617.50	103.3980	**36,189.30**	571.80	29.01	**963**	2.65

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θ CD FIRST BEXLEY BANK	07/31/13	25,000	24,364.46	100.0730	**25,018.25**	653.79	1.54	**188**	.74
OHIO , OH 00.750% AUG 28 2017									
CUSIP: 319306AG1									
ORIGINAL UNIT/TOTAL COST: 97.4578/24,364 46									
Δ CD CUSTOMERS BANK	02/10/15	50,000	50,288.63	100.0520	**50,026.00**	(262.63)	207.12	**675**	1.34
PHOENIXVILLE, PA 01.350% SEP 11 2017									
CUSIP: 23204HBW7									
ORIGINAL UNIT/TOTAL COST: 100.6812/50,340.64									
CD MERRICK BANK	N/A	25,000	N/A	100.4800	**25,120.00**	N/A	18.49	**375**	1.49
SOUTH JORDAN, UT 01.500% SEP 13 2017									
CUSIP: 59012Y4Z7									
θ CD SUN NATIONAL BANK	09/06/13	35,000	34,434.50	100.7710	**35,269.85**	835.35	26.85	**350**	.99
VINELAND, NJ 01.000% OCT 03 2017									
CUSIP. 86682ABV2									
ORIGINAL UNIT/TOTAL COST: 98.3842/34,434.50									
Δ CD BUSINESS FIRST BANK	04/29/14	10,000	10,386.57	102.8489	**10,284.89**	(101.68)	76.18	**335**	3.25
BATON ROUGE, LA 03.350% OCT 10 2017									
CUSIP: 123257BW6									
ORIGINAL UNIT/TOTAL COST· 104 3564/10,435.64									
Δ CD FIRST UTAH BK	04/28/14	30,000	30,537.90	102.0280	**30,608.40**	70.50	39.70	**690**	2.25
SALT LAKE CITY, UT 02.300% NOV 09 2017									
CUSIP: 33743MCM4									
ORIGINAL UNIT/TOTAL COST: 101.9315/30,579.45									
θ CD DOLLAR BANK FSB PA	12/10/14	26,000	25,898.20	99.6180	**25,900.68**	2.48	37.61	**312**	1.20
PITTSBURGH, PA 01.200% NOV 17 2017									
CUSIP: 25665QAM7									
ORIGINAL UNIT/TOTAL COST: 99.6084/25,898.20									

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CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD COMMERCIAL BK ALMA MI *CD 03.750% JUL 03 2017* *CUSIP: 201282CP3* *ORIGINAL UNIT/TOTAL COST: 104 4204/20,884.08*	05/24/13	20,000	20,799.25	103.9410	**20,788.20**	(11.05)	59.59	**750**	3.60
Δ CD AMERICAN EXPR CENTURI *SALT LAKE CITY, UT 01.750% JUL 19 2017* *CUSIP: 02587DKM9* *ORIGINAL UNIT/TOTAL COST: 100.9531/60,571.89*	04/28/14	60,000	60,444.12	100.0749	**60,044.94**	(399.18)	474.66	**1,050**	1.74
CD MERRICK BK CORP *SOUTH JORDAN, UT 01.300% JUL 26 2017* *CUSIP: 59012Y4D6* *ORIGINAL UNIT/TOTAL COST: 99.9200/24,980.00*	07/31/13	25,000	24,980.00	100.5990	**25,149.75**	169.75	4.45	**325**	1.29
Δ CD BANK OF HOLLAND *HOLLAND, MI 02.400% JUL 28 2017* *CUSIP: 062649WK0* *ORIGINAL UNIT/TOTAL COST: 102.4045/10,240.45*	04/28/14	10,000	10,189.61	101.9320	**10,193.20**	3.59	102.58	**240**	2.35
θ CD ENERBANK USA *SALT LAKE CITY, UT 01.100% AUG 02 2017* *CUSIP: 29266NUQ8* *ORIGINAL UNIT/TOTAL COST: 99.2777/17,870.00*	09/06/13	18,000	17,870.00	99.7620	**17,957.16**	87.16	15.73	**198**	1.10
Δ CD SALLIE MAE BANK *SALT LAKE CITY, UT 01.750% AUG 15 2017* *CUSIP: 795450NV3* *ORIGINAL UNIT/TOTAL COST: 100.1241/50,062.05*	08/27/12	50,000	50,050.48	100.0777	**50,038.85**	(11.63)	330.82	**875**	1.74
Δ CD SALLIE MAE BANK *SALT LAKE CITY, UT 01.700% AUG 22 2017* *CUSIP: 795450PA7* *ORIGINAL UNIT/TOTAL COST: 100.7051/29,204.49*	04/28/14	29,000	29,168.18	100.8420	**29,244.18**	76.00	176.94	**493**	1.68

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CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD TRADITIONAL BK INC MT STERLING, KY 03.000% MAY 22 2017 CUSIP: 89268YAX3 ORIGINAL UNIT/TOTAL COST: 102.4393/51,219.65	08/23/13	50,000	51,072.04	103.0420	**51,521.00**	448.96	41.10	**1,500**	2.91
Δ CD COUNTRY BANK/NEW YORK CD 05.150% JUN 01 2017 CUSIP: 22230PAF7 ORIGINAL UNIT/TOTAL COST: 106.0578/26,514.47	05/24/13	25,000	26,356.50	105.2870	**26,321.75**	(34.75)	105.82	**1,288**	4.89
Δ CD EVERBANK/JACKSONVILL CD 03.000% JUN 01 2017 CUSIP: 29976DMC1 ORIGINAL UNIT/TOTAL COST: 102.4829/13,322.78	09/19/12	13,000	13,289.14	103.0790	**13,400.27**	111.13	32.05	**390**	2.91
Δ CD MB FINL BK N A CHICAGO, IL 02.650% JUN 12 2017 CUSIP: 55266CJM9 ORIGINAL UNIT/TOTAL COST: 101.6545/25,413.63	07/31/13	25,000	25,378.24	102.8480	**25,712.00**	333.76	36.30	**663**	2.57
Δ CD BK OF AMERICA FMR LAS CHICAGO ILL 05.300% JUN 20 2017 CUSIP: 51804LMS0 ORIGINAL UNIT/TOTAL COST: 107.7298/32,318.94	08/29/13	30,000	31,707.24	105.2984	**31,589.52**	(117.72)	47.92	**1,590**	5.03
Δ CD FIRST BUSINESS BK MADISON, WI 02.300% JUN 22 2017 CUSIP: 31938QWK6 ORIGINAL UNIT/TOTAL COST: 102.4326/15,364.89	05/24/13	15,000	15,269.32	101.6060	**15,240.90**	(28.42)	8.51	**345**	2.26
θ CD FIRST BEXLEY BANK OHIO , OH 00.700% JUN 28 2017 CUSIP: 319306AE6 ORIGINAL UNIT/TOTAL COST: 97.8666/29,360.00	08/01/13	30,000	29,360.00	100.1240	**30,037.20**	677.20	1.73	**210**	.69

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CD ALLY BANK	04/28/14	22,000	21,950.50	99.4305	**21,874.71**	(75.79)	45.81	**220**	1.00
MIDVALE, UT 01.000% APR 17 2017									
CUSIP: 02006LEA7									
ORIGINAL UNIT/TOTAL COST: 99.7750/21,950.50									
θ CD BARCLAYS BK	04/29/14	35,000	34,862.50	99.4925	**34,822.38**	(40.12)	77.53	**368**	1.05
WILMINGTON, DE 01.050% APR 18 2017									
CUSIP: 06740KGE1									
ORIGINAL UNIT/TOTAL COST: 99 6071/34,862.50									
Δ CD BMW BK NORTH AMER	05/28/13	90,000	90,803.90	100.9760	**90,878.40**	74.50	296.51	**1,665**	1.83
SALT LAKE CITY, UT 01.850% APR 27 2017									
CUSIP: 05568PW37									
ORIGINAL UNIT/TOTAL COST: 101.0144/90,913.03									
Δ CD WASHITA ST BK	05/24/13	15,000	15,746.19	105.0660	**15,759.90**	13.71	50.86	**743**	4.71
BURNS FLAT OKLA 04.950% MAY 05 2017									
CUSIP: 940767CV6									
ORIGINAL UNIT/TOTAL COST. 105.5464/15,831.97									
Δ CD BOFI FEDERAL BANK	09/06/13	25,000	25,557.15	103.0590	25,764.75	207.60	49.32	750	2.91
FMRLY BK OF INTERNET 03.000% MAY 08 2017									
CUSIP: 06279LBW3									
ORIGINAL UNIT/TOTAL COST: 102.4698/25,617.47									
Δ CD BOFI FEDERAL BANK	09/16/13	15,000	15,336.23	103.0590	15,458.85	122.62	29.59	450	2.91
ORIGINAL UNIT/TOTAL COST: 102.4842/15,372.63									
Subtotal		*40,000*	*40,893.38*		***41,223.60***	*330.22*	*78.91*	***1,200***	*2.91*
Δ CD FAIRFIELD NATL BANK	04/28/14	10,000	10,262.62	103.0840	**10,308.40**	45.78	13.97	**300**	2.91
FAIRFIELD, IL 03.000% MAY 15 2017									
CUSIP: 304549AS7									
ORIGINAL UNIT/TOTAL COST: 102.8712/10,287.12									

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Δ CD CAROLINA FIRST BANK	09/06/13	25,000	26,053.77	104.5180	**26,129.50**	75.73	485.55	**1,275**	4.87
GREENVILLE, SC 05.100% FEB 14 2017									
CUSIP: 143876PG9									
ORIGINAL UNIT/TOTAL COST: 105.6350/26,408.76									
CD BREMER BANK ST CLOUD	07/31/13	75,000	75,000.00	100.3990	**75,299.25**	299.25	30.82	**750**	.99
CLOUD, MN 01.000% FEB 16 2017									
CUSIP: 107005AM2									
Δ CD TD BANK, N.A.	07/31/13	15,000	15,646.09	104.6380	**15,695.70**	49.61	279.37	**773**	4.92
FMRLY CAROLINA FIRST BK 05.150% FEB 21 2017									
CUSIP: 143876PR5									
ORIGINAL UNIT/TOTAL COST: 105.6626/15,849.39									
θ CD BMW BK NORTH AMER	04/29/14	35,000	34,910.00	99.4715	**34,815.03**	(94.97)	103.56	**350**	1.00
SALT LAKE CITY, UT 01.000% MAR 14 2017									
CUSIP: 05568P6W2									
ORIGINAL UNIT/TOTAL COST: 99.7428/34,910 00									
Δ CEDARSTONE BANK	04/28/14	28,000	28,655.08	102.9530	**28,826.84**	171.76	16.11	**840**	2.91
DEPOSIT NTS SER 0000 03.000% MAR 24 2017									
CUSIP: 150713AX3									
ORIGINAL UNIT/TOTAL COST: 102.6952/28,754.66									
Δ CD DISCOVER BANK	05/24/13	30,000	30,242.69	100.3009	**30,090.27**	(152.42)	131.34	**510**	1.69
GREENWOOD, DE (FMRLY IL) 01.700% MAR 28 2017									
CUSIP: 2546705G3									
ORIGINAL UNIT/TOTAL COST: 100.9783/30,293.49									
CD ULSTER SAVINGS BANK	04/28/14	32,000	31,980.00	99.9180	**31,973.76**	(6.24)	71.89	**320**	1.00
KINGSTON, NY 01.000% APR 10 2017									
CUSIP: 90386JAB9									
ORIGINAL UNIT/TOTAL COST: 99.9375/31,980.00									

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CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD MB FINANCIAL, IL	09/06/12	32,000	32,178.21	101.3110	32,419.52	241.31	36.82	640	1.97
ORIGINAL UNIT/TOTAL COST: 100 7414/32,237.25									
Subtotal		*57,000*	*57,321.28*		***57,747.27***	*425.99*	*65.59*	***1,140***	*1.97*
Δ AMERICAN EXPRESS CENTURI	08/16/12	50,000	50,254.19	100.6182	**50,309.10**	54.91	25.27	**1,025**	2.03
SALT LAKE CITY, UT 02.050% DEC 22 2016									
CUSIP: 02587DKC1									
ORIGINAL UNIT/TOTAL COST: 100.7809/50,390.47									
Δ CD BARCLAYS BK	08/17/12	20,000	20,110.65	101.1640	**20,232.80**	122.15	3.29	**400**	1.97
DELAWAR, DE 02.000% DEC 28 2016									
CUSIP. 06740KFN2									
ORIGINAL UNIT/TOTAL COST: 100.8360/20,167 20									
Δ CD BARCLAYS BK	04/28/14	60,000	60,556.56	100.9920	**60,595.20**	38.64	515.34	**1,140**	1.88
DELAWAR, DE 01.900% JAN 19 2017									
CUSIP: 06740KFX0									
ORIGINAL UNIT/TOTAL COST: 101.3236/60,794.20									
Δ CD MORTON COMMUNITY BANK	05/28/13	24,000	24,672.85	102.7590	**24,662.16**	(10.69)	16.34	**852**	3.45
MORTON, IL 03.550% JAN 24 2017									
CUSIP: 619165EU3									
ORIGINAL UNIT/TOTAL COST: 103.2976/24,791.43									
Δ CD TD BANK, N.A.	05/24/13	10,000	10,417.22	104.3210	**10,432.10**	14.88	223.56	**510**	4.88
DEPOSIT NOTES SER CD 05.100% JAN 24 2017									
CUSIP: 143876NH9									
ORIGINAL UNIT/TOTAL COST: 105.8764/10,587.64									
CD BRIDGEWATER BK BLOOM	08/01/13	25,000	25,000.00	100.4230	**25,105.75**	105.75	11.06	**238**	.94
BLOOMINGTON, MN 00.950% FEB 14 2017									
CUSIP: 108622DH1									

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CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD GOLDMAN SACHS BK USA	08/16/12	25,000	25,066.37	100.4922	**25,123.05**	56.68	127.98	**463**	1.84
NEW YORK, NY 01.850% SEP 21 2016									
CUSIP: 3814265T8									
ORIGINAL UNIT/TOTAL COST: 100 3682/25,092 07									
Δ CD CIT BANK, N.A	08/16/12	20,000	20,095.06	101.0080	**20,201.60**	106.54	110.74	**430**	2.12
PASADENA, CA 02.150% SEP 28 2016									
CUSIP: 17284AZA9									
ORIGINAL UNIT/TOTAL COST: 100.6423/20,128.46									
Δ CD GOLDMAN SACHS BK USA	08/17/12	15,000	15,068.44	100.5553	**15,083.30**	14.86	71.51	**300**	1.98
NEW YORK, NY 02.000% OCT 05 2016									
CUSIP. 3814266R1									
ORIGINAL UNIT/TOTAL COST: 100.6000/15,090.01									
Δ GE MONEY BK INSTL CTF DE	08/16/12	30,000	30,138.21	100.9060	**30,271.80**	133.59	128.22	**600**	1.98
SALT LAKE CITY, UT 02.000% OCT 14 2016									
CUSIP. 36161NSM1									
ORIGINAL UNIT/TOTAL COST. 100 5869/30,176.08									
Δ CD CIT BANK, N.A	11/04/14	30,000	30,246.45	101.0300	**30,309.00**	62.55	101.84	**630**	2.07
PASADENA, CA 02.100% NOV 02 2016									
CUSIP: 17284AE61									
ORIGINAL UNIT/TOTAL COST: 100.9831/30,294.93									
Δ CD AMERICAN EXPRESS BK F	05/24/13	35,000	35,804.28	102.3080	**35,807.80**	3.52	120.82	**1,225**	3.42
SALT LAKE CITY, UT 03 500% NOV 25 2016									
CUSIP: 02580VA70									
ORIGINAL UNIT/TOTAL COST: 103.8271/36,339.49									
Δ CD MB FINANCIAL, IL	08/22/12	25,000	25,143.07	101.3110	25,327.75	184.68	28.77	500	1.97
FMRLY COLE TAYLOR 02.000% DEC 09 2016									
CUSIP: 193296XV7									
ORIGINAL UNIT/TOTAL COST: 100.7619/25,190.48									

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CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD MORTON CMNTY BK	05/24/13	23,000	23,339.86	101.5410	**23,354.43**	14.57	15.00	**782**	3.34
ILL 03.400% JUL 25 2016									
CUSIP: 619165ES8									
ORIGINAL UNIT/TOTAL COST: 101 9617/23,451.21									
CD CRYSTAL LAKE BK & TR	07/31/13	70,000	70,000.00	100.0760	**70,053.20**	53.20	226.59	**595**	.84
CRYSTAL LAKE, IL 00.850% AUG 15 2016									
CUSIP: 229253CT4									
θ CD SALLIE MAE BANK	08/16/12	50,000	49,855.00	100.0598	**50,029.90**	174.90	236.30	**625**	1.24
SALT LAKE CITY, UT 01.250% AUG 15 2016									
CUSIP: 795450NW1									
ORIGINAL UNIT/TOTAL COST: 99.7100/49,855.00									
Δ GOLDMAN SACHS BK	08/16/12	40,000	40,099.97	100.4392	**40,175.68**	75.71	261.53	**740**	1.84
NEW YORK, NY 01.850% AUG 24 2016									
CUSIP: 3814264E2									
ORIGINAL UNIT/TOTAL COST: 100.3856/40,154.27									
Δ MB FINL BK	08/22/12	20,000	20,091.69	100.5726	20,114.52	22.83	23.01	400	1.98
CHICAGO, IL 02.000% SEP 09 2016									
CUSIP: 55266CKB1									
ORIGINAL UNIT/TOTAL COST: 100.5546/20,110.93									
Δ MB FINL BK	08/30/12	49,000	49,240.46	100.5726	49,280.57	40.11	56.38	980	1.98
ORIGINAL UNIT/TOTAL COST: 100 5937/49,290.92									
Subtotal		*69,000*	*69,332.15*		***69,395.09***	*62.94*	*79.39*	***1,380***	*1.98*
θ CD BMW BK NORTH AMER	09/16/13	50,000	49,805.78	99.9992	**49,999.60**	193.82	171.71	**575**	1.15
SALT LAKE CITY, UT 01.150% SEP 13 2016									
CUSIP: 05568P5B9									
ORIGINAL UNIT/TOTAL COST: 99.6115/49,805.78									

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CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ INVESTORS SVGS B *SHORT HILLS NJ 02.300% MAY 05 2016* *CUSIP: 46176PAH8* *ORIGINAL UNIT/TOTAL COST: 100.7164/10,071.64*	08/01/13	10,000	10,049.20	100.5580	**10,055.80**	6.60	35.29	**230**	2.28
Δ GENOA BKG CO *GENOA, OH 02.750% MAY 20 2016* *CUSIP: 372348BJ0* *ORIGINAL UNIT/TOTAL COST: 100.2621/74,193.97*	09/21/10	74,000	74,128.08	100.7900	**74,584.60**	456.52	55.75	**2,035**	2.72
Δ CD LAKE SUNAPEE BANK FSB *NEWPORT, NH 02.100% MAY 27 2016* *CUSIP: 510868AA0* *ORIGINAL UNIT/TOTAL COST: 100.7984/12,095.81*	05/24/13	12,000	12,066.27	100.6570	**12,078.84**	12.57	2.76	**252**	2.08
Δ MIDLAND ST BK IL *DEPOSIT NTS SER 0000 02.650% JUN 22 2016* *CUSIP: 59774QDA7* *ORIGINAL UNIT/TOTAL COST: 101.0652/16,170.44*	08/29/13	16,000	16,121.08	100.9190	**16,147.04**	25.96	10.45	**424**	2.62
Δ CD GOLD COAST BANK *CHICAGO, IL 01.650% JUN 30 2016* *CUSIP: 38058KBM7* *ORIGINAL UNIT/TOTAL COST: 100.3999/17,067.99*	08/22/13	17,000	17,050.76	100.1921	**17,032.66**	(18.10)	23.05	**281**	1.64
Δ MB FINL BK N A *CHICAGO, IL 02.700% JUN 30 2016* *CUSIP: 55266CJN7* *ORIGINAL UNIT/TOTAL COST: 101.0609/50,530.46*	08/01/13	50,000	50,396.04	100.9820	**50,491.00**	94.96	3.70	**1,350**	2.67
Δ CD GE MONEY BANK *DRAPER, UT 02.750% JUL 05 2016* *CUSIP: 36159AVA5* *ORIGINAL UNIT/TOTAL COST: 101.2846/25,321.15*	08/17/12	25,000	25,164.64	101.0040	**25,251.00**	86.36	342.81	**688**	2.72

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CDs/EQUIVALENTS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD CIT BANK, N.A	08/16/12	25,000	25,015.46	100.1270	**25,031.75**	16.29	153.70	**413**	1.64
PASADENA, CA 01.650% FEB 17 2016									
CUSIP: 17284AWD6									
ORIGINAL UNIT/TOTAL COST: 100.2420/25,060.51									
Δ CD FLUSHING SVGS	08/22/12	5,000	5,013.64	100.3360	**5,016.80**	3.16	6.58	**150**	2.98
DEPOSIT NTS SER 0000 03.000% FEB 26 2016									
CUSIP: 344030CZ2									
ORIGINAL UNIT/TOTAL COST: 100.5988/5,029.94									
CD MIDLAND STS BK	08/22/13	35,000	35,000.00	100.0390	**35,013.65**	13.65	2.30	**280**	.79
EFFINGHAM, IL 00.800% FEB 26 2016									
CUSIP: 59774QEA6									
Δ CD SCOTIABANK DE P R	05/24/13	17,000	17,046.16	100.1850	**17,031.45**	(14.71)	116.32	**383**	2.24
SAN JUAN, PR 02.250% MAR 11 2016									
CUSIP: 80928EHR4									
ORIGINAL UNIT/TOTAL COST: 100.7059/17,120.01									
Δ CD 15136J	05/28/13	27,000	27,079.44	100.3400	**27,091.80**	12.36	12.95	**473**	1.74
CENTENNIAL , CO 01.750% APR 21 2016									
CUSIP: 15136JAE3									
ORIGINAL UNIT/TOTAL COST: 100.4839/27,130.67									
CD UNITED BANKERS BANK	08/22/13	35,000	35,000.00	100.1280	**35,044.80**	44.80	.81	**298**	.84
BLOOMINGTON, MN 00.850% APR 29 2016									
CUSIP: 909557CU2									
Δ CD BOSTON PRIVATE BK & T	08/23/13	20,000	20,163.82	100.9250	**20,185.00**	21.18	118.90	**700**	3.46
CD 03.500% MAY 02 2016									
CUSIP: 101120CE1									
ORIGINAL UNIT/TOTAL COST: 101.2219/20,244.38									

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CDs/EQUIVALENTS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ CD U S BK NATL ASSN MINN	07/31/13	10,000	10,002.69	100.0000	**10,000.00**	(2.69)	129.72	**266**	2.66
MINNEAPOLIS, MN 02.660% JAN 06 2016									
CUSIP: 90333VKW9									
ORIGINAL UNIT/TOTAL COST: 100 9898/10,098 98									
Δ WORLD FINL CAP BK SALT L	08/22/12	40,000	40,011.07	100.0220	**40,008.80**	(2.27)	395.84	**840**	2.09
SALT LAKE CITY, UT 02.100% JAN 12 2016									
CUSIP: 98146QAC5									
ORIGINAL UNIT/TOTAL COST: 100.4629/40,185.16									
Δ BENEFICIAL MUT SVGS	05/28/13	25,000	25,015.43	100.0490	**25,012.25**	(3.18)	226.03	**500**	1.99
PHILADELPHIA, PA 02.000% JAN 19 2016									
CUSIP: 08173QAT3									
ORIGINAL UNIT/TOTAL COST: 100.6310/25,157.77									
Δ BMW BANK NORTH AMERICA	08/17/12	20,000	20,012.47	100.0920	**20,018.40**	5.93	179.51	**420**	2.09
SALT LAKE CITY UT 02.100% JAN 28 2016									
CUSIP: 05568PE29									
ORIGINAL UNIT/TOTAL COST: 100 4250/20,085.00									
Δ MID-MISSOURI BNK	08/22/13	31,000	31,027.79	100.0860	**31,026.66**	(1.13)	5.10	**620**	1.99
SPRINGFIELD, MO 02.000% JAN 28 2016									
CUSIP: 59541KAN7									
ORIGINAL UNIT/TOTAL COST: 100 3085/31,095.66									
CD STERLING SVGS BK	07/31/13	50,000	50,000.00	100.0150	**50,007.50**	7.50	157.19	**375**	.74
SPOKANE, WA 00.750% JAN 29 2016									
CUSIP: 859532AH6									
Δ CD FRANKLIN TEMPLETON B&	05/24/13	25,000	25,077.28	100.2920	**25,073.00**	(4.28)	53.22	**925**	3.68
SALT LAKE CITY, UT 03.700% FEB 10 2016									
CUSIP: 35472QCA3									
ORIGINAL UNIT/TOTAL COST: 100.7182/25,179.57									

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CORPORATE BONDS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ NORTHERN TRUST CORP 03.450% NOV 04 2020 MOODY'S. A2 S&P: A+ CUSIP: 665859AL8 ORIGINAL UNIT/TOTAL COST: 106 0685/16,970.96	06/03/15	16,000	16,941.68	104.5070	**16,721.12**	(220.56)	87.40	**552**	3.30
Δ GENERAL ELEC CAP CORP CGTD SER GMTN GLB 04.625% JAN 07 2021 MOODY'S: A1 S&P: AA+ CUSIP: 36962G4Y7 ORIGINAL UNIT/TOTAL COST: 113.0710/39,574.87	05/15/15	35,000	39,193.47	109.8380	**38,443.30**	(750.17)	782.40	**1,619**	4.21
Δ WELLS FARGO & CO SER MTN GLB 04.600% APR 01 2021 MOODY'S A2 S&P: A CUSIP: 94974BEV8 ORIGINAL UNIT/TOTAL COST: 110.4781/8,838.25	08/25/15	8,000	8,802.51	109.0130	**8,721.04**	(81.47)	92.00	**368**	4.21
Δ JPMORGAN CHASE & CO 04.350% AUG 15 2021 MOODY'S: A3 S&P: A- CUSIP: 46625HJC5 ORIGINAL UNIT/TOTAL COST: 108.1635/10,816.35	06/23/15	10,000	10,768.87	106.4230	**10,642.30**	(126.57)	164.33	**435**	4.08
TOTAL		659,000	702,897.20		**699,695.21**	(3,201.99)	7,993.64	**31,765**	4.54

CDs/Equivalents	5,637,436.62	5,639,813.84
Corporate Bonds	702,897.20	699,695.21
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Total	6,340,333.82	6,339,509.05

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Melvin & Bren Simon Charitable Foundation # 1
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Alternative Investments
12/31/15

ALTERNATIVE INVESTMENTS	Valuation Method	Book Value 12/31/2015	Fair Market Value 12/31/2015
Alarra Fund SPC	Estimated FMV	-	207,248.12
Hawkeye Capital Ser Trust Offshore	FMV	1,367,728.00	1,370,774.18
IVA Global Fund Cayman US Invs Ser	FMV	1,350,000.00	1,542,168.92
KC Partners Investment Fund	FMV	958,718.76	953,685.50
Kingstown Partners Offshore Ltd Cl A Ser 1/2013	FMV	1,000,000.00	1,078,461.92
Mayo Capital Partners Offshore Fd Ltd	FMV	(19,393.48)	1,014,341.61
Passport Offshore Ltd	FMV	389,322.00	1,046,085.87
Portfolio Advisors Offshore Private Eqty Fd III	FMV	200,965.00	359,297.00
Two Rivers Farm, LLC	FMV	121,497.00	197,919.50
Total Account # 6876-5043		5,368,837.28	7,769,982.62

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KC Partners Investment Fund	-
Blackstone Grp	279
	279